# Forecasting climate change impacts on plant populations over large spatial extents

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#### $_{\scriptscriptstyle 17}$ ${f Abstract}$

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- Plant population models are powerful tools for predicting climate change impacts in one
- location, but are difficult to apply at landscape scales. We overcome this limitation by tak-
- 20 ing advantage of two recent advances: remotely-sensed, species-specific estimates of plant
- 21 cover and statistical models developed for spatio-temporal dynamics of animal populations.
- Using computationally efficient model reparameterizations, we fit a spatiotemporal pop-
- ulation model to a 28 year time series of sagebrush (Artemisia spp.) percent cover over

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a  $2.5 \times 5$  km landscape in southwestern Wyoming while formally accounting for spatial autocorrelation. We include interannual variation in precipitation and temperature as covariates in the model to investigate how climate affects the cover of sagebrush. We then use the model to forecast the future abundance of sagebrush at the landscape scale under 27 projected climate change, generating spatially explicit estimates of sagebrush population 28 trajectories that have, until now, been impossible to produce at this scale. Our broad-scale and long-term predictions are rooted in small-scale and short-term population dynamics 30 and provide an alternative to predictions offered by species distribution models that do not 31 include population dynamics. Our approach, which combines several existing techniques in a novel way, demonstrates the use of remote sensing data to model population responses to environmental change that play out at spatial scales far greater than the traditional field study plot.

Key words: population model, climate change, forecasting, spatiotemporal model, remote

Introduction

sensing, sagebrush, Artemisia, dimension reduction

Forecasting the impacts of climate change on plant populations and communities is a central challenge for ecology (Clark et al. 2001, Petchey et al. 2015). Population models are ideally suited for meeting such a challenge because they provide a way to link climate drivers directly to population dynamics (Hare et al. 2010, Adler et al. 2012, Ross et al. 2015, Shriver 2015). However, inference from population models is typically limited to small spatial extents because the data required is difficult to collect across broad species ranges. Almost every study of plant population dynamics relies on demographic observations recorded at the meter to sub-meter scale (see, e.g., Salguero-Gómez et al. 2015). Local-scale demographic data make building population projection models an easy task (Ellner and Rees 2006, Rees and Ellner 2009, Adler et al. 2012), but it is very difficult

to extrapolate small-scale studies to large spatial extents with any certainty because the data likely only represent a small subset of parameter space and environmental conditions (Freckleton et al. 2011, Queenborough et al. 2011). The real challenge is not to simply make population forecasts, but to do so at spatial scales relevant to policy and management decisions (Queenborough et al. 2011). The ideal tool would be a broad-scale, dynamic population model (Schurr et al. 2012, Merow et al. 2014), but developing useful models at this scale has been limited by the availability of time series data at large spatial extents and statistical methods for fitting high-dimensional spatial models. Fortunately, new advances in remote sensing and statistics now allow us to overcome both of these limitations. First, new remote-sensing (RS) methods are now producing accurate time series of species-specific plant cover at landscape scales. These data can be fit with dynamic population models which include yearly fluctuations in climate as covariates. Such RS time series have revolutionized models of how climate affects ecosystem-level processes (e.g., Running et al. 2004) and have been used to detect long-term trends in plant population abundance (e.g., Homer et al. 2015), but they have yet to be used to drive a dynamic population model. Second, animal population modelers have developed dimension reduction and reparameterization techniques to efficiently fit high-dimension spatiotemporal models (see Conn et al. 2015 for a review). These new statistical methods have yet to be applied to RS-derived plant population data at broad scales. Large-scale, spatially-explicit population models based on RS data could offer a valuable new way to investigate the effects of large-scale environmental changes playing out at landscape and regional scales. Most current assessments of how plant and animal populations 71 will respond to climate change rely on species distribution models (SDMs). SDMs rely on static associations between contemporary climate and a species' distribution or, more rarely, abundance to project future distribution or abundance (Elith and Leathwick 2009) and they are easily applied at landscape to continental scales (e.g., Maiorano et al. 2013,

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Clark et al. 2014). However, the short-term and small-scale population dynamics that
   actually drive the large-scale distributions of species are not represented in most SDMs.
   Because SDMs typically rely on occurrence data, their projections of habitat suitability or
   probability of occurrence provide little information on the future states of populations in
   the core of their range – areas where a species exists now and is expected to persist in the
   future (Ehrlén and Morris 2015). Furthermore, because they lack short-term dynamics,
   SDMs usually cannot produce any estimate of the rate at which local populations will
   increase or decrease in the near-term and instead project a future equilibrium species dis-
   tribution that may or may not ever be reached. Direct validation of such predictions is
   extremely rare (Roberts and Hamann 2012). Large-scale dynamic population models could
   overcome these limitations. They would produce spatially-explicit estimates of species
   abundance within the species range (Ehrlén and Morris 2015), have the potential to model
   expansion in abundance outside the range when coupled with dynamic models of dispersal,
   and would provide testable predictions of how populations should respond to short-term
   climate perturbations. These short-term predictions also would give modelers the opportu-
   nity to repeatedly validate and refine their models (Luo et al. 2011).
   Sagebrush (Artemisia spp.) ecosystems offer an ideal testing ground for new spatially ex-
   plicit population models derived from RS data. Sagebrush species are widely distributed
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   (Kuchler 1964), they are sensitive to climate (Perfors et al. 2003, Miglia et al. 2005, Poore
   et al. 2009, Dalgleish et al. 2011, Xian et al. 2012, Apodaca 2013, Schlaepfer et al. 2014a,
   2014b, Harte et al. 2015, Homer et al. 2015), new landscape and regional scale time se-
   ries of sagebrush cover are now being produced from aerial imagery (Homer et al. 2012),
   and forecasts of future sagebrush ecosystems are in high demand due to the precarious
   conservation status of the greater sage-grouse (Centrocercus urophasianus) (Arnett and
   Riley 2015). SDMs typically predict that much of the area occupied by sagebrush ecosys-
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   tems today will become unsuitable for sagebrush due to climate change, resulting in a dra-
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   matic loss in the extent of sagebrush habitat by the end of this century (Shafer et al. 2001,
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Neilson et al. 2005, Bradley 2010, Schlaepfer et al. 2012, Still and Richardson 2015). Ecohydrology models supply a possible mechanism for sagebrush losses predicted by SDMs: climate warming could lead to earlier snowmelt, increased evaporation and ultimately 105 less recharge of deeper soil layers in the spring (Schlaepfer et al. 2012, 2014a). In warmer 106 parts of its range, increased temperature could be especially detrimental to sagebrush as 107 it depends on water from deeper soil to survive and grow in this arid region (Pechanec 108 et al. 1937, Schlaepfer et al. 2011, Germino and Reinhardt 2014). In contrast, at higher 109 elevations and in colder regions, warming and earlier snowmelt could lengthen the growing 110 season and increase sagebrush occurrence (Schlaepfer et al. 2012, 2014a). Direct observa-111 tions of individual plants and experimental plots tend to agree with these models: growth 112 tends to respond negatively to spring and summer temperatures (Miglia et al. 2005, Poore 113 et al. 2009, Apodaca 2013) except at higher elevations where earlier snowmelt may allow 114 for a longer growing season (Perfors et al. 2003, Harte et al. 2015). A large-scale, spatially-115 explicit population model for sagebrush driven by interannual climate variability would 116 provide a valuable new tool for assessing how sagebrush could respond to climate change 117 in the future. 118 Building on recent technological advances in spatial statistics (Latimer et al. 2009, Conn 119 et al. 2015) and anticipating ever-increasing availability of RS data (He et al. 2015), we 120 demonstrate how large-scale plant population models could be used to predict popula-121 tion impacts of climate change. As a proof-of-concept, we use a process model motivated 122 by Gompertz density-dependent population growth and a remotely-sensed time series of 123 sagebrush cover from Wyoming (Homer et al. 2012, 2015). We account for spatial autocor-124 relation with dimension reduction techniques (Latimer et al. 2009, Conn et al. 2015) and 125 produce spatially-explicit estimates of sagebrush percent cover. Unlike most SDMs, our 126 approach models the dynamics of plant abundance through time, and thus, is a popula-127 tion model, in the same spirit that models of animal counts through time are population 128 models. The modeling framework we propose can be applied to any spatially-explicit time 129

series of plant cover or density, but its application to remotely-sensed data products offers
the greatest potential to combine the information of population models (e.g., population
status and temporal dynamics) and the spatial extent of species distribution models.

#### Materials and Methods

#### 134 Data

Remotely-sensed time series To demonstrate our modeling approach, we use a 135 subset of a remotely-sensed time series of sagebrush (Artemisia spp.) canopy cover in 136 Wyoming (Homer et al. 2012). As part of a separate study, Homer et al. 2012 estimated 137 sagebrush percent cover using a regression tree to relate ground reflectances retrieved 138 by three sources of optical imagery (QuickBird, Landsat, and AWiFS) to 1,780 field 139 observations of sagebrush cover distributed across Wyoming. The regression tree model 140 was further validated using another 297 field observations. For Wyoming sagebrush, the 141 model achieved an  $R^2 = 0.65$  and an out-of-sample RMSE of 5.46% (Homer et al. 2012). 142 To hind-cast sagebrush cover the regression tree model was applied to historical remote sensing images to generate yearly predictions of sagebrush cover for all of Wyoming for the years 1984-2011. This resulted in an annual time series of sagebrush cover at 30 meter resolution from 1984 to 2011 (Fig. B1). In this remote sensing product, values represent 146 the percentage of a  $30 \times 30$  meter pixel covered by sagebrush. In our study, we focused 147 on a  $5{,}070 \times 2{,}430$  meter subset totaling  $13{,}689 \ 30 \times 30$  meter pixels each year (Fig. 1). 148 Thus, the subset of the remote sensing product we use contains 369,603 observations 149 spanning 27 year-to-year transitions (27 years  $\times$  13,689 pixels). 150

Climate covariates Our approach models interannual changes in plant cover as a function of seasonal climate variables. We used daily historic weather data for the center of

data are interpolated between coarse observation units and capture some spatial variation. 154 We relied on weather data for the centroid of our study area. We calculated five climate 155 variables from the Daymet data for the time period coinciding with our remotely sensed 156 data (1984 to 2011). 157 We narrowed our focus to climate covariates we know are important for sagebrush and 158 that could be calculated from general circulation model projections. The five climate vari-159 ables in our population model are: (1) cumulative, "water year" precipitation for year t-2160 (lagPpt), (2) year t-1 fall through summer precipitation (ppt1), (3) year t fall through sum-161 mer precipitation (ppt2), (4) year t-1 average spring temperature (TmeanSpr1), and (5) 162 year t average spring temperature (TmeanSpr2), where t-1 to t is the transition of interest. We selected these variables a priori based on previous studies (see Introduction), though not all emerge as important predictors in our model. 165

our study site from the NASA Daymet data set (available online)<sup>1</sup>. The Daymet weather

#### Additive spatio-temporal model for sagebrush cover

We use a descriptive model for sagebrush cover that includes additive spatial and temporal effects similar to that described by Conn et al. (2015). Interannual change in percent cover represents the integrated outcome of recruitment, survival, growth, and retrogression (shrinkage) of individual plants from year to year. We model observed integer percent cover (y) in cell i at time t as conditionally Poisson

$$y_{i,t} \sim \text{Poisson}(\mu_{i,t}),$$
 (1)

where  $\mu_{i,t}$  is the expected percent cover of pixel i in year t

$$\log(\mu_{i,t}) = \underbrace{\beta_{0,t} + \beta_1 y_{i,t-1}}_{\text{temporal} + \text{dens. dep}} + \underbrace{\mathbf{x}'_t \boldsymbol{\gamma}}_{\text{climate}} + \underbrace{\eta_i}_{\text{spatial}}.$$
 (2)

Our model of percent cover change includes a density-dependent effect of log-transformed cover in the previous year  $(y_{i,t-1})$ , climate effects  $(\mathbf{x}_t)$ , and a spatial random effect  $(\eta)$  for

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<sup>&</sup>lt;sup>1</sup>http://daymet.ornl.gov/

each pixel i. Climate effects were standardized  $[(x_i - \bar{x})/\sigma(x)]$  to improve convergence during the model fitting stage and to allow for easier prior specification. The intercept,  $\beta_{0,t}$ , was 178 allowed to vary through time; these random year effects recognize that all observations 179 from a particular year share the same climate covariates and thus are not independent. 180 We used a Poisson likelihood because integer percent cover values in the sagebrush data 181 product can be considered a form of count data. We also evaluated a negative binomial 182 model, but found little evidence for overdispersion beyond what our model was already 183 accommodating via the spatial random effects  $(\eta)$ . There was no evidence of zero-inflation 184 in our data, but see below (Accommodating zeros) for how we handled the small number 185 of zero percent cover observations. We assume that the remotely sensed estimates of per-186 cent cover are "true" and free of error. This need not be the case, and if measurement or 187 sampling error is known then it could be included in our Bayesian model as a "sampling 188 model" (Hobbs and Hooten 2015). 189 The spatial random effect  $(\eta)$  accounts for spatial autocorrelation among pixels that occur 190 near each other in space. Thus,  $\eta$  acts as an offset on the intercept  $(\beta_{0,t})$ , creating a spa-191 tial field that defines how pixels differ from the mean, on average, in space (e.g., areas of 192 perennially low or high cover, relative to average cover). Fitting the model with a spatial 193 random effect  $(\eta)$  is computationally demanding for large data sets like ours. The com-194 putational demand is due to the required calculations of the spatial covariance matrices, 195 which increase as a cubic function of the number of locations (Wikle 2010). Key to our 196 approach is a dimension reduction strategy that greatly reduces the number of parameters 197 needed to be estimated to account for spatial variation by reducing the size of the spatial 198 covariance matrices that need to be inverted at each MCMC iteration. Fitting models that 199 appropriately account for spatial autocorrelation over large spatial extents would not be 200 feasible without these modern techniques. Our dimension reduction strategy expresses the 201 high dimensional spatial random effect,  $\eta$ , as the product of an expansion matrix, K, and 202 a smaller parameter vector,  $\alpha$  (e.g., Hooten et al. 2003, Hooten and Wikle 2007, Conn et 203

 $_{204}$  al. 2015). We can then approximate the spatial effect as

$$\eta \approx K\alpha,$$
(3)

$$\alpha_m \sim \text{Normal}(0, \sigma_\eta^2).$$
 (4)

In this case,  $\alpha$  is a  $m \times 1$  vector of reduced spatial random effects, and  $\mathbf{K}$  is a  $S \times m$  matrix that maps the reduced effects to the full S-dimensional space, where S is the total number of observed locations. Thus, we are able to reduce the effective number of parameters from S to S to S.

The last remaining obstacle is to parameterize the matrix of basis functions,  $\mathbf{K}$ . We use kernel convolution (Barry and Hoef 1996, Higdon 1998) to interpolate the spatial random effect between m "knots" that are nonrandomly distributed across the space of our study area. This means we are modeling spatial random effects at the knot level, and we use  $\mathbf{K}$  to interpolate those effects between knots. We use an exponential kernel density to define the distance-decay function around the knots ( $\mathbf{w}$ ), such that the entries of  $\mathbf{K}$  are

$$K_{s,m} = w_{s,m} / \sum_{s=1}^{S} w_{s,m} \tag{5}$$

217 where

$$w_{s,m} = \exp\left(\frac{-d_{s,m}}{\sigma}\right) \tag{6}$$

and  $d_{s,m}$  is the Euclidean distance between the centroid of sample cell s and the location 219 218 of knot m, and  $\sigma$  is the kernel bandwidth. It is possible, through exhaustive model selection and fitting, to determine the optimal form of the kernel and to estimate optimal values for  $\sigma$  (Higdon 2002, Hooten and Hobbs 2015). However, given the relative size of 222 our dataset and computational limitations, we defined kernels around 231 knots (Fig. C2) 223 whose nearest neighbor distances are approximately equal to the range of spatial dependence in residuals from a simple GLM fit without climate covariates and the spatial ran-225 dom effect (~500 meters; Appendix C). An infinite number of knots would result in an 226 exact representation of the spatial process and covariance model. Computationally, using 227 an infinite number of knots is not possible, thus the use of dimension reduction techniques 228

serves as an approximation, where the accuracy increases with the number of knots. Given the tradeoff between knot number and computation time, we chose to base our knot number on the spatial dependence as described above.

232 The Bayesian posterior distribution of our spatio-temporal model can be expressed as

$$[\boldsymbol{\beta}, \boldsymbol{\gamma}, \boldsymbol{\alpha}, \sigma_{\eta}^{2} | \mathbf{y}] \propto \left( \prod_{t=1}^{T} \prod_{i=1}^{n} [y_{i,t} | \beta_{0,t}, \beta_{1}, \boldsymbol{\gamma}, \boldsymbol{\alpha}] [\beta_{0,t} | \bar{\beta}_{0}, \sigma_{\beta_{0}}^{2}] \right) \times \left( \prod_{m=1}^{M} [\alpha_{m} | \sigma_{\eta}^{2}] \right) [\bar{\beta}_{0}] [\beta_{1}] [\boldsymbol{\gamma}] [\sigma_{\beta_{0}}^{2}] [\sigma_{\eta}^{2}].$$

$$(7)$$

#### 234 Accomodating zeros

Our process model (in Eq. 2) includes a log transformation of the observations ( $\log(y_{t-1})$ ).

Thus, our model does not accommodate zeros. Fortunately, we had very few instances where pixels had 0% cover at time t-1 (N=47, which is 0.01% of the data set). Thus, we excluded those pixels from the model fitting process. However, when simulating the process, we needed to include possible transitions from zero to non-zero percent cover. We fit an intercept-only logistic model to estimate the probability of a pixel going from zero to non-zero cover

$$y_i \sim \text{Bernoulli}(\mu_i)$$
 (8)

$$logit(\mu_i) = b_0 \tag{9}$$

where  $\mathbf{y}$  is a vector of 0s and 1s corresponding to whether a pixel was colonized (>0% cover) or not (remains at 0% cover) and  $\mu_i$  is the expected probability of colonization as a function of the mean probability of colonization ( $b_0$ ). We fit this simple model using the 'glm' command in R (R Core Team 2013). For data sets in which zeros are more common and the colonization process more important, the same spatial statistical approach we used for our cover change model could be applied and covariates such as cover of neighboring cells could be included.

### 250 Fitting the model

We fit the spatiotemporal model in R (R Core Team 2013) using the 'No-U-Turn' Hamilto-251 nian Monte Carlo sampler in Stan (Stan Development Team 2014a) and the RStan pack-252 age (Stan Development Team 2014b). We obtained posterior distributions of all model 253 parmaters from three MCMC chains comprised of 1,000 iterations each, after discarding 254 an initial 1,000 iterations as burn in. Short chains of samples are a hallmark of the Stan 255 algorithm, which is extremely efficient. Compared to other samplers, fewer iterations are 256 required to achieve convergence. Each chain was initialized with unique parameter val-257 ues and the model was fit in parallel using the Utah State University High-Performance 258 Computing facility. Model fitting required five days on a four node Central Processing 259 Unit with 2 × AMD Opteron(tm) Processor 4386 @ 3.10 Ghz, 64GB of RAM per node, 260 16 cores per node, and each chain launched in parallel on separate cores. We assessed 261 convergence visually and calculated scale-reduction factors (Appendix D,  $\hat{R} < 1.1$  for all 262 parameters) (Gelman and Rubin 1992, Gelman and Hill 2009).

#### 264 Simulating the process

We performed four sets of simulations to (1) compare observed and simulated equilib-265 rium cover, (2) compare observed and simulated year- and location-specific cover, (3) forecast future equilibrium population states under projected climate change, and (4) make temporally-explicit forecasts of sagebrush cover starting the final year of our observations and ending in year 2098. Using the posterior distribution of model parameters, we sim-269 ulated a matrix of pixels equal to the size of the study area (13,689 pixels or matrix ele-270 ments). For simulations (1) and (3) we initialized all pixels with arbitrarily low cover (1%) 271 and then projected the model forward by randomly drawing climate covariates from the 272 observed climate time series (for 1) or a perturbed climate time series (for 3). We ran equi-273 librium simulations (1 and 3) for 2,000 time steps and then compared the output across 274

simulations, after discarding an initial 100 time steps. To calculate average future equilibrium sagebrush cover, we ran simulation (3) for each GCM and RCP scenario separately, 276 and then averaged the results over GCMs. For simulation (2), we initialized each pixel 277 with its actual percent cover value for time t and cell s and projected the model forward 278 one time step and compared the one-step ahead forecast with the observed value. For 279 simulation (4), we initialized each pixel with the final observed value in 2011 and then 280 projected the model forward based on GCM yearly weather projections. We ran these sim-281 ulations for each GCM and RCP scenario combination separately and then aggregated 282 the results over the GMCs by calculating the mean and the 90th percentiles for each RCP 283 scenario. 284 We used the posterior mean of each parameter for all simulations except for (4) where we 285 ran 50 simulations with unique sets of parameters from the chains. Random year effects were included in simulations by randomly drawing a posterior mean year effect  $(\beta_{0,t})$  for 287 each iteration (simulations 1 and 3), using the posterior mean year effect for a specific year 288 (simulation 2), or by a drawing a future-year random effect from the posterior mean and 289 standard deviation of the mean intercept (simulation 4, e.g.,  $\beta_{0,T} \sim \text{normal}(\bar{\beta}_0, \sigma_{\beta_0}^2)$  for 290 some future year T). Our simulation approach provides a reasonable and computationally 291 efficient approximation to the true posterior predictive mean when used in these scenarios 292 with our data. 293 We required future projections of climate for our study area to conduct the equilibrium 294 and temporally-explicit forecasts described above. Thus, we used the most recent climate 295 projections from the Intergovernmental Panel on Climate Change (IPCC), the Coupled 296 Model Intercomparison Project 5 (CMIP5; available online)<sup>2</sup>. The CMIP5 provides pro-297 jections from a suite of global circulations models (GCMs); we used projections from 18 298 GCMs (Table A1) that produced weather projections for three "Representative Concen-299

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<sup>2</sup>http://cmip-pcmdi.llnl.gov/cmip5/

tration Pathways": RCP 4.5, RCP 6.0, and RCP 8.5 (described online)<sup>3</sup>. The three RCPs correspond to stabilization of radiative forcing before 2100, after 2100, and ongoing in-301 crease in greenhouse gas emissions, respectively. 302 To simulate equilibrium sagebrush cover under projected future climate we applied average 303 projected changes in precipitation and temperature to the observed climate time series. 304 For each GCM and RCP scenario combination, we calculated average precipitation and 305 temperature over the 1950-2000 time period and the 2050-2098 time period. We then cal-306 culated the absolute change in temperature between the two time periods  $(\Delta T)$  and the 307 proportional change in precipitation between the two time periods  $(\Delta P)$  for each GCM 308 and RCP scenario combination. Lastly, we applied  $\Delta T$  and  $\Delta P$  to the observed 28-year 309 climate time series to generate a future climate time series for each GCM and RCP sce-310 nario combination. These generated climate time series were used to simulate equilibrium 311 sagebrush cover. We simulated equilibrium cover separately for each GCM and RCP sce-312 nario combination before averaging the results, but we show the average projected climate 313 changes across all models in Table 1. For the temporally-explicit forecasts we used yearly GCM projections from 2012 to 2098 315 to simulate the process starting from the end point of the remotely sensed sagebrush cover 316 data (ends in 2011). We aggregated daily GCM output for each GCM and RCP scenario 317 into the seasonal climate covariates used to fit our model. These yearly climate time series 318 were not aggregated further because we ran simulations for each GCM and RCP scenario, 319 rather than one simulation per RCP scenario averaged over GCMs. The key assumption of 320 our forecasting approach is that the historical correlations between weather and sagebrush 321 cover change will continue to hold in the future. 322

<sup>&</sup>lt;sup>3</sup>http://tntcat.iiasa.ac.at/RcpDb/

#### 323 Results

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Averaging across all GCMs, precipitation and temperature in our study area are projected
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   to increase; the magnitude of increase depends on the RCP scenario (Table 1). Trajecto-
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   ries of our climate covariates from GCM projections show similar trends (Fig. 2).
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   All parameters in our model converged on stable posterior distributions (Appendix D).
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   Only the laqPpt climate covariate can be considered important based on a 90% credible
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   interval, and it had a positive effect on sagebrush percent cover change (Fig. 3). In other
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   words, if the year 2000 water year was wetter than average, sagebrush cover would increase
   from the 2001 to the 2002 growing season. Other climate effects strongly overlapped zero
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   but their posterior means were positive, except for fall-through-spring precipitation the
   first year of a cover transition (t-1), whose posterior mean was negative (Fig. 3). The
   posterior mean for the spatial random effect, \eta, captured the overall spatial structure of
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   the observed data (Fig. E1). This indicates our choice of knot placement and dimension
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   reduction strategy was adequate for describing permanent spatial variation in the data.
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   When we simulated the pixel-based population model based on observed climate, it was
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   able to reproduce the spatial pattern of observed percent cover, averaged over time (Fig.
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   4A,B). Our model shows a tendency to underpredict perennially-low percent cover pixels
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   (Fig. 4C), but does a better job at predicting high cover pixels. Point predictions are most
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   confident, though slightly biased, in low percent cover pixels (Fig. 4D). The model is also
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   able to adequately reproduce observed dynamics when we make one-step-ahead predictions
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   based on observed climate and cover in the previous year for each pixel. When we made
   these in-sample, one-step-ahead forecasts, the model achieved an RMSE = 4.31, in units of
   percent cover. The Pearson's correlation between observations and predictions was 0.62.
   When we apply the fitted model to IPCC climate change scenarios, the model predicts
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   gains in sagebrush percent cover, on average (Figs. 5, 6A). The spatial effect remains
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   strong enough in low cover regions to counteract the positive effect of projected precip-
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itation increases (Fig. 5). Thus, our model predicts an increase in the heterogeneity of sagebrush cover because projected cover increases are smaller in low cover pixels than 350 in high cover pixels (Fig. 5 and Fig. F1). For the temporally-explicit forecasts, we show 351 spatially-averaged values and the associated uncertainty due to variability in GCM pro-352 jections, variability in model parameters, and uncertainty in our process model (Fig. 6A). 353 Based on our model and GCM projections, we forecast an average increase in sagebrush 354 cover at our study area, but a decrease is not outside the realm of possibility (shaded re-355 gions in Fig. 6A). The generally increasing trend reflects the positive effect of precipitation 356 on sagebrush cover change estimated for our study area (Fig. 3). We also show how our 357 model is capable of near-term forecasts in Fig 6B. 358

#### Discussion

Despite the need to forecast population responses to climate change over large spatial extents, as demonstrated by the wide application of species distribution models (e.g., Clark et al. 2014), landscape-scale population models for plant species remain more concept than reality (Schurr et al. 2012, Merow et al. 2014). We introduced a new approach that uses methods from the dynamic spatio-temporal modeling literature (e.g., Conn et al. 2015) to fit a population model to remotely-sensed estimated of plant percent cover. As a proof-of-concept, we applied our approach to a remotely-sensed data product of sagebrush percent cover from 1984 to 2011 in Wyoming (Homer et al. 2012). We first discuss our results specific to sagebrush ecology and response to climate, and then discuss the more general implications and limitations of our proposed approach.

#### 370 Sagebrush response to climate and climate change

The climate effects we estimated, based on cover data at 30 meter spatial resolution, are consistent with individual-level responses of sagebrush to climate-related variables. Re-

search on individual plants has shown that wetter winters are correlated with greater stem growth in sagebrush (Poore et al. 2009, Apodaca 2013) and that warmer spring tempera-374 tures may enhance sagebrush growth in cold climates by advancing the date of snowmelt 375 and increasing the length of the growing season (Perfors et al. 2003, Harte et al. 2015). In 376 agreement with those individual-level responses, posterior means for all precipitation and 377 temperature effects in our model were positive, except for the effect of fall-through-spring 378 precipitation in the first year of a cover transition (ppt1, Fig. 3). The cumulative amount 379 of precipitation the year before a cover transition (pptLag in our model) emerged as the 380 strongest predictor of sagebrush cover change (Fig. 3). However, mean estimates for the 381 climate effects are relatively weak (Fig. 3). 382 Such small effects could indicate that sagebrush are not very sensitive to interannual cli-383 mate variability, that our model is poorly specified, or that climate responses are difficult to detect using coarse-scale data. Given findings from previous research demonstrating the importance of precipitation and temperature to sagebrush growth (Pechanec et al. 1937, 386 Schlaepfer et al. 2011, Germino and Reinhardt 2014) and regeneration (Schlaepfer et al. 2014b), it is unlikely that sagebrush are insensitive to climate. We used aggregated climate covariates that may not completely capture the climate-dependence of sagebrush cover 389 change. However, the covariates we chose closely match the climate-related variables that 390 have been shown to drive sagebrush growth, survival, and regeneration (e.g., Dalgleish et 391 al. 2011, Schlaepfer et al. 2014b). More likely, aggregated estimates of plant abundance, 392 such as percent cover, mask interannual variability at the level of the individual plant and 393 makes it more difficult to detect the drivers of internanual variability. Additionally, we 394 chose not to downscale the Daymet weather data, meaning that in a given year all pixels 395 shared the same climate, which limits our statistical power. Nonetheless, our model was 396 capabable of detecting climate effects that agree with our knowledge of sagebrush ecology 397 and allowed us to make forecasts of future sagebrush abundance. 398

99 Under projected climate, we forecast modest increases in sagebrush cover for all RCP

scenarios in the long-term (Figs. 5,6A). Our forecasts reflect both the estimated effect size for each climate covariate and the amount of change in those covariates projected by 401 the GCMs. Cumulative precipitation the year before a given year-to-year transition was the strongest standardized effect (Fig. 3), but precipitation is projected to increase only 403 moderately (Table 1, Fig. 2) and the negative effect of fall-through-spring precipitation in 404 the first year of a cover transition (ppt1) had an offsetting effect. In contrast, mean spring 405 temperature had a weak positive effect on sagebrush cover changes, but the projected 406 temperature increase is large (Table 1, Fig. 2). 407 An interesting consequence of explicitly modeling the effect of space (through  $\eta$ ) is the 408 forecasted increase in spatial heterogeity (Fig. F1). Our model projects little change in 409 low cover pixels but substantial increases in the cover of high cover pixels (Fig. 5). Had 410 we not explicitly accounted for spatial-dependence in our model, we would have missed 411 this result. We were unable to attribute the spatial structure apparent in the data (Fig. 4A) and approximated by our model ( $\eta$ , Fig. E1) to slope, aspect, elevation, or coarse soil 413 type (results not shown). The lack of correlation between  $\eta$  and landscape factors leads us to conclude that the spatial structure in our data set emerges from some combination of 415 fine-scale microhabitat associations and legacy effects of disturbance. 416 While we forecast an increase in sagebrush cover at our study area, SDM studies typically 417 project dramatic declines in climate suitability for sagebrush with warming (Shafer et 418 al. 2001, Neilson et al. 2005, Bradley 2010, Schlaepfer et al. 2012, Still and Richardson 419 2015). There are many potential explanations for this apparent contrast, ranging from 420 the type of model used to the particular climate covariates considered, but the location of 421 our study area in a cold portion of sagebrush's geographic distribution may be the best. 422 The response of plant species to weather varies along climatic gradients (e.g., Clark et 423 al. 2011, Vanderwel et al. 2013), and sagebrush are especially sensitive to the timing of 424 snowmelt because their growth depends on recharge of deep soil water (Schlaepfer et al. 2012, 2014a). In warmer parts of the sagebrush range, earlier snowmelt is detrimental to

growth and survival (Pechanec et al. 1937, Schlaepfer et al. 2011, Germino and Reinhardt 2014). In colder regions, earlier snowmelt due to temperature increases can lengthen the growing season and increase sagebrush occurrence and cover (Schlaepfer et al. 2012, 2014a). 429 The average annual temperature across the sagebrush steppe biome is  $6.9^{\circ}$ C (sd = 1.6; 430 Schlaepfer et al. 2011), whereas average temperature at our study area from 1980 to 2013 431 was 4.6°C (calculated from Daymet estimates). Our study area lies at the cold extreme of 432 the sagebrush range, thus the weak positive response to temperature that we estimated 433 (Fig. 3) and carried through to our forecasts (Figs. 5,6A) likely represents the positive ef-434 fect of earlier snowmelt, and thus higher moisture availability early in the growing season. 435 A previous analysis of a different subset of the remote sensing data set we used also came 436 to a different conclusion, projecting future sagebrush decline (Homer et al. 2015). The 437 discrepancy between the results of Homer et al. (2015) and ours primarily reflects a differ-438 ence in the climate projections used for projecting future changes rather than differences in our inference about responses to historical variation in weather. Homer et al. (2015) used downscaled weather projections from a single model from the IPCC 4 whereas we used native-resolution weather projections from a suite of models from the IPCC 5. Consistent with our study, Homer et al. (2015) found a generally positive relationship between 443 pixel-level sagebrush cover and precipitation, but the future climate scenario they chose 444 resulted in a mean decrease in precipitation, causing a predicted decline in sagebrush cover. 445 A second difference is that Homer et al. (2015) relied on regressions of decadal trends in 446 sagebrush cover against decadal trends in climate at the level of individual pixels. Our 447 current approach is fundamentally different in that we specifically model the impact of in-448 terannual variation in weather on year-to-year changes in sagebrush cover using a dynamic 449 population model. Thus, our model takes advantage of the additional information con-450 tained within short-term responses to climate fluctuations. Lastly, the location of Homer 451 et al.'s (2015) study area is, on average, at a lower elevation than our current study area. 452 The geographic difference results in different historical and projected climate, and, as discussed above, sagebrush may respond differently to warming depending on geographic location.

We projected sagebrush cover to the end of this century, but an important feature of our 456 approach is that it can also produce short-term forecasts (Fig. 6B). For example, we could 457 forecast the effects of a multi-year regional drought on sagebrush cover (Debinski et al. 458 2010). Validating spatial population models against short-term predictions would give 450 ecological forecasters a way to assess and improve the performance of their models, which 460 would greatly increase our confidence in long-term forecasts. This cycle of prediction, vali-461 dation, and refinement is missing from most currently available population-level forecasts 462 of the effects of climate change. 463

# A landscape-scale plant population modeling approach: opportunities and limitations

Our approach for modeling plant populations overcomes two major hurdles for spatially-466 explicit population models. First, we used moderate resolution, remotely-sensed estimates 467 of sagebrush percent cover as a response variable, enabling us to fit a dynamic population 468 model over a large spatial extent. Species-specific estimates of plant abundance are becoming commonplace as remote sensing technology develops (e.g., Baldeck and Asner 2014, Colgan and Asner 2014), and in a few years several remotely-sensed time series may be available. Second, borrowing from new methods in spatio-temporal modeling of animal abundance (e.g., Conn et al. 2015), we fit the model using a dimension reduction strategy that accounted for spatial autocorrelation within a feasible computational time. Account-474 ing for spatial autocorrelation allows for statistically rigorous inference on the effects of 475 interannual climate on sagebrush cover change in our study region. The spatial covariance 476 structure also provided a way to obtain spatially-explicit predictions at a resolution be-477 low that of the climate covariates (i.e., within the study region; Figs. 4,5). Our approach

is amenable to any spatially-explicit time series of plant abundance, but we see remotesensing datasets offering the largest opportunity for landscape-scale population models. 480 Furthermore, it would be straighforward to include additional covariates related to dis-481 turbance (e.g., fire) or biotic interactions. Thus, we see our method as a first step toward 482 coupling the mechanistic power of dynamic population models with the spatial extent of 483 SDMs. The spatially- and temporally-explicit forecasts made possible by our approach 484 should be especially relevant to land management decisions based on near-term forecasts. 485 Several a priori modeling decisions determined the spatial extent and resolution of our re-486 sults. We retained the native spatial resolution of the remote sensing data ( $30 \times 30$  meters). 487 This constrained the extent that we could reasonably model because of the computational 488 challenges in estimating spatial random effects. Even with our dimension reduction tech-489 nique, modeling a larger area at this resolution would require a greater number of spatial knots, and computation time would increase substantially (Wikle 2010). To model a larger spatial extent, we could aggregate the original remote-sensing time series data to a coarser 492 spatial resoluation. This would allow us to model a much greater spatial extent with a similar number of knots and a similar computation time. While a coarser scale model would lose some fine-scale detail, it could be applied to a much larger area, potentially gaining 495 some strength in estimating climate effects by spanning a greater range of climate vari-496 ation. However, gains made by incorporating greater regional variability by modeling at 497 a coarser resolution could be offset by the loss of information inherent when aggregating 498 plant responses into larger pixels. 499 Our spatial extent and resolution also affected our use of climate covariates. We did not 500 downscale Daymet data to match the spatial resolution of the sagebrush data, meaning 501 that in each year all pixels share the same climate covariates. This is a potential limita-502 tion of our study, and could explain the weak effect of climate covariates that we observed 503 (Fig. 3). We also did not allow different portions of our study area to respond to climate in different ways. Doing so would require spatially-varying climate effects and a substan-

tial increase in computational time. However, in future applications, it will be important to allow climate effects to vary over space to better capture reality. Conn et al. (2015) 507 provide examples of how such spatiotemporal interactions can be included in abundance 508 models. We might expect climate effects to interact with spatial covariates such as soil 509 type, slope, and aspect. In our relative small study area, we did not observe important 510 effects of these factors, but it is possible to include such abiotic data layers as predictors 511 when fitting models at larger spatial extents where variability may be greater. 512 The uncertainty associated with our forecasts highlights several opportunities to improve 513 our approach. First, parameter uncertainty could be reduced by regulating the variance 514 of the posterior distributions of climate covariates via ridge regression (e.g., Gerber et al. 515 2015). Second, uncertainty associated with climate projections could be reduced by identi-516 fying GCMs that perform exceptionally well for a particular study location (e.g., Rupp et al. 2013). Such considerations will be important when forecasting in support of particular 518 management objectives. However, knowledge of uncertainty is itself important knowledge 519 for management (Bradshaw and Borchers 2000). Deciding that no actions should be taken based on the data at hand is itself a management decision.

#### 22 Conclusion

We introduced a new approach to fitting and simulating population models at large spatial extents with plant population data derived from state of the art remote sensing. We used the model to forecast future abundances of sagebrush in Wyoming and found that at our relatively cold site sagebrush should be expected to increase in cover. As more species-level remote sensing datasets become available and computing power increases this approach will be applicable to a wider number of species and even larger spatial extents. Future modeling could include the effects of non-climate drivers – including the effects of species interactions and disturbance. For sagebrush, including fire and competition with non-native annual grasses in the model may be especially important for a complete assesment of the effects of climate change (Bradford and Lauenroth 2006). Fortunately, our
spatio-temporal modeling framework could easily be extended to model additional species
and dynamic processes as the data become availabe. The approach we have developed here
fills an important gap in spatial scales between species distribution models and local-scale
demographic population models.

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556	is for o	descriptive	purposes	only and d	loes not im	ply endorse	ement by th	e U.S. gove	ernment.

# Tables

Table 1: Projected changes in temperature and precipitation at our study area from CMIP5 average GCM projections for 2050-2100 relative to average temperature and precipitation from 1950-2000.

Emissions Scenario	Absolute change in temperature	Percentage change in precipitation
RCP 4.5	2.98°	8.94%
RCP 6.0	3.13°	8.64%
RCP 8.5	4.79°	11.0%

# 558 Figures

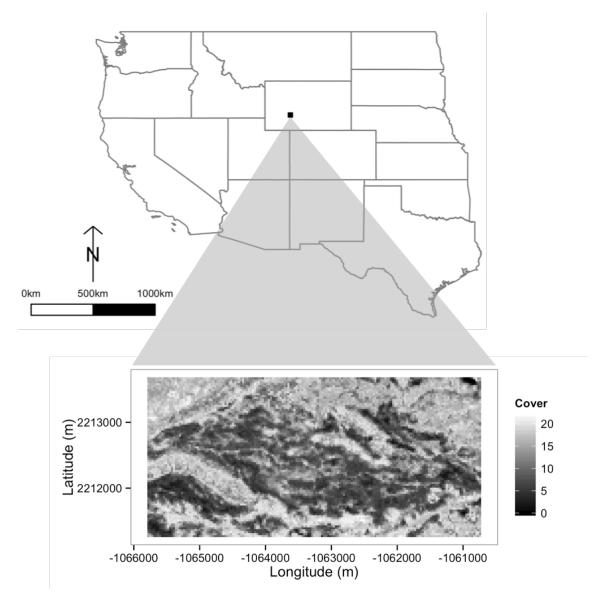


Figure 1: Location of the  $5{,}070 \times 2{,}430$  meter kilometer study area in southwestern Wyoming (black rectangle) and a snapshot of the percent cover data in 1984 (detailed inset). Scale bar is relevant for US map only; refer to axes labels on the detailed inset of sagebrush percent cover for scale of the study area.

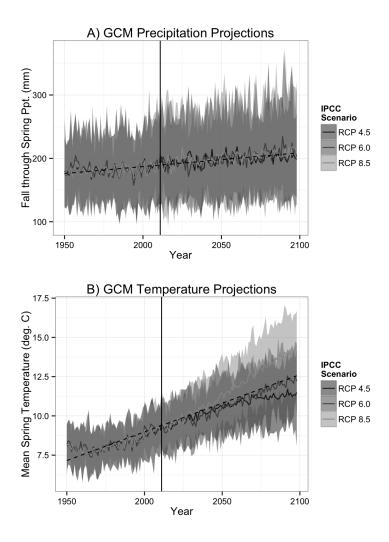


Figure 2: GCM yearly weather hindcasts (before solid line at 2011) and projections (after solid line at 2011) for precipitation (A) and temperature (B) at our study area in southwestern Wyoming (see Fig. 1).

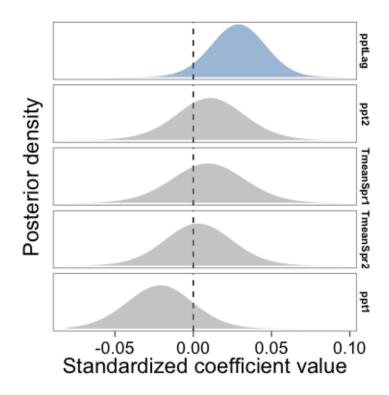


Figure 3: Posterior distributions of climate covariates. The x-axis is the standardized coefficient value because we fit the statistical model for sagebrush cover change (Eq. 7) using standardized covariate values. Only cumulative precipitation at time t-2 (pptLag) is important (shown in blue; 90% CI does not overlap zero). Climate covariate codes: pptLag = water year precipitation in year t-2; TmeanSpr1 = year t-1 average spring temperature; ppt2 = year t fall through summer precipitation; TmeanSpr2 = year t average spring temperature; ppt1 = year t-1 fall through summer precipitation.

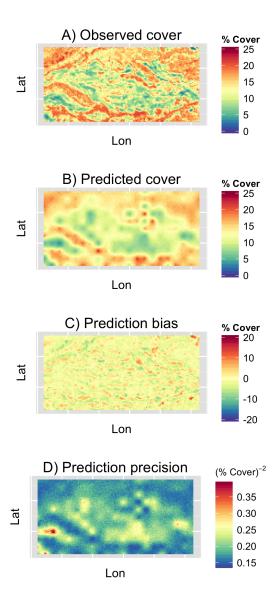


Figure 4: Observed and predicted (A, B) equilibrium percent cover of sagebrush, and prediction bias and precision (C, D) for the extent of our spatial area at 30-m resolution. Observed equilibrium sagebrush cover (A) is the temporal mean of each pixel from the 28 year time series. Prediction results are from simulations that use posteior mean parameter values. Precision in (D) represents the variability of each pixel over the course of the 2,000 iteration simulation. Axes definitions: Lat = latitude; Lon = longitude.

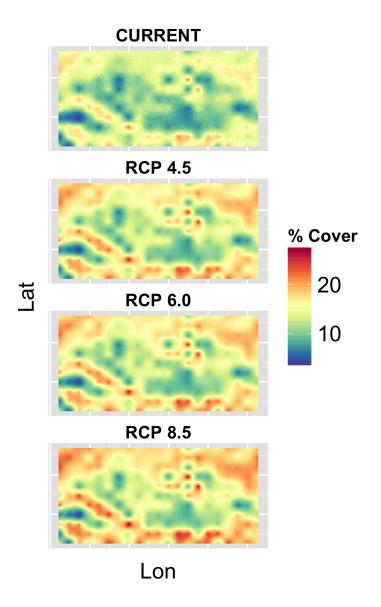


Figure 5: Projected equilibrium cover under three IPCC climate change scenarios (RCP = Representative Concentration Pathways) for our study area in southwestern Wyoming. The top panel shows equilibrium cover based on simulations using observed climate. Subsequent panels show equilibrium cover based on perturbed climate for each RCP scenario. Forecasts are based on the projected climate changes in Table 1 applied to the observed climate time series used to fit the statistical model. We used posterior mean parameter estimates for all simulations. Color bar indicates percent cover of sagebrush in each 30x30 meter pixel. Axes definitions: Lat = latitude; Lon = longitude.

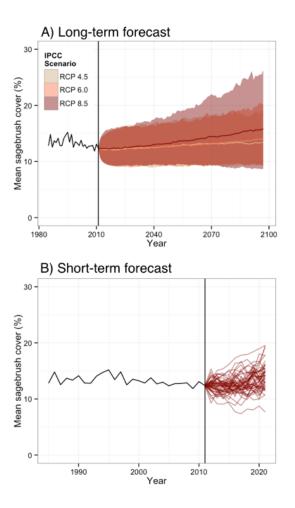


Figure 6: Observed (black line before 2011) and forecasted (colored lines after 2011) sage-brush percent cover. Long-term forecasts (A) were made for three IPCC emissions scenarios (RCPs 4.5, 6.0, and 8.5) and are for the period of 2012 to 2098. Shaded regions show limits of the 5th and 95th quantiles for simulations conducted using 50 different sets of parameters from the MCMC output. Lines show mean trajectories. Uncertainty in forecasts arises from uncertainty in GCM projections, uncerainty around the ecological process, and uncertainty around parameter estimates. Before calculating the mean and quantiles for each year across parameter sets and GCMs, we averaged percent cover over the 13,689 pixels. Panel (B) shows an example short-term forecast (10 years) using the MIROC5 GCM projections under RCP 8.5. Each line shows a forecast from one parameter set.

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