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# The locations of features in the mass distribution of merging binary black holes are robust against uncertainties in the metallicity-dependent cosmic star formation history.

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ABSTRACT

New observational facilities are probing astrophysical transients such as stellar explosions and gravitational wave (GW) sources at ever increasing redshifts, while also revealing new features in source property distributions. To interpret these observations, we need to compare them to predictions from stellar population models. Such models require the metallicity-dependent cosmic star formation history (S(Z,z)) as an input. Large uncertainties remain in the shape and evolution of this function. In this work, we propose a simple analytical function for S(Z,z). Variations of this function can be easily interpreted, because the parameters link to its shape in an intuitive way. We fit our analytical function to the star-forming gas of the cosmological TNG100 simulation and find that it is able to capture the main behaviour well. As an example application, we investigate the effect of systematic variations in the S(Z,z) parameters on the predicted mass distribution of locally merging binary black holes (BBH). Our main findings are: I) the locations of features are remarkably robust against variations in the metallicity-dependent cosmic star formation history, and II) the low mass end is least affected by these variations. This is promising as it increases our chances to constrain the physics that governs the formation of these objects.

### 1. INTRODUCTION

A myriad of astrophysical phenomena depend criti-23 cally on the rate of star formation throughout the cosmic 24 history of the Universe. Exotic transient phenomena, 25 including (pulsational) pair-instability supernovae, long 26 gamma-ray bursts and gravitational wave (GW) events 27 appear to be especially sensitive to the metallicity at 28 which star formation occurs at different epochs through-<sup>29</sup> out the Universe (e.g., Langer et al. 2007; Fruchter et al. 30 2006; Abbott et al. 2016). Gravitational astronomy in 31 particular has seen explosive growth in the number of 32 detections in the past decade (Abbott et al. 2018, 2020, 33 2021a), while theoretical predictions vary greatly due to 34 uncertainties in the aforementioned metallicity of star 35 formation (e.g., Santoliquido et al. 2021; Broekgaarden 36 et al. 2021a). In order to correctly model and interpret 37 these observations, it is thus fundamental to know the 38 rate of star formation at different metallicities through-39 out cosmic history; i.e. the metallicity-dependent cosmic

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 $_{40}$  star formation history  $(\mathcal{S}(Z,z),$  see also the recent re- $_{41}$  view by Chruślińska 2022). Throughout this work little  $_{42}$  z refers to the redshift and Z to the metallicity of star  $_{43}$  formation.

It is difficult to observationally constrain the shape of S(Z,z) – (see e.g., Chruślińska & Nelemans 2019; Boco et al. 2021, for discussion of relevant observational caveats). Even at low redshifts, the low metallicity part of the distribution is poorly constrained (Chruślińska et al. 2021). Nonetheless, several methods exist to estimate the metallicity-dependent cosmic star formation history.

The first method is based on empirical scaling relations, linking galaxy properties like stellar mass  $M_{\star}$ , metallicity Z, and overall star-formation rate density SFRD(z), with the GSMF galaxy stellar mass function, GSMF (see e.g. Dominik et al. 2013). However, the applied methods to infer galaxy properties and subsequently scaling relations such as the MZ-relation differ greatly, which makes it difficult to interpret these results in a consistent way (e.g., Kewley & Ellison 2008; Maiolino & Mannucci 2019; Cresci et al. 2019). Morevover, observations are generally incomplete at high red-

63 shifts and low galaxy luminosity (e.g., Chruślińska et al. 64 2021).

One can also directly extract the metallicity66 dependent cosmic star formation history from cosmo67 logical simulations (e.g. Mapelli et al. 2017; Briel et al.
68 2022a). However, these simulations currently lack the
69 resolution to resolve the lowest mass galaxies, and their
70 variations in S(Z,z) span a smaller range than those ob71 served in observationally-based models (Pakmor et al.
72 2022).

Alternatively, one can combine analytical models for the observed overall star-formation rate density, SFRD(z), like those from Madau & Dickinson (2014) for Madau & Fragos (2017), and convolve this with an assumed function for the shape of the cosmic metallicity density distribution, such as was was done in e.g., Langer & Norman (2006) and the phenomenological model in Neijssel et al. (2019).

In this work we follow the latter approach and propose a flexible analytical model for  $\mathcal{S}(Z,z)$  that can be fit to the output of both cosmological simulations, and observational data constraints where available. In contrast to earlier work, we adopt a skewed-lognormal distribution of metallicities that can capture the asymmetry in the low and high metallicity tails.

The purpose of this proposed form is twofold. First 89 of all, the form we propose allows for an intuitive inter-90 pretation of the free parameters. This allows us to get 91 better insight of the impact of changes in these param-92 eters on the inferred ranges of astrophysical transients 93 (as we demonstrate in Section 4 using GW predictions as 94 an example). By adopting an analytical, parametrized 95 form for S(Z,z), the large uncertainties can be system-96 atically explored. Secondly, both the large complica-97 tions in observational constraints, and the many uncer-98 tainties in cosmological simulations call for a generalised 99 form of  $\mathcal{S}(Z,z)$  that can be easily updated when new in-100 formation becomes available. In particular, the advent 101 of observations with the James Webb Space Telescope 102 promises a new era of high-redshift metallicity studies 103 of previously unexplored regimes (e.g., Sanders et al. 104 2022). We hope that this form will facilitate the flexibility needed to keep up with observations.

We describe our model for  $\mathcal{S}(Z,z)$  in Section 2. We fit our model to the star-forming gas in the Illustris TNG100 simulation in Section 3, and demonstrate an example application of our model by systematically varying the parameters that determine the shape of  $\mathcal{S}(Z,z)$  and investigate their impact on the local distribution of merging BBH masses in Section 4. We summarise our findings in Section 5.

Throughout this work, we adopt a universal Kroupa initial mass function (Kroupa 2001) with the mass limits  $0.01-200{\rm M}_{\odot}$  and a flat  $\Lambda{\rm CDM}$  cosmology with  $\Omega_{\rm M}=0.31,~\Omega_{\Lambda}=0.69$  and  $H_0=67.7{\rm km\,s^{-1}\,Mpc^{-1}}$  (Planck Collaboration et al. 2020).

## 2. A CONVENIENT ANALYTIC EXPRESSION FOR THE METALLICITY-DEPENDENT COSMIC STAR FORMATION HISTORY

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We assume that the metallicity-dependent cosmic star formation history can be separated into two independent functions (as was assumed in e.g., Langer & Norman 2006, but cf. Chruślińska 2022 for a discussion on the validity on this assumption).

$$S(Z, z) = SFRD(z) \times \frac{dP}{dZ}(Z, z).$$
 (1)

The first term is the star formation rate density, SFRD(z), that is the amount of mass formed in stars per unit time and per unit comoving volume at each redshift, z. The second term,  $\mathrm{dP}/\mathrm{dZ}(Z,z)$ , is a probability density distribution that expresses what fraction of star formation occurs at which metallicity, Z, at each redshift.

### 2.1. The cosmic metallicity density distribution

For the probability distribution of metallicities we draw inspiration from the approach by e.g., Neijssel et al. (2019) who used a log-normal distribution for their phenomenological model. Unfortunately, this expression does not capture the asymmetry well that we see in the results of the cosmological simulations, which show an extended tail in  $\log_{10} Z$  towards low metallicity, combined with a very limited tail towards higher metallicity. To capture this behaviour we adopt a skewed-log-normal distribution instead. This is an extension of the normal distribution that introduces an additional shape parameter,  $\alpha$ , that regulates the skewness (first introduced by O'Hagan & Leonard 1976).

The skewed-log-normal distribution of metallicities is defined as:

$$\frac{\mathrm{dP}}{\mathrm{dZ}}(Z) = \frac{1}{Z} \times \frac{\mathrm{dP}}{\mathrm{d}\ln Z}$$

$$= \frac{1}{Z} \times \frac{2}{\omega} \underbrace{\phi\left(\frac{\ln Z - \xi}{\omega}\right)}_{(a)} \underbrace{\Phi\left(\alpha \frac{\ln Z - \xi}{\omega}\right)}_{(b)}, \quad (2)$$

where (a) is the standard log-normal distribution,  $\phi$ ,

$$\phi\left(\frac{\ln Z - \xi}{\omega}\right) \equiv \frac{1}{\sqrt{2\pi}} \exp\left\{-\frac{1}{2} \left(\frac{\ln Z - \xi}{\omega}\right)^2\right\} \quad (3)$$

and (b) is the new term that allows for asymmetry, which is equal to the cumulative of the log-normal distribution,  $\Phi$ ,

$$\Phi\left(\alpha \frac{\ln Z - \xi}{\omega}\right) \equiv \frac{1}{2} \left[ 1 + \operatorname{erf}\left\{\alpha \frac{\ln Z - \xi}{\omega \sqrt{2}}\right\} \right]. \tag{4}$$

This introduces three parameters,  $\alpha, \omega$  and  $\xi$ , each of which may depend on redshift. The first parameter,  $\alpha$ , is known as the "shape". It affects the skewness of the distribution and thus allows for asymmetries between metallicities that are higher and lower than the mean. The symmetric log-normal distribution is recovered for  $\alpha=0$ . The second parameter,  $\omega$  is known as the "scale". It provides a measure of the spread in metallicities at each redshift. Finally,  $\xi$ , is known as the "location", because this parameter plays a role in setting the mean of the distribution at each redshift.

The location and the mean of the metallicity distribution—170 To obtain a useful expression for the redshift dependence of the "location"  $\xi(z)$  we first express the expectation value or mean metallicity at a given redshift

$$\langle Z \rangle = 2 \exp\left(\xi + \frac{\omega^2}{2}\right) \Phi\left(\beta \omega\right)$$
 (5)

174 where  $\beta$  is

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$$\beta = \frac{\alpha}{\sqrt{1 + \alpha^2}}. (6)$$

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176 (For a more extended derivation of the moments of the 177 skewed-log-normal, see e.g., Wang et al. (2019).)

For the evolution of the mean metallicity with redshift we follow Langer & Norman (2006) and the phenomenological model from Neijssel et al. (2019) in assuming that the mean of the probability density function of metallicities evolves with redshift as:

$$\langle Z \rangle \equiv \mu(z) = \mu_0 \cdot 10^{\mu_z \cdot z},\tag{7}$$

where  $\mu_0$  is the mean metallicity at redshift 0, and  $\mu_z$  determines redshift evolution of the location. Equating this to Equation 5, we get an expression for  $\xi(z)$ ,

$$\xi(z) = \ln\left(\frac{\mu_0 \cdot 10^{\mu_z \cdot z}}{2\Phi(\beta\,\omega)}\right) - \frac{\omega^2}{2}.\tag{8}$$

The scale (and variance) of the metallicity distribution—
We will also allow the "scale"  $\omega$  to evolve with redshift in a similar manner,

$$\omega(z) = \omega_0 \cdot 10^{\omega_z \cdot z}.\tag{9}$$

where  $\omega_0$  is the width of the metallicity distribution at z = 0, and  $\omega_z$  the redshift evolution of the scale.

Note that the width, w(z) is not the same as the variance. The variance,  $\sigma^2(z)$ , can be expressed as

$$\sigma^2(z) = \omega^2(z) \left( 1 - \frac{2\beta^2}{\pi} \right) \tag{10}$$

197 Asymmetry of the metallicity distribution:  $\alpha$ —The skew198 ness  $\alpha$  could in principle also be allowed to evolve with 199 redshift (e.g.,  $\alpha(z) = \alpha(z=0)10^{\alpha_z \cdot z}$ ). However, we find 200 no significant improvement over the simpler assumption 190 where alpha is kept constant. Note that the redshift 1902 evolution of the 'scale' (eq. 9), already captures similar 1903 behaviour in our current formalism. We therefore adopt 1904  $\alpha = \alpha(z=0)$  and  $\alpha_z = 0$ .

In summary, Equation 2 becomes:

$$\frac{\mathrm{dP}}{\mathrm{dZ}}(Z,z) = \frac{2}{\omega(z)Z} \times \phi\left(\frac{\ln Z - \xi(z)}{\omega(z)}\right) \Phi\left(\alpha \frac{\ln Z - \xi(z)}{\omega(z)}\right). \tag{11}$$

where  $\xi(z)$  and  $\omega(z)$  are defined in Equations 8 and 9 respectively and we have assumed  $\alpha$  to be constant.

2.2. The overall cosmic star formation rate density

For the star formation rate density, we assume the analytical form proposed by Madau & Dickinson (2014),

SFRD(z) = 
$$\frac{d^2 M_{SFR}}{dt dV_c}(z) = a \frac{(1+z)^b}{1 + [(1+z)/c]^d}$$
 (12)

 $_{213}$  in units of  $[{
m M}_{\odot}\,{
m yr}^{-1}\,{
m cMpc}^{-3}]$ . This introduces four  $_{214}$  parameters: a which sets the overal normalisation and  $_{215}$  which has the same units as SFRD(z) and b,c and d  $_{216}$  which are unitless and which govern the shape of the  $_{217}$  overal cosmic star formation rate density with redshift.

Lastly, we combine equations 11 and 12 to form a full metallicity specific star formation rate density as described in equation 1.

### 222 3. FIT AGAINST COSMOLOGICAL SIMULATION

We fit our new functional form of S(Z,z) as defined by equations 1, 11 and 12 to the IllustrisTNG cosmological simulations. We simultaneously fit for the following nine free parameters  $\alpha, \mu_0, \mu_z, \omega_0, \omega_z$ , which govern the metallicity dependence and a,b,c and d, which set the overall star-formation rate density. Below we briefly discuss the IllustrisTNG simulations, and elaborate on our fitting procedure.

## 3.1. IllustrisTNG Cosmological simulations

Although here, we only fit our model to the TNG100 simulation, our prescription can be easily be used to fit other simulated or observational data of the metallicity-dependent cosmic star formation history.

We provide a Jupyter notebook to facilitate this fit here: https://github.com/LiekeVanSon/SFRD\_fit/blob/main/ src/scripts/Fit\_To\_Cosmological\_Simulation.ipynb

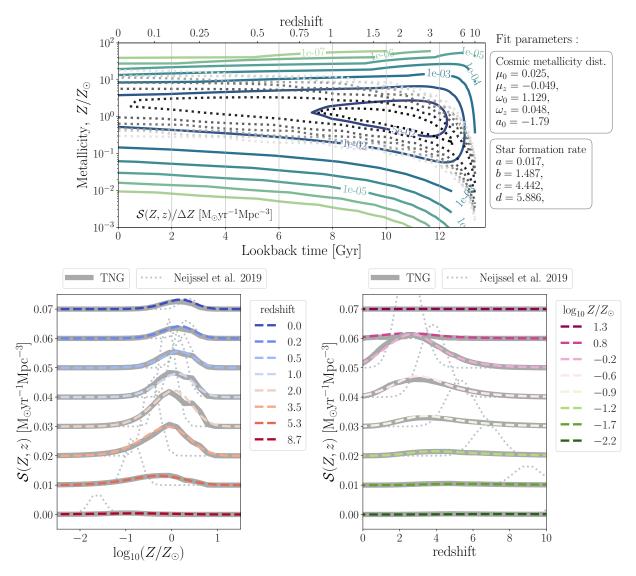


Figure 1. Our fiducial S(Z, z) model, adopting the best fitting parameters (listed on the top right) to fit the TNG100 simulations. The top panel shows the full two dimensional S(Z, z) linear in time. Contours range from  $10^{-7} - 10^{-2} \text{M}_{\odot} \text{ yr}^{-1} \text{ Mpc}^{-3}$ . The bottom left (right) panel shows slices of the distribution in redshift (metallicity). Each slice is displaced by  $0.01 \text{M}_{\odot} \text{ yr}^{-1} \text{ Mpc}^{-3}$  (note the linear scale of S(Z, z) in the bottom panel). We show the TNG100 simulation data with thick gray lines. For comparison, we also show the phenomenological model from Neijssel et al. (2019) in all panels with grey dotted lines. The bottom panels show that our analytical model adequately captures the shape of the S(Z, z) from TNG100.

The IllustrisTNG-project (or TNG in short) considers galaxy formation and evolution through large-scale cosmological hydrodynamical simulations (Springel et al. 2018; Marinacci et al. 2018; Nelson et al. 2018; Pillepich et al. 2018a; Naiman et al. 2018; Nelson et al. 2019a; Pillepich et al. 2019). Such simulations provide the tools to study parts of the Universe that are not easily accessible by observations. In particular of interest for this work, they simulate the high redshift enrichment of galaxies and the tail of low metallicity star formation at low redshift.

The models implemented in the publicly available TNG simulations (Nelson et al. 2019b)<sup>2</sup> have lead to many successes. These models where calibrated at the resolution of the TNG100 simulation, hence TNG100 is expected to provide the best overall agreement to global properties (like the star formation rate density). This is why we adopt the TNG100 simulation as our fiducial simulation. For a more extended discussion focused on the processes that govern the creation, distribution and mixing of metals in in the TNG simulations, we re-

<sup>&</sup>lt;sup>2</sup> https://www.tng-project.org/

257 fer to Pakmor et al. (2022). In short, star formation in 258 the TNG simulations is calibrated against the Kenni-259 cutt-Schmidt relation (Schmidt 1959; Kennicutt 1989), 260 using an effective equation of state (Springel & Hern-261 quist 2003). The stellar metallicity yields are an updated version of the original Illustris simulations as de-263 scribed in Pillepich et al. (2018b). Star particles deposit 264 metals into the gas through type Ia and type II super-265 novae, as well as through asymptotic giant branch stars. 266 The TNG simulations have been shown to match observational constraints on the mass-metallicity relation of galaxies up to z=2 (Torrey et al. 2019), as well as iron abundances (Naiman et al. 2018), metallicity gradients 270 within galaxies at low redshift (Hemler et al. 2021), and 271 the reduction of star formation in the centers of star-272 forming galaxies (Nelson et al. 2021). Several studies 273 have used the TNG simulations to make predictions for 274 astronomical transient sources (e.g. Briel et al. 2022a; 275 Bavera et al. 2022; van Son et al. 2022). Out of the four 276  $\mathcal{S}(Z,z)$  variations explored, Briel et al. (2022a) find that 277 TNG provides one of the best agreements between ob-278 served and predicted cosmic rates for electromagnetic 279 and gravitational-wave transients, when combined with their fiducial binary population synthesis model.

On the other hand, large uncertainties and crude ap-282 proximations remain in all contemporary cosmological 283 simulations, thus also in the TNG simulations. Gen-284 erally, some of the chemical evolution of galaxies in 285 cosmological simulations is unresolved, and thus de-286 pends strongly on the implemented 'sub-grid physics'. <sup>287</sup> A known uncertainty is that dust is not included in the 288 TNG simulations, which could mean that metallicity of 289 the star-forming gas is overestimated. Feedback from ac-290 tive galactic nuclei is not well understood theoretically 291 and is described in an approximate manner (Springel 292 et al. 2005; Weinberger et al. 2017). Furthermore, all 293 stellar winds mass loss from massive stars, binary interactions and their ionising effects are ignored (e.g. Dray <sup>295</sup> et al. 2003; Smith 2014; Götberg et al. 2020; Doughty & <sup>296</sup> Finlator 2021; Farmer et al. 2021; Goswami et al. 2022). Moreover, the uniform ionising UV background is turned 298 on abruptly at z=6. This crucially impacts the amount 299 of low metallicity star formation at high redshift as it 300 allows small galaxies to produce more stars than what 301 would be expected for a gradually increasing UV background that reaches full strength at z=6. All these 303 uncertainties underline the need for a flexible approximation of the S(Z,z), that can be easily updated when 305 cosmological models and sub-grid physics are updated.

We fit equation 1 to the metallicity-dependent star formation rate of the star-forming gas in the TNG100 simulation. For this we use a binned version of the TNG data  $S(Z,z)_{\rm sim}$ . We consider metallicities between  $\log_{10} Z = -5$  to  $\log_{10} Z = 0$  in 30 bins, where we use  $\log_{12} Z_i$  to refer to the logarithmic centres of the bins. We given star formation in metallicities  $\log_{10} Z \leq -5$  as formation rate in these simulations. We consider bins in redshifts between z=0 and z=10, with a step size of dz=0.05, where  $z_j$  refers to the centres of the bins.

### 3.3. Optimisation function

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To find a solution we use a method based on the sum of the quadratic differences between the simulations and our fit function. Using a vanilla  $\chi$ -squared approach does not serve our purposes very well as it does a poor job in fitting regions where the star formation is very low. Using a  $\chi$ -squared approach on the logarithm of the function instead places far too much weight on trying to fit the star formation rate in regions where the rate is very low or not even significant. After experimenting, we find that the following approach gives us satisfactory results.

We first consider a given redshift  $z_j$ . For this redshift we compute the sum of the squared residuals between the cosmological simulation and our fit. This is effectively the square of the  $l^2$ -norm:

$$\chi^{2}(z_{j}) \equiv \sum_{Z_{i}} \left( \mathcal{S}(Z_{i}, z_{j})_{\text{sim}} - \mathcal{S}(Z_{i}, z_{j})_{\text{fit}} \right)^{2}. \tag{13}$$

Here, the variable  $Z_i$  runs over all metallicity bins. We are particularly interested in properly fitting the low metallicity star formation at high redshifts. At high redshifts, the overall star-formation rate density is generally lower. To ensure that our fitting procedure gives sufficient weight to the behaviour at all redshifts, we introduce a penalisation factor to somewhat reduce the contribution of redshifts where the peak of cosmic star formation occurs, while increasing the weight at redshifts where the overall star-formation rate density is lower. To achieve this we divide  $\chi^2(z_j)$  by the star formation  $\sum_{Z_i} \mathcal{S}(Z_i, z_j)$  per redshift bin before adding the contribution of all redshifts. Our final expression for the cost function reads

$$\chi = \sum_{z_j} \frac{\chi^2(z_j)}{\sum_{Z_i} \mathcal{S}(Z_i, z_j)}$$
 (14)

To minimize this cost funciton, we use scipy optimize minimize from SciPy v1.6.3 which implements the quasi-Newton method of Broyden, Fletcher, Goldfarb, and Shanno (BFGS, Nocedal & Wright 2006).

### 3.4. Resulting S(Z,z)

Our best fitting parameters are listed in Table 1. With these fit parameters,  $\chi^2(z_j)$  is smaller than  $2 \cdot 10^{-4}$  at any given redshift. We will refer to the  $\mathcal{S}(Z,z)$  with the parameters listed in Table 1 as our fiducial model.

In Figure 1 we show our fiducial model at different redshifts and metallicities. We also show the overall starformation rate density SFRD(z) in Figure 2. In general, our analytical model captures the metallicity-dependent cosmic star formation history in the TNG100 simula-365 tions well (bottom panels of Figure 1). The skewed-366 log normal metallicity distribution is able to reproduce the overall behaviour that is observed in TNG100 (bot-368 tom left panel, but cf. Pakmor et al. 2022, for an indepth discussion of low metallicity star formation in the 370 TNG50 simulation). Only minor features like the additional bump just above  $\log_{10}(Z) = -2$  at redshift 2 are  $_{372}$  missed. However, for our purposes, it is more important 373 to prioritise fitting the large scale trends, while we are not so interested in smaller scale fluctuations. 374

Adopting a skewed-lognormal metallicity distribution allows for a tail of low metallicity star formation out to low redshifts. To emphasise the difference between a skewed-lognormal and a symmetric lognormal distribution, we show the phenomenological model from Neijssel et al. (2019) in dotted grey. Their model falls within the family of functions that is encompassed by our model described in Section 2, but we note that their model is distinctly different.<sup>3</sup>

Although our model preforms well at reproducing the 384 385 large scale trends seen in TNG, we acknowledge that 386 more complex features as suggested by some observa-387 tional studies could be missed. One example is that the SFRD(z) shape we adopt from Madau & Dickinson (2014) does not account for starburst galaxies (see dis-<sup>390</sup> cussion in Chruślińska et al. 2021). Moreover, our model cannot capture inflection points in the mean metallicity, because we assume both  $\mu_0$  and  $\mu_z$  are constants with 393 redshift (equation 7). Contrarily, Chruślińska & Nelemans (2019) find an upturn in the amount of low metal-395 licity star formation above z = 4 if the power law of 396 the GSMF is allowed to evolve with redshift. Hence, 397 although our model is more broadly applicable than 398 previous models, in it's current form, it does not capture the complete range of observationally-allowed varia-

# 404 4. APPLICATION: SYSTEMATIC VARIATIONS OF 405 $\mathcal{S}(Z,z)$ AND THE EFFECT ON THE MASS 406 DISTRIBUTION OF MERGING BBHS

We will now demonstrate the application of our analytical model by systematically varying the parameters in our fiducial S(Z,z) model, and investigate their effect on the local mass distribution of BBH mergers originating from isolated binaries.

We use the publicly available rapid binary popula-413 tion synthesis simulations presented in van Son et al 414 (in prep).<sup>4</sup> These simulations were run using version 415 v02.26.03 of the open source COMPAS suite (Riley et al. 416 2022)<sup>5</sup>. COMPAS is based on algorithms that model the 417 evolution of massive binary stars following Hurley et al. 418 (2000, 2002) using detailed evolutionary models by Pols 419 et al. (1998). We refer the reader to the methods section 420 of van Son et al. (2022) for a detailed description of our 421 adopted physics parameters and assumptions. Metallic-422 ities of each binary system were sampled from a smooth 423 probability distribution to avoid artificial peaks in the 424 BH mass distribution (e.g. Dominik et al. 2015; Kummer 425 2020). These simulations provide us with an estimate of 426 the yield of BBH mergers per unit of star-forming mass 427 and metallicity.

We combine the aforementioned yield with variations of the fiducial S(Z,z) model described in this work. By integrating over cosmic history, we obtain the local merger rates of BBH systems, which allow us to construct the distribution of source properties at every redshift. We use the cosmic integration scheme that is part of the publicly available COMPAS suite. The details of this framework are described in Neijssel et al. (2019), but also in van Son et al. (2022), where more similar settings to this work are used.

### 4.1. Determining reasonable variations of S(Z,z)

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We consider variations in both the shape of the cos-440 mic metallicity density distribution dP/dZ(Z,z), and 441 the shape of the overall star-formation rate density, 442 SFRD(z). To determine the range that is reasonably 443 allowed by observations, we compare our variations

<sup>400</sup> tions. Incorporating more complex functional forms for 401 our the mean metallicity could possibly capture such 402 behaviour, but this analysis is beyond the scope of this 403 paper.

<sup>&</sup>lt;sup>3</sup> The phenomenological model from Neijssel et al. (2019) is recovered by adopting  $\mu_0=0.035,\ \mu_z=-0.23,\ \omega_0=0.39,\ \omega_z=0,\ \alpha=0,\ a=0.01,\ b=2.77,\ c=2.9$  and d=4.7.

<sup>&</sup>lt;sup>4</sup> Available for download at https://sandbox.zenodo.org/record/ 1101303, see also the Software and Data section in the acknowledgements

<sup>&</sup>lt;sup>5</sup> https://github.com/TeamCOMPAS/COMPAS

dP/dZ	description	best fit	SFRD(z)	best fit
Шо	mean metallicity at $z = 0$	$0.025 \pm 0.001$	a	$0.02 \pm 0.05 \mathrm{M}_{\odot} \mathrm{vr}^{-1} \mathrm{Mr}$

$\mathrm{dP}/\mathrm{dZ}$	description	best fit	SFRD(z)	best fit
$\mu_0$	mean metallicity at $z = 0$	$0.025 \pm 0.001$	a	$0.02 \pm 0.05  \mathrm{M_{\odot}  yr^{-1}  Mpc^{-3}}$
$\mu_z$	z-dependence of the mean	$-0.048 \pm 0.001$	b	$1.48 \pm 0.01$
$\alpha$	shape (skewness)	$-1.767 \pm 0.05$	c	$4.45 \pm 0.01$
$\omega_0$	scale at $z = 0$	$1.125\pm0.005$	d	$5.90 \pm 0.02$
$\omega_z$	z-dependence of the scale	$0.048 \pm 0.0001$		

**Table 1.** Best fitting parameters for our S(Z, z) fit to TNG100 data.

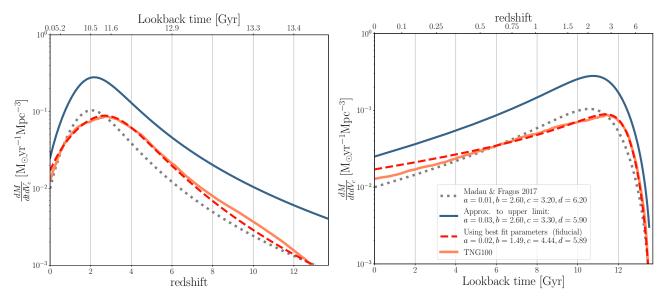


Figure 2. Comparison of several overall star-formation rate densities, SFRD(z), with redshift (left panel) and with lookback time (right panel). The solid orange and dashed red lines respectively show the star formation data from TNG100 and our corresponding fit adopting eq. 12 (fiducial model). The dotted gray and solid blue lines are variations of eq. 12 used to approximate the lower and upper edge of possible star-formation histories. The dotted gray line shows the model from Madau & Fragos (2017), while the solid blue line mimics the behaviour of the powerlaw-fit to the SB: B18/C17 variations with a nonevolving low-mass end of the galaxy stellar mass function from Chruślińska et al. (2021).

444 to the observation-based  $\mathcal{S}(Z,z)$  models described in Chruślińska et al. (2021).

For the cosmic metallicity density distribution, we vary every parameter that determines the shape of dP/dZ(Z,z) independently (left two columns of Table 449 1), while keeping all other parameters fixed at their fidu-450 cial value. For each variation, we inspect the fraction 451 of stellar mass that is formed at low-metallicity (Z <452  $0.1Z_{\odot}$ ) versus the fraction of stellar mass that is formed 453 at high-metallicity  $(Z>Z_{\odot}),$  for all star formation that 454 occurred below a certain threshold redshift. We compare this to the models from Chruślińska et al. (2021)<sup>6</sup> in Fig-456 ure 5 in Appendix A. We have chosen our variations such

457 that they span a reasonable range of cosmic metallic-458 ity density distributions as allowed by observation-based 459 and cosmological simulations-based models. 460 the models 214f14SBBiC\_FMR270\_FOH\_z\_dM.dat, and 461 302f14SBBoco\_FMR270\_FOH\_z\_dM.dat from Chruślińska 462 et al. (2021) as a representation of a very low and high 463 metallicity star formation realisation respectively. These 464 models are the low and high metallicity extreme un-465 der their fiducial SFR-metallicity correlation. For every 466 variation of our model, we inspect both the full  $\mathcal{S}(Z,z)$ and slices at redshifts z = 0, 0.5, 3.0 and 6 by eye. At 468 each slice we compare our model variation to the afore-469 mentioned low and high metallicity models, and ensure 470 that none of our variations significantly exceeds these 471 extremes in S(Z,z). This also serves as a sanity check 472 for the overall star-formation rate density.

<sup>&</sup>lt;sup>6</sup> These models are publicly available at https://ftp.science.ru.nl/ astro/mchruslinska/Chruslinska\_et\_al\_2021/

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Lastly, we consider two variations of the overall star-474 formation rate density, SFRD(z), where we keep the 475 metallicity distribution dP/dZ(Z,z) fixed, but vary all 476 four SFRD(z) parameters at once (right two columns of 477 Table 1). We use Figure 11 from Chruślińska et al. (2021) to determine approximate upper and lower 479 bounds to the overall star-formation rate density. We 480 choose Madau & Fragos (2017) as an approximation of 481 the lower limit. For the upper limit, we use the up-482 per edge for the SB: B18/C17 model variations with a 483 non-evolving low-mass end of the galaxy stellar mass 484 function (table B1 from Chruślińska et al. 2021, also 485 shown as thick brown line in their Fig. 11). To ap-486 proximate these models, we fit equation 12 by eye to 487 the broken power law description for the SB: B18/C17 488 model variations as presented in appendix B1 from Chruślińska et al. (2021). We show all SFRD(z) varia-490 tions in Figure 2.

# $S_{22}$ 4.2. The effect of the $\mathcal{S}(Z,z)$ on the primary masses of merging BBH

To isolate the effect of the S(Z,z) from the effects of different formation channels, we split the data from van Son et al. (2022) between the stable mass transfer channel (e.g., van den Heuvel et al. 2017; Inayoshi et al. 2017; Bavera et al. 2021; Marchant et al. 2021; Gallegos-Garcia et al. 2021; van Son et al. 2022), and the 'classical' common-envelope channel (or CE channel, e.g., Belczynski et al. 2007; Postnov & Yungelson 2014; Belczynski et al. 2016; Vigna-Gómez et al. 2018). These channels are distinguished based on whether the binary system has experienced a common envelope phase (CE channel) or only stable mass transfer (stable channel in short from now on).

In Figures 3 and 4, we show the resulting primary mass distribution of merging BBHs from the stable channel and CE channel respectively. The primary (secondary) component refers to the more (less) massive component of merging BBHs. Each panel varies one aspect of the  $\mathcal{S}(Z,z)$ . In the first five panels of Figures 3 and 4, we vary one of the parameters that determine the shape of the probability density distribution of metallicities, while keeping all other values fixed at their fiducial values. In the last panel of Figures 3 and 4, we vary the shape of the overall star-formation rate densities, SFRD(z), to one of the variations shown in Figure 2, while keeping the probability density distribution of metallicities fixed.

The first thing we note is that the location of the features in the primary mass distribution are robust

against variations in  $\mathcal{S}(Z,z)$ . For the stable channel, two features are visible in all variations: a peak at  $M_{\rm BH,1}\approx 9{\rm M}_{\odot}$  and a bump at  $M_{\rm BH,1}\approx 22{\rm M}_{\odot}$ . Two more features are visible in at the high mass end for almost all  $\mathcal{S}(Z,z)$ ; a knee at  $M_{\rm BH,1}\approx 35{\rm M}_{\odot}$  and another bump at  $M_{\rm BH,1}\approx 45{\rm M}_{\odot}$ . Although the locations of these features are constant, the features themself can disappear for variations that suppress the rate of high mass BHs (e.g., dashed lines in the top panels of Fig. 3). Similarly, the CE channel displays a kink in the distribution at about  $9{\rm M}_{\odot}$ , and a peak at approximately  $M_{\rm BH,1}\approx 17{\rm M}_{\odot}$  for all variations. The latter peak is the global peak of the mass distribution in almost all variations.

The finding that the locations of features in the mass 538 539 distribution do not change for different S(Z,z) is con-540 sistent with earlier work. Recent work by Chruślińska 541 (2022) showed that, when comparing two very different models of S(Z,z) (their Figure 5), the location of the 543 peaks remains the same, even though the normalisation 544 between the two BBH merger rates is completely dif-545 ferent. Furthermore, Broekgaarden et al. (2021a) show 546 the probability distribution of chirp masses for BBHs 547 in their Fig. 4. Although features can disappear when 548 the S(Z,z) prohibits the formation of certain (typically 549 higher) mass BHs, the *location* of features remains the 550 same. This implies that the locations of features in 551 the mass distribution of BBHs are determined by the 552 formation channel and its underlying stellar and binary 553 physics. The locations of features could therefore serve 554 as sign posts of the underlying physics.

555 Second, we see that the low mass end of the pri-556 557 mary mass distribution is relatively robust against vari-558 ations in S(Z,z). To quantify this, we annotate the 559 ratio between the maximum and minimum rate at three  $_{\text{560}}$  reference masses;  $M_{\text{BH},1}=10,25,$  and  $40\text{M}_{\odot}.$  At  $_{561} M_{\rm BH,1} = 10 {\rm M}_{\odot}$ , we find that the rate changes by at 562 most a factor of about 3.7 for the stable channel, and 563 at most about a factor of 3.8 for the CE channel. On the other hand, the change in rate at  $M_{\rm BH,1}=40{\rm M}_{\odot}$ 565 can be as high as a factor of about 200 and 150 for the 566 stable and CE channels, respectively. The lowest mass 567 BHs are least affected by the S(Z,z) because they can be formed from all metallicities above  $Z \gtrsim 10^{-3}$  (see 569 e.g., Figures 7 and 13 from van Son et al. 2022). The <sub>570</sub> rate of star formation at metallicities above  $\gtrsim 10^{-3}$  is 571 observationally relatively well constrained for redshifts 572 below 0.5 (which comprises the past 5 Gyr of star for-573 mation). This is reflected in the top panel of Figure  $_{574}$  5: all models show that 10% or less of the stellar mass 575 was formed at a metallicity below  $Z/10 \approx 0.0014$ , or

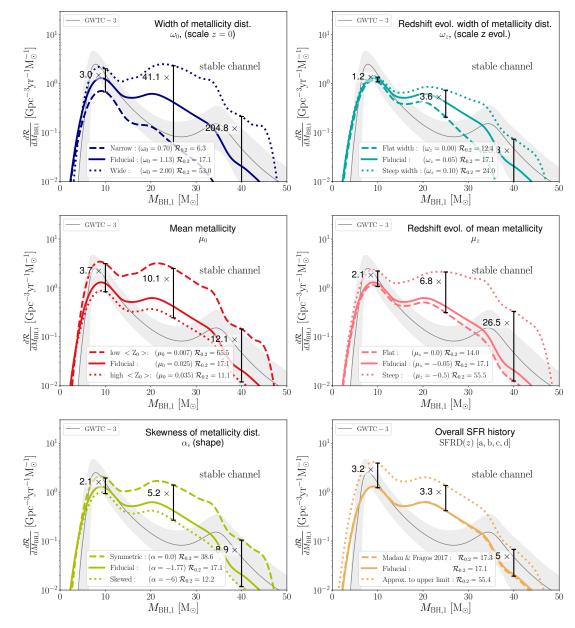


Figure 3. The primary mass distribution of merging BBH systems from the stable mass transfer channel for several variations in S(Z,z). The first five panels show variations of the cosmic metallicity density distribution dP/dZ(Z,z), eq. 11, (parameters listed in the first three columns of Table 1), where we vary one parameter at a time while keeping the rest fixed at their fiducial value. The bottom right panel shows variations in the magnitude of the star formation rate with redshift; i.e. SFRD(z). For the latter we vary the four fiducial parameters of SFRD(z) simultaneously (last two columns of Table 1). All panels are shown at a reference redshift of z=0.2, with the corresponding predicted BBH merger rate indicated in the legend. For reference, we show the power-law + peak model from Abbott et al. (2021b) in grey. We annotate the relative change in the rate at three reference masses:  $10M_{\odot}$ ,  $25M_{\odot}$  and  $40M_{\odot}$ .

 $_{576}$  in other words, about 90% or more of the stellar mass was formed at a metallicity above Z/10. Hence the low-  $_{578}$  est mass BHs derive from the least uncertain parts of the  $\mathcal{S}(Z,z)$ . The low-mass end of the mass distribution of merging double compact objects will also provide a particularly powerful cosmological constraint in the era of third generation gravitational wave telescopes (María Ezquiaga & Holz 2022). Our finding that the low mass

end is more robust against variations in  $\mathcal{S}(Z,z)$  supports this claim.

Parameter variations that affect shape of S(Z,z) at low redshift primarily change the normalisation of the mass distribution. This is the case for variations of the width of the cosmic metallicity density distribution at z=0 ( $\omega_0$ ), the mean metallicity of the cosmic metallicity density distribution at z=0 ( $\mu_0$ ), and the skew-

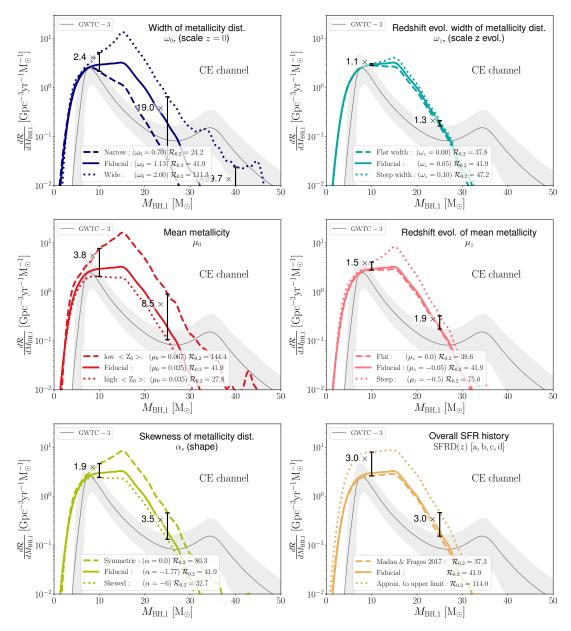


Figure 4. Same as Figure 3, but for the Common Envelope channel. These figures show that the low mass end of the primary mass distribution is least affected by the adopted S(Z, z). Moreover, the *location* of features in the mass distribution are robust against all explored variations.

point, we annotate the total BBH merger rate at redshift point, we annotate the total BBH merger rate at redshift point, we annotate the total BBH merger rate at redshift point, we annotate the total BBH merger rate at redshift point, we annotate the total BBH merger rate at redshift point, we annotate the total BBH merger rate at redshift point point, we annotate the total BBH merger rate at redshift where the observations are best constrained Absorbet et al. 2021b). Variations that increase the amount point point

604 et al. 2017; Chruślińska et al. 2019; Broekgaarden et al. 605 2021a). A more skewed cosmic metallicity density dis-606 tribution pushes the peak of the distribution to higher 607 metallicities and thus forms more stars at high metallic-608 ity when compared to a symmetric distribution. Hence, 609 the local rate of BBH mergers is lower for the skewed 610 distribution ( $\alpha = -6$ ) with respect to the symmetric 611 variation ( $\alpha = 0.0$ ).

Changing the overall star-formation rate density (SFRD(z), bottom right panels of Figures 3 and 4) also affects the normalisation of the mass distribution, but has a smaller effect than the width and the mean of the

cosmic metallicity density distribution at z=0 ( $\omega_0$  and  $\mu_0$ ). This underlines the importance of the amount of low-metallicity star formation (e.g., Chruślińska 2022), and is furthermore in line with findings from Tang et al. (2020). As discussed in Section 4.1, we use Madau & Fragos (2017) and the solid blue line in Figure 2 as an approximate lower and upper bound to the SFRD(z) respectively. The overall cosmic star formation rate density from Madau & Fragos (2017) is very similar to our fiducial model (Figure 2), and the differences between the resulting mass distributions are correspondingly small. Our approximation of the upper limit to the allowed SFRD(z) leads to an overall increase of the BBH merger rate by a factor of about 3.

Parameters that change the evolution of the metallic-630 <sub>631</sub> ity distribution dP/dZ(Z,z) with redshift, such as the <sub>632</sub> redshift dependence of the with and mean;  $\omega_z$  and  $\mu_z$  $_{633}$  (top right and centre right panels of Figures 3 and 4) 634 primarily affect the high mass end of the stable channel. We understand this as an effect of the different delay 636 time distributions for both formation channels. Since both,  $\omega_z$  and  $\mu_z$  influence the amount of low metallicity 638 stellar mass formed at high redshifts they will mostly affect systems with longer delay times. The stable channel 640 has been shown to produce more high mass BHs with 641 longer delay times when compared to the CE channel (van Son et al. 2022; Briel et al. 2022b). Hence we find these variations affect the slope of the high mass end of 644 the BBH mass distribution for the stable channel, while 645 they have a relatively small impact on the CE channel.

### 5. DISCUSSION & SUMMARY

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We present a flexible analytic expression for the metallicity-dependent cosmic star formation history, S(Z,z) (equations 1, 11 and 12). An analytical expression allows for controlled experiments of the effect of S(Z,z) on dependent values, such as the rate and mass distribution of merging BBHs. The model presented in this work adopts a skewed-lognormal for the distribution of metallicities at every redshift (dP/dZ(Z,z)).

The model can capture the general behaviour of cosmological simulations, such as TNG100—Our analytical expression for S(Z,z) is composed of a cosmic metallicity density distribution that is determined by a mean, scale and skewness and their redshift dependence, as well as parameters governing the overall star-formation rate density. We fit our analytical expression for S(Z,z) to the star-forming gas in the TNG100 simulation, and provide the best fit parameters in Table 1. We show that our model captures the shape and general behaviour of the cosmological simulations well (Figure 1). Although our model is more broadly applicable than previous models,

667 we acknowledge that it does not capture the *complete* 668 range of observationally-allowed variations in it's cur-669 rent form. Incorporating more complex functions for 670 the redshift evolution of the metallicity could solve this 671 issue, but this is left for future research.

The model allows for a controlled experiment on the effect of S(Z,z) on the local distribution of merging BBH—As an example, we use our model to calculate the local rate and mass distribution of the more massive components from merging BBHs  $(M_{\rm BH,1})$  in Figures 3 and 4. We systematically vary all five parameters that shape the cosmic metallicity density distribution, and explore two additional variations of the overall star-formation rate density SFRD(z). Our main findings are as follows:

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- The locations of features in the distribution of primary BH masses are robust against variations in S(Z, z). The location of features in the mass distribution of BHs could thus be used as sign posts of their formation channel.
- For all variations, the low mass end of the mass distribution is least influenced by changes in the  $\mathcal{S}(Z,z)$ . This is because the lowest mass BHs can be formed from all metallicities above  $Z\gtrsim 10^{-3}$ , for which the star formation rate is relatively well constrained in the recent Universe. This suggests that the lower end of the BH mass distribution (component masses of  $\leq 15 \mathrm{M}_{\odot}$ ) is potentially very powerful for constraining the physics of the formation channels, irrespective of the cosmic star formation rate uncertainties.
- The metallicity distribution of star formation at low redshift primarily impacts the normalisation of the BBH merger rate. Changing the overall star-formation rate density, SFRD(z) also affects the rate, but to a lesser degree. This shows that low-metallicity star formation at low redshifts dominates the overall normalisation of the BBH merger rate.
- Parameters that influence the redshift evolution of the mean and the width of the metallicity distribution affect the slope of the high mass end of the primary BH mass distribution for the stable channel. This reflects the longer delay times of the stable channel with respect to the CE channel.

The flexibility of the model presented in this work can r<sub>12</sub> capture the large uncertainties that remain in the shape r<sub>13</sub> and normalisation of the metallicity-dependent cosmic r<sub>14</sub> star formation history. Our hope is that this expression

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715 will provide a useful starting point for making predic-716 tions and comparisons with observations.

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### SOFTWARE AND DATA

All code associated to reproduce the data and plots 728 in this paper is publicly available at https://github.

729 com/LiekeVanSon/SFRD\_fit. The data used in this 730 work is available on Zenodo under an open-source Cre-731 ative Commons Attribution license at 10.5072/zen-732 odo.1101303. All observationally constrained models of 733 the S(Z,z) from Chruślińska et al. (2021) can be found 734 online at: https://ftp.science.ru.nl/astro/mchruslinska/ 735 Chruslinska\_et\_al\_2021/.

This research has made use of GW data provided by the Gravitational Wave Open Science Center (https: //www.gw-openscience.org/), a service of LIGO Laboratory, the LIGO Scientific Collaboration and the Virgo Collaboration. Further software used in this work: Python (Van Rossum & Drake 2009), Astropy (Astropy Collaboration et al. 2013, 2018) Matplotlib (Hunter 2007), NumPy (Harris et al. 2020), SciPy (Virtanen et al. 2020), ipython/jupyter (Perez & Granger 2007; Kluyver et al. 2016), Seaborn (Waskom 2021) and hdf5 (Collette et al. 2019).

### APPENDIX

### A. DETERMINING REASONABLE VARIATIONS OF THE $\mathcal{S}(Z,z)$

To determine reasonable variations of our fiducial model for S(Z, z), we compute the fraction of low and high metallicity stellar mass formed for redshifts below z < 0.5, z < 3.0 and z < 10. We show the results in Figure 5, which is an adaptation of Fig. 2 in Pakmor et al. (2022), which in turn builds on Fig. 9 from Chruślińska & Nelemans (2019).

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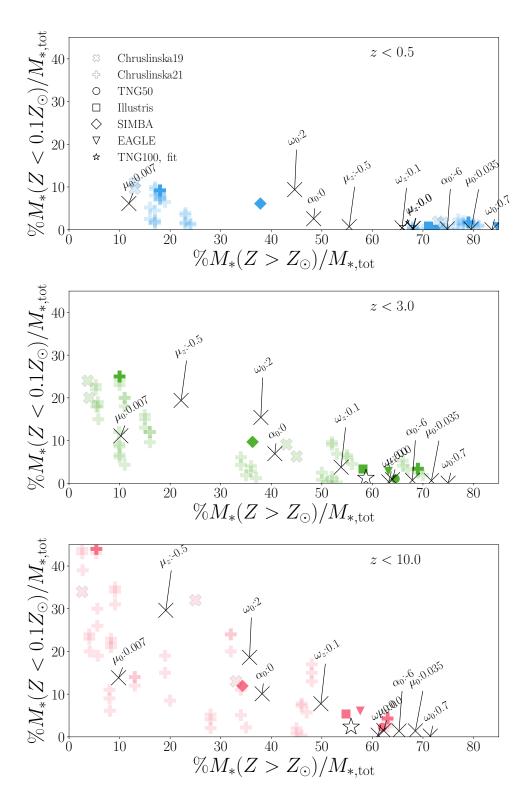


Figure 5. Percentage of stellar mass formed at low metallicity ( $Z < 0.1Z_{\odot}$ ), versus high metallicity ( $Z > Z_{\odot}$ ) for all star formation below a certain threshold redshift: z < 0.5 (left), z < 3.0 (middle) and z < 10 (right). Data from observation-based variations are shown with semi-transparent thick crosses, (Chruślińska & Nelemans 2019) and semi-transparent thick plus signs (Chruślińska et al. 2021), the low- and high-metallicity extremes are indicated with opague symbols. For data from cosmological simulations, we follow Pakmor et al. (2022) and show Illustris (Vogelsberger et al. 2014, squares), Simba (Davé et al. 2019, diamonds), EAGLE (Schaye et al. 2015, triangles), TNG50 and TNG100 (Springel et al. 2018, filled and open circles respectively). Black thin crosses display variations of the cosmic metallicity density distribution that is part of our fiducial S(Z,z). The parameter that is varied with respect to the fiducial and its new value are annotated. This shows that our S(Z,z) variations span the range of reasonable cosmic metallicity density distributions as determined by observation-based and cosmological simulations-based models.

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