Making H5I Multi-Thread Safe:

Outline of the problem, and a First Cut Solution

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7/30/24

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# Introduction

Earlier versions of this document concentrated on the current state of H5I, identifying issues to be addressed in making H5I multi-thread safe, and possible solutions.

This version of the document replaces the possible solutions section with a discussion the initial implementation of a multi-thread safe version of H5I. Since HDF5 is a complex and poorly documented library, this implementation will doubtless have to be revised to address issues as they are encountered. Similarly, the initial implementation will require optimization.

# A Quick Overview of H5I

H5I exists to provide indexing services both to the HDF5 library proper, and to application programs. The basic services may be summarized as follows:

* Create and delete types of indexes. Here the type of an index indicates the type of entries the index supports. In the HDF5 library proper, types include error messages, files, data sets, etc.
* Insert, lookup, and delete entries in individual indexes. To insert an entry the user provides the type of the target index, and a void pointer to whatever data is to be associated with the entry, and receives an ID in return. This ID is used for subsequent lookups and deletions. Note that IDs have reference counts, and under normal circumstances are not deleted until their reference counts drop to 0.

The private API also provides calls to:

* + lookup the ID associated with a given void pointer,
  + modify the void pointer associated with an existing index entry
  + insert an entry into an index with a specified ID
* Iteration and searching through indexes. Here the user provides a function and an index type. The function is executed on every ID in the target index (in the case of iteration) or until it reports success (in the case of searches).
* Miscellaneous services including incrementing and decrementing reference counts on entries and types of indexes, tests for validity, fetching the number of index entries of a give type, deletion of types of indexes with all their entries, etc.

Unfortunately, there are also miscellaneous calls to look up the file or name associated with entries in certain indexes – which result in dependencies on packages in addition to H5E. The hope is that these dependencies can be resolved either through re-architecting or through multi-thread safety requirements on the external calls – but we will not know until the target packages have been examined in greater detail.

The iteration calls also raise issues.

In iteration calls that pass the void pointer associated with the current id to the iteration call back function, H5I calls H5I\_unwrap on this pointer before passing it to the callback. While this is a no-op in the public API, for some internal types of indexes (H5I\_FILE, H5I\_GROUP, H5I\_DATASET, H5I\_ATTR, and H5I\_DATATYPE), the call to H5I\_unwrap() results in a H5VL call, and a subsequent call into the appropriate VOL connector. While I have largely bypassed this issue for now, it is an unknown that will have to be resolved before we proceed with actual development.

The external API iteration calls (H5Isearch() and H5Iiterate()) also present issues, as the HDF5 library has no control over these user supplied call backs – in principle they can make arbitrary calls back into the HDF5 library.

# Multi-Thread Issues in H5I

As with H5E, leaving aside the issue of the unexpected dependencies, there appear to be no fundamental reasons why H5I can’t be made multi-thread safe. That said, there are a number of issues to be dealt with. Before discussing how these challenges might be addressed, it will be useful to discuss each of these issues in greater detail.

## Use of other HDF5 packages by H5I

As should be obvious from the above outline of the H5I package, there is no functional reason why H5I has to make calls to packages in the HDF5 library other than H5E for error reporting. That said, in its current implementation it does – specifically it has calls to:

* H5MM
* H5FL,
* H5E
* H5VL
* H5F

Note that while H5P is included in H5I source code files, it appears to be used only for access to a single constant used in a H5VL call – and thus it isn't listed above.

As in H5E, H5MM and H5FL are easily avoided by using the C dynamic memory allocation functions directly, and by either not maintaining free lists, or maintaining them internally.

The dependency on H5E is a larger issue, as it presents the possibility of lock ordering issues since we may need to call H5E from H5I, which in turn may call H5I. In the long term, the safest way to resolve this would be to remove H5E’s dependency on H5I. However, there are several other ways which would work – albeit with greater danger of inadvertent insertion of deadlocks. Perhaps the easiest would be to make any locks used by H5I recursive.

The dependencies on H5VL and H5F spring from the above mentioned public API calls to determine the file or name associated with certain types of IDs, and the calls to H5I\_\_unwrap() in some of the iteration calls. Indeed, the problem is potentially greater than this, as initial call trees for these public API calls indicate that H5CX and H5T are also involved, along with calls into VOL connectors. That said, the current focus is on H5I proper – thus these issues are left as known unknowns for now.

The callbacks used in both the public and private iteration calls (H5Isearch, H5Iiterate(), H5I\_iterate(), etc.) are of similar concern as they have the potential to introduce dependencies, and thus potential lock ordering issues.

For the immediate objective (retrofitting multi-thread safety on H5E, H5I, H5P, H5CX, and H5VL), this is not too troubling, as we need only consider H5I iteration calls from these five packages – and an initial scan indicates that only H5VL is involved. The remainder of the HDF5 library is not an immediate concern, as any H5I iteration calls from these packages will be protected by the global lock for now.

Finally, the callbacks used in the public iteration calls (H5Isearch() and H5Iiterate()) have the potential to introduce arbitrary indirect dependencies. In principle, the free\_func provided to H5Iregister\_type, and the realize and discard functions provided to H5Iregister\_future() present similar issues.

## Multi-thread thread issues in H5I proper

A review of the H5I public, private, and developer APIs reveals the following multi-thread safety issues in the current H5I implementation.

### Use of uthash to implement indexes

H5I uses uthash – a collection of macros – to implement the hash tables used to implement indexes. According to uthash documentation, uthash can be made thread safe by wrapping all uthash macros in a read / write lock. Write locks are required for operations modifying the target hash table, with read locks being sufficient for all other operations.

At present, uthash is not integrated into the HDF5 error reporting system. This is convenient, as it removes H5E from the problem, and thus avoids any lock ordering / lock recursion issues from this quarter at least. However, if HDF5 is going to stay with uthash indefinitely, this oversight should be corrected.

### Use of global variables

The list of types of indexes and the next available index type are kept in global variables – with the obvious potential for race conditions and resulting data corruption.

Locks around the appropriate critical regions are an obvious solution, but they present lock ordering issues in the event of failure due to the resulting H5E calls. Locks could be dropped prior to error calls, but a solution based on atomic operations would be preferable as it would likely be faster and less error prone.

### Potential race conditions in indexes and index entries

A multi-thread version of H5I must allow simultaneous operations by multiple threads. Thus it is possible that there will be simultaneous operations on a given index, or even on a given ID in that index.

The structures currently used to implement both types of indexes (H5I\_type\_info\_t) and index entries proper (H5I\_id\_info\_t) contain a number of fields where race conditions are an obvious issue – most notably reference counts, and (in the case of types of indexes) counts of existing IDs.

As with the global variables, locks around critical regions are the obvious solution, but solutions based on atomic operations would be preferable.

### Mark and Sweep Operations

H5I allows scans and searches of types of indexes. My understanding is that to avoid breaking existing tests, H5I does not immediately delete entries deleted by the user during scans or searches. Instead, entries are marked for deletion, and then deleted in a subsequent sweep.

The same approach is used in the clear and destroy operations – which compound the problem by leaving index entries in a partially deleted state pending the subsequent sweep to complete the deletion.

This has the effect of making iteration operations of whatever type large critical regions – which is obviously undesirable in a multi-thread implementation. At a minimum, repairing this will require algorithmic changes, and may require modifications to the H5I API as well.

### Support for Future IDs

Addition of support for the asynchronous VOL required the addition of support for future IDs. In particular, there is code in the ID lookup function that attempts to convert a future ID into an actual ID. This operation is somewhat involved, and presents a variety of race conditions should the same future ID be looked up simultaneously by multiple threads.

The critical region here is sufficiently large, that locking or redesigning the interface may be the only practical solutions.

### Public API Race Conditions

The nature of an index service in a multi-thread environment makes it possible for the client application to create race conditions – for example, it will always be possible for one thread to delete an ID (or a whole index) out from under another thread.

This is an unsolvable problem from the perspective of H5I – thus H5I's responsibility will be to at least appear to execute operations in some order, and to keep indexes in an internally consistent state. It will be the responsibility of the client to either avoid race conditions of the above type, or to handle them gracefully.

# The Implementation of Multi-Thread Safe H5I

## Conceptual Overview

At the most basic level, the objective is to create a multi-thread safe implementation of H5I, and integrate it into the HDF5 library in such a fashion that multiple threads can be active in H5I, but without allowing multiple threads into non multi-thread safe sections of the HDF5 library – or into non multi-thread safe VOL connectors.

While there is some interaction between these goals at the implementation level, conceptually, they are unrelated – and thus are dealt with separately to the extent possible.

### Multi-thread Safe H5I at the Conceptual Level

At its core, the function of H5I is to create and maintain indices. In the multi-thread environment, this functionality can be implemented with either a locking[[1]](#footnote-1) or lock free approach. While the lock free route is typically more complex, and introduces significant memory management problems in languages that don’t support garbage collection, it has two major advantages.

First, by eliminating (or more correctly in this case, minimizing) locks, one eliminates (or minimizes) opportunities for deadlocks. While this shouldn’t be a major issue when developing from scratch, or modifying a well documented code base, experience in implementing a multi-thread safe version of H5I has underlined the fact that HDF5 has many odd twists and turns that could easily trip up a more rigid lock based approach.

Second, the lock free approach facilitates a more flexible approach to synchronization, where we can concentrate on maintaining the consistency of HDF5 data structures, and leave higher level synchronization concerns to the user program – much as we do in the HDF5 MPI build. Thus, while HDF5 must maintain consistency of its data structures and impose some sequential order on the effects of concurrent operations, all other synchronization concerns must be handled by the host program. Thus, for example, if the host program needs to avoid modifications to an index during an iteration through it, it must enforce the required mutual exclusion.

Before proceeding, it is worth noting that the usual reasons for selecting a lock free design are performance and scalablity. While flexibility and avoiding deadlocks were the driving factors in its selection in this case, it will be interesting to see if we get dividends from these directions as well.

The decision to use a lock free design to the extent possible[[2]](#footnote-2) presents three major technical hurdles to be overcome:

1. Selection and implementation of a lock free indexing mechanism.
2. Lock free management of the structures used to manage IDs in an index.
3. Garbage collection.

The first two are obvious enough, but the garbage collection issue may need explanation for those not familiar with lock free algorithms and data structures. Briefly, since multiple threads may access a given data structure simultaneously, it is possible for a structure in the data structure to be removed from the data structure while other threads still retain pointers to it.

With careful use of atomic variables, this is easy enough to manage. However, there is still the problem of how to determine when no thread retains a pointer to the discarded structure so that it can be either re-used or released to the heap. In languages that support it, garbage collection handles this problem nicely – albeit at significant cost.

In the absence of garbage collection, alternate solutions must be found. To date, placing discarded structures on type specific lock free queues, and using type specific heuristics to determine when entries on these free lists may be safely reallocated or returned to the heap seems to work well. Indeed, given my (admittedly dated) experience with the performance issues surrounding garbage collection, it may be preferable in time critical applications.

There were also some minor technical issues surrounding the management of indexes and lists thereof. As shall be seen when we discuss implementation details, use of atomic variables makes these relatively straight forward.

### Integrating Multi-Thread Safe H5I into the HDF5 Library

At the conceptual level, integrating a multi-thread safe module (H5I in this case) into the HDF5 library is trivial – just start with the thread safe build, and push the global mutex that prevents multiple threads from entering the HDF5 library down below the multi-thread safe module. To do this, we must remove the lock / unlock calls to the global mutex from the H5I public API calls, and wrap all calls out of H5I to other, non multi-thread safe HDF5 packages in global mutex lock / unlock calls.

While this sounds trivial, in practice, one immediately runs into difficulties stemming from the design philosophy differences between lock free multi-thread and single thread programming. Specifically, while it may allow cleanup after the fact, lock free multi-thread wants to perform data structure modifications atomically, with the option of re-trying if another thread changes the playing field while the first thread is getting ready for its atomic operation. In contrast, single thread can allow data structures to be temporarily inconsistent, as long as everything is tidied up by the time an operation completes.

To see this, consider the operations that can be performed on the data associated with individual entries in an index. As listed above, they include:

1. Insert
2. Delete (typically when ref count drops to zero, but not always)
3. Increment ref count
4. Decrement ref count
5. Change the void pointer associated with an ID
6. Convert from future to real ID

For H5I to function with multiple threads active simultaneously, all these operations must be performed atomically. However, items 2, and 4 involve the free\_func callback that was specified when the index was created, and 6 requires invocation of the realize and delete callbacks that were specified when the future ID was inserted into the index.

From the above, it is clear that, at least in the context of the HDF5 library proper, we must wrap these callbacks in lock / unlock calls to the global mutex. However, this is only the beginning of the problem. Generally speaking, these call backs are not guaranteed to succeed, and cannot be rolled back if a lock free operation fails and has to be re-tried. Thus in addition to grabbing the global mutex, we must also lock the structure associated with the ID to ensure that we can complete the operation if the callback succeeds. Note that this lock must be recursive, as there is nothing to prevent the callback from accessing the index and ID that occasioned the callback.

The situation is more difficult in case 6 above (future ID), as there is no guarantee that both callbacks will succeed – potentially leaving the index and client in a corrupted state. Further, the realize callback is used to stall until the data necessary to realize the future ID becomes available in at least one VOL connector. While this objective is understandable, and the implementation is reasonable enough with single threaded or thread safe HDF5, it is a non-starter for multi-thread HDF5 VOL connectors. To see this, observe that both a lock on the individual ID and the global mutex must be held during this stall – resulting in performance issues at a minimum, and deadlocks at worst.

This leaves the question of how to address these issues.

Ideally the free\_func would be multi-thread safe, and be guaranteed to succeed. This would allow ID deletion without either a lock on the ID or acquisition of the global mutex[[3]](#footnote-3). Note however the hidden assumption that either frees of memory used to store ID values are ordered so as to prevent any thread from retaining a pointer to freed memory, or they are put on a free list and retained until the no threads retain pointers to them, and then freed or reallocated.

While it should not be a problem for newly developed multi-thread VOL connectors, requiring that the free\_func() be guaranteed to succeed presents issues in HDF5 proper. While I haven’t investigated the matter in detail, my impression is that HDF5 uses this feature of the free\_func() to control the order in which IDs and their associated data structures are discarded at library shutdown. As a free\_func() that is guaranteed to succeed is essential for a fully lock free index, it will be useful to determine the level of effort required to attain this in the HDF5 library.

While the realize and discard callbacks for future IDs must be retained for the single thread and thread safe builds of the HDF5 library[[4]](#footnote-4), we should look into alternate ways of providing the functionality in the multi-thread case. This is needed for the following reasons:

* To realize a future ID, at present the H5I code must:
  1. Call the realize callback to obtain the the ID of the index entry containing the actual value.
  2. Remove the actual value ID from the index while retaining its object pointer.
  3. Call the discard callback on the object pointer associated with the future ID,
  4. Set the object pointer field associated with the future ID equal to the object pointer retained when removing the actual ID and then mark the ID as real.

While the failure of the realize callback can be handled gracefully, inability to remove the actual ID, or failure of the discard callback leaves the index and client in a failure state with no obvious path for recovery or graceful error handling.

* Even if the above issues are resolved, the current API requires a lock on the ID, as there is no provision for rolling back the operation if the ID is modified between the beginning and end of future ID resolution.
* Finally, the realize callback can, and sometimes does, stall pending availability of the required data.

In a nutshell, the current future ID mechanism forces a level of coupling between the index and the client that complicates the H5I significantly and makes it impossible to avoid locking at least the ID even if we assume that the realize callback, the removal of the real ID, and the discard callback are multi-thread safe and always succeed.

Further, the realize callback can (and does in some cases) stall pending computation of the value for the future ID. This allows the client to stall and possibly deadlock operations on the host index – another strong argument for redesigning the interface. Note that this is done to allow API calls to stall pending resolution of future IDs, and thus it is a feature not a bug. Thus we must maintain this functionality without the deficits of the current implementation.

Fortunately, this is not an issue that has to be dealt with immediately. To my knowledge, only two VOL connectors used the future ID mechanism. Since both use the existing interface with either the thread safe or the single thread build, the point is moot until some VOL that requires some sort of future ID capability tries to run multi-thread using the multi-thread updates to the service packages in the HDF5 library[[5]](#footnote-5).

That said, the issue has to be resolved eventually. To begin the conversation, I offer the following straw man replacement that would allow H5I to deal with future IDs in atomic operations without the issues outlined above.

typedef herr\_t H5I\_progress\_func\_t(hid\_t id);

hid\_t H5Ireserve\_future\_id(H5I\_type\_t type, H5I\_progress\_func\_t progress\_cb);

herr\_t H5Idefine\_future\_id(H5I\_type\_t type, hid\_t id, void \* object);

Here, H5Ireserve\_future\_id() would create a future ID in the target type or index, and return this ID to the caller. This reserved ID would remain undefined until its value was defined by a subsequent call to H5Idefine\_future\_id().

The H5Idefine\_future\_id() call would set the void pointer on the associated future ID to the supplied value, mark the future ID as being defined, and, if the progress callback is NULL, signal the index’s future ID condition variable. The call will fail if the supplied future ID no longer exists, or if it has already been defined.

Attempts to access a future ID will be handled as follows, depending on whether the progress\_cb is NULL.

1. If the future ID is defined (i.e. its object pointer is not NULL and it is marked as being defined), return the object pointer.
2. If the future ID does not exist (i.e. it has been deleted from the index) return NULL.
3. If the future ID exists, is undefined and the progress\_cb is not NULL, call the progress callback, and go to 1 when it returns.
4. If the future ID exists, is undefined and the progress\_cb is NULL wait on the index’s future ID condition variable. Go to 1 when the condition variable is signaled.

While the above is a straw man, the basic idea is to remove the index as much as possible from the process of realizing the future ID, and thus from the associated error management and synchronization issues.

A related issue is raised by the H5Iiterate() and H5Isearch() public API calls – both of which use H5I\_iterate(). That function iterates through the target index, and calls a possibly user supplied callback on each ID. Since there is no guarantee that this callback is thread safe, it must be wrapped in the global mutex. Further, to avoid the possibility of the target ID being deleted out from under the user supplied function, the target ID must be locked for the duration of the call.

Note that this is a case where recursive locks on IDs are exercised, as at least one iteration through an index or type is triggered by a delete callback in the existing HDF5 regression tests.

The danger of running user supplied callbacks while holding any sort of lock should be obvious. Thus the plan is to replace the public H5Iiterate() and H5Isearch() API calls with a more generic API, that allows the user to iterate through the target index using a get first / get next mechanism, and thus avoid the necessity of holding any locks when the user operates on the IDs in the index. It then becomes the users responsibility to ensure that either the ID and its associated void pointer are not deleted out from under the user’s operation on same, or that such cases are handled gracefully.

This should be easy enough for user defined indexes (or types), however HDF5 can delete IDs pretty much whenever. Incrementing the ref count on the ID, accessing its value via HDF5 public API calls, and then decrementing the ref count when done should largely mitigate this issue. In the rare event that an ID is still deleted between the ref count increment and decrement, error codes from the HDF5 public API calls should allow the matter to be handled with at least some degree of grace.

As the external API is not needed for the prototype, it hasn’t been implemented yet. However the API will likely be as follows:

hid\_t H5Iget\_first(H5I\_type\_t type, hid\_t \*id\_ptr, void \*\* object\_ptr);

herr\_t H5Iget\_next(H5I\_type\_t type, hid\_t old\_id, hid\_t \*id\_ptr, void \*\* object\_ptr);

where H5Iget\_first() returns the first ID in the target index in \*id\_ptr, and the pointer to the associated object in \*object\_ptr[[6]](#footnote-6). Similarly, H5Iget\_next() returns the next ID in the target index after the supplied old\_id in \*id\_ptr, and its associated object pointer in \*object\_ptr. This mechanism is implemented and used internally in H5I, and handles ID deletions and insertions during the iteration gracefully. If the old\_id no longer exists, it just returns the next ID after the deleted old\_id in hash order. Inserted IDs may or may not appear in the iteration, depending on whether the hash code of the inserted ID is greater or less than that of the old id in the next call to H5Iget\_next().

Generally speaking, HDF5 does not allow user access to the void pointers associated with IDs in HDF5 library indexes (or types) – and presumably the new iteration API should continue with this policy. Whether we do this by removing the object\_ptr parameter from the above API, or by simply returning NULL in \*object\_ptr when iterating through an HDF5 library index, or some other method is a matter for discussion.

The H5Iiterate() and H5Isearch() API calls will have to be disabled in the multi-thread build at some point. To see why, observe that once the future ID issue is dealt with, and the free\_func() is required to always succeed, these functions will be the only driver for locking individual IDs in H5I. Similarly, if the free\_func() can be made thread safe in all cases, we will be able to avoid taking the global mutex in H5I as well.

While the above callback and iteration issues are the major complications in integrating lock free, multi-thread safe modules into the existing HDF5 library, there is one other minor one. Specifically some of the calls in the func enter / func exit macros are not multi-thread safe.

One of these, H5CX (context) is already on the list of modules to me made multi-thread safe, so no worries bypassing it for now.

The other, H5CS (call stack) maintains a call stack for debugging purposes. From a brief examination of the code, it seems that if isn’t already multi-thread safe, it can be made so easily. Again, this issue has been bypassed for now. I don’t expect it to be a major issue, but it must either be made multi-thread safe if it isn’t already, or be removed from the multi-thread build.

## Current Implementation of Multi-thread Safe H5I

It was known from the start that retrofitting multi-thread safety on select HDF5 packages and integrating them into HDF5 library would involve a lot of cut and try. Thus earlier versions of this document concentrated on describing the current state of H5I, challenges to be addressed, and possible solutions. No design work beyond a basic plan of attack was attempted due to the expectation that there would be constant adaption to quirks in the existing code.

While this expectation was borne out by experience, a largely lock free, multi-thread safe implementation of H5I is now largely complete[[7]](#footnote-7), and is passing the existing HDF5 regression tests, a new set of serial tests, and an initial set of multi-thread tests. Having reached this point, it is time to document the actual design and the reasons behind design decisions while they are still reasonably fresh in memory. Needless to say, the implementation will change as bugs are fixed and new quirks are encountered and adapted to. Thus this should be a living document for some time to come.

The remainder of this section is organized into two sub-sections – one discussing the choice and implementation of the lock free indexing algorithm selected, and the other discussing the integration of this algorithm into H5I, and the modifications required to make H5I multi-thread safe. This latter topic becomes intertwined with integrating multi-thread safe H5I into the single thread portions of the HDF5 library.

### The Lock Free Hash Table

The lock free hash table that I selected to perform the basic indexing functions in H5I is described in section 13.3 of “The Art of Multiprocessor Programming” by Herlihy, Luchangco, Shawitt, and Spear.

In a nutshell, this algorithm computes a hash by inverting the bit order of the supplied ID, such that the least significant bit becomes the most significant bit, the second least significant bit becomes the second most significant bit, and so on. This done, the hash is left shifted one bit, with the new least significant bit set to one – this last step creates a separate name space for sentinel nodes, of which more later. All entries in the hash table are stored in a lock free singly linked list in increasing hash order.

Hash buckets are marked by sentinel nodes in the lock free singly linked list whose hash value low order bits are zero. This ensures that no regular ID will hash to the hash value of a sentinel node, and thus the sentinel nodes can mark the beginnings of a hash buckets without fear of collision. Pointers to the sentinel nodes are stored in a table. Given the hash value of any ID and the current maximum number of hash buckets (which must be a power of two), it is possible to compute the index in the sentinel pointer table for the associated hash bucket.

If the table contains a pointer to the associated sentinel, searches, insertion, and deletion proceed as per a regular lock free singly linked list[[8]](#footnote-8) from that starting point. If the table doesn’t contain a pointer to the associated sentinel, it must first be created and inserted – at which point operation proceeds as before.

The size of the table of sentinel node pointers is typically doubled whenever the ratio of hash table entries to sentinel pointer table size exceeds some user specified value[[9]](#footnote-9). Thus, in principle, the average number of nodes traversed in a successful search is limited to one half the ratio of hash table entries to sentinel node pointer table size. While this needn’t be the case with malevolently chosen IDs, ID’s in H5I are allocated sequentially – and thus this average should be roughly correct.

From an API perspective, in addition to startup and shut down calls, the lock free hash table supports the following operations that are used by H5I

* add
* find
* delete
* iteration (via get\_first / get\_next)

All of these operations are lock free, and serializable. Their use in H5I is discussed in detail in the next section[[10]](#footnote-10).

This overview of the lock free hash table algorithm is highly simplified. As the remainder of this section presumes a reasonable understanding of this algorithm in particular, and of lock free algorithms and data structures in general, readers with any concerns on this point are advised to review Herlihy, Luchangco, Shawitt, and Spear, or some other similar text before proceeding.

The remainder of this section is directed at the particulars of the lock free hash table implementation, how the algorithm and data structure described in Herlihy, Luchangco, Shawitt, and Spear have been adapted for this use case, the state of the current implementation, and plans for the production implementation.

The header comment and type definition of the structure used to represent nodes in the lock free singly linked list is shown below:

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*   
\* struct lfht\_node\_t   
\*   
\* Node in the lock free singly linked list that is used to store entries in the   
\* lock free hash table. The fields of the node are discussed individually below:   
\*   
\* tag: Unsigned integer set to LFHT\_VALID\_NODE whenever the node is either   
\* in the LFSLL or the free list, and to LFHT\_INVALID\_NODE just before   
\* it is discarded.   
\*   
\* next: Atomic pointer to the next entry in the LFSLL, or NULL if

\* there is no next entry. Note that due to the alignment guarantees of   
\* malloc() & calloc(), the least significant few bits (at least three   
\* in all cases investigated to date) will be zero.   
\*   
\* This fact is used to allow atomic marking of the node for deletion.   
\* If the low order bit of the next pointer is 1, the node is logically   
\* deleted from the LFSLL. It will be physically deleted from the SLL   
\* by a subsequent insert or delete call. See section 9.8 of   
\* "The Art of Multiprocessor Programming" by Herlihy, Luchangco,   
\* Shavit, and Spear for further details.   
\*   
\* id: ID associated with the contents of the node. This field is   
\* undefined if the node is a sentinel node   
\*   
\* hash: For regular node, this is the hash value computed from the id.   
\* For sentinel nodes, this is the smallest value that can map to   
\* the associated hash table bucket -- see section 13.3.3 of   
\* "The Art of Multiprocessor Programming" by Herlihy, Luchangco,   
\* Shavit, and Spear for further details.   
\*   
\* Note that duplicate hash codes cannot appear in the LFSLL, and that   
\* nodes in the LFSLL appear in strictly increasing hash order.   
\*   
\* sentinel: Boolean flag that is true if the node is a sentinel node, and   
\* false otherwise.   
\*   
\* value: Pointer to whatever structure is used to contain the value associated   
\* with the id, or NULL if the node is a sentinel node.   
\*   
\* This field is atomic, as we allow the client code to modify it in   
\* an existing entry in the hash table.   
\*   
\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
  
typedef struct lfht\_node\_t {   
  
 unsigned int tag;   
 struct lfht\_node\_t \* \_Atomic next;   
 unsigned long long int id;   
 unsigned long long int hash;   
 bool sentinel;   
 void \* \_Atomic value;   
  
} lfht\_node\_t;

The first adaption to the C11 development environment appears in the next field, which is a pointer to the next entry in the lock free singly linked list (LFSLL). The algorithms for maintaining the LFSLL require that the flag indicating that an instance of lfht\_node\_t is logically deleted and the next pointer in that instance be modified in a single atomic operation.

In C11, this could be handled in either of two ways. The obvious approach would be to combine the deleted flag and the next pointer into an atomic structure. Depending on the host CPU, operations on this atomic structure could be handled as a true atomic operation, or the C11 run time would simulate an atomic operation by protecting the “atomic” structure with a mutex whenever it is either accessed or modified.

With the CPU of my development machine, the maximum size of true atomic structures is 64 bits. Thus for performance reasons I elected to go the second way. Specifically, I used the low order bit of the next pointer as the deleted flag. This works, as space allocated via malloc() and calloc() are guaranteed to be aligned with the largest scalar type supported by the host CPU – which means that the lower 3 bits of a pointer to dynamically allocated space will be zero given a 32 bit or larger CPU.

I subsequently learned that a 128 bit limit on the size of true atomic structures is common in more modern CPUs. Thus if this optimization ever causes problems, there is an easy solution.

Before continuing, a few more observations about lfht\_node\_t are in order.

First, only the next and value fields are atomic. This works because all the other fields are constant whenever the instance is visible to more than one thread. If compilers ever start implementing cross function call optimizations, this will have to be revisited.

Finally, the value field is atomic, as the current implementation allows it to be modified during the lifetime of an entry in the lock free hash table. At present this capability is not used by H5I.[[11]](#footnote-11)

As is typical in lock free data structures, multiple threads may have pointers to lfht\_node\_t at the same time. This is a problem when it is time to physically delete a node from the LFSLL[[12]](#footnote-12), as there is no guarantee that another thread will not attempt to access it after it has been physically deleted from the LFSLL. In languages that support garbage collection, this is simple – just drop the deleted node on the floor and let the garbage collection code return it to the heap.

Since C11 doesn’t support garbage collection, another solution is required.

Conceptually, the idea is to place deleted nodes on a free list, and hold them there until all threads that might have a pointer to them have exited the lock free hash table – at which point they are eligible for either re-use or release to the heap. Note the hidden assumption that no pointer to an instance of lfht\_node\_t is retained by a thread after it exits a call to the lock free hash table.

To add the necessary infrastructure, instances of lfht\_node\_t are wrapped in instances lfht\_fl\_node\_t. The type definitions of lfht\_fl\_node\_t and lfht\_flsprt\_t are shown below.

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*   
\* struct lfht\_flsptr\_t   
\*   
\* The lfht\_flsptr\_t combines a pointer to lfht\_fl\_node\_t with a serial number in   
\* a 128 bit package.   
\*   
\* Unfortunately, this means that operations on atomic instances of this structure

\* may or may not be truly atomic. Instead, the C11 run time may maintain atomicity

\* with a mutex. While this may have performance implications, there should be no

\* correctness implications.

\*   
\* The combination of a pointer and a serial number is needed to address ABA   
\* bugs.   
\*   
\* ptr: Pointer to an instance of flht\_lf\_node\_t.   
\*   
\* sn: Serial number that should be incremented by 1 each time a new   
\* value is assigned to fl\_ptr.   
\*   
\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
  
typedef struct lfht\_flsptr\_t {   
  
 struct lfht\_fl\_node\_t \* ptr;   
 unsigned long long int sn;   
  
} lfht\_flsptr\_t;

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*   
\* struct lfht\_fl\_node\_t   
\*   
\* Node in the free list for the lock free singly linked list on which entries   
\* in the lock free hash table are stored. The fields of the node are discussed   
\* individually below:   
\*   
\* lfht\_node: Instance of lfht\_node\_t which is initialized and returned to the

\* lfht code by lfht\_create\_node.   
\*   
\* If no node is available on the free list, an instance of   
\* lfht\_fl\_node\_t is allocated and initialized. Its pointer is   
\* then cast to a pointer to lfht\_node\_t, and returned to the   
\* caller.   
\*   
\* A node is available on the free list if the list contains more   
\* that one entry, and the ref count on the first node in the list   
\* is zero. In this case, the first node is removed from the free   
\* list, re-initialzed, its pointer cast to a pointer to lfht\_node\_t,   
\* and returned to the caller.   
\*   
\* tag: Atomic unsigned integer set to LFHT\_FL\_NODE\_IN\_USE whenever the   
\* node is in the SLL, to LFHT\_FL\_NODE\_ON\_FL when the node is on the   
\* free list, and to LFHT\_FL\_NODE\_INVALID just before the instance of   
\* lfht\_fl\_node\_t is freed.   
\*   
\* ref\_count: If this instance of lfht\_fl\_node\_t is at the tail of the free   
\* list, the ref\_count is incremented whenever a thread enters one   
\* of the LFHT API calls, and decremented when the API call exits.   
\*   
\* sn: Unique, sequential serial number assigned to each node when it   
\* is placed on the free list. Used for debugging.   
\*   
\* snext; Atomic instance of struct lfht\_flsptr\_t, which contains a   
\* pointer (ptr) to the next node on the free list for the lock   
\* free singly linked list, and a serial number (sn) which must be   
\* incremented each time a new value is assigned to snext.   
\*   
\* The objective here is to prevent ABA bugs, which would   
\* otherwise occasionally allow leakage of a node.   
\*   
\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/  
  
typedef struct lfht\_fl\_node\_t {   
  
 struct lfht\_node\_t lfht\_node;   
 \_Atomic unsigned int tag;   
 \_Atomic unsigned int ref\_count;   
 \_Atomic unsigned long long int sn;   
 \_Atomic struct lfht\_flsptr\_t snext;   
  
} lfht\_fl\_node\_t;

The first point of interest in lfht\_fl\_node\_t is the snext field, which is an atomic instance of lfht\_flsptr\_t. This structure is has two fields – a pointer to lfht\_fl\_node\_t (ptr), and a serial number (sn) which must be incremented each time the pointer is modified.

This serialized pointer is used to prevent ABA bugs.

Such bugs occur when a test for a change in the value of a variable is used as a proxy to detect a change in a data structure. Thus, a thread may note that the relevant variable has value A, observe that the variable still has value A at a later date, and conclude that nothing has changed. However, this may be false if another thread has changed the value to B and then back to A in the interim. If a serial number is paired with the variable in an atomic structure, and incremented each time the variable is modified, a change in the variable can be detected, even if its value has been returned to that first observed.

ABA bugs can occur in the free list when entries are discarded, re-allocated, and discarded again in short order. This in turn can cause confusion with the free list tail pointer, resulting in memory leakage. The replacement of a simple next pointer with an instance of lfht\_flsptr\_t solves this problem.

The ref\_count field is used to determine whether the node at the head of the free list is eligible for either re-allocation of release to the heap. The original idea was that each thread would increment the ref\_count of the last entry in the free list on entry, keep a pointer to that entry, and then decrement its ref count on exit. Since no entry on the free list could be either re-allocated or released to the heap unless its ref\_count was zero, and since all re-allocations or releases to the heap are made from the head of the free list, it follows that if a node is at the head of the free list with a zero ref\_count, all threads that were in the lock free hash table at the time it was appended to the free list have exited the lock free hash table.

While the basic idea is sound, it will not work as outlined above. The fundamental problem is that incrementing the ref\_count of the node at the tail of the free list can’t be an atomic operation. To see this, observe that one must first read the tail pointer (one atomic operation) and then increment the ref\_count field on the indicated free list node (a second atomic operation). If the system is heavily loaded, it is possible for the target free list node to advance to the head of the free list and be re-allocated between these two operations.

Instead, each thread on entering the lock free hash table allocates a node (typically from the free list), sets its ref\_count to 1, releases it to the free list, and saves a pointer to the node. On exit, the thread uses this saved pointer to decrement the ref\_count of the node. While this works, and is good enough for a working prototype, it is a bit heavy weight and should be revisited for the production version.

H5I must be able to create, initialize, clear, and delete indexes at any time during execution. To support this, the variables needed by the lock free hash table are packaged into a single structure, and there are functions to initialize it prior to use, and clear it prior to discard. The type definition and header comment for this structure (lfht\_t) is shown below. Note that for brevity, the many fields used to collect statistics on the lock free hash table have been omitted. While they have great value for debugging, they don’t add to this discussion.

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*   
 \* struct lfht\_t   
 \*   
 \* Root of a lock free hash table (LFHT).   
 \*   
 \* Entries in the hash table are stored in a lock free singly linked list (LFSLL).   
 \*   
 \* Each hash bucket has a sentinel node in the linked list that marks the   
 \* beginning of the bucket. Pointers to the sentinel nodes are stored in   
 \* the index.   
 \*   
 \* Section 13.3.3 of "The Art of Multiprocessor Programming" by Herlihy,   
 \* Luchangco, Shavit, and Spear describes most of the details of the algorithm.   
 \* However, that discussion presumes implementation in a language with   
 \* garbage collection -- which simplifies matters greatly.   
 \*   
 \* The basic problem here is that we can't free a node that has been   
 \* removed from the LFSLL until we know that all references to it have been   
 \* discarded. This is a problem, as an arbitrary number of threads may   
 \* have a pointer to a node at the point at which it is physically deleted   
 \* from the LFSLL.   
 \*   
 \* We solve this problem as follows:   
 \*   
 \* First, don't allow any node on the LFSLL to become visible outside of   
 \* the LFHT package. As a result, we know that all pointers to a discarded   
 \* node have been discarded as well once all threads that were active in the   
 \* LFHT code at the point that the node was discarded have exited the LFHT   
 \* code. We know this, as any such pointers must have been allocated on the   
 \* stack, and were therefore discarded when the associated threads left the   
 \* LFHT package.   
 \*   
 \* Second, maintain a free list of discarded nodes, and decorate each discarded   
 \* node with a reference count (see declarations of lfht\_node\_t and lfht\_fl\_node\_t   
 \* above).

\*

\* Ideally, on entry to the LFHT package, each thread would increment the

\* reference count on the last node on the free list, and then decrement it

\* on exit. However, until I can find a way to make this operation atomic, this

\* is not workable, as the tail node may advance to the head of the free list

\* and be re-allocated in the time between the read of the pointer to the last

\* element on the free list, and the increment of the indicated ref\_count.

\*

\* Instead, on entry to the LFHT package, each thread allocates a node, sets its

\* ref\_count to 1, and releases it to the free list. On exit, it decrements

\* the node’s ref\_count back to zero. This has the same net effect, but is not as

\* efficient. Needless to say, this issue should be revisited for the production

\* version.   
 \*   
 \* If we further require that nodes on the free list are only removed from   
 \* the head of the list (either for re-use or discard), and then only when their   
 \* reference counts are zero, we have that nodes are only released to the   
 \* heap or re-used if all threads that were active in LFHT package at the point   
 \* at which the node was place on the free list have since exited the LFHT   
 \* package.   
 \*   
 \* Between them, these two adaptions solve the problem of avoiding accesses to   
 \* nodes that have been returned to the heap.   
 \*   
 \* Finally, observe that the LFSLL code is simplified if it always contains two   
 \* sentinel nodes with (effectively) values negative and positive infinity --   
 \* thus avoiding operations that touch the ends of the list.  
 \*   
 \* In this context, the index sentinel node with hash value zero is created   
 \* at initialization time and serves as the node with value negative infinity.   
 \* However, since a sentinel node with hash positive infinity is not created   
 \* by the index, we add a sentinel node with hash LLONG\_MAX at initialization   
 \* to serve this purpose.   
 \*   
 \* Note that the LFSLL used in the implementation of the LFHT is a modified   
 \* version of the lock free singly linked list discussed in chapter 9 of   
 \* "The Art of Multiprocessor Programming" by Herlihy, Luchangco, Shavit,   
 \* and Spear.   
 \*   
 \* The fields of lfht\_t are discussed individually below.   
 \*   
 \*   
 \* tag: Unsigned integer set to LFHT\_VALID when the instance of lfht\_t   
 \* is initialized, and to LFHT\_INVALID just before the memory for   
 \* the instance of struct lfHT\_t is discarded.   
 \*   
 \*   
 \* Lock Free Singly Linked List related fields:   
 \*   
 \* lfsll\_root: Atomic Pointer to the head of the SLL. Other than during setup,   
 \* this field will always point to the first sentinal node in the   
 \* index, whose hash will be zero.   
 \*   
 \* lfsll\_log\_len: Atomic integer used to maintain a count of the number of nodes   
 \* in the SLL less the sentry nodes and the regular nodes that   
 \* have been marked for deletion.   
 \*   
 \* Note that due to the delay between the insertion or deletion   
 \* of a node, and the update of the field, this count may be off   
 \* for brief periods of time.   
 \*   
 \* lfsll\_phys\_len: Atomic integer used to maintain a count of the actual number   
 \* of nodes in the SLL. This includes the sentry nodes, and any   
 \* nodes that have been marked for deletion, but that have not   
 \* been physically deleted.   
 \*   
 \* Note that due to the delay between the insertion or deletion   
 \* of a node, and the update of the field, this count may be off   
 \* for brief periods of time.   
 \*   
 \*   
 \* Free list related fields:   
 \*   
 \* fl\_shead: Atomic instance of struct lfht\_flsptr\_t, which contains a   
 \* pointer (ptr) to the head of the free list for the lock free   
 \* singly linked list, and a serial number (sn) which must be   
 \* incremented each time a new value is assigned to fl\_shead.   
 \*   
 \* The objective here is to prevent ABA bugs, which would   
 \* otherwise occasionally allow allocation of free list   
 \* nodes with positive ref counts.   
 \*   
 \* fl\_stail: Atomic instance of struct lfht\_flsptr\_t, which contains a   
 \* pointer (ptr) to the tail of the free list for the lock free   
 \* singly linked list, and a serial number (sn) which must be   
 \* incremented each time a new value is assigned to fl\_stail.   
 \*   
 \* The objective here is to prevent ABA bugs, which would   
 \* otherwise occasionally allow the tail of the free list to   
 \* get ahead of the head -- resulting in the increment of the   
 \* ref count on nodes that are no longer in the free list.   
 \*   
 \* fl\_len: Atomic integer used to maintain a count of the number of nodes   
 \* on the free list. Note that due to the delay between free list   
 \* insertions and deletions, and the update of this field, this   
 \* count may be off for brief periods of time.   
 \*   
 \* Further, the free list must always contain at least one entry.  
 \* Thus, when correct, fl\_len will be one greater than the number   
 \* of nodes available on the free list.   
 \*   
 \* max\_desired\_fl\_len: Integer field containing the desired maximum free list   
 \* length. This is of necessity a soft limit as entries cannot   
 \* be removed from the head of the free list unless their   
 \* reference counts are zero. Further, at most one entry is   
 \* removed from the head of the free list per call to   
 \* lfht\_discard\_node().   
 \*   
 \* next\_sn: Serial number to be assigned to the next node placed on the   
 \* free list.   
 \*   
 \*  
 \* Hash Bucket Index:   
 \*   
 \* index\_bits: Number of index bits currently in use.   
 \*   
 \* max\_index\_bits: Maximum value that index\_bits is allowed to attain. If   
 \* this field is set to zero, the lock free hash table becomes   
 \* a lock free singly linked list, as only one hash bucket is   
 \* permitted.   
 \*   
 \* index\_masks: Array of unsigned long long containing the bit masks used to   
 \* compute the index into the hash bucket array from a hash code.   
 \*   
 \* buckets\_defined: Convenience field. This is simply 2 \*\* index\_bits.   
 \* Needless to say, buckets\_initialized must always be less than   
 \* or equal to buckets\_initialized.   
 \*   
 \* buckets\_initialized: Number of hash buckets that have been initialized --   
 \* that is, their sentinel nodes have been created, and inserted   
 \* into the LFSLL, and a pointer to the sentinel node has been   
 \* copied into the bucket\_idx.   
 \*   
 \* bucket\_idx: Array of pointers to lfht\_node\_t. Each entry in the array is   
 \* either NULL, or contains a pointer to the sentinel node marking   
 \* the beginning of the hash bucket indicated by its index in the   
 \* array.   
 \*   
 \* Statistics Fields:   
 \*   
 \* The following fields are used to record statistics on the operation of the   
 \* SLL for purposes of debugging and optimization. All fields are atomic.   
 \*  
 \* \*\*\*\*\*\* Discussions of stats fields omitted for brevity \*\*\*\*\*\*\*\*  
 \*   
 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/  
  
#define LFHT\_\_MAX\_DESIRED\_FL\_LEN       256   
#define LFHT\_\_BASE\_IDX\_LEN 1024   
  
typedef struct lfht\_t   
{   
 unsigned int tag;   
  
  
 /\* LFSLL: \*/   
  
 struct lfht\_node\_t \* \_Atomic lfsll\_root;   
 \_Atomic long unsigned long int lfsll\_log\_len;   
 \_Atomic long unsigned long int lfsll\_phys\_len;   
  
  
 /\* Free List: \*/   
  
 \_Atomic struct lfht\_flsptr\_t fl\_shead;   
 \_Atomic struct lfht\_flsptr\_t fl\_stail;   
 \_Atomic long long int fl\_len;   
 int max\_desired\_fl\_len;   
 \_Atomic unsigned long long int next\_sn;   
  
  
 /\* hash bucket index \*/   
  
 \_Atomic int index\_bits;   
 int max\_index\_bits;   
 unsigned long long int index\_masks[LFHT\_\_NUM\_HASH\_BITS + 1];   
 \_Atomic unsigned long long int buckets\_defined;   
 \_Atomic unsigned long long int buckets\_initialized;   
 \_Atomic (struct lfht\_node\_t \*) bucket\_idx[LFHT\_\_BASE\_IDX\_LEN];   
  
  
 /\* statistics: \*/  
  
 /\*\*\*\* Statistics fields omitted for brevity \*\*\*\*/  
  
} lfht\_t;

Aside from its existence, and the existence of functions to initialize it after it has been allocated, and clear it prior to its release, there are a few points worth noting about lfht\_t.

First, note that the maximum number of hash buckets is currently hard coded to 1024. While this is adequate for a prototype, it does have performance implications in some cases, and should be reworked to allow the number of hash buckets to grow beyond 1024 if needed.

Second, note that as might be expected, the head and tail pointers of the free list are instances of lfht\_flsptr\_t. As discussed in the header comment, this prevents a number of ABA bugs.

Finally, in addition to the statistics fields which we have skipped over, lfht\_t contains a number of fields that are included to facilitate debugging. For example, next\_sn is used to assign a unique serial number to each node as it is inserted in the free list. Similarly, lfht\_phys\_len is used primarily for sanity checking and in memory leak detection.

A few points in closing this section.

At present, the lock free hash table is implemented as a collection of function calls. For the production version, it would be useful to re-work it into a collection of macros for both performance and portability reasons.

Second, as shall be seen in the next section, free lists similar to that maintained by the lock free hash table are needed frequently – and thus should be implemented as a collection of macros as well.

Third, while there is an extensive test suite for the lock free hash table, which runs without error on a variety of platforms, the code has not be subjected to any thread sanitizers. While this should be done, it will likely wait until it is near its final form.

### Multi-Thread Safe H5I and its Integration with HDF5

While the multi-thread H5I code is largely complete and its core functionality is fairly well tested, there are a number of implementation and testing issues that have been bypassed either in favor favor of speed or in the expectation of design changes. As they will not be useful without context, the major issues are mentioned in passing in this section, and again in a consolidated list at the end of this section.

This section is intended to provide a general overview of the multi-thread safe H5I implementation. As such, it discusses the data structure revisions needed to implement multi-thread safe H5I, the general approaches used to address synchronization issues, and integration with single thread elements of the HDF5 library.

It is, of course, inevitable that bugs will be found or that design issues will surface requiring changes in multi-thread H5I. That said, multi-thread safe H5I must be documented while the relevant design decisions are reasonably fresh in mind. Thus this document will likely be a living one for some time.

While the lock free hash table provides the core of a multi-thread safe H5I implementation, there are several issues remaining, which include:

* Lock free management of individual IDs in indexes.
* Lock free management of index creation, deletion, and lookup.
* Free list management for structures used to represent indexes (or types) and IDs.
* Integration with the rest of HDF5 proper and VOL connectors.

While developing a lock free multi-thread safe version of H5I proper is is quite do-able, integration with the single thread elements of HDF5 proper makes some locking inevitable[[13]](#footnote-13). Callbacks that may or may not succeed and can’t be rolled back complicate matters further, and raises the specter of deadlocks should a callback re-enter H5I – which at least one does.

Most of these issues center on individual entries in indexes, so we will start there.

For each entry in each index, H5I must maintain internal[[14]](#footnote-14) and application reference counts, a pointer to the data associated with the ID, and flags indicating whether this entry is a future entry[[15]](#footnote-15), or if it has been marked as deleted, but not yet removed from the index[[16]](#footnote-16).

Further, the multi-thread implementation must execute the index specific free function to release the data associated with an entry when it is removed from the index. This function may or may not be multi-thread safe and may or may not succeed and can’t be rolled back,

In addition. future entries have associated realize and discard callbacks. The realize callback is called whenever the associated future ID is searched for. If the realize callback fails, the search fails. If it succeeds, the realize callback returns the ID that is associated with the data needed to convert the future ID to a regular ID. Resolution of a future ID requires the following operations:

* Call the realize callback to obtain the the ID of the index entry containing the actual value.
* Remove the actual value ID from the index while retaining its void pointer.
* Call the discard callback on the void pointer associated with the future ID,
* Set the void pointer associated with the future ID equal to the void pointer retained when removing the actual ID..
* Clear the is\_future flag – converting the future ID to a regular ID.

This done, the lookup proceeds as normal.

As discussed earlier, the existing interface for supporting future IDs is problematic in the multi-thread case, and in my opinion unworkable. While it is implemented and tested in the single thread case, I have made no effort to test it in the multi-thread case, pending resolution of these issues. As it is not needed for the prototype, there is plenty of time to discuss.

Finally, HDF5 is old, complex, and poorly documented. Thus, at least until we have a working prototype, it seems prudent to make as few architectural changes as practical so as to reduce the chances of unexpected side effects. Hence, the main data structures are modified versions of those used in the single thread case, and the structure of the code is close to the single thread version.

H5I associates a structure with each ID it allocates. The single thread version of this structure is reproduced below:

/\* ID information structure used \*/   
typedef struct H5I\_id\_info\_t {   
 hid\_t id; /\* ID for this info \*/   
 unsigned count; /\* Ref. count for this ID \*/   
 unsigned app\_count; /\* Ref. count of application visible IDs \*/   
 const void \*object; /\* Pointer associated with the ID \*/   
  
 /\* Future ID info \*/   
 hbool\_t is\_future; /\* Whether this ID represents a \*/

/\* future object \*/   
 H5I\_future\_realize\_func\_t realize\_cb; /\* 'realize' callback for future object \*/   
 H5I\_future\_discard\_func\_t discard\_cb; /\* 'discard' callback for future object \*/   
  
 /\* Hash table ID fields \*/   
 hbool\_t marked; /\* Marked for deletion \*/   
 UT\_hash\_handle hh; /\* Hash table handle (must be LAST) \*/   
} H5I\_id\_info\_t;

The hh field is specific to uthash and thus is not needed in the multi-thread safe version of this structure (called H5I\_mt\_id\_info\_t). All the remaining fields must be maintained in the multi-thread version.

Of these fields, id, realize\_cb, and discard\_cb either are or can be made constant for the life of the ID – and thus need not be atomic.

Of the remaining fields, count and app\_count are the internal and application reference counts mentioned above. The object field is the void pointer to the data (if any) associated with the ID by the client. is\_future is a flag indicating whether the ID is a future ID, and the marked flag indicates whether the ID has been logically deleted.

To avoid consistency issues between these fields, they and and two additional flags have been combined in a single structure, and made into the atomic field “k” (for kernel) in the new structure H5I\_mt\_id\_info\_t .

To maintain consistency, modifications to the kernel are done in an atomic read, modify local copy, and atomic write via compare\_exchange\_strong()[[17]](#footnote-17) loop. This loop repeats until either the call to compare\_exchange\_strong() succeeds, or the point becomes moot. For example, just after the atomic read, we will always check the marked flag. If it is set, the ID has been deleted, so we must break out of the loop and report failure if appropriate.

The hidden assumption here is that modifications to the local copy of the kernel can be rolled back if we have to repeat the loop. This is true for H5I proper, but callbacks that may fail and/or can’t be rolled back force the introduction of locks on IDs. Since callbacks can access the ID that occasioned the callback[[18]](#footnote-18), these locks must be recursive.

Further, until we have callbacks that are known to be multi-thread safe, all callbacks must be wrapped in the global mutex.

To avoid committing to any particular thread management library, this lock was implemented with the do\_not\_disturb flag in the kernel. This flag is effectively a lock, as when set, it prevents any other thread from modifying the kernel until it is reset. To make this work, we test for the do\_not\_disturb flag just after checking the marked flag, and do a thread yield and return to the beginning of the loop[[19]](#footnote-19) if it is set. This gives the setting thread time to complete its operation and reset the do\_not\_disturb flag.

The have\_global\_mutex flag is set when the do\_not\_disturb flag is set if either the global mutex is already held, or the callback is not thread safe (which is always at present). Since the global mutex is recursive, non thread safe callbacks are wrapped in the global mutex. While it is only implemented as necessary to pass the existing regression tests, this allows recursive access to IDs that are locked by the do\_not\_disturb flag. To see this, observe that if a thread hits an ID whose do\_not\_disturb flag and have\_global\_mutex flags are set, and it holds the global mutex, it may safely ignore the do\_not\_disturb flag, as we know that it is the thread that set the do\_not\_disturb flag in the first place[[20]](#footnote-20).

Obviously, this will not work once we have multi-thread safe callbacks. The obvious solution is to use an off the shelf recursive lock once we settle on a thread management package. Note, however, that at least in the existing test code, contention for IDs is extremely rare – which argues for a solution that is light weight in the common case. While it may or may not be a good idea, observe that the existing do\_not\_disturb flag approach could be extended to work with callbacks that don’t require the global mutex by replacing the have\_global\_mutex flag with the ID of the thread that set the do\_not\_disturb flag. All this said, the current code seems to be good enough for the prototype, so this issue will wait until we start on a production version.

When modification to the kernel involve operations that can’t be rolled back (only callbacks at present), the procedure for modifying the kernel becomes:

1. Using an atomic read, obtain a local copy of the kernel. Call this copy A.
2. Test A to see if the marked flag is set. If it is, the ID has been deleted. Break out of the loop and report failure if appropriate
3. Test A to see if the do\_not\_disturb flag is set.
   * If it is not, proceed.
   * It it is, and either the global mutex is not held or the have global mutex flag is not set, thread yield and return to 1.
   * If it is, and the global mutex is held and the have\_global\_mutex flag is set, the thread is the thread that set the do\_not\_disturb flag – and thus may have read only access to the ID kernel, and skip the remainder of this protocol. As currently implemented, any write to the kernel will cause an error.[[21]](#footnote-21)
4. Make a second copy of A – call this copy B. Set the do\_not\_disturb flag in B. Similarly set the have\_global\_mutex flag if the global mutex is already held, or if the callback is not multi-thread safe.
5. Using a call to compare\_exchange\_strong() attempt to overwrite the kernel with B, using A as the expected value. If this fails, return to 1.
6. Make a copy of B – call this copy C. Reset the do\_not\_disturb and have\_global\_mutex flags in C if they were not already held in A.
7. Attempt to perform the operation that can’t be rolled back – always a callback that is wrapped in the global mutex at present. Depending on the success of the operation, alter C as appropriate.
8. Call compare\_exchange\_strong() to overwrite the kernel with C, using B as the expected value. This call must succeed as the do\_not\_disturb flag is set in the kernel.

Since instances of H5I\_mt\_id\_info\_t can be simultaneously accessed by multiple threads, it follows that instances associated with deleted IDs must be maintained on a free list until no thread retains a pointer to them. While we will discuss this and another free list later, note that H5I\_mt\_id\_info\_t has fields needed to support such a free list.

The header comment and definition of H5I\_mt\_id\_info\_t is reproduced below. Note that the header comment covers the above discussion of the kernel and its management in greater detail than sketched above.

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*   
 \*   
 \* struct H5I\_mt\_id\_info\_t   
 \*   
 \* H5I\_mt\_id\_info\_t is a re-write of H5\_id\_info\_t with modifications to facilitate   
 \* the multi-thread version of H5I.   
 \*   
 \* As such, most of the fields will be familiar from H5\_id\_info\_t.   
 \*   
 \* Note that most of these are gathered together into a single, atomic sub-structure,   
 \* to allow atomic operations on the the id info.   
 \*   
 \* The remaining fields are either constant during the life of an instance of   
 \* H5I\_mt\_id\_info\_t, or exist to support the free list that a deleted instance of   
 \* H5I\_mt\_id\_info\_t must reside on until we are sure that no thread retains a pointer   
 \* to it.   
 \*   
 \* The fields of H5I\_mt\_id\_info\_t are discussed individually below.   
 \*   
 \* tag: unsigned int 32 set to H5I\_\_ID\_INFO when allocated, and to   
 \*      H5I\_\_id\_INFO\_INVALID just before the instance of H5I\_mt\_id\_info\_t   
 \*      is deallocated.   
 \*   
 \* id:  ID associated with this instance of H5I\_mt\_id\_info\_t.  This is the id used to   
 \*      locate the instance in the lock free hash table.   
 \*   
 \*   
 \* k:   The non-MT version of H5I\_mt\_id\_info\_t has a number of variables that must be   
 \*      kept in synchronization.  The obvious way of doing this would be to protect   
 \*      them with a mutex.  However, it seems best to avoid locking to the extent   
 \*      possible so as to avoid lock ordering considerations.   
 \*   
 \*      This leads to the option of encapsulating the variables in a single atomic   
 \*      structure, the kernel for short.  In this case, the kernel must be read   
 \*      atomically, modified,  and written back atomically with a   
 \*      compare\_exchange\_strong().  In the event of failure in the   
 \*      compare\_exchange\_strong(), the procedure must be repeated   
 \*      until it is successful, or the point becomes moot – for example if the id info  
 \*      is marked as being deleted.   
 \*   
 \*      The hidden assumption here is that operations on the encapsulated variables   
 \*      can be rolled back if the compare\_exchange\_strong() fails.  This is clearly   
 \*      true with ref counts, and with overwrites of the pointer to the object   
 \*      associated with the id info.  If we further view an id as being functionally   
 \*      deleted once is it marked as deleted, in principle, this should be true of   
 \*      deletions as well, as we should be able to do the remainder of the cleanup   
 \*      at leisure.   
 \*   
 \*      By similar logic, this should include the discard of un-realized future IDs,   
 \*      as once the marked flag is set, no other will modify the kernel (see step 2   
 \*      in the protocols below).   
 \*  
 \*      Unfortunately, the serial version of H5I doesn't work this way.  In   
 \*      particular, in H5I\_\_mark\_node(), if either the discard\_cb (in the case of a   
 \*      future ID) or the free\_func (in the case of a regular ID) fails, and the   
 \*      force flag is not set, the target ID is not marked for deletion, and the   
 \*      (possibly corrupt) buffer pointed to by the object field is left in the   
 \*      index.  This seems a questionable design choice, but until we have a working   
 \*      prototype, going with it seems to be the best option.  Obviously, it should   
 \*      be revisited once that point is reached.   
 \*   
 \*      Conversions from future to real IDs present a similar problem, as the   
 \*      conversion may fail, and cannot be rolled back.  Further, this operation may   
 \*      be attempted repeatedly until it succeeds.   
 \*   
 \*      To square this circle, we need a mechanism for serializing callbacks, and for   
 \*      ensuring that operations that can't be rolled back can't be interrupted.   
 \*   
 \*      The obvious way to do this is with a recursive lock on the instance of   
 \*      H5I\_mt\_id\_info\_t.  However, it is not clear that C11 threads are sufficiently   
 \*      well supported to avoid protability problems.  Thus is is not clear what   
 \*      threading package should be used.   
 \*   
 \*      To avoid making a decision on this, and also to explore the notion of a very   
 \*      light weight solution in the no contention case, for now this is done with   
 \*      atomics instead.   
 \*   
 \*      We do this by adding three flags to the kernel -- the already defined   
 \*      marked flag, the new do\_not\_disturb flag, and the new have\_global\_mutex flag.   
 \*      We then proceed via the appropriate protocol as given below.   
 \*   
 \*      In the cases where roll backs are possible, proceed as follows:   
 \*   
 \*       1) Load the kernel.   
 \*   
 \*       2) Check to see if the marked flag is set.  If so, issue an ID doesn’t exist   
 \*          error and return.   
 \*   
 \*       3) Check to see if the do\_not\_disturb flag is set.  If so, and either the   
 \*          have\_global\_mutex flag is not set, or the thread does not hold the   
 \*          global mutex, do a thread yield or sleep, and return to 1) above.   
 \*   
 \*          If the thread holds the global mutex, and the have\_global\_mutex flag   
 \*          is set, the current thread is the thread that caused the do\_not\_disturb   
 \*          flag to be set, and may proceed.  As shall be seen, while reading the   
 \*          will not cause problems, modifying it will.  To date, this is not a   
 \*          problem in all cases encounterd to date, but it will have to be addressed   
 \*          for the production version.   
 \*   
 \*       4) Perform the desired operations (i.e ref count increment or decrement, or   
 \*          object pointer overwite on the local copy of the kernel.  If the ref   
 \*          count drops to zero, set the marked flag on the local copy of the kernel.   
 \*  
 \*       5) Attempt to overwrite the global copy of the kernel with the local copy   
 \*          via a compare\_exchange\_strong().  If this succeeds, we are done.   
 \*          Otherwise roll back the operation, and return to 1.   
 \*   
 \*          Note no thread yield or sleep in this case, as this will typically be   
 \*          another thread that jumped in and modified the kernel.  If the   
 \*          do\_not\_disturb flag is set, we will hit it on the next pass.   
 \*   
 \*      Note that this thread yield or sleep and then re-try approach is also   
 \*      used in the lock free hash table to handle a very unlikely collisions without   
 \*      the use of locks in the lock free hash table.   
 \*   
 \*      For operations that can't be rolled back (i.e. realization or discard of   
 \*      future IDs, ID frees, etc), the above procedure is modified as follows:   
 \*   
 \*       1) Load the kernel.   
 \*   
 \*       2) Check to see if the marked flag is set.  If so, issue an ID doesn’t exist   
 \*          error and return.   
 \*   
 \*       3) Check to see if the do\_not\_disturb flag is set.  If so, and either the   
 \*          have\_global\_mutex flag is not set, or the thread does not hold the   
 \*          global mutex, do a thread yield or sleep, and return to 1) above.   
 \*   
 \*          If the thread holds the global mutex, and the have\_global\_mutex flag   
 \*          is set, the current thread is the thread that caused the do\_not\_disturb   
 \*          flag to be set, and may proceed.  As shall be seen, while reading the   
 \*          kernel will not cause problems, modifying it will.  As a result, such   
 \*          threads must skip the remainder of this protocol.   
 \*   
 \*          To date, this is not a problem in all cases encounterd, but it will   
 \*          have to be addressed in the production version.   
 \*   
 \*       4) Check to see if the desired operation is still pending (i.e. if the   
 \*          operation is converting a future ID to a real ID, is the is\_future flag   
 \*          still TRUE?). If it isn't, some other thread has already performed the   
 \*          operation so we can exit with success.   
 \*   
 \*          Otherwise:   
 \*   
 \*       5) Set the do\_not\_disturb flag and the hsve\_global\_mutex flag as well if   
 \*          either the global mutex is already held, or if the callback is not thread   
 \*          safe (always for now) in the local copy of the kernel, and attempt   
 \*          to overwrite the global copy of the kernel with the local copy via a   
 \*          compare\_exchange\_strong().   
 \*   
 \*          If this fails, do a thread yield or sleep, and return to 1.   
 \*   
 \*          If it succeeds, we know that s thread has exclusive access to the kernel   
 \*          until we reset the do\_not\_disturb flag on the global copy, as no new   
 \*          thread looking at the kernel will proceed beyond reading the flag, and the  
 \*          compare\_exchange\_strong() of any existing thread attempting to modify the   
 \*          kernel will fail -- sending it back to step 1.   
 \*  
 \*       6) Attempt to perform the desired operation.   
 \*   
 \*          If this fails, reset the do\_not\_disturb and the have\_global\_mutex flags in  
 \*          the local copy of the kernel, overwrite the global copy of the kernel with  
 \*          the local copy via a compare\_exchange\_strong(), and report the failure of   
 \*          the operation if appropriate.   
 \*   
 \*          Observe that the call to compare\_exchange\_strong() must succeed, per the   
 \*          argument given in the final paragraph in 5 above.  Note that we use   
 \*          compare\_exchange\_strong() in this case only as a sanity check.  Assuming   
 \*          my analysis is correct, we could simply use atomic\_store() on   
 \*          architectures where compare\_exchange\_strong() is not available.   
 \*          Unfortunately, the rest of the algorithm does depend on   
 \*          compare\_exchange\_strong(), so it will have to be re-worked for those   
 \*          architectures.   
 \*   
 \*          If the operation succeeds, update the kernel accordingly, reset the   
 \*          do\_not\_disturb and have\_global\_mutex flags in the local copy of the   
 \*          kernel, and overwrite the global copy of the kernel with the local copy   
 \*          via a compare\_exchange\_strong().  As before this operation must succeed,   
 \*          and we are done.   
 \*   
 \*      An atomic instance of H5I\_mt\_id\_info\_kernel\_t is used to instantiate the   
 \*      kernel mentioned above.  It maintains its fields as a single atomic object.   
 \*      As the size of this structure is too large for true atomic operations, C11   
 \*      maintains atomicity via mutexes.  This hurts performance, but since the   
 \*      objective is to avoid explicit locking (and thus lock ordering concerns) this   
 \*      is fine -- for now at least.   
 \*   
 \*      Note that if we combined all the booleans in a flags field,   
 \*      and reduced the size of the count and app\_count integers, we could fit the   
 \*      H5I\_mt\_id\_info\_kernel\_t into 128 bits, allowing true atomic operation on   
 \*      many (most) more modern CPUs.  However, that is an optimization for another   
 \*      day, as is re-working the future ID feature into something more multi-thread   
 \*      friendly.   
 \*   
 \*      Since H5I\_mt\_id\_info\_kernel\_t is only used either in H5I\_mt\_id\_info\_t, or to   
 \*      stage reads and writes of the kernal in that structure, its fields are   
 \*      discussed here.   
 \*   
 \*      k.count: Reference count on this ID.  This is typically the number of   
 \*           references to the ID elsewhere in the HDF5 library.  This ref count is   
 \*           used to prevent deletion of the id (and the associated instance of   
 \*           H5I\_mt\_id\_info\_t) until all references have been dropped.   
 \*   
 \*      k.app\_count: Application reference count on this ID.  This allows the   
 \*           application to prevent deletion of this ID (under most circumstances)   
 \*           until all its references to the ID have been dropped.   
 \*   
 \*      k.object: Pointer to void.  Points to the data (if any) associated with   
 \*           this ID.   
 \*  
 \*      k.marked: Boolean flag indicating whether this instance of H5I\_mt\_id\_info\_t   
 \*           has been marked for deletion.  Once set, this flag is never re-set, and   
 \*           any ID for which this flag is set must be viewed as logically deleted,   
 \*           even though the actual removal from the lock free has table and deletion   
 \*           may occur later.   
 \*   
 \*      k.do\_not\_disturb: Boolean flag.  When set, a thread that needs to perform   
 \*           an operation on the ID that can't be rolled back is in progress.  All   
 \*           other threads must wait until this operation completes (see discussion   
 \*           above).  Also, note the use of k.have\_global\_mutex to allow recursion.   
 \*   
 \*      k.is\_future: Boolean flag indicating whether this ID represents a future ID.   
 \*   
 \*      k.have\_global\_mutex: Boolean flag that should be set to TRUE when   
 \*           k.do\_not\_disturb is set to TRUE, and the setting thread has the HDF5   
 \*           global mutex at the time.   
 \*   
 \*           This field is a temporary hack designed allow HDF5 callbacks to access   
 \*           the index without deadlocking.  Thus, when the do\_not\_disturb flag is   
 \*           detected, it can be ignored if the have\_global\_mutex flag is set and the   
 \*           current thread has the global mutext.   
 \*   
 \*           If the do\_not\_disturb flag is not replaced with an off the shelf   
 \*           recursive lock, this field will almost certainly be replace with a thread  
 \*           ID stored in H5I\_mt\_id\_info\_t proper, and set whenever k.do\_not\_disturb   
 \*           is set.  While this will make k.do\_not\_disturb into a recursive lock, it   
 \*           will also require additional logic to allow for the possibility that the   
 \*           kernel has been modified while the k.do\_not\_disturb flag is set.   
 \*   
 \*      If we followed the single thread version of H5I exactly, the realize\_cb and   
 \*      discard\_cb would have to be atomic since they are set to NULL when is\_future   
 \*      is set to FALSE.  However, that doesn't seem necessary, so the are non-atomic   
 \*      fields in H5I\_mt\_id\_info\_t.  This should be OK, as the only time they are   
 \*      modified is when the instance of H5I\_mt\_id\_info\_t is being initialized prior   
 \*      to insertion into the index.  Since only one thread has access at that point,   
 \*      leaving them as regular fields should work.  However, if compilers start   
 \*      optimizing across function boundaries, this will have to be re-visited.   
 \*   
 \*      More generally, note that the above is a bit of a kluge to accommodate the   
 \*      current implementation of future IDs, and more generally, to accommodate call   
 \*      backs that can fail and/or can’t be rolled back.   
 \*   
 \*      While we are probably stuck with the current callbacks for the native VOL   
 \*      for now, new, more multi-thread friendly versions of the H5I callbacks   
 \*      should be developed.   
 \*   
 \*      Finally, note that while we have technically managed to avoid locks, the   
 \*      do\_not\_disturb flag is effectively a lock which will have to be made   
 \*      recursive.  Its main virtue is its near total lack of overhead in cases   
 \*      where locking is not required.  Whether this is sufficient reason to keep   
 \*      it remains to be seen.   
 \*   
 \* realize\_cb: 'realize' callback for future object.   
 \*  
 \* discard\_cb: 'discard' callback for future object.   
 \*   
 \*   
 \* Fields supporting the H5I\_mt\_id\_info\_t free list:   
 \*   
 \* on\_fl: Atomic boolean flag that is set to TRUE when the instance of   
 \*      H5I\_mt\_id\_info\_t is place on the id info free list, and to FALSE on initial   
 \*      allocation from the heap, or when the instance is allocated from the free   
 \*      list.   
 \*   
 \* fl\_snext: Atomic instance of H5I\_mt\_id\_info\_sptr\_t used in the maintenance of the   
 \*      id info free list.  The structure contains both a pointer and a serial   
 \*      number, which facilitates the avoidance of ABA bugs when managing the free   
 \*      list.   
 \*   
 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/  
  
typedef struct H5I\_mt\_id\_info\_kernel\_t {   
  
 unsigned count; /\* Ref. count for this ID \*/   
 unsigned app\_count; /\* Ref. count of application visible IDs \*/   
 const void \* object; /\* Pointer associated with the ID \*/   
  
 hbool\_t marked; /\* Marked for deletion \*/   
 hbool\_t do\_not\_disturb;   
 hbool\_t is\_future; /\* Whether this ID represents a future

object \*/   
 hbool\_t have\_global\_mutex;   
  
} H5I\_mt\_id\_info\_kernel\_t;   
  
typedef struct H5I\_mt\_id\_info\_t {   
  
 uint32\_t tag;   
  
 hid\_t id;   
  
 \_Atomic H5I\_mt\_id\_info\_kernel\_t k;   
  
 /\* Future ID callbacks \*/   
 H5I\_future\_realize\_func\_t realize\_cb; /\* 'realize' callback for future object \*/   
 H5I\_future\_discard\_func\_t discard\_cb; /\* 'discard' callback for future object \*/   
  
 \_Atomic hbool\_t on\_fl;   
  
 \_Atomic H5I\_mt\_id\_info\_sptr\_t fl\_snext;   
  
} H5I\_mt\_id\_info\_t;

While most of the multi-thread issues in H5I involve individual IDs in an index, H5I must also manage each individual index. The structures used for this in the single thread version are reproduced below:

typedef struct H5I\_class\_t {   
 H5I\_type\_t type; /\* Class "value" for the type \*/   
 unsigned flags; /\* Class behavior flags \*/   
 unsigned reserved; /\* Number of reserved IDs for this type \*/   
 /\* [A specific number of type entries may be   
 \* reserved to enable "constant" values to be   
 \* handed out which are valid IDs in the type,   
 \* but which do not map to any data structures   
 \* and are not allocated dynamically later.]   
 \*/   
 H5I\_free\_t free\_func; /\* Free function for object's of this type \*/   
} H5I\_class\_t;

typedef struct H5I\_type\_info\_t {   
 const H5I\_class\_t \*cls; /\* Pointer to ID class \*/   
 unsigned init\_count; /\* # of times this type has been initialized \*/   
 uint64\_t id\_count; /\* Current number of IDs held \*/   
 uint64\_t nextid; /\* ID to use for the next object \*/   
 H5I\_id\_info\_t \*last\_id\_info; /\* Info for most recent ID looked up \*/   
 H5I\_id\_info\_t \*hash\_table; /\* Hash table pointer for this ID type \*/   
} H5I\_type\_info\_t;

Multi-thread H5I uses H5I\_class\_t without alteration, albeit with one newly defined flag. This flag is intended to mark indexes whose callbacks are “multi-thread safe”. The exact requirements for setting this flag are still to be determined – and will likely remain so for some time.

In contrast, H5I\_type\_info\_t is replaced with the new structure H5I\_mt\_type\_into\_t in multi-thread H5I.

The cls field is constant, and thus remains unchanged. init\_count[[22]](#footnote-22), id\_count, and next\_id become atomic variables, but are otherwise remain unchanged, and last\_id\_info becomes an atomic pointer to H5I\_mt\_id\_info\_t.

The hash\_table field is specific to uthash, and thus is replace with two new fields – lfht, which is an instance of lfht\_t – the root structure for a lock free hash table, and the atomic boolean flag lfht\_cleared, which is set to TRUE when lfht has been cleared in preparation for discarding the index.

Like H5I\_mt\_id\_info\_t, H5I\_mt\_type\_info\_t can be accessed simultaneously by multiple threads. Thus, discarded instances of H5I\_mt\_type\_info\_t must be retained on a free list until it is certain that no thread retains a pointer to them. Also, as per H5I\_mt\_id\_info\_t, the pointers used in this list must be combined with a serial number in an atomic structure, with the serial number being incremented each time the pointer is modified.

While this may change as I get deeper into testing multi-thread index creation and deletion mixed with operations on the indexes in question, so far it hasn’t seemed necessary to combine any of the fields of H5I\_mt\_type\_info\_t into an atomic substructure as was done in H5I\_mt\_id\_info\_t.

The type definitions of H5I\_mt\_type\_info\_sptr\_t and H5I\_mt\_type\_info\_t are reproduced below, along with their header comments.

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*  
 \*   
 \* struct H5I\_mt\_type\_info\_sptr\_t   
 \*   
 \* H5I\_mt\_type\_info\_sptr\_t combines a pointer to H5I\_mt\_type\_info\_t with a serial   
 \* number that must be incremented each time the value of the pointer is changed.   
 \*   
 \* When it appears in either H5I\_mt\_type\_info\_t or H5I\_mt\_t, it should do so   
 \* as an atomic object. Its purpose is to avoid ABA bugs.   
 \*   
 \* ptr: pointer to an instance of H5I\_mt\_type\_info\_t, or NULL if undefined.   
 \*   
 \* sn: uint64\_t that is initialized to 0, and must be incremented by 1 each time the   
 \* ptr field is modified.   
 \*   
 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/  
  
typedef struct H5I\_mt\_type\_info\_t H5I\_mt\_type\_info\_t; /\* forward declaration \*/   
  
typedef struct H5I\_mt\_type\_info\_sptr\_t {   
  
 H5I\_mt\_type\_info\_t \* ptr;   
 uint64\_t sn;   
  
} H5I\_mt\_type\_info\_sptr\_t;

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*  
 \*   
 \* struct H5I\_mt\_type\_info\_t   
 \*   
 \* H5I\_mt\_type\_info\_t is a re-write of H5\_type\_info\_t with modifications to facilitate  
 \* the multi-thread version of H5I.   
 \*   
 \* As such, most of the fields will be familiar from H5\_type\_info\_t.   
 \*   
 \* tag: unsigned int 32 set to H5I\_\_TYPE\_INFO when allocated, and to

\* H5I\_\_TYPE\_INFO\_INVALID just before the instance of H5I\_mt\_id\_info\_t is

\* deallocated.   
 \*   
 \* cls: Pointer to the ID class.   
 \*   
 \* init\_count: Number of times this type has been initialized less the number of times  
 \* its reference count has been decremented.   
 \*   
 \* id\_count: Current number of IDs in the type.   
 \*   
 \* next\_id: ID to be allocated to the next object inserted into the index.   
 \*   
 \* last\_id\_info: Pointer to the instance of H5I\_mt\_id\_info\_t associated with the last   
 \* ID accessed in the index. Note that it is possible for this pointer to be

\* NULL, or for it to point (briefly) to an instance of H5I\_mt\_id\_info\_t that

\* has been marked for deletion.   
 \*   
 \* lfht\_cleared: Boolean flag that is set to TRUE when the lock free hash table

\* associated with the index is cleared in preparation for deletion.   
 \*   
 \* lfht: The instance of lfht\_t that forms the root of the lock free hash table in

\* which   
 \* all objects in the index are stored, and which supports the look up of the   
 \* instance of H5I\_mt\_id\_info\_t associated with any given ID.   
 \*   
 \* Note that the lock free hash table may contain pointers to instances of   
 \* H5I\_mt\_id\_info\_t that have been marked for deletion. Such entries and their   
 \* associated IDs have been logically deleted from the index, even though their   
 \* associated instances of H5I\_mt\_id\_info\_t remain in the lock free hash table.   
 \*   
 \*   
 \* Fields supporting the H5I\_mt\_type\_info\_t free list:   
 \*   
 \* on\_fl: Atomic boolean flag that is set to TRUE when the instance of   
 \* H5I\_mt\_type\_info\_t is place on the type info free list, and to FALSE on   
 \* initial allocation from the heap, or when the instance is allocated from

\* the free list.   
 \*   
 \* fl\_snext: Atomic instance of H5I\_mt\_type\_info\_sptr\_t used in the maintenance of the  
 \* type info free list. The structure contains both a pointer and a serial   
 \* number, which facilitates the avoidance of ABA bugs when managing the free

\* list.   
 \*   
 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
  
#define H5I\_\_TYPE\_INFO 0x1011 /\* 4113 \*/   
#define H5I\_\_TYPE\_INFO\_INVALID 0x2021 /\* 8225 \*/  
  
  
typedef struct H5I\_mt\_type\_info\_t {

uint32\_t tag;   
 const H5I\_class\_t \* cls; /\* Pointer to ID class \*/   
 \_Atomic unsigned init\_count; /\* # of times this type has been

\* initialized

\*/   
 \_Atomic uint64\_t id\_count; /\* Current number of IDs held \*/   
 \_Atomic uint64\_t nextid; /\* ID to use for the next object \*/   
 H5I\_mt\_id\_info\_t \* \_Atomic last\_id\_info; /\* Info for most recent ID looked

\* up

\*/   
 \_Atomic hbool\_t lfht\_cleared; /\* TRUE iff the lock free hash table

\* has been cleared in prep for

\* deletion

\*/   
 lfht\_t lfht; /\* lock free hash table for this ID

\* type

\*/   
 \_Atomic hbool\_t on\_fl;   
 \_Atomic H5I\_mt\_type\_info\_sptr\_t fl\_snext;

} H5I\_type\_info\_t;

Finally, H5I must create and discard indexes as directed – and thus requires the supporting data structures.

Note that for historical reasons, indexes are referred to as “types” in the H5I code. While confusing from the point of view of this paper, it is probably due to the fact that each index is used to store, index, and assign IDs to different types of data – and from that perspective it makes sense. However, as this paper is concerned with the internals of H5I, we will continue to call indexes indexes.

In the single thread version of H5I, the data structures needed to manage multiple indexes (AKA types) are implemented as a small collection of global and static variables – reproduced below:

H5\_DLLVAR H5I\_type\_info\_t \*H5I\_type\_info\_array\_g[H5I\_MAX\_NUM\_TYPES];   
  
/\* Variable to keep track of the number of types allocated. Its value is the   
\* next type ID to be handed out, so it is always one greater than the number   
\* of types.   
\* Starts at 1 instead of 0 because it makes trace output look nicer. If more   
\* types (or IDs within a type) are needed, adjust TYPE\_BITS in H5Ipkg.h   
\* and/or increase size of hid\_t   
\*/   
H5\_DLLVAR int H5I\_next\_type\_g;

/\* Whether deletes are actually marks (for mark-and-sweep) \*/   
static hbool\_t H5I\_marking\_s = FALSE;

H5I\_type\_info\_array\_g[] is used to store pointers to the defined indexes and their associated data stored in instances of H5I\_type\_info\_t. Null entries indicate index (AKA type) IDs that are currently undefined.

If H5I\_next\_type\_g global is less than H5I\_MAX\_NUM\_TYPES, it is used to store the next index (AKA type) ID that is available for allocation. Failing that, the single thread code scans H5I\_type\_info\_array\_g[] looking for a NULL cell. If one is found, the associated index (AKA type) ID is allocated, and that cell in the array is set to point to the instance of H5I\_type\_info\_t that contains the data associated with the new index (AKA type). The index creation fails if no vacant location is found on the H5I\_type\_info\_array\_g[].

When set, H5I\_marking\_s forces IDs that would otherwise be removed from any index, to be marked for deletion, but not actually deleted. The presumption is that a later sweeps of the indexes will physically remove the marked entries.

This general architecture is retained in multi-thread H5I, albeit with significant adjustments.

The first of these is to collect all fields associated with the management of indexes (AKA types) into a single structure (H5I\_mt\_t), a single instance of which is declared as a global (H5I\_mt\_g).

The array of pointers to the root structures of the defined indexes (AKA types) is retained, although its name has been changed to H5I\_mt\_g.type\_info\_array[], each of whose elements is an atomic pointer to H5I\_mt\_type\_info\_t. As before, this array is indexed by the IDs assigned to the various indexes (AKA types). However, a companion array of atomic booleans (H5I\_mt\_g.type\_info\_allocation\_table) has been added to track which index (AKA type) IDs have been allocated. This allows the assignment of index IDs and the creation of indexes to be managed as two separate, atomic operations.

The H5I\_next\_type\_g global is retained as H5I\_mt\_g.next\_type, and functions as before save that H5I\_mt\_g.type\_info\_allocation\_table[] is scanned for available IDs, instead of H5I\_mt\_g.type\_info\_array[] in the event that H5I\_mt\_g.next\_type is greater than or equal to H5I\_MAX\_NUM\_TYPES.

The marking boolean causes major problems in the multi-thread case, as it effects all indexes – a problem in the multi-thread case[[23]](#footnote-23). For now, it is retained as the marking\_array[], with one marking integer for each index (or type). Integers are used instead of booleans to allow for multiple threads interacting with a cell in the marking array at once This deals with the issues that appear in the current test code, but it is not a complete solution. The correct solution is probably to remove the marking\_array[] completely, but unless further issues arise, this will wait until the production version.

In addition to these legacy fields, H5I\_mt\_t has many other fields, that fall into two general categories.

The first of these is management of the free lists for discarded instances of H5I\_mt\_id\_info\_t and H5I\_mt\_type\_info\_t. These lists function much as the free list in the lock free hash table, but use a different mechanism for determining when no thread retains a pointer to an entry. At a conceptual level, the heuristic used is to track the number of threads in the H5I code, and note that all entries in the free list when this count drops to zero can be safely reallocated or released to the heap.[[24]](#footnote-24) This works because pointers to H5I\_mt\_id\_info\_t and H5I\_type\_info\_t are never allowed to escape the H5I code.

The actual protocol for updating the number of reallocate-able free list entries is shown below. It is executed whenever a thread is leaving the H5I code, and the number of active threads in H5I drops to zero.

1. Make note of the number of threads that have entered H5I.
2. Make note of the current number of active threads.
3. Make note of the current number of reallocate-able entries on the free list, and the total length of the free list.
4. Make note of the number of threads that have entered H5I.
5. If the values collected in 1 and 4 disagree, or if the number of active threads collected in 2 is not zero, a thread may have been active while we were collecting data in 3. As this will corrupt our calculation of how many entries to add to the current number of reallocate-able entries on the free list, do nothing and exit.
6. Using the values collected in 3, set delta equal to the total length of the free list less the current number of reallocate-able entries in the free list.
7. Using a call to atomic\_compare\_exchange\_strong(), attempt to replace the current number of reallocate-able entries on the free list with the number of reallocate-able entries collected in 3 plus delta. Exit without further action if this attempt fails.

Note that we can’t just do an atomic\_fetch\_add() to update the number of reallocate-able entries on the free list, since that could result in multiple threads seeing the same values and adding the same delta to the number of reallocate-able entries if two or more threads enter and exit H5I quickly, see the same data, and are delayed at just the right moments.

On the surface, one would think that the above algorithm would be susceptible to an ABA bug, which could result in an inflated count of the number of reallocate-able free list entries. If this is an issue, an obvious solution is to put a serial number of the number of reallocate-able free list entries. Indeed this was done while chasing a bug that turned out to have a completely different cause.

However, sanity checks designed to reveal this have not been triggered, and until I can trigger this error reliably, it will be hard to demonstrate the utility of this fix.

More to the point, the existing algorithm is rather heavy weight, and serializing the reallocate-able free list entries count would only add to this. Thus it seems more useful to try to develop some ideas for a lighter weight approach that doesn’t suffer from this issue.

The second major category is statistics. While statistics collection is of immense value in debugging complex code in general and multi-thread code in particular, it is of no great interest in the current context. Thus the statistics fields have been omitted in the following reproduction of the definition of H5I\_mt\_t and its associated header comment.

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*  
 \*   
 \* struct H5I\_mt\_t   
 \*   
 \* A single, global instance of H5I\_mt\_t is used to collect all H5I global variables

\* that:   
 \*   
 \* 1) are existing globals that must be made into atomic variables in the MT context,

\* or   
 \*   
 \* 2) are new globals needed for the MT case, or   
 \*   
 \* 3) are needed to maintain statistics on the multi-thread version of H5I.   
 \*   
 \* The single instance is declared as a global, and initialized in H5I\_init().   
 \*   
 \* Fields are discussed individually below.   
 \*   
 \*   
 \* Pre-existing Globals:   
 \*   
 \* type\_info\_array: Array of atomic pointers to H5I\_mt\_type\_info\_t of length   
 \* H5I\_MAX\_NUM\_TYPES used to map type IDs to the associated instances of   
 \* H5I\_mt\_type\_info\_t,   
 \*   
 \* All elements of the type\_info\_array are initialized to NULL, and are   
 \* set back to NULL when the associated instance of H5I\_mt\_type\_info\_t

\* is discarded.   
 \*

\* type\_info\_allocation\_table:  Array of atomic booleans used to track which   
 \* entries in the type\_info\_array are allocated.   
 \*   
 \* In the single thread version of H5I, type IDs can be re-used. I had   
 \* originally planed to simplify the H5I code by dis-allowing this in the   
 \* multi-thread H5I code, however this breaks the serial tests. While it   
 \* is doubtful that this has any practical significance, this is not   
 \* something to be changed lightly -- particularly in the initial prototype.   
 \*   
 \* To make things more complicated, type IDs are allocated in two different   
 \* ways. Index types used by the HDF5 library proper are allocated statically   
 \* in the header files, while index types created by users are allocated   
 \* dynamically in H5Iregister\_type(). In the single thread code, this is   
 \* done via one of two methods:   
 \*   
 \* First, type IDs are allocated sequentially using the H5I\_next\_type\_g   
 \* to maintain the next ID to be allocated. This method is used until   
 \* the value of H5I\_next\_type\_g is equal to H5I\_MAX\_NUM\_TYPES. When this   
 \* method is exhausted, the sequential code does a linear scan on the   
 \* H5I\_type\_info\_array\_g, and uses the index of the first NULL entry   
 \* encountered as the next type ID to allocate.   
 \*   
 \* This procedure of scanning the type\_info\_array is not directly applicable   
 \* to the multi-thread case as the ID is allocated well before the associated   
 \* entry in the type\_info\_array is set to point to the new instance of   
 \* H5I\_mt\_type\_info\_t. While this could be finessed with a special value   
 \* indicating that the entry in the type\_info\_array was allocated but invalid,   
 \* an allocation table seemed to offer a cleaner solution.   
 \*   
 \* Thus the booleans in the type\_info\_allocation\_table are set to TRUE when   
 \* a type ID is allocated, and back to FALSE when it is de-allocated. In   
 \* contrast, the pointers in the type\_info\_array are NULL if the associated   
 \* ID is undefined, and point to the associated instance of H5I\_mt\_type\_info\_t   
 \* if the ID is defined. This lets us treat type ID allocation and definition   
 \* as two, separate atomic operations.   
 \*   
 \* Note that for now at least, I am retaining the two methods of allocating   
 \* type IDs. While this is redundant, I hesitate to change the behavior of   
 \* the H5I code any more than is necessary -- at least for the initial   
 \* multi-thread implementation.  
 \*   
 \* next\_type: Atomic integer. If its value is less than H5I\_MAX\_NUM\_TYPES, it

\* contains the next type ID to be assigned. Otherwise, it is a flag indicating

\* that the type\_info\_allocation\_table must be scanned for an un-used type ID.  
 \*

\* marking\_array:  Functionally, the marking array replaces the H5I\_marking\_g   
 \* boolean in the single thread version of H5I. It differs from H5I\_marking\_g   
 \* in two ways:   
 \*   
 \* First, it is an array of size H5I\_MAX\_NUM\_TYPES. Thus there is one   
 \* one cell per type.   
 \*   
 \* Second, instead of booleans, the cells are integers to allow for   
 \* multiple threads setting and re-setting it.   
 \*   
 \* The primary impetus for this change to to prevent interference between   
 \* different threads working on different types interfering with each other   
 \* via a shared marking flag. While this doesn't seem to be much of a   
 \* problem in normal operation, it can cause major memory leaks during   
 \* type destroys.   
 \*   
 \* Note that the introduction of the marking\_array doesn't completely solve   
 \* the problem, as there is still the possibility of interference between   
 \* multiple threads acting on the same type. However, it does greatly   
 \* ameliorate the issue.   
 \*   
 \* If possible, the best solution would be to get rid of the mark and flush   
 \* approach entirely. Unfortunately, I am not in a position to judge the   
 \* practicality of this at present. Failing that, something better   
 \* than the current bandaid will be required.  
 \*   
 \*   
 \* New Globals:   
 \*   
 \* active\_threads: Atomic integer used to track the number of threads currently   
 \* active in H5I.   
 \*   
 \* id\_info\_fl\_shead: Atomic instance of struct H5I\_mt\_id\_info\_sptr\_t, which contains   
 \* a pointer (ptr) to the head of the id info free list, and a serial number (sn)  
 \* which must be incremented each time a new value is assigned to

\* id\_info\_fl\_shead.ptr.   
 \*   
 \* The objective here is to prevent ABA bugs.   
 \*  
 \*      Note that once initialized, the id info free list will always contain at least  
 \* one entry, and is logically empty if:

\*  
 \* id\_info\_fl\_shead.ptr == id\_info\_fl\_stail.ptr != NULL.   
 \*   
 \* id\_info\_fl\_stail: Atomic instance of struct H5I\_mt\_id\_info\_sptr\_t, which contains   
 \* a pointer (ptr) to the tail of the id info free list, and a serial number (sn)  
 \* which must be incremented each time a new value is assigned to

\* id\_info\_fl\_stail.   
 \*   
 \* The objective here is to prevent ABA bugs.   
 \*   
 \* id\_info\_fl\_len: Atomic unsigned integer used to maintain a count of the number of   
 \* nodes on the id info free list. Note that due to the delay between free list   
 \* insertions and deletions, and the update of this field, this count may be off   
 \* for brief periods of time.   
 \*   
 \* Recall that the free list must always contain at least one entry. Thus, when   
 \* correct, fl\_len will be one greater than the number of entries on the free

\* list.   
 \*

\* max\_desired\_id\_info\_fl\_len: Unsigned integer field containing the desired maximum   
 \* id info free list length. This is of necessity a soft limit as entries cannot  
 \* be removed from the head of the free list unless they are re-allocable.   
 \*   
 \* num\_id\_info\_fl\_entries\_reallocable: Atomic uint64\_t containing the number of   
 \* entries in the id info free list that are known to have no remaining threads   
 \* in H5I that retain pointers to them, and are thus reallocable. If this field   
 \* is positive, any thread may decrement it, and take the next entry off the head  
 \* of the id into free list and re-use it.  
 \*   
 \*   
 \* type\_info\_fl\_shead: Atomic instance of struct H5I\_mt\_type\_info\_sptr\_t, which

\* contains a pointer (ptr) to the head of the type info free list, and a serial

\* number (sn) which must be incremented each time a new value is assigned to

\* type\_info\_fl\_shead.   
 \*   
 \* The objective here is to prevent ABA bugs.   
 \*   
 \* Note that once initialized, the type info free list will always contain at

\* least one entry, and is logically empty if:

\*

\* type\_info\_fl\_shead.ptr == type\_info\_fl\_stail.ptr != NULL.   
 \*   
 \* type\_info\_fl\_stail: Atomic instance of struct H5I\_mt\_type\_info\_sptr\_t, which

\* contains a pointer (ptr) to the tail of the type info free list, and a serial

\* number (sn) which must be incremented each time a new value is assigned to

\* type\_info\_fl\_stail.ptr.   
 \*   
 \* The objective here is to prevent ABA bugs.   
 \*  
 \* type\_info\_fl\_len: Atomic unsigned integer used to maintain a count of the number of  
 \* nodes on the type info free list. Note that due to the delay between free  
 \* list insertions and deletions, and the update of this field, this count may be  
 \* off for brief periods of time.   
 \*   
 \* Recall that the free list must always contain at least one entry. Thus, when   
 \* correct, type\_info\_fl\_len will be one greater than the number of entries on   
 \* the free list.   
 \*   
 \* max\_desired\_type\_info\_fl\_len: Unsigned integer field containing the desired maximum  
 \* type info free list length. This is of necessity a soft limit as entries   
 \* cannot be removed from the head of the free list they are re-allocable.   
 \*   
 \* num\_type\_info\_fl\_entries\_reallocable: Atomic uint64\_t containing the number of   
 \* entries in the type info free list that are known to have no remaining threads  
 \* in H5I that retain pointers to them, and are thus reallocable. If this field   
 \* is positive, any thread may decrement it, and then take the next entry off the  
 \* head of the type info list and re-use it.  
 \*  
 \*   
 \* Statistics:  
 \*   
 \* dump\_stats\_on\_shutdown: Boolean flag that controls display of statistics in   
 \* H5I\_term\_package(). When set to TRUE, stats are displayed when shutdown is   
 \* complete, and just before stats are reset.   
 \*  
 \*

\* ======= Statistics fields omitted for brevity ==========  
 \*   
 \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
typedef struct H5I\_mt\_t {

/\* Cognates of pre-existing Globals: \*/   
 \_Atomic (H5I\_mt\_type\_info\_t \*) type\_info\_array[H5I\_MAX\_NUM\_TYPES];   
 \_Atomic hbool\_t type\_info\_allocation\_table[H5I\_MAX\_NUM\_TYPES];   
 \_Atomic int next\_type;   
 \_Atomic int marking\_array[H5I\_MAX\_NUM\_TYPES];   
  
 /\* New Globals: \*/   
 \_Atomic uint32\_t active\_threads;   
  
 \_Atomic H5I\_mt\_id\_info\_sptr\_t id\_info\_fl\_shead;   
 \_Atomic H5I\_mt\_id\_info\_sptr\_t id\_info\_fl\_stail;   
 \_Atomic uint64\_t id\_info\_fl\_len;   
 \_Atomic uint64\_t max\_desired\_id\_info\_fl\_len;   
 \_Atomic uint64\_t num\_id\_info\_fl\_entries\_reallocable;   
  
 \_Atomic H5I\_mt\_type\_info\_sptr\_t type\_info\_fl\_shead;   
 \_Atomic H5I\_mt\_type\_info\_sptr\_t type\_info\_fl\_stail;   
 \_Atomic uint64\_t type\_info\_fl\_len;   
 \_Atomic uint64\_t max\_desired\_type\_info\_fl\_len;   
 \_Atomic uint64\_t num\_type\_info\_fl\_entries\_reallocable;   
  
  
 /\* Statistics: **/** \_Atomic hbool\_t dump\_stats\_on\_shutdown; **/**\*\*\*\*\* stats fields omitted for brevity \*\*\*\*\*/  
  
} H5I\_mt\_t;

While most of the general approach to integrating multi-thread H5I with the single thread sections of HDF5 have already been discussed, there one last detail that remains to be discussed.

H5I is called extensively from the single thread sections of HDF5. Since these sections of HDF5 must be protected by the global mutex, it follows that the global mutex will be held while these threads are in H5I. Thus, to avoid extra activity on the global mutex, we check whether the current thread holds the global mutex just before callback invocations, and only wrap the callback in the global mutex if the mutex is not already held.

As promised, this section closes with the promised list of bypassed issues – most of which have been discussed above.

* Tidy up the lock free hash table implementation. In particular, convert it into a set of macros, and modify the code to allow an arbitrary number of hash buckets, instead of the current hard coded 1024 bucket limit.
* Decide how to implement recursive locks on IDs, or rework the internal API so as to make this point moot.
* Resolve the future ID issue.
* Implement the get first / get next public API to support iteration.
* Resolve the marking boolean / marking array issue.
* Resolve the possible ABA bug in the implementation of the heuristic that updates the number of reallocate-able entries in the free lists.
* Investigate and resolve start up / shutdown issues. At present the assumption is that both startup and shutdown are performed by a single thread, and that all other threads have exited before before shutdown. This is reasonable for the prototype, but we must do better for the production version.
* In H5I\_is\_file\_object() it is possible that the target ID will be deleted out from under the call to H5T\_is\_named() call. This has been partially addressed by incrementing the reference count before invoking H5T\_is\_named() and and decrementing it again afterwards, but a more complete solution is needed. Since H5T\_is\_named() is called under the global mutex, it would probably be sufficient to modify this function to check to see if the supplied void pointer is valid on entry, and fail gracefully if it is not.

### Function Outlines

Multi-thread H5I is still a work in progress. As discussed above there are a number of issues that must be resolved in the production version, and doubtless further issues will be exposed as H5P, H5CX, and H5VL are addressed. Thus it seems premature to write an exhaustive list of the internal and external H5I API calls, and outline the processing and issues in each case.

### Testing Outline

As with the development of H5I proper, there are a number of issues that have been bypassed either in favor favor of speed, in the expectation of design changes, or due to the lack of standards on how multi-thread test code should be integrated into the HDF5 library regression test suite. These issues are mentioned in passing in this section, and listed again at the end.

Perhaps the most universal issue is the use asserts to report errors exposed by the test code. While this is very convenient for debugging multi-thread code, existing HDF5 regression test code tries to list errors detected, exit in an organized fashion, and go on to the next test. This issue must be addressed in the production version to make the test code acceptable in HDF5. At a guess, we will create error reporting macros that can go either way depending on a flag supplied at compile time, thus allowing either efficient debugging or more typical HDF5 regression test error reporting.

A related issue is test run time. As it is difficult if not impossible to set up specific temporal interactions between threads for testing purposes, it is common to use long running tests, ideally with a range of thread counts and randomly selected operations to try to obtain something approaching complete test coverage. This is never sure, but the longer the test runs without error, the higher one’s confidence becomes.

This is contrary to the usual practice in the the HDF5 regression test suite, where relatively short running tests are required. As before, this issue must be addressed in the production version.

As the intention is to convert the lock free singly linked list and lock free hash table code into a collection of macros to facilitate re-use, there is no attempt to conform to HDF5 coding practices in the current implementation. Instead, the lock free hash table code resides in two files, lfht.h and lfht.c in the src directory which are simply included in H5Iint.c. The test code is in the file lfht\_test.c – also in src. The file lfht.txt contains a brief overview of the code, its current status, and how to build and run the regression tests. Note that the version compiled in when lfht\_test.c is built is slightly different from the executable built with H5Iint.c due to some conditional compilation to match ID types and ranges.

The lock free hash table tests start with a variety of serial tests to verify basic functionality, and then proceed with multi-thread tests that cover the entire lock free hash table API with increasing randomness, and therefore increased likelihood of expected failures in API calls. Sanity checks on the final version of the lock free hash table and statistics are used extensively for error detection. All the multi-thread test are run repeatedly, with the number of concurrent threads ranging from 2 to 31. Since the lock free hash table API is very simple, test coverage is good – with the usual multi-thread testing caviats.

At present, there is one known issue with the lock free hash table.

When a node is released to the free list, there is code to check to see if the free list length has grown to exceed its maximum desired size (256 at present). If it has, this code checks to see if the node at the head of the free list is available for reallocation, and if so, removes it from the free list, and releases it to the heap. When run with large numbers of threads, this code is associated with an intermittent off by one error in the free list length atomic variable, which triggers an assertion failure in the sanity checks that are run at lock free hash table shutdown. The maintenance of the free list length atomic variable is very simple, and appears to be correct. Since disabling this code causes no functional issues as nodes are still reallocated from the free list when available, I have bypassed this issue for now for reasons of schedule pressure. Needless to say, the root cause must be found and addressed in the production version.

While conceptually similar, the situation with the H5I multi-thread test code is quite different.

The HDF5 library depends heavily on the index code. Thus the first level of testing for the multi-thread version of H5I is the existing HDF5 regression test. From a testing perspective, the current multi-thread build may be thought of as the thread safe build, with the multi-thread versions of H5E and H5I replacing the existing single thread versions. Recall that with the exception of some public API calls, the global mutex is pushed to the bottom of the multi-thread H5I module.[[25]](#footnote-25)

While the existing HDF5 regression tests are all single thread when run in the multi-thread build, these tests have been quite useful. Not only have they exposed single thread errors in the multi-thread H5I code, they have made it clear that if we lock individual IDs, these locks must be recursive.

The test code specific to the multi-thread version of H5I is in test/mt\_id\_test.c. This test program is build and executed automatically in the multi-thread build.

Structurally, it is similar to lfht\_test.c. However, the API supported by H5I is much more complex that that of the lock free hash table. Similarly, tracking the expected state of the index is more difficult as well. As a result, the current test code concentrates on the H5I external API, and does not have full coverage even there.

mt\_id\_test.c starts with a variety of serial tests intended to further verify correct serial behavior of the multi-thread implementation of H5I. In general, these tests concentrate on core functionality. That said, the fourth serial test is directed at the future ID capability. Writing this test convinced me that the current future ID API was unworkable in the multi-thread case.

At present, mt\_id\_test.c runs two multi-thread tests.

The first multi-thread test runs a mix of ID registrations, ID ref count increments and decrements, object verifications, and index (AKA type) ref count increments chosen so as to avoid any possible interactions between threads, and thus any possible errors resulting from collisions. Index (AKA type) creations and destroys are done in the single thread section of the test. The test is run with thread counts ranging from 1 to 32.

The second multi-thread test is just the opposite.

It runs a mix of index (AKA type) registrations, id ref count gets, increments and decrements, remove verifies, object verifies, and index (AKA type) destroys. Index (AKA type) registrations and destroys and executed at thread startup and shut down respectively, but since all threads potentially address all types and all IDs, attempts to access IDs in indexes (AKA types) that have been deleted are not precluded.

The status of each ID is tracked, and its current state is used to analyze the results of operations on same – with errors being flagged if the results are inconsistent with the current state. Because may operations are in progress simultaneously, it is possible that results will be ambiguous. The remove verify operation causes particular problems in this context. Ambiguous results are counted, and reported at test completion.

At present, there are two known unresolved issues that have been exposed by mt\_id\_test.c.

First, the index (AKA type) shut down code is not fully multi-thread safe. This issue was already known, and appears very rarely in mt\_id\_test runs. For the initial implementation, the presumption is that the HDF5 library shutdown would be handled by a single thread. While this may be practical for HDF5 proper, it neglects the fact that the public H5I API allows the user to create and destroy indexes (AKA types) at will. This will not be an issue for the prototype, but it must be addressed in the production version.

Second, there is the matter of the potential race condition in the computation of the number of reallocate-able entries in the free lists maintained by H5I. As discussed above, this issue has yet to appear, and will probably be resolved via a design change.

Finally, there is the matter of the rather less than complete coverage of the H5I API in mt\_id\_test.c. Current tests should be sufficient for the needs of the prototype, but the issue must be resolved in the production version – most likely through a mix of API modifications and added test code.

As promised, the consolidated list of know issues is shown below:

* Use of asserts in test code.
* Integration of long running tests into the HDF5 regression test suite.
* Free list length off by one bug in the lock free hash table code.
* Conversion of the lock free hash table code to a collection of macros.
* Rework of lock free hash table test code to conform with HDF5 standards.
* Address multi-thread type (or index) take down issues.
* Resolve potential race condition in number of reallocate-able entries on free lists issue.
* Resolve mt\_id\_test.c coverage issues.

# Appendix 1 – H5I public API calls

After some type and macro definitions, this appendix contains a list of all the public H5I API calls, along with call trees, relevant structure definitions, and descriptions of their processing with particular emphasis on multi-thread safety issues. Note that this data was derived by inspection, and thus some errors and/or oversights should be expected.

The list of public API calls was taken from H5Ipublic.h and H5Idev.h. All the public API calls in this file are decorated with Doxygen code to generate user level documentation on public API calls. I have included this code as it may be a useful addition to my own documentation.

Finally, I have not investigated H5Iget\_file\_id() and H5Iget\_name() beyond construction of an initial call tree, as these functions contain direct calls to H5VL, and H5F, and to other packages farther down the call tree. As the focus of the current effort is H5I, I am bypassing these calls for now.

/\*\*

\* Library type values.

\* \internal Library type values. Start with `1' instead of `0' because it

\* makes the tracing output look better when hid\_t values are large

\* numbers. Change the TYPE\_BITS in H5I.c if the MAXID gets larger

\* than 32 (an assertion will fail otherwise).

\*

\* When adding types here, add a section to the 'misc19' test in

\* test/tmisc.c to verify that the H5I{inc|dec|get}\_ref() routines

\* work correctly with it. \endinternal

\*/

//! <!-- [H5I\_type\_t\_snip] -->

typedef enum H5I\_type\_t {

H5I\_UNINIT = (-2), /\*\*< uninitialized type \*/

H5I\_BADID = (-1), /\*\*< invalid Type \*/

H5I\_FILE = 1, /\*\*< type ID for File objects \*/

H5I\_GROUP, /\*\*< type ID for Group objects \*/

H5I\_DATATYPE, /\*\*< type ID for Datatype objects \*/

H5I\_DATASPACE, /\*\*< type ID for Dataspace objects \*/

H5I\_DATASET, /\*\*< type ID for Dataset objects \*/

H5I\_MAP, /\*\*< type ID for Map objects \*/

H5I\_ATTR, /\*\*< type ID for Attribute objects \*/

H5I\_VFL, /\*\*< type ID for virtual file layer \*/

H5I\_VOL, /\*\*< type ID for virtual object layer \*/

H5I\_GENPROP\_CLS, /\*\*< type ID for generic property list classes \*/

H5I\_GENPROP\_LST, /\*\*< type ID for generic property lists \*/

H5I\_ERROR\_CLASS, /\*\*< type ID for error classes \*/

H5I\_ERROR\_MSG, /\*\*< type ID for error messages \*/

H5I\_ERROR\_STACK, /\*\*< type ID for error stacks \*/

H5I\_SPACE\_SEL\_ITER, /\*\*< type ID for dataspace selection iterator \*/

H5I\_EVENTSET, /\*\*< type ID for event sets \*/

H5I\_NTYPES /\*\*< number of library types, MUST BE LAST! \*/

} H5I\_type\_t;

H5Iprivate.h:#define H5I\_IS\_LIB\_TYPE(type) (type > 0 && type < H5I\_NTYPES)

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
/\* Package Private Typedefs \*/   
/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
  
/\* ID information structure used \*/   
typedef struct H5I\_id\_info\_t {   
 hid\_t id; /\* ID for this info \*/   
 unsigned count; /\* Ref. count for this ID \*/   
 unsigned app\_count; /\* Ref. count of application visible IDs \*/

const void \*object; /\* Pointer associated with the ID \*/   
  
 /\* Future ID info \*/   
 hbool\_t is\_future; /\* Whether this ID represents a future object \*/   
 H5I\_future\_realize\_func\_t realize\_cb; /\* 'realize' callback for future object \*/   
 H5I\_future\_discard\_func\_t discard\_cb; /\* 'discard' callback for future object \*/   
  
 /\* Hash table ID fields \*/   
 hbool\_t marked; /\* Marked for deletion \*/   
 UT\_hash\_handle hh; /\* Hash table handle (must be LAST) \*/   
} H5I\_id\_info\_t;   
  
/\* Type information structure used \*/   
typedef struct H5I\_type\_info\_t {   
 const H5I\_class\_t \*cls; /\* Pointer to ID class \*/   
 unsigned init\_count; /\* # of times this type has been initialized \*/   
 uint64\_t id\_count; /\* Current number of IDs held \*/   
 uint64\_t nextid; /\* ID to use for the next object \*/   
 H5I\_id\_info\_t \*last\_id\_info; /\* Info for most recent ID looked up \*/   
 H5I\_id\_info\_t \*hash\_table; /\* Hash table pointer for this ID type \*/   
} H5I\_type\_info\_t;

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
/\* Library Private Typedefs \*/   
/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/   
  
typedef struct H5I\_class\_t {   
 H5I\_type\_t type; /\* Class "value" for the type \*/   
 unsigned flags; /\* Class behavior flags \*/   
 unsigned reserved; /\* Number of reserved IDs for this type \*/   
 /\* [A specific number of type entries may be   
 \* reserved to enable "constant" values to be   
 \* handed out which are valid IDs in the type,   
 \* but which do not map to any data structures   
 \* and are not allocated dynamically later.]   
 \*/   
 H5I\_free\_t free\_func; /\* Free function for object's of this type \*/   
} H5I\_class\_t;

H5I Public API calls:

/\*\*

\* \ingroup H5IUD

\*

\* \brief Registers an object under a type and returns an ID for it

\*

\* \param[in] type The identifier of the type of the new ID

\* \param[in] object Pointer to object for which a new ID is created

\*

\* \return \hid\_t{object}

\*

\* \details H5Iregister() creates and returns a new ID for an object.

\*

\* \details The \p type parameter is the identifier for the ID type to which

\* this new ID will belong. This identifier must have been created by

\* a call to H5Iregister\_type().

\*

\* \details The \p object parameter is a pointer to the memory which the new ID

\* will be a reference to. This pointer will be stored by the library

\* and returned via a call to H5Iobject\_verify().

\*

\*/

H5\_DLL hid\_t H5Iregister(H5I\_type\_t type, const void \*object);

H5Iregister()

+-H5I\_\_register()

In a nutshell:

H5Iregister() inserts the supplied void pointer in the index of the indicated type, and returns an ID that can be used to access this void pointer at later date.

In more detail:

The function tests to see if the supplied type is a library type (i.e. one used internally). It fails if it is. Otherwise it calls H5I\_\_register() with the app\_ref. realize\_cb, and discard\_cb parameters set to TRUE, NULL, and NULL respectively.

H5I\_\_register() performs some sanity checks and flags errors if they fail (interaction with H5E). Assuming success, it allocates a new instance of H5I\_id\_info\_t via a call to H5FL\_CALLOC(), constructs a new ID via a call to the H5I\_MAKE macro, loads the new instance of H5I\_id\_info\_t, and inserts it into the hash table associated with the type via a call to the HASH\_ADD() macro.

Note that the object provided for insertion in the index is simply stored by reference (i.e. only a pointer is saved). Since the caller may retain a pointer to this object, the index has no control over access to it. Thus maintaining mutual exclusion on this object to avoid corruption must be the caller's responsibility.

Finally, before returning, H5I\_\_register() makes note of the most recent ID referenced of this type.

H5I\_\_register() returns the new ID, which is returned to the caller by H5Iregister().

Multi-Thread safety concerns:

Read access to the H5I\_next\_type\_g and H5I\_type\_info\_array\_g global variables to validate the supplied type, and to look up a pointer (type\_info) to the instance of H5I\_type\_info\_t associated with the target index.

Read / write access to \*type\_info for purposes of:

* Allocating the next ID (type\_info->nextid)
* Incrementing the number of IDs in the index (type\_info->id\_count)
* Setting the last id touched (type\_info->last\_id\_info)
* Inserting the instance of H5I\_id\_info\_t associated with the new id into the hash table associated with the type (type\_info->hash\_table)

Use of H5FL\_CALLOC() to allocate the instance of H5I\_id\_info\_t used to store the new ID and its void \*.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Returns the object referenced by an ID

\*

\* \param[in] id ID to be dereferenced

\* \param[in] type The identifier type

\*

\*

\* \return Pointer to the object referenced by \p id on success, NULL on failure.

\*

\* \details H5Iobject\_verify() returns a pointer to the memory referenced by id

\* after verifying that \p id is of type \p type. This function is

\* analogous to dereferencing a pointer in C with type checking.

\*

\* \note H5Iobject\_verify() does not change the ID it is called on in any way

\* (as opposed to H5Iremove\_verify(), which removes the ID from its

\* type’s hash table).

\*

\* \see H5Iregister()

\*

\*/

H5\_DLL void \*H5Iobject\_verify(hid\_t id, H5I\_type\_t type);

H5Iobject\_verify()

+-H5I\_object\_verify()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nutshell:

H5Iobject\_verify() looks up the supplied ID & type pair, and, absent errors, returns the void pointer that was associated with this ID and type in a previous H5Iregister() or H5Iregister\_future() call.

In more detail:

H5Iobject\_verify() first tests to see if the supplied type either out of range, or is a library type (i.e. one used internally by the HDF5 library). It fails if either of these conditions are true. Otherwise, it calls H5I\_object\_verify() with the supplied parameters, and returns that function's return value.

H5I\_object\_verify() verifies that the type is in range -- that is that it is greater than zero and less than the H5I\_next\_type\_g global, and that the supplied type matches the supplied ID via H5I\_TYPE() macro.

If all sanity checks pass, H5I\_object\_verify() calls H5I\_\_find\_id(). Absent errors, H5I\_\_find\_id() returns a pointer to the instance of H5I\_id\_info\_t associated with the ID in the target index -- call this pointer info. H5I\_object\_verify() returns info->object, which is the void pointer associated with the ID in a previous register call.

H5I\_\_find\_id() obtains the type associated with the supplied ID via the H5I\_type() macro, validates that it is in range, and looks up the pointer to the associated instance of H5I\_type\_info\_t in the H5I\_type\_info\_array\_g global array, and stores this pointer in type\_info.

If type\_info is not NULL, and type\_info->init\_count is positive, it looks up the target id, checking type\_info->last\_id\_info first, and using the HASH\_FIND() macro if that fails. The result of this search is stored in the local variable id\_info, and in type\_info->last\_id\_info – both of which will be NULL if the target id is not found.

If the search is successful (i.e., id\_info is not NULL), H5I\_\_find\_id() tests to see if the index entry is a "future" entry (i.e., if id\_info->is\_future). This is an uncommon case, used only (to my knowledge) by the asynchronous VOL.

If it is, H5I\_\_find\_id() calls the user provided realize callback (id\_info->realize\_cb)((void \*)id\_info->object, &actual\_id) which was provided in H5Iregister\_future() (see below).

While I have not located any documentation specifying the behavior of the user supplied realize\_cb function, from context it seems that it is supposed to find the actual ID associated with the future\_id if it exists, and return it in \*actual\_id. If (id\_info->realize\_cb)() fails in this for whatever reason, H5I\_\_find\_id() returns NULL.

Assuming the actual ID is found, H5I\_\_find\_id() makes note of the future object, and calls H5I\_\_remove\_common() both to delete the actual ID from the index, and to return the object associated with the actual ID. The function then sets the object associated with the future ID equal to the object associated with the actual id (i.e. sets id\_info->object = actual\_object;), and calls the discard\_cb to discard the object previously associated with the future ID. Finally, it converts the "future" index entry to an actual entry by setting:

id\_info->is\_future = FALSE;

id\_info->realize\_cb = NULL;

id\_info->discard\_cb = NULL;

Finally, id\_info is returned to the caller

Multi-Thread safety concerns:

Read access to the H5I\_next\_type\_g and H5I\_type\_info\_array\_g global variables to validate the supplied type, and to look up a pointer (type\_info) to the instance of H5I\_type\_info\_t associated with the target index.

Read / write access to \*type\_info for purposes of:

* Reading type\_info→init\_count.
* Obtain a pointer (id\_info) to the instance of H5I\_id\_info\_t associated with the target ID.
* Setting the last ID touched (type\_info→last\_id\_info).

Read access to \*id\_info for purposes of reading:

* id\_info→is\_future and
* id\_info→object.

If id\_info→is\_future is TRUE, matters become much more involved from a thread safety perspective as H5I\_\_find\_id() attempts to convert the future id into the actual id. Assuming that it is successful, this involves the following additional accesses to data that is accessible to other threads:

Read of the H5I\_marking\_g global.

R/W access to \*type\_info to lookup of the instance of H5I\_id\_info\_t associated with the actual id, and (if H5I\_marking\_g is FALSE) removal of the associated instance of H5I\_id\_info\_t from the hash table.

R/W access to the instance of H5I\_id\_info\_t associated with the actual id. In particular read of the object field, and (if H5I\_marking\_g is TRUE), set of the marked field. Finally, (if H5I\_marking\_g is FALSE), free of the instance via H5FL\_FREE().

R/W access to the instance of H5I\_id\_info\_t associated with the supplied ID (\*id\_info). In particular:

* execution of the realize\_cb() to obtain the actual id,
* execution of the discard\_cp to discard id\_info→object,
* set id\_info→object equal to the object field of the actual id
* set id\_info→realize\_cb = NULL
* set id\_info→discard\_cb = NULL
* set id\_info→is\_future = FALSE

Note that these accesses are spread across a number of functions – which complicates matters further.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Removes an ID from its type

\*

\* \param[in] id The ID to be removed from its type

\* \param[in] type The identifier type

\*

\*

\* \return Returns a pointer to the memory referred to by \p id on success,

\* NULL on failure.

\*

\* \details H5Iremove\_verify() first ensures that \p id belongs to \p type.

\* If so, it removes \p id from its type and returns the pointer

\* to the memory it referred to. This pointer is the same pointer that

\* was placed in storage by H5Iregister(). If id does not belong to

\* \p type, then NULL is returned.

\*

\* The \p id parameter is the ID which is to be removed from its type.

\*

\* The \p type parameter is the identifier for the ID type which \p id

\* is supposed to belong to. This identifier must have been created by

\* a call to H5Iregister\_type().

\*

\* \note This function does NOT deallocate the memory that \p id refers to.

\* The pointer returned by H5Iregister() must be deallocated by the user

\* to avoid memory leaks.

\*

\*/

H5\_DLL void \*H5Iremove\_verify(hid\_t id, H5I\_type\_t type);

H5Iremove\_verify()

+-H5I\_\_remove\_verify()

+-H5I\_remove()

+-H5I\_\_remove\_common()

In a nutshell:

Delete the index entry associated with the supplied id, and return the void pointer that was supplied at registration. If the H5I\_marking\_g global is TRUE, just mark the entry for deletion without actually deleting it at this time.

In more detail:

H5Iremove\_verify() verifies that the supplied type is not a HDF5 library internal type. If it is, the function flags an error and returns NULL.

Assuming that this test passes, the function calls H5I\_\_remove\_verify().

and returns whatever value that function returns.

H5I\_\_remove\_verify() verifies that the supplied type and id match (via H5I\_TYPE() -- returning NULL without flagging an error if they do not. If they do, it calls H5I\_remove() and returns whatever value that function returns.

H5I\_remove() looks up the type embedded in the supplied ID, verifies that it is valid, and looks up the associated type info. It then calls H5I\_\_remove\_common() passing a pointer to this type info and the supplied ID as parameters. The function saves the value returned by H5I\_\_remove\_common() and returns this value

Using the supplied type info, H5I\_\_remove\_common() looks up the supplied ID in the hash table associated with the supplied type\_info using HASH\_FIND(). If the associated instance of H5I\_id\_info\_t is not found, the function flags an error and returns NULL.

If the associated instance of H5I\_id\_info\_t is found, H5I\_\_remove\_common() tests the H5I\_marking\_g global.

If H5I\_marking\_g is FALSE, the instance of H5I\_id\_info\_t is removed

from the type specific hash table via HASH\_DELETE(). If H5I\_marking\_g is TRUE, the marked field of the instance of H5I\_id\_info\_t is set to TRUE.

In either case, if target id was the last id of this type accessed,

type\_info->last\_id\_info is set to NULL (thread safety), and the

return value of the function is set equal to the void pointer that

was provided when the id was registered.

If H5I\_marking\_g is FALSE, the target instance of H5I\_id\_info\_t is freed via H5FL\_FREE().

The number of IDs of the target type is decremented, and the function returns.

Multi-Thread safety concerns:

Read access to the H5I\_next\_type\_g and H5I\_type\_info\_array\_g global variables to validate and look up a pointer (type\_info) to the instance of H5I\_type\_info\_t associated with the target index. Also read access to the H5I\_marking\_g global to determine how to implement the removal.

Read / write access to \*type\_info for purposes of:

* Reading type\_info→init\_count.
* Obtain a pointer (id\_info) to the instance of H5I\_id\_info\_t associated with the target ID.
* Reading the last id touched (type\_info→last\_id\_info) and setting it to NULL if it equals id\_info.
* Decrementing type\_info->id\_count

Read / write access to \*id\_info for purposes of reading:

* setting id\_info→marked = TRUE if H5I\_marking\_g is TRUE
* reading id\_info→object.

Freeing \*id\_info via H5FL\_FREE().

/\*\*

\* \ingroup H5I

\*

\* \brief Retrieves the type of an object

\*

\* \obj\_id{id}

\*

\* \return Returns the object type if successful; otherwise #H5I\_BADID.

\*

\* \details H5Iget\_type() retrieves the type of the object identified by

\* \p id. If no valid type can be determined or the identifier submitted is

\* invalid, the function returns #H5I\_BADID.

\*

\* This function is of particular use in determining the type of

\* object closing function (H5Dclose(), H5Gclose(), etc.) to call

\* after a call to H5Rdereference().

\*

\* \note Note that this function returns only the type of object that \p id

\* would identify if it were valid; it does not determine whether \p id

\* is valid identifier. Validity can be determined with a call to

\* H5Iis\_valid().

\*

\*/

H5\_DLL H5I\_type\_t H5Iget\_type(hid\_t id);

H5Iget\_type()

+-H5I\_get\_type()

+-H5I\_object()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nutshell:

Return the type to which the supplied id belongs.

In more detail:

The type of an ID is encoded in the ID.

Thus H5Iget\_type() calls H5I\_get\_type() which invokes the H5I\_TYPE() macro to extract the type from the supplied ID, and returns this value to H5Iget\_type().

H5Iget\_type() then verifies that the type is valid, and that the supplied id is valid. If both tests pass, the type is returned to the caller. If not, an error is flagged and H5I\_BADID is returned.

The bad type test accesses the H5I\_next\_type\_g global.

The test for the validity of the supplied ID calls H5I\_object(), which attempts to look up the ID, and return the void pointer that was supplied in the register call that created the ID. It does this via a call to H5I\_\_find\_id() which returns a pointer (info) to the instance of H5I\_id\_info\_t associated with the id, or NULL if the search fails. If the search succeeds, the function returns info→object.

H5I\_\_find\_id() is discussed in some detail in the section on H5Iobject\_verify() above -- thus no need to repeat that discussion here.

Multi-Thread safety concerns:

Leaving aside H5I\_\_find\_id(), the only multi-thread safety concern in H5Iget\_type() is read access to the H5I\_next\_type\_g global.

In contrast, H5I\_\_find\_id() has significant multi-thread safety issues – particularly if the target id is a future id. See the discussion of thread safety for H5Iobject\_verify() above for a full discussion.

\*\*

\* \ingroup H5I

\*

\* \brief Retrieves an identifier for the file containing the specified object

\*

\* \obj\_id{id}

\*

\* \return \hid\_t{file}

\*

\* \details H5Iget\_file\_id() returns the identifier of the file associated with

\* the object referenced by \p id.

\*

\* \note Note that the HDF5 library permits an application to close a file

\* while objects within the file remain open. If the file containing the

\* object \p id is still open, H5Iget\_file\_id() will retrieve the

\* existing file identifier. If there is no existing file identifier for

\* the file, i.e., the file has been closed, H5Iget\_file\_id() will reopen

\* the file and return a new file identifier. In either case, the file

\* identifier must eventually be released using H5Fclose().

\*

\* \since 1.6.3

\*

\*/

H5\_DLL hid\_t H5Iget\_file\_id(hid\_t id);

H5Iget\_file\_id()

+-H5VL\_vol\_object()

| +-H5I\_get\_type()

| +-H5I\_object()

| | +-H5I\_\_find\_id()

| | +-(id\_info->realize\_cb)()

| | +-H5I\_\_remove\_common()

| | +-(id\_info->discard\_db)()

| +-H5T\_get\_named\_type()

+-H5F\_get\_file\_id()

+-H5VL\_object\_get()

+-H5I\_\_find\_id()

| +-(id\_info->realize\_cb)()

| +-H5I\_\_remove\_common()

| +-(id\_info->discard\_db)()

+-H5VL\_set\_vol\_wrapper()

| +-H5CX\_get\_vol\_wrap\_ctx()

| | +-H5CX\_get\_my\_context() macro -- resolves to H5CX\_\_get\_context() in MT

| | ||

| | H5CX\_\_get\_context()

| | +-H5TS\_get\_thread\_local\_value() -- pthread\_getspecific() in most cases

| | +-H5TS\_set\_thread\_local\_value() -- pthread\_setspecific() in most cases

| +-((vol\_obj->connector->cls->wrap\_cls.get\_wrap\_ctx)(vol\_obj->data, &obj\_wrap\_ctx)

| +-H5VL\_conn\_inc\_rc()

| +-H5CX\_set\_vol\_wrap\_ctx()

| +-H5CX\_get\_my\_context() macro -- resolves to H5CX\_\_get\_context() in MT

| ||

| H5CX\_\_get\_context()

| +-H5TS\_get\_thread\_local\_value() -- pthread\_getspecific() in most cases

| +-H5TS\_set\_thread\_local\_value() -- pthread\_setspecific() in most cases

+-H5VL\_wrap\_register()

| +-H5CX\_get\_vol\_wrap\_ctx()

| | +- ... see above

| +-H5T\_already\_vol\_managed()

| +-H5VL\_\_wrap\_obj()

| | +-H5CX\_get\_vol\_wrap\_ctx()

| | | +- ... see above

| | +-H5VL\_wrap\_object()

| | +-(connector->wrap\_cls.wrap\_object)(obj, obj\_type, wrap\_ctx)

| +-H5VL\_register\_using\_vol\_id()

| +-H5VL\_new\_connector()

| | +-H5I\_object\_verify()

| | | +-H5I\_\_find\_id()

| | | +-(id\_info->realize\_cb)()

| | | +-H5I\_\_remove\_common()

| | | +-(id\_info->discard\_db)()

| | +-H5I\_inc\_ref()

| | | +-H5I\_\_find\_id()2Y

| | | +- ... see above

| | +-H5I\_dec\_ref()

| | +-H5I\_\_dec\_ref()

| | +-H5I\_\_find\_id()2Y

| | | +- ... see above

| | +-(type\_info->cls->free\_func)((void \*)info->object, request)

| | +-H5I\_\_remove\_common()

| +-H5VL\_register()

| | +-H5VL\_\_new\_vol\_obj()

| | | +-H5VL\_\_wrap\_obj()

| | | +- ... see above

| | +-H5I\_register()

| | +-H5I\_\_register()

| +-H5VL\_conn\_dec\_rc()

| +-H5I\_dec\_ref()

| +- ... see above

+-H5I\_inc\_ref()

| +-H5I\_dec\_ref()

| +- ... see above

+-H5VL\_reset\_vol\_wrapper()

+-H5CX\_get\_vol\_wrap\_ctx()

| +- ... see above

+-H5VL\_\_free\_vol\_wrapper()

| +-(\*vol\_wrap\_ctx->connector->cls->wrap\_cls.free\_wrap\_ctx)(vol\_wrap\_ctx->obj\_wrap\_ctx)

| +-H5VL\_conn\_dec\_rc()

| +- ... see above

+-H5CX\_set\_vol\_wrap\_ctx()

+- ... see above

Skipped for now due to calls to H5VL, H5CX, H5F, and H5T.

Return to this call after reviewing H5VL and H5CX.

/\*\*

\* \ingroup H5I

\*

\* \brief Retrieves a name of an object based on the object identifier

\*

\* \obj\_id{id}

\* \param[out] name A buffer for thename associated with the identifier

\* \param[in] size The size of the \p name buffer; usually the size of

\* the name in bytes plus 1 for a NULL terminator

\*

\* \return ssize\_t

\*

\* \details H5Iget\_name() retrieves a name for the object identified by \p id.

\*

\* \details Up to size characters of the name are returned in \p name;

\* additional characters, if any, are not returned to the user

\* application.

\*

\* If the length of the name, which determines the required value of

\* \p size, is unknown, a preliminary H5Iget\_name() call can be made.

\* The return value of this call will be the size in bytes of the

\* object name. That value, plus 1 for a NULL terminator, is then

\* assigned to size for a second H5Iget\_name() call, which will

\* retrieve the actual name.

\*

\* If the object identified by \p id is an attribute, as determined

\* via H5Iget\_type(), H5Iget\_name() retrieves the name of the object

\* to which that attribute is attached. To retrieve the name of the

\* attribute itself, use H5Aget\_name().

\*

\* If there is no name associated with the object identifier or if the

\* name is NULL, H5Iget\_name() returns 0 (zero).

\*

\* \note Note that an object in an HDF5 file may have multiple paths if there

\* are multiple links pointing to it. This function may return any one of

\* these paths. When possible, H5Iget\_name() returns the path with which

\* the object was opened.

\*

\* \since 1.6.0

\*

\*/

H5\_DLL ssize\_t H5Iget\_name(hid\_t id, char \*name /\*out\*/, size\_t size);

H5Iget\_name()

+-H5VL\_vol\_object()

| +-H5I\_get\_type()

| +-H5I\_object()

| | +-H5I\_\_find\_id()

| | +-(id\_info->realize\_cb)()

| | +-H5I\_\_remove\_common()

| | +-(id\_info->discard\_db)()

| +-H5T\_get\_named\_type()

+-H5I\_get\_type()

+-H5VL\_object\_get()

+-H5VL\_set\_vol\_wrapper()

| +-H5CX\_get\_vol\_wrap\_ctx()

| | +-H5CX\_get\_my\_context() macro -- resolves to H5CX\_\_get\_context() in MT

| | ||

| | H5CX\_\_get\_context()

| | +-H5TS\_get\_thread\_local\_value() -- pthread\_getspecific() in most cases

| | +-H5TS\_set\_thread\_local\_value() -- pthread\_setspecific() in most cases

| +-((vol\_obj->connector->cls->wrap\_cls.get\_wrap\_ctx)(vol\_obj->data, &obj\_wrap\_ctx)

| +-H5VL\_conn\_inc\_rc()

| +-H5CX\_set\_vol\_wrap\_ctx()

| +-H5CX\_get\_my\_context() macro -- resolves to H5CX\_\_get\_context() in MT

| ||

| H5CX\_\_get\_context()

| +-H5TS\_get\_thread\_local\_value() -- pthread\_getspecific() in most cases

| +-H5TS\_set\_thread\_local\_value() -- pthread\_setspecific() in most cases

+-H5VL\_\_object\_get()

| +-(cls->object\_cls.get)(obj, loc\_params, args, dxpl\_id, req)

+-H5VL\_reset\_vol\_wrapper()

+-H5CX\_get\_vol\_wrap\_ctx()

| +- ... see above

+-H5VL\_\_free\_vol\_wrapper()

| +-(\*vol\_wrap\_ctx->connector->cls->wrap\_cls.free\_wrap\_ctx)(vol\_wrap\_ctx->obj\_wrap\_ctx)

| +-H5VL\_conn\_dec\_rc()

| +- ... see above

+-H5CX\_set\_vol\_wrap\_ctx()

+- ... see above

Skipped for now due to calls to H5VL, H5CX, and H5T.

Return to this call after reviewing H5VL and H5CX.

/\*\*

\* \ingroup H5I

\*

\* \brief Increments the reference count for an object

\*

\* \obj\_id{id}

\*

\* \return Returns a non-negative reference count of the object ID after

\* incrementing it if successful; otherwise a negative value is

\* returned.

\*

\* \details H5Iinc\_ref() increments the reference count of the object

\* identified by \p id.

\*

\* The reference count for an object ID is attached to the information

\* about an object in memory and has no relation to the number of

\* links to an object on disk.

\*

\* The reference count for a newly created object will be 1. Reference

\* counts for objects may be explicitly modified with this function or

\* with H5Idec\_ref(). When an object ID's reference count reaches

\* zero, the object will be closed. Calling an object ID's \c close

\* function decrements the reference count for the ID which normally

\* closes the object, but if the reference count for the ID has been

\* incremented with this function, the object will only be closed when

\* the reference count reaches zero with further calls to H5Idec\_ref()

\* or the object ID's \c close function.

\*

\* If the object ID was created by a collective parallel call (such as

\* H5Dcreate(), H5Gopen(), etc.), the reference count should be

\* modified by all the processes which have copies of the ID.

\* Generally this means that group, dataset, attribute, file and named

\* datatype IDs should be modified by all the processes and that all

\* other types of IDs are safe to modify by individual processes.

\*

\* This function is of particular value when an application is

\* maintaining multiple copies of an object ID. The object ID can be

\* incremented when a copy is made. Each copy of the ID can then be

\* safely closed or decremented and the HDF5 object will be closed

\* when the reference count for that that object drops to zero.

\*

\* \since 1.6.2

\*

\*/

H5\_DLL int H5Iinc\_ref(hid\_t id);

H5Iinc\_ref()

+-H5I\_inc\_ref()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nut shell:

Find the target id, and increment both its regular and

applications reference counts. Return the new value of

the application reference count.

If the target ID is a future ID, in passing, attempt

to convert it to a real ID. Note that this attempt may

cause the function to fail.

In greater detail:

H5Iinc\_ref() calls H5I\_inc\_ref() with the supplied ID, and the app\_ref parameter set to TRUE.

H5I\_inc\_ref() calls H5I\_\_find\_id() to obtain a pointer to the instance of H5I\_id\_info\_t associated with the ID. Assuming that this is successful, the function increments both the regular an application reference counts, and returns the new value of the application reference count.

H5I\_\_find\_id() is discussed in some detail in the section on H5Iobject\_verify() above -- thus no need to repeat that discussion here.

Multi-Thread safety concerns:

Leaving aside H5I\_\_find\_id(), the only multi-thread safety concern in H5Iget\_type() is read / write access to the count and app\_count fields of the target instance of H5I\_id\_info\_t .

In contrast, H5I\_\_find\_id() has significant multi-thread safety issues – particularly if the target ID is a future ID. See the discussion of thread safety for H5Iobject\_verify() above for a full discussion.

/\*\*

\* \ingroup H5I

\*

\* \brief Decrements the reference count for an object

\*

\* \obj\_id{id}

\*

\* \return Returns a non-negative reference count of the object ID after

\* decrementing it, if successful; otherwise a negative value is

\* returned.

\*

\* \details H5Idec\_ref() decrements the reference count of the object

\* identified by \p id.

\*

\* The reference count for an object ID is attached to the information

\* about an object in memory and has no relation to the number of

\* links to an object on disk.

\*

\* The reference count for a newly created object will be 1. Reference

\* counts for objects may be explicitly modified with this function or

\* with H5Iinc\_ref(). When an object identifier’s reference count

\* reaches zero, the object will be closed. Calling an object

\* identifier’s \c close function decrements the reference count for

\* the identifier which normally closes the object, but if the

\* reference count for the identifier has been incremented with

\* H5Iinc\_ref(), the object will only be closed when the reference

\* count reaches zero with further calls to this function or the

\* object identifier’s \c close function.

\*

\* If the object ID was created by a collective parallel call (such as

\* H5Dcreate(), H5Gopen(), etc.), the reference count should be

\* modified by all the processes which have copies of the ID.

\* Generally this means that group, dataset, attribute, file and named

\* datatype IDs should be modified by all the processes and that all

\* other types of IDs are safe to modify by individual processes.

\*

\* This function is of particular value when an application is

\* maintaining multiple copies of an object ID. The object ID can be

\* incremented when a copy is made. Each copy of the ID can then be

\* safely closed or decremented and the HDF5 object will be closed

\* when the reference count for that that object drops to zero.

\*

\* \since 1.6.2

\*

\*/

H5\_DLL int H5Idec\_ref(hid\_t id);

H5Idec\_ref()

+-H5I\_dec\_app\_ref()

+-H5I\_\_dec\_app\_ref()

+-H5I\_\_dec\_ref()

| +-H5I\_\_find\_id()

| | +-(id\_info->realize\_cb)()

| | +-H5I\_\_remove\_common()

| | +-(id\_info->discard\_db)()

| +-(type\_info->cls->free\_func)((void \*)info->object, request)

| +-H5I\_\_remove\_common()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nutshell:

Decrement both the regular and application reference counts on the target id. If the regular reference count drops to zero, delete the target instance from the index.

If the target ID is a future ID, in passing, attempt to convert it to a real ID. Note that this attempt may cause the function to fail.

In more detail:

After some sanity checks, H5Idec\_ref() calls H5I\_dec\_app\_ref(), and returns its return value.

H5I\_dec\_app\_ref() is basically a pass through. It preforms some sanity checks, and the calls H5I\_\_dec\_app\_ref(id, H5\_REQUEST\_NULL), and returns whatever value H5I\_\_dec\_app\_ref() returns.

H5I\_\_dec\_app\_ref() calls H5I\_\_dec\_ref() to decrement the regular ref count on the target. If H5I\_\_dec\_ref() returns a positive value (indicating that the regular reference count has not been decremented to zero), the function calls H5I\_\_find\_id() to obtain a pointer to the instance of H5I\_id\_info\_t associated with the ID. This in hand, the function decrements the application reference count. The function returns either the value return by H5I\_\_dec\_ref() (if it is non-positive), or the application reference count after it has been decremented.

H5I\_\_dec\_ref() first calls H5I\_\_find\_id() to obtain a pointer (info) to the instance of H5I\_id\_info\_t associated with the target index entry.

If info→count is greater that one, it decrements that value, and returns it to the caller.

If info→count is one, it accesses the H5I\_type\_info\_array\_g global to look up the pointer to the instance of H5I\_type\_info\_t associated with the target, calls type\_info→free\_func() (if it exists) to free info→object, calls H5I\_\_remove\_common() to remove \*info from the index, and returns 0.

H5I\_\_find\_id() is discussed in some detail in the section on H5Iobject\_verify() above -- thus no need to repeat that discussion here.

H5I\_\_remove\_common() looks up the target ID in the index to obtain a pointer (info) to the associated instance of H5I\_id\_info\_t.

If the H5I\_marking\_g global is FALSE, it removes \*info from the index, and frees it via a call to H5FL\_FREE().

If the H5I\_marking\_g global is TRUE, it sets info→marked = TRUE.

In either case, it decrements type\_info→id\_count, and returns info→object.

Multi-Thread safety concerns:

Leaving aside H5I\_\_find\_id() and H5I\_remove\_common() (which is called by H5I\_\_find\_id(), and thus included in its discussion), the multi-thread safety concerns in H5Idec\_ref() are:

* Read access to H5I\_type\_info\_array\_t to obtain a pointer (type\_info) to the instance of H5I\_type\_info\_t associated with the index containing the target id.
* Execution of type\_info→free\_func()
* Decrement of info→count and info→app\_count.

H5I\_\_find\_id() has significant multi-thread safety issues – particularly if the target ID is a future ID. See the discussion of thread safety for H5Iobject\_verify() above for a full discussion.

/\*\*

\* \ingroup H5I

\*

\* \brief Retrieves the reference count for an object

\*

\* \obj\_id{id}

\*

\* \return Returns a non-negative current reference count of the object

\* identifier if successful; otherwise a negative value is returned.

\*

\* \details H5Iget\_ref() retrieves the reference count of the object identified

\* by \p id.

\*

\* The reference count for an object identifier is attached to the

\* information about an object in memory and has no relation to the

\* number of links to an object on disk.

\*

\* The function H5Iis\_valid() is used to determine whether a specific

\* object identifier is valid.

\*

\* \since 1.6.2

\*

\*/

H5\_DLL int H5Iget\_ref(hid\_t id);

H5Iget\_ref()

+-H5I\_get\_ref()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nut shell:

Lookup the supplied id. If it exists, return its application reference count. If the call fails for any reason, return -1.

In more detail:

After some sanity checks, H5Iget\_ref() calls H5I\_get\_ref() with the app\_ref parameter equal to TRUE. It returns whatever value H5I\_get\_ref() returns.

H5I\_get\_ref() calls H5I\_\_find\_id() to look up the target index entry and return a pointer (info) to the instance of H5I\_id\_info\_t associated with the id. If it is successful, H5I\_get\_ref() returns the current value of either the regular or the application reference count as directed by the app\_ref parameter.

H5I\_\_find\_id() is discussed in some detail in the section on H5Iobject\_verify() above -- thus no need to repeat that discussion here.

Multi-Thread safety concerns:

Leaving aside H5I\_\_find\_id() , the multi-thread safety concerns in H5Iget\_ref() are read access to either info→count or info→app\_count, depending on the value of the app\_ref parameter passed to H5I\_get\_ref().

H5I\_\_find\_id() has significant multi-thread safety issues – particularly if the target ID is a future ID. See the discussion of thread safety for H5Iobject\_verify() above for a full discussion.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Creates and returns a new ID type

\*

\* \param[in] hash\_size Minimum hash table size (in entries) used to store IDs

\* for the new type

\* \param[in] reserved Number of reserved IDs for the new type

\* \param[in] free\_func Function used to deallocate space for a single ID

\*

\* \return Returns the type identifier on success, negative on failure.

\*

\* \details H5Iregister\_type() allocates space for a new ID type and returns an

\* identifier for it.

\*

\* The \p hash\_size parameter indicates the minimum size of the hash

\* table used to store IDs in the new type.

\*

\* The \p reserved parameter indicates the number of IDs in this new

\* type to be reserved. Reserved IDs are valid IDs which are not

\* associated with any storage within the library.

\*

\* The \p free\_func parameter is a function pointer to a function

\* which returns an herr\_t and accepts a \c void\*. The purpose of this

\* function is to deallocate memory for a single ID. It will be called

\* by H5Iclear\_type() and H5Idestroy\_type() on each ID. This function

\* is NOT called by H5Iremove\_verify(). The \c void\* will be the same

\* pointer which was passed in to the H5Iregister() function. The \p

\* free\_func function should return 0 on success and -1 on failure.

\*

\*/

H5\_DLL H5I\_type\_t H5Iregister\_type(size\_t hash\_size, unsigned reserved, H5I\_free\_t free\_func);

H5Iregister\_type()

+-H5I\_register\_type()

In a nutshell:

Create a new type of index as specified, and return its ID. On failure, return a negative value.

In more detail:

H5Iregister\_type() first attempts to allocate an ID for the new type.

If H5I\_next\_type\_g is less than H5I\_MAX\_NUM\_TYPES, it sets new\_type = H5I\_next\_type\_g and then increments H5I\_next\_type\_g.

If this approach fails, it scans the global H5I\_type\_info\_array\_g array skipping library defined types looking for a NULL entry. If it finds one, it sets new\_type equal to the index of the NULL entry.

If this second approach fails, the function fails.

Assuming an ID can be allocated for the new type, H5Iregister\_type() allocates an instance of H5I\_class\_t via a call to H5MM\_calloc(), initializes it with the data provided and the new ID, and then calls H5I\_register\_type() to perform the actual registration.

H5I\_register\_type() allows multiple registrations of a given type – of which more in the discussion of multi-thread safety concerns.

After some initial sanity checks, H5I\_register\_type() reads the new id from the supplied instance of H5I\_class\_t (cls->type), and then examines the global H5I\_type\_info\_array\_g array at that index (H5I\_type\_info\_array\_g[cls->type]). If that index contains NULL, it allocates a new instance H5I\_type\_info\_t (via H5MM\_calloc), sets type\_info to point to it, and sets H5I\_type\_info\_array\_g[cls->type] = type\_info.

If H5I\_type\_info\_array\_g[cls->type] is not NULL, the function sets type\_info = H5I\_type\_info\_array\_g[cls->type].

The function tests type\_info->init\_count. If it is zero it initializes \*type\_info.

Finally, it increments type\_info->init\_count and returns.

Multi-Thread safety concerns:

In the absence of any access control on the H5I\_next\_type\_g and H5I\_type\_info\_array\_g global variables, the current algorithm for allocating type IDs has a number of race conditions which appear to make it possible for a given ID to be allocated more than once – not to mention the possibility that other threads that require only read access to these variables will see them in an inconsistent state.

In addition, H5I\_register\_type() acceptance of multiple registrations of a given type present potential race conditions potentially resulting in data corruption unless calls for a given type are somehow serialized.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Deletes all identifiers of the given type

\*

\* \param[in] type Identifier of identifier type which is to be cleared of identifiers

\* \param[in] force Whether or not to force deletion of all identifiers

\*

\* \return \herr\_t

\*

\* \details H5Iclear\_type() deletes all identifiers of the type identified by

\* the argument \p type.

\*

\* The identifier type's free function is first called on all of these

\* identifiers to free their memory, then they are removed from the

\* type.

\*

\* If the \p force flag is set to false, only those identifiers whose

\* reference counts are equal to 1 will be deleted, and all other

\* identifiers will be entirely unchanged. If the force flag is true,

\* all identifiers of this type will be deleted.

\*

\*/

H5\_DLL herr\_t H5Iclear\_type(H5I\_type\_t type, hbool\_t force);

/\* User data for H5I\_\_clear\_type\_cb \*/

typedef struct {

H5I\_type\_info\_t \*type\_info; /\* Pointer to the type's info to be cleared \*/

hbool\_t force; /\* Whether to always remove the ID \*/

hbool\_t app\_ref; /\* Whether this is an appl. ref. call \*/

} H5I\_clear\_type\_ud\_t;

H5Iclear\_type()

+-H5I\_clear\_type()

+-H5I\_\_mark\_node()

+-H5I\_\_mark\_node()

In a nutshell:

Delete all IDs of the target type with ref count 1 (i.e. not in current use) from the index. If the force flag is set, delete all IDs of the target type regardless of ref count.

In more detail:

H5Iclear\_type() verifies that the supplied type is not a library type, and then calls H5I\_clear\_type() with the supplied type and force parameters, and with the app\_ref parameter set to TRUE. It returns whatever value H5I\_clear\_type() returns.

Using the H5I\_next\_type\_g and H5I\_type\_info\_array\_g global variables, H5I\_clear\_type() validates the supplied type, and looks up a pointer (udata.type\_info) to the instance of H5I\_type\_info\_t associated with the target type, and loads it into its user data (instance of H5I\_clear\_type\_ud\_t – see above) along with the force and app\_ref parameters.

It then sets the H5I\_marking\_g global to TRUE, and uses the uthash HASH\_ITER macro to set up a for loop to scan through all the entries in the hash table associated with the target id type -- calling H5I\_\_mark\_node() on each such entry.

H5I\_\_mark\_node() examines the supplied instance of H5I\_id\_info\_t. If the force flag is set or if its ref count (info->count) is no greater than 1, it marks it for deletion (NOTE: it the app\_ref flag is set, the ref count condition is changed to ref count - application ref count (info->app\_count) <= 1).

If either of the above conditions are met, H5I\_\_mark\_node() discards the target of the void \* that was provided on registration if a free function is provided for the type (The info->discard\_cb is used for future objects if provided). The marked flag (info->marked) is then set to TRUE, and the id count (type\_info→id\_count) is decremented before H5I\_\_mark\_node() returns.

After the initial marking scan through the hash table associated with the target type, H5I\_clear\_type() sets the H5I\_marking\_g global to FALSE, and then uses HASH\_ITER to setup a second scan, running the HASH\_DELETE macro on every id whose marked flag was set in the prior scan. After removal from the hash table, each instance of H5I\_id\_info\_t is freed via H5FL\_FREE().

Assuming no errors have been detected, H5I\_clear\_type() then returns.

Multi-Thread safety concerns:

H5Iclear\_type() has the multi-thread safety issues of accessing data structures that are visible to other threads – specifically:

* Read access to the H5I\_type\_info\_array\_t and H5I\_next\_type\_g global variables,
* Read/write access to the target instance of H5I\_type\_info\_t, and
* Read/write/delete access to instance of H5I\_id\_info\_t in the target index.
* Use of H5FL\_FREE()

In addition, H5Iclear\_type() displays a fundamental issue not seen so far in this pass through the public H5I API – specifically, H5I\_\_mark\_node() leaves index entries in a half deleted state pending their eventual full deletion in the second pass through the hash table. Absent changes in the algorithm, the only solution that comes to mind is to treat the entire H5Iclear\_type() call as critical region.

From discussion with Dana, I gather that this mark and sweep approach was adopted to avoid issues with the regression test for H5Iitterate() (discussed below). Must investigate this to see if changes to H5I public API semantics would be required to avoid this issue.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Removes an identifier type and all identifiers within that type

\*

\* \param[in] type Identifier of identifier type which is to be destroyed

\*

\* \return \herr\_t

\*

\* \details H5Idestroy\_type deletes an entire identifier type \p type. All

\* identifiers of this type are destroyed and no new identifiers of

\* this type can be registered.

\*

\* The type’s free function is called on all of the identifiers which

\* are deleted by this function, freeing their memory. In addition,

\* all memory used by this type’s hash table is freed.

\*

\* Since the H5I\_type\_t values of destroyed identifier types are

\* reused when new types are registered, it is a good idea to set the

\* variable holding the value of the destroyed type to #H5I\_UNINIT.

\*

\*/

H5\_DLL herr\_t H5Idestroy\_type(H5I\_type\_t type);

H5Idestroy\_type()

+-H5I\_\_destroy\_type()

+-H5I\_clear\_type()

| +-H5I\_\_mark\_node()

| +-H5I\_\_mark\_node()

+-H5MM\_xfree\_const()

| +-H5MM\_xfree()

+-H5MM\_xfree()

In a nutshell:

Discard the target index type, along with all IDs that may reside in the target index.

In more detail:

H5Idestroy\_type() verifies that the target type is not an internal HDF5 library type, and then calls H5I\_\_destroy\_type(), returning whatever value that function returns.

H5I\_\_destroy\_type() validates the type id reading the H5I\_next\_type\_g global in the process, and then gets a pointer to the target type from the indicated entry in the H5I\_type\_info\_array\_g global array of pointer to H5I\_type\_info\_t. It verifies that this pointer is not NULL, and that type\_info->init\_count is positive.

If these tests pass, H5I\_\_destroy\_type() then calls H5I\_clear\_type() with the force parameter set to TRUE, and the app\_ref parameter set to FALSE. Any error return from this call is ignored.

H5I\_clear\_type() is discussed in detail in H5Iclear\_type() above. For purposes of this discussion, it should be sufficient to note that with the above parameters, it will discard all IDs of the target type.

On H5I\_clear\_type()'s return, H5I\_\_destroy\_type() tests to see if the H5I\_CLASS\_IS\_APPLICATION flag is set in type\_info->cls->flags. If so, it frees type\_info→cls via a call to H5MM\_xfree\_const().

The hash table is then freed via the HASH\_CLEAR() macro, followed by the \*type\_info itself (via H5MM\_xfree()).

Finally, the target entry in the H5I\_type\_info\_array\_g global array is set to NULL just before the function returns.

Multi-Thread safety concerns:

Structurally, H5Idestroy\_type() is very similar to H5Iclear\_type(), and thus has all the multi-thread concerns surrounding that call with the addition of write access to the H5I\_type\_info\_array\_g global array.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Increments the reference count on an ID type

\*

\* \param[in] type The identifier of the type whose reference count is to be incremented

\*

\* \return Returns the current reference count on success, negative on failure.

\*

\* \details H5Iinc\_type\_ref() increments the reference count on an ID type. The

\* reference count is used by the library to indicate when an ID type

\* can be destroyed.

\*

\* The type parameter is the identifier for the ID type whose

\* reference count is to be incremented. This identifier must have

\* been created by a call to H5Iregister\_type().

\*

\*/

H5\_DLL int H5Iinc\_type\_ref(H5I\_type\_t type);

H5Iinc\_type\_ref()

+-H5I\_\_inc\_type\_ref()

In a nutshell:

Increment the reference count on the indicated index.

In more detail:

After accessing the H5I\_next\_type\_g global variable to validate the supplied type and verify that it is not a library type, H5Iinc\_type\_ref() calls H5I\_\_inc\_type\_ref(), and returns whatever that function returns.

H5I\_\_inc\_type\_ref() does sanity checks on the supplied type. If they pass, it gets a pointer (type\_info) to the target type from the indicated entry in the H5I\_type\_info\_array\_g global array. It verifies that this pointer is not NULL, and if so, increments type\_info->init\_count, and returns the new value of that field.

Multi-Thread safety concerns:

H5Iinc\_type\_ref() accesses data structures that are visible to other threads – specifically:

* Read access to the H5I\_type\_info\_array\_t and H5I\_next\_type\_g global variables,
* Read/write access to the target instance of H5I\_type\_info\_t, specifically the init\_count field.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Decrements the reference count on an identifier type

\*

\* \param[in] type The identifier of the type whose reference count is to be decremented

\*

\* \return Returns the current reference count on success, negative on failure.

\*

\* \details H5Idec\_type\_ref() decrements the reference count on an identifier

\* type. The reference count is used by the library to indicate when

\* an identifier type can be destroyed. If the reference count reaches

\* zero, this function will destroy it.

\*

\* The type parameter is the identifier for the identifier type whose

\* reference count is to be decremented. This identifier must have

\* been created by a call to H5Iregister\_type().

\*

\*/

H5\_DLL int H5Idec\_type\_ref(H5I\_type\_t type);

H5Idec\_type\_ref()

+-H5I\_dec\_type\_ref()

+-H5I\_clear\_type()

| +-H5I\_\_mark\_node()

| +-H5I\_\_mark\_node()

+-H5MM\_xfree\_const()

| +-H5MM\_xfree()

+-H5MM\_xfree()

In a nutshell:

Decrement the reference count of the target type. If the index count drops to zero, discard all IDs in the target index, and then discard the index type as well.

In greater detail:

After verifying that the supplied type is not a library type, H5Idec\_type\_ref() calls H5I\_dec\_type\_ref(), and returns whatever value that function returns.

Using the H5I\_next\_type\_g global, H5I\_dec\_type\_ref() does sanity checks on the supplied type. If they pass, it gets a pointer (type\_info) to the target type from the indicated entry in the H5I\_type\_info\_array\_g global array. It verifies that this pointer is not NULL, and if so, it tests to see if type\_info→init\_count is 1.

If it is not, it decrements type\_info->init\_count and returns that value.

If it is, it calls H5I\_\_destroy\_type() and returns zero.

H5I\_\_destroy\_type() is discussed in detail in H5Idestroy\_type() above, and thus need not be discussed here.

Multi-Thread safety concerns:

As per H5Idestroy\_type().

\*\*

\* \ingroup H5IUD

\*

\* \brief Retrieves the reference count on an ID type

\*

\* \param[in] type The identifier of the type whose reference count is to be retrieved

\*

\* \return Returns the current reference count on success, negative on failure.

\*

\* \details H5Iget\_type\_ref() retrieves the reference count on an ID type. The

\* reference count is used by the library to indicate when an ID type

\* can be destroyed.

\*

\* The type parameter is the identifier for the ID type whose

\* reference count is to be retrieved. This identifier must have been

\* created by a call to H5Iregister\_type().

\*

\*/

H5\_DLL int H5Iget\_type\_ref(H5I\_type\_t type);

H5Iget\_type\_ref()

+-H5I\_\_get\_type\_ref()

In a nutshell:

Return the reference count on the target type.

In greater detail:

After accessing the H5I\_next\_type\_g global variable to validate the supplied type and verify that it is not a library type, H5Iget\_type\_ref() calls H5I\_\_get\_type\_ref(), and returns whatever value that function returns.

H5I\_\_get\_type\_ref() does sanity checks on the supplied type. If they pass, it gets a pointer (type\_info) to the target type from the indicated entry in the H5I\_type\_info\_array\_g global array. It verifies that this pointer is not NULL, and if so, it returns the current value of type\_info->init\_count.

Multi-Thread safety concerns:

H5Iget\_type\_ref() accesses data structures that are visible to other threads – specifically:

* Read access to the H5I\_type\_info\_array\_t and H5I\_next\_type\_g global variables,
* Read access to the target instance of H5I\_type\_info\_t, specifically the init\_count field.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Finds the memory referred to by an ID within the given ID type such

\* that some criterion is satisfied

\*

\* \param[in] type The identifier of the type to be searched

\* \param[in] func The function defining the search criteria

\* \param[in] key A key for the search function

\*

\* \return Returns a pointer to the object which satisfies the search function

\* on success, NULL on failure.

\*

\* \details H5Isearch() searches through a given ID type to find an object that

\* satisfies the criteria defined by \p func. If such an object is

\* found, the pointer to the memory containing this object is

\* returned. Otherwise, NULL is returned. To do this, \p func is

\* called on every member of type \p type. The first member to satisfy

\* \p func is returned.

\*

\* The \p type parameter is the identifier for the ID type which is to

\* be searched. This identifier must have been created by a call to

\* H5Iregister\_type().

\*

\* The parameter \p func is a function pointer to a function which

\* takes three parameters. The first parameter is a \c void\* and will

\* be a pointer to the object to be tested. This is the same object

\* that was placed in storage using H5Iregister(). The second

\* parameter is a hid\_t and is the ID of the object to be tested. The

\* last parameter is a \c void\*. This is the \p key parameter and can

\* be used however the user finds helpful, or it can be ignored if it

\* is not needed. \p func returns 0 if the object it is testing does

\* not pass its criteria. A non-zero value should be returned if the

\* object does pass its criteria. H5I\_search\_func\_t is defined in

\* H5Ipublic.h and is shown below.

\* \snippet this H5I\_search\_func\_t\_snip

\* The \p key parameter will be passed to the search function as a

\* parameter. It can be used to further define the search at run-time.

\*

\*/

H5\_DLL void \*H5Isearch(H5I\_type\_t type, H5I\_search\_func\_t func, void \*key);

typedef struct {

H5I\_search\_func\_t app\_cb; /\* Application's callback routine \*/

void \* app\_key; /\* Application's "key" (user data) \*/

void \* ret\_obj; /\* Object to return \*/

} H5I\_search\_ud\_t;

/\* User data for iterator callback for ID iteration \*/

typedef struct {

H5I\_search\_func\_t user\_func; /\* 'User' function to invoke \*/

void \* user\_udata; /\* User data to pass to 'user' function \*/

hbool\_t app\_ref; /\* Whether this is an appl. ref. call \*/

H5I\_type\_t obj\_type; /\* Type of object we are iterating over \*/

} H5I\_iterate\_ud\_t;

H5Isearch()

+-H5I\_iterate()

+-H5I\_\_iterate\_cb()

+-H5I\_\_unwrap()

| +-H5VL\_object\_data()

| | +-(vol\_obj->connector->cls->wrap\_cls.get\_object)(vol\_obj->data)()

| | +- ??? -- must investigate

| +-H5T\_get\_actual\_type()

| +-H5VL\_object\_data()

| +-(vol\_obj->connector->cls->wrap\_cls.get\_object)(vol\_obj->data)()

| +- ??? -- must investigate

+-func() -- user function provided in call to H5Iserach()

In a nutshell:

Scan through the IDs of the target type, running the supplied search function on each. Return when the search function returns either success or error.

In greater detail:

On entry, H5Isearch() verifies that the supplied type is not a library type, and then initializes an instance of H5I\_search\_ud\_t as follows:

udata.app\_cb = func;

udata.app\_key = key;

udata.ret\_obj = NULL;

It then calls H5I\_iterate() with the supplied type, H5I\_\_search\_cb() as the func parameter, a pointer to the instance of H5I\_search\_ud\_t as the udata parameter, and with the app\_ref parameter set to TRUE. The return value of H5I\_iterate() is ignored, and the function returns udata.ret\_obj to the caller.

On entry, H5I\_iterate() does some sanity checks, looks up the target type's instance of H5I\_type\_info\_t in the global H5I\_type\_info\_array\_g, and stores that pointer in type\_info.

If type\_info is not NULL, type\_info->init\_count > 0, and type\_info->id\_count > 0, H5I\_iterate() proceeds as follows:

First, it sets its user data in an instance H5I\_iterate\_ud\_t and initializes it as follows:

iter\_udata.user\_func = func; // H5I\_\_search\_cb in this case

iter\_udata.user\_udata = udata; // udata from H5Isearch()

iter\_udata.app\_ref = app\_ref; // TRUE in this case

iter\_udata.obj\_type = type; // the target index type

The the function uses the HASH\_ITER macro to set up a for loop to iterate through all IDs in the target type. For each such ID that is not marked for deletion (i.e. the marked field in the associated instance of H5I\_id\_info\_t is not set), H5I\_iterate() calls H5I\_\_iterate\_cb() with the item parameter pointing to the instance of H5I\_id\_info\_t associated with the current ID, NULL for the key parameter, and the udata parameter pointing to the instance of H5I\_iterate\_ud\_t just initialized. If H5I\_iterate() returns either H5\_ITER\_STOP or H5\_ITER\_ERROR, H5I\_iterate() breaks out of the for loop and returns – flagging an error in the latter case.

H5I\_\_iterate\_cb() checks to see if the app\_ref field of the user data provided by H5I\_iterate() is TRUE, and if application reference count on the target instance of H5I\_id\_info\_t (\*\_item) is positive.

If these tests pass, H5I\_\_iterate\_cb() calls H5I\_\_unwrap() on the void pointer that was passed to H5Iregister(), and passes the result to the search function (instance of H5I\_search\_func\_t) that was passed into H5Isearch(). If the search function returns a positive value, the return value of H5I\_\_iterate\_cb() is set to H5\_ITER\_STOP, if a negative value, H5\_ITER\_ERROR. Otherwise, H5I\_\_iterate\_cb() returns H5\_ITER\_CONT.

In the context of external API's, H5I\_\_unwrap() is a no-op. It simply returns the void pointer that was passed to it in the object parameter.

However, for library IDs of H5I\_FILE, H5I\_GROUP, H5I\_DATASET, or H5I\_ATTR type, the void pointer is cast tor a pointer to H5VL\_object\_t, and passed to H5VL\_object\_data(). The return value of H5VL\_object\_data() is returned to the caller. Similarly, if the ID is of type H5I\_DATATYPE, the void pointer is cast to a pointer to H5T\_t and passed to H5VL\_object\_data() -- where

if may be passed to H5VL\_object\_data(). Again, the value from H5T\_get\_actual\_type() is returned to the caller.

Tracing through H5VL\_object\_data() and its subsequent calls to see what is going on here is beyond the scope of the current investigation. However, this will have to be addressed when H5VL is investigated, if not before.

Multi-Thread safety concerns:

H5Isearch() accesses data structures that are visible to other threads – specifically:

* Read access to the H5I\_type\_info\_array\_t and H5I\_next\_type\_g global variables,
* Read access to the target instance of H5I\_type\_info\_t.
* Read access to every instance of H5I\_id\_info\_t In the target index

In addition, there are the unknown issues raised by H5I\_\_unwrap() as discussed above.

Leaving aside the issues raised by H5I\_\_unwrap(), H5I has little control over the behavior of the user provided search function.

Finally, there is the fact that H5Isearch() iterates through the IDs in the target index. While H5Isearch() doesn’t have the obvious data consistency issues of H5Iclear() and H5Idestroy(), it will probably be convenient to apply the same solutions to it as well.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Calls a callback for each member of the identifier type specified

\*

\* \param[in] type The identifier type

\* \param[in] op The callback function

\* \param[in,out] op\_data The data for the callback function

\*

\* \return The last value returned by \p op

\*

\* \details H5Iiterate() calls the callback function \p op for each member of

\* the identifier type \p type. The callback function type for \p op,

\* H5I\_iterate\_func\_t, is defined in H5Ipublic.h as:

\* \snippet this H5I\_iterate\_func\_t\_snip

\* \p op takes as parameters the identifier and a pass through of

\* \p op\_data, and returns an herr\_t.

\*

\* A positive return from op will cause the iteration to stop and

\* H5Iiterate() will return the value returned by \p op. A negative

\* return from \p op will cause the iteration to stop and H5Iiterate()

\* will return failure. A zero return from \p op will allow iteration

\* to continue, as long as there are other identifiers remaining in

\* type.

\*

\* \since 1.12.0

\*

\*/

H5\_DLL herr\_t H5Iiterate(H5I\_type\_t type, H5I\_iterate\_func\_t op, void \*op\_data);

typedef herr\_t (\*H5I\_iterate\_func\_t)(hid\_t id, void \*udata);

typedef struct {

H5I\_iterate\_func\_t op; /\* Application's callback routine \*/

void \* op\_data; /\* Application's user data \*/

} H5I\_iterate\_pub\_ud\_t;

H5Iiterate()

+-H5I\_iterate()

+-H5I\_\_iterate\_cb()

+-H5I\_\_unwrap()

| +-H5VL\_object\_data()

| | +-(vol\_obj->connector->cls->wrap\_cls.get\_object)(vol\_obj->data)()

| | +- ??? -- must investigate

| +-H5T\_get\_actual\_type()

| +-H5VL\_object\_data()

| +-(vol\_obj->connector->cls->wrap\_cls.get\_object)(vol\_obj->data)()

| +- ??? -- must investigate

+-op() -- user function provided in call to H5Iiterate()

In a nutshell:

Scan through the IDs of the target type, running the supplied function on each. Return early if the supplied function returns either success or error.

In greater detail:

H5Iiterate() initializes an instance of H5I\_iterate\_pub\_ud\_t as follows:

int\_udata.op = op;

int\_udata.op\_data = op\_data;

and then calls H5I\_iterate() with the supplied type as the type parameter, H5I\_\_iterate\_pub\_cb as the func parameter, the int\_udata as the udata, and TRUE as the app\_ref parameter. H5Iiterate() returns the value returned by H5I\_iterate().

From this point, H5Iiterate is very similar to H5Isearch()

H5I\_iterate() is the same as in H5Isearch() with the exception of the initialization of its instance of H5I\_iterate\_ud\_t:

iter\_udata.user\_func = func; // H5I\_\_iterate\_pub\_cb in this case

iter\_udata.user\_udata = udata; // udata from H5Iiterate() -- this is

// the delta

iter\_udata.app\_ref = app\_ref; // TRUE in this case

iter\_udata.obj\_type = type; // the target index type

Similarly, H5I\_\_iterate\_cb() functions much as it does in H5Isearch(), the difference being in the user function called (H5I\_\_iterate\_pub\_cb() vs H5I\_\_search\_cp()) and the user data.

H5I\_\_iterate\_pub\_cb() is simpler than H5I\_\_search\_cb(). It just calls the function supplied to H5Isearch() with the current ID and udata supplied to H5Isearch() as parameters. It translates the return value to either H5\_ITER\_STOP, H5\_ITER\_ERROR, or H5I\_ITER\_CONT as appropriate, and returns.

Multi-Thread safety concerns:

Much the same as H5Isearch(), with additional concerns about the function supplied to H5Iiterate(). Since this function is only supplied with the ID of the index entry under examination, it will probably have to make a H5I call to obtain the associated data – complicating the multi-thread safety problem.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Returns the number of identifiers in a given identifier type

\*

\* \param[in] type The identifier type

\* \param[out] num\_members Number of identifiers of the specified identifier type

\*

\* \return \herr\_t

\*

\* \details H5Inmembers() returns the number of identifiers of the identifier

\* type specified in \p type.

\*

\* The number of identifiers is returned in \p num\_members. If no

\* identifiers of this type have been registered, the type does not

\* exist, or it has been destroyed, \p num\_members is returned with

\* the value 0.

\*

\*/

H5\_DLL herr\_t H5Inmembers(H5I\_type\_t type, hsize\_t \*num\_members);

H5Inmembers()

+-H5I\_nmembers()

In a nutshell:

Return the number of IDs in the target type.

In greater detail:

After accessing the H5I\_next\_type\_g and the H5I\_type\_info\_array\_g global variables to validate the supplied type and verify that it is not a library type, H5Inmembers() calls H5I\_nmembers() to obtain the current number of entries in the target type, and return that value in Inum\_members.

H5I\_nmembers() validates the type again, and then obtains a pointer (type\_info) to the target instance of H5I\_type\_info\_t. If this pointer is NULL, or if type\_info→init\_count is non-positive, H5I\_nmembers() returns zero. Otherwise, it returns type\_info->id\_count.

Multi-Thread safety concerns:

H5Iget\_type\_ref() accesses data structures that are visible to other threads – specifically:

* Read access to the H5I\_type\_info\_array\_t and H5I\_next\_type\_g global variables,
* Read access to the target instance of H5I\_type\_info\_t, specifically the init\_count and id\_count fields.

/\*\*

\* \ingroup H5IUD

\*

\* \brief Determines whether an identifier type is registered

\*

\* \param[in] type Identifier type

\*

\* \return \htri\_t

\*

\* \details H5Itype\_exists() determines whether the given identifier type,

\* \p type, is registered with the library.

\*

\* \since 1.8.0

\*

\*/

H5\_DLL htri\_t H5Itype\_exists(H5I\_type\_t type);

H5Itype\_exists()

In a nutshell:

Return TRUE if the specified type exists, and FALSE otherwise.

In greater detail:

Look up the entry in the global H5I\_type\_info\_array\_g array indicated by the supplied type. Return FALSE if this entry is NULL, and TRUE otherwise.

Multi-Thread safety concerns:

H5Itype\_exists() accesses data structures that are visible to other threads – specifically:

* Read access to the H5I\_type\_info\_array\_t and H5I\_next\_type\_g global variables,

/\*\*

\* \ingroup H5I

\*

\* \brief Determines whether an identifier is valid

\*

\* \obj\_id{id}

\*

\* \return \htri\_t

\*

\* \details H5Iis\_valid() determines whether the identifier \p id is valid.

\*

\* \details Valid identifiers are those that have been obtained by an

\* application and can still be used to access the original target.

\* Examples of invalid identifiers include:

\* \li Out of range values: negative, for example

\* \li Previously-valid identifiers that have been released:

\* for example, a dataset identifier for which the dataset has

\* been closed

\*

\* H5Iis\_valid() can be used with any type of identifier: object

\* identifier, property list identifier, attribute identifier, error

\* message identifier, etc. When necessary, a call to H5Iget\_type()

\* can determine the type of the object that \p id identifies.

\*

\* \since 1.8.3

\*

\*/

H5\_DLL htri\_t H5Iis\_valid(hid\_t id);

H5Iis\_valid()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nutshell:

Look up the supplied ID. If it doesn’t exist, of if it has a zero application ref count (i.e. it is HDF5 library internal), return FALSE. Otherwise return TRUE.

In greater detail:

H5Iis\_valid() calls H5I\_\_find\_id() to look up a pointer to the instance of H5I\_id\_info\_t (info) associated with the supplied ID. See H5Iobject\_verify() for a discussion of this call.

If info is NULL, or if info→app\_count is zero, H5Iis\_valid() returns FALSE. Otherwise, it returns TRUE.

Multi-Thread safety concerns:

See H5Iobject\_verify().

/\*\*   
 \* \ingroup H5I   
 \*   
 \* \brief Registers a "future" object under a type and returns an ID for it   
 \*   
 \* \param[in] type The identifier of the type of the new ID   
 \* \param[in] object Pointer to "future" object for which a new ID is created   
 \* \param[in] realize\_cb Function pointer to realize a future object   
 \* \param[in] discard\_cb Function pointer to destroy a future object   
 \*   
 \* \return \hid\_t{object}   
 \*   
 \* \details H5Iregister\_future() creates and returns a new ID for a "future" object.   
 \* Future objects are a special kind of object and represent a   
 \* placeholder for an object that has not yet been created or opened.   
 \* The \p realize\_cb will be invoked by the HDF5 library to 'realize'   
 \* the future object as an actual object.  A call to H5Iobject\_verify()   
 \* will invoke the \p realize\_cb callback and if it successfully   
 \* returns, will return the actual object, not the future object.   
 \*   
 \* \details The \p type parameter is the identifier for the ID type to which   
 \* this new future ID will belong. This identifier may have been created   
 \* by a call to H5Iregister\_type() or may be one of the HDF5 pre-defined   
 \* ID classes (e.g. H5I\_FILE, H5I\_GROUP, H5I\_DATASPACE, etc).   
 \*   
 \* \details The \p object parameter is a pointer to the memory which the new ID   
 \* will be a reference to. This pointer will be stored by the library,   
 \* but will not be returned to a call to H5Iobject\_verify() until the   
 \* \p realize\_cb callback has returned the actual pointer for the object.   
 \*   
 \* A  NULL value for \p object is allowed.   
 \*

\* \details The \p realize\_cb parameter is a function pointer that will be   
 \* invoked by the HDF5 library to convert a future object into an   
 \* actual object. The \p realize\_cb function may be invoked by   
 \* H5Iobject\_verify() to return the actual object for a user-defined   
 \* ID class (i.e. an ID class registered with H5Iregister\_type()) or   
 \* internally by the HDF5 library in order to use or get information   
 \* from an HDF5 pre-defined ID type.  For example, the \p realize\_cb   
 \* for a future dataspace object will be called during the process   
 \* of returning information from H5Sget\_simple\_extent\_dims().   
 \*   
 \* Note that although the \p realize\_cb routine returns   
 \* an ID (as a parameter) for the actual object, the HDF5 library   
 \* will swap the actual object in that ID for the future object in   
 \* the future ID.  This ensures that the ID value for the object   
 \* doesn't change for the user when the object is realized.   
 \*   
 \* Note that the \p realize\_cb callback could receive a NULL value   
 \* for a future object pointer, if one was used when H5Iregister\_future()   
 \* was initially called.  This is permitted as a means of allowing   
 \* the \p realize\_cb to act as a generator of new objects, without   
 \* requiring creation of unnecessary future objects.   
 \*   
 \* It is an error to pass NULL for \p realize\_cb.   
 \*   
 \* \details The \p discard\_cb parameter is a function pointer that will be   
 \* invoked by the HDF5 library to destroy a future object.  This   
 \* callback will always be invoked for \_every\_ future object, whether   
 \* the \p realize\_cb is invoked on it or not.  It's possible that   
 \* the \p discard\_cb is invoked on a future object without the   
 \* \p realize\_cb being invoked, e.g. when a future ID is closed without   
 \* requiring the future object to be realized into an actual one.   
 \*   
 \* Note that the \p discard\_cb callback could receive a NULL value   
 \* for a future object pointer, if one was used when H5Iregister\_future()   
 \* was initially called.   
 \*   
 \* It is an error to pass NULL for \p discard\_cb.   
 \*   
 \* \note The H5Iregister\_future() function is primarily targeted at VOL connector   
 \* authors and is \_not\_ designed for general-purpose application use.   
 \*   
 \*/   
H5\_DLL hid\_t H5Iregister\_future(H5I\_type\_t type, const void \*object,

H5I\_future\_realize\_func\_t realize\_cb,

H5I\_future\_discard\_func\_t discard\_cb);

H5Iregister\_future()

+-H5I\_\_register()

In a nutshell:

H5Iregister\_future() inserts the supplied void pointer in the index of the indicated type, marks the entry as a future object, and decorates it with the supplied realize and discard callbacks. The function returns an ID that can be used to access this void pointer (or its realized version) at a later date.

In more detail:

Test to see if the supplied type is a library type (i.e. one used internally. Fail if it is. Similarly, verify that the realize and discard callbacks are defined. If all tests pass, call H5I\_\_register() with the app\_ref. realize\_cb, and discard\_cb parameters set to TRUE, and the supplied values respectively.

Further processing is as per H5Iregister(), with the exception that the is\_future flag is set to TRUE, not FALSE.

Multi-Thread safety concerns:

As per H5Iregister().

# Appendix 2 – H5I private API calls

In addition to its public and developer APIs, H5I also has a private API providing indexing services to the HDF5 library. For the most part, these calls are similar to their cognates in the public API – but there are some differences, and also some calls which offer additional capabilities.

Since the objective of this exercise is make H5I multi-thread safe so it can be safely called by multiple threads in multi-thread safe VOL connectors, at first glance, the internal H5I API is not relevant to this effort. However, the internal H5I API is used by other packages – including those necessary to support multi-thread VOL connectors.

The list of internal H5I API calls below is taken from H5Iprivate.h. Most entries are annotated with a reference to the relevant public API call. Those with no public API cognate have more extensive annotations.

H5\_DLL herr\_t H5I\_register\_type(const H5I\_class\_t \*cls);

See H5Iregister\_type()

H5\_DLL int64\_t H5I\_nmembers(H5I\_type\_t type);

See H5Inmembers()

H5\_DLL herr\_t H5I\_clear\_type(H5I\_type\_t type, hbool\_t force, hbool\_t app\_ref);

See H5Iclear\_type()

H5\_DLL H5I\_type\_t H5I\_get\_type(hid\_t id);

See H5Iget\_type()

H5\_DLL herr\_t H5I\_iterate(H5I\_type\_t type, H5I\_search\_func\_t func, void \*udata, hbool\_t app\_ref);

See H5Isearch() and H5Iiterate()

H5\_DLL int H5I\_get\_ref(hid\_t id, hbool\_t app\_ref);

See H5Iget\_ref()

H5\_DLL int H5I\_inc\_ref(hid\_t id, hbool\_t app\_ref);

See H5Iinc\_ref()

H5\_DLL int H5I\_dec\_ref(hid\_t id);

H5I\_dec\_ref()

+-H5I\_\_dec\_ref()

+-H5I\_\_find\_id()

| +-(id\_info->realize\_cb)()

| +-H5I\_\_remove\_common()

| +-(id\_info->discard\_db)()

+-(type\_info->cls->free\_func)((void \*)info->object, request)

+-H5I\_\_remove\_common()

H5I\_dec\_ref() verifies that the ID is non-negative, and then calls H5I\_\_dec\_ref() with H5\_REQUEST\_NULL as the request parameter.

See H5Idec\_ref() for further details.

H5\_DLL int H5I\_dec\_app\_ref(hid\_t id);

See H5Idec\_ref()

H5\_DLL int H5I\_dec\_app\_ref\_async(hid\_t id, void \*\*token);

H5I\_dec\_app\_ref\_async()

+-H5I\_\_dec\_app\_ref()

+-H5I\_\_dec\_ref()

| +-H5I\_\_find\_id()

| | +-(id\_info->realize\_cb)()

| | +-H5I\_\_remove\_common()

| | +-(id\_info->discard\_db)()

| +-(type\_info->cls->free\_func)((void \*)info->object, request)

| +-H5I\_\_remove\_common()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

H5I\_dec\_app\_ref\_async() verifies that the ID is non-negative, and then calls H5I\_\_dec\_app\_ref(). This differs from calls to H5I\_\_dec\_app\_ref() elsewhere in that the request parameter passed into H5I\_\_dec\_app\_ref() is user supplied, and not hard coded to H5\_REQUEST\_NULL as in H5Idec\_ref() above. This request appears to be passed into the free function from the class when it is called on the void pointer that was passed in on ID registration. It does not appear to be used elsewhere.

Otherwise, the call to H5I\_\_dec\_app\_ref() seems to be as described in H5Idec\_ref() above.

H5\_DLL int H5I\_dec\_app\_ref\_always\_close(hid\_t id);

H5I\_dec\_app\_ref\_always\_close()

+-H5I\_\_dec\_app\_ref\_always\_close()

+-H5I\_\_dec\_app\_ref()

| +-H5I\_\_dec\_ref()

| | +-H5I\_\_find\_id()

| | | +-(id\_info->realize\_cb)()

| | | +-H5I\_\_remove\_common()

| | | +-(id\_info->discard\_db)()

| | +-(type\_info->cls->free\_func)((void \*)info->object, request)

| | +-H5I\_\_remove\_common()

| +-H5I\_\_find\_id()

| +-(id\_info->realize\_cb)()

| +-H5I\_\_remove\_common()

| +-(id\_info->discard\_db)()

+-H5I\_remove()

+-H5I\_\_remove\_common()

H5I\_dec\_app\_ref\_always\_close() verifies that the supplied ID is non-

negative, and then calls H5I\_\_dec\_app\_ref\_always\_close() with the

supplied ID and the request parameter set to H5\_REQUEST\_NULL. It

returns whatever value H5I\_\_dec\_app\_ref\_always\_close() returns.

After initial sanity checks, H5I\_\_dec\_app\_ref\_always\_close() calls

H5I\_\_dec\_app\_ref() with the supplied id and request (H5\_REQUEST\_NULL

in this case). See H5Idec\_ref() for a discussion of H5I\_\_dec\_app\_ref()

under these circumstances.

When H5I\_\_dec\_app\_ref(), H5I\_\_dec\_app\_ref\_always\_close() checks for

failure, and calls H5I\_remove() if a failure is detected. This appears

to be an attempt to force removal of the ID even if the free call

fails -- see the following comment:

/\*

\* If an object is closing, we can remove the ID even though the free

\* method might fail. This can happen when a mandatory filter fails to

\* write when a dataset is closed and the chunk cache is flushed to the

\* file. We have to close the dataset anyway. (SLU - 2010/9/7)

\*/

H5I\_remove() is discussed in H5Iremove\_verify() above.

H5\_DLL int H5I\_dec\_app\_ref\_always\_close\_async(hid\_t id, void \*\*token);

H5I\_dec\_app\_ref\_always\_close\_async()

+-H5I\_\_dec\_app\_ref\_always\_close()

+-H5I\_\_dec\_app\_ref()

| +-H5I\_\_dec\_ref()

| | +-H5I\_\_find\_id()

| | | +-(id\_info->realize\_cb)()

| | | +-H5I\_\_remove\_common()

| | | +-(id\_info->discard\_db)()

| | +-(type\_info->cls->free\_func)((void \*)info->object, request)

| | +-H5I\_\_remove\_common()

| +-H5I\_\_find\_id()

| +-(id\_info->realize\_cb)()

| +-H5I\_\_remove\_common()

| +-(id\_info->discard\_db)()

+-H5I\_remove()

+-H5I\_\_remove\_common()

As per H5I\_dec\_app\_ref\_always\_close() above, save that H5I\_dec\_app\_ref\_always\_close\_async() takes a token parameter (void \*\*), that is passed down to +-H5I\_\_dec\_app\_ref\_always\_close() as its request parameter. This parameter is eventually passed to the free function for the type if the ref count drops to zero.

H5\_DLL int H5I\_dec\_type\_ref(H5I\_type\_t type);

See H5Idec\_type\_ref()

H5\_DLL herr\_t H5I\_find\_id(const void \*object, H5I\_type\_t type, hid\_t \*id /\*out\*/);

/\* User data for iterator callback for retrieving an ID corresponding to an object pointer \*/

typedef struct {

const void \*object; /\* object pointer to search for \*/

H5I\_type\_t obj\_type; /\* type of object we are searching for \*/

hid\_t ret\_id; /\* ID returned \*/

} H5I\_get\_id\_ud\_t;

H5I\_find\_id()

+-H5I\_\_find\_id\_cb()

+-H5I\_\_unwrap()

+-H5VL\_object\_data()

| +-(vol\_obj->connector->cls->wrap\_cls.get\_object)(vol\_obj->data)()

| +- ??? -- must investigate

+-H5T\_get\_actual\_type()

+-H5VL\_object\_data()

+-(vol\_obj->connector->cls->wrap\_cls.get\_object)(vol\_obj->data)()

+- ??? -- must investigate

In a nutshell:

Scan all IDs in the target type. If an ID has a void pointer associated with it that matches the supplied void \*, return this ID in \*id.

In greater detail:

After initial sanity checks, and setting \*id = H5I\_INVALID\_HID, H5I\_find\_id() looks up the instance of H5I\_type\_info\_t associated with the supplied type in the H5I\_type\_info\_array\_g global array. If the target type exists and has been initialized, and has at least one entry. the function initializes an instance of H5I\_get\_id\_ud\_t as follows:

/\* Set up iterator user data \*/

udata.object = object;

udata.obj\_type = type;

udata.ret\_id = H5I\_INVALID\_HID;

and then uses the HASH\_ITER uthash macro to set up a for loop that visits each ID in the target type.

It calls H5I\_\_find\_id\_cb() on each such entry, returning an error if H5I\_\_find\_id\_cb() returns and error, and breaking out of the for loop if H5I\_\_find\_id\_cb() returns H5\_ITER\_STOP. In either case, H5I\_find\_id() sets \*id = udata.ret\_id after it exits the for loop.

H5I\_\_find\_id\_cb() calls H5I\_\_unwrap() on the void pointer associated with the target id. It tests to see if the return value of H5I\_\_unwrap() equals udata->object. If it does, it sets udata->ret\_id = info->id, and returns H5\_ITER\_STOP.

See H5Isearch() above for a discussion of H5I\_\_unwrap(). The bottom line is that it makes calls into H5VL, and then into a VOL connector callback -- with the resulting potential multithread safety issues. I am putting this issue to one side pending review of H5VL.

/\* NOTE: The object and ID functions below deal in non-VOL objects (i.e.;

\* H5S\_t, etc.). Similar VOL calls exist in H5VLprivate.h. Use

\* the H5VL calls with objects that go through the VOL, such as

\* datasets and groups, and the H5I calls with objects

\* that do not, such as property lists and dataspaces. Datatypes

\* are can be either named, where they will use the VOL, or not,

\* and thus require special treatment. See the datatype docs for

\* how to handle this.

\*/

/\* Functions that manipulate objects \*/

H5\_DLL void \* H5I\_object(hid\_t id);

See H5Iget\_type()

H5\_DLL void \* H5I\_object\_verify(hid\_t id, H5I\_type\_t type);

See H5Iobject\_verify()

H5\_DLL void \* H5I\_remove(hid\_t id);

See H5Iremove\_verify()

H5\_DLL void \* H5I\_subst(hid\_t id, const void \*new\_object);

H5I\_subst()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nut shell:

Replace the void \* associated with the ID with the supplied void \*, returning the old void \*.

In greater detail:

H5I\_subst() calls H5I\_\_find\_id() to obtain the instance of H5I\_id\_info\_t associated with the target ID. See H5Iobject\_verify() above for a discussion of H5I\_\_find\_id().

Assuming that H5I\_\_find\_id() is successful, H5I\_subst() sets info->object = new\_object, and returns the original value of info->object.

H5\_DLL htri\_t H5I\_is\_file\_object(hid\_t id);

H5I\_is\_file\_object()

+-H5I\_get\_type()

+-H5I\_object()

| +-H5I\_\_find\_id()

| +-(id\_info->realize\_cb)()

| +-H5I\_\_remove\_common()

| +-(id\_info->discard\_db)()

+-H5T\_is\_named()

H5I\_is\_file\_object() calls H5I\_get\_type() to obtain the type of the supplied ID. If the type is either H5I\_DATASET, H5I\_GROUP, or H5I\_MAP, the function return TRUE.

If the type is H5I\_DATATYPE, it calls H5I\_object() to obtain the instance of H5T\_t associated with the id, calls H5T\_is\_named() on this instance, and returns whatever H5T\_is\_named() returns.

Otherwise, the function returns FALSE.

H5I\_get\_type() invokes the H5I\_TYPE() macro to extract the type, and returns this value.

See H5Iobject\_verify() for a discussion of H5I\_object().

H5T\_is\_named() returns TRUE iff the datatype is named/committed. This is determined by examining fields of the supplied instance of H5T\_t, so no special multi-thread issues beyond the usual race conditions.

/\* ID registration functions \*/

H5\_DLL hid\_t H5I\_register(H5I\_type\_t type, const void \*object, hbool\_t app\_ref);

H5I\_register()

+-H5I\_\_register()

After some sanity checks H5I\_register() calls H5I\_\_register() with its parameters, and NULL for the realize\_cb and discard\_cp parameters.

See H5Iregister() above for a discussion of H5I\_\_register()

H5\_DLL herr\_t H5I\_register\_using\_existing\_id(H5I\_type\_t type, void \*object, hbool\_t app\_ref,

hid\_t existing\_id);

H5I\_register\_using\_existing\_id()

+-H5I\_\_find\_id()

+-(id\_info->realize\_cb)()

+-H5I\_\_remove\_common()

+-(id\_info->discard\_db)()

In a nutshell:

Register the supplied void \* in the specified index with the specified ID. The function will fail if the ID is already in use, or if it doesn't belong to the specified index.

In greater detail:

H5I\_register\_using\_existing\_id() first verifies that the supplied id is not in use via a call to H5I\_\_find\_id(). (see H5Iobject\_verify() for a discussion of H5I\_\_find\_id()). It then verifies that the supplied type is valid, and that the supplied id belongs to the supplied type. As part of these sanity checks, it looks up the instance of H5I\_type\_info\_t associated with the supplied type and stores its address in type\_info. In so doing, it reads the global H5I\_next\_type\_g and the global H5I\_type\_info\_array\_g array.

If all these sanity checks pass, the function allocates an instance of H5I\_id\_info\_t via H5FL\_CALLOC() storing its address in info. (note the thread safety issue), initializes it, and then uses the uthash HASH\_ADD() to insert it into the hash table of the specified index with the specified id. Before exiting, it sets type\_info->last\_id\_info = info.

From a multi-thread safety perspective, H5I\_register\_using\_existing\_id() seems to have the same issues as H5I\_\_register().

/\* Debugging functions \*/

H5\_DLL herr\_t H5I\_dump\_ids\_for\_type(H5I\_type\_t type);

This is a debugging function, and thus can be skipped for now.

# Appendix 3 – Fields modified by API Calls

The following table lists the fields in the relevant instances of H5I\_type\_info\_t and H5I\_id\_info\_t that are modified by the listed API calls. Note that this data is derived from inspection of the code, and thus some errors should be expected.

Note also that H5Iget\_file\_id() and H5Iget\_name() have been omitted from this table.

|  |  |  |
| --- | --- | --- |
| Operation(s) | Field modified in H5I\_type\_info\_t | Fields modified in H5I\_id\_info\_t |
| H5Iregister()  H5Iregister\_future()  H5I\_register() | nextid,  id\_count,  last\_id\_info  hash\_table | all – allocate instance and initialize |
| H5Iobject\_verify()  H5I\_object\_verify()  H5I\_object()  (no future objects) | last\_id\_info | none |
| H5Iobject\_verify()  H5I\_object\_verify()  H5I\_object()  (future objects possible) | last\_id\_info,  hash\_table,  id\_count | all  (delete one index entry, modify another) |
| H5Iremove\_verify()  H5I\_remove() | hash\_table,  last\_id\_info,  id\_count | all (free instance) or  marked (if H5I\_marking\_g is TRUE) |
| H5Iget\_type()  H5I\_get\_type()  (no future objects) | none | none |
| H5Iget\_type()  H5I\_get\_type()  (future objects possible) | hash\_table,  id\_count | all  (delete one index entry, modify another) |
| H5Iinc\_ref()  H5I\_inc\_ref()  (no future objects) | none | count,  app\_count |
| H5Iinc\_ref()  H5I\_inc\_ref()  (future objects possible) | hash\_table,  id\_count | all  (delete one index entry, modify another) |
| H5Idec\_ref()  H5I\_dec\_ref()  H5I\_dec\_app\_ref\_async()  H5I\_dec\_app\_ref\_always\_close()  H5I\_dec\_app\_ref\_always\_close\_async() | hash\_table,  last\_id\_info,  id\_count | all |
| H5Iget\_ref()  H5I\_get\_ref()  (no future objects) | none | none |
| H5Iget\_ref()  H5I\_get\_ref()  (future objects possible) | hash\_table,  id\_count | all  (delete and free one index entry, modify another) |
| H5Iregister\_type | all – allocate and initialize | none |
| H5Iclear\_type()  H5I\_clear\_type() | hash\_table,  id\_count | all – delete and free most entries |
| H5Idestroy\_type() | all – de-allocate when done | All – delete and free all entries |
| H5Iinc\_type\_ref() | init\_count | none |
| H5Idec\_type\_ref()  H5I\_dec\_type\_ref() | just init\_count if it remains positive, or all with de-allocation when done if init\_count drops to zero | none, if init\_count remains positive.  otherwise all – delete and free all entries |
| H5Iget\_type\_ref() | none | none |
| H5Isearch()  H5Iiterate()  H5I\_iterate()  H5I\_find\_id() | potentially hash\_table,  id\_count,  last\_id\_info | potentially all |
| H5Inmembers()  H5I\_nmembers() | id\_count | none |
| H5Itype\_exists() | none | none |
| H5Iis\_valid()  (no future objects) | none | none |
| H5Iis\_valid()  (future objects possible) | hash\_table,  id\_count | all  (delete one index entry, modify another) |
| H5I\_subst()  (no future objects) | last\_id\_info | object |
| H5I\_subst()  (future objects possible) | last\_id\_info,  hash\_table,  id\_count | all  (delete one index entry, modify another) |
| H5I\_is\_file\_object()  (no future objects) | none | none |
| H5I\_is\_file\_object()  (future objects possible) | hash\_table,  id\_count | all  (delete one index entry, modify another) |
| H5I\_register\_using\_existing\_id()  (no future objects) | id\_count,  last\_id\_info  hash\_table | all – allocate instance and initialize |
| H5I\_register\_using\_existing\_id()  (future objects possible) | id\_count,  last\_id\_info  hash\_table | all – either allocate an instance and initialize, or delete one index entry, modify another |

1. For example, we could use uthash with its macros wrapped in read or write locks as appropriate to implement a multi-thread safe version of the basic indexing function in H5I. [↑](#footnote-ref-1)
2. As shall be seen, the need to inter-operate with the remainder of the HDF5 library and VOL connectors that are not thread safe makes some locking unavoidable. [↑](#footnote-ref-2)
3. Since it would allow us to mark the ID as deleted, and then call the free\_func() at our leasure. [↑](#footnote-ref-3)
4. Or perhaps not – if multi-thread H5I is not merged into the HDF5 library until the next major version. [↑](#footnote-ref-4)
5. That is, the H5E, H5I, H5P, H5CX, H5VL, and eventually H5S and probably H5T modules. While we want to make H5FD multi-thread safe as well, this is for reasons largely unrelated to VOL connectors. [↑](#footnote-ref-5)
6. This pointer will probably have to be unwrapped, but this is a detail for later. [↑](#footnote-ref-6)
7. As shall be seen, a number of issues have been bypassed, as they are not necessary for an initial working prototype. While I am sure there are some oversights, I have have attempted to documented these issues below, so that they can be addressed fully in the production version. [↑](#footnote-ref-7)
8. For those unfamiliar with lock free singly linked lists, see section 10.5 of Herlihy, Luchangco, Shawitt, and Spear. Note that the authors call this data structure a “lock free unbounded queue”. [↑](#footnote-ref-8)
9. But note that in the current implementation, the maximum table size is limited to 1024. This limitation will have to be removed prior to release of a production version. [↑](#footnote-ref-9)
10. Or will be in the final form of the document. At present, the next section restricts itself to data structures and general approach to synchronization problems. Detailed discussions of how operations are implemented will wait until H5I has a full regression test suite, and all issues exposed have been dealt with. [↑](#footnote-ref-10)
11. The HDF5 library supports an internal API that allows the void pointer associated with an ID to be modified. This feature was created with this use case in mind. At present this use case is supported at a higher level in the H5I module. [↑](#footnote-ref-11)
12. Recall that removing a node from the LFSLL is a two stage process. First is is marked as logically deleted, and then is is physically deleted in passing by a subsequent insert or delete operation [↑](#footnote-ref-12)
13. Ideally, we would use C11 thread management primitives for this. Unfortunately, C11 is not as widely supported as one would hope, and thus this presents portability issues. To avoid committing to any particular thread management package, the existing H5I code uses C11 atomics (which are more widely supported) to implement recursive locks on individual IDs. While these locks are very light weight for the common case, they are expensive in the very rare case in which there is contention for the ID. Further, they have only been implemented to the extent necessary to pass the existing HDF5 regression tests. While they are probably good enough for the initial prototype, we must come to a conclusion on the locking mechanism to use, and implement recursive locks on IDs properly for the production version. [↑](#footnote-ref-13)
14. Generally speaking, entries in the index are deleted when their internal reference counts drop to zero. [↑](#footnote-ref-14)
15. Recall that a future ID is an ID for which the value has not yet been defined. [↑](#footnote-ref-15)
16. This appears to be an adaption to users of the iteration calls which can’t handle the contents of an index changing during the iteration – which obviously must be repaired in the multi-thread case. That said, from the perspective of a lock free multi-thread implementation of H5I, marking an ID as logically deleted and then cleaning up later is convenient. However, this requires a free\_func() that is guaranteed to succeed. As I hope to go this direction, the notion of logically deleting an ID, and then cleaning up later is probably here to stay. [↑](#footnote-ref-16)
17. compare\_exchange\_strong() will fail if the kernel has an unexpected value. Since we use the result of the previous atomic read as our expected value, the call will fail if the kernel has been modified since the atomic read. [↑](#footnote-ref-17)
18. This actually happens in the HDF5 library regression test code. [↑](#footnote-ref-18)
19. i.e. execute the continue statement. [↑](#footnote-ref-19)
20. This not completely true, as it is possible that the second access to the ID will modify the kernel – which will cause the reset of the do\_not\_disturb flag to fail after the callback completes. This doesn’t happen at present, but the possibility should be addressed in the production version. [↑](#footnote-ref-20)
21. This is obviously an incomplete solution, but it is good enough for now. One way or another, this loose end must be tied up in the production version. [↑](#footnote-ref-21)
22. While it may not be immediately obvious, the init\_count field is used to maintain a reference count on the index (or type). [↑](#footnote-ref-22)
23. The problem is especially apparent when two or more indexes (AKA types) are in the process of shutting down, where it can result in marked entries not being deleted when expected. [↑](#footnote-ref-23)
24. The obvious objection to this approach is the possibility that the number of threads active in H5I might never drop to zero. However, statistics collected on test code running on my development machine with up to 32 threads executing public H5I API calls indicate that this is not a problem. Whether this result will hold up on other machines with larger core counts is unknown at present. [↑](#footnote-ref-24)
25. The internal error reporting code was already largely multi-thread safe modulo its dependence on H5I. Thus little additional work was required in H5E once H5I was made multi-thread safe. The external H5E API calls are not multi-thread safe. However, since they are not called with any frequency, there is little immediate impetus for making them multi-thread safe. As a result, all the public H5E API calls currently obtain the global mutex on entry and drop it on exit. [↑](#footnote-ref-25)