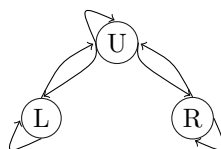


## Homework 5

**Problem #1**

Consider paths in the  $(x, y)$ -plane of length  $n$  (having  $n$  steps taken) starting from  $(0, 0)$  with steps  $R : (x, y) \mapsto (x + 1, y)$ ,  $L : (x, y) \mapsto (x - 1, y)$ , and  $U : (x, y) \mapsto (x, y + 1)$ . We require that a step  $R$  is not followed by a step  $L$  and vice versa. Put  $p_n$  equal to the number of such paths of length  $n$  and determine a closed formula for  $p_n$ .

For this problem, I decided to use an adjacency matrix to denote the different paths the nodes in this system could take. Using this knowledge, I was able to derive an easy formula for calculating the number of steps of length  $n$  taken. The graph for our system looks like this:



This graph can be represented using the following adjacency matrix:

$$A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

By using this matrix to act upon a vector representing the number of paths of length  $n$  at a given time, we can get a vector that represents the number of paths of length  $n+1$  in this system. We can simply count this amount using the following closed formula:

$$P_n = (A^n \cdot v_0)^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

Where  $v_0$  is the vector at time 0. To make future computations easier, I've assumed a motion at time 0 would need to be simply an "up" motion, this is due to the fact that at time 1 we are allowed to make any of the three motions. This means that  $v_0$  is represented as  $\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$ . This is already a closed formula, however,

we can generate an easier to compute version of it by performing an eigendecomposition of our matrix  $A$ . Leaving it in  $Q\Lambda Q^{-1}$  form. This will allow us to take powers of our matrix much more nicely. After the decomposition:

$$A = Q\Lambda Q^{-1}$$

$$A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1/2 & -\sqrt{2}/2 & -1/2 \\ 1/2 & \sqrt{2}/2 & -1/2 \\ -\sqrt{2}/2 & 0 & -\sqrt{2}/2 \end{bmatrix} \begin{bmatrix} 1 & -\sqrt{2} & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 + \sqrt{2} \end{bmatrix} \begin{bmatrix} 1/2 & 1/2 & -\sqrt{2}/2 \\ -\sqrt{2}/2 & \sqrt{2}/2 & 0 \\ -1/2 & -1/2 & -\sqrt{2}/2 \end{bmatrix}$$

Here's the process:

$$P_n = (A^n \cdot v_0)^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

Perform eigendecomposition on A, leaving it in  $Q\Lambda Q^{-1}$  form

$$P_n = ((Q\Lambda Q^{-1})^n \cdot v_0)^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

Use properties of  $Q\Lambda Q^{-1}$  matrix

$$P_n = (Q\Lambda^n Q^{-1} \cdot v_0)^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

From here, just multiply everything out

$$\begin{aligned} P_n &= (Q\Lambda^n \begin{bmatrix} 1/2 & 1/2 & -\sqrt{2}/2 \\ -\sqrt{2}/2 & \sqrt{2}/2 & 0 \\ -1/2 & -1/2 & -\sqrt{2}/2 \end{bmatrix} \cdot \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix})^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \\ P_n &= (Q \begin{bmatrix} (1-\sqrt{2})^n & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & (1+\sqrt{2})^n \end{bmatrix} \begin{bmatrix} -\sqrt{2}/2 \\ 0 \\ -\sqrt{2}/2 \end{bmatrix})^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \\ P_n &= \left( \begin{bmatrix} 1/2 & -\sqrt{2}/2 & -1/2 \\ 1/2 & \sqrt{2}/2 & -1/2 \\ -\sqrt{2}/2 & 0 & -\sqrt{2}/2 \end{bmatrix} \begin{bmatrix} -\sqrt{2}(1-\sqrt{2})^n/2 \\ 0 \\ -\sqrt{2}(1+\sqrt{2})^n/2 \end{bmatrix} \right)^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \\ P_n &= \left( \begin{bmatrix} \frac{(1+\sqrt{2})^n}{2} - \frac{(1-\sqrt{2})^n}{2} \\ \frac{(1+\sqrt{2})^n}{2} - \frac{(1-\sqrt{2})^n}{2} \\ \frac{(1+\sqrt{2})^n}{2} + \frac{(1-\sqrt{2})^n}{2} \end{bmatrix} \right)^T \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \\ P_n &= \left[ \frac{(1+\sqrt{2})^n}{2} - \frac{(1-\sqrt{2})^n}{2} \quad \frac{(1+\sqrt{2})^n}{2} - \frac{(1-\sqrt{2})^n}{2} \quad \frac{(1+\sqrt{2})^n}{2} + \frac{(1-\sqrt{2})^n}{2} \right] \cdot \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \\ P_n &= \left( \frac{(1+\sqrt{2})^n}{2} - \frac{(1-\sqrt{2})^n}{2} \right) + \left( \frac{(1+\sqrt{2})^n}{2} - \frac{(1-\sqrt{2})^n}{2} \right) + \left( \frac{(1+\sqrt{2})^n}{2} + \frac{(1-\sqrt{2})^n}{2} \right) \\ P_n &= \left( \frac{(1+\sqrt{2})^n}{\sqrt{2}} - \frac{(1-\sqrt{2})^n}{\sqrt{2}} \right) + \left( \frac{(1+\sqrt{2})^n}{2} + \frac{(1-\sqrt{2})^n}{2} \right) \\ P_n &= \left( \frac{\sqrt{2}(1+\sqrt{2})^n}{2} - \frac{\sqrt{2}(1-\sqrt{2})^n}{2} \right) + \left( \frac{(1+\sqrt{2})^n}{2} + \frac{(1-\sqrt{2})^n}{2} \right) \\ P_n &= \frac{(1+\sqrt{2})(1+\sqrt{2})^n + (1-\sqrt{2})(1-\sqrt{2})^n}{2} \\ P(n) &= \frac{(1+\sqrt{2})^{n+1} + (1-\sqrt{2})^{n+1}}{2} \end{aligned}$$

This is an easier closed formula to compute, and it was created off the adjacency matrix which was originally used to describe the system.

### Problem #2: Modified Tower of Hanoi.

Consider the Tower of Hanoi game and label the three pegs  $L$ ,  $M$ , and  $R$ , for the left peg, the middle peg, and right peg, respectively. Determine the minimum number of moves required to transfer  $n$  disks as in the Tower of Hanoi game, but with the additional constraint that a disk can only be moved to an adjacent peg; that is, a disk can only be moved to  $M$  from  $L$  or  $R$ , and can only be moved to  $L$  or  $R$  from  $M$ .

Through our observations of the increase in number of moves required to move an  $n$  number of disks from the left peg to the right one, we determined a recursive relation. This relation is:  $H_n = 3H_{n-1} + 2$ . We will expand this relation to determine a closed formula:

$$\begin{aligned} 3H_{n-1} + 2 &= 3(3H_{n-2} + 2) + 2 \\ 3^2H_{n-2} + 2 * 3 + 2 &= 3^2(3H_{n-3} + 2) + (2 * 3) + 2 \\ 3^2(3H_{n-3} + 2) + 2 * 3 + 2 &= 3^3H_{n-3} + (2 * 3^2) + (2 * 3) + 2 \end{aligned}$$

Expanding this out entirely

$$(3^n H_{n-n}) + (3^{n-1} * 2) + \dots + (3^2 * 2) + (3^1 * 2) + (3^0 + 2)$$

From this, we can clearly identify the relation:

$$\sum_{i=0}^{n-1} 3^i * 2$$

By expanding this, we get:

$$\begin{aligned} 2 * \left( \frac{1 - 3^n}{1 - 3} \right) \\ \frac{2 * (1 - 3^n)}{-2} \\ 3^n - 1 \end{aligned}$$

Now, we will prove how this relation we found holds up. We will use the PMI to determine this:

First, let us test the base case. So, if  $n = 0$ :

$$\begin{aligned} H(n) &= 3^n - 1 \\ H(0) &= 3^0 - 1 \\ H(0) &= 1 - 1 \\ H(0) &= 0 \end{aligned}$$

We have now determined 0 belongs in our set of solutions, now, let's check if  $H(n)$  entails  $H(n+1)$ :

$$\begin{aligned} \text{Assume: } H_n &= 3^n - 1 \\ \text{Want: } H_{n+1} &= 3^{n+1} - 1 \\ \text{Consider: } H_{n+1} &= 3H_n + 2 \\ H_{n+1} &= 3(3^n - 1) + 2 \\ H_{n+1} &= 3 * 3^n - 3 + 2 \\ H_{n+1} &= 3^{n+1} - 1 \end{aligned}$$

Since we have also proven how  $H_n$  entails  $H_{n+1}$ . Then we have proved this formula holds up as the correct count of the modified Towers of Hanoi problem.