

# Search-Based Unsupervised Text Generation

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# Outline

- Introduction
- General framework
- Applications
  - Paraphrasing
  - Summarization
  - Text simplification
- Conclusion & Future Work

# A fading memory ...

- Of how I learned natural language processing (NLP):

$$\text{NLP} = \text{NLU} + \text{NLG}$$

**Understanding**      **Generation**

- NLU was the main focus of NLP research.
- NLG was relatively easy, as we can generate sentences by rules, templates, etc.
- Why this may NOT be correct?
  - Rules and templates are not natural language.
  - How can we represent meaning? – Almost the same question as NLU.

# A fading memory ...

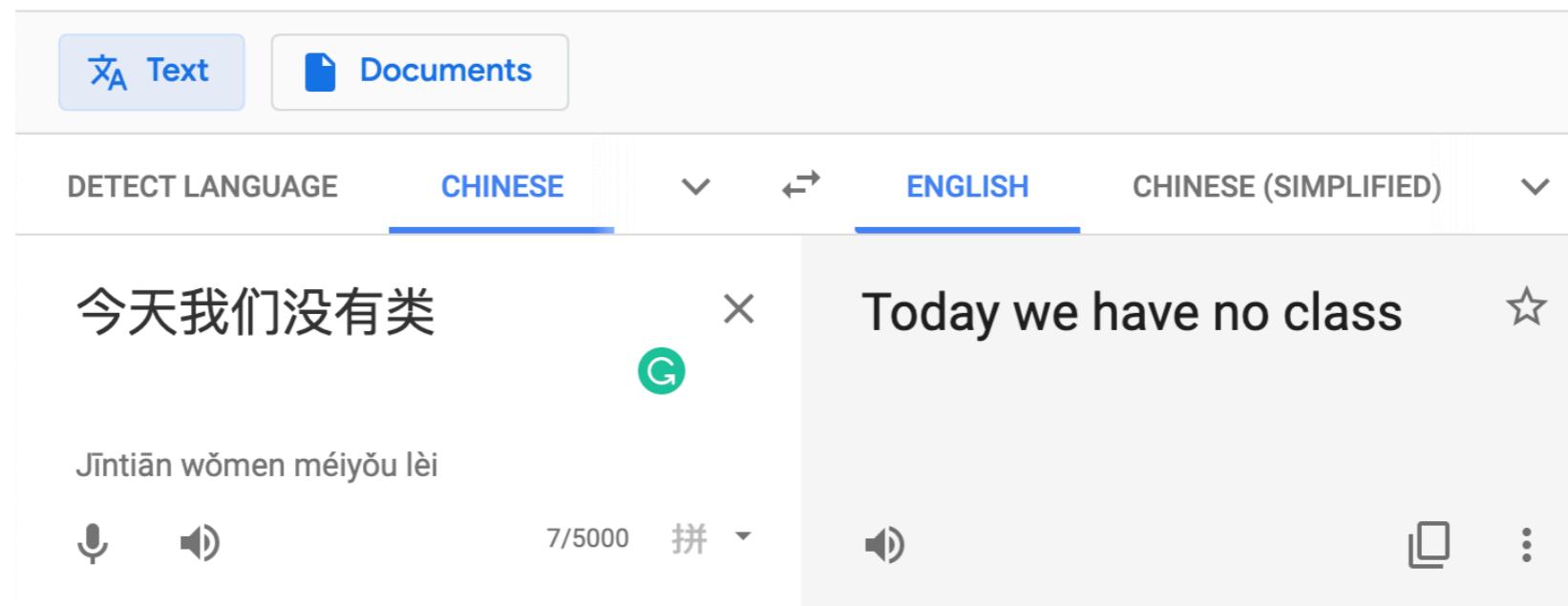
- Of how I learned natural language processing (NLP):

$$\text{NLP} = \underset{\text{Understanding}}{\text{NLU}} + \underset{\text{Generation}}{\text{NLG}}$$

- NLU was the main focus of NLP research.
  - NLG was relatively easy, as we can generate sentences by rules, templates, etc.
- Why this may NOT be correct?
  - Rules and templates are not natural language.
  - How can we represent meaning? – Almost the same question as NLU.

# Why NLG is interesting?

- Industrial applications
  - Machine translation
  - Headline generation for news
  - Grammarly: grammatical error correction



<https://translate.google.com/>

# Why NLG is interesting?

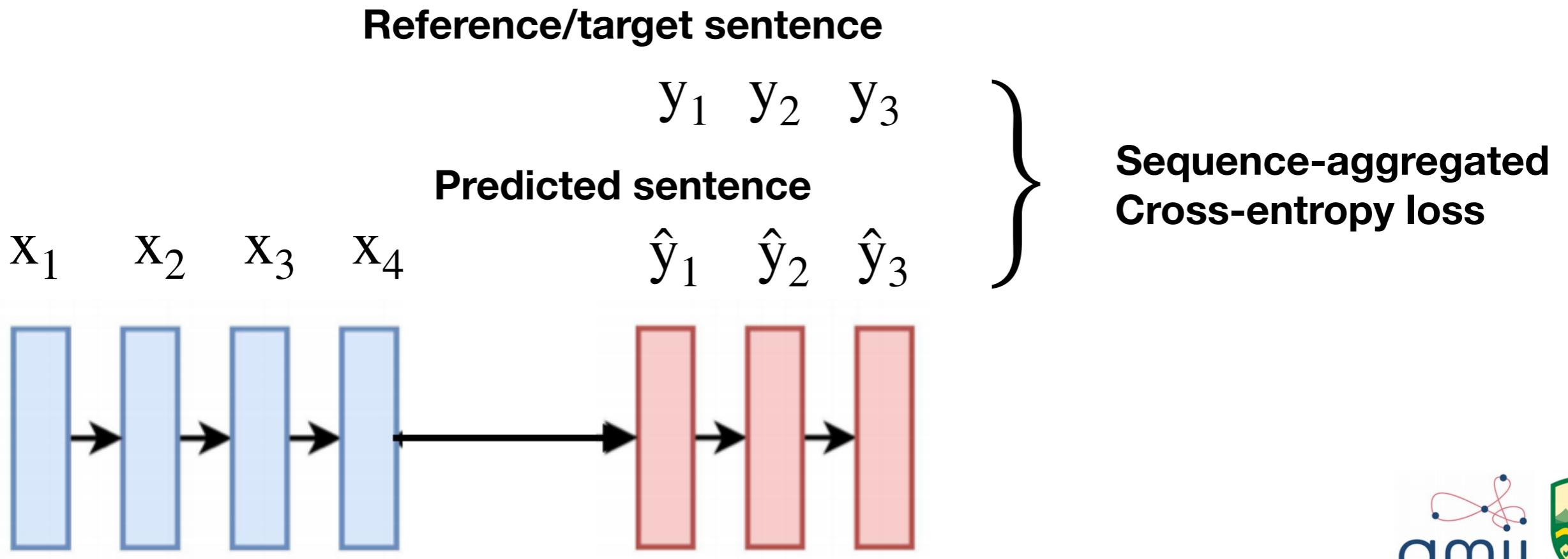
- Industrial applications
  - Machine translation
  - Headline generation for news
  - Grammarly: grammatical error correction
- Scientific questions
  - Non-linear dynamics for long-text generation
  - Discrete “multi-modal” distribution

# Supervised Text Generation

# Sequence-to-sequence training

Training data =  $\{(\mathbf{x}^{(m)}, \mathbf{y}^{(m)})\}_{m=1}^M$

known as a *parallel corpus*



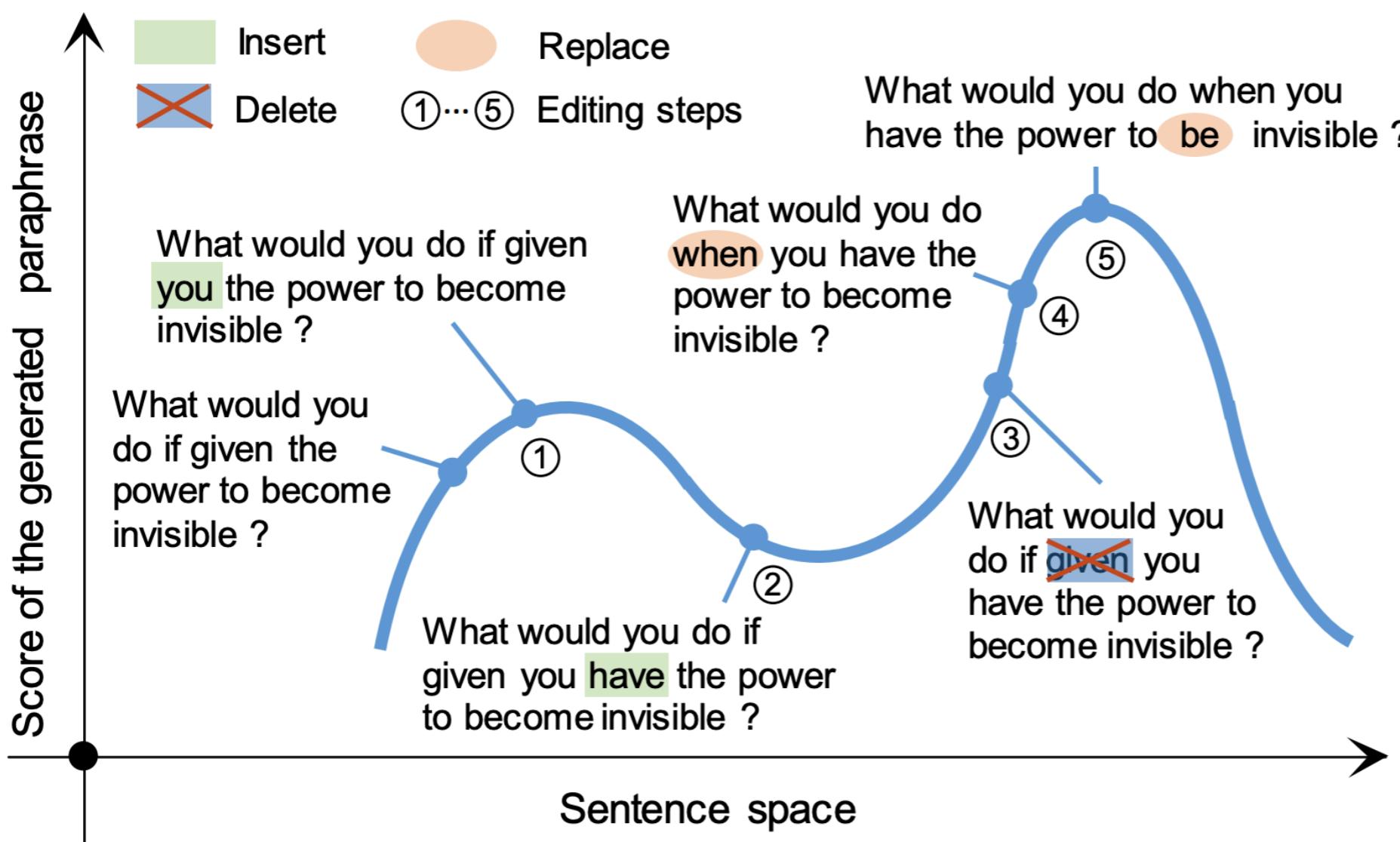
# Unsupervised Text Generation

- Training data =  $\{\mathbf{x}^{(m)}\}_{m=1}^M$ 
  - Not even training (we did it by searching)
- Important to **industrial applications**
  - Startup: No data
  - Minimum viable product
- Scientific interest
  - How can AI agents go beyond NLU to NLG?
  - Unique search problems

# General Framework

# General Framework

- **Search objective**
  - Scoring function measuring text quality
- **Search algorithm**
  - Currently we are using stochastic local search



# Scoring Function

- Search objective
  - Scoring function measuring text quality

$$s(\mathbf{y}) = s_{LM}(\mathbf{y}) \cdot s_{Semantic}(\mathbf{y})^\alpha \cdot s_{Task}(\mathbf{y})^\beta$$

- Language fluency
- Semantic coherence
- Task-specific constraints

# Scoring Function

- Search objective
  - Scoring function measuring text quality

$$s(\mathbf{y}) = s_{LM}(\mathbf{y}) \cdot s_{Semantic}(\mathbf{y})^\alpha \cdot s_{Task}(\mathbf{y})^\beta$$

- Language fluency
  - Language model estimates the “probability” of a sentence

$$\overleftarrow{\text{PPL}}(\mathbf{y}) = \sqrt[2|\mathbf{y}|]{\prod_i^{|y|} \frac{1}{p_{\overrightarrow{\text{LM}}}(y_i | \mathbf{y}_{<i})} \prod_i^{|y|} \frac{1}{p_{\overleftarrow{\text{LM}}}(y_i | \mathbf{y}_{>i})}}. \quad s_{LM}(\mathbf{y}) = \text{PPL}(\mathbf{y})^{-1}$$

- Semantic coherence
- Task-specific constraints

# Scoring Function

- Search objective
  - Scoring function measuring text quality

$$s(\mathbf{y}) = s_{LM}(\mathbf{y}) \cdot s_{Semantic}(\mathbf{y})^\alpha \cdot s_{Task}(\mathbf{y})^\beta$$

- Language fluency
- **Semantic coherence**

$$s_{semantic} = \cos(e(\mathbf{y}), e(\mathbf{y}))$$

- Task-specific constraints

# Scoring Function

- Search objective
  - Scoring function measuring text quality

$$s(\mathbf{y}) = s_{LM}(\mathbf{y}) \cdot s_{Semantic}(\mathbf{y})^\alpha \cdot s_{Task}(\mathbf{y})^\beta$$

- Language fluency
- Semantic coherence
- Task-specific constraints
  - Paraphrasing: lexical dissimilarity with input
  - Summarization: length budget

# Search Algorithm

- Observations:
  - The output closely resembles the input
  - Edits are mostly local
  - May have hard constraints
- Thus, we mainly used **local stochastic search**

# Search Algorithm

(stochastic local search)

Start with  $\mathbf{y}_0$  # an initial candidate sentence

Loop within budget at step  $t$ :

$\mathbf{y}' \sim \text{Neighbor}(\mathbf{y}_t)$  # a new candidate in the neighbor

Either reject or accept  $\mathbf{y}'$

If accepted,  $\mathbf{y}_t = \mathbf{y}'$ , or otherwise  $\mathbf{y}_t = \mathbf{y}_{t-1}$

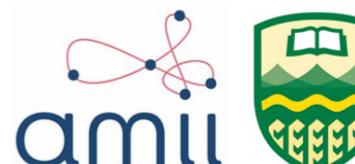
Return the best scored  $\mathbf{y}_*$

# Search Algorithm

Local edits for  $\mathbf{y}' \sim \text{Neighbor}(\mathbf{y}_t)$

- General edits
    - Word deletion
    - Word insertion
    - Word replacement
  - Task specific edits
    - Reordering, swap of word selection, etc.
- $$p(w_* | \cdot) = \frac{f_{\text{sim}}(\mathbf{x}_*, \mathbf{x}_0) \cdot f_{\text{exp}}(\mathbf{x}_*, \mathbf{x}_0) \cdot f_{\text{flu}}(\mathbf{x}_*)}{Z},$$
$$Z = \sum_{w_* \in \mathcal{W}} f_{\text{sim}}(\mathbf{x}_*, \mathbf{x}_0) \cdot f_{\text{exp}}(\mathbf{x}_*, \mathbf{x}_0) \cdot f_{\text{flu}}(\mathbf{x}_*),$$

Gibbs in Metropolis



# Search Algorithm

**Example:** Metropolis – Hastings sampling

Start with  $\mathbf{y}_0$  # an initial candidate sentence

Loop within your budget at step  $t$ :

$\mathbf{y}' \sim \text{Neighbor}(\mathbf{y}_t)$  # a new candidate in the neighbor

Either reject or accept  $\mathbf{y}'$

$$A(\mathbf{x}'|\mathbf{x}_{t-1}) = \min\{1, A^*(\mathbf{x}'|\mathbf{x}_{t-1})\}$$

$$A^*(\mathbf{x}'|\mathbf{x}_{t-1}) = \frac{\pi(\mathbf{x}')g(\mathbf{x}_{t-1}|\mathbf{x}')}{\pi(\mathbf{x}_{t-1})g(\mathbf{x}'|\mathbf{x}_{t-1})}$$

If accepted,  $\mathbf{y}_t = \mathbf{y}'$ , or otherwise  $\mathbf{y}_t = \mathbf{y}_{t-1}$

Return the best scored  $\mathbf{y}_*$

# Search Algorithm

**Example:** Simulated annealing

Start with  $\mathbf{y}_0$  # an initial candidate sentence

Loop within your budget at step  $t$ :

$\mathbf{y}' \sim \text{Neighbor}(\mathbf{y}_t)$  # a new candidate in the neighbor

Either reject or accept  $\mathbf{y}'$

$$p(\text{accept} | \mathbf{x}_*, \mathbf{x}_t, T) = \min \left( 1, e^{\frac{f(\mathbf{x}_*) - f(\mathbf{x}_t)}{T}} \right)$$

If accepted,  $\mathbf{y}_t = \mathbf{y}'$ , or otherwise  $\mathbf{y}_t = \mathbf{y}_{t-1}$

Return the best scored  $\mathbf{y}_*$

# Search Algorithm

**Example:** Hill climbing

Start with  $\mathbf{y}_0$  # an initial candidate sentence

Loop within your budget at step  $t$ :

$\mathbf{y}' \sim \text{Neighbor}(\mathbf{y}_t)$  # a new candidate in the neighbor

Either reject or accept  $\mathbf{y}'$

whenever  $\mathbf{y}'$  is better than  $\mathbf{y}_{t-1}$

If accepted,  $\mathbf{y}_t = \mathbf{y}'$ , or otherwise  $\mathbf{y}_t = \mathbf{y}_{t-1}$

Return the best scored  $\mathbf{y}_*$

# Applications

# Paraphrase Generation

| Input  | Reference  |
|--|--|
| Which is the best training institute in Pune for digital marketing ? | Which is the best digital marketing training institute in Pune ? |

Could be useful for various NLP applications

- E.g., query expansion, data augmentation

# Paraphrase Generation

- Search objective
  - Fluency
  - Semantic preservation
  - Expression diversity
    - The paraphrase should be different from the input

$$s_{exp}(\mathbf{y}_*, \mathbf{y}_0) = 1 - \text{BLEU}(\mathbf{y}_*, \mathbf{y}_0)$$

BLEU here measures the *n*-gram overlapping

- Search algorithm
- Search space
- Search neighbors

# Paraphrase Generation

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$$s_{exp}(\mathbf{y}_*, \mathbf{y}_0) = 1 - \text{BLEU}(\mathbf{y}_*, \mathbf{y}_0)$$

BLEU here measures the *n*-gram overlapping

- Search algorithm: Simulated annealing
- Search space: the entire sentence space with  $\mathbf{y}_0$  = input
- Search neighbors
  - Generic word deletion, insertion, and replacement
  - Copying words in the input sentence

# Text Simplification

## Input

*In 2016 alone, American developers had spent 12 billion dollars on **constructing** theme parks, according to a Seattle based reporter.*

## Reference

American developers had spent 12 billion dollars in 2016 alone on **building** theme parks.

## Could be useful for

- education purposes (e.g., kids, foreigners)
- for those with dyslexia

## Key observations

- Dropping phrases and clauses
- Phrase re-ordering
- Dictionary-guided lexicon substitution

# Text Summarization

## Search objective

- Language model fluency (discounted by word frequency)
- Cosine similarity
- Entity matching
- Length penalty
- Flesh Reading Ease (FRE) score [Kincaid et al., 1975]

## Search operations

# Text Summarization

## Search objective

- Language model fluency (discounted by word frequency)
- Cosine similarity
- Entity matching
- Length penalty
- Flesh Reading Ease (FRE) score [Kincaid et al., 1975]

## Search operations

- Dictionary-guided substitution (e.g., WordNet)
  - Phrase removal
  - Re-ordering
- } with parse trees

# Text Summarization

## Input

The world's biggest miner **bhp billiton** announced tuesday it was **dropping** its controversial hostile **takeover bid** for rival **rio tinto** due to the state of the global economy

## Reference

bhp billiton drops rio tinto takeover bid

## Key observation

- Words in the summary mostly come from the input
- If we generate the summary by selecting words, we have

bhp billiton dropping hostile bid for rio tinto

# Text Summarization

- Search objective
  - Fluency
  - Semantic preservation
  - A hard length constraint

$$f_{\text{LEN}}(\mathbf{y}; s) = \begin{cases} 1, & \text{if } |\mathbf{y}| = s, \\ -\infty, & \text{otherwise.} \end{cases}$$

(Explicitly controlling length is not feasible in previous work)

- Search space
- Search neighbor
- Search algorithm

# Text Summarization

- Search objective
  - Fluency
  - Semantic preservation
  - A hard length constraint

$$f_{\text{LEN}}(\mathbf{y}; s) = \begin{cases} 1, & \text{if } |\mathbf{y}| = s, \\ -\infty, & \text{otherwise.} \end{cases}$$

(Explicitly controlling length is not feasible in previous work)

- Search space with only feasible solutions

$$|\mathcal{V}|^{|\mathbf{y}|} \Rightarrow \binom{|\mathbf{x}|}{s}$$

- Search neighbor: swap only
- Search algorithm: hill-climbing

# **Experimental Results**

# Research Questions

- General performance
- Greediness vs. Stochasticity
- Search objective vs. Measure of success

# General Performance

## Paraphrase generation

|                                | Model             | Quora        |              |              |              | Wikianswers  |              |              |              |
|--------------------------------|-------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
|                                |                   | iBLEU        | BLEU         | Rouge1       | Rouge2       | iBLEU        | BLEU         | Rouge1       | Rouge2       |
| Supervised                     | ResidualLSTM      | 12.67        | 17.57        | 59.22        | 32.40        | 22.94        | 27.36        | 48.52        | 18.71        |
|                                | VAE-SVG-eq        | 15.17        | 20.04        | 59.98        | 33.30        | 26.35        | 32.98        | 50.93        | 19.11        |
|                                | Pointer-generator | 16.79        | 22.65        | 61.96        | 36.07        | 31.98        | 39.36        | 57.19        | 25.38        |
|                                | Transformer       | 16.25        | 21.73        | 60.25        | 33.45        | 27.70        | 33.01        | 51.85        | 20.70        |
|                                | Transformer+Copy  | 17.98        | 24.77        | 63.34        | 37.31        | 31.43        | 37.88        | 55.88        | 23.37        |
|                                | DNPG              | <b>18.01</b> | <b>25.03</b> | <b>63.73</b> | <b>37.75</b> | <b>34.15</b> | <b>41.64</b> | <b>57.32</b> | <b>25.88</b> |
| Supervised<br>+ Domain-adapted | Pointer-generator | 5.04         | 6.96         | 41.89        | 12.77        | 21.87        | 27.94        | 53.99        | 20.85        |
|                                | Transformer+Copy  | 6.17         | 8.15         | 44.89        | 14.79        | 23.25        | 29.22        | 53.33        | 21.02        |
|                                | Shallow fusion    | 6.04         | 7.95         | 44.87        | 14.79        | 22.57        | 29.76        | 53.54        | 20.68        |
|                                | MTL               | 4.90         | 6.37         | 37.64        | 11.83        | 18.34        | 23.65        | 48.19        | 17.53        |
|                                | MTL+Copy          | 7.22         | 9.83         | 47.08        | 19.03        | 21.87        | 30.78        | 54.10        | 21.08        |
|                                | DNPG              | <u>10.39</u> | <u>16.98</u> | <u>56.01</u> | <u>28.61</u> | <u>25.60</u> | <u>35.12</u> | <u>56.17</u> | <u>23.65</u> |
| Unsupervised                   | VAE               | 8.16         | 13.96        | 44.55        | 22.64        | 17.92        | 24.13        | 31.87        | 12.08        |
|                                | Lag VAE           | 8.73         | 15.52        | 49.20        | 26.07        | 18.38        | 25.08        | 35.65        | 13.21        |
|                                | CGMH              | 9.94         | 15.73        | 48.73        | 26.12        | 20.05        | 26.45        | 43.31        | 16.53        |
|                                | UPSA              | <u>12.03</u> | <u>18.21</u> | <u>59.51</u> | <u>32.63</u> | <u>24.84</u> | <u>32.39</u> | <u>54.12</u> | <u>21.45</u> |

BLEU and ROUGE scores are automatic evaluation metrics based on references

# General Performance

## Text Summarization

| Model |                               | Data    |       |          | Len D | Rouge F1     |              |              | Len O |
|-------|-------------------------------|---------|-------|----------|-------|--------------|--------------|--------------|-------|
|       |                               | article | title | external |       | R-1          | R-2          | R-L          |       |
|       | Lead-N-8                      | ✓       |       |          | 8     | 21.39        | 7.42         | 20.03        | 7.9   |
| A     | <i>HC_article_8</i>           | ✓       |       |          | 8     | <u>23.09</u> | <u>7.50</u>  | <u>21.29</u> | 7.9   |
|       | <i>HC_title_8</i>             |         | ✓     |          | 8     | <b>26.32</b> | <b>9.63</b>  | <b>24.19</b> | 7.9   |
|       | Lead-N-10                     | ✓       |       |          | 10    | 23.03        | 7.95         | 21.29        | 9.8   |
|       | <i>Wang and Lee (2018)</i>    | ✓       | ✓     |          | -     | 27.29        | 10.01        | 24.59        | 10.8  |
|       | <i>Zhou and Rush (2019)</i>   |         | ✓     | billion  | -     | 26.48        | 10.05        | 24.41        | 9.3   |
| B     | <i>HC_article_10</i>          | ✓       |       |          | 10    | 24.44        | 8.01         | 22.21        | 9.8   |
|       | <i>HC_title_10</i>            |         | ✓     |          | 10    | 27.52        | 10.27        | 24.91        | 9.8   |
|       | <i>HC_title+twitter_10</i>    |         | ✓     | twitter  | 10    | <u>28.26</u> | <u>10.42</u> | <u>25.43</u> | 9.8   |
|       | <i>HC_title+billion_10</i>    |         | ✓     | billion  | 10    | <b>28.80</b> | <b>10.66</b> | <b>25.82</b> | 9.8   |
|       | Lead-P-50                     | ✓       |       |          | 50%   | 24.97        | <u>8.65</u>  | 22.43        | 14.6  |
|       | <i>Fevry and Phang (2018)</i> | ✓       |       | SNLI     | 50%   | 23.16        | 5.93         | 20.11        | 14.8  |
| C     | <i>Baziotis et al. (2019)</i> | ✓       |       |          | 50%   | 24.70        | 7.97         | 22.14        | 15.1  |
|       | <i>HC_article_50p</i>         | ✓       |       |          | 50%   | <u>25.58</u> | 8.44         | <u>22.66</u> | 14.9  |
|       | <i>HC_title_50p</i>           |         | ✓     |          | 50%   | <b>27.05</b> | <b>9.75</b>  | <b>23.89</b> | 14.9  |

# General Performance

## Text Simplification

| Method                      | BLEU         | SARI         | Add         | Delete       | Keep        | GM           | FKGL  | Len   |
|-----------------------------|--------------|--------------|-------------|--------------|-------------|--------------|-------|-------|
| Reference                   | 100          | 70.13        | -           | -            | -           | 83.74        | 3.20  | 12.75 |
| Baselines                   |              |              |             |              |             |              |       |       |
| Complex                     | 21.30        | 2.82         | -           | -            | -           | 7.75         | 8.62  | 23.06 |
| Reduced-250                 | 11.79        | 28.39        | -           | -            | -           | 18.29        | -0.23 | 14.48 |
| Supervised Methods          |              |              |             |              |             |              |       |       |
| PBMT-R                      | 18.1         | 15.77        | 3.07        | 38.34        | 5.90        | 16.89        | 7.59  | 23.06 |
| Hybrid                      | 14.46        | 28.61*       | 0.95*       | 78.86*       | 6.01*       | 20.34        | 4.03  | 12.41 |
| EncDecA                     | 21.68        | 24.12        | 2.73        | 62.66        | 6.98        | 22.87        | 5.11  | 16.96 |
| Dress                       | 23.2         | 27.37        | <b>3.08</b> | 71.61        | 7.43        | 25.2         | 4.11  | 14.2  |
| Dress-Ls                    | 24.25        | 26.63        | 3.21        | 69.28        | 7.4         | 25.41        | 4.21  | 14.37 |
| DMass                       | 11.92        | 31.06        | 1.25        | 84.12        | 7.82        | 19.24        | 3.60  | 15.07 |
| S2S-All-FA                  | 19.55        | 30.73        | 2.64        | 81.6         | <b>7.97</b> | 24.51        | 2.60  | 10.81 |
| Edit-NTS                    | 19.85        | 30.27*       | 2.71*       | 80.34*       | 7.76*       | 24.51        | 3.41  | 10.92 |
| EncDecP                     | 23.72        | 28.31        | -           | -            | -           | 25.91        | -     | -     |
| EntPar                      | 11.14        | <b>33.22</b> | 2.42        | <b>89.32</b> | 7.92        | 19.24        | 1.34  | 7.88  |
| Unsupervised Methods (Ours) |              |              |             |              |             |              |       |       |
| Base                        | <b>27.22</b> | 26.07        | 2.35        | 68.35        | 7.5         | 26.64        | 2.95  | 12.9  |
| Base+LS                     | 27.17        | 26.26        | 2.28        | 68.94        | 7.57        | <b>26.71</b> | 2.93  | 12.88 |
| Base+RO                     | 26.31        | 26.99        | 2.47        | 70.88        | 7.63        | 26.64        | 3.14  | 12.81 |
| Base+LS+RO                  | 26.21        | 27.11        | 2.40        | 71.26        | 7.67        | 26.66        | 3.12  | 12.81 |

# General Performance

Human evaluation on paraphrase generation

| Model   | Relevance   |           | Fluency     |           |
|---------|-------------|-----------|-------------|-----------|
|         | Mean Score  | Agreement | Mean Score  | Agreement |
| VAE     | 2.65        | 0.41      | 3.23        | 0.51      |
| Lag VAE | 2.81        | 0.45      | 3.25        | 0.48      |
| CGMH    | 3.08        | 0.36      | 3.51        | 0.49      |
| UPSA    | <b>3.78</b> | 0.55      | <b>3.66</b> | 0.53      |

# General Performance

## Examples

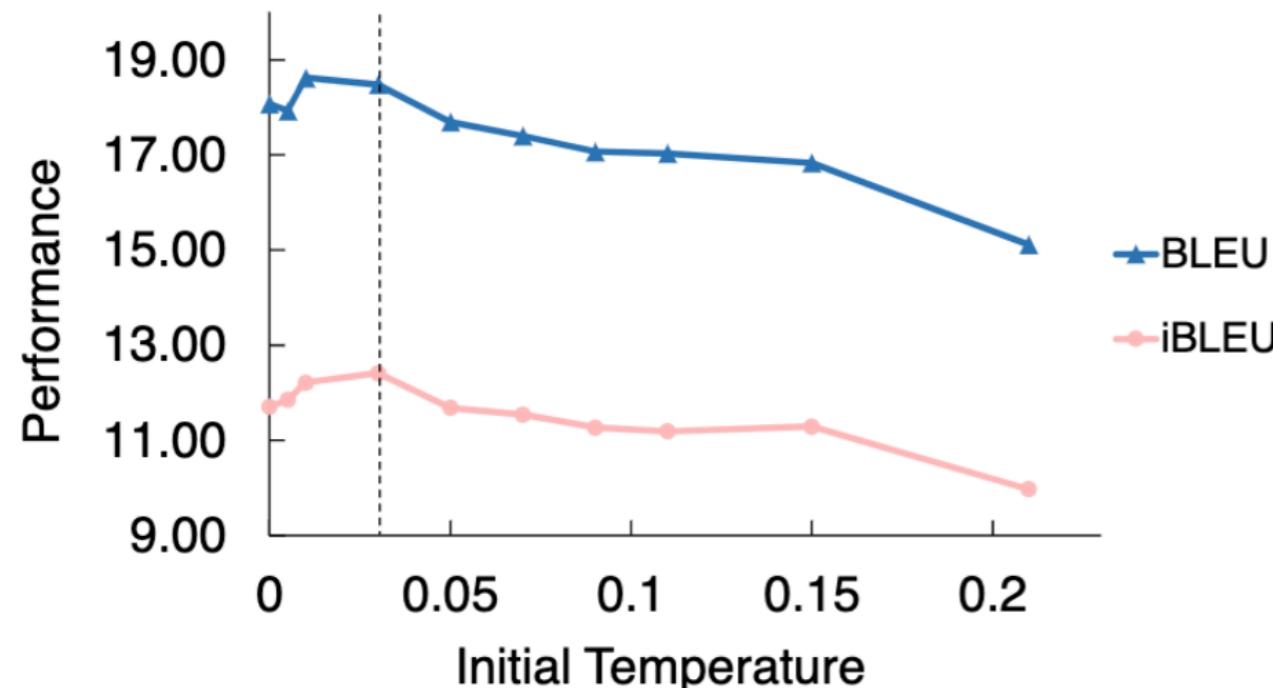
| Input   | VAE  | Lag VAE   | CGMH   | UPSA  |
|---|--|---|--|---|
| where are best places for spring snowboarding in the us?                | where are best places for running in the world? (3.33)                               | where are best places for honeymoon year near the us? (2.33)              | Where is best store for the snowboarding in the US? (3.67)                       | Where can I find the best places in the US for snowboarding? (4.67)       |
| how can i become good in studies?                                       | how can i have a good android phone? (2.33)  | how can i become good students? (4.33)                                    | how can i become very rich in studies? (4.00)                                    | how should i do to get better grades in my studies? (4.33)                |
| what are the pluses and minuses about life as a foreigner in singapore? | what are the UNK and most interesting life as a foreigner in medieval greece? (2.33) | what are the UNK and interesting things about life as a foreigner? (2.33) | what are the misconception about UNK with life as a foreigner in western? (2.33) | what are the mistakes and pluses life as a foreigner in singapore? (2.67) |

## Main conclusion

- Search-based unsupervised text generation works in a variety of applications
- Surprisingly, it does yield **fluent sentences.**

# Greediness vs Stochasticity

## Paraphrase generation



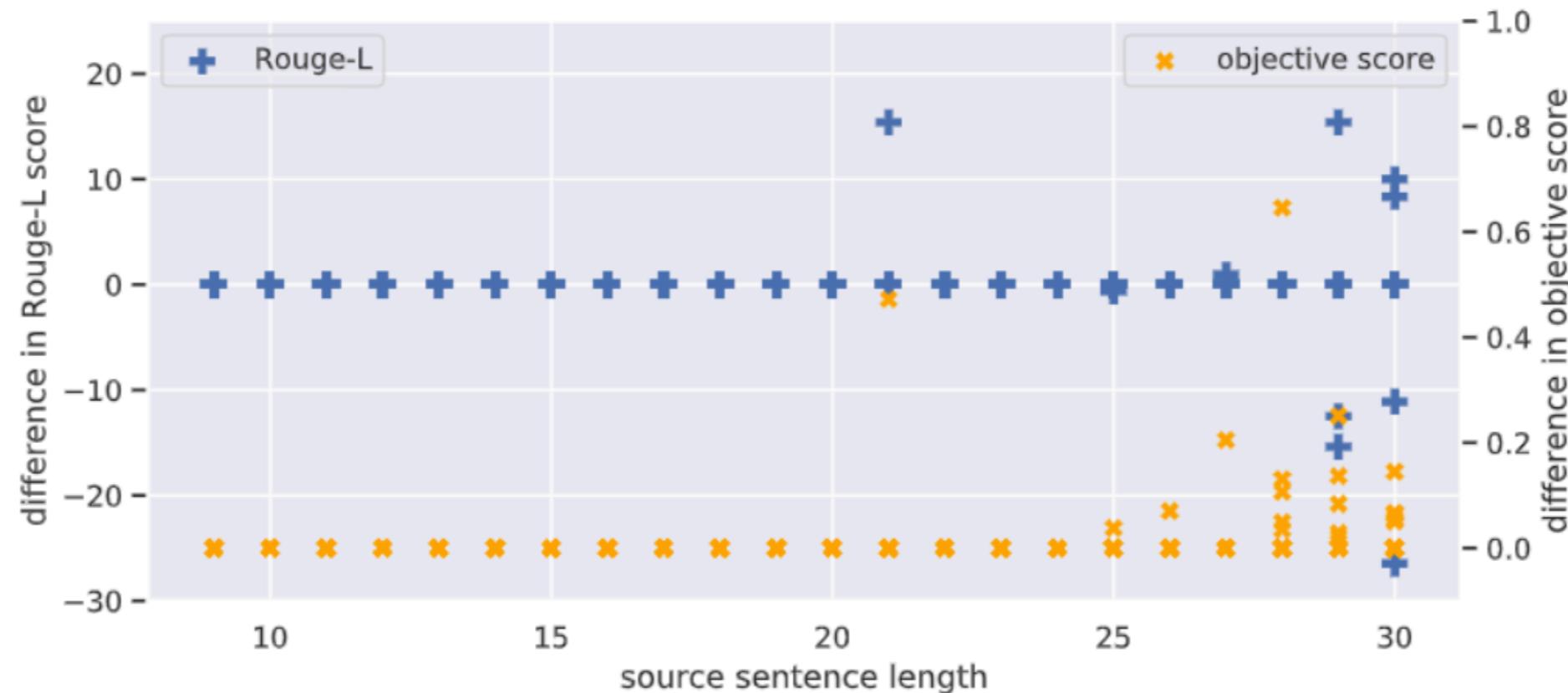
| Line # | UPSA Variant      | iBLEU        | BLEU  | Rouge1 | Rouge2 |
|--------|-------------------|--------------|-------|--------|--------|
| 1      | UPSA              | <b>12.41</b> | 18.48 | 57.06  | 31.39  |
| 2      | w/o $f_{sim,key}$ | 10.28        | 15.34 | 50.85  | 26.42  |
| 3      | w/o $f_{sim,sen}$ | 11.78        | 17.95 | 57.04  | 30.80  |
| 4      | w/o $f_{exp}$     | 11.93        | 21.17 | 59.75  | 34.91  |
| 5      | w/o copy          | 11.42        | 17.25 | 56.09  | 29.73  |
| 6      | w/o annealing     | 10.56        | 16.52 | 56.02  | 29.25  |

## Findings:

- Greedy search  $\prec$  Simulated annealing
- Sampling  $\prec$  stochastic search

# Search Objective vs. Measure of Success

Experiment: summarization by word selection



Comparing hill-climbing (w/ restart) and exhaustive search

- Exhaustive search does yield higher scores  $s(y)$
- Exhaustive search does NOT yield higher measure of success (ROUGE)

# Conclusion & Future Work

# Search-based unsupervised text generation

## General framework

- Search objective
  - fluency, semantic coherence, etc.
- Search space
  - word generation from the vocabulary, word selection
- Search algorithm
  - Local search with word-based edit
  - MH, SA, and hill climbing

## Applications

- Paraphrasing, summarization, simplification

# Future Work

## Defining the search neighborhood

**Input:** What would you do **if given the** power to become invisible?

**Output:** What would you **do when you have** the power to be invisible?

## Current progress

- Large edits are possibly due to the less greedy SA but are rare

## Future work

- Phrase-based edit (combining discrete sampling with VAE)
- Syntax-based edit (making use of probabilistic CFG)

# Future Work

## Initial state of the local search

## Current applications

- Paraphrasing, summarization, text simplification, grammatical error correction
- Input and desired output closely resemble each other

## Future work

- Dialogue systems, machine translation, etc.
- Designing initial search state for general-purpose TextGen
- Combining retrieval-based methods

# Future Work

## Combining search and learning

### Disadvantage of search-only approaches

- Efficiency: 1 – 2 seconds per sample
- Heuristically defined objective may be deterministically wrong

### Future work

- MCTS (currently exploring)
- Difficulties: large branching factor, noisy reward

# References

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## Q&A

Thanks for listening!