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Master Thesis

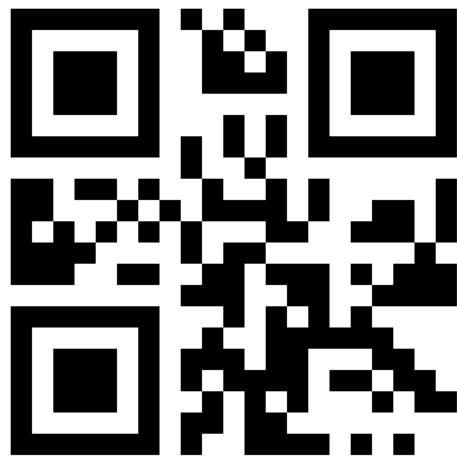
Electrical Engine Efficiency Prediction Bypassing Finite Element Analysis

Submitted to the Technical University of Applied Sciences
Würzburg-Schweinfurt in the Faculty of Computer Science and Business
Information Systems to complete a course of studies in Master of Artificial
Intelligence

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Abstract

The thesis explores an approach to predict Key Performance Indicators (KPI)s of topology invariant Permanent Magnet Synchronous Machine (PMSM) Electric Motors from its geometric, physical and simulation parameters. We intend to model the dynamics of Electric Motor functionality by creating surrogate models trained with Finite Element Method (FEM) simulations from its parametric description. The KPIs to be predicted are vectors of numerical values which can be displayed as the Torque curve(2D) and the Efficiency grid(3D) respectively. We note the relationship between the Torque curve and the Efficiency grid and incorporate our learnings of both the KPI's nature into our solutions's modelling.

We aim to first parameterize the Electric Motor design such that it is feasible to convert into a tabular representation.

Next, we would create a table with relevant attributes and design a Multi Linear Perceptron(MLP) to train it in a supervised manner.

Subsequently we will regularize the loss functions in a way that would smoothen out the plot curves for both the KPIs.

Then we will evaluate the predictions with the test target values by experimenting with various hyperparameter tuning settings and as a baseline with the average of the parameters.

Additionally we conduct a study to model the task as its graph representation and use Graph Neural Networks(GNN) to predict the KPIs.

Lastly we will enable the KPI's plot visualization in a manner presentable to the client Valeo(Automaker Company specializes in manufacturing electric motors for cars).

Acknowledgement

I would like to thank my supervisor Prof. Dr. Magda Gregorová for her guidance and support throughout the course of this thesis. Her dedication and commitment to our work has been inspiring to me notably on how we transformed statistical math into modelling that I might have developed a new love for academia. I would also like to express my sincere gratitude to Valeo for providing us with the dataset. Special thanks are in order to Daniel and Leo for sharing valuable insights of the data from an electromechanical standpoint and for giving me a detailed understanding of my task. I owe my accomplishments to my Family who have been very instrumental in making it possible for me to pursue a Master's degree outside my home country and their endless support throughout enabled me to get to my thesis moving in the right direction. Finally, I humble myself before God Almighty for all his blessings and for giving me the strength to persevere and bring my dreams to fruition.

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Abbreviations

GNN	Graph Neural Network
MLP	Multi Linear Perceptron
KPI	Key Performance Indicator
EM	Electric Motor
FEA	Finite Element Analysis
CNN	Convolution Neural Network
D	Dimension
MSE	Mean Squared Error
RMSE	Root Mean Squared Error
NaN	Not a Number
ReLU	Rectified Linear Unit
GPU	Graphics Processing Unit
MP	Message Passing
PDE	Partial Differential Equation
PMSM	Permanent Magnet Synchronous Machine
ANN	Artificial Neural Network
GCN	Graph Convolutional Network

Chapter 1

Introduction

In the design of Electric Motor (EM)s, vast amounts of data are generated to determine which design of an EM fits best to Key Performance Indicator (KPI)s.

KPIs of an EM are its representative characteristics of a motor drive and is essential to judge the performance of the motor before manufacturing it. The Torque multiplied by the Angular Velocity gives us an insight of the total Power of the EM. The Efficiency map is a behavior map that expresses the motor efficiency function in the torque-angular velocity domain of an EM. One would calculate such kind of behavior maps when there are multiple operating points to consider within the EM's drive cycle. The other KPIs such as Losses and Torque ripple are also behavior maps similar to the Efficiency KPI. The paper in Ref. [35] also cites that the Efficiency vs Torque and Angular Velocity map is most representative of the characteristics of the EM.

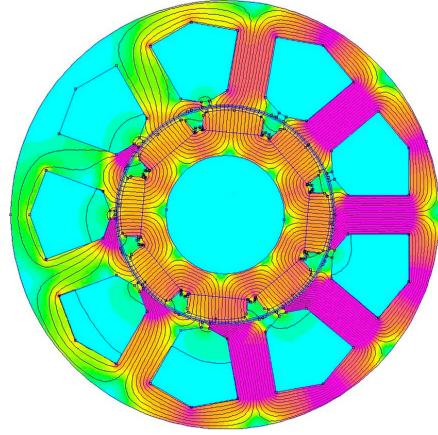


Figure 1.1: Finite Element Analysis (FEA) Simulation of a Permanent Magnet Synchronous Machine (PMSM) (Ref. [51])

Traditionally these KPIs are inferred from the description of an EM design using FEA simulations by approximating the solutions of the Maxwell's equations which are essentially Partial Differential Equation (PDE). FEA are numerical methods that discretize any physical phenomena to simulate and understand its performance under given loads and boundary conditions during design phase. This enables designers to understand the performance and make a well informed decision before manufacturing. Although FEA only gives approximate solutions, it is regarded the best among the alternatives that exists. The color scale obtained in Fig. 1.1 represents possibly the magnetic flux density at different parts of the EM. FEA as a method are used in several domains ranging from civil, mechanical, aerospace, automotive and electrical engineering to name a few. FEA simulations are numerical methods that discretize the geometric parameters of an EM into finite elements and solve the PDEs for each of them. The simulations are carried out by creating a mesh with the discretized points that amount to the shape of the EM and then solving the PDEs for each of

them. This is typically done to simulate the magnetic field distribution of the EM and thus assists to generate its KPIs. It requires domain knowledge in motor physics and complicated setup to run simulations typically in Matlab¹. FEA simulations are famously expensive in terms of time taken to solve the equations which are also non differentiable. Despite the computational burden it comes with, the authors from Ref. [28] defends FEA to be most appropriate to generate EM efficiency maps in terms of accuracy based on experimenting overall error rates across operating ranges for different EM losses. This process, though well established in the EM design is very resource intensive, time consuming and does not allow for high-throughput engine design optimization.

In computational electromagnetics, deep learning can be seen as the most eligible surrogate of FEA simulator as they can provide almost accurate results in relatively no time. This is because the FEA simulations may take hours to days as it needs to generate for all operating points in the Efficiency map. FEA-based Artificial Neural Network (ANN) models have been utilized in other applications such as for predicting stress distribution in 3D printing, bend angles in laser-guided bending, and performance of thermoelectric generator as cited in Ref. [07].

The actual engine data of Valeo² is used here as the dataset comprising of multiple variant designs of the Double-V magnet EM topology. Valeo is an Automaker company that specializes in manufacturing electric motors for automobiles. Fig. 1.2 depicts the structure of an EM manufactured by Valeo. The rotor rotates around the Stator to produce the magnetic field whereas the Stator is the stationary part that generates the force to rotate the Rotor.

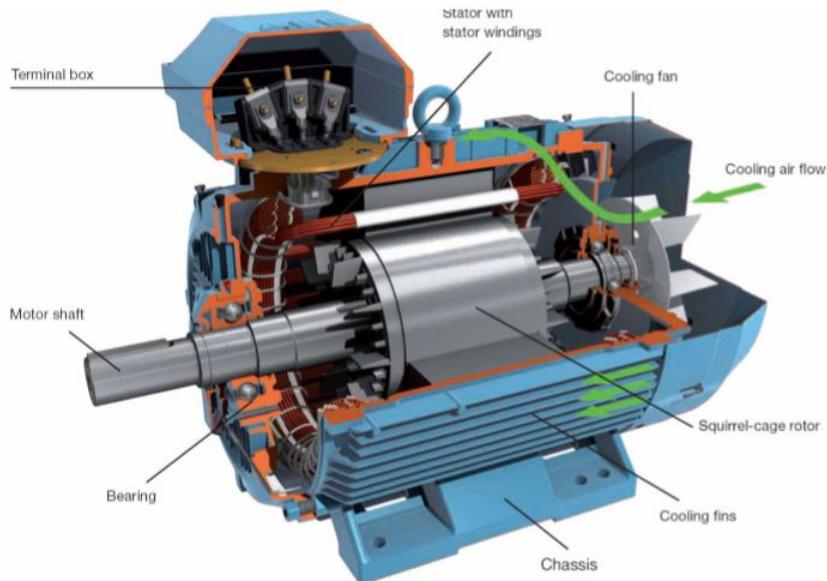


Figure 1.2: EM Motor ((Source))

The 3 motor topologies manufactured by Valeo are displayed in Fig. 1.3:

1. Single V Magnet - Consists of a single V magnet shown in Fig. 1.3a.
2. Double V Magnet - Consists of double V magnets shown in Fig. 1.3b.
3. Nabla Magnet - Consists of a single V Magnet and a delta magnet shown in Fig. 1.3c.

¹<https://de.mathworks.com/>

²<https://www.valeo.com/>

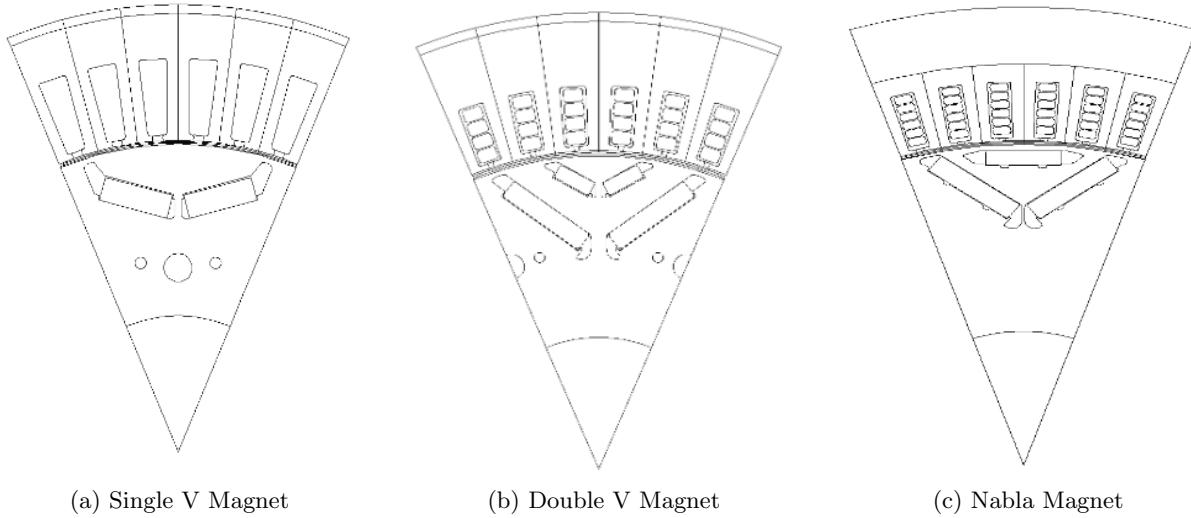


Figure 1.3: EM Magnet Topologies (Valeo)

Fig. 1.4 and Fig. 1.5 give a glimpse of the EM's KPIs to be predicted.

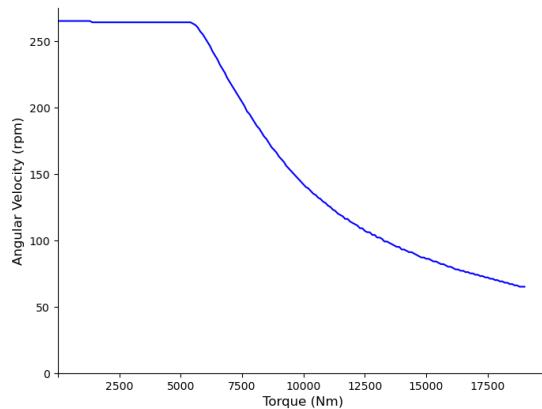


Figure 1.4: Torque Curve

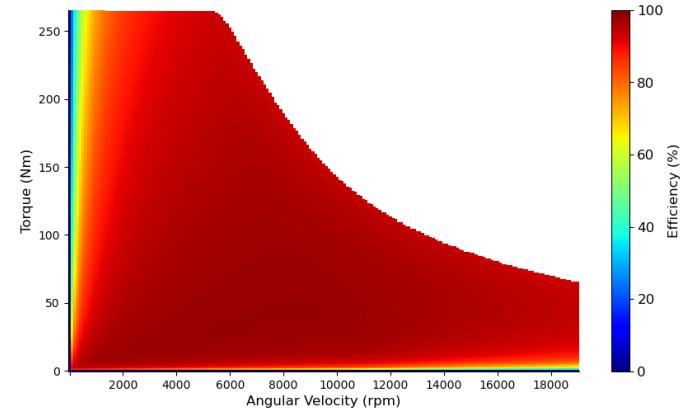


Figure 1.5: Efficiency Grid

We can observe the relation between the Torque curve and the Efficiency grid. The values within the Efficiency envelope can be identified with differing contour shades whose level of shading is shown to the right. Most importantly we can also make out that the Efficiency envelope is of the same shape as the Torque curve.

Fig. 1.6 gives an outlook of both the current approach and our proposed approach to generate the KPIs. We discuss each component of the flowchart below :

1. EM designs parameterized

The design of each of the EM variants described parametrically for its geometric, physical and simulation features are regarded as the input.

2. Current Approach

Approach followed currently by Valeo.

(a) Matlab Script 1

Creates a design mesh from the parametric description of the EM's geometric and physical features.

(b) **FEA simulator**

Multiple FEA simulations which are by nature PDE is done on this mesh. The FEA solver then generates the byproducts associated with the magnetic flux of the EM.

(c) **Matlab Script 2**

The outputs from the FEA simulations are then post processed and the intermediary outputs which are matrices of values in mat format are fed to the next stage.

(d) **Motor Builder**

Another round of post processing is done on the magnetic flux products to generate the Power and Torque associated with the EM design. Motor builder settings such as length of the motor, maximum rotation speed as well as electrical settings for e.g. input voltage and current are given to generate the KPIs. The Motor Builder also is a GUI that provides visualization of the KPI plots to the designer.

3. Proposed Approach

Approach we undertake as surrogate modelling.

(a) **Data Preprocessing**

The input features typically as its numerical equivalent is preprocessed and converted into its tabular representation such that it is suitable to be fed into the Neural Network. For training the network, additionally the targets are taken from the **Matlab Script 2** which also serves as the ground truth represented as a dotted line.

(b) **Neural Network**

We use MLP as our deep learning model which is made up of fully feedforward connected layers. For training, also the targets are considered to minimize the loss of the predictions to be generated. However for inference, only the inputs are used to generate its approximated targets.

(c) **Data Postprocessing**

The predictions generated by the neural network is post processed to be similar to the targets of the **Matlab Script 2** in terms of dimensions and is plotted.

4. KPI Plots

The targets which are vectors of numerical values are displayed graphically.

This master thesis explores a way to do light-weight surrogate modelling of the current process as is highlighted in Fig. 1.6 by exploiting data-driven deep neural networks to approximate the KPIs derived from FEA simulations. Complete replacement of FEA simulations with deep learning models is not feasible however we can exploit the use of deep neural networks trained on FEA simulated data to reduce the computation burden of running these simulations repeatedly in the future.

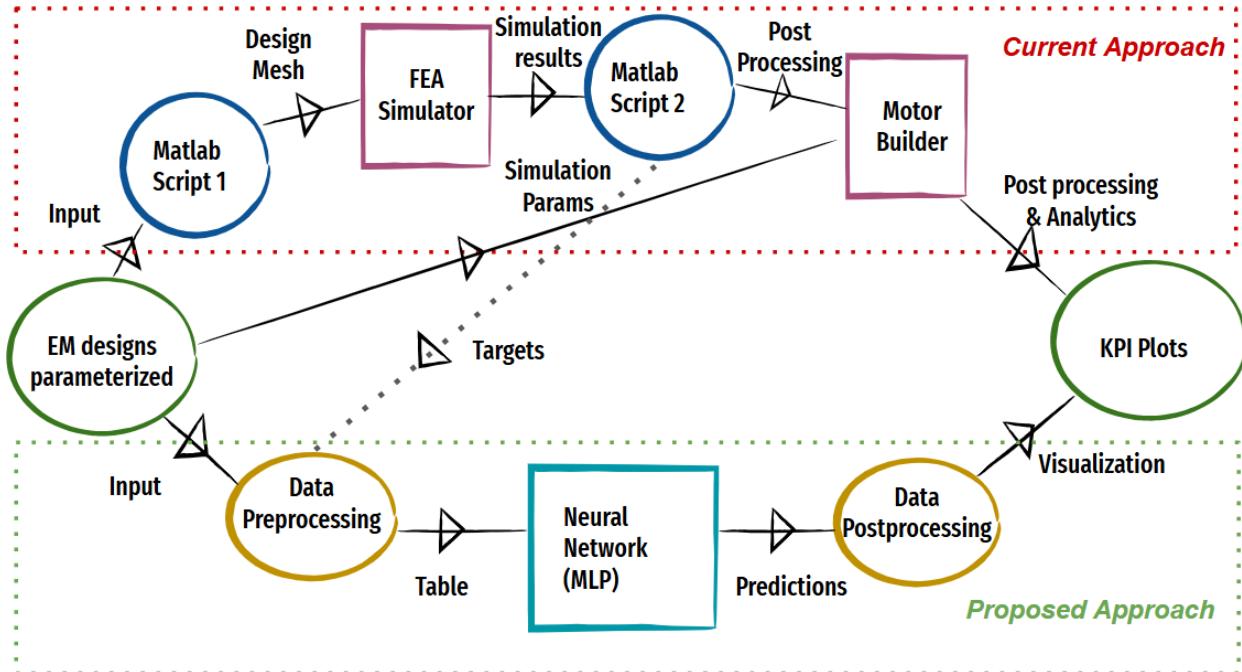


Figure 1.6: EM Design Flowchart

1.1 Objective

The objective of our work is to verify whether surrogate modelling to replace FEA simulations is feasible. Our task is a supervised learning task to predict 2 KPIs namely the Torque curve and the Efficiency grid which are vectors of numerical values from the parametric description of topology invariant EM and FEA simulated KPIs as ground truth. The Torque curve is a vector of torque values across certain angular velocity ranges which can be visualized as a 2Dimension (D) plot whereas the Efficiency grid is a matrix of efficiency values of all combinations of torque ranges \times angular velocities ranges and can be visualized as 3D heatmap or contour plots. Both the KPIs are continuous values which makes our task a regression problem. The remaining KPIs such as Costs, Vibration losses, Torque ripple among others can be calculated from these 2 KPIs for instance the losses are inversely proportional to the Efficiency values.

1.2 Motivation

Our motivation to undertake this thesis is to lay the ground work for the problem's inverse formulation of generating EM designs in the future. To our knowledge, there has been no work yet on Generative AI in the domain of EM designs. The research goal would be to condition the generative model on the predicted KPIs to be able to self-generate the most efficient EM designs. From an application standpoint, it would be beneficial for Automaker companies to refer the generated EM designs to be able to suggest the most appropriate EMs for automobiles as per customer requirements on say the horsepower of the car before manufacturing them. Companies could then evaluate the KPIs of the synthetically generated EM designs and judge on its usefulness.

1.3 Problem Statement

Given our Data $\mathcal{X} = [x_1, x_2, \dots, x_n]^T \in \mathbb{R}^n$, where n is the number of parameters of the EM designs. We refer our targets, Torque curve as $\mathcal{Y}_1 = [y_1, y_2, \dots, y_h]^T \in \mathbb{R}^h$ where h is the number of participating angular velocities and Efficiency map as $\mathcal{Y}_2 \in \mathbb{R}^{w \times h}$ representing a grid of efficiency values defining the operating

range of the EM, where w denotes to different torque levels and h the angular velocities. Each element $\mathcal{Y}_2(i, j)$ represents the efficiency at torque i and angular velocity j . We aim to approximate the targets by training a MLP model \mathcal{M} to learn the mapping between \mathcal{X} and $\mathcal{Y}_1, \mathcal{Y}_2$.

1.4 Research Question

The Research Questions we aim to address are presented as follows :

1. **Is it feasible to predict the targets as a multi regression problem with a single model?**
Since we have 2 targets of continuous values to predict, our task becomes a multi regression problem. The model architectures in Section 4.1 shines light on how we go about it.
2. **Is it possible to model an array of integer values to be predicted as a regression problem?**
The Torque Curve typically harbours integers values albeit our task is in essence a regression problem which primarily works with floating point values. Section 4.1 discuss how we tackle this challenge.
3. **How to handle varying dimensions within a target for model training?**
The dimensions of the Efficiency grid differ across EM variants. However the targets which has to be supplied to the model needs to be of a fixed size. We discuss in Section 3.1.3 how we mitigate this problem.
4. **How to accommodate predictions of targets of varying ranges with a single model?**
The ranges among the 1st and 2nd target vary significantly and is yet another challenge we overcome in Section 4.2.2.
5. **With a single model, how to predict 2 targets where the dimensionality of a target is dependent on the other?**
Given our noted observation that the efficiency envelope is controlled explicitly by the torque curve, we discuss in Section 4.5 how we tackle this scenario. There has been literature on modelling wherein the values of a target are dependent on the values of another target. However, our situation is tricky in the sense that instead of the values the dimensionality of the Efficiency grid is dependent on the values of the Torque curve.

1.5 Thesis Structure

Over the course of the thesis we shall refer the Torque curve as Torque KPI and the Efficiency grid as Efficiency KPI respectively. The remainder of the thesis is organized to follow sections namely Literature Review, Dataset, Modelling, Experiments and Results, Graph Modelling, Conclusion, Bibliography and Appendix. In Literature Review section we will review the works that has already been carried out in this domain. In the Dataset section a detailed insight is elaborated on how our data is structured . In the Modelling section, we introduce the network architectures and loss regularization techniques used to tackle the problem. The outcomes of our work are presented in Experiments and Results chapter in addition to other findings we unearth. Graph Modelling section is an empirical study which outlines the background of GNNs primarily Heterogeneous GNNs and defines its concepts. We also attempt to present a workflow on how to use GNNs for our task. Conclusion chapter summarizes the thesis briefly and would also give a glimpse into possible areas of improvement. Bibliography section lists out the articles cited for this thesis. Lastly we share all supplementary information in the Appendix section.

Chapter 2

Literature Review

We review the concept of surrogate modelling and its applications in EM domain.

2.1 Surrogate Modelling

2.1.1 CNN

There has been extensive research in modeling the EM with CNN based on the images of the motor cross-section. Reproducing images is not the most wisest approach as can be inferred from Ref. [50] where the author presents numerous scenarios of generated images not being upto mark. These scenarios include difficulty to render the background accurately by only focussing on one subject, compression quality not being good enough, inability to focus on details and make images realistic among many other discrepancies. This research largely encompasses evaluation of image generation of faces which could be concluded that deepfakes generated were quite easy to distinguish from real ones. In Ref. [49], the authors have attempted to generate images of bicycle frame generation using a Deep Conditional Generative Adversarial Network conditioned on loads, forces acting on bicycle frames. However, the authors conclude that the distribution of the synthetic images are quite similar to real world data and seemed to be more diverse. However by generating the parameters of the motor we can be rest assured of more precise results. Hence the need to focus on the inputs as they are with their parametric description. Vivek *et al.* in Ref. [37] also highlights that predicting KPIs with tabular data is significantly more efficient than using the images because the latter could result in less accurate designs due to the need of high resolution images in addition to its overall computation cost required for training. Their work involves the use of a Variational Autoencoder to predict the KPIs with an MLP as well as sampling the latent space to generate new EM designs. Their experiments conclude that MLPs trained on the parametric description can better infer targets such as Induced Voltage and harmonic distortion that are linearly dependent to the designs when compared to cogging torque which is not. To learn the non linear functions, they also experimented with CNNs which could infer all targets just as well as it inherently takes into account the pixel spatiality from motor designs as RGB images. In addition they built a hybrid model to utilize the image and parametric description but have comparable reported performance with that of CNNs. They also suggest a slight decline in accuracy for a linearly dependent target for the CNN model as image resolution constrained the precision of the inputs.

Existing literature also covers works on modelling this work as tabular data using MLPs.

Furthermore, research by Ref. [15] also presents their approach on handling surrogate modelling of topology invariant Interior Permanent Magnets motors to predict its Torque characteristics. Generally the topology differs based on the count of the magnets, this is evident from the Figures in 1.3. The writers claim to have used the cross-sectional images of motor designs in addition to the magnetic flux distribution of the Stator as input to the inputs to train the CNN. The auxiliary input is supplemented by FEA simulations which is enriched with the nature and placement of the magnets in the Rotor. Although, the FEA is used yet the product here is generated quicker and thus does not add on largely to its overhead on time complexity. They also claim to have improved the generalization performance of CNNs with this strategy. In addition they suggest such domain knowledge modelling decisions can also be beneficial for both parameter and topology

optimization of EM as well as for prediction of its other KPIs.

2.1.2 Machine Learning Approaches

The authors of Ref. [08] presents a method to predict 2D flux maps of an Interior PMSM motor using classical machine learning ensemble regression models. Therefore for each coefficients of the 2D flux maps, a separate regression model is trained which are then ensembled to make target predictions. Although there are classical Machine Learning models which handle multi regression, they do not perform very well with higher dimensions as opposed to deep neural networks. The writer also points out that these models fare better than deep neural networks when it comes to data required and training time.

Similar to our usecase for modelling EM for cars, the writers of Ref. [33] experiments to compute the efficiency map of Toyota Prius have been computed. The methodology used is to observe Magnetic field flux density to predict how it evolves over time for different operating points of the map. The paper Ref. [34] also examines a study of how a machine learning observer can augment the performance of torque estimation of induction motors trained with deep neural networks. The motivation behind using the observer is made by domain aware knowledge that the torque estimated depends on the stator's magnetic flux. The authors claim to have made this possible by incorporating the information of speed, voltage and current into the network to realize the EM's torque. They also indicate that physics modelling of the network enabled them to develop light-weight models with better accuracy.

In Ref. [13], the authors compare and evaluate the performance of surrogate modelling Surface Permanent Magnet's parametric and image based designs.

2.1.3 Hybrid Models

The researchers of Ref. [07] state that the use of domain knowledge improved the accuracy of surrogate modelling EM by creating a hybrid of both physics and data driven based models. In addition, it presents a workflow for surrogate modelling the air gap torque from FEA simulated data and compares and contrasts the computationally efficiency with regards to both approaches. Similarly, Yusuke *et al.* in Ref. [38] and Ref. [39] also claim that a physics assisted neural network significantly improved the accuracy performance when predicting the cogging torque of Permanent Magnet Synchronous Motors. Their experiments suggest that approximating the cogging torque with a linear subdomain model which serves as an additional input to the neural network when making the final prediction. With this methodology, they also disclose that a significant less data than estimated would suffice.

2.1.4 Transfer Learning

Works by Arbaaz *et al.* in Ref. [16] explores methodologies to extend an already model to predict efficiency maps for a topology it was untrained for by exploiting the concept of transfer learning. The writers claim to get this accomplished by mixture of greedy pretraining and then overall finetuning the model. They do so by freezing the pretrained network which they identify as common knowledge so that gradient flow is disabled and substitute the other layers of the pretrained model with new layers which is essential for the new task. In addition to a topology change, this paper also explore transfer learning for different label than the pretrained model given that the labels are similar in nature to each other. This eventually assist the model to generalize better and conserve the time it would have spend training from scratch by knowledge reusing. Finetuning is a also a boon when the dataset for the new topologies are limited in existence.

2.2 EM design generation

Evolutionary algorithms such as genetic algorithms are traditionally used as multi objective optimization algorithms to generate the designs by iteratively updating the design parameters whereas the FEA simulations evaluate the performance of each design. The optimization algorithms are itself incredibly time consuming because fitness evaluation will need to be performed multiple times with FEA. In addition a larger number

of iterations of these algorithms is necessary to evaluate each design candidate in order to explore the entire design space. We do surrogate modelling of the FEA simulations which serves as the baseline to eliminate the role of evolutionary algorithms as well for generating designs as was discussed in Section 1.4. The latter could potentially be a future work to be carried out since the time complexity associated with the Genetic Algorithms may discourage users to wait until convergence and rather persuade them to be satisfied with a suboptimal design.

Marius *et al.* in Ref. [37] undertake works on optimizing EM design generation by compressing its parametric description across multiple topologies into a latent space using a Variational Autoencoder thereafter from which new EM designs can be sampled. Bucher *et al.* in Ref. [47] proposed a deep conditional generative design workflow using a to generate complete parametric description of Engineering Structures by taking as input the partially defined design and its performance attributes generated by FEA software. The workflow comprises of a conditional variational autoencoder as proof of concept by learning a joint probability distribution between the parametric description and its performance attributes. This would be a useful read when we plan to extend the current work with its inverse formulation. The authors also justify the usage of parametric description of the designs to be flexible for different model configurations and datasets. Furthermore, the writers claim that their approach as opposed to evolutionary algorithms have multiple benefits such as complete control over the sample space of generated designs, expressive designs, reduced computational costs and model transferability among others.

2.3 Data Preprocessing Techniques

The authors of Ref. [37] also disclose the solutions taken to address the problem wherein unique parameters of 1 topology are absent in another topology. They mitigate this concern with 2 approaches. Firstly, they default the missing values with an arbitrary fixed constant 0s this inturn pushes the model to learn a nonsensical value for irrelevant features. However they also note that this shortcut results in wasting model capacity making the learning unnecessarily more difficult. Therefore, they have also used a second approach termed as Masked Learning Process wherein only features relevant for the motor topology are considered for modelling loss reconstruction of the model.

2.4 Evaluation Techniques

Arbaaz *et al.* in Ref. [29] also tries to generate the efficiency map by first generating its flux linkage maps and the torque curve thus accounting for geometric and operating point variations. Two interesting points are made in this paper. First, since the number of excitation points vary across designs a model suitable for handling variable input sequence length is needed which implies that the values within the torque curve i.e., excitation points are predicted. This results in conserving training time when predicting a fixed sized grid. They also use confusion matrix for efficiency threshold so designer can filter out efficiencies in the operating range one is most eager to find out. Secondly, for efficiencies being predicted outside the excitation points, the authors propose to provide an uncertainty measure to quantify confidence level using Monte Carlo dropout. Reason being the error rate in the efficiency grid is maximum at the envelope of the curve. This factor gives the end user the flexibility to choose to generate the KPIs with the FEA simulations or the surrogate model.

Studies in Ref. [30] presents an interesting take on evaluating the performance of EM with electrical engineering inspired benchmarks. They highlight the inadequacy of evaluations generated by classical Machine Learning approaches such as Mean Squared Error (MSE), R2 Score and Symmetric Mean Absolute Percentage Error. The argument is that these metrics favor the static area in the KPIs which are relatively easier to predict. Meanwhile the dynamic areas in the KPIs is not projected as much having less dominance with respect to coverage area. They experimented this finding with Induction Motors to generate its Torque Vs Speed Predictions. The dynamic parts they considered were typically the regions in the curve before saturation is achieved. In such cases the Machine Learning metrics could give an over optimistic score when compared to Electrical Engineering designed metrics.

Researchers in Ref. [32] also discuss approaches to judge the accuracy with a physics aware metric for estimating magnetic field of low frequency electromagnetic devices. They do so by quantifying the uncertainty of the predictions by adding a probabilistic component to the weights of the neurons in the network architecture. The technique they employed is known as Monte Carlo dropout with which they generate uncertainty maps. The distribution of the predictions they thus generate more closely approximate that of the same generated by FEA simulations as the accuracy improves. Interestingly they also discuss the possibility of modelling the usecase with Graph Convolutional Networks instead of CNNs. They suggest that rather than images, graphs are better suited as they can handle unstructured design mesh which is typically fed into the FEA simulator as is shown in the Fig. 1.6.

The writers of Ref. [31] emphasize the importance of having a very low error rate for the Efficiency Maps by FEA simulations as the drive range of a vehicle's efficiency is determined with this KPI. The Efficiency KPIs generated by the FEA simulations are obtained from torque, copper loss iron loss and mechanical loss. They study methods to further improve the accuracy of FEA simulations of Interior Permanent Magnets by modelling the effect of losses such as minor hysteresis loss, stray loss, AC loss and manufacturing degradations at different operating points into these simulations.

Chapter 3

Dataset

Valeo has shared 1481 Excel Workbook files for each motor variant of three-phase interior PMSM motors with 8 poles and 48 slots. Around 89 parameters which comprises of the geometric, physical and simulation properties of the motor are chosen among the 196 parameters depending on its overall variability and significance. This was a design decision we made based on our understanding of the data. Moreover, we detail out the structure of the dataset files in Appendix A.1.1. Fig. 3.1 shows the geometry of a whole Double V motor which can be sliced into 8 identical parts owing to the motor design's rotational symmetry.

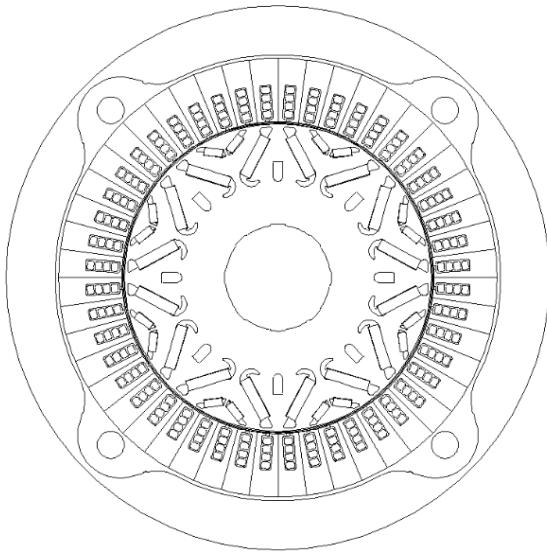


Figure 3.1: Complete EM Geometry (Valeo)

Fig. 3.2 is a hand drawn sketch showing our understanding of how the geometry of 1/8th cross-section of the same motor looks like. This comes in handy when creating the graph representation of the motor. From the Figure, we can make out that the motor very largely is comprised of the Rotor and the Stator separated by the air gap between which the magnetic flux flows. The Rotor hosts the permanent magnets which when rotated generates the magnetic field. The magnets sits on top of free shaped air pockets in the design. The free shape of these air pockets are described geometrically by oblongs which draws the shape geometrically along fixed points. The Stator comprises of the Stator poles which are 6 in number here. There are 4 slot windings for each stator slot in the tooth shoe, the slots are where the stator windings made up of copper sit at. The Stator yoke separates each tooth shoe from the outer radius of the motor.

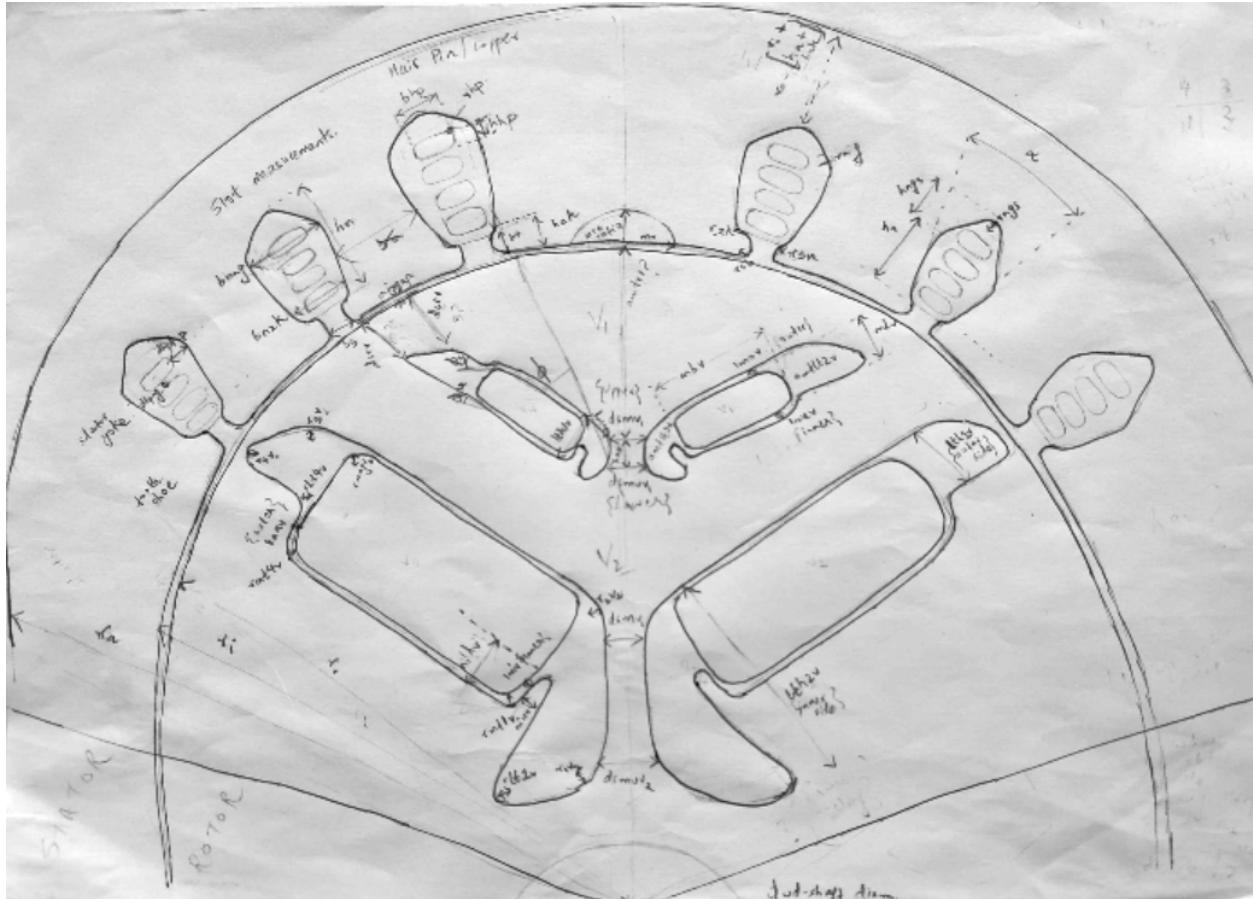


Figure 3.2: 1/8th cross-section of EM

3.1 Data Preprocessing

For modelling the MLP, we present the data in tabular form with the parameters corresponding to columns. In Appendix A.1.2, we provide the summary statistics of the input parameters of the EM. The summary of the input parameters of all the EM variants are disclosed in Table A.2 however we focus only on the Double V Magnet Topology due to lack of data for the remaining two topology. Nevertheless, we have made our architecture to be compatible for the 3 topologies however we cannot draw plausible inferences from the class imbalanced topologies. In order to make the data compatible with our model, some level of data processing was carried out as elaborated below.

3.1.1 Data Exploration of the Input Parameters

All parameters including the additional ones in each topology are regarded as a separate columns and therefore if a particular column is topology dependent then the column corresponding to the missing data of this topology are treated as 0 values. Defaulting geometrical values as 0 seems to be the most reasonable approach in comparison to imputing them with the mean or median values. This is because the mean or median values are not representative of the actual data and could potentially mislead the model. The values are then read and stored as their floating point equivalent to ensure data precision. Furthermore all degree columns are converted to their equivalent radian values as all trigonometric functions expects inputs to be in radian form and radian values are of a relatively narrow scale. We assumed this would be relevant given the variety of shapes in the EM design and the fact that they are 6 phase EM however in hindsight we donot see the necessity of this step and can be avoided.

3.1.2 Data Exploration of the Torque KPI

Fig. 3.3 shows the standard deviation of few samples of the Torque KPI from the entire dataset. The x-axis represents the angular velocity of the motor ranging from 0 to 19000 rpm. Meanwhile, the y-axis represents the torque values corresponding to the angular velocity. For our dataset, the range of torque values are between 55 and 280 Nm. The mean of all samples of the dataset is displayed with an overlay of how its standard deviation is around the mean. Furthermore, we display a twin y-axis in red showing the standard deviation of random handpicked samples with the mean.

We make the following observations:

- 1. The Standard deviation is at its peak at low angular velocities**

This is evident from the Standard deviation ranging up to 3 until 5000 rpm. Beyond which the standard deviation decreases drastically until saturation visible with the plateauing of the curve.

- 2. The curve to an extent resembles a mirrored S shape**

This finding is critical for how we modelled the loss regularization for the target and will be further elaborated in Section 4.2.1

- 3. Samples are almost similar to one another**

The samples shown here are almost resembling one another in shape and nature of curve. The finer details are at the points of the curve where it makes its transitions. This observation is crucial and directly impacts our decision on choosing our Baseline model to be discussed in greater detail in Section 5.3

- 4. Some Samples Standard Deviation appears to follow the mean curve**

These samples are among the few whose values lies on the outliers of the approximated distribution around the mean of all samples. This becomes more prominent when we visualize the results of the Torque curves and compare the Root Mean Squared Error (RMSE) values in Sections 5.3, 5.2 among others.

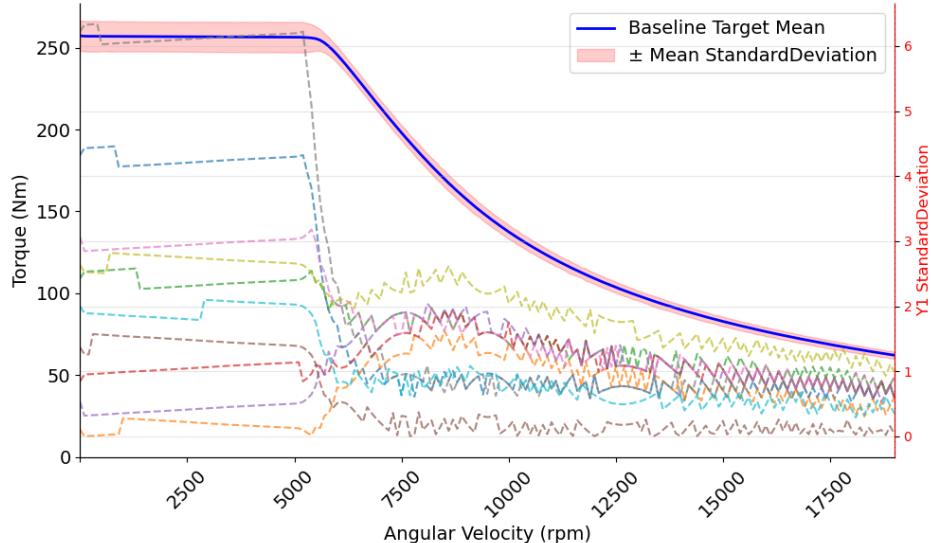


Figure 3.3: Standard Deviation of Torque KPI

3.1.3 Data Exploration of the Efficiency KPI

As the target values of the Efficiency KPI are not provided with the correct dimensions of Torque range we have an additional step which takes the maximum torque value from the Torque KPI and slices off the Efficiency grid to only range from [-Maximum Torque, Maximum Torque]. Subsequently we choose only the rows of the MM grid introduced in Table A.1 which correspond to the indices of the sliced efficiency grid. This step ensures that we grant the model the correct dimensions of the Efficiency KPI based on its Torque

KPI.

Figures 3.4 and 3.5 both illustrate the standard deviation of the efficiency values plotted against its angular velocity considering all samples of the dataset. The standard deviation levels is shown as a scale with colors darkening as the standard deviation increases.

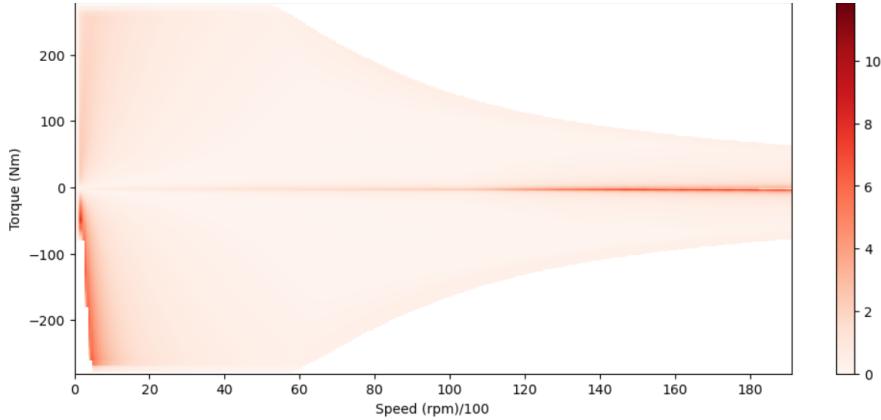


Figure 3.4: Standard Deviation of Mirrored Efficiency KPI

Efficiency values for negative torque values correspond to when motor is in generating mode and those of positive torque values to when motor is in monitoring mode.

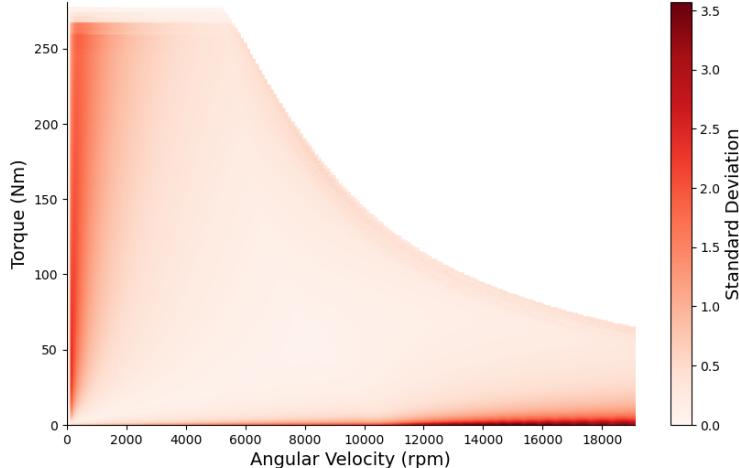


Figure 3.5: Standard Deviation of Efficiency KPI

Fig. 3.6 gives us the holistic view of how the efficiency values are distributed across equally spaced intervals of angular velocity. The Figure plots the standard deviation of all the Efficiency values for all samples of our dataset as an error bar at certain angular velocities over equally spaced intervals of 2000 rpm. We restrict the figure to only show the Efficiency values from 2000 rpm onwards since at very low angular velocities falling in the range of 0 and 2000 rpm, the efficiency values range significantly from 0% onwards.

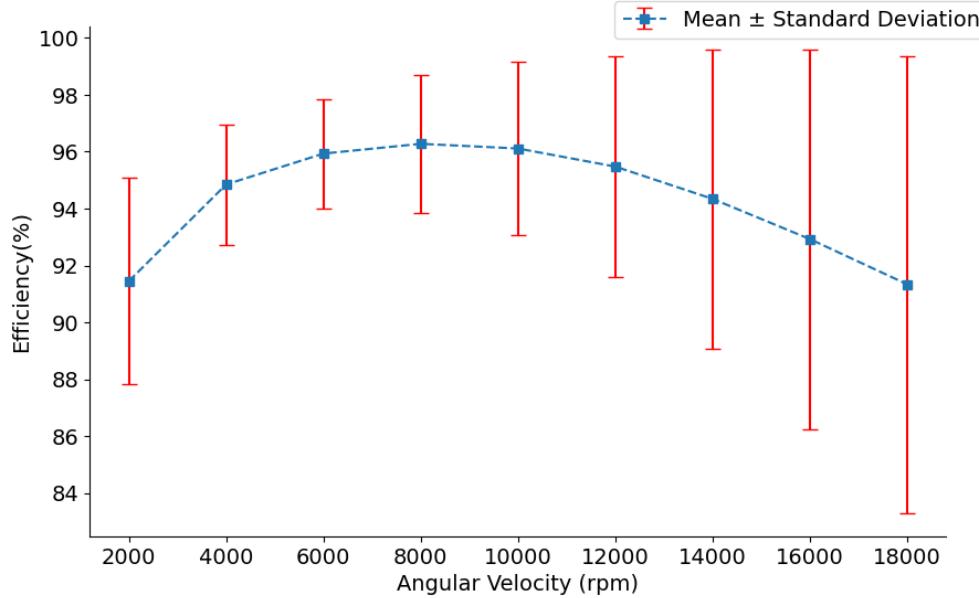


Figure 3.6: Standard Deviation of Efficiency KPI across Angular Velocity Intervals

We make the following observations:

1. Efficiency values flawed in generating mode

In both modes, the efficiency is almost similar albeit from Fig. 3.4, we note it is not the case for our dataset. This is evident from low angular velocity-high torque distribution area where we can see a clear distinction with Not a Number (NaN) values. Since these are FEA simulations, it is probably an effect of a post processing step taken by the Motor builder. This observation made us decide on dropping the negative Efficiency KPI and to focus on only predicting the positive Efficiency KPI.

The latter can be mirrored to replicate the efficiency when it is in generating mode if necessary. However over the course of this thesis, we do not do so, as it is not relevant to evaluate a duplicate again.

2. Maximum deviation at low torques, extreme angular velocities

From Fig. 3.5, we can observe the deviation is at its peak at low torques, extreme angular velocities. In Fig. 3.6, we can observe the distributions shows maximum skewness towards extreme angular velocities and is relatively stable between 4000 and 6000 rpm. We discuss the modelling of this information in Section 4.2.2 on how we integrate this finding into teaching over model.

3. Efficiency values beyond the Efficiency Envelope

We also can observe that beyond the Efficiency envelope, there are no efficiency values and can be translated as blank values in the grid or NaN values in the padded Efficiency matrix, we incorporate this information in Section 4.2.2.

4. Considerable deviation along the Efficiency Envelope

In addition, we notice skewness at the border of the curve within the grid, we discuss in Section 4.5 how we handle this scenario.

5. Efficiency KPI envelope is dependent on its equivalent Torque KPI curve

As we had noted already in Figures 1.4,1.5 the Efficiency KPI envelope is completely dependent on its equivalent Torque KPI curve. The area beneath the boundary of which is looked into by the EM manufacturers to determine the car's efficiency in the drive range. This is yet another finding we use in Post Processing as is further elaborated in Section 4.5

6. The Efficiency grid for all samples look almost alike

We make this assumption by noting that standard deviation at its maximal is at 3. This gives us leverage to decide on choosing the Baseline model which will be further elaborated in Section 5.3.

Reading each sheet from the excel files particularly the grids take up a lot of time and compute, hence we read the files as a one-time job when creating the tabular data for training and store them into pythonic objects for faster access for training. Both the input and target values for the Torque KPI are stored locally

as csv files whereas those of the Efficiency KPI is stored as separate csv files per variant considering it is in the form of a 2D array. The csv files are then concatenated and stored into an array conserving dimensionality by padding NaN values to match dimensionality of the grid corresponding to the Torque KPI with the largest torque value. In our case the value is 280 computed internally from the dataset but this is subject to change as we receive more data and there is a provision handy to override it on demand. The array is then saved locally for easy access and loading during training.

Initially we tried to set NaN values as an incredibly high value hoping the model would concern this as a default value instead of NaN. However, it resulted in poor predictions as the model must have been confused and tried to increase its spread of predictions to cover this large value and so all true values were also predicted to be close to this dummy value. Fortunately, we have come up with a better way of handling this scenario and we elaborate in Section 4.2.2 how we tackle it.

3.2 Scaling

Scaling is a common practice done before training a neural network. Standard scaling is the most prevalent scaling mechanism used for normalization as it results in a Gaussian Distribution centered around the mean. We have used the same for the input features to bring them to a common scale.

The Scaling is formulated mathematically as in Equation 3.1

$$z = \frac{x - \mu}{\sigma} \quad (3.1)$$

where x is the Input, μ the Mean and σ the Standard Deviation. For the Input features both Mean and Standard deviation are calculated across columns. This is attributed to the fact we have columns with different ranges for the input since we concern each feature a column mentioned in Section 3.1.1.

We decided against scaling the targets owing to below 2 reasons :

1. They do not enter the network architecture but are only used during loss calculation.
2. If we scale the target we will have to scale each example from the train dataset and then average the calculated scaling parameters. It is not a good practice to do so as we will have lost a lot of originality in each example and is now introducing noise to new examples notably when they have a different data distribution.
3. For the Efficiency KPI we have loss regularization Equation 4.6 that has a constraint check on the maximum range of efficiency values that can be predicted. If we had scaled the target, the constraint check would not be feasible to implement as the maximum value that the constraint takes would also have to be scaled with the same scaler for comparison.

During our experimentations where we initially scaled the targets, we observed then that the network required substantially less effort to learn and consequently lower learning rate and fewer epochs. Since this comes at a tradeoff of losing precision, we continued with the original targets. Even if we were to scale the targets, although Standard Scaling seems to be the best approach as it approximates a gaussian centered around the mean. On the other hand, MinMax Scaler would have bound the data to be within the min and max of the data computed from the training dataset.

3.3 Dataset splitting

We convert the data to floating point tensors for better precision and collate them into a Tensor Dataset. We have also partitioned the dataset to have about 50 samples for test and the remaining is used for 5 fold cross validation with 80:20 split for training and validation. The reason we have a separate test dataset from the validation is to ensure that there is no data leakage as we do not want to overfit the test dataset with the hyperparameters we choose during training. Across the 5 fold training runs, 4 sets would comprise of the training set and 1 of the test set which would be different for each fold run. Therefore we expect to cover most grounds on training and have good monitoring on the model's performance for each fold. Cross Validation also enables us to be able to monitor the network's overall stability and thus validate the model's generalization performance. We have also used Data loaders to split the dataset into batches that fits into our Graphics Processing Unit (GPU) memory.

Chapter 4

Modelling & Evaluation

For our multi regression problem, we train a MLP on the tabular representation of the data.

4.1 MLP Model

For the MLP model, we use a single model with input features corresponding to all the features in the tabular topology invariant representation of the data. The model architecture is build to predict both the Torque KPI and Efficiency KPIs by having 2 separate output layers for each of the KPIs. Since the Torque KPI's targets are relatively learnable than that of the Efficiency KPI's targets we have experimented with fewer feed forward layers in the former than in the latter.

We have a hyperparameter to control the number of neurons in each hidden layer this can be tuned and is further discussed in Section 5.1. Rectified Linear Unit (ReLU) layers are also added in between to serve as the activation function and produce non-linearities and thus noise in the network. Dropout layers ensure that not all neurons in each layer are used up during training to prevent the model from memorizing the data and hence overfitting. We have 2 hyperparameters to control the dropout rate at which we freeze the neurons when training also to be discussed in Table 5.1. We use dropout for the shared layers of the MLP and for the layers corresponding to Efficiency KPI. Batch normalization layers are used to normalize the input from the ReLU activations applied on it and so mitigate internal co-variate shift to the next layer and hence speed up the training process.

Thus both batch normalization and dropout layers stabilize the network training. Fig. 4.1 gives an outline on how the MLP Model architecture is designed. We discuss each component of the architecture below :

1. Input

The input layer takes in all features of the tabular data which is 89 in our case as scaled tensors.

2. MLP Shared

The MLP Shared block is a sequential block comprising of 2 Linear Layers with the input features and neurons of each hidden layer to be a hyperparameter we tune. We do not increase the number of neurons in the hidden layers within this block as it needs to be in the range of input features and output features(in this case Torque KPI). Furthermore we have Batch Normalization layers between each linear and ReLU activation function in addition to drop out layers. The dropout rate for the layers in this block is relatively higher as we want to encourage the model to focus largely on learning the generality of the data. The 2 Linear Layers enable the network to learn a rich representation of the data during the initial feature extraction phase.

3. MLP Torque

This block comprises of a Linear Layer with the output feature to be the size of the Torque KPI and a ReLU activation function. We use a ReLU activation function at the end of the output as the target values are inherently always positive values and exploit ReLU's behavior of clipping negative values to 0.

4. MLP Efficiency

This block comprises of 3 Linear Layers with the output feature to be the size of the Efficiency KPI. Here we also increase the neurons of the hidden layers as we are not limited by the dimensionality of the output feature. This would enable the model to be more strong and grasp the complex patterns in the data better. As usual we have batch normalization, dropout and ReLU activation functions between the 1st two Linear layers. The dropout rate for the layers in this block is relatively lower as we want to encourage the model to learn the specific nature of the grid towards the end. For the Last Linear layer we have the output features corresponding to the target dimensions and a ReLU activation again as the target values are inherently always positive values and it again encourages the model to adhere to this fact.

5. Torque KPI

The number of output features correspond to the target size 191 which is infact the range of angular velocities from 0 to 19000 rpm at equal spaced intervals of 2000rpm. Although the targets for the Torque KPI are an array of integer values, we use the float tensor and not integer tensor to represent the data otherwise it would become a classification problem and not a regression problem as it should be.

6. Efficiency KPI

The number of output features correspond to the target size which in our case is the shape of the padded collated array we had created in Section 3.1.3

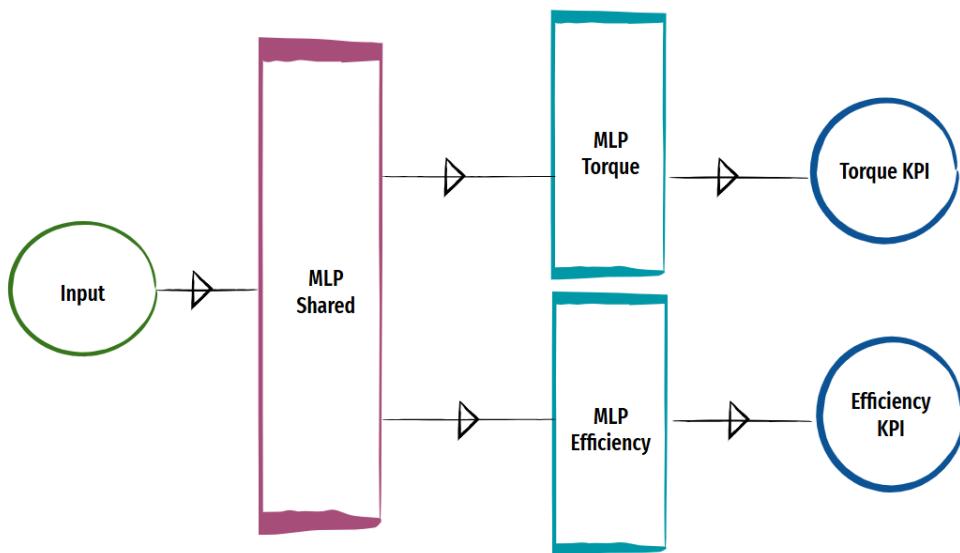


Figure 4.1: MLP Model Architecture

4.2 Loss Functions

The MSE loss is the error metric used for our problem with the intention that the squared losses penalize the model and inturn encourage it to minimize the objective function even further. In addition to its contribution in exaggerating the loss by its square, MSE also ensures that deviations are positive and do not confuse the model by negating the losses of opposing signs.

4.2.1 Loss for Torque KPI

The MSE loss for the Torque KPI is formulated mathematically as in Equation 4.1

$$\mathcal{Y}_1 \text{ Loss} = \frac{1}{n} \sum_{i=1}^n \frac{1}{h} \sum_{j=1}^h (y_{ij} - \hat{y}_{ij})^2 \quad (4.1)$$

where, n is EM samples, h is the columns of the 1D Torque vector, y_{ij} and \hat{y}_{ij} are the ij -th ground truth and prediction respectively for the torque at each operating point.

To encourage the model to learn the nature of the curve, we have experimented with 2 Loss Regularization techniques. Both of which are L2 Regularization to be in sync with the dynamics of the MSE loss.

1. Smoothening Loss Regularization

To smoothen out the curve for the Torque KPI we apply a loss regularization factor to ensure that the torque values at neighboring operating points are as similar as possible. This is formulated mathematically as in Equation 4.2.

$$\mathcal{Y}_1 \text{ Smoothening Loss Regularization} = \frac{1}{n} \sum_{i=1}^n \frac{1}{h-1} \sum_{j=1}^{h-1} \begin{cases} (\hat{y}_{i,j} - \hat{y}_{i,j+1})^2 & \text{if } |\hat{y}_{i,j} - \hat{y}_{i,j+1}| > 1, \\ 0 & \text{otherwise.} \end{cases} \quad (4.2)$$

If the difference in magnitude of consecutive values in the predicted array is greater than 1, then the loss is penalized by the square of the difference.

2. Decreasing Loss Regularization

The Torque curve closely resembles a decreasing sigmoidal curve and hence we use this knowledge to penalize the loss for increasing consecutive values within the prediction. This is intended to ensure that the torque values making up the Torque curve at each operating point is less than or equal to its prior operating point. This is formulated mathematically as in Equation 4.3.

$$\mathcal{Y}_1 \text{ Declining Loss Regularization} = \frac{1}{n} \sum_{i=1}^n \frac{1}{h-1} \sum_{j=1}^{h-1} (\sigma(\hat{y}_{ij+1} - \hat{y}_{ij}))^2 \quad (4.3)$$

It takes into consideration the almost continuous decreasing nature of the curve as the regularization is such that a specific element in the array is less than or equal to its prior element.

We have not combined both the above regularizations as they do not complement each other. This is because the loss regularized by Equation 4.2 will not necessarily be a decreasing curve. This holds true for the regularization in Equation 4.3 as it may not necessarily have gradual transitions in the curve. Nevertheless, we perform ablation studies with both the regularizations and report the results obtained in Table 5.3

4.2.2 Loss for Efficiency KPI

The MSE loss for the Efficiency KPI can be translated as in Equation 4.4.

$$\mathcal{Y}_2 \text{ Loss} = \frac{1}{n} \sum_{i=1}^n \frac{1}{w} \frac{1}{h} \sum_{j=1}^w \sum_{k=1}^h (M_{ijk} \cdot y_{ijk}) - (M_{ijk} \cdot \hat{y}_{ijk})^2 \quad (4.4)$$

$$M_{ijk} = \begin{cases} 1 & \text{if } y_{ijk} \neq \text{NaN} \\ 0 & \text{if } y_{ijk} = \text{NaN} \end{cases} \quad (4.5)$$

where, M_{ijk} is Mask matrix, w is the rows of 2D vector and h the columns of 2D vector. Based on one of our observation in Section 3.1.3 on the efficiency values beyond the Efficiency envelope having blank values, which we had also padded them to be NaN values we construct a binary mask matrix to ignore them in the loss calculation. As ANN cannot be trained to predict NaN values the binary mask is constructed such that values corresponding to NaN in the target have value 0 and all other values as 1. Mathematically, this

process can be expressed as is in Equation 4.5 and thus ensure that the NaN values are ignored in the loss calculation. The mask is then multiplied with both the target and its respective prediction.

We have also modelled 2 Loss Regularization techniques to encourage the model to learn the nature of the Efficiency KPI curve.

1. Maximum Efficiency Loss Regularization

To ensure that the efficiency values do not exceed 100, we modify the objective functions mathematically as in Equation 4.6.

$$\mathcal{Y}_2 \text{ Maximum Efficiency Regularization} = \frac{1}{n} \sum_{i=1}^n \frac{1}{w} \sum_{j=1}^w \sum_{k=1}^h (\sigma(|\hat{y}_{ijk}| - 100))^2 \quad (4.6)$$

When the efficiency values of the prediction exceed 100, we penalize the overall loss by squared magnitude of the difference of the prediction from its ground truth. ReLU again assists to clips the difference if it is negative which is the scenario when the efficiency values are less than or equal to 100 when a violation is not warranted.

Needless to say the efficiency values are percentage values and can only take up values in the range of 0-100%. We refrain from instructing the model to not have values less than 0 since we mask NaN values as 0 and the model will for sure attempt to predict values close to 0. Moreover, these predictions are not relevant for us as after generating all predictions we finally slice off the Efficiency grid to be of the shape of the Torque curve which implies that the values predicted in place of NaN are irrelevant. This is discussed more elaborately in Section 4.5. Therefore, we do not see the need to needlessly punish the model for making mistakes for values we eventually donot use as pessimistic decisions could discourage the model from realistic learning and thus affect its focus on predicting the other values in the Efficiency grid correctly.

2. Efficiency Grid Loss Regularization

Additionally, to encourage the model to learn the nature of the Efficiency KPI from our observations gathered in Section 3.1.3 and from the regions in Fig. 3.5 which showed the most standard deviation, we have tried to incorporate all of the below learnings via the loss function as \mathcal{Y}_2 Regularization techniques.

(a) Efficiency at Maximum Torque Loss Regularization

We cannot incorporate regularizing the loss to have the decreasing envelope of the Torque curve and so we only focus on what we can effectively teach the model which herein is the stable portion of the Torque curve typically until Angular Velocity of 5000 rpm. This is derived from an observation we make on the shape of the Torque curve in Section 3.1.2. To ensure that the shape of the Efficiency KPI is maintained, we also regularize the loss for the maximum torque value. To do so, we have attempted to retrieve the last rows of our Efficiency KPI and those of its target values and penalize the squared difference to have higher weight. We formulate it mathematically as in Equation 4.7

$$\mathcal{Y}_2 \text{ Loss Regularization Max Torque} = \frac{1}{n} \sum_{i=1}^n \frac{1}{t_1} \sum_{j=-t_1}^w \frac{1}{h} \sum_{k=1}^h (y_{ijk} - \hat{y}_{ijk})^2 \quad (4.7)$$

where, t_1 is the threshold for initial Efficiency KPI Envelope boundary. The number of last rows is determined by a threshold t_1 . The intention of including the threshold value is to give the model the flexibility to search a certain portion of the Efficiency grid determined by the threshold and push the model to get this region right by having 0s for values in prediction but not in target.

(b) Efficiency at Low Angular Velocity Loss Regularization

It is a known fact that at Torque 0 Nm, the corresponding efficiency values for the motor is 0%. Consequently the efficiency values close to this torque will be low. To force the model to pay more attention at lower angular velocities, we have regularized the loss for the first few columns

of each row of the predicted Efficiency grid and penalize the squared difference with that of its target. We formulate it mathematically as in Equation 4.8:

$$\mathcal{Y}_2 \text{ Loss Regularization Low Angular Velocity} = \frac{1}{n} \sum_{i=1}^n \frac{1}{w} \sum_{j=1}^w \frac{1}{t_2} \sum_{k=1}^{t_2} (y_{ijk} - \hat{y}_{ijk})^2 \quad (4.8)$$

where, t_2 is the Threshold for Low Angular Velocities. The number of first columns is determined t_2 . The intention of including the threshold value here is to give the model the flexibility to search the relevant portion of the Efficiency grid determined by the threshold and push the model to get the region of low angular velocities right by penalizing this region more.

(c) Efficiency at Low Torque Loss Regularization

At extreme speeds we find a greater deviation in the efficiency values particularly towards higher speeds. This is because we have fewer efficiency values as speed increases beyond a range since not all torque values participate. To force the model to be more careful at low torque, we have regularized the loss for the first few rows of each column of the Efficiency KPI and penalize the squared difference with that of the target. We formulate it mathematically as in Equation 4.9:

$$\mathcal{Y}_2 \text{ Loss Regularization Low Torque} = \frac{1}{n} \sum_{i=1}^n \frac{1}{t_3} \sum_{j=1}^{t_3} \frac{1}{h} \sum_{k=1}^h (y_{ijk} - \hat{y}_{ijk})^2 \quad (4.9)$$

where, t_3 is the Threshold for Low Torque. The number of first rows is determined by t_3 . The intention of including the threshold value herein is to give the model the flexibility to search the relevant portion of the Efficiency grid determined by the threshold and push the model to get the regions of low Torques right by penalizing this region more.

The above \mathcal{Y}_2 Regularizations are indeed purely MSE but with higher weights for specific regions of the Efficiency KPI. Consequently being L2 Regularizations it goes hand in hand with MSE Loss calculated in Equation 4.4. The thresholds t_1, t_2 and t_3 are tunable hyperparameters that we can optimize if we observe the relevant parts of the Efficiency KPI's visualization not adhering to the expected look of the Efficiency grid. We aggregate all the above regularizations to form the \mathcal{Y}_2 Loss Regularization as in Equation 4.10

$$\begin{aligned} \mathcal{Y}_2 \text{ Efficiency Grid Loss Regularization} &= \mathcal{Y}_2 \text{ Loss Regularization Max Torque} \\ &+ \mathcal{Y}_2 \text{ Loss Regularization Low Angular Velocity} + \mathcal{Y}_2 \text{ Loss Regularization Low Torque} \end{aligned} \quad (4.10)$$

The Total Loss is calculated in Equation 4.11

$$\begin{aligned} \text{Total Loss} &= wt \cdot (\mathcal{Y}_1 \text{ Loss} + (\lambda_{1y1} \cdot \mathcal{Y}_1 \text{ Smoothening Loss Regularization}) + (\lambda_{2y1} \cdot \\ &\mathcal{Y}_1 \text{ Declining Loss Regularization})) + (1-wt) \cdot ((\mathcal{Y}_2 \text{ Loss} + (\lambda_{y21} \cdot \\ &\mathcal{Y}_2 \text{ Maximum Efficiency Loss Regularization}) + (\lambda_{y22} \cdot \\ &\mathcal{Y}_2 \text{ Efficiency Grid Loss Regularization}))) \end{aligned} \quad (4.11)$$

where, λ_{1y1} is \mathcal{Y}_1 Smoothening Loss Regularization Parameter, λ_{2y1} is \mathcal{Y}_1 Declining Loss Regularization Parameter, λ_{y21} is \mathcal{Y}_2 Maximum Efficiency Loss Regularization Parameter, λ_{y22} is \mathcal{Y}_2 Efficiency Grid Loss Regularization Parameter, wt is \mathcal{Y}_1 Loss Weightage, $1 - wt$ is \mathcal{Y}_2 Loss Weightage.

We have added a Weightage parameter that controls the contribution of the \mathcal{Y}_1 Loss and \mathcal{Y}_2 Loss to the Total Loss. There are 2 reasons why this is useful for us :

1. When the targets are not of the same scale.

Without scaling, the losses for both targets Torque and Efficiency KPIs being of different ranges are drastically different.

We circumvent this by weighing up the loss of the target not performing better on validation dataset and weighing down the loss of the targets by the factor of how much its value range varies.

2. When the prediction accuracy of one KPI is substantially more vital than the other.

Our task demands the same as the Efficiency KPI is post processed to be within the shape of the Torque KPI.

Therefore, in theory have higher weightage for the Torque KPI as its loss in performing well is costlier but as its value range is relatively higher we make decisions from monitoring the prediction performances.

We reflect on these decisions based on whether the envelope of the Efficiency KPI grid is more valuable than the efficiency values within it.

To counter the Torque KPI loss dominating the total loss, we have implemented quite a few regularization techniques to the Efficiency KPI loss.

Ultimately we decided on prioritizing the efficiency values.

Furthermore, the weightage parameters for both target is designed to sum upto 1 keeping in mind improved training stability as a result of normalized weights.

Finally the aggregated loss is backpropagated.

4.3 Optimizer

Adam optimizer is used for optimization as it is known to be computationally efficient and requires less memory Ref. [17]. It infers the gradients of the loss and how it impacts the weights and biases of each layer and thus controls learning by guiding the model to decrease the loss over the course of training. The optimizer acts once the loss is backpropagated across training each batch of the dataset. The optimizer also uses the learning rate to control the step size with which the model parameters are updated.

4.4 Evaluation Metrics

The evaluation metrics we have regarded for our regression problem is the average of the RMSE. Therefore, the model with the least prediction scores ie, closest to 0 is ideal for our application. Although, we use RMSE as the metric for both evaluation and loss functions yet the loss regularizations play a strong role on top of RMSE. Needless to mention for the Efficiency KPI, we have the Masking mechanism as per Equation 4.5 which does not exists for the evaluation function of the same KPI which in a way shows a pessimistic view of the model's performance. This is particularly prominent during training however during inference we make use of not NaN differencing to mask out values beyond the Efficiency envelope. While training we donot have visibility of the Efficiency envelope until its Torque KPI values are generated.

4.4.1 Evaluation Metrics for Torque KPI

The \mathcal{Y}_1 Score for the Torque KPI is formulated in Equation 4.12 :

$$\mathcal{Y}_1 \text{ score} = \frac{1}{n} \sum_{i=1}^n \sqrt{\underbrace{\frac{1}{h} \sum_{j=1}^h (y_{ij} - \hat{y}_{ij})^2}_{\mathcal{Y}_1 \text{ RMSE}}} \quad (4.12)$$

where, h is the columns of 1D vector and \mathcal{Y}_1 RMSE is RMSE for each test sample.

4.4.2 Evaluation Metrics for Efficiency KPI

The \mathcal{Y}_2 score for the Efficiency KPI is formulated in Equation 4.13 :

$$\mathcal{Y}_2 \text{ score} = \frac{1}{n} \sum_{i=1}^n \sqrt{\underbrace{\frac{1}{w} \frac{1}{h} \sum_{j=1}^w \sum_{k=1}^h (y_{ijk} - \hat{y}_{ijk})^2}_{\mathcal{Y}_2 \text{ RMSE}}} \quad (4.13)$$

where, w is the rows of 2D vector, h is columns of 2D vector and \mathcal{Y}_2 RMSE is the RMSE for each test sample.

4.5 Post Processing

The mean and standard deviation from the train-validation datasets are applied to transform the test dataset to maintain uniformity in the predictions generated. In the case of new files not part of the segregated test dataset we first convert it into the tabular representation our model consumes and then apply the scaling. This is why we preserve the same scalers used during training as we not only evaluate our dedicated test dataset but also for clients to use on demand.

Furthermore as we are predicting a padded matrix to ensure dimensionality sync across different Efficiency KPIs, the grid contains values even outside the boundary of the Efficiency KPI. First we tried to slice off the grid to ignore 0 values for all rows excluding the 1st row as per the Equation 4.8. We hoped the Mask constructed from equation 4.5 would force the model to learn 0s for however it tried to approximate close to 0 and was almost never 0. Hence, we decided to slice the shape of the Torque KPI curve from the Efficiency KPI by counting the number of columns a row to have based on consecutive values in the curve. This brings us back to the point that it is imperative the prediction of the Torque KPI is close to perfect as the envelope of the Efficiency KPI inherently is dependent on it. However if in the worst case scenario where the y_1 and y_2 predictions are so starkly different that Efficiency KPI cannot be sliced off from the Torque KPI because the former is smaller than the latter then we decide not to slice the Efficiency KPI and instead use the predictions as is.

Chapter 5

Experiments and Results

5.1 Experiments with MLP

After optimizing over an exhaustive random search of the hyperparameter space, we present them in Table 5.1 by monitoring the model's performance across 5 fold cross validation. We use different splits for each round of training and tune the hyperparameters on the validation set. The final splits are saved locally and can be used later to ensure reproducibility.

Hyperparameters	Value	Value Ranges
Learning Rate (<i>lr</i>)	0.075	0.025 - 0.25
Dimensionality of Hidden Layers (<i>hidden size</i>)	128	64, 128
Exponential Learning Rate Scheduler Gamma Parameter (<i>lr gamma</i>)	0.9	0.5-0.9
Batch Size (<i>batch size</i>)	72	32-72
Number of Epochs (<i>epochs</i>)	10	6-10
Dropout Probability for Shared Layers (p_{y1})	0.35	0.4-0.2
Dropout Probability for Efficiency Layers (p_{y2})	0.2	0.2-0.1
\mathcal{Y}_1 Smoothening Curve Loss Regularizer (λ_{1y1})	0.5	0-0.75
\mathcal{Y}_1 Decreasing Curve Loss Regularizer (λ_{2y1})	0.5	0-0.75
\mathcal{Y}_2 Maximum Efficiency Value Regularizer (λ_{y21})	5	0-5
\mathcal{Y}_2 Efficiency Grid Loss Regularizer (λ_{y22})	3.75	0-5
\mathcal{Y}_2 Initial Envelope Boundary Threshold (t_1)	5	0-5
\mathcal{Y}_2 Low Speed Threshold (t_2)	20	0-30
\mathcal{Y}_2 Low Torque Threshold (t_3)	20	0-30
Weightage of \mathcal{Y}_1 Loss (<i>wt</i>)	0.05	0-1
Weightage of \mathcal{Y}_2 Loss (<i>1-wt</i>)	0.95	0-1

Table 5.1: Hyperparameter Tuning

We are using a learning rate scheduler which reduces the learning rate exponentially by the *lr gamma* to decay learning as training progresses across epochs. This is to ensure that the model does not overshoot after few cycles of training. We also would like to point out that the 2 outputs typically would thrice on different learning rates and might be a better approach to have separate learning rates in addition to separate loss functions. The *batch size* is limited to the capacity of our GPU memory. We use the maximum batch size to train faster and therefore use 72 after which we hit the memory roof of our GPU. The *hidden size* refers to the number of neurons in the hidden layers of the MLP model. We have experimented with just 2 hidden sizes as we are constrained by the fact that the number of neurons must be between the range of input features and output features which was discussed in Section 4.1. In addition it has to be of the multiples of 8 as GPUs are most optimized for the same. Dropout rate during training is controlled by the parameter p_{y1} for shared layers and p_{y2} for layers specific to Efficiency KPI. This is discussed in Section 4.1. We are not

so much concerned of the data being dropped in larger extent than anticipated for the Torque KPI because it is relatively simpler to learn and the value range is comparatively larger leading its loss to dominate the total loss. λ_{1y1} , λ_{2y1} , λ_{y21} and λ_{y22} parameters control the regularization weight for the regularization terms for the Torque KPI and Efficiency KPI respectively detailed in Equation 4.11. The thresholds t_1 , t_2 and t_3 are used to control the number of rows and/or columns to be considered for the loss regularization terms to learn the nature of the Efficiency KPI as detailed in Section 4.2.2. The weightage parameter to control the direction of loss is denoted by wt and is also discussed in Equation 4.11.

We also observe that a simple architecture of the model is not a significant downside for limited data set as it seems to learn the patterns within the data especially since we supplement the loss regularization with more knowledge. Our main MLP model only uses the loss regularization parameters λ_{2y1} and λ_{y2} ie, it does not consider the Smoothing curve regularization for the Torque KPI whose performance we will separately discuss in Section 5.6.

We chose a 3rd party application `Wandb`¹ to log metrics from the training run and to monitor model performance across the 5 folds. All 5 folds are monitored and shown in the Figures as training and validation occurs 5 times on different combinations of cross validation splits independent per fold.

Fig. 5.1 illustrates the loss at work as training progresses across epochs. Figures 5.1b and 5.1c provides monitoring of how the losses for both the KPIs vary. As was discussed in Equation 4.1 and Equation 4.4, both the MSE losses also include their respective loss regularization terms weighted by the tunable regularization coefficients. Fig. 5.1a depicts the weighted sum of losses discussed in Equation 4.11.

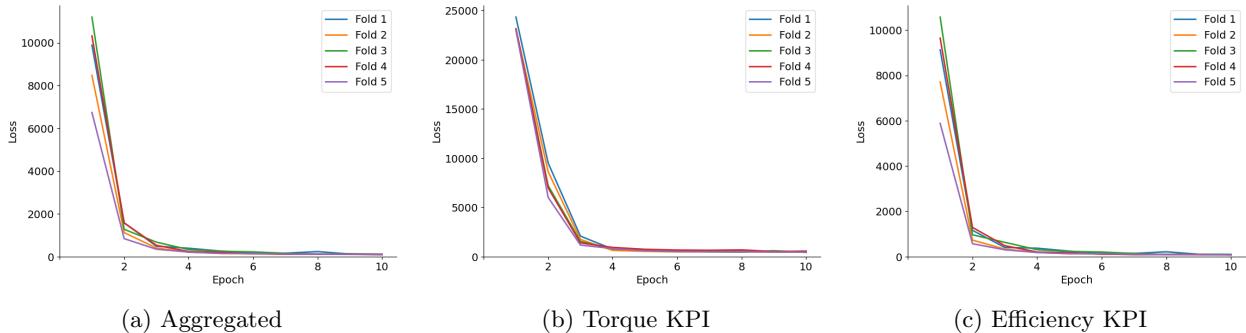


Figure 5.1: Training Loss Metrics

From the training plots we see that the model has converged after having run for 10 epochs with a total run time of 3 MINUTES VERIFY: Even though the weightage assigned to the Efficiency KPI is significantly more in addition to loss regularization parameter, it seems to have stopped learning beyond a threshold and so we hypothesize to further increase the weightage of the Efficiency KPI. The Torque KPI shows promise in learning better but it would be at the cost of overfitting the Efficiency KPI.

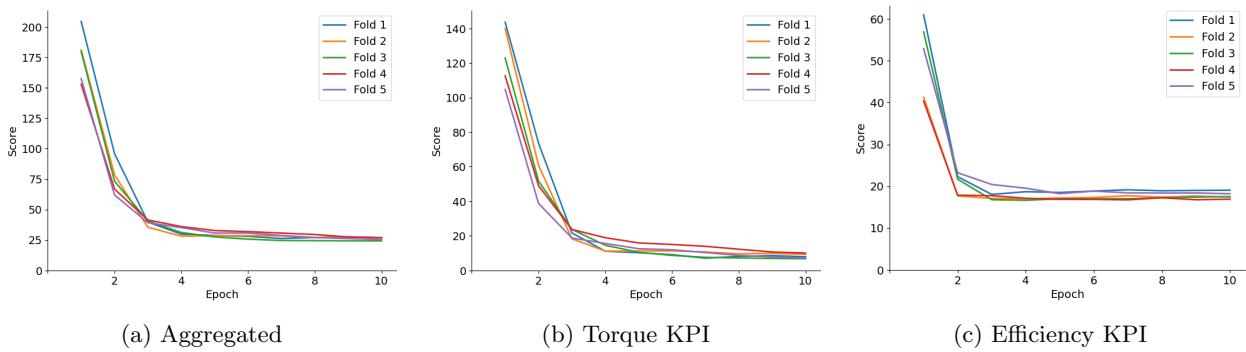


Figure 5.2: Validation Evaluation Metrics

¹Weights & Biases - <https://wandb.ai/>

The Validations plots for losses also tells us the same tale although the Efficiency KPI stops to learn after a certain point can be monitored in A.2. Fig. 5.2 illustrates the evaluation metrics changing across each epoch of the validation dataset. This is important to us to know the model's performance on unseen data for the 5 folds. Fig. 5.2a shows the aggregated validation score which is again the weighted sum of the scores as was formulated in Equation CITE for both the KPIs displayed in Fig. A.2b and Fig. 5.2c respectively. Likewise the training plots evaluation metrics are displayed in Fig. A.1.

The training loss and validation evaluation plots are most important to us to be able to judge the hyperparameters to optimize. Therefore the other plots are moved to Appendix A.2 for further reflection.

Our observations from the validation plots are : LIST THEM

We have also enabled saving the best performant fold locally so it can be loaded on demand by the client when in need to only run inference.

As the value ranges for both the KPIs are starkly different, we give a glimpse of the percentage difference. We have narrowed down scoring to follow the criteria as recorded in Table 5.2.

% Difference	0-5%	5-10%	10-15%	15-20%	20-25%	25-30%	30-35%	35-40%	40-100%
\mathcal{Y}_1 Score	0-11	11-22	22-33	33-44	44-55	55-66	66-77	77-88	>88
\mathcal{Y}_2 Score	0-5	5-10	10-15	15-20	20-25	25-30	30-35	35-40	>40

Table 5.2: Scoring Criteria

This is deduced from the Equations 5.1 and 5.2.

$$\mathcal{Y}_1 \text{ Percentage Difference} = (\mathcal{Y}_1 \text{ Score}/(\text{Max}(\mathcal{Y}_1) - \text{Min}(\mathcal{Y}_1))) \cdot 100 \quad (5.1)$$

$$\mathcal{Y}_2 \text{ Percentage Difference} = (\mathcal{Y}_2 \text{ Score}/(\text{Max}(\mathcal{Y}_2) - \text{Min}(\mathcal{Y}_2))) \cdot 100 \quad (5.2)$$

From our observations the target values for Torque KPI range between 50-280 and those of the Efficiency KPI range between 0-100. The scoring of the \mathcal{Y}_2 at inference is slightly different from that of training in the sense that for inference, we have the Torque KPI to accurately slice of the envelope from the Efficiency KPI. Also need to ensure how the difference works for NAN especially at inference coz for Training there are no NANs and in targets it would be 0. But for training, we cant slice the envelope as we do not have the Torque curve yet.-TO BE REMMOVED

5.2 Results with MLP

5.2.1 Torque KPI Results with MLP

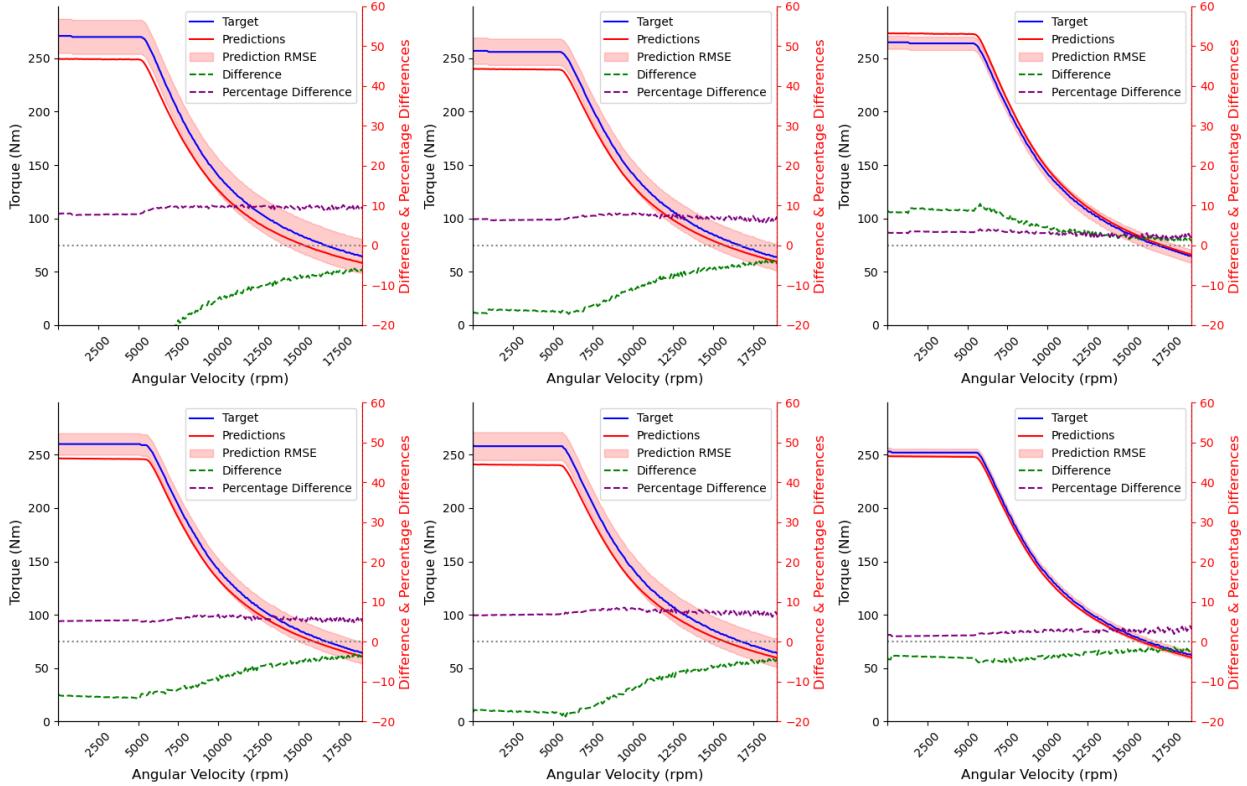


Figure 5.3: MLP Predictions for Torque KPI

Fig. 5.3 illustrates 6 test dataset predicted Torque values and their corresponding ground truths displayed against their angular velocities. We also depict the difference and percentage difference on a twin y axis to give a rough overview of the prediction deviations from the targets. As the percentage difference formulated in Equation 5.1 would always result in positive values, they appear above the 0 line dotted separator. Although we do see deviations, we notice for most of the cases, the predictions are such that the torque values predicted have smaller values than its respective ground truths. In addition, the RMSE equivalent to the \mathcal{Y}_1 score tells us that for 50% of the plots shown, the predictions are off by about 5% from the target values as per Table 5.2.

Fig. 5.4 shows us the Average RMSE and element wise RMSE for the test dataset performance with the MLP. This figure closely resembles the skeleton of the Fig. 3.3 in terms of what is displayed. The only difference we can highlight is that the RMSE with that of the ground truth is plotted instead of standard deviation on the twin y-axis in red. Overall our inferences are the predictions closely resemble the trajectory of the target values although they fluctuate. Experimenting with the hyperparameters λ_{1y1} and λ_{2y1} has potential to improve this anomaly. In addition, granting a higher weight wt can also help in this direction.

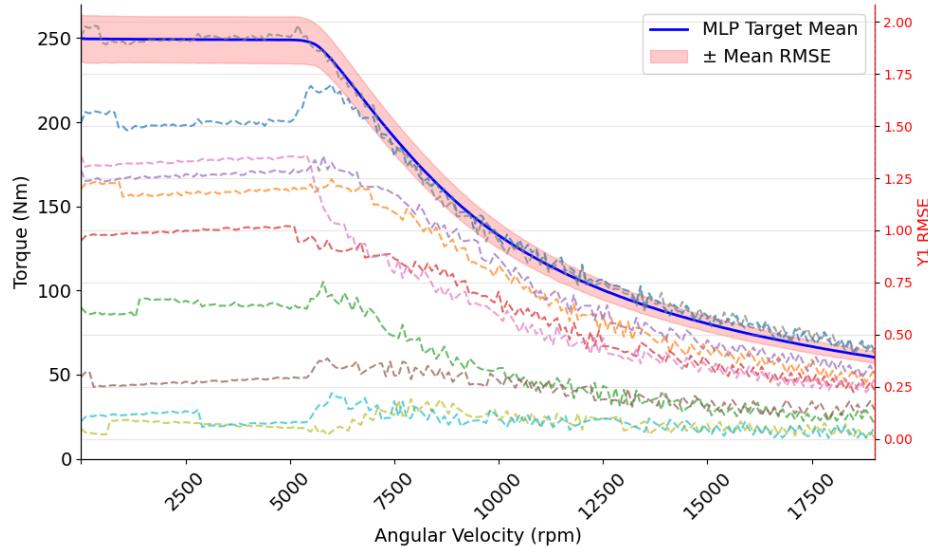


Figure 5.4: MLP RMSE Evaluation for Torque KPI

Fig. 5.5 shows the score statistics of the model performance of Torque KPI over few samples from the test dataset with the number of test dataset samples on the y-axis. The \mathcal{Y}_1 RMSE from Equation 4.12 is calculated for each sample and shown as a histogram in Fig. 5.5a. The \mathcal{Y}_1 Percentage Difference from Equation 5.1 is calculated for each sample and shown as a histogram in Fig. 5.5, this is essential to be able to relate to its effect on total range of deviation due to its differing value range. The histogram looks the same in shape for both the figures however the finer details lies within the measure on the x-axis.

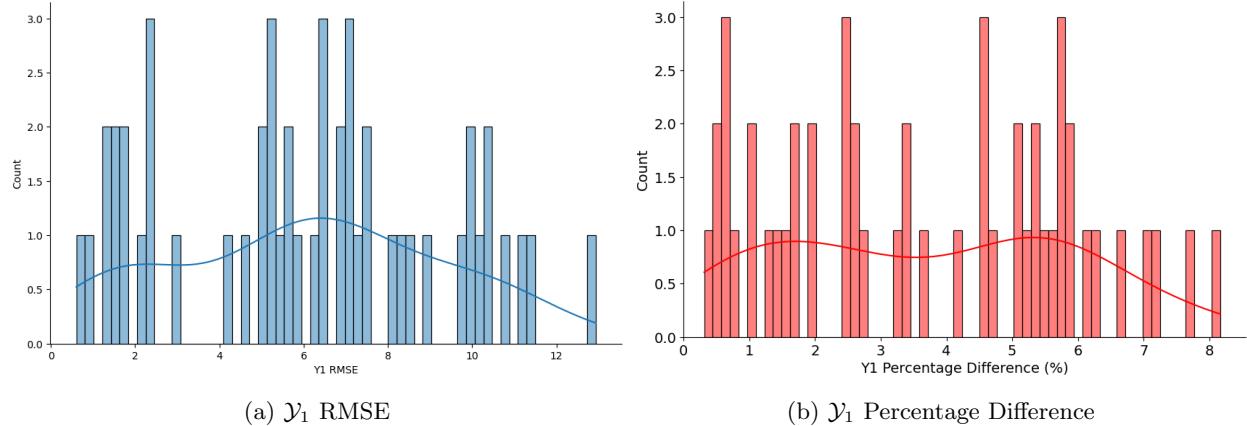


Figure 5.5: \mathcal{Y}_1 Evaluation Statistics of MLP

The observation we can deduce is that the RMSEs are almost evenly distributed between 0.5-12.5 thus encompassing a range of 0-6% difference with the target values.

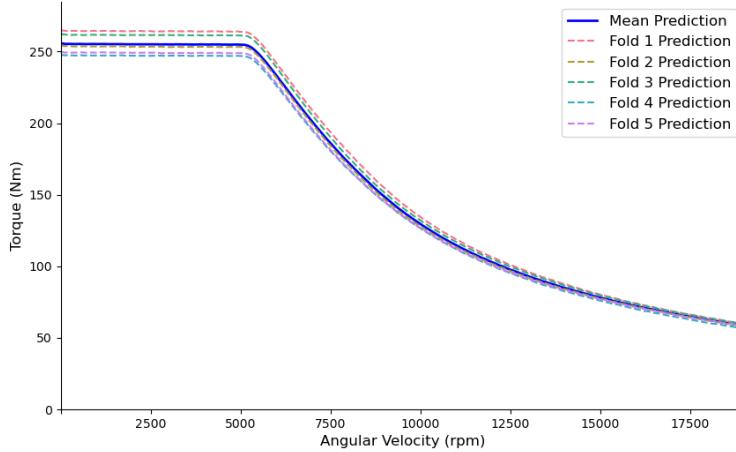


Figure 5.6: MLP Training Deviation across Folds for Torque KPI

Fig. 5.6 gives a good view of how the torque curve for each folds prediction represented in dotted lines deviate from the mean of all the folds predicted torque curve. We remark that each predicted torque curve is as close as can be to the mean of all the folds torque curves. This figure is a good indicator of the model's generalization capability and also sheds light to the model's stability. We also take care to note that we have used only 1 sample from the test dataset for inference across all folds. A noteworthy point is that we have left the output predictions for the Torque curve to remain as floating point values even when the target values are integers to preserve data precision. We give the client the flexibility to turn this on/off demand.

5.2.2 Efficiency KPI Results with MLP

Fig. 5.7 shows 3 sample predictions of the Efficiency KPI from the test dataset alongside its ground truth. Torque is displayed against its angular velocities and all efficiency values within the envelope can be viewed as differing colors in a contour plot. The figures are augmented with a scale showing the efficiency values in percentage across varying levels.

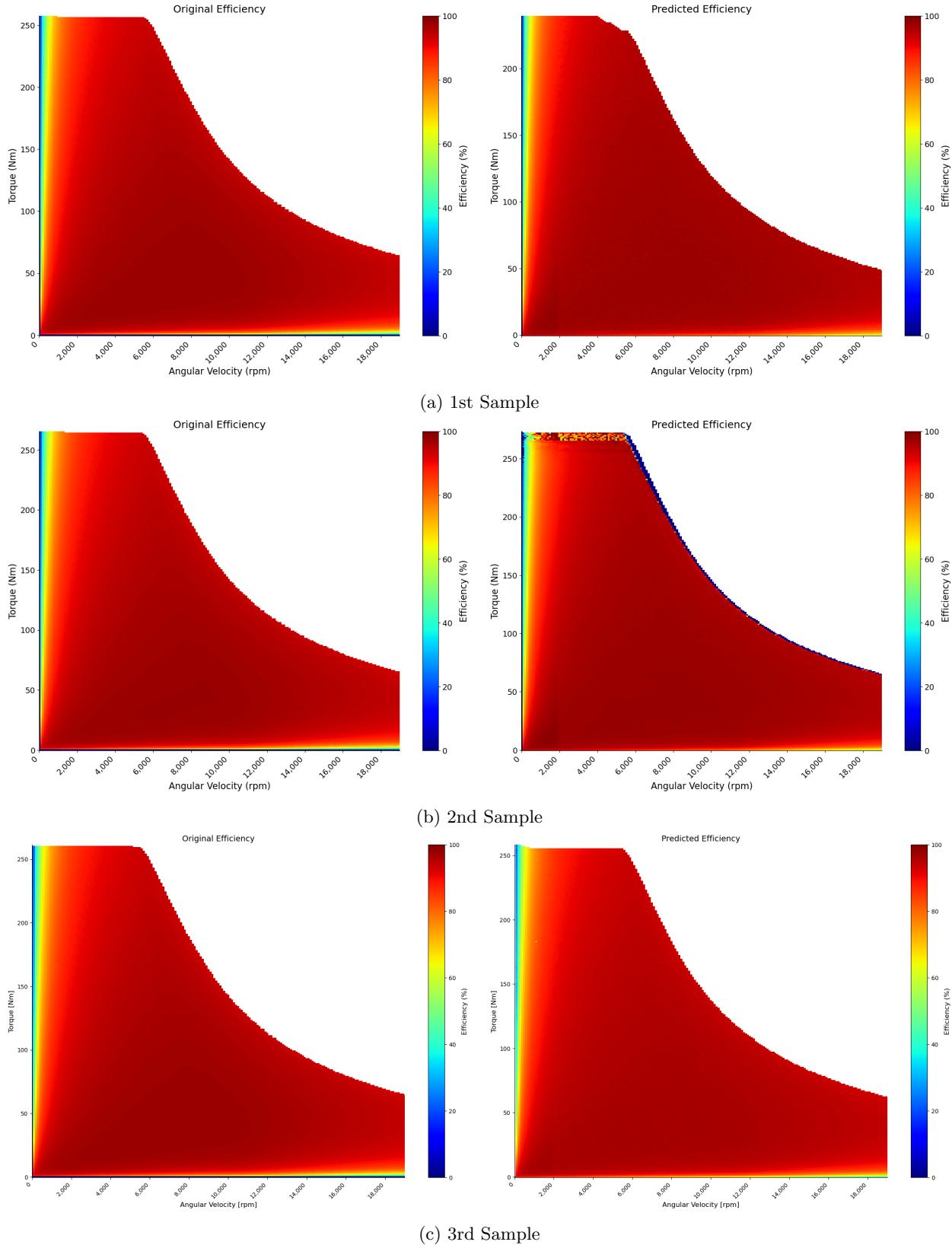


Figure 5.7: Efficiency KPI Predictions Vs Targets with MLP

We also took the liberty of displaying the error margin as the overlap difference of the predicted and target efficiency values for the aforementioned samples. The margins of the errors can be seen as levels referring to the difference in efficiencies to the right of the each subplot. The 3 subplots are the prediction, target and the difference between the previous two respectively such that all of them are displayed side by side for easy comparison.

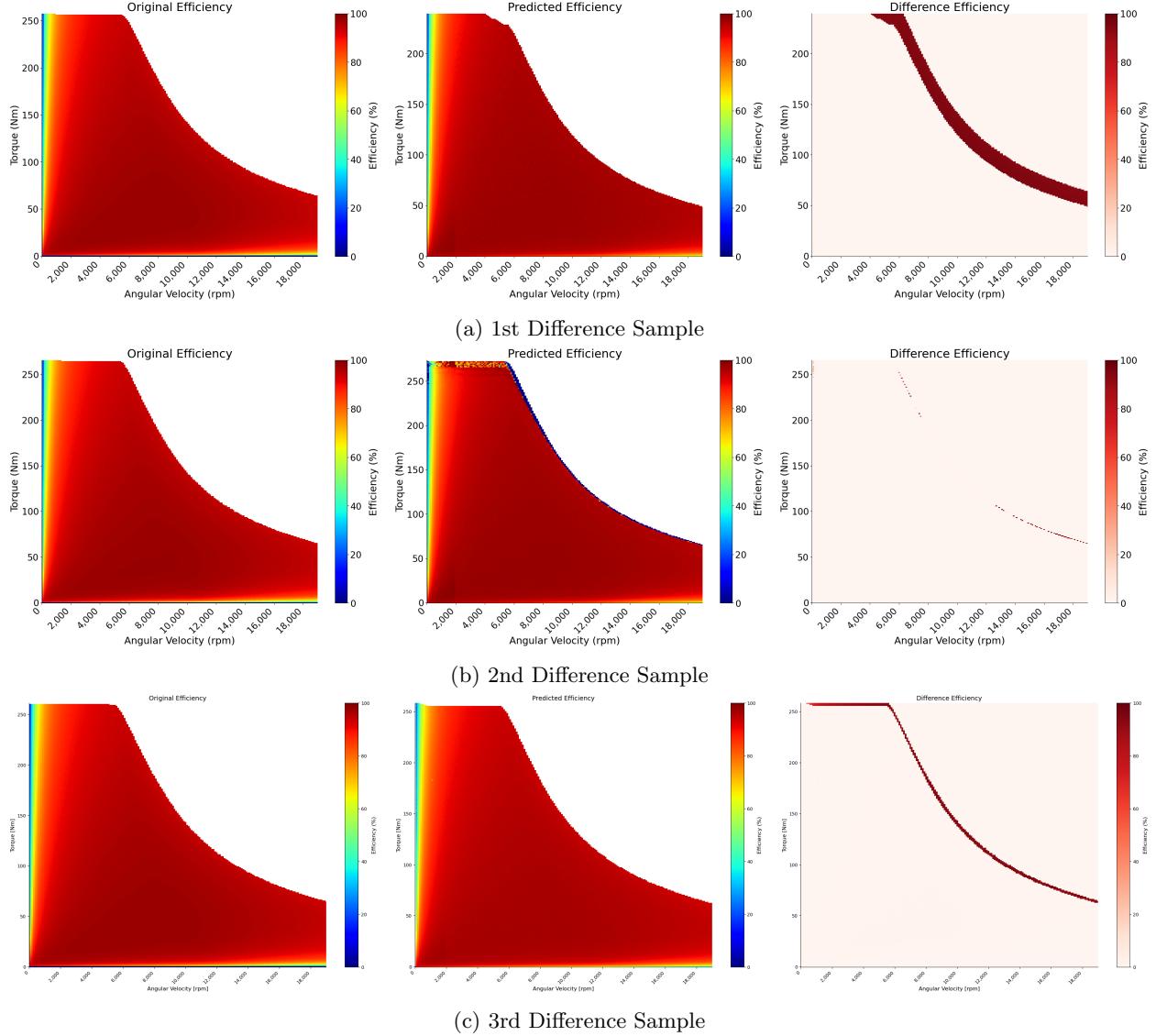


Figure 5.8: Efficiency KPI Predictions Vs Targets Vs Difference with MLP

From a visual perspective, the predictions to relative extent resembles the target and the regularization of the Efficiency KPI seems to be already teaching the model that the envelope should follow the Torque KPI curve shape. The curve being sliced off irregularly is the effect of us trying to retain the Torque KPI shape as was discussed in Section 4.5. This could be having negative impacts for the Efficiency KPI when the predictions for the Torque KPI are not perfect. This is yet again a decision to be made based on which of the 2 targets to prioritize.

We visualize the images for the same samples in Fig. 5.8 however in a smaller scale to accommodate a 3rd figure denoting difference overlap. Thus, the figures in 5.7 serve to give a larger view for comparing and contrasting the predictions and its targets.

Additionally, we calculate the RMSE and differences of prediction from the target by truncating the predicted and ground truth matrices to be of common shape. We also replace NaN values with 0s in either if it occurs as the Efficiency KPI's were padded with NaN's to be of the same shape but originally had no values as was discussed in Section 3.1.3.

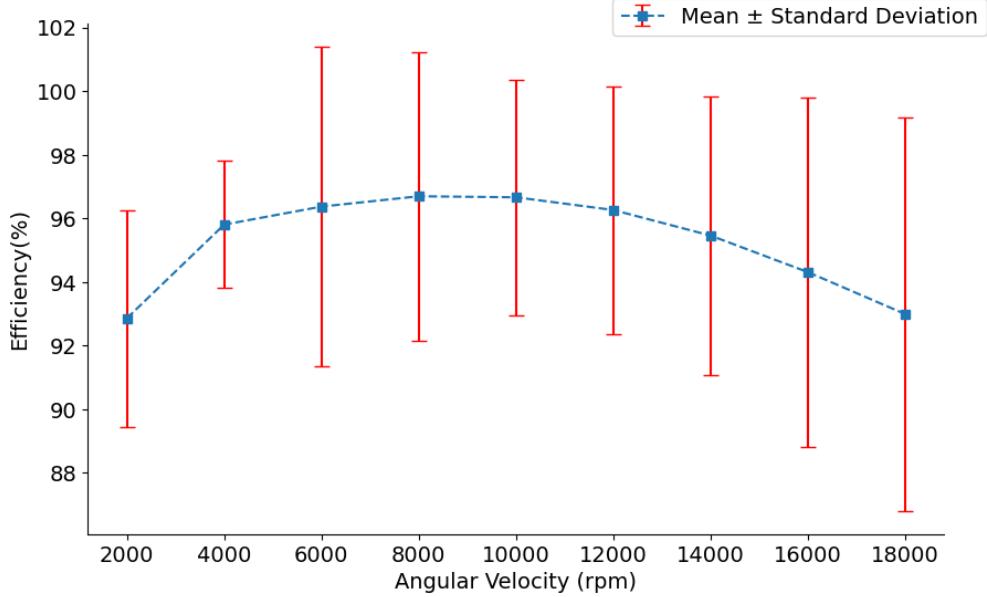


Figure 5.9: MLP Standard Deviation of Efficiency KPI across Angular Velocity Intervals with MLP

Fig. 5.9 illustrates the standard deviation across different angular velocity ranges for the MLP predictions as error bar plots. In comparison to Fig. 3.6 we infer that as the speed angular velocity increases the target deviations of efficiency values are not so accurately captured by the model.

Efficiency KPI is harder to evaluate scoring being a 3D plot. There exists 191 angular velocity ranges holding efficiency values. Since it is infeasible the deviations of the efficiency with respect to all angular velocity intervals, we visualize the Efficiency prediction deviation with its respective targets only for certain angular velocities across the entire torque range in Fig. 5.10. Therefore, the whole figure encompasses 6 subplots for specific angular velocities. The figure shows the mean Efficiency values and the RMSE surrounding it for few samples from the test dataset. The angular velocities are chosen at equal intervals of 2000 rpm. The Efficiency values are usual range from 0 to 100% percentage whereas the torque values are shown from 0 to approximately 250 Nm which could be the maximum torque value for samples predicted from few random samples of the test dataset. The RMSE of each prediction from its target can be viewed on the twin y-axis. We can observe that the most deviation occurs towards the higher torque values for each corresponding angular velocity i.e., where the Efficiency envelope starts to taper off. This anomaly can be explained by the Torque KPI predictions not being up to mark as it is responsible for trimming the edges of the envelope. However it is not only the border of the envelope a question of concern here but also for neighboring values of the envelope namely from 1/4th the angular velocity onwards ie., close to 6000 rpm. We do not have any way to teach the model this part of the grid with loss regularization because we cannot estimate the envelope dimensionality having already padded the targets to be of the maximum shape referred in Section 3.1.3. The reason why it deteriorates from 1/4th the angular velocity onwards is because the Efficiency KPIs envelope starts to converge from around 6000 rpm as we can see in Fig. 1.5. Nevertheless, we see on average among the angular velocities, the RMSE is close to 5 which is 5% deviation from the target values as per Table 5.2.

With the additional loss regularization of constraining efficiency values to be with 100% as per Equation 4.6, we can now emphasize that the efficiency values do not exceed 100. This is evident from the Figures in 5.10 otherwise the mean efficiency would not be constrained within each of the subplots, which we force to only display between 0-100%. Additionally, the figures in 5.7 and 5.8 would also highlight this anomaly if it

occurs since the levels of the contours are also fixed to be between 0-100%. Any values beyond this range would be visualized haphazardly.

To summarize the relatively higher errors are located in the operating area along the shape of the torque curve. Observations from the predictions helped to correct few discrepancies in our development for instance in the Efficiency grid we replaced 0s with NaN values which we later understood were both represented different in the grid. Since Efficiency values can take up values only between 0-100%, we regard the same as constant across plots and use it as a baseline for determining the levels in the contour plot.

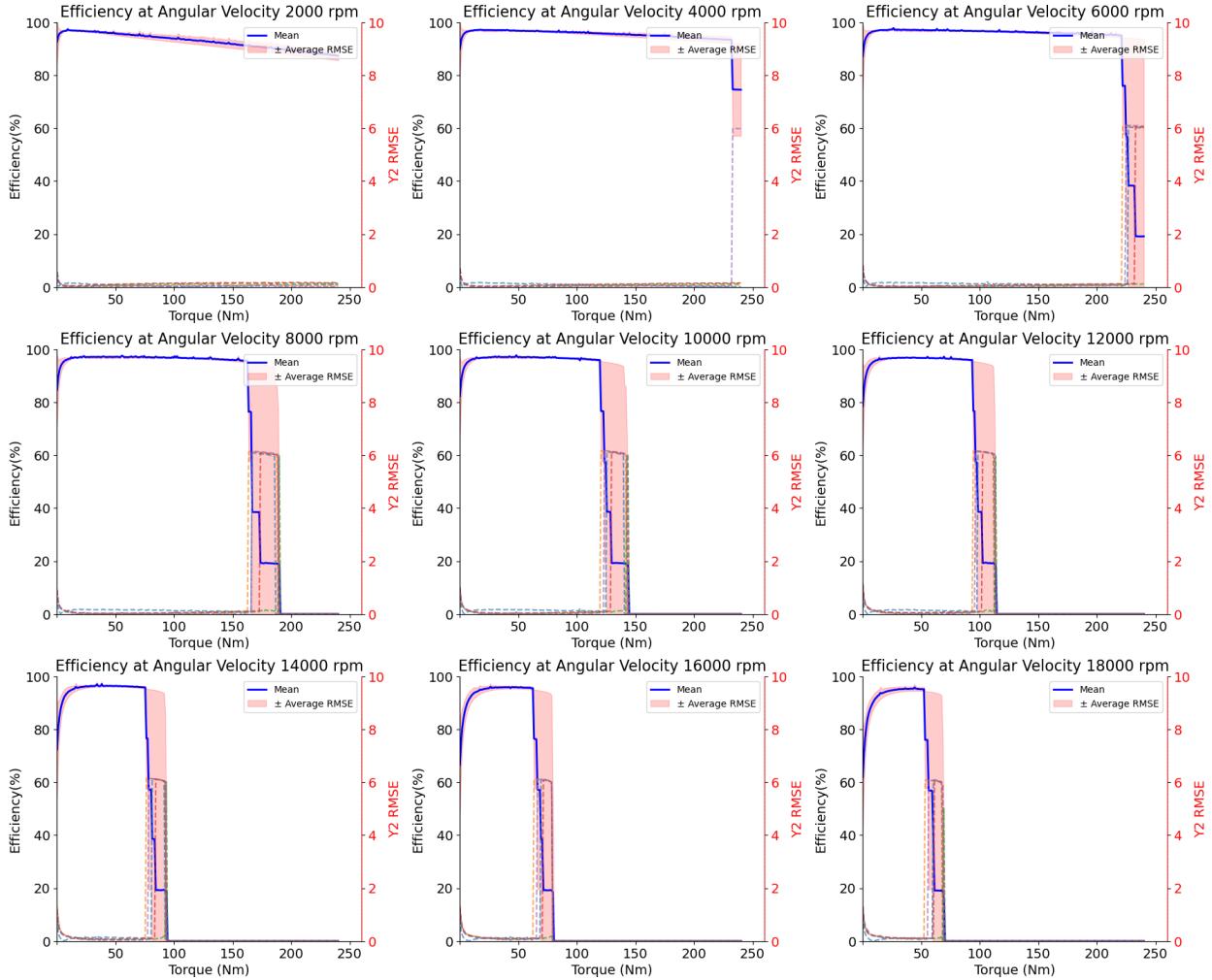
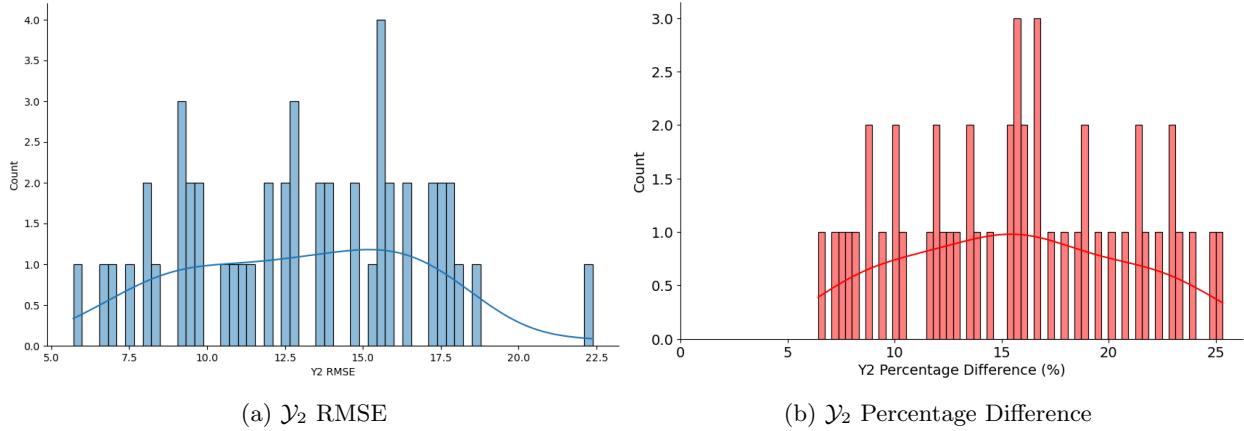


Figure 5.10: MLP RMSE Evaluation for Efficiency KPI

Fig. 5.11 shows both the Fig. 5.11a and Fig. 5.11b from Equations 4.13 and 5.2 as histogram plots over the entire test dataset with the count of samples on the y-axis. The histograms for both the figures are the same, nevertheless the intention is to be able to relate to the scores and percentage difference easily due to differing value ranges.

Figure 5.11: \mathcal{Y}_2 Evaluation Statistics of MLP

On an average the RMSE is close to 13, which is 13% deviation from the target values as per Table 5.2.

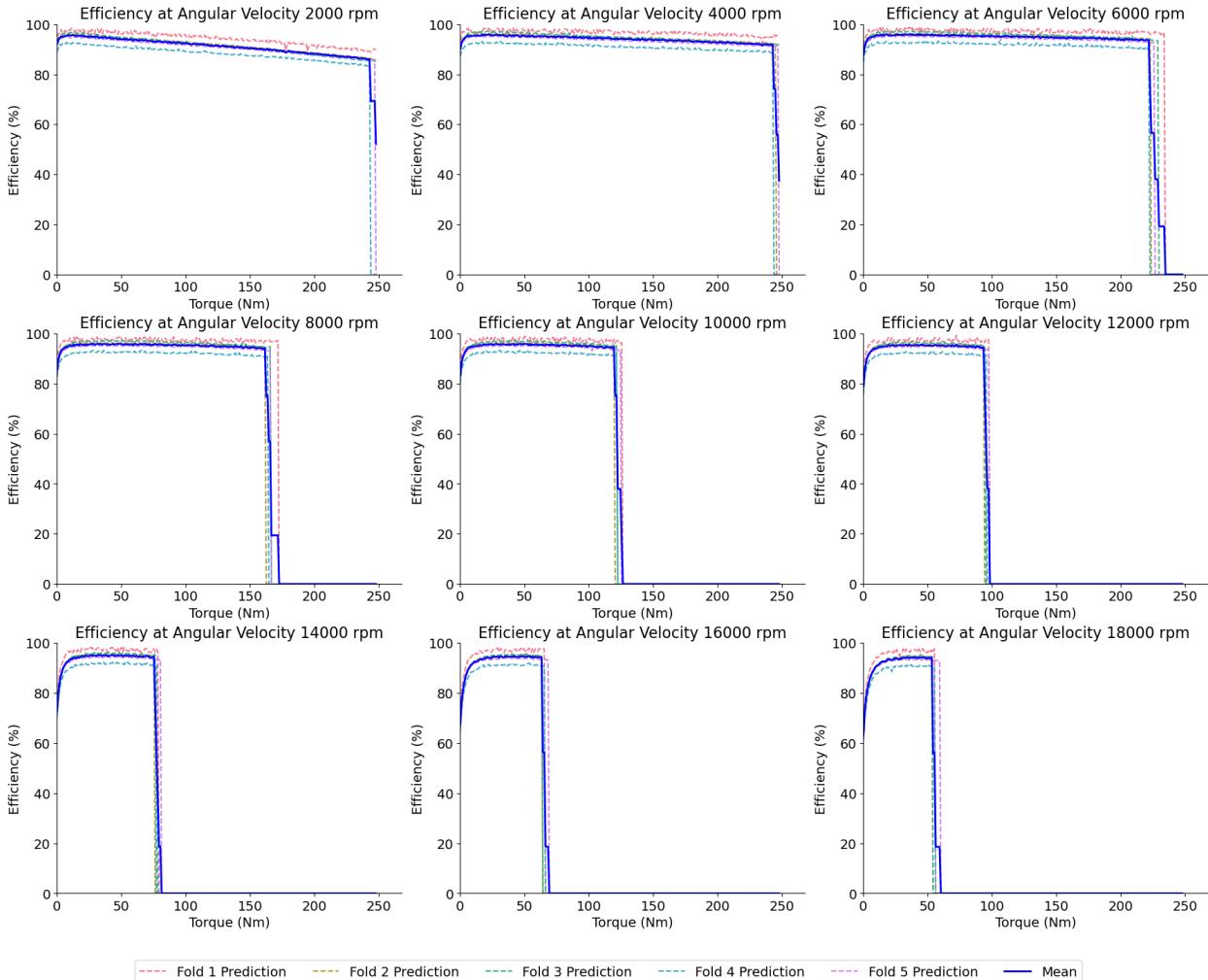


Figure 5.12: MLP Training Deviation across Folds for Efficiency KPI

Fig. 5.12 illustrates how each fold's prediction of efficiency deviates with the mean of the predictions

of all folds against different angular velocities. Once again, to overcome the limitation of not being able to evaluate for all angular velocities, the Figure hosts only 6 subplots for specific angular velocities. From visual inspection we can say the predictions are close enough to the mean of all the Folds predictions. This figure is a good indicator of the model's generalization performance and needless to mention we have used only 1 sample here for inference from the test dataset.

5.3 Results with Baseline

From our observations on how the predictions closely resembled that of the target values in Section 3.1.2 and 3.1.3, we have developed a Baseline model which is intrinsically the average of all samples of the train dataset.

5.3.1 Torque KPI Results with Baseline

The \mathcal{Y}_1 Baseline score for the Efficiency KPI is formulated in Equation 5.3 :

$$\mathcal{Y}_1 \text{ Baseline Score} = \frac{1}{n} \sum_{i=1}^n \sqrt{\underbrace{\frac{1}{h} \sum_{j=1}^h (\bar{y} - y_{ij})^2}_{\mathcal{Y}_1 \text{ Baseline RMSE}}} \quad (5.3)$$

where, \bar{y} is the Baseline Average mean, h is the columns of 1D vector, \mathcal{Y}_1 Baseline RMSE is the RMSE for each test sample.

Fig. 5.13 shows the Average RMSE and element wise RMSE for the test dataset performance with the Baseline Model. The figure is similar in structure as the Fig. 5.4, the distinction is in the values being displayed. herein, we show the mean of the predictions from Baseline Model and RMSE surrounding it for few samples from the test dataset. Additionally, in the twin y-axis, the RMSE of the Baseline predictions from its target is displayed in dotted lines.

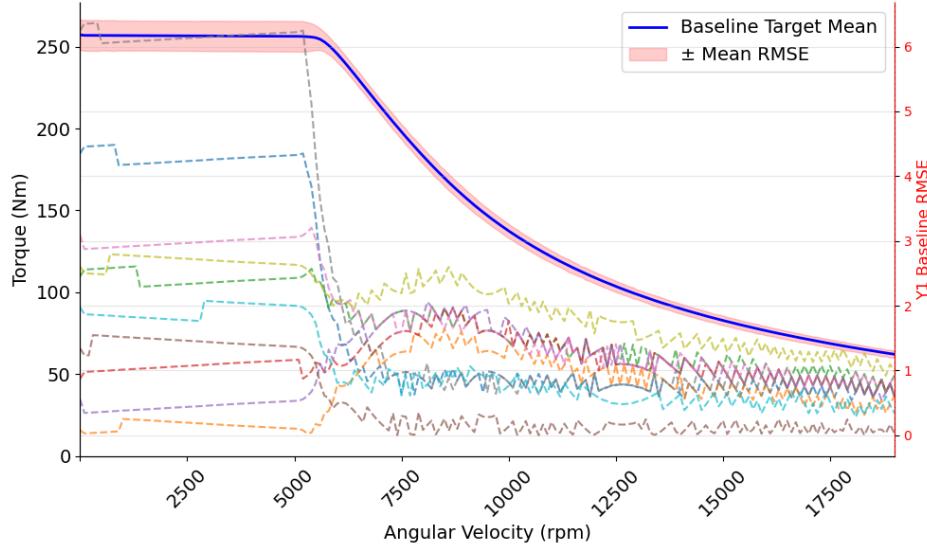


Figure 5.13: Baseline RMSE Evaluation for Torque KPI

We can see that the deviations are at its most towards the beginning of the curve which steadily decreases along the curve. We also observe that there are no fluctuations in the curve as compared to the Predictions from MLP model in Fig. 5.4.

5.3.2 Efficiency KPI Results with Baseline

The \mathcal{Y}_2 Baseline score for the Efficiency KPI is formulated in Equation 5.4 :

$$\mathcal{Y}_2 \text{ Baseline Score} = \frac{1}{n} \sum_{i=1}^n \sqrt{\underbrace{\frac{1}{w} \sum_{j=1}^w \sum_{k=1}^h (\bar{y} - y_{ijk})^2}_{\mathcal{Y}_2 \text{ Baseline RMSE}}} \quad (5.4)$$

where, w is the rows of 2D vector, h is the columns of 2D vector and \mathcal{Y}_2 Baseline RMSE is the RMSE for each test sample.

Fig. 5.14 gives a neat visualization of the Baseline Efficiency RMSE with its respective targets for certain angular velocities across the entire torque range. This figure is yet again similar in structure to the Fig. 5.10 however the efficiency values shown now are the predictions of the Baseline model. We infer from the plots that the targets have essentially the same efficiency values across the entire grid. We arrive at this conclusion from the fact that the only region where we see significant deviation is at the Efficiency KPI envelope and we attribute this pattern to stem from the corresponding Torque KPI's curve. Thus, the efficiency values are almost the same for more than 3/4th of the grid across all samples.

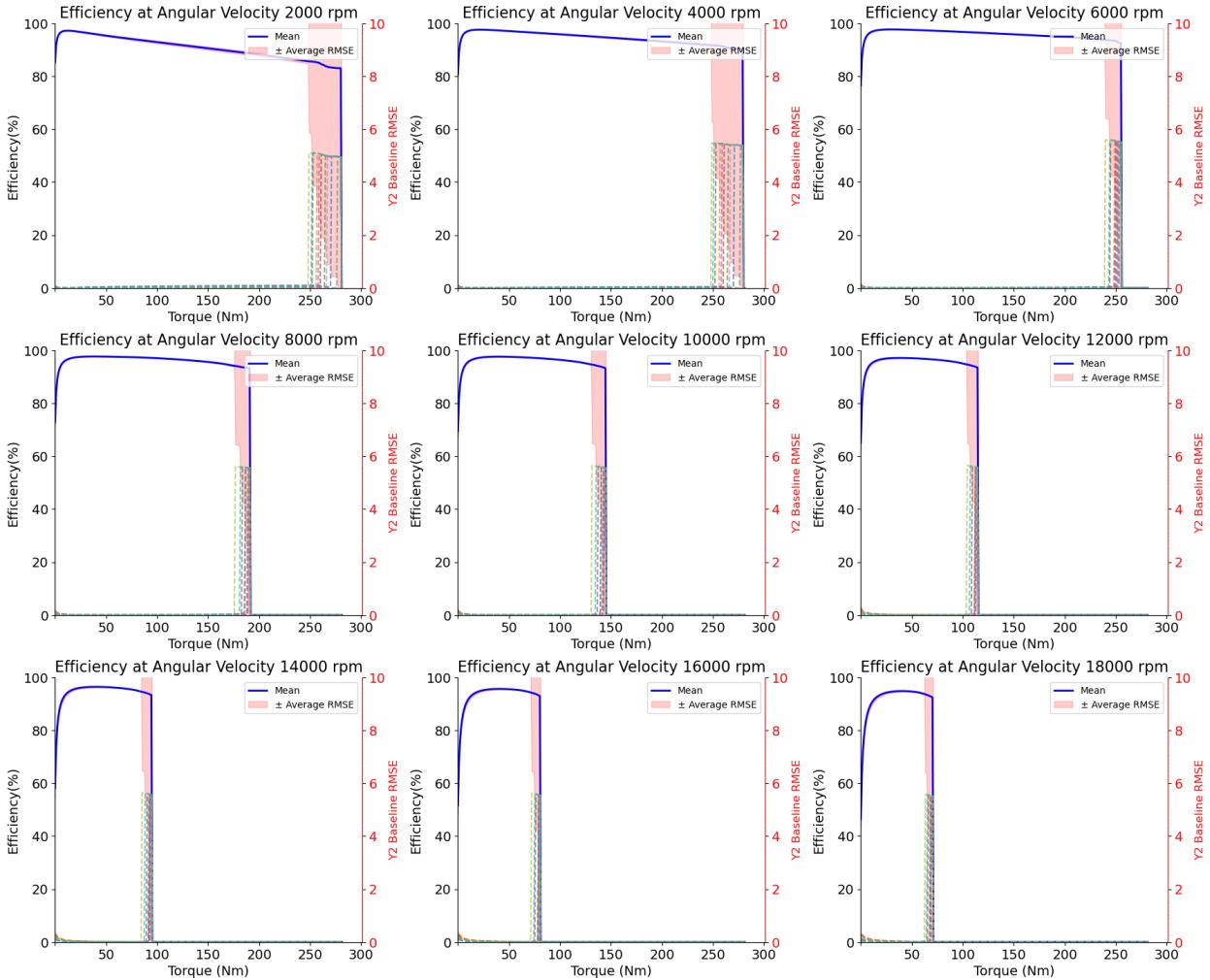


Figure 5.14: Baseline RMSE Evaluation for Efficiency KPI

5.4 Results with Smoothening Loss Regularization

5.4.1 Torque KPI Results with Smoothening Loss Regularization

Fig. 5.15 shows the Average RMSE and element wise RMSE for the test dataset performance with the MLP Model with Smoothening Curve regularization discussed in Equation 4.2. We can see that the deviations are at its peak towards the beginning of the curve. We also observe that there are fluctuations in the curve but relatively less when compared to Fig. 5.4.

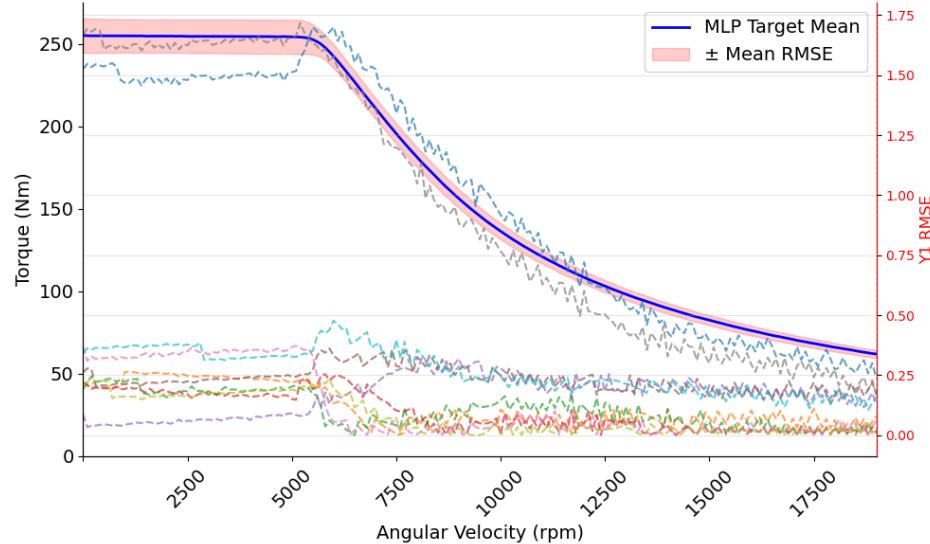


Figure 5.15: Smoothening curve RMSE Evaluation for Torque KPI

5.5 Results with No Loss Regularization

5.5.1 Torque KPI Results with No Loss Regularization

Fig. 5.16 shows the Average RMSE and element wise RMSE for the test dataset performance with the MLP Model without any regularizations.

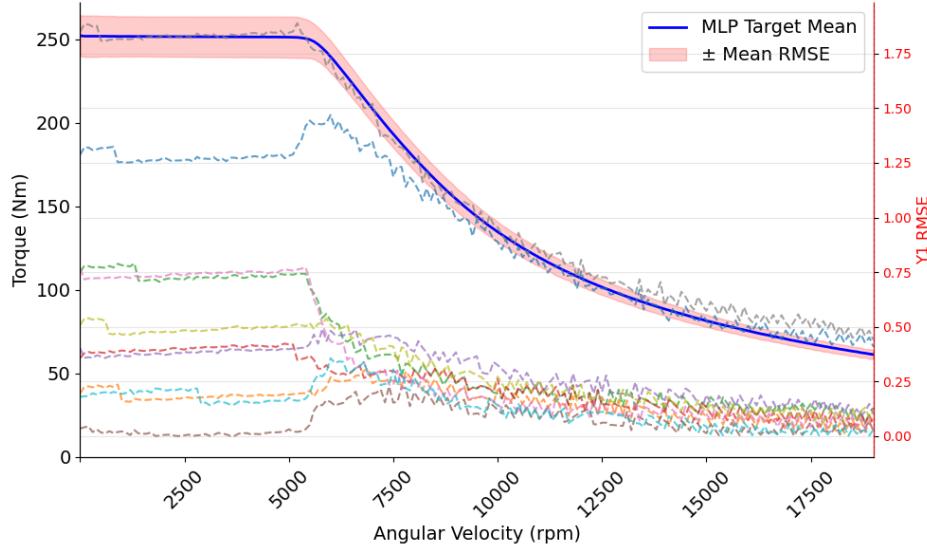


Figure 5.16: No Loss Regularization RMSE Evaluation for Torque KPI

We can see that the deviations are at its peak towards the beginning of the curve however the RMSE for most samples is close to negligible. We also note there are fewer fluctuations in the curve as compared to the Predictions with Loss Regularizations. This conclusion prompts us to omit the loss regularization for the Torque KPI.

5.5.2 Efficiency KPI Results with No Loss Regularization

We can clearly note the missing effect of loss regularization constraining maximum efficiency values in Fig. /reffig:No Loss Regularization Standard Deviation for Efficiency KPI across Angular Velocity Intervals at an angular velocity of 12000rpm.

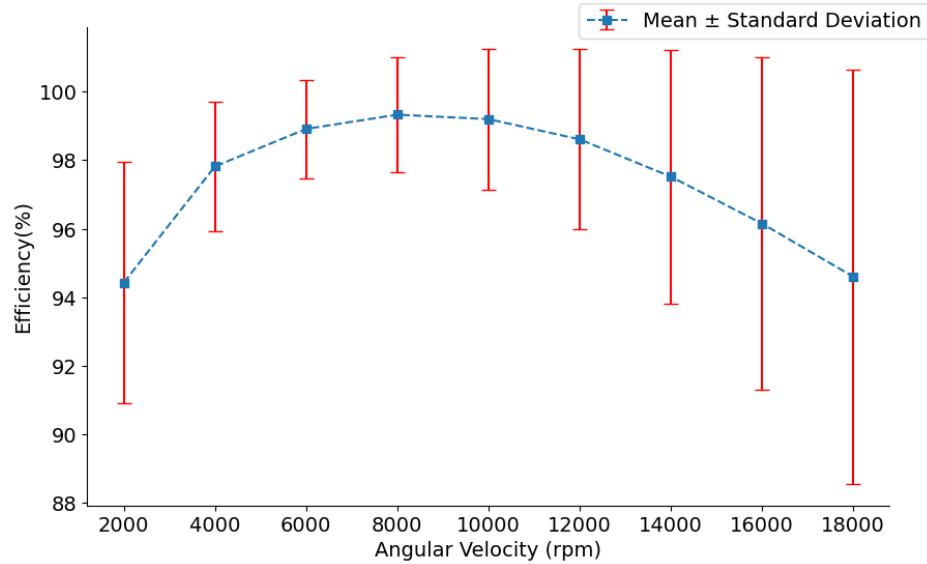


Figure 5.17: No Loss Regularization Standard Deviation for Efficiency KPI across Angular Velocity Intervals

Fig. 5.18 gives a neat visualization of the Baseline Efficiency RMSE with its respective targets for certain angular velocities across the entire torque range. The angular velocities are chosen at equal intervals of 2000

rpm. We remark similar patterns to the ones generated with loss regularization in place. This fuels us to also omit the loss regularizations for the Efficiency KPI.

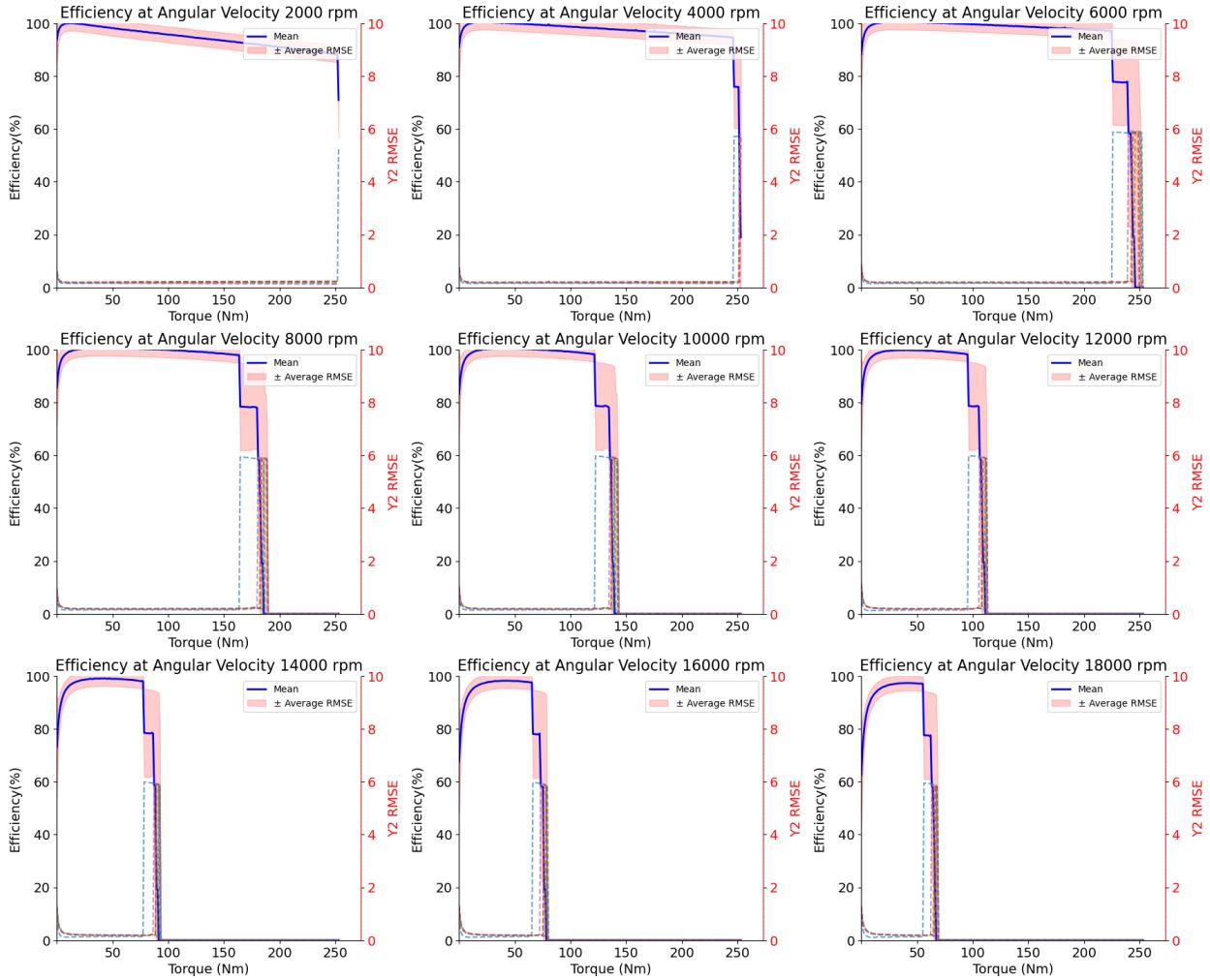


Figure 5.18: No Loss Regularization RMSE Evaluation for Efficiency KPI

5.6 Ablation Studies

We conduct comprehensive ablation studies on the MLP model with different loss regularizations and the Baseline model for both our targets.

Model	\mathcal{Y}_1 Score	\mathcal{Y}_2 Score
Baseline	4.8201	15.3708
MLP ^a	5.1213	14.099
MLP ^b	5.3795	13.8884
MLP ^c	3.3995	13.7342

Table 5.3: Ablation Studies

^aMLP With Decreasing \mathcal{Y}_1 Loss Regularization

^bMLP With Smoothening \mathcal{Y}_1 Loss Regularization

^cMLP without both \mathcal{Y}_1 and \mathcal{Y}_2 Loss Regularization

Results in Table 5.3 indicate that our MLP model without loss regularizations performance is in par with the Baseline model on the Torque KPI and has outperformed it on the Efficiency KPI. This could be because the regularizations are restrictive and discourage the model to learn better. The enriched ablation studies we undertook demonstrate the robustness of our method across varying hyperparameter settings. The inferences shown are strictly speaking from the Double V Magnet Topology which assumed the bulk of the data we had received.

5.7 Time Comparison

We also show a glimpse of the time conserved with surrogate modelling and relate both approaches how we have demonstrated in the flowchart in Fig. 1.6.

Approach	Time taken(minutes)	Hardware
Surrogate Modelling (Proposed Approach)	18.5 seconds	32 CPU Cores & 1 GPU
FEA simulations (Current Approach) ^a	5 minutes	1500 CPU Cores

Table 5.4: Compute Time Comparison

^aSource : Valeo

Considering the limitations in dataset, conducting thorough examinations of a substantial volume is typically unfeasible. Therefore, the primary purpose of the thesis is to study the possibility of modelling the KPIs predictions and our learnings from it.

We also bring to attention that the time of taking 5 minutes to generate KPIs per design using the Current Approach highlighted in Fig. 1.6 is only possible by running the simulator across High Performance Computing machines of nearly 1500 CPU cores in parallel. As the number of cores decrease the simulation would take minutes to hours to converge. Additionally, it is the FEA simulator in the block that is the culprit of consuming time. Another pointer to note is in reality the time needed to generate KPIs with Surrogate Modelling is in the range of milliseconds. However most time is utilized during the dataset creation in Data Preprocessing phase as reading the excel files to retrieve the tabular data takes up a significant chunk of time close to 18 seconds whereas only 5 seconds is required to generate the prediction and display both KPIs. The computing time of the ANN surrogate models varied between 50.3 to 68 ms/case, which makes the surrogates 2,911 to 2,154 times faster than the FE reference simulation, respectively. As opposed to FEA simulations, the time required to generate predictions for several motor designs is memory bound and computation is not so much of a bottleneck since we can potentially run both training and inference across multiple GPUs in parallel.

We have used Python 3.10.14 for our development and the Pytorch² Pytorch library compatible with Cuda. The model was trained on a NVIDIA Tesla V100 GPU with 32 GB Memory and the machine we used had 16 CPUs of the Intel(R) Xeon(R) Silver 4208 CPU @ 2.10GHz with allocated disk space of 50 GB for our experiments. Source code is available at [Github Repository](#)³.

²<https://pytorch.org/>

³<https://github.com/Lilly-25/Masters-Thesis>

Chapter 6

Graph Modelling

6.1 Introduction

Tabular representation of data in itself cannot account for the spatiality of an EM design especially on how its different components interact with one another. Therefore we presume modelling our usecase as a graph will be more reasonable so that we can exploit graph dynamics and aggregate features that are semantically similar. A graph can be composed of nodes and edges with their corresponding features in addition to the graph attributes. The graph attributes contain the information relevant to the graph as a whole whereas the node features captures the information of the node's role in the network and likewise the edge features captures the information of the edge's role in the network. Graphs also take into account their respective neighborhoods.

On the basis of the directionality of edges within graphs, they can have the following taxonomy: Ref. [18]:

1. Directed Graph - A graph in which the edges have a direction from source node to its target node.
2. Undirected Graph - A graph whose Adjacency matrix is symmetrical such that for each edge there will be its respective reverse edge in the opposite direction.

In addition to their ability to incorporate both entity features and network features into a single, simultaneously trained model, most GNNs scale linearly with the number of edges in the network, making them applicable to large networks. Owerko *et al.* demonstrate in Ref. [06] that power outages rely on sensors in the vicinity and can be attributed to weather conditions and so can be modelled using GNNs. A hallmark of GNNs is that they can efficiently learn the architecture parameters from the training data.

GNNs cannot be too deep with layers due to the oversmoothing problem. Oversmoothing is said to have occurred when the MP algorithm would have already traversed over all hops and made all node features indistinguishable from one another. Besides a deep GNN would have a large number of parameters and would be computationally expensive to train.

Researchers have broadly classified GNNs following 2 distinct paradigms:

1. Homogeneous GNN

Homogeneous GNN are designed for graphs with a single type of nodes and edges. MP is done for neighbouring nodes and edges over hops until it learns a representation equivalent from its neighbours. Homogeneous GNN are typically build to capture the structural information within a graph. These models are effective when the node and edge heterogeneity is negligible cited by the authors of Ref. [01].

2. Heterogeneous GNN

Heterogeneous GNN are designed for graphs with differing types of nodes and edges implying difference in features as well as dimensionality. The authors of Ref. [24] argue that each type of node and edge reveal unique semantic information. As a single function cannot cater to each type, hence different MP and node updating functions needs to be implemented for each edge and node type respectively. Therefore MP is conditioned on the node and edge type thus allowing the flow of information to be more controlled. In addition to the structural information, Heterogeneous GNNs also excel to capture semantic information within the graph.

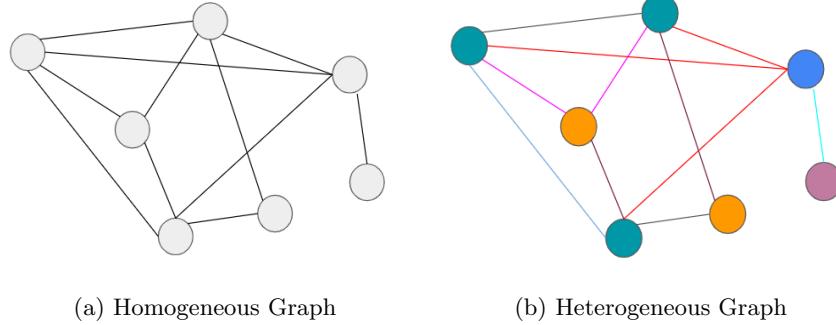


Figure 6.1: Graph Classification

Fig. 6.1a and Fig. 6.1b distinguishes the 2 graphs.

6.1.1 MP

GNNs in general function by making use of MP which is a recursive algorithm that aims to learn a representation vector for each node. MP is based on the graph structure and initial node features. Over each hop of successive neighborhoods in the graph, the information of neighboring nodes of a node are shared across as messages and aggregated and the initial node is recursively updated with this information. This continues until there are left no more nodes to traverse within the graph. Thus, at the end of the MP algorithm, each node in the graph would have a good understanding of the other nodes within the same graph. Therefore, the final representation will be rich enough to have captured all the information within the graph and can be used for downstream tasks.

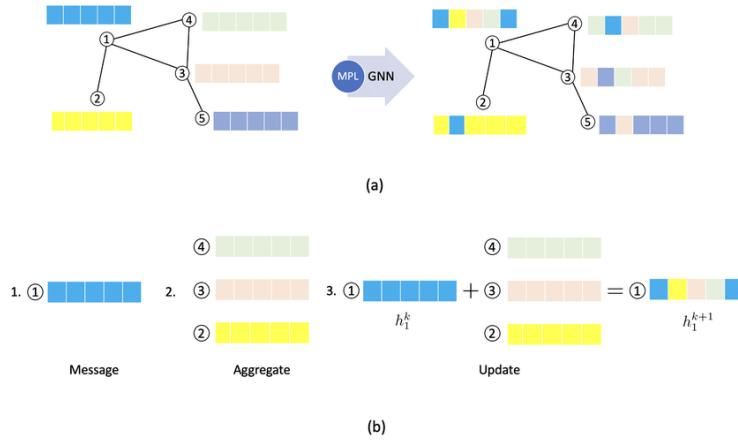


Figure 6.2: Message Passing in GNNs (Ref. [48])

Fig. 6.2 presents the MP working in GNNs.

$$h_i^{(k+1)} = \text{update}^{(k)} \left(h_i^{(k)}, \text{aggregate}^{(k)} \left(\{h_j^{(k)} \mid \forall j \in \mathcal{N}(i)\} \right) \right), \quad (6.1)$$

where $h_i^{(k)}$ is the current state at step k for node i , and $\mathcal{N}(i)$ represents the neighborhood of node i , consisting of the nodes that have a direct edge connection.

6.1.2 Applications

Some of the well known GNN tasks can be seen in Fig. 6.3 :

1. Graph Classification - Used for classifying graphs into different categories. Although our usecase is a Graph level Prediction, yet it is a graph multi-regression task.
2. Node Classification - A node's class is predicted based on its proximity with other nodes in its neighborhood. An application could be in Named Entity Recognition tasks for knowledge graphs.
3. Link Prediction - Predict whether there exists relationships between nodes in the graph. A common application is predicting drug treatment based on gene-protein interactions.
4. Community Detection - Classifies groups of nodes into clusters. One scenario of application is to detect mutual communities in a social network.
5. Graph Embedding - Embeds high dimensional graph into a lower dimensional space to be able to visually inspect it better. Visualizing huge corpus of text as knowledge graph in lower dimension, enables one to detect communities of topics and subtopics in each cluster.
6. Graph Generation - Generates synthetic graphs based on initial graph representation. An application we can relate to is the inverse formulation of our problem modelled as a graph.

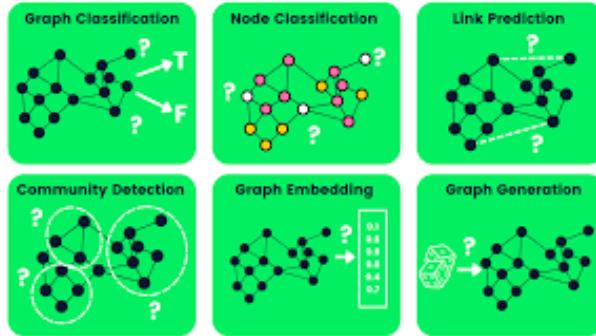


Figure 6.3: GNN Tasks (Source)

GNNs have several applications where data are generated from non-Euclidean domains and are represented as graphs with complex relationships and interdependency between objects Ref. [18]. These networks are generally represented by a giant graph in which case graph batching, splitting are unique. However our task requires us to build 1 graph each for each EM variant.

6.1.3 Case Study Structure

We organize the structure of the case study as follows: First, we provide an introduction on GNNs in general in Introduction. We then delve into finer details of the Heterogeneous GNNs in Section 6.2 and formulate definitions mathematically. Next, we review Literature done on Heterogeneous GNNs in Section 6.3. After which we present the methodology in Section 6.4 and share our view on how to create the heterogeneous graph for our usecase. Lastly, we wrap up with a brief discussion of the pros and cons of using heterogeneous GNNs in Section 6.5.

6.2 Heterogeneous GNN

Graphs are ubiquitously heterogeneous because of its capability to have different node and edge types on top of its inherent graph feature to abstract and model relations between objects. Heterogeneous GNNs also takes into account homophily wherein nodes close on a network have similar embeddings Ref. [26] this is in essence the structural property and is true for all GNNs. We largely adopt the commonly used notations from Ref. [05] and reformulate it slightly for our task.

Heterogeneous Networks

Given a directed graph $\mathcal{H} = (V, E, X, R, T_v, T_e, \phi, \psi)$.

The sets of possible node types can be represented as: $T_v = \{\phi(v) : \forall v \in V\}$ and the sets of possible edge types can be represented as: $T_e = \{\psi(e) : \forall e \in E\}$.

Each node $v \in V$ has a node type $\varphi(v) = \nu \in T_v$, where $\varphi(\cdot)$ is a node type mapping function. Furthermore, for $\varphi(v) = \nu$, v has features $x_v^\nu \in X^\nu$, where $X^\nu = \{x_v^\nu \mid v \in V, \varphi(v) = \nu\}$ and $X = \{X^\nu \mid \nu \in T_v\}$. The dimension and attributes of the node feature x_v^ν may be different for different node types ν .

Next, let us denote an edge e_{uv}^ε by its type $\varepsilon \in T_e$ pointing from node u to v . Each edge e_{uv}^ε has features $r_{uv}^\varepsilon \in R^\varepsilon$, where $R^\varepsilon = \{r_{uv}^\varepsilon \mid u, v \in V\}$ and $R = \{R^\varepsilon \mid \varepsilon \in T_e\}$. Similar to nodes, the edge features can have different dimensions and attributes for different edge types.

When $|T_v| = |T_e| = 1$, which implies there is only 1 node and edge type then the graph degenerates into a homogeneous graph Ref. [02].

Heterogeneous GNNs aim to learn a representation vector $\mathbf{h}_v^{(L)} \in \mathbb{R}^{d_L}$ for each node v after L -layer transformations, based on the graph structure and the initial node feature $\mathbf{h}_v^{(0)} \in \mathbb{R}^{d_0}$ Ref. [04].

Fig. 6.4 illustrates each of the below terminologies to relate better.

Metarelation

For an edge $e = (s, t)$ linking source node s to target node t , its meta relation is denoted as $\langle \varphi(s), \psi(e), \varphi(t) \rangle$. This is in essence the relation triple used commonly in Knowledge Graphs. Metarelations are most often used in knowledge graphs as it contains richer schema.

Metapath

Given a path $\mathcal{P} = T_{v1} \xrightarrow{T_{e1}} T_{v2} \xrightarrow{T_{e2}} \dots \xrightarrow{T_{ek}} T_{el}$, if the path describes the composition of edges by its types $T_{e1} \circ T_{e2} \circ \dots \circ T_{el}$ between nodes of type T_{v1} and T_{vl} and \circ denotes the composition operator then the path is called a metapath. The length of the metapath can be calculated from its magnitude i.e., l . The metapath is also the sequence of many metarelations. If all the combinations of nodes and edges in the graph are used, then the total number of metapaths would increase exponentially with the number of node and edge types. Hence, the reason to subselect only few metapaths that are significantly most relevant for the task at hand. Metapath selection requires domain knowledge to be able to choose those which are most semantically meaningful and let the Heterogeneous GNN use only these paths for learning the graph. Thus metapaths control the direction of message passing in a neural network.

Metapath-based Neighbors

Given a node v_1 and a meta-path \mathcal{P} in a heterogeneous graph, the neighbors $\mathcal{N}_{v_1}^{\mathcal{P}}$ of node v_1 based on the meta-path \mathcal{P} are defined as the set of nodes connected to v_1 via the meta-path \mathcal{P} .

Metapath-based Subgraph

Given a heterogeneous graph, \mathcal{H} with a metapath, \mathcal{P} , then the metapath-based subgraph, $\mathcal{H}_{\mathcal{P}}$, is defined as a graph consisting of all its metapath based neighbors. Moreover, $\mathcal{H}_{\mathcal{P}}$ degenerates to a homogeneous subgraph if \mathcal{P} is symmetric.

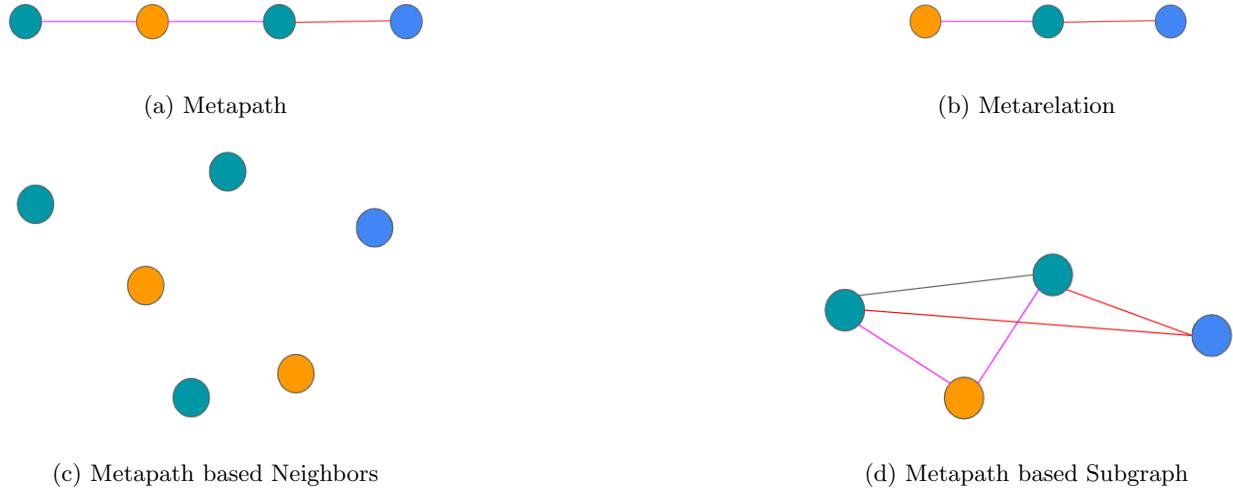


Figure 6.4: Heterogeneous GNN Terminologies

Heterogeneous GNN generally work by having separate non linear functions convolve over each edge type during message computation and over each node type when aggregating the learned information. The graph structure of G can be represented by a series of adjacency matrices $\{A_r : r \in T_e\}$. For each relation $r_{c_t c_s} \in R$, $A_{c_t c_s} \in \mathbb{R}^{|V_{c_t}| \times |V_{c_s}|}$ is the corresponding adjacency matrix where the nonzero values indicate positions of edges $E_{c_t c_s}$ of the current relation.

Metapaths are composite relationships between nodes that help to capture the structural information of heterogeneous graphs.

The Metapaths in Heterogeneous GNNs are divided into 2 streams:

1. Metapath based method

It strictly follows the metapaths defined for the network. Each metapath is assumed to be unique in terms of its semantic meaning, therefore this method aggregates information from each metapath before fusing information of other metapaths that are not so much semantically related.

2. Metapath free method

There are no metapaths defined for the network and so this method attempts to learn from the graph structure. It aggregates message from neighbourhood of the same hop for all node types and so captures both structural and semantic information simultaneously.

Multiple MP operations are done across different metapaths which are finally aggregated into a new representation for the node. Ref. [05]. Each metapath captures the proximity of nodes in a graph from a specific semantic view Ref. [26].

6.3 GNN Literature Review

We review the works of Heterogeneous GNN in this section.

6.3.1 Subgraphs

Existing works for instance Yu *et al.* in Ref. [21] model heterogeneous graphs by splitting the graph into multiple homogeneous subgraphs based on their node types each retaining an aspect of its heterogeneity. This is ineffective in exploiting hidden rich semantic associations between different types of edges for large scale multi-relational graphs. However Zhu *et al.* Ref. [20]'s work does not undertake this methodology and is also independent of metapaths making it effective in dealing with large number of complex relations.

One such work by Zou *et al.* in Ref. [24] proposes a heterogeneous GNN for node classification which converts the heterogeneous network into multiple semantic graphs from its defined metapaths. Attention

mechanism is leveraged to learn the weight of each of the subgraphs. The polynomial graph convolutional kernel is also described in this paper.

Guan *et al.* in Ref. [46] presents a methodology to learn a heterogeneous graph by decomposing it into homogeneous and heterogeneous subgraphs from its metapaths as opposed to symmetrical metapaths. The information from each subgraph is finally attention aggregated which would serve as the final representation of the model. This approach was undertaken because of the fear that symmetric metapaths ignore intermediary nodes resulting in node biased learning.

6.3.2 Metarelations

Modelling Heterogeneous Graphs with metapaths generally require domain specific knowledge as metapaths are customized. In order to combat this, Hu *et al.* in Ref. [23] has used meta relations instead and a unique adjacency matrix for each edge type.

Works by Melton *et al.* in Ref. [25] wherein they present a multilayer heterogeneous GNN also use metarelations to extract soft metapaths and does message passing over multi-hop neighborhood of nodes. Such meta relations are shallow embeddings and not as deep as the meta paths as was clarified by Yang *et al.* in Ref. [26].

Additionally Chana *et al.* in Ref. [01] has used heterogeneous GNNs to model the heterogeneity and sparsity in Electronic Health Records of patients. This work claims to learn the multi-task nature and also takes into account the relational features of the dataset which is arguably the most important factor in this domain for example past medical history. However we note that although this paper uses meta relations it does not factor in edge features.

6.3.3 Oversmoothing

Li *et al.* in Ref. [22] demystifies the Graph Convolutional Network (GCN) and addresses the Laplacian oversmoothing concern by self-training or co-training the model. Although it makes classification problem easier over multiple hops the features among different clusters will be the same. GCN achieves this by breaking down the heterogeneous graph into multiple homogeneous ones, one for each edge type. In each layer, GCN is applied to each homogeneous graph, and the resulting node embeddings are element-wise summed to form the final output. In Recurrent GCN, during message passing, neighbors under the same edge type will be aggregated and normalized first. A drawback of Recurrent GCN is that it does not take node heterogeneity into account.

Ref. [22] sheds light on the oversmoothing concern faced by GCN. GNNs often face a dilemma to balance between resisting over-smoothing and capturing long range dependencies within the network. Ahn *et al.* in Ref. [41] propose to mitigate oversmoothing by introducing skip connections between layers in the network to favor node specific embeddings. Similarly in Ref. [04], Lv *et al.* study existing heterogeneous GNNs and also cites preactivated residual connections can help resist over-smoothing from happening.

6.3.4 Applications

Most of the existing works demonstrated by Yang *et al.* in Ref. [26] also do not consider the edge features in their model. However Johannessen *et al.* in Ref. [05] uses edge features as transactions in a financial network to detect cases of money laundering. It also breaks down the large heterogeneous graph into subgraphs based on different node type-edge type combinations. The paper also brings to light that GraphSAGE does not incorporate the edge weights. However, the MP neural network framework applies a learned message-passing function that utilizes edge features.

Gilmer *et al.* in Ref. [27] introduces modelling for chemical prediction problems that makes it capable of learning features of molecular graphs and is invariant to graph isomorphism. Graph isomorphism is the property of graph being similar even when the ordering of nodes and edges are different. They also suggest that edge features in the network can be learned by introducing hidden states for all edges in the graph and updating them. Additionally, the writers has implemented a message passing network which factors in the edge types.

Bias Amplification is a known problem most prevalent in recommender systems where the model is biased to certain outputs due to the training data statistics. It can be handled much better when a graph is modelled as a heterogeneous graph as is shown in Ref. [03] this is because differing edge types capture varying types of relationships in the graph. The authors of this paper propose a heterogeneous GNN to predict the purchase probability of electric vehicles by modelling different characteristics such as charging infrastructure, branding, environmental factors, local policies among others in the form of a heterogeneous graph.

Dong *et al.* in Ref. [40] review pitfalls in Heterogeneous representation Learning. They also clarify that for metarelations connecting 2 different node types, its corresponding edge type will be unique so similarly connected nodetypes of other metarelations. Customizing metapaths could bias it to be task specific and is limited to discrete space. Alternatively Heterogeneous Graph Transformers can be used to learn the implicit metapaths first from the graph by using feature propagation across multiple layers in its neural network architecture to augment the original graph..TO BE CITED OR Not

6.3.5 Data Preprocessing Techniques

Yu *et al.* in Ref. [42] addresses the concern of featureless nodes by padding 0s, imputing the mean of neighboring nodes and using pretrained graph embeddings as initial features.

6.3.6 Metapaths

Xu *et al.* in Ref. [43] demonstrated a technique of splitting metapaths from inside out to be able to capture the semantic meaning as much as possible when developing a semi supervised explicit message passing heterogeneous GNN. This is particularly useful when the metapaths are too long and the intermediary paths within the metapaths are prioritized to be the newly created metapaths. Yang *et al.* in Ref. [02] conducted a study on building an efficient Heterogeneous GNN by using single layer longer metapaths rather than multilayer smaller metapaths to capture semantic information of the graph. To capture the structural information instead of repeated neighborhood attention, the authors propose to compute the mean aggregate of the neighborhood as part of a preprocessing step thus simplifying the heterogeneous GNN.

Gao *et al.* in Ref. [44] proposes an architercture search for heterogeneous GNNs to effectively choose best network architecture by using reinforcement learning. The authors of Ref. [45] propose a relevance measure to capture the sematics of nodes of different types in a heterogeneous graph based on context path bypassing the need of having semantically meaningfull metapaths. Context path is principally a path linking two nodes of the same type however the intermediary nodes in the path are auxillary nodes of different types. The nodes making up the context path are weighted with varying importance scores on the basis of their corresponding relevance measure.

6.4 EM Heterogeneous GNN Modelling

We find the Heterogeneous graph to be most apt for our use case with its different node and edge types as it preserves both the structural and semantics of our data. This property is crucial in modelling our use case as we will then have similar node types-edge types per topology. In contrast Homogeneous graphs would lead to suboptimal results as it cannot factor in the heterogeneity and thus the semantic nature of the usecase fully.

Given the EM data \mathcal{D} , our goal is to construct a heterogeneous graph \mathcal{H} from \mathcal{D} . Let $\mathcal{Y}_1, \mathcal{Y}_2$ on \mathcal{H} be the 2 KPIs we need to learn from \mathcal{D} . We aim to train a multi-task GNN model \mathcal{M} such that \mathcal{M} can deliver high performance on \mathcal{Y}_1 and \mathcal{Y}_2 .

6.4.1 EM Heterogeneous Graph Construction

Inspired by the promising advantages of Heterogeneous GNN, we took the effort of costructing the graph for only the Double V Magnet Topology. We construct the heterogeneous graph adaptable for each of the EM variants as a NetworkX¹ graph.

¹<https://networkx.org/>

1. Node Types

$$T_v = \{v, vm, r, s, sw\}$$

The node type mapping functions of each node type in the graph are as follows :

Node Type (ν)	Nodes (v^ν)	Node Features(X^ν)
Rotor Airgap (ν_v)	v11, v12, v21, v22	lmsov, lth1v, lth2v, r1v, r11v, r2v, r3v, r4v, rmt1v, rmt4v, rlt1v, rlt4v, hav, lmsov1, lth1v1, lth2v1, r1v1, r11v1, r2v1, r3v1, r4v1, rmt1v1, rmt4v1, rlt1v1, rlt4v1, hav1
Rotor Magnet (ν_{vm})	v1m1, v1m2, v2m1, v2m2	mbv, mhv, rmagv, mbv1, mhv1, rmagv1
Radius (ν_r)	rr, ra, o	0
Stator Poles (ν_s)	s1, s2, s3, s4, s5, s6	b_nng, b_nzk, b_s, h_n, h_s, r_sn, r_zk, r_ng
Stator Windings (ν_{sw})	s1w1, s1w2, s1w3, s1w4, s2w1, s2w2, s2w3, s2w4, s3w1, s3w2, s3w3, s3w4, s4w1, s4w2, s4w3, s4w4, s5w1, s5w2, s5w3, s5w4, s6w1, s6w2, s6w3, s6w4	bhp, hhp, rhp

Table 6.1: GNN Node Types

2. Edge Types

$$T_e = \{a, d1, d2, d4\}$$

The edge type mapping functions of each edge type in the graph are as follows:

Edge Type (ϵ)	Edges (e^ϵ)	Edge Features (R^ϵ)
Angular/Radian Edges (ϵ_a)	$e_{v1m1,v1m2}$ $e_{v2m1,v2m2}$	deg_phiv1 deg_phiv2
Distance Edge with 1 feature (ϵ_{d1})	$e_{v21,rr}, e_{v22,rr}$ $e_{rr,s1}, e_{rr,s2}, e_{rr,s3}, e_{rr,s4}, e_{rr,s5}, e_{rr,s6}$ $e_{s1,s1w1}, e_{s1,s1w2}, e_{s1,s1w3}, e_{s1,s1w4},$ $e_{s2,s2w1}, e_{s2,s2w2}, e_{s2,s2w3}, e_{s2,s2w4},$ $e_{s3,s3w1}, e_{s3,s3w2}, e_{s3,s3w3}, e_{s3,s3w4},$ $e_{s4,s4w1}, e_{s4,s4w2}, e_{s4,s4w3}, e_{s4,s4w4},$ $e_{s5,s5w1}, e_{s5,s5w2}, e_{s5,s5w3}, e_{s5,s5w4},$ $e_{s6,s6w1}, e_{s6,s6w2}, e_{s6,s6w3}, e_{s6,s6w4}$ $e_{s1w1,s1w2}, e_{s1w2,s1w3}, e_{s1w3,s1w4},$ $e_{s2w1,s2w2}, e_{s2w2,s2w3}, e_{s2w3,s2w4},$ $e_{s3w1,s3w2}, e_{s3w2,s3w3}, e_{s3w3,s3w4},$ $e_{s4w1,s4w2}, e_{s4w2,s4w3}, e_{s4w3,s4w4},$ $e_{s5w1,s5w2}, e_{s5w2,s5w3}, e_{s5w3,s5w4},$ $e_{s6w1,s6w2}, e_{s6w2,s6w3}, e_{s6w3,s6w4}$ $e_{o,ra}$	dsrv2 airgap dhphp dhpng r_a
Distance Edge with 2 features (ϵ_{d2})	$e_{v11,v12}, e_{v21,v22}$ $e_{v11,rr}, e_{v12,rr}$ $e_{o,rr}$	dsmv1, dsmuv1 amtrv1, dsrv1 $r_i - \text{airgap}$
Distance Edge with 4 features (ϵ_{d4})	$e_{v11,v1m1}, e_{v12,v1m2}$ $e_{v21,v2m1}, e_{v22,v2m2}$ $e_{s1,ra}, e_{s2,ra}, e_{s3,ra}, e_{s4,ra}, e_{s5,ra},$ $e_{s6,ra}$	lmav1, lmiv1, lmov1, lmuv1 lmav2, lmiv2, lmov2, lmuv2 $r_a - (r_i + h_n, h_z k)$

Table 6.2: GNN Edge Types

We define the meta relations as well for each of the edge type.

Fig. 6.5 shows the heterogeneous graph we constructed

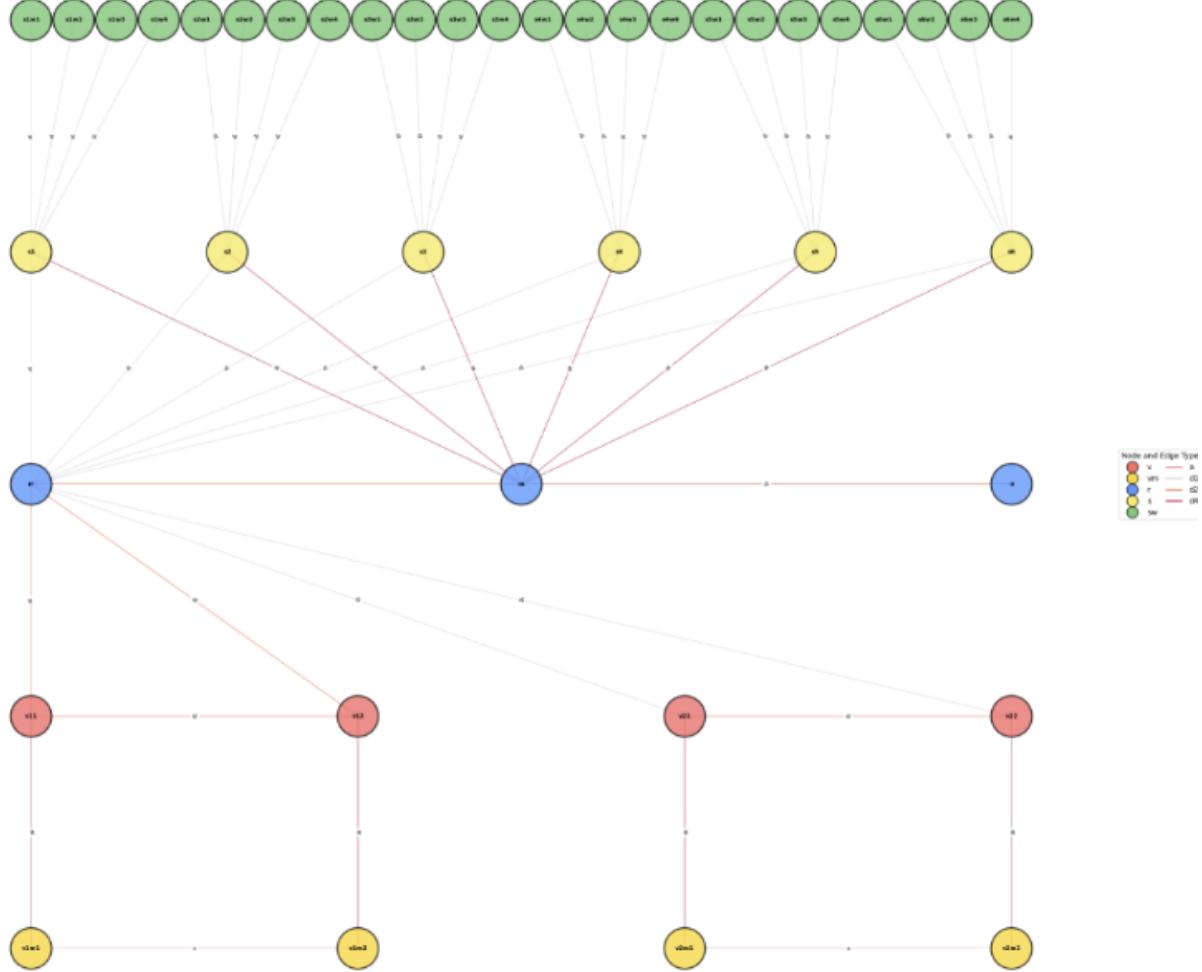


Figure 6.5: HetGraph

The count of certain parameters within the motor such as stator poles with its corresponding stator windings and rotor magnets is made more comprehensible to the model having new nodes and edges whereas for the MLP architecture this information is represented as yet another number in a separate column. By leveraging the relational features introduced by the meta relations, we can obtain a good graph representation of the data. We then learn the constructed heterogeneous graph through a heterogeneous GNN model.

Furthermore, we presume graph representation of the data will be more logical than tabular representation due to its ability to grasp the connections within the EM structural properties better. This would be even more realistic with achieving topology invariance than the tabular representation and conserve memory and compute in the long run. However, we realize that our problem cannot be solved using Homogeneous GNN which is relatively simpler and is built on a single node and edge type. In order to model our problem as a graph, we need to represent it as a Heterogeneous graph.

GNNs are relatively more expressive models as they can capture the variability and complexity of our data better. However, GNN in general have not been to less explored even so more the heterogeneous GNN. Particularly in the scenario of EM Modelling, there has been no publications with GNNs. Hence the need to check its feasibility and its performance with our benchmarks on tabular data. Additionally, existing Heterogeneous GNNs works for example on recommendation networks, academic networks, information networks, social networks and other applications involves one large graph with multiple node and edge types. However, our problem involves creation of multiple heterogeneous graphs ie, 1 per EM variant. Therefore, the applicability of Heterogeneous GNNs for our problem is to be seen.

Our thesis is loosely inspired from Ref. [05] which has strived to extend MP into Heterogeneous GNNs.

6.5 Summary

We are faced with a dilemma most common when designing Heterogeneous GNNs with predefined Metapaths. The challenge is to choose whether we want to represent each component of the EM as dedicated nodes and edges to preserve the semantics of the data and be more flexible across topologies or to define reasonably sized metapaths. As the nodes and edges increases, the metapath length exponentially increases. This makes computation tricky since for each metapath, the MP is done across the nodes and edges its composed of. As we donot have enough domain knowledge in EM modelling, our metapaths comprised of the stator , rotor and the its combination components.

Chapter 7

Conclusion

7.1 General Discussion

This thesis offers a fresh outlook to the possibility of modelling the performance of an EM using GNNs. We have developed a topology invariant MLP model to predict 2 KPI's of an EM from its parametric requirements. It would be very beneficial to EM manufacturers to understand the operating range of the vehicle using the motor and make calculated assumptions of whether to manufacture it.

This work enables EM designers to generate KPI's at almost both negligible costs and minimal time. Thus offering an escape from the cons of using FEA simulations. It also exhibits almost close accuracy to FEA simulations with significantly better run time efficiency PROVE

It also lays the foundation for future work on being able to generate electric motor design parameters conditioned on the 2 KPIs we predicted. We envision that our study will provide new perspectives to researchers engaging in the field of electric motor design and hope our contribution will aid in the same. Alternatively we could also build 2 models one for each KPI and thus feed in the dependent predictions when training the latter. However, it would be computationally expensive and does not help in the scenario when we might need to generate EM parametric descriptions. Additionally we deemed it unnecessary as the dimensions of the Efficiency grid vary with the Torque curve and not necessarily the Efficiency values.

7.2 Future Improvements

I would suggest the following improvements to our study :

1. Build a model that uses the Torque KPI prediction to predict its corresponding Efficiency KPI.
2. Although we have designed the model to be topology invariant for 3 topologies, we only had sufficient data from Double V Topology to draw evaluations from. Further evaluations on the other topologies would be beneficial to critically assess our model's performance.
3. Another interesting study would be to benchmark model performance when one backpropagates the losses for each KPI individually rather than weighing them.
4. Additionally, the motivation of building a topology invariant model was the reason we have considered building a heterogeneous graph to model the data. The machinery is elaborated in Section 6.4. Such a model could serve as yet another ablation study to our problem.

Appendix A

Appendix

Supplementary material to the thesis.

A.1 Dataset Supplementary Information

A.1.1 File structure

Among the excel files shared by Valeo per EM variant, table A.1 summarizes the sheets of interest to us. This is helpful for reproducing the experiments, visualizing the Figures 1.4 and 1.5 and for carrying out further analysis with the same dataset in the future.

Sheet	Sheet Name	Plotting Axis	Unit	Description
Motor Parameters	input_data	-	mm, °	Includes geometric, physical and simulation properties.
Speed Grid	NN	x-axis	rpm	Used for plotting the Torque KPI and Efficiency KPI.
Torque Grid	MM	y-axis	Nm	Used for plotting the Efficiency KPI.
Efficiency Grid	ETA	z-axis	-	Has the same dimensions as in NN and MM.
Torque Curve	Mgrenz	y-axis	Nm	Has the same columns as in NN.

Table A.1: Excel File Structure of an EM variant

A.1.2 Data Summary Statistics

Parameter	Unit	Mean	Standard Deviation	Value Range	Single V Mag- net Topol- ogy	Double V Mag- net Topol- ogy	Nabla Mag- net Topol- ogy
General Parameters							
N	-	4	0	4 – 4	✓	✓	✓
simQ	-	6	0	6 – 6	✓	✓	✓
r_a	mm	9×10^{-2}	2.5×10^{-15}	$9 \times 10^{-2} - 9 \times 10^{-2}$	✓	✓	✓

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Table A.2 – continued from previous page

Parameter	Unit	Mean	Standard Deviation	Value Range	Single V Magnet Topology	Double V Magnet Topology	Nabla Magnet Topology
r.i	mm	6.4433×10^{-2}	9.02×10^{-4}	$6.4 \times 10^{-2} - 6.7 \times 10^{-2}$	✓	✓	✓
Rotor Parameters							
rad.phiv2	rad	-0.5	6.36×10^{-2}	-0.6 – 0	✗	✓	✗
lmsov2	mm	-2.8×10^{-4}	3.5×10^{-5}	$-3 \times 10^{-4} - 0$	✗	✓	✗
lth1v2	mm	5.39×10^{-3}	3.5×10^{-4}	$0 - 5.45 \times 10^{-3}$	✗	✓	✗
lth2v2	mm	2.78×10^{-3}	1.7×10^{-4}	$0 - 2.8 \times 10^{-3}$	✗	✓	✗
r1v2	mm	2.09×10^{-3}	3.22×10^{-4}	$0 - 2.2 \times 10^{-3}$	✗	✓	✗
r11v2	mm	3.26×10^{-4}	4×10^{-5}	$0 - 6 \times 10^{-4}$	✗	✓	✗
r2v2	mm	1.8×10^{-3}	1.33×10^{-4}	$0 - 1.9 \times 10^{-3}$	✗	✓	✗
r3v2	mm	6.97×10^{-4}	4.4×10^{-5}	$0 - 7 \times 10^{-4}$	✗	✓	✗
r4v2	mm	7.47×10^{-4}	4.8×10^{-5}	$0 - 7.5 \times 10^{-4}$	✗	✓	✗
rmt1v2	mm	2.49×10^{-4}	1.6×10^{-5}	$0 - 2.5 \times 10^{-4}$	✗	✓	✗
rmt4v2	mm	2.49×10^{-4}	1.6×10^{-5}	$0 - 2.5 \times 10^{-4}$	✗	✓	✗
rlt1v2	mm	1.85×10^{-4}	4.5×10^{-5}	$0 - 2 \times 10^{-4}$	✗	✓	✗
rlt4v2	mm	2.49×10^{-4}	1.6×10^{-5}	$0 - 2.5 \times 10^{-4}$	✗	✓	✗
hav2	mm	4.9×10^{-3}	3.36×10^{-4}	$0 - 5 \times 10^{-3}$	✗	✓	✗
mbv2	mm	1.7×10^{-2}	1.17×10^{-3}	$0 - 1.8 \times 10^{-2}$	✗	✓	✗
mhv2	mm	3.6×10^{-3}	2.65×10^{-4}	$0 - 3.8 \times 10^{-3}$	✗	✓	✗
rmagv2	mm	4.98×10^{-4}	3.2×10^{-5}	$0 - 5 \times 10^{-4}$	✗	✓	✗
dsmv2	mm	2.9×10^{-3}	1.9×10^{-4}	$0 - 3.1 \times 10^{-3}$	✗	✓	✗
dsmuv2	mm	2.9×10^{-3}	1.9×10^{-4}	$0 - 3.1 \times 10^{-3}$	✗	✓	✗
amtrv2	mm	1.58×10^{-2}	1.024×10^{-3}	$0 - 1.6 \times 10^{-2}$	✗	✓	✗
dsrv2	mm	9.96×10^{-4}	6.4×10^{-5}	$0 - 1 \times 10^{-3}$	✗	✓	✗
lmav2	mm	1×10^{-4}	3×10^{-5}	$0 - 1.1 \times 10^{-4}$	✗	✓	✗
lmiv2	mm	1.09×10^{-4}	8×10^{-6}	$0 - 1.10 \times 10^{-4}$	✗	✓	✗
lmov2	mm	5.5×10^{-5}	1.5×10^{-5}	$0 - 1 \times 10^{-4}$	✗	✓	✗
lmuv2	mm	1.45×10^{-4}	10	$0 - 1.5 \times 10^{-4}$	✗	✓	✗
rad.phiv1	rad	-6.9×10^{-1}	5.4×10^{-2}	$-7.8 \times 10^{-1} - 4.5 \times 10^{-1}$	✓	✓	✓
lmsov1	mm	-5.01×10^{-4}	9.4×10^{-5}	$-5.3 \times 10^{-4} - 5 \times 10^{-4}$	✓	✓	✓
lth1v1	mm	2.8×10^{-3}	1.7×10^{-4}	$2.8 \times 10^{-3} - 5.45 \times 10^{-3}$	✓	✓	✓
lth2v1	mm	2.104×10^{-3}	5.9×10^{-5}	$2.1 \times 10^{-3} - 3.2 \times 10^{-3}$	✓	✓	✓
r1v1	mm	4.07×10^{-4}	1.08×10^{-4}	$4 \times 10^{-4} - 2.2 \times 10^{-3}$	✓	✓	✓
r11v1	mm	2.19×10^{-4}	4.5×10^{-5}	$1 \times 10^{-4} - 6 \times 10^{-4}$	✓	✓	✓
r2v1	mm	2.16×10^{-4}	1×10^{-4}	$2 \times 10^{-4} - 1.9 \times 10^{-3}$	✓	✓	✓
r3v1	mm	8.99×10^{-4}	1.3×10^{-5}	$7 \times 10^{-4} - 9 \times 10^{-4}$	✓	✓	✓
r4v1	mm	5.01×10^{-4}	1.6×10^{-5}	$5 \times 10^{-4} - 7.5 \times 10^{-4}$	✓	✓	✓
rmt1v1	mm	2.5×10^{-4}	8.8×10^{-18}	$2.5 \times 10^{-4} - 2.5 \times 10^{-4}$	✓	✓	✓
rmt4v1	mm	2.5×10^{-4}	8.8×10^{-18}	$2.5 \times 10^{-4} - 2.5 \times 10^{-4}$	✓	✓	✓
rlt1v1	mm	1.17×10^{-4}	5.6×10^{-5}	$5 \times 10^{-5} - 2.5 \times 10^{-4}$	✓	✓	✓

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Table A.2 – continued from previous page

Parameter	Unit	Mean	Standard Deviation	Value Range	Single V Magnet Topology	Double V Magnet Topology	Nabla Magnet Topology
rlt4v1	mm	2.5×10^{-4}	8.8×10^{-18}	$2.5 \times 10^{-4} - 2.5 \times 10^{-4}$	✓	✓	✓
hav1	mm	2.9×10^{-3}	1.36×10^{-4}	$2.9 \times 10^{-3} - 5 \times 10^{-3}$	✓	✓	✓
mbv1	mm	7.6×10^{-3}	5.8×10^{-4}	$7.5 \times 10^{-3} - 1.8 \times 10^{-2}$	✓	✓	✓
mhv1	mm	2.8×10^{-3}	1.4×10^{-4}	$2.7 \times 10^{-3} - 5 \times 10^{-3}$	✓	✓	✓
rmagv1	mm	5×10^{-4}	1.7×10^{-17}	$5 \times 10^{-4} - 5 \times 10^{-4}$	✓	✓	✓
dsmv1	mm	1.07×10^{-3}	1.41×10^{-4}	$8 \times 10^{-4} - 2.8 \times 10^{-3}$	✓	✓	✓
dsmuv1	mm	1.07×10^{-3}	1.47×10^{-4}	$8 \times 10^{-4} - 2.92 \times 10^{-3}$	✓	✓	✓
amtrv1	mm	5.5×10^{-3}	6.34×10^{-4}	$5.5 \times 10^{-3} - 1.9 \times 10^{-2}$	✓	✓	✓
dsrv1	mm	7.5×10^{-4}	3.2×10^{-5}	$7.5 \times 10^{-4} - 1.25 \times 10^{-3}$	✓	✓	✓
lmav1	mm	9.2×10^{-5}	2.6×10^{-5}	$1 \times 10^{-5} - 1.1 \times 10^{-4}$	✓	✓	✓
lmiv1	mm	1×10^{-4}	6.35×10^{-7}	$1 \times 10^{-4} - 1.1 \times 10^{-4}$	✓	✓	✓
lmov1	mm	5.5×10^{-5}	1.5×10^{-5}	$5 \times 10^{-5} - 1 \times 10^{-4}$	✓	✓	✓
lmuv1	mm	1.45×10^{-4}	1.5×10^{-5}	$1 \times 10^{-4} - 1.5 \times 10^{-4}$	✓	✓	✓
rad_phi3b1	rad	-2.5×10^{-3}	5.6001	$\times -1.25 - 0$	✗	✗	✓
rad_phi4b1	rad	-5.3×10^{-4}	1.1775	$\times -0.26 - 0$	✗	✗	✓
lmsob1	mm	2×10^{-6}	3.4×10^{-5}	$0 - 7.5 \times 10^{-4}$	✗	✗	✓
lthb1	mm	6×10^{-6}	1.28×10^{-4}	$0 - 2.9 \times 10^{-3}$	✗	✗	✓
r2b1	mm	2×10^{-6}	4.5×10^{-5}	$0 - 1 \times 10^{-3}$	✗	✗	✓
r3b1	mm	2×10^{-6}	3.4×10^{-5}	$0 - 1 \times 10^{-3}$	✗	✗	✓
r4b1	mm	5.06×10^{-7}	1.1×10^{-5}	$0 - 2.5 \times 10^{-4}$	✗	✗	✓
r5b1	mm	5.06×10^{-7}	1.1×10^{-5}	$0 - 2.5 \times 10^{-4}$	✗	✗	✓
lgr3b1	mm	1×10^{-6}	2.2×10^{-5}	$0 - 5 \times 10^{-4}$	✗	✗	✓
lgr4b1	mm	6.07×10^{-7}	1.3×10^{-5}	$0 - 3 \times 10^{-4}$	✗	✗	✓
mbb1	mm	3×10^{-5}	6.75×10^{-4}	$0 - 1.5 \times 10^{-2}$	✗	✗	✓
mhb1	mm	6×10^{-6}	1.4×10^{-4}	$0 - 3.2 \times 10^{-3}$	✗	✗	✓
mtbb1	mm	3×10^{-5}	6.75×10^{-4}	$0 - 1.5 \times 10^{-2}$	✗	✗	✓
rmagb1	mm	1×10^{-6}	2.2×10^{-5}	$0 - 5 \times 10^{-4}$	✗	✗	✓
amtrb1	mm	4×10^{-6}	9.8×10^{-5}	$0 - 2.5 \times 10^{-3}$	✗	✗	✓
dsr3b1	mm	3×10^{-6}	6.6×10^{-5}	$0 - 1.85 \times 10^{-3}$	✗	✗	✓
dsr4b1	mm	4×10^{-6}	8.3×10^{-5}	$0 - 1.85 \times 10^{-3}$	✗	✗	✓
lmob1	mm	2.02×10^{-7}	4.49×10^{-6}	$0 - 1 \times 10^{-4}$	✗	✗	✓
lmub1	mm	3.03×10^{-7}	6.7×10^{-6}	$0 - 1.5 \times 10^{-4}$	✗	✗	✓
lmsub1	mm	4×10^{-6}	8.1×10^{-5}	$0 - 1.8 \times 10^{-3}$	✗	✗	✓
Stator Parameters							
airgap	mm	1×10^{-3}	3.5×10^{-17}	$1 \times 10^{-3} - 1 \times 10^{-3}$	✓	✓	✓
b_nng	mm	5.04×10^{-3}	9.1×10^{-5}	$4.6 \times 10^{-3} - 5.1 \times 10^{-3}$	✓	✓	✓
b_nzk	mm	4.5×10^{-3}	5.7×10^{-5}	$4.45 \times 10^{-3} - 4.6 \times 10^{-3}$	✓	✓	✓

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Table A.2 – continued from previous page

Parameter	Unit	Mean	Standard Deviation	Value Range	Single V Magnet Topology	Double V Magnet Topology	Nabla Magnet Topology
b_s	mm	1×10^{-3}	2.5×10^{-5}	$1 \times 10^{-3} - 1.4 \times 10^{-3}$	✓	✓	✓
h_n	mm	1.1×10^{-2}	8.06×10^{-4}	$9.2 \times 10^{-3} - 1.39 \times 10^{-2}$	✓	✓	✓
h_s	mm	1×10^{-3}	3.5×10^{-17}	$1 \times 10^{-3} - 1 \times 10^{-3}$	✓	✓	✓
r_sn	mm	2.5×10^{-4}	8.8×10^{-18}	$2.5 \times 10^{-4} - 2.5 \times 10^{-4}$	✓	✓	✓
r_zk	mm	5.01×10^{-4}	1.9×10^{-5}	$5 \times 10^{-4} - 8 \times 10^{-4}$	✓	✓	✓
r_ng	mm	5.01×10^{-4}	1.9×10^{-5}	$5 \times 10^{-4} - 8 \times 10^{-4}$	✓	✓	✓
h_zk	mm	1×10^{-3}	3.5×10^{-17}	$1 \times 10^{-3} - 1 \times 10^{-3}$	✓	✓	✓
bhp	mm	3.7×10^{-3}	8.1×10^{-5}	$3.5 \times 10^{-3} - 3.8 \times 10^{-3}$	✓	✓	✓
hhp	mm	2.38×10^{-3}	1.9×10^{-4}	$1.9 \times 10^{-3} - 2.8 \times 10^{-3}$	✓	✓	✓
rhp	mm	6.36×10^{-4}	4.5×10^{-5}	$5 \times 10^{-4} - 8 \times 10^{-4}$	✓	✓	✓
dhpfp	mm	2.6×10^{-4}	1.4×10^{-5}	$2.6 \times 10^{-4} - 4.8 \times 10^{-4}$	✓	✓	✓
dhpng	mm	4.06×10^{-4}	3×10^{-6}	$4.06 \times 10^{-4} - 4.5 \times 10^{-4}$	✓	✓	✓

Table A.2: EM Input Parameters

It gives us a clear idea of how the parameters of the Rotor can vary across Topologies. In addition the N and $simQ$ parameters are count of Stator poles and its windings respectively and may vary across EM variants.

1481 examples are of the Double V Magnet Topology apart from which 3 examples each for the other 2 topologies. Hence, the imbalanced topologies parameters would have relatively not so reliable statistics.

A.2 Experimental setup

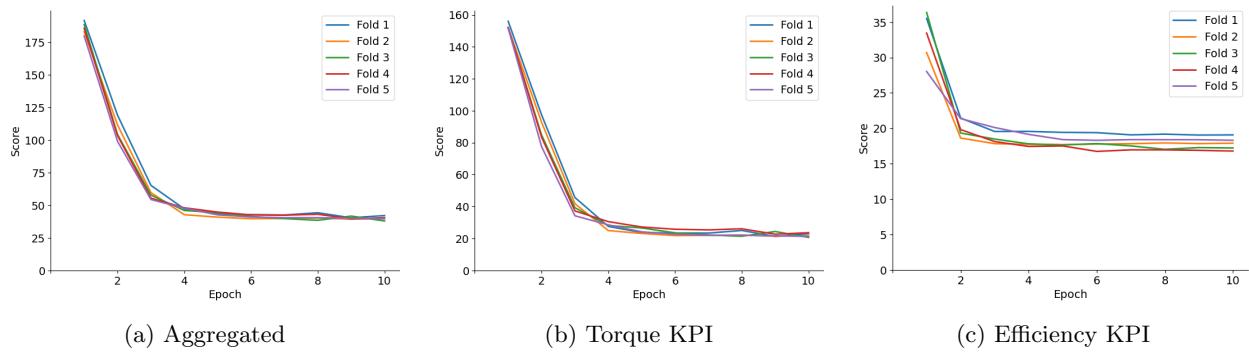


Figure A.1: Training Evaluation Metrics

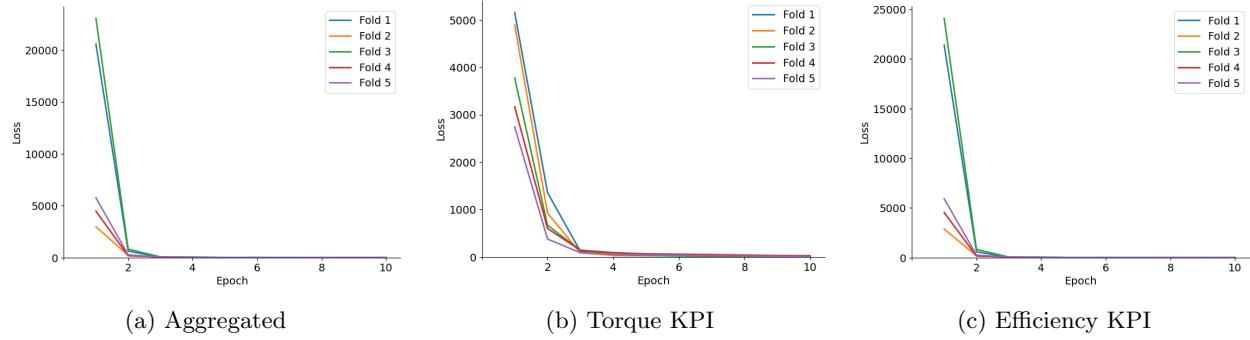


Figure A.2: Validation Loss Metrics

A.3 GNN Terminologies

We largely adopt the commonly used notations from Ref. [18] and reformulate it slightly to meet our needs. We use bold uppercase characters to denote matrices and bold lowercase characters to denote vectors.

Graphs

A graph is represented as $\mathcal{G} = (V, E)$ where V is the set of vertices or nodes and E is the set of edges. Let $v_i \in V$ denote a node and $e_{ij} = (v_i, v_j) \in E$ denote an edge pointing from v_j to v_i . A graph may have node attributes X , where $X \in \mathbb{R}^{n \times d}$ is a node feature matrix with $x_v \in \mathbb{R}^d$ representing the feature vector of a node v . Meanwhile, a graph may have edge attributes X_e , where $X_e \in \mathbb{R}^{m \times c}$ is an edge feature matrix with $x_{v,u} \in \mathbb{R}^c$ representing the feature vector of an edge (v, u) .

Neighbourhood

Neighbourhood essentially holds the information of the set of nodes that are in the vicinity of a node in question. The neighborhood of a node v is defined as $N(v) = \{u \in V \mid (v, u) \in E\}$.

Adjacency Matrix

Adjacency matrix gives an overview of the neighbourhood of all nodes in the graph. It is typically referenced when performing MP algorithm. The adjacency matrix A is a $n \times n$ matrix with $A_{ij} = 1$ if $e_{ij} \in E$ and $A_{ij} = 0$ if $e_{ij} \notin E$.

Diagonal Matrix

Diagonal Matrix of A denoted by $D = \text{diag}(d_1, d_2, \dots, d_n)$, where $d_i = \sum_j a_{ij}$ is the degree of vertex i .

Laplacian Matrix

The graph Laplacian is defined as $L := D - A$ and the normalized Adjacency Matrix can be defined as

$$A_{\text{norm}} = D^{-\frac{1}{2}} A D^{-\frac{1}{2}}.$$

Reference Operator

The Reference Operator R is a matrix of the same sparsity as the Adjacency Matrix A . It is also called as Structure or Graph Shift operator.

Transformation Operator

The Transformation Operator is a feature map or a learnable matrix of weights, $\theta \in \mathbb{R}^{F \times F'}$:

Message Passing

For Graph signals, $V = [v_1, v_2, \dots, v_n], v_i \in \mathbb{R}^F$, the updated Graph Signals is $V' = RV\theta$ where RV is the weighted sum of graph signals neighbourhood. RV also ensures only nodes with edges is considered.

In contrast to CNNs fixed shape kernel which considers pixels locality we have an increasing shaped kernel for GNNs, this is clear in the Fig. A.3. The fixed shaped kernel does not work for graphs as they are irregular in size and not constrained to pixel dimensions as images are. It is also called a Polynomial Graph Filter or Radial Filter and can be expressed as $V' = \sigma(\sum_{k=0}^K R^k V \theta^k)$

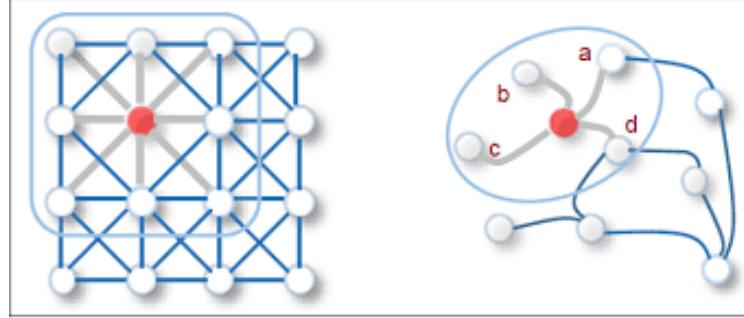


Figure A.3: GNN Kernel Filter (Ref. [18])

A GNN block contains three update functions, ϕ , and three aggregation functions, ρ for the nodes, edges and graph attributes, Ref. [19]

$$\begin{aligned} e'_k &= \phi_e(e_k, v_{r_k}, v_{s_k}, u), v'_i &= \phi_v(\bar{e}'_i, v_i, u), u' &= \phi_u(\bar{e}', \bar{v}', u) \\ \bar{e}'_i &= \rho_{e \rightarrow v}(E'_i), \bar{e}' &= \rho_{e \rightarrow u}(E'), \bar{v}' &= \rho_{v \rightarrow u}(V') \end{aligned}$$

A huge benefit of GNNs in practical use cases is that they are inductive rather than transductive. While transductive model can only be used on the specific data that was present during training, inductive models can be applied to entirely new data without having to be retrained. This is defended by Johannessen *et al.* in Ref. [05].

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I hereby certify that I have written my master thesis independently and have not yet submitted it for examination purposes elsewhere. All sources and aids used are listed, literal and meaningful quotations have been marked as such.

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