

## 1 Problem 3

### 1.1 Algorithm

To ensure the implementation is efficient, we start by setting up an adjacency list to represent the graph, so that Breadth First Search can run in  $O(m + n)$  time where  $m$  is the number of edges and  $n$  is the number of nodes. We will also use an array where the value at index  $i$  correlates to the cost of edge  $c_{i+1}$  for pre-processing. Let edge  $e = (u, v)$ .

1. From given graph  $G = (V, E)$ , create graph  $G'$  in which all edges with cost greater than  $c_e$  and edge  $e$  are removed
2. Use BFS to determine if there is a path from  $u$  to  $v$
3. If there is a path from  $u$  to  $v$ 
  - Then nodes  $u, v$  can be joined by a path of edges that are all cheaper than  $e$ . Hence,  $e$  is not a part of the minimal spanning tree
4. Else  $e$  is included in the minimal spanning tree

### 1.2 Runtime

The adjacency list can be made in  $O(m)$  time by iterating through all the edges. Similarly, the cost array can be built by iterating through all  $e \in E$  and adding  $c_e$  to the array, which will take  $O(m)$  time. Creating  $G'$  has a bound of  $O(m + n)$  (if there are no edges in the graph that have a cost greater than  $c_e$ ). Breadth First Search can run in  $O(m + n)$  time where  $m$  is the number of edges and  $n$  is the number of nodes as the graph is represented as an adjacency list, which is also given in the book. Hence, total running time is bounded by  $O(m + n)$ .

### 1.3 Correctness

**Lemma 1.** *Edge  $e = (u, v)$  is contained in the minimum spanning tree  $T$  of the graph  $G$  if there does not exist a path from  $u$  to  $v$  made of only cheaper edges compared to  $c_e$ .*

*Proof of Lemma 1.* Assume there exists a path  $p$  from  $u$  to  $v$  that consists of edges that all cost cheaper than  $e$ . Now, add  $e$  to path  $p$  to create cycle  $C$ . Hence,  $e$  is the most expensive edge in  $C$ , and so by the Cycle Property,  $e$  is in no minimum spanning tree of  $G$ , hence  $e$  is not contained in  $T$ .

Then, assume there does not exist such a path  $p$ . Let  $S$  be the set of nodes reachable from  $u$  using edges that are cheaper than  $e$ . Then let  $V - S$  be the set of nodes that contains  $v$ . Note, that  $V - S$  cannot contain an edge that is cheaper than  $e$ ,  $e' = (u', v')$  in which  $u' \in S$  and  $v' \in V - S$  as  $u'$  and  $v'$  would be reachable from  $u$  using strictly cheaper edges than  $e$ . Hence,  $e$  is the cheapest edge crossing from set  $S$  to complement  $V - S$ . Thus, by the Cut Property,  $e$  is in every minimum spanning tree of  $G$ , which follows that  $e$  is contained

in  $T$ .

Therefore, we have proved Lemma 1 as needed.