

Master Thesis



F3 Faculty of Electrical Engineering
Department of Computer Graphics and Interaction

SIMR

Simulating the phenomena of altered states of consciousness using virtual reality

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SIMR

**Simulace fenoménů pozměněných stavů
vědomí pomocí virtuální reality**

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2021–2022

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Abstract

TODO

Acknowledgements

TODO thank sci-hub

—Jakub Hlusička

“Not everything that is faced can be changed, but nothing can be changed until it is faced.”

—James Baldwin, As Much Truth as One Can Bear (1962)

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1 | Introduction

1.1 Problem Statement

This thesis is focused on the development of a virtual reality (**VR**) application that simulates select aspects of altered states of consciousness (**ASCs**; further defined in 2.1) typically induced by classical, serotonergic (acting on the **5-HT** receptors) psychedelics, such as **LSD**, psilocybin/psilocin, or **DMT**. We focus primarily on the recreation of the **ASCs**' effects on sensory perception using an analytical approach.

1.2 Motivation

Due to their high degree of immersion, **VR** systems, with head-mounted displays (**HMDs**) in particular, offer a unique opportunity for recreating certain aspects of **ASCs**.

1.2.1 Art and Media

ASCs of various forms have had a significant influence on art for millenia. Earliest signs of inductions of **ASCs** via neurotropic substances have been found possibly as early as 60,000 BC (Guerra-Doce 2015). **ASCs** continue to be depicted in or influence contemporary popular media.

An analysis and a recreation of certain aspects of **ASCs** may serve as a reference point for recreating those aspects of **ASCs** in popular media.

1.2.2 Education

While experiencing a simulation of an **ASC** is unlikely to be fully representative of the **ASC** the simulation is modelled after, we propose that the simulation may be significantly less inducive of difficult experiences colloquially known as "bad trips".

This may be a viable alternative form of experiencing certain aspects of **ASCs**, while the possession or consumption of mind-altering substances is illegal in most countries. The resulting **VR** application may serve as an educational tool about

ASCs which would not require as controlled of an environment as is required in psychedelic-assisted psychotherapy.

1.2.3 Research and Psychotherapy

Aday, Davoli, and Bloesch (2020) make an interesting observation, that psychedelics and VR are utilized in tandem to enhance the experience of recreational users. Moreover, the authors claim that VR could also be used to optimize and tailor the therapeutic setting during psychedelic sessions.

Most importantly, however, the authors state, that:

[...] VR may be a useful tool for preparing hallucinogen-naïve participants in clinical trials for the sensory distortions experienced in psychedelic states.

Nonetheless, as mentioned previously, care should be taken to ensure that users experiencing the simulation are informed about the simulation not being fully representative of the **ASC** it is modelled after. While a **VR** simulation may be suitable for simulating sensory effects of **ASCs**, other effects, such as the effects on cognition, may be much more difficult, if not impossible, to directly replicate via **VR** technologies alone. If uninformed, users may gain a false impression about the **ASC**.

Greco et al. (2021) propose that simulated hallucinations may be used to investigate neural mechanisms of conscious perception without difficulties posed by pharmacologically-induced **ASCs** – namely the ethical and legal issues, as well as the difficulty to isolate the neural effects of psychedelic states from other physiological effects elicited by the drug ingestion. The study used *DeepDream* (Mordvintsev, Olah, and Tyka 2015) to generate hallucinations by modifying a video clip.

[Their] findings suggest that DeepDream and psychedelic drugs induced similar altered brain patterns and demonstrate the potential of adopting this method to study altered perceptual phenomenology in neuroimaging research.

Very recent research (Rastelli et al. 2021) indicates that simulated altered perceptual phenomenology enhances cognitive flexibility and inhibits automated decision making. The study describes cognitive flexibility as “the ability to shift attention between competing concepts and alternative behavioral policies to meet rapidly changing environmental demands”.

1.2.4 Understanding of the Human Mind

Finally, without any immediate application, the study of the effects of **ASCs** may help contribute to our understanding of the human mind. Particularly, for instance, analyzing the invariant effects of classical psychedelics on sensory perception may improve our understanding of the visual cortex and the way it func-

tions. Further research involving perceptual phenomena and pharmacodynamics of psychedelics and their mechanisms of action may contribute to our understanding of the significance of certain receptors in processing visual or other sensory information.

The problem of understanding consciousness has long been of interest to philosophers (Block 1993), neuroscientists (Crick and Koch 1990), as well as cognitive psychologists (Dehaene 2014). Recently, with the resurgence of deep neural networks, attempts to contribute to our understanding of consciousness have also appeared in the field of artificial intelligence (AI) (Bengio 2017; Reggia, Katz, and Davis 2020). One such study (Bensemann and Witbrock 2021) examined the effects of implementing phenomenology (in a broader sense of the word, not psychedelic) into a deep neural network.

1.3 Related Work

1.3.1 Recreations of Visual Phenomena

In this section, we explore the way **ASCs** have been depicted in contemporary art and media and recent attempts at recreating aspects of **ASCs** in the scientific domain.

1.3.1.1 Quake Delirium

In the original paper about Quake Delirium (Weinel 2011), the author divides video games portraying **ASCs** into two categories:

1. Games which feature literally portrayed dreams, intoxication or hallucinogenic experiences.
2. Games which feature graphical or thematic content which audiences may consider to reflect states of dream, intoxication or hallucination, but without any direct or literal reference to these states.

This categorization is not only useful for examining video games, but also the rest of art and media.

The first category describes media that attempts to recreate **ASCs** with an explicit reference to a specific induction method, cause or origin. For example, the games in this category, such as Grand Theft Auto: Vice City¹ or Duke Nukem 3D², may temporarily portray a character under the influence of a psychoactive drug. However, psychoactive substances are not the only form of **ASC** induction portrayed in video games. One such exception is LSD: Dream Emulator³, a game with narrative based on a dream diary and an overall dream-like surrealist aesthetic.

¹Grand Theft Auto: Vice City, Rockstar Games, 2003. PC (Windows) CD-ROM.

²Duke Nukem 3D, 3D Realms, 1996. PC (Windows) CD-ROM.

³LSD: Dream Emulator, Asmik Ace Entertainment, 1998. Playstation.

The second category contains media that does not communicate explicitly any **ASC** method of induction, cause or origin. Despite this, the media that falls into this category may be viewed equally as *psychedelic* or more than that of the first category. This could be considered to be the case of Yoshi's Island⁴. While the creators may not have intended the video game to reflect **ASCs**, because of it's brightly colored surrealistic visual themes, it may resemble **ASCs** of games from the first category.

The *Quake Delirium* project itself is a modification of the game *Quake* that makes use of an external digital signal processing (**DSP**) audio patch for modifying the resulting audiovisual output the game produces. The visual effects consist of changes in:

1. field of view (**FOV**);
2. camera swaying;
3. fog density and color;
4. game speed;
5. stereo vision (for 3D red cyan glasses);
6. gamma;
7. hue.

These visual effects are made available to the **DSP** patch, the control of which can be automated using multi-track audio sequencing software. This enables the effects to onset slowly and gradually become more severe over time.

The project demonstrates a method of combining multiple partial effects that results in a complex audio-visual effect that is more sophisticated than many of the existing games exhibiting phenomena of **ASCs** surveyed.

Interestingly, the authors went on to experiment with a dynamic way of controlling the intensity and parameters of the simulated effects in a follow-up study (Weinel et al. 2015). Rather than controlling the parameters using a *pre-determined automation path* via multi-track audio sequencing software, this modification introduced biosensor as a way of influencing the simulation parameters – specifically, they used the commercial *NeuroSky MindWave* electroencephalograph (**EEG**) device. The study ultimately concludes, that “while the use of EEG to control psychedelic visual effects is conceptually appealing, the current system would also need to be improved to provide a more tangible connection between the headset and the ASC effects in the game.”

There is no straightforward way to map **EEG** signals onto visual effects. The **EEG** signals need to be interpreted, so that relevant information is extracted. Mapping the extracted information onto specific simulation parameters is then at the artist's discretion. A more sophisticated approach might examine correlations between observed **ASC** phenomena and **EEG** signals, then use those correlations to model the mapping from **EEG** signals onto the visual effects.

⁴Super Mario World 2: Yoshi's Island, Nintendo, 1995. Super NES.

1.3.1.2 Crystal Vibes feat. Ott.

Outram et al. (2017) describe *Crystal Vibes feat. Ott.* as a project originally developed to demonstrate the full-body vibrotactile *Synesthesia Suit*, further discussed in 1.3.2.1. The experience places the user into an abstract geometric environment procedurally generated from a soundtrack:

Crystal Vibes does not use any 3D modelling, 2D design, or hand animation. Instead, the environment is generated using sine and Bézier functions, Bravais lattice structures and Fourier transforms of the audio. Crystal Vibes exploits the innate beauty of 3-dimensional crystal structures, and leverages the artistry of rhythm and form in the music for visual beauty.

The article describes in detail the methods employed to simulate audiovisual synesthesia using sound visualization, with an attempt to be “as physically and biologically defensible as possible”. The methods include compensation for the non-linear perception of both auditory and visual information:

This includes the fact that humans perceive equal pitch differences corresponding roughly to equal differences in the log of audible frequency, that our perception of volume varies over the audible range, and how humans interpret colour from a visible spectrum.

Another technique used to aid in distinguishing different voices of the soundtrack, such as drums and synths, is spatial separation. Each voice would impact a separate region of the visualization. This way, the user can form an association between spatial regions and their corresponding voices.

Finally, the last employed technique of improving the perception of sound via sight is to provide temporal information; instead of only visualizing the current instant of the soundtrack, a roughly 1 second long moving slice of the soundtrack is visualised.

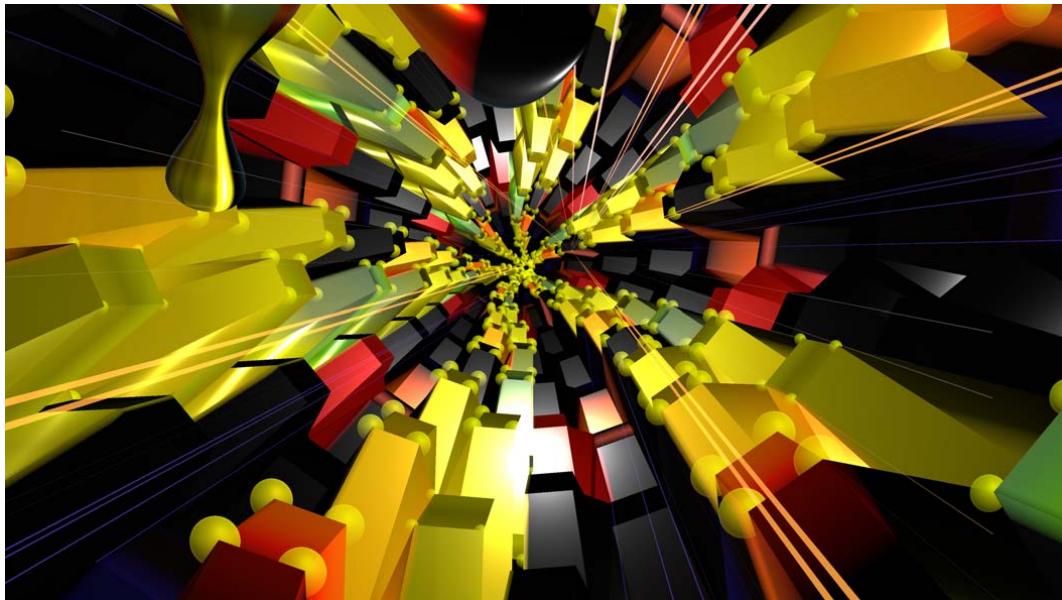
The authors report that users found the visualization compelling, even those who experience synesthesia in their daily lives. Synesthesia is of our interest because it is a prominent phenomenon of some ASCs.

1.3.1.3 Isness

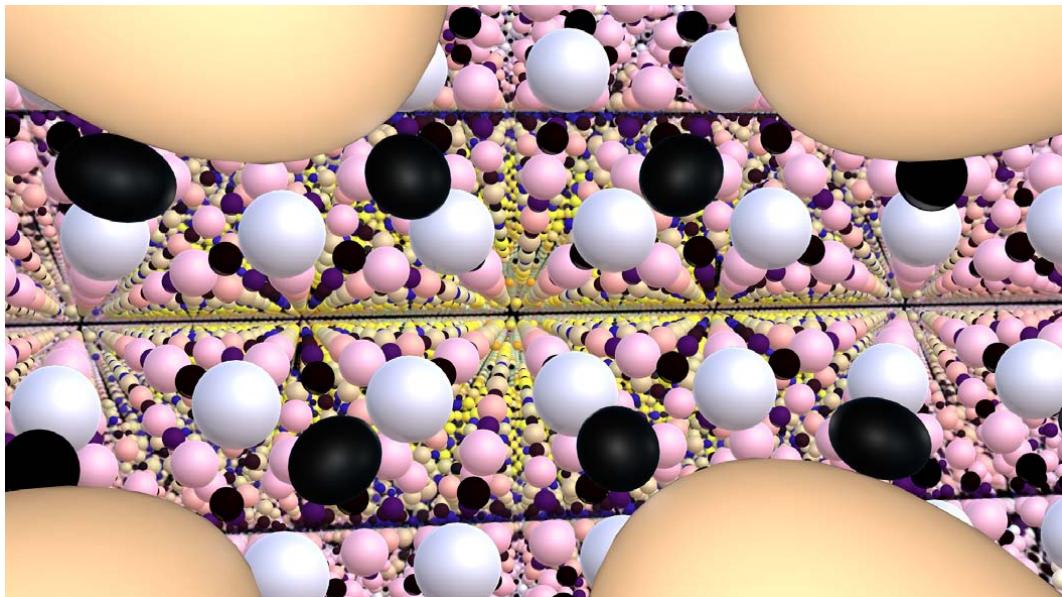
The paper (Glowacki et al. 2020) accompanying the project *Isness* proposes that so-called ‘mystical-type’ experiences (MTEs), that are often experienced under the influence of psychedelic drugs, may also be facilitated by virtual reality. The paper justifies this proposal by conducting a study with 57 participants analyzing participants’ responses to the 30-item revised mystical experience questionnaire (MEQ30), commonly used to evaluate the effects of psychedelic drugs. The results of the study indicated that *Isness* participants reported MTEs comparable to those

⁵© Outram et al. (2017), permission for use granted by the authors.

⁶© Outram et al. (2017), permission for use granted by the authors.



(a) A screenshot from the first main part of *Crystal Vibes*, with an adaptive mesh that envelopes the user and responds visually to the music.⁵



(b) A screenshot from the second main part of *Crystal Vibes*, in which sound visualisation in the form of colour flows through an endless lattice of spheres.⁶

Figure 1.1: Screenshots of the *Crystal Vibes* VR application.

reported in double-blind clinical studies after high doses of psilocybin and lysergic acid diethylamide (**LSD**).

The **VR** application was designed to be used by 4 participants at a time with the *HTC Vive Pro HMDs*. To provide multiplayer functionality, the client/server architecture was chosen, with each **HMD** being connected to a separate **GPU**-accelerated server.

The abstract virtual environments have been designed by defining a set of ‘aesthetic hyperparameters’, each affecting a different aspect of the simulated **MTE**. The overall *Isness journey* was comprised of a set of states, each of which had some specific time duration. This approach allowed for reproducibility necessary for the study.

Participants were equipped with specially-made ‘Mudra gloves’, which would create a light source within the virtual scene when they made a ‘mudra pose’ by bringing the tip of a thumb to their forefinger or middle finger.

The entire *Isness journey* was divided into 3 phases:

1. Phase 1: Preparation. 15-20 minutes, included information about practical issues (phones off, toilet locations, placing posessions in a safe place), screening, description of the experience, information that the participants could withdraw at any point, and acquisition of verbal and written consent for participation in the study. This phase also included some group exercises to build rapport between participants.
2. Phase 2: Multi-person **VR** session. 35 minutes with a pre-recorded narrative soundtrack. The **VR** experience was preceded by a blindfolded, narrated group meditation. Each participant was then fitted with a VR headset and the administrator initiated the **VR** session, moving through 15 prespecified states, each composed from a set of aesthetic hyperparameters.
3. Phase 3: Integration. The **HMDs** were taken off. Breath exercises and group exercises followed. Participants were then invited to share in a 10-15 minute facilitated discussion, after which they were provided a blank piece of paper for reflective writing, along with a blank **MEQ30**.

It is fair to say that the *Isness* project focuses mainly on the replication of **MTEs**, whose characteristics include a sense of connectedness, transcendence, and ineffability; specifically the effects of **ASCs** on emotion and cognition, rather than the effects on sensory perception, as is also evident by the **MEQ30** questionnaire used in the study. This can be seen in the emphasis on the narrated structure of the *Isness journey*, the inclusion of a meditation session, as well as in the multiplayer design of the overall experience, that encourages interaction between participants.

That is to say, the *Isness journey* has been effective in creating a memorable, subjectively meaningful experience comparable to **ASCs** induced by psychedelic drugs.

⁷A cropped version with label removed of “Isness multi-person VR setup” released by Glowacki et al. (2020) under the **CC BY-SA 4.0** license. © Glowacki et al. (2020).

⁸A cropped version with label removed of “In-world view of an Isness state” released by

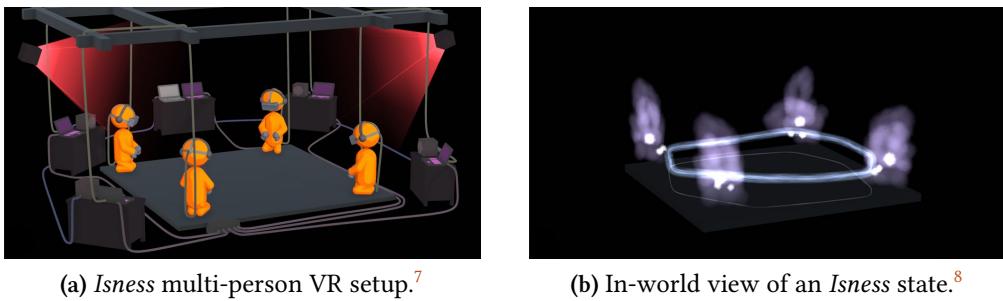


Figure 1.2: Design of the *Isness* VR application.

1.3.1.4 Hallucination Machine

Hallucination Machine (Suzuki et al. 2018) makes use of the *DeepDream* (Mordvintsev, Olah, and Tyka 2015) technology to alter spherical panoramic video. The altered video is then viewed through a HMD. The usage of *DeepDream* successfully simulates the sense of an increased ability to recognize patterns during certain ASCs.

While it is a compelling concept, there are many drawbacks to this approach.

1. The alteration caused by *DeepDream* is heavily dependent on the source data the deep convolutional neural networks (DCNN) is trained with. If, for example, the DCNN is trained with images of puppies, the alteration by this DCNN will result in *hallucinated* puppies.
2. The panoramic spherical videos are not stereoscopic, hence the sense of depth is lost.
3. Finally, the fact that the *DeepDream* technology is computationally demanding may have resulted in the choice to use pre-recorded videos rather than real-time footage. This, however, limits the applications of the solution.

However, what the *DeepDream* technology does well is the simulation of the sense of an increased ability to recognize patterns, otherwise known as pareidolia, characteristic of certain ASCs. For this reason, it has found popular use in research as a way to simulate hallucinations (Greco et al. 2021; Rastelli et al. 2021).

1.3.1.5 Lucid Loop

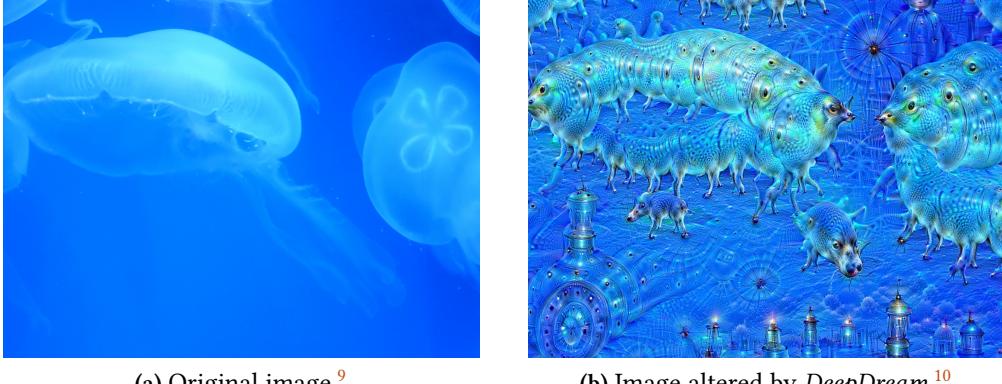
Lucid Loop (Kitson, DiPaola, and Riecke 2019) is a proposed VR application that makes use of an EEG device (along with other biosensors) for biofeedback. The application is a proposed training aid for maintaining awareness during lucid dreaming, a state reached when a person becomes aware they are dreaming.

The application makes use of a HMD to display *DeepDream*-altered (Mordvintsev, Olah, and Tyka 2015) content with the intensity of alteration corresponding to the

Glowacki et al. (2020) under the CC BY-SA 4.0 license. © Glowacki et al. (2020).

⁷Released by Martin Thoma under the CC0 1.0 (public domain) license.

⁸Released by Martin Thoma under the CC0 1.0 (public domain) license.

(a) Original image.⁹(b) Image altered by *DeepDream*.¹⁰**Figure 1.3:** Example result of applying the *DeepDream* technology to an image.

current brain wave distribution of the wearer — as higher frequency brain waves dominate, the displayed content becomes less affected by *DeepDream*, resulting in more clarity; as lower frequency brain waves dominate, the displayed content becomes more affected and is interpreted as more dreamy and abstract.

The concept is promising, but the choice of *DeepDream* might prove to be challenging to implement effectively, as the intensity of the *DeepDream* alteration of displayed content is dynamic. The *DeepDream* algorithm would either have to be applied in real-time, which is problematic due to the algorithm’s high computational demands, or an optimization scheme would have to be used. One such optimization scheme, assuming spherical panoramic video as displayed content, might pre-render the video as altered by *DeepDream* for several levels of intensity, and then during usage, would interpolate between the pre-rendered videos.

Finally, while the tool is supposed to aid in helping maintain awareness during lucid dreaming, I find it to be a missed opportunity, that the paper does not address the event that high frequency brain waves, while perhaps corresponding to greater awareness, might also cause the dreamer to wake up. A possible improvement of this tool might take this into consideration and also provide biofeedback for this event, such as fading the displayed content to white as the user becomes “too aware”.

1.3.1.6 Other AI-based Approaches

Schartner and Timmermann (2020) develop two models for generating image distortions reminiscent of verbal reports from clinical trials of *N,N*-dimethyltryptamine (**DMT**).

In the first approach, the authors employed the *StyleGAN* algorithm (Karras, Laine, and Aila 2019) and modified it such that no noise input was added during the generation process, according to a hypothesized brain mechanism. This resulted in smoother, painterly look of the images.

In the second approach, the authors used the *Fast Neural-Style Pytorch Implement-*

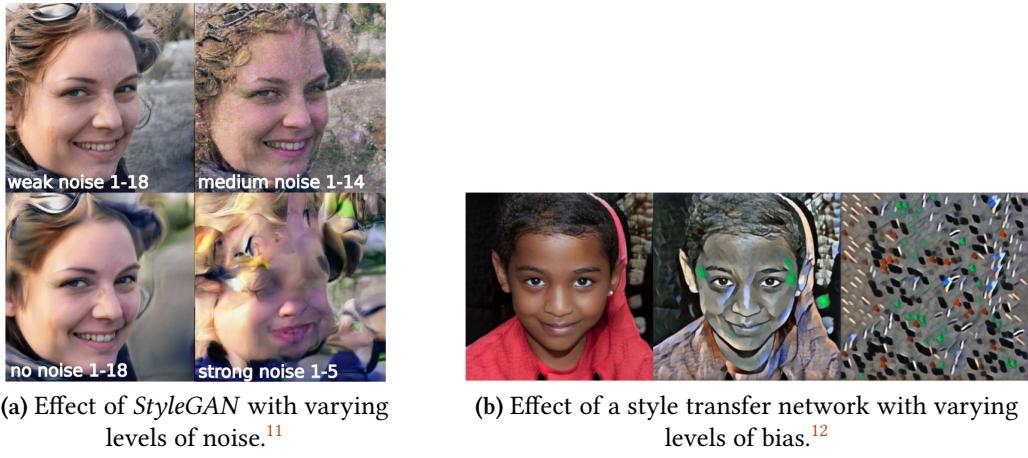


Figure 1.4: Results from the modification of *StyleGAN* and the usage of a style transfer network.

tation for Artistic Style Transfer (Johnson, Alahi, and Fei-Fei 2016). This method allows for the depiction of nearly any report of a visual hallucination, assuming one can find a matching content and style image.

1.3.2 Tactile Stimulation Interfaces

VR interfaces provide a way to replace sensory information with information provided by the **VR** application. Different kinds of interfaces have been developed since the conceptualization of **VR**, but among the most practical and commercially available is the **HMD**, which includes stereoscopic screens for visual information, and usually provides a way to substitute auditory information as well, either by providing built-in headphones or an audio connection to connect external headphones to. The main purpose of these interfaces is to provide (or improve the amount of) immersion within the virtual scene.

Among other **VR** interfaces, *tactile stimulation interfaces* deserve a special mention, because of their relevance to the simulation of **ASCs**, in particular, the simulation of haptic and auditory synesthesia, and because of their past usage in related projects. In this section, we mention several of these interfaces.

¹¹A cropped version of “NVIDIA’s generative model with noise perturbation and analogous hypothesized brain mechanism” released by Schartner and Timmermann (2020) under the **CC BY-SA 4.0** license. © Schartner and Timmermann (2020).

¹²“Example output of a style-transfer network” released by Schartner and Timmermann (2020) under the **CC BY-SA 4.0** license. © Schartner and Timmermann (2020).

1.3.2.1 Synesthesia Suit for Rez Infinite

The *Synesthesia Suit* (Konishi, Hanamitsu, Outram, Minamizawa, et al. 2016; Konishi, Hanamitsu, Outram, Kamiyama, et al. 2016; Synesthesia Lab 2016) is a full-body suit that provides haptic sensation via 24 actuators, all of which can be independently controlled. The suit has been developed for the VR game *Rez Infinite* (Enhance Experience Inc. 2016). The aforementioned VR game *Crystal Vibes feat. Ott.* (see 1.3.1.2; Outram et al. (2017)) has been developed to demonstrate the capabilities of this suit.

In the game *Rez Infinite*, the suit would provide feedback for interactions like shooting, hitting, and warping. The suit would respond to collisions in the virtual world, as well as to the in-game action and sounds.

The design of the *Synesthesia Suit* was later improved by *Synesthesia Wear* (Furukawa et al. 2019), which adds wireless connections and improves user intuitiveness and customizability in the placement of the actuators.

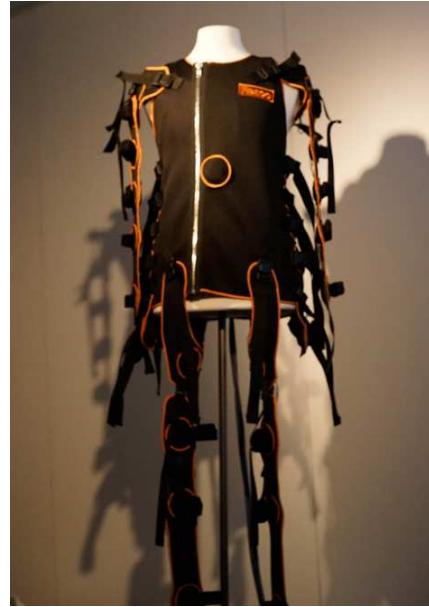


Figure 1.5: The *Synesthesia Suit*.¹³

1.3.2.2 Synesthesia X1 - 2.44

Synesthesia X1 - 2.44 (Synesthesia Lab 2021) is a seat with 2 speakers and 44 vibrotactile actuators. While it has been presented without a HMD, the possibility of using a HMD while seated is available, but the design of this tactile interface as a seat makes it impossible for the user to move around. Still, it might be suitable used for the development of a more passive VR experience.

1.3.2.3 Subpac

Commercial wearable subwoofers, such as the *Subpac* (SUBPAC 2013), are able to have a significant impact on immersion (Drempetic and Potter 2017) even for non-VR content.

The *Subpac* has been used in *Longing for Wilderness* (Zimmermann, Helzle, and Arellano 2016), a VR experience that “takes you from the noisy city through the slowly transforming forest towards a calm and airy landscape”. The sound design of the application has been specially made with the device in mind.

¹³© Konishi, Hanamitsu, Outram, Kamiyama, et al. (2016), permission for use granted by the authors.

1.4 Contributions

We develop a **VR** application for **HMDs** that simulates select aspects of **ASCs**. We perform a study in which we measure the influence of the created **VR** application on the human mind. This measurement is done via the 11-Factor Altered States of Consciousness Questionnaire (**11-ASC**; Studerus, Gamma, and Vollenweider (2010)) and the 5-Dimensional Altered States of Consciousness Questionnaire (**5D-ASC**; Dittrich, Lamparter, and Maurer (2010)) used in clinical studies of **ASCs** induced by psychedelic drugs, and other kinds of **ASCs**.

2 | Background

2.1 Altered States of Consciousness

Ludwig (1966) define **ASCs** as „any mental state(s), induced by various physiological, psychological, or pharmacological maneuvers or agents, which can be recognized subjectively by the individual himself (or by an objective observer of the individual) as representing a sufficient deviation in subjective experience or psychological functioning from certain general norms for that individual during alert, waking consciousness.“

This term is meant to encompass phenomena such as sleep, dream states, day dreaming, hypnosis, sensory deprivation, hysterical states of dissociation and de-personalization, pharmacologically induced mental aberrations and so on, and provide a framework for further analysis of these phenomena.

With regards to psychedelics specifically; **ASCs** induced by psychedelics are mainly characterized by profound alterations in sensory perception, mood, thought including the perception of reality, and the sense of self (Preller and Vollenweider 2016).

2.1.1 Phenomenology of Psychedelic States

The main component of the psychedelic experience is the concept of the *phenomenological ego* and the way it is influenced throughout the experience.

According to Metzinger (2009), the ego is the content of a self-model; this conscious self-model constructed by the brain allows us to interact with our internal world as well as with the external environment in a holistic manner. In a broad sense, the self encompasses features such as a first-person perspective, feelings of agency, ownership (“mineness”) and immediacy (“nowness”), spatial perspective, autobiographical memory, emotions, perceptions, thoughts and acts of will, as well as the feeling of being embedded in our bodily sensations (Metzinger 2009; Northoff 2011).

Another function of the ego serves is to help control and plan our behavior and to understand the behavior of others. By representing the process of representation itself, we can catch ourselves in the act

of knowing. Ultimately, the subjective experience of the ego arises from dynamic self-related information processing, which is the result of a self-organizing brain system interacting with its environment, because no such things as selves exist in the world (Metzinger 2009).

(Preller and Vollenweider 2016)

According to Masters and Houston (2000), modified by Preller and Vollenweider (2016), the suppression of the *phenomenological ego* during the results in distinctive stages of the psychedelic experience, with alterations at:

1. The perceptual level: Most frequent and robust features of the psychedelic experience. Perceptual effects are dominated by visual phenomena. Transformation of the environment and alterations of the body image are frequently reported.
2. The recollective-psychodynamic level: Visual images become more personalized, and boundaries between consciousness and unconsciousness dissolve, causing recall and re-enacting of past experiences and memories and releasing emotions into the process.
3. The symbolic existential level: More personal involvement and emotional engagement develop during this stage. Subjects become more personally involved and emotionally engaged as a participant in the ongoing psychedelic scenario.
4. **The deep integral level of self-transcendence:** Along with the increasing dissolution of the ego, the psychedelic experience can peak in a state where subjects can become immersed for seconds or minutes in a profound awareness of oneness in which all boundaries disappear and objects are unified into a totality.

(Preller and Vollenweider 2016)

The intensity and duration of the psychedelic experience depends most critically on the dosage, the specific drug, and the route of administration. However, other factors, such as personality structure, the nature and dynamics of unconscious material activated, the setting (physical, cultural, and social environment) in which the experience takes place, and the expectancy of the subject are also important (Preller and Vollenweider 2016). See figure 2.1.

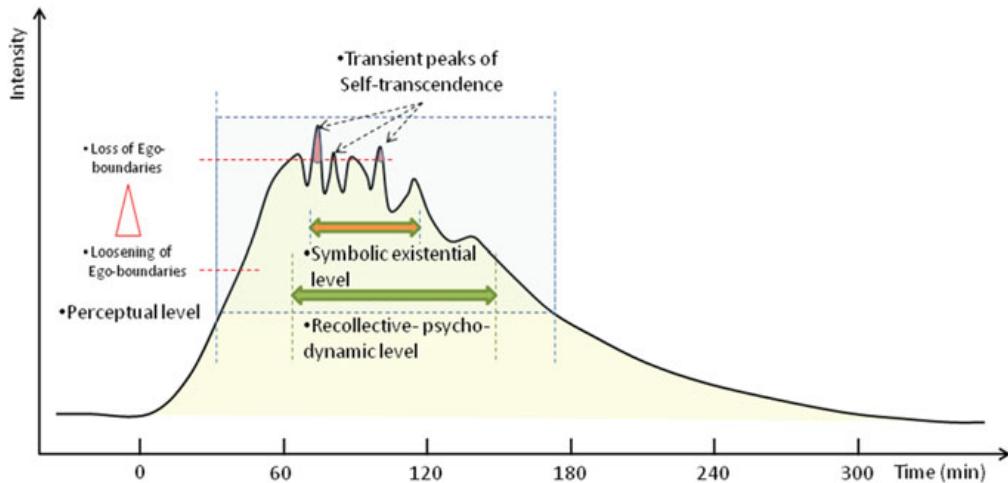


Figure 2.1: Temporal dynamics and stages of a psilocybin-induced psychedelic experience. Adaptation by Preller and Vollenweider (2016) of the original by Leuner (1962).

2.1.2 Aspects

For the purpose of this thesis, we define an *aspect* of an **ASC** as a single, distinctive phenomenon of an **ASC**. An *aspect* does not describe the entirety of the **ASCs**, only a particular part of it. In order to model **ASCs**, we analyze them and break them down into their respective *aspects*.

An example that is common for **ASCs** induced by psychedelics is the distortion in the perception of time.

2.1.3 Replications

Replications are recreations or simulations of one or more aspects of **ASCs** using various forms of media (audio, video, tactile, etc.) with the intention of communicating the experience of **ASCs**. Many examples are described in section 1. Various artistic *replications* may be viewed at PsychonautWiki (2022).

For the rest of this thesis, a *replication* will refer to a recreation or simulation of a *single aspect* of **ASCs**. Furthermore, a *complex replication* will refer to a combination of *replications*.

A *replication* of time perception distortion may be simulated via the augmentation of the playback speed of a videoclip using non-linear resampling, or via the augmentation of the simulation speed (timestep) of a **VR** application. This augmentation may be performed by replacing the original sampling function $s: \mathbb{R} \rightarrow \mathbb{R}$ by $s'(t) = s(t) + f(t)$ where $f: \mathbb{R} \rightarrow \mathbb{R}$ is a function for sampling procedurally generated noise, such as Perlin noise (Perlin 1985) or Simplex noise (Olano et al. 2002).

2.2 Psychometric Evaluation Methods

Psychometric evaluation of **ASCs** is generally performed via questionnaires, of which there are many available. Schmidt and Majić (2018) and Figueiredo et al. (2016) performed an analysis of 9 such questionnaires and recommends the 5-Dimensional Altered States of Consciousness Questionnaire (**5D-ASC**), 11-Factor Altered States of Consciousness Questionnaire (**11-ASC**) and the Phenomenology of Consciousness Inventory (**PCI**) questionnaires for general assessment of **ASCs**.

The **11-ASC** was chosen over the **PCI** due to the popularity of the **11-ASC** in the evaluation of psychedelic-induced **ASCs**, and because of the complete lack of **VR**-related studies using the **11-ASC**.

Additionally, the **11-ASC** uses a subset of questions of the **5D-ASC**, both of these questionnaires can be therefore understood as different scorings of the same set of questions. For this reason, the **5D-ASC** scorings are also included in our study.

A czech translation of the questionnaire was used. The translation was kindly provided by the developers of the *iTrip* smartphone application (Filip Tylš 2020), developed by **PSYRES**¹ (a czech foundation for psychedelic research) in partnership with **CZEPS**² (Czech Psychedelic Society), and was corrected for spelling mistakes and formatting consistency. Unfortunately, we are not aware of any czech translation that has been statistically validated, and for the purpose of this thesis, we assume the used translation (see appendix A) is statistically valid.

¹<https://web.archive.org/web/20220504111203/https://psyres.eu/>

²<https://web.archive.org/web/20220504110718/https://czeps.org/>

3 | Implementation

The objective of this part of the project was to implement an immersive replication of **ASCs** induced by classical psychedelics. In order to achieve a high degree of immersion, our solution was designed to be intended for immersive **VR** systems with **HMDs**. Our solution will be referred to with “*the application*” or “*our application*” for the rest of this document.

To represent the **ASCs** of classical psychedelics objectively, we had to resort to modelling only the “perceptual level” stage of the psychedelic experience (as seen in 2.1), as further stages require subjective personalization of content, and aspects less suitable for replication via immersive **VR**, such as cognitive effects and the suppression of the *phenomenological ego*.

3.1 Design of the Application

The application was designed primarily for the evaluation of the implemented replication. The development of the application consisted of 3 distinct parts:

1. **The environment:** A virtual scene that should look as realistic as possible.
2. **The replication:** Implementation of the effects themselves.
3. **Adaptation for testing:** Getting the application ready for a study, that might measure the impact of the replication on the human mind.

3.1.1 Safety

In order to ensure our application’s users safety, we have consulted the *Recommendations for good scientific practice and the consumers of VR-technology* (Madary and Metzinger 2016). The application was developed according to these recommendations.

Mainly, we don’t expect the developed application to have lasting traumatic effects on the users; instead, we believe that this medium may be a suitable way to explore aspects of psychedelic-induced **ASCs** while minimizing those risks.

Further, we’ve taken safety and intuitiveness into account while designing the controls and choosing a suitable testing area for experimentation with **VR** (the “**VR play space**”).

Finally, the application must be automated, so that the administrator may assist the user and ensure their safety during the usage of the application.

3.1.2 Interaction

Interaction with the scene via hand-held controllers was removed entirely, as we felt that the currently available consumer VR technology does not implement a realistic, consistent, universal and intuitive solution for interaction with the virtual scene. For example, in VR applications, interactions are usually implemented so that if a user takes a hand-held controller to a dynamic physics-enabled object, they may be able to pick it up by pressing or holding a trigger on the hand-held object, which makes the object stick or snap to the virtual representation of the controller in the scene. While this solution may be suitable for VR games, it is still understood as a simplification.

As an alternative, one may consider using force feedback haptic gloves, and given a sufficient physically based simulation, it may be possible to implement realistic interactions with virtual objects. However, even such gloves apply force feedback only to the fingers and not the entire body, making it impossible to, for example, lean against virtual objects.

In any case, no such force feedback haptic gloves were available to us for this project, and so interaction was entirely foregone, in the interest of keeping the simulation focused mainly on the replication, rather than an unrealistic implementation of interactions.

3.1.3 Virtual Scene Creation

Given the goal of creating as realistic of a scene as possible, as well as no financial budget for this project, we ended up choosing Unreal Engine 4 (UE4)¹ as the game development engine to develop our VR application with. UE4 is free to use for projects with a lifetime gross revenue below \$1 million USD, and we have no plans to monetize it. Additionally, the choice of UE4 makes it possible to use the Quixel Megascans² 3D asset library for free within UE4, due to special licensing as a result of the acquisition of Quixel by Epic Games³, the developer of UE4.

The virtual scene was created with the intended VR *play space* in mind, which was measured to be about $3.5 \times 3.5 \text{ m}^2$ large. The virtual scene contains visual cues of the *play area* borders in the form of 3D assets; specifically, the *play area* is surrounded by a railing and tall rock, communicating to the user, that these objects should not be passed through.

The choice was made to create an outdoor scene, as the surrounding nature might provide a more pleasant environment than an indoor scene. However, our implementation is in no way limited to outdoor scenes only.

¹<https://web.archive.org/web/20220514231756/https://www.unrealengine.com/en-US>

²<https://web.archive.org/web/20220514233901/https://quixel.com/megascans>

³<https://web.archive.org/web/20220514235540/https://www.epicgames.com/site/en-US/home>

At first, we attempted to create a forest scene, but quickly ran into performance issues while trying to render a densely populated forest on a **HMD**, which requires at least 2 views rendered at typically higher resolutions than a regular desktop screen, ideally with at least 90 **FPS** (the native refresh rate of the **HMD**). Delivering a consistent framerate is a requirement, as low framerates and stuttering may cause motion sickness.

It was then decided to abandon the idea of a forest scene and, instead, use a high dynamic range imaging (**HDRI**) panoramic photograph as a background (hereinafter “panoramic background”) for the scene. It is important to note, that a panoramic background has no depth information. This drawback can be mitigated by making only the very distant parts of the panoramic background visible to the user, so that the illusion of the panoramic background being realistic is not broken. The illusion relies on the fact that binocular disparity is low for distant objects.

Close parts of the panoramic background can be hidden with 3D assets suitable for the environment. To minimize the area that needed to be hidden, we have chosen a mountainside panoramic background (see figure 3.1).



Figure 3.1: The chosen panoramic background “Cannon”⁴ available on **Poly Haven**⁵.

The final scene contains a flat patch of grass and other low foliage the size of the *play area*, containing a wooden bench with some gardening tools. The grass patch is surrounded with rock formations and a rocky stairway leading towards it. Beyond the railing, there is a nice view of the sea cove.

⁴Released by Greg Zaal under the [CC0 1.0](#) (public domain) license.

⁵<https://web.archive.org/web/20220515010919/https://polyhaven.com/a/cannon>

3.2 Implementation of Replications

3.2.1 Spatial Effects

3.2.1.1 Depth Perception Distortion

(Fischer et al. 1970)

3.2.1.2 Waviness or "Breathing" of Objects

3.2.2 Non-Spatial Effects

3.2.2.1 Visual Acuity Enhancement

3.2.2.2 Hue Shifting

3.2.2.3 Tracers

3.3 Complex Replication

3.3.1 Execution Order

3.3.2 Experiment Automation

4 | Evaluation

4.1 Methods

We propose the following hypotheses to investigate whether the implemented complex replication has any effect on the scores of the **5D-ASC** and **11-ASC** scorings.

- Null hypothesis H_0 : The implemented complex replication **does not have any effect** on the scores of the **5D-ASC** and **11-ASC** scorings.
- Alternative hypothesis H_1 : The implemented complex replication **does have an effect** on the scores of the **5D-ASC** scoring, the **11-ASC** scoring, or both.

A controlled within-subject study including $N = 10$ participants, was conducted to test these hypotheses, with a statistical significance level of $\alpha = 0.05$.

The following demographic statistics are known about the population:

- Age: mean of 30 years, standard deviation of 10.1 years.
- Gender: 5 male, 4 female, 1 non-binary.

The requirements for participation in the study, inspired by Bartossek, Kemmerer, and Schmidt (2021), were as follows:

- No alcohol use in the past 12 hours prior to the session.
- No **THC** use in the past week prior to the session and no more than twice a week in the last year.
- No use of psychedelic substances in the last two weeks prior to the session.
- Not pregnant.
- 18 years or older.

The participants were required to reserve two sessions, each on a different day, for the active and control scenarios, the order of which was chosen at random. 5 out of 10 participants underwent the control scenario on their first session.

The first session consisted of:

1. An explanation of the study and the procedure of the session.
2. Signing of the informed consent form (see appendix B).
3. Testing of the developed VR application for 10 minutes, with either the control or the test scenario.

4. Filling out of the psychometric questionnaire.

The second session consisted of:

1. Testing of the developed VR application for 10 minutes, with the remaining scenario.
2. Filling out of the psychometric questionnaire.

4.2 Results

With the assumption that the individual factors of each of the scorings are normally distributed, we used the repeated measures *paired t-test* to test our hypotheses. Most recent studies utilizing the **5D-ASC** and the **11-ASC** scorings assume the resulting factors to be normally distributed, and so do we. For alternatives, see appendix C.

The results of the **5D-ASC** scorings, shown in figure 4.1, indicate a significant difference for the “Dread of Ego Dissolution” ($p = 0.0204 < 0.05$) and “Vigilance Reduction” ($p = 9.16 \cdot 10^{-6} < 0.001$) dimensions.

The results of the **11-ASC** scorings can be seen in figure 4.2, and indicate a significant difference for the “Disembodiment” ($p = 0.0357 < 0.05$) and “Anxiety” ($p = 0.0387 < 0.05$) factors.

Based on these results, we **reject** the null hypothesis H_0 , that “the implemented complex replication **does not have any effect** on the scores of the **5D-ASC** and **11-ASC** scorings.”

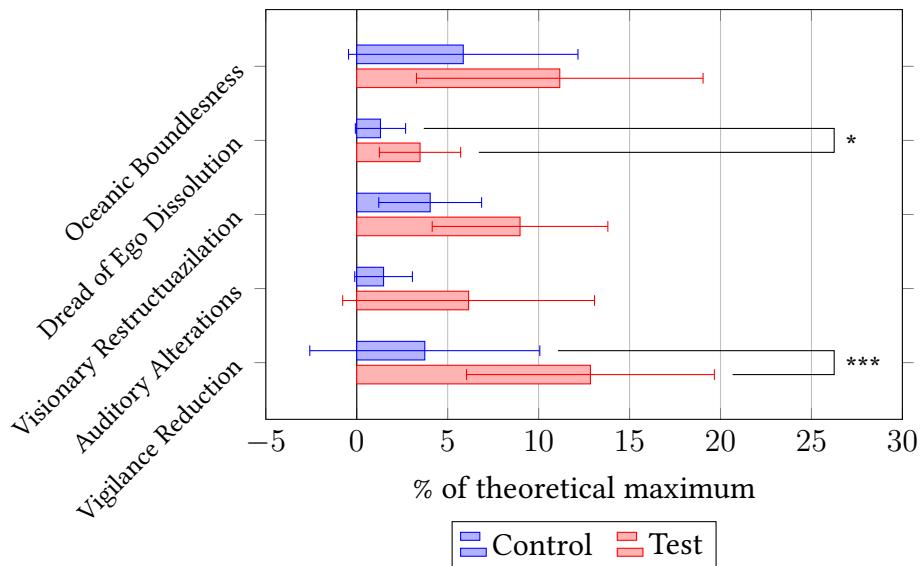


Figure 4.1: Resulting scores according to the **5D-ASC** scoring for control and test scenarios. Error bars show the 95% confidence interval of the true mean, assuming normal distribution. Statistical significance indicated with * ($p < 0.05$) and *** ($p < 0.001$).

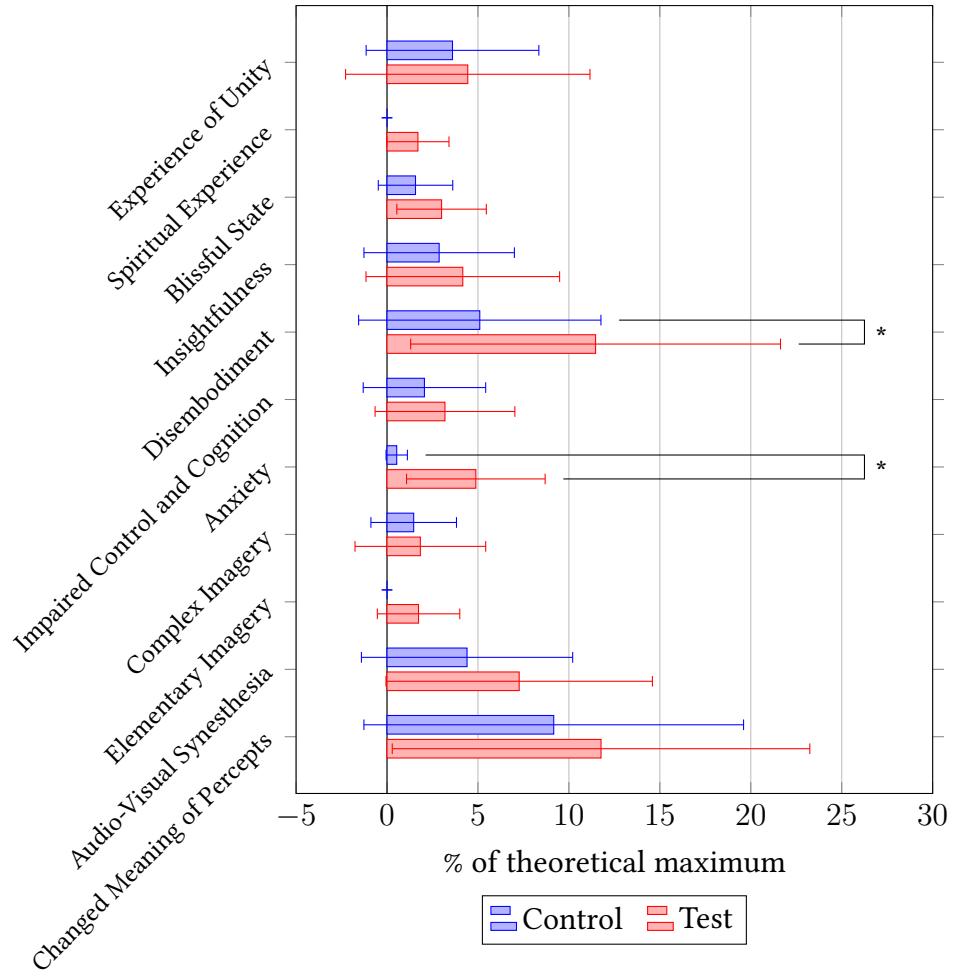


Figure 4.2: Resulting scores according to the **11-ASC** scoring for control and test scenarios. Error bars show the 95% confidence interval of the true mean, assuming normal distribution. Statistical significance indicated with * ($p < 0.05$).

5 | Conclusion

5.1 Discussion

5.2 Notable Issues Encountered During Development

5.3 Limitations

Low sample size, Experimental conditions (auditory noise)

5.4 Future Work

Larger sample size, Other aspects, stronger hypothesis, eye tracking, hand tracking, body tracking, indoor scene, improvements for tracer effect

List of Acronyms

11-ASC 11-Factor Altered States of Consciousness Questionnaire: A version of the *Altered States of Consciousness Rating Scale* psychometric questionnaire, which is based on the hypothesis that **ASCs** have a common core independent of the induction method which distinguishes them from the waking conscious state (Figueiredo et al. 2016; Studerus, Gamma, and Vollenweider 2010).

5-HT 5-hydroxytryptamine, also known as serotonin

5D-ASC 5-Dimensional Altered States of Consciousness Questionnaire: Like the **11-ASC**, but with different scoring and categories (Dittrich, Lamparter, and Maurer 2010).

AI artificial intelligence

ASC altered state of consciousness: See section 2.1 for a complete definition and related terms.

DCNN deep convolutional neural networks

DMT *N,N*-dimethyltryptamine: A classical hallucinogenic drug first synthesized in 1931 (Manske 1931), a psychoactive compound of Ayahuasca, the ceremonial spiritual medicine used by Amazonian natives for shamanic purposes and to bond socially in a casual setting (Mark Hay 2020).

DSP digital signal processing

EEG electroencephalograph

FOV field of view

FPS frames per second: A unit of monitor refresh rate, equivalent to hertz (Hz).

GPU graphics processing unit: A specialized extension module providing acceleration for computer graphics computations and other parallelizable tasks.

HDRI high dynamic range imaging

HMD head-mounted display

LSD lysergic acid diethylamide: A classical hallucinogenic drug first synthesized in 1938 from ergotamine, an alkaloid of the ergot rye fungus (Albert Hofmann 1969).

MEQ30 30-item revised mystical experience questionnaire

MTE ‘mystical-type’ experience: Subjective experiences whose characteristics include a sense of connectedness, transcendence, and ineffability.

PCI Phenomenology of Consciousness Inventory: A psychometric questionnaire based on the hypothesis that different states of consciousness can be characterized in terms of phenomenological dimensions which can be quantified in terms of their intensity. The resulting pattern is assumed to be typical of a particular induction method and can be observed consistently (Figueiredo et al. 2016).

THC tetrahydrocannabinol: One of the psychoactive compounds in cannabis.

UE4 Unreal Engine 4: A game development engine.

VAS visual analog scale

VR virtual reality

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A | Questionnaire

Czech translation

The translated questionnaire consisted of the following 94 questions, with responses in the form of horizontal visual analogue scales, with the left side of the scale labeled as “Ne, ne více než obvykle” (“No, not more than usually”) and the right side as “Ano, více než obvykle” (“Yes, much more than usually”).

Question

-
1. Cítím/a jsem, že jsem byl/a v nádherném jiném světě.
 2. Moje myšlení a jednání bylo zpomalené.
 3. Moje tělesné pocitů byly velice příjemné.
 4. Slyšel/a jsem jednotlivá slova, aniž bych věděl/a odkud přicházejí.
 5. Slyšel/a jsem zvonění a tóny, aniž bych věděl/a odkud přicházejí.
 6. Cítím/a jsem, jako by mne ovládly temné síly.
 7. Viděl/a jsem věci, o kterých jsem věděl/a, že nejsou skutečné.
 8. Cítím/a jsem se jako loutka nebo panenka.
 9. Cítím/a jsem se být propojen/á s vyšší mocí.
 10. Cítím/a jsem se ospalý/á.
 11. V mysli mi vytanula melodie, kterou jsem si musel/a neustále opakovat dokola.
 12. Zažil/a jsem pocit bezbřehé radosti.
 13. Bezmýšlenkové zvuky zněly jako opravdová slova nebo věty.
 14. V absolutní tmě nebo se zavřenýma očima jsem viděl/a pravidelné obrazce.
 15. Cítím/a jsem se opilý/á.
 16. Cítím/a jsem, že jsem byl/a jako zázrakem navždy změněn/a.
 17. Cítím/a jsem se na pokraji bezvědomí.
 18. Zdálo se mi, že se vše sjednocuje v jedno.
 19. Slyšel/a jsem svoje myšlenky, jako kdybych je říkal/a nahlas.
 20. Zdálo se, že zvuky ovlivňují to, co vidím.
 21. Cítím/a jsem se ztrápený/á.
 22. Se zavřenýma očima nebo v absolutní tmě jsem viděl/a barvy.
 23. Zdálo se, že tvary se mění podle zvuků.
 24. Vnímal/a jsem vše rozmazené, jakoby skrze nějakou mlhu.
 25. Nějaký hlas komentoval vše, na co jsem pomyslel/a, přestože kolem nikdo nebyl.
 26. Měl/a jsem pocit, jako bych už neměl/a tělo.
 27. Cítím/a jsem se neschopný/á udělat sebemenší rozhodnutí.
 28. Některé každodenní záležitosti získaly zvláštní význam.
 29. Cítím/a jsem se mátožný.
 30. Slyšel/a jsem celé věty, aniž bych věděla, odkud přicházejí.
 31. Věci kolem pro mě dostaly nový zvláštní význam.
 32. Bál/a jsem se, že stav, ve kterém jsem se nacházel/a, bude trvat navždy.
 33. V absolutní tmě nebo se zavřenýma očima jsem viděl/a světla nebo záblesky.
 34. Cítím/a jsem se sjednocen/a se svým okolím.
 35. Starosti a úzkosti každodenního života se zdály nepodstatné.
 36. Moje vnímání času a prostoru bylo změněné, jako kdybych snil/a.
 37. Moje vnímání bylo rozmazené.

38. Měl/a jsem problém odlišit podstatné věci od nepodstatných.
39. Se zavřenýma očima nebo v absolutní tmě se mi promítaly různé scény.
40. Cítil/a jsem, že mám mimořádné schopnosti.
41. Zažil/a jsem dotek věčnosti.
42. Zdálo se, že konflikty a rozpory se rozplynuly.
43. Byl/a jsem vyděšený/á, aniž bych přesně věděl/a proč.
44. Všechno jsem prožíval/a děsivě zkreslené.
45. Svět se zdál mimo dobro a зло.
46. Své okolí jsem zažíval/a jako divné a zvláštní.
47. Cítil/a jsem se jako bych byl/a paralizovaný/á.
48. Slyšel/a jsem hudbu, aniž bych věděl/a, odkud přichází.
49. Slyšel/a jsem něco tak slabě, že jsem to nemohl/a identifikovat.
50. Cítil/a jsem vše velmi intenzivně.
51. Cítil/a jsem se otupělý/á.
52. Prožíval jsem minulost, současnost a budoucnost jako jedno.
53. Zažil/a jsem nesnesitelnou prázdnnotu.
54. Okolní předměty mě emočně upoutávaly více než obvykle.
55. Z původně nejasného šumu, jež jsem měl/a problém identifikovat, se postupně vyvinuly jasné tóny a zvonění.
56. Cítil/a jsem se ohrožen/a.
57. Spousta věcí mi připadala neskonale krásná.
58. Na mysl mi přišly věci, o kterých jsem si myslel/a, že jsou již dlouho zapomenuté.
59. Cítil/a jsem se, jako se obvykle cítím těsně před usnutím.
60. Moje tělo se cítilo strnulé, bez života a/nebo jako cizí.
61. Cítil/a jsem se jako v polospánku.
62. Měl/a jsem pocit, že jsem mimo své tělo.
63. Připadalo mi, jako bych se vznášel/a.
64. Cítil/a jsem se izolovaný/á od všeho a od všech.
65. Slyšel/a jsem hlasy, které nepřicházely z okolí jako obvykle.
66. Slyšel/a jsem něco jako hučení, bzučení nebo šumění, aniž bych dokázal/a rozpoznat jejich příčinu.
67. Nebyl/a jsem schopen/á dokončit myšlenku, moje myšlení bylo opakováně nesouvislé.
68. Cítil/a jsem, že každou chvíli usnu.
69. Najednou jsem pochopil/a souvislosti, které mě předtím mátly.
70. Mnoho věcí mi připadalo neuvěřitelně legračních.
71. Zdálo se, že hranice mezi mnou a mým okolím se stírá.
72. Mohl/a jsem neobyčejně jasně vidět obrazy ze svých vzpomínek a představ.
73. Cítil/a jsem se naprostě volný/á a zproštěný/á veškerých povinností.
74. Slyšel/a jsem neurčité zvuky, aniž bych věděl/a, odkud přicházejí.
75. Zdálo se, že barvy věcí se mění podle zvuků a hluku.
76. Zvuky a hluk byly slabší než obvykle.
77. Měl/a jsem velice originální myšlenky.
78. Měl/a jsem pocit, že už vůbec nemám vlastní vůli.
79. Bál/a jsem se, že nad sebou ztrácím kontrolu.
80. Po delší časový úsek jsem zůstal/a ustrnulý/á ve velice nepřirozené pozici.
81. Zažil/a jsem něco jako silný úžas.
82. Moje představivost byla extrémně živá.
83. Věci v mé okolí se mi zdály menší nebo větší.
84. Cítil/a jsem se vyčerpaný/á.
85. Čas ubíhal trýznivě pomalu.
86. Prožil/a jsem hluboký vnitřní klid.
87. Všechno kolem mě se zdálo být živoucí.
88. Všechno utíkalo tak rychle, že jsem to nestačil/a sledovat.
89. Měl/a jsem pocit, že se stane něco hrozného.
90. Byl/a jsem schopný/á si vybavit určité události s extrémní jasností.
91. Zažil/a jsem všeobjímající lásku.
92. V místnosti jsem zaznamenal/a zvuky, u kterých nepovažuji za pravděpodobné, že by byly skutečné.
93. Slyšel/a jsem tikání, klepání, zvonění nebo rachocení, aniž bych byl/a schopný/á rozpoznat jejich příčinu.
94. Můj prožitek měl i náboženský rozměr.

Question per Factor Influence

The following factors of the questionnaire scorings are influenced by the questions indicated by the indices on the right of each factor.

5-Dimensional Altered States of Consciousness Questionnaire (5D-ASC)

Factor	Question Indices
1. Experience of Unity	18, 34, 41, 42, 52
2. Spiritual Experience	9, 81, 94
3. Blissful State	12, 86, 91
4. Insightfulness	50, 69, 77
5. Disembodiment	26, 62, 63
6. Impaired Control and Cognition	8, 27, 38, 47, 64, 67, 78
7. Anxiety	32, 43, 44, 46, 56, 89
8. Complex Imagery	39, 79, 82
9. Elementary Imagery	14, 22, 33
10. Audio-Visual Synesthesia	20, 23, 75
11. Changed Meaning of Percepts	28, 31, 54

11-Factor Altered States of Consciousness Questionnaire (11-ASC)

Factor	Question Indices
1. Oceanic Boundlessness	1, 3, 9, 12, 16, 18, 26, 34, 35, 36, 40, 41, 42, 45, 50, 52, 57, 62, 63, 69, 71, 73, 81, 86, 87, 91, 94
2. Dread of Ego Dissolution	6, 8, 21, 27, 32, 38, 43, 44, 46, 47, 53, 56, 60, 64, 67, 78, 79, 80, 85, 88, 89
3. Visionary Restructualization	7, 14, 20, 22, 23, 28, 31, 33, 39, 54, 58, 70, 72, 75, 77, 82, 83, 90
4. Auditory Alterations	4, 5, 11, 13, 19, 25, 30, 48, 49, 55, 65, 66, 74, 76, 92, 93
5. Vigilance Reduction	2, 10, 15, 17, 24, 29, 37, 51, 59, 61, 68, 84

B | Informed Consent Form

The following page contains the informed consent form in czech. Notably, as the rest of the study, it takes into account the *Recommendations for good scientific practice and the consumers of VR-technology* (Madary and Metzinger 2016).

Informovaný souhlas

Informace o studii (projektu):

Název studie: SimR, Simulace pozměněných stavů vědomí pomocí virtuální reality

Autor studie: Jakub Hlusička, student ČVUT

Cíle studie:

Cílem této studie je změřit vliv vyvinuté aplikace pro imerzivní virtuální realitu (dále jen VR aplikace) na lidské vědomí. VR aplikace byla vytvořena za účelem simulace či napodobení vybraných aspektů pozměněných stavů vědomí, a to konkrétně takových, které vznikají po užití psychedelických látek, jako je např. psilocybin (aktivní látka v lysohlávkách) nebo LSD.

Průběh testování:

Testování proběhne dvakrát, v různých dnech. Jednou se testuje aktivní scénář, kdy se uživateli spustí simulace pozměněného stavu vědomí; jednou kontrolní scénář, kdy se pozměněný stav vědomí nesimuluje.

Před testovací fází jsou během 5-10 minut předány instrukce k použití technologií virtuální reality a použití vyvinuté VR aplikace. Testovací fáze trvá 10 minut. Po testovací fázi je účastníkovi předán dotazník k vyplnění.

Informace o účastníkovi:

Jméno: _____

Datum narození: _____

Prohlášení:

1. Já, níže podepsaný(á) souhlasím s mou účastí ve studii. Je mi více než 18 let.
2. Rozumím, že technologie imerzivní virtuální reality mohou trvale ovlivnit chování uživatele a že některá tato rizika technologií imerzivní virtuální reality mohou být v současné době neznámá.
3. Rozumím, že VR aplikace nemusí plně odpovídat pozměněným stavům vědomí vyvolaných pomocí psychedelických látek a že mě moje účast v této studii a studie samotná nepodněcuje k užití psychedelických látek.
4. Při zařazení do studie budou moje osobní data uchována s plnou ochranou důvěrnosti dle platných zákonů ČR. Je zaručena ochrana důvěrnosti mých osobních dat. Při vlastním provádění studie mohou být osobní údaje poskytnuty jiným než výše uvedeným subjektům pouze bez identifikačních údajů. Rovněž pro výzkumné a vědecké účely mohou být moje osobní údaje poskytnuty pouze bez identifikačních údajů (anonymní data) nebo s mým výslovným souhlasem.
5. Porozuměl(a) jsem tomu, že mé jméno se nebude nikdy vyskytovat v referátech o této studii. Já naopak nebudu proti použití výsledků z této studie.
6. Byl(a) jsem podrobně informován(a) o cíli studie, o jejích postupech, okolnostech, průběhu a délce trvání.
7. Porozuměl(a) jsem tomu, že svou účast ve studii mohu kdykoliv přerušit či odstoupit. Moje účast ve studii je dobrovlnná.

Datum:

Podpis účastníka:

C | Remarks on the Method of Statistical Analysis

Many studies utilizing the **5D-ASC** scoring or the **11-ASC** scoring (such as Carbonaro et al. (2018), Holze et al. (2020), Holze et al. (2021), and Hütten et al. (2020)) use statistical tests which require normally distributed variables (repeated-measures analysis of variance, paired t-test), to compare the resulting questionnaire scores of placebo and non-placebo groups. Our study has used the same approach, but we identified an issue with this approach, which may be relevant to the field.

The issue stems from the fact that the visual analog scale (**VAS**), which is the method participants answer to the questions of the questionnaire, results in bounded measurements in the interval [0%; 100%]. After applying this questionnaire in our study, we found out, that for the control scenario, many of the questions were answered with 0%, as can also be seen in the aforementioned studies. This results in the mean of the resulting factors, which are also bounded by the same interval as the answers themselves, being very close to the lower bound of 0%.

It may be a mistake to assume that the resulting factors are normally distributed, if, for example, their 95% confidence interval (of the assumed normal distribution) reaches outside of the [0%; 100%] bounds. This can also be seen in figures 4.1 and 4.2. It seems that some studies prefer to mask this issue by visualising the error of the resulting factors using the standard error (SE), which is essentially a 68% confidence interval. However, the preferred measure for precision in medicine is the 95% confidence interval (Lang 2004), so the usage of the SE may be misleading. When interpreting data with the SE, it helps to remember that the 95% confidence interval of a normal distribution is roughly twice (~1.96) as large as the 68% interval denoted by the SE.

As an alternative, it may be much more appropriate to use a bounded distribution to model the resulting factors instead. The following two may be suitable:

1. The logit-normal distribution: If X is logit-normally distributed, then $Y = \text{logit}(X) = \ln(\frac{X}{1-X})$ is normally distributed. Essentially, the logit transform stretches the lower (0) and upper (1) bounds to infinity (see figure C.1). However, the logit transform's domain is an open interval (0; 1), rather than a closed interval [0; 1], which prevents it from being usable with measurements at the bounds. This is, unfortunately, the case for the psychometric questionnaire used in this study, as participants may answer with 0% or

100%.

2. The beta distribution: Doesn't suffer from the necessity of using a transform that would be undefined at the bounds. Possibly even more expressive than the logit-normal distribution.

I suspect the main challenge of using these alternative distributions comes with the testing of hypotheses. With these distributions, we can no longer use standard statistical methods of analysis only applicable to normally distributed data.

I don't believe this finding invalidates past studies, but it may serve as a place for improvement of future ones.

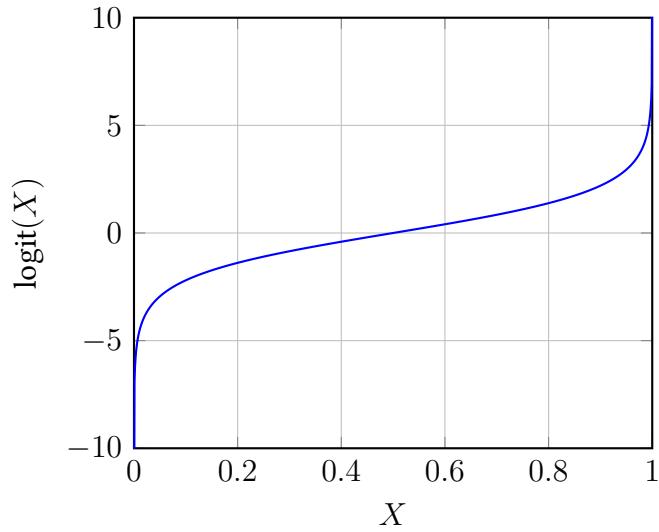


Figure C.1: The logit transform.

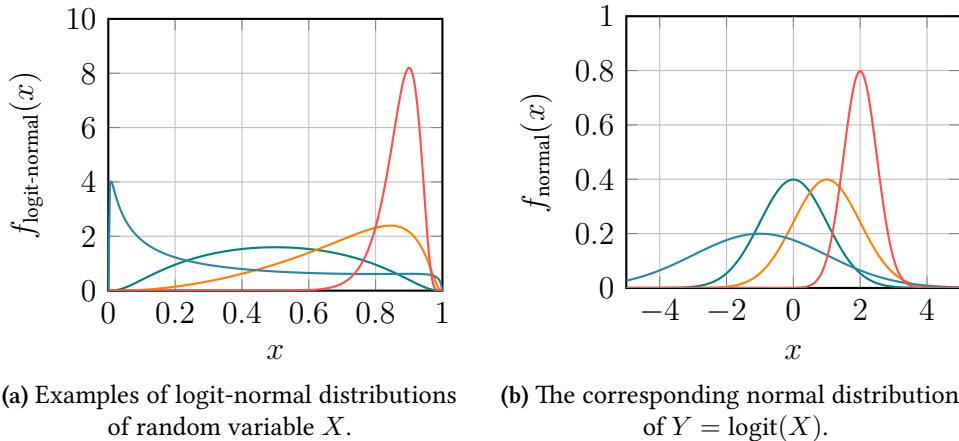


Figure C.2: Examples of the logit-normal distribution and their corresponding normal distributions.

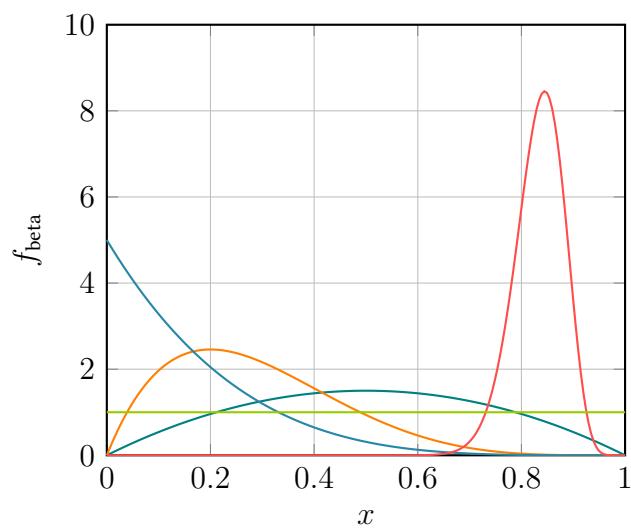


Figure C.3: Examples of the beta distribution. Note that the beta distribution, as opposed to the logit-normal distribution, is also able to express a uniform distribution with parameters $\alpha = 1, \beta = 1$.