

Modular Analysis

Joonhyup Lee

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1 Syntax and Semantics

1.1 Abstract Syntax

Identifiers	x	\in	Var	
Expression	e	\rightarrow	$x \mid \lambda x.e \mid e e$	λ -calculus
			$ e \bowtie e$	linked expression
			$ \varepsilon$	empty module
			$ x=e ; e$	(recursive) binding

Figure 1: Abstract syntax of the language.

1.2 Operational Semantics

Environment	σ	\in	Env	
Location	ℓ	\in	Loc	
de Bruijn Index	n	\in	\mathbb{N}	
Value	v	\in	$\text{Val} \triangleq \text{Env} + \text{Var} \times \text{Expr} \times \text{Env}$	
Weak Value	w	\in	$\text{WVal} \triangleq \text{Val} + \underline{\text{Val}}$	
Environment	σ	\rightarrow	\bullet	empty stack
			$ (x, w) :: \sigma$	weak value binding
			$ (x, \ell) :: \sigma$	free location binding
			$ (x, n) :: \sigma$	bound location binding
Value	v	\rightarrow	σ	exported environment
			$ \langle \lambda x.e, \sigma \rangle$	closure
Weak Value	w	\rightarrow	v	value
			$ \mu.v$	recursive value

Figure 2: Definition of the semantic domains.

$\sigma \vdash e \Downarrow v$			
ID $\frac{\sigma(x) = v}{\sigma \vdash x \Downarrow v}$	RECID $\frac{\sigma(x) = \mu.v}{\sigma \vdash x \Downarrow v^{\mu.v}}$	FN $\frac{}{\sigma \vdash \lambda x.e \Downarrow \langle \lambda x.e, \sigma \rangle}$	APP $\frac{\sigma \vdash e_1 \Downarrow \langle \lambda x.e, \sigma_1 \rangle \quad \sigma \vdash e_2 \Downarrow v_2 \quad (x, v_2) :: \sigma_1 \vdash e \Downarrow v}{\sigma \vdash e_1 e_2 \Downarrow v}$
LINK $\frac{\sigma \vdash e_1 \Downarrow \sigma_1 \quad \sigma_1 \vdash e_2 \Downarrow v}{\sigma \vdash e_1 \bowtie e_2 \Downarrow v}$	EMPTY $\frac{}{\sigma \vdash \varepsilon \Downarrow \bullet}$	BIND $\frac{\ell \notin \text{FLoc}(\sigma) \quad (x, \ell) :: \sigma \vdash e_1 \Downarrow v_1 \quad (x, \mu.\backslash^\ell v_1) :: \sigma \vdash e_1 \Downarrow \sigma_2}{\sigma \vdash x = e_1 ; e_2 \Downarrow (x, \mu.\backslash^\ell v_1) :: \sigma_2}$	

Figure 3: The big-step operational semantics.

We use the locally nameless representation, and enforce that all values be *locally closed*. As a consequence, the big-step operational semantics will be *deterministic*, no matter what ℓ is chosen in the Bind rule.

1.3 Reconciling with Conventional Backpatching

Environment	σ	\in	$\text{MEnv} \triangleq \text{Var} \xrightarrow{\text{fin}} \text{Loc}$	
Memory	m	\in	$\text{Mem} \triangleq \text{Loc} \xrightarrow{\text{fin}} \text{MVal}$	
Allocated set	L	\subseteq	Loc	
Value	v	\in	$\text{MVal} \triangleq \text{MEnv} + \text{Var} \times \text{Expr} \times \text{MEnv}$	
Environment	σ	\rightarrow	\bullet	empty stack
		$ $	$(x, \ell) :: \sigma$	location binding
Value	v	\rightarrow	σ	exported environment
		$ $	$\langle \lambda x.e, \sigma \rangle$	closure

Figure 4: Definition of the semantic domains with memory.

$\sigma, m, L \vdash e \Downarrow v, m', L'$

$\frac{\text{ID} \quad \sigma(x) = \ell \quad m(\ell) = v \quad \text{FN}}{\sigma, m, L \vdash x \Downarrow v, m, L} \quad \sigma, m, L \vdash \lambda x.e \Downarrow \langle \lambda x.e, \sigma \rangle, m, L$
$\frac{\text{APP} \quad \sigma, m, L \vdash e_1 \Downarrow \langle \lambda x.e, \sigma_1 \rangle, m_1, L_1 \quad \sigma, m_1, L_1 \vdash e_2 \Downarrow v_2, m_2, L_2 \quad \ell \notin \text{dom}(m_2) \cup L_2 \quad (x, \ell) :: \sigma_1, m_2[\ell \mapsto v_2], L_2 \vdash e \Downarrow v, m', L'}{\sigma, m, L \vdash e_1 e_2 \Downarrow v, m', L'}$
$\frac{\text{LINK} \quad \sigma, m, L \vdash e_1 \Downarrow \sigma_1, m_1, L_1 \quad \sigma_1, m_1, L_1 \vdash e_2 \Downarrow v, m', L'}{\sigma, m, L \vdash e_1 \bowtie e_2 \Downarrow v, m', L'} \quad \frac{\text{EMPTY}}{\sigma, m, L \vdash \varepsilon \Downarrow \bullet, m, L}$
$\frac{\text{BIND} \quad \ell \notin \text{dom}(m) \cup L \quad (x, \ell) :: \sigma, m, L \cup \{\ell\} \vdash e_1 \Downarrow v_1, m_1, L_1 \quad (x, \ell) :: \sigma, m_1[\ell \mapsto v_1], L_1 \vdash e_2 \Downarrow \sigma_2, m', L'}{\sigma, m, L \vdash x = e_1; e_2 \Downarrow (x, \ell) :: \sigma_2, m', L'}$

Figure 5: The big-step operational semantics with memory.

$w \sim_f v, m$

$\frac{\text{EQ-NIL} \quad \bullet \sim_f \bullet}{\bullet \sim_f \bullet}$	$\frac{\text{EQ-CONSFREE} \quad \ell \notin \text{dom}(f) \quad \ell \notin \text{dom}(m) \quad \sigma \sim_f \sigma'}{(x, \ell) :: \sigma \sim_f (x, \ell) :: \sigma'}$	$\frac{\text{EQ-CONSBIND} \quad f(\ell) = \ell' \quad \ell' \in \text{dom}(m) \quad \sigma \sim_f \sigma'}{(x, \ell) :: \sigma \sim_f (x, \ell') :: \sigma'}$
$\frac{\text{EQ-CONSWVAL} \quad m(\ell') = v' \quad w \sim_f v' \quad \sigma \sim_f \sigma'}{(x, w) :: \sigma \sim_f (x, \ell') :: \sigma'}$	$\frac{\text{EQ-CLOS} \quad \sigma \sim_f \sigma'}{\langle \lambda x.e, \sigma \rangle \sim_f \langle \lambda x.e, \sigma' \rangle}$	$\frac{\text{EQ-REC} \quad L \text{ finite} \quad m(\ell') = v' \quad \forall \ell \notin L, v^\ell \sim_{f[\ell \mapsto \ell']} v'}{\mu.v \sim_f v'}$

Figure 6: The equivalence relation between weak values in the original semantics and values in the semantics with memory. $f \in \text{Loc} \xrightarrow{\text{fin}} \text{Loc}$ tells what the free locations in w that were *opened* should be mapped to in memory.

The semantics in Figure 3 makes sense due to similarity with a conventional backpatching semantics as

presented in Figure 5. We have defined a relation \sim that satisfies:

$$\sim \subseteq \text{WVal} \times (\text{MVal} \times \text{Mem} \times \mathcal{P}(\text{Loc})) \quad \bullet \sim (\bullet, \emptyset, \emptyset)$$

and the following theorem:

Theorem 1.1 (Equivalence of semantics). For all $\sigma \in \text{Env}$, $\sigma' \in \text{MEnv} \times \text{Mem} \times \mathcal{P}(\text{Loc})$, $v \in \text{Val}$, $v' \in \text{MVal} \times \text{Mem} \times \mathcal{P}(\text{Loc})$, we have:

$$\begin{aligned} \sigma \sim \sigma' \text{ and } \sigma \vdash e \Downarrow v &\Rightarrow \exists v' : v \sim v' \text{ and } \sigma' \vdash e \Downarrow v' \\ \sigma \sim \sigma' \text{ and } \sigma' \vdash e \Downarrow v' &\Rightarrow \exists v : v \sim v' \text{ and } \sigma \vdash e \Downarrow v \end{aligned}$$

The actual definition for \sim is given in Figure 6.

The proof of Theorem 1.1 uses some useful lemmas, such as:

Lemma 1.1 (Free locations not in f are free in memory).

$$w \sim_f v', m \Rightarrow m|_{\text{FLoc}(w) - \text{dom}(f)} = \perp$$

Lemma 1.2 (Equivalence is preserved by extension of memory).

$$w \sim_f v', m \text{ and } m \sqsubseteq m' \text{ and } m'|_{\text{FLoc}(w) - \text{dom}(f)} = \perp \Rightarrow w \sim_f v', m$$

Lemma 1.3 (Equivalence only cares about f on free locations).

$$w \sim_f v', m \text{ and } f|_{\text{FLoc}(w)} = f'|_{\text{FLoc}(w)} \Rightarrow w \sim_{f'} v', m$$

Lemma 1.4 (Extending equivalence on free locations).

$$w \sim_f v', m \text{ and } \ell \notin \text{dom}(f) \text{ and } \ell \notin \text{dom}(m) \Rightarrow \forall u', w \sim_{f[\ell \mapsto \ell]} v', m[\ell \mapsto u']$$

Lemma 1.5 (Substitution of values).

$$w \sim_f v', m \text{ and } f(\ell) = \ell' \text{ and } m(\ell') = u' \text{ and } u \sim_{f-\ell} u', m \Rightarrow w[u/\ell] \sim_{f-\ell} v', m$$

Lemma 1.6 (Substitution of locations).

$$w \sim_f v', m \text{ and } \ell \in \text{dom}(f) \text{ and } \nu \notin \text{FLoc}(w) \Rightarrow w[\nu/\ell] \sim_{f \circ (\nu \leftrightarrow \ell)} v', m$$

2 Generating and Resolving Events

Now we formulate the semantics for generating events.

Event	E	\rightarrow	Init	initial environment
			Read(E, x)	read event
			Call(E, v)	call event
Environment	σ	\rightarrow	...	
			[E]	answer to an event
Value	v	\rightarrow	...	
			E	answer to an event

Figure 7: Definition of the semantic domains with events. All other semantic domains are equal to Figure 2.

We extend how to read weak values given an environment.

$$\begin{aligned} \bullet(x) &\triangleq \perp & ((x', \ell) :: \sigma)(x) &\triangleq (x = x'?\ell : \sigma(x)) \\ [E](x) &\triangleq \text{Read}(E, x) & ((x', w) :: \sigma)(x) &\triangleq (x = x'?w : \sigma(x)) \end{aligned}$$

Then we need to add only one rule to the semantics in Figure 3 for the semantics to incorporate events.

$$\frac{\text{APPEVENT} \quad \sigma \vdash e_1 \Downarrow E \quad \sigma \vdash e_2 \Downarrow v}{\sigma \vdash e_1 e_2 \Downarrow \text{Call}(E, v)}$$

$$\begin{array}{c}
\boxed{\times \in \text{Env} \rightarrow \text{Event} \rightarrow \mathcal{P}(\text{Val})} \\
\sigma_0 \times \text{Init} \triangleq \{\sigma_0\} \\
\sigma_0 \times \text{Read}(E, x) \triangleq \{v_+ | \sigma_+ \in \sigma_0 \times E \wedge \sigma_+(x) = v_+\} \\
\quad \cup \{v_+^{\mu.v_+} | \sigma_+ \in \sigma_0 \times E \wedge \sigma_+(x) = \mu.v_+\} \\
\sigma_0 \times \text{Call}(E, v) \triangleq \{v'_+ | \langle \lambda x.e, \sigma_+ \rangle \in \sigma_0 \times E \wedge v_+ \in \sigma_0 \times v \wedge (x, v_+) :: \sigma_+ \vdash e \Downarrow v'_+\} \\
\quad \cup \{\text{Call}(E_+, v_+) | E_+ \in \sigma_0 \times E \wedge v_+ \in \sigma_0 \times v\} \\
\boxed{\times \in \text{Env} \rightarrow \text{Env} \rightarrow \mathcal{P}(\text{Env})} \\
\sigma_0 \times \bullet \triangleq \{\bullet\} \\
\sigma_0 \times (x, \ell) :: \sigma \triangleq \{(x, \ell) :: \sigma_+ | \sigma_+ \in \sigma_0 \times \sigma\} \\
\sigma_0 \times (x, w) :: \sigma \triangleq \{(x, w_+) :: \sigma_+ | w_+ \in \sigma_0 \times w \wedge \sigma_+ \in \sigma_0 \times \sigma\} \\
\sigma_0 \times [E] \triangleq \{\sigma_+ | \sigma_+ \in \sigma_0 \times E\} \cup \{[E_+] | E_+ \in \sigma_0 \times E\} \\
\boxed{\times \in \text{Env} \rightarrow \text{Val} \rightarrow \mathcal{P}(\text{Val})} \\
\sigma_0 \times \langle \lambda x.e, \sigma \rangle \triangleq \{\langle \lambda x.e, \sigma_+ \rangle | \sigma_+ \in \sigma_0 \times \sigma\} \\
\boxed{\times \in \text{Env} \rightarrow \text{WVal} \rightarrow \mathcal{P}(\text{WVal})} \\
\sigma_0 \times \mu.v \triangleq \{\mu.v_+ | \ell \notin \text{FLoc}(v) \cup \text{FLoc}(\sigma_0) \wedge v_+ \in \sigma_0 \times v^\ell\}
\end{array}$$

Figure 8: Definition for concrete linking.

Now we need to formulate the *concrete linking* rules. The concrete linking rule $\sigma_0 \times w$, given an answer σ_0 to the `Init` event, resolves all events within w to obtain a set of final results.

Concrete linking makes sense because of the following theorem. First define:

$$\text{eval}(e, \sigma) \triangleq \{v | \sigma \vdash e \Downarrow v\} \quad \text{eval}(e, \Sigma) \triangleq \bigcup_{\sigma \in \Sigma} \text{eval}(e, \sigma) \quad \sigma_0 \times W \triangleq \bigcup_{w \in W} (\sigma_0 \times w)$$

Then the following holds:

Theorem 2.1 (Soundness of concrete linking). Given $e \in \text{Expr}, \sigma \in \text{Env}, v \in \text{Val}$,

$$\forall \sigma_0 \in \text{Env} : \text{eval}(e, \sigma_0 \times \sigma) \subseteq \sigma_0 \times \text{eval}(e, \sigma)$$

The proof of Theorem 2.1 uses some useful lemmas, such as:

Lemma 2.1 (Linking distributes under substitution). Let σ_0 be the external environment that is linked with locally closed weak values w and u . For all $\ell \notin \text{FLoc}(\sigma_0)$, we have:

$$\forall w_+, u_+ : w_+ \in \sigma_0 \times w \wedge u_+ \in \sigma_0 \times u \Rightarrow \{u_+ \leftarrow \ell\} w_+ \in \sigma_0 \times \{u \leftarrow \ell\} w$$

Lemma 2.2 (Linking is compatible with reads). Let σ_0 be the external environment that is linked with some environment σ . Let v be the value obtained from reading x from σ . Let $\text{unfold} : \text{WVal} \rightarrow \text{Val}$ be defined as:

$$\text{unfold}(\mu.v) \triangleq v^{\mu.v} \quad \text{unfold}(v) \triangleq v$$

Then for all $\sigma_+ \in \sigma_0 \times \sigma$, we have:

$$\exists w_+ \in \text{WVal} : \sigma_+(x) = w_+ \wedge \text{unfold}(w_+) \in \sigma_0 \times v$$

3 CFA

Program point	p	\in	$\mathbb{P} \triangleq \{\text{finite set of program points}\}$
Labelled expression	pe	\in	$\mathbb{P} \times \text{Expr}$
Collecting semantics	t	\in	$\mathbb{T} \triangleq \mathbb{P} \rightarrow \mathcal{P}(\text{Env} + \text{Env} \times \text{Val})$
Labelled expression	pe	\rightarrow	$\{p : e\}$
Expression	e	\rightarrow	$x \mid \lambda x. pe \mid pe \ pe \mid pe \rtimes pe \mid \varepsilon \mid x=pe; pe$

Step : $\mathbb{T} \rightarrow \mathbb{T}$

$$\text{step}(t) \triangleq \bigcup_{p \in \mathbb{P}} \text{step}(t, p)$$

step : $(\mathbb{T} \times \mathbb{P}) \rightarrow \mathbb{T}$

$$\begin{aligned}
\text{step}(t, p) &\triangleq [p \mapsto \{(\sigma, v) \mid \sigma \in t(p) \text{ and } \sigma(x) = v\}] && \{p : x\} \\
&\cup [p \mapsto \{(\sigma, v^{\mu.v}) \mid \sigma \in t(p) \text{ and } \sigma(x) = \mu.v\}] \\
\text{step}(t, p) &\triangleq [p \mapsto \{(\sigma, \langle \lambda x. p', \sigma \rangle) \mid \sigma \in t(p)\}] && \{p : \lambda x. p'\} \\
\text{step}(t, p) &\triangleq [p_1 \mapsto \{\sigma \mid \sigma \in t(p)\}] && \{p : p_1 \ p_2\} \\
&\cup [p_2 \mapsto \{\sigma \mid \sigma \in t(p)\}] \\
&\cup \bigcup_{\sigma \in t(p)} \bigcup_{(\sigma, \langle \lambda x. p', \sigma_1 \rangle) \in t(p_1)} [p' \mapsto \{(x, v_2) :: \sigma_1 \mid (\sigma, v_2) \in t(p_2)\}] \\
&\cup [p \mapsto \bigcup_{\sigma \in t(p)} \bigcup_{(\sigma, \langle \lambda x. p', \sigma_1 \rangle) \in t(p_1)} \bigcup_{(\sigma, v_2) \in t(p_2)} \{(\sigma, v) \mid ((x, v_2) :: \sigma_1, v) \in t(p')\}] \\
&\cup [p \mapsto \bigcup_{\sigma \in t(p)} \{(\sigma, \text{Call}(E_1, v_2)) \mid (\sigma, E_1) \in t(p_1) \text{ and } (\sigma, v_2) \in t(p_2)\}] \\
\text{step}(t, p) &\triangleq [p_1 \mapsto \{\sigma \mid \sigma \in t(p)\}] && \{p : p_1 \rtimes p_2\} \\
&\cup [p_2 \mapsto \bigcup_{\sigma \in t(p)} \{\sigma_1 \mid (\sigma, \sigma_1) \in t(p_1)\}] \\
&\cup [p \mapsto \bigcup_{\sigma \in t(p)} \bigcup_{(\sigma, \sigma_1) \in t(p_1)} \{(\sigma, v_2) \mid (\sigma_1, v_2) \in t(p_2)\}] \\
\text{step}(t, p) &\triangleq [p \mapsto \{(\sigma, \bullet) \mid \sigma \in t(p)\}] && \{p : \varepsilon\} \\
\text{step}(t, p) &\triangleq [p_1 \mapsto \{(x, \ell) :: \sigma \mid \sigma \in t(p) \text{ and } \ell \notin \text{FLoc}(\sigma)\}] && \{p : x=p_1; p_2\} \\
&\cup [p_2 \mapsto \{(x, \mu. \text{\tiny ℓ} v_1) :: \sigma \mid \sigma \in t(p) \text{ and } ((x, \ell) :: \sigma, v_1) \in t(p_1)\}] \\
&\cup [p \mapsto \bigcup_{\sigma \in t(p)} \bigcup_{((x, \ell) :: \sigma, v_1) \in t(p_1)} \{(\sigma, (x, \mu. \text{\tiny ℓ} v_1) :: \sigma_2) \mid ((x, \mu. \text{\tiny ℓ} v_1) :: \sigma, \sigma_2) \in t(p_2)\}]
\end{aligned}$$

The proof tree t_0 computed by

$$t_0 \triangleq \text{lfp}(\lambda t. \text{step}(t) \cup [p_0 \mapsto \{\sigma_0\}])$$

contains all derivations of the form $\sigma_0 \vdash p_0 \Downarrow v_0$ for some v_0 . That is, (σ, v) is contained in $t_0(p)$ if and only if $\sigma \vdash p \Downarrow v$ must be contained in a valid derivation for the judgment $\sigma_0 \vdash p_0 \Downarrow v_0$.

Abstract event	$E^\#$	\in	$\text{Event}^\#$
Abstract environment	$\sigma^\#$	\in	$\text{Env}^\# \triangleq (\text{Var} \xrightarrow{\text{fin}} \mathcal{P}(\mathbb{P})) \times \mathcal{P}(\text{Event}^\#)$
Abstract closure	$\langle \lambda x. p, p' \rangle$	\in	$\text{Clos}^\# \triangleq \text{Var} \times \mathbb{P} \times \mathbb{P}$
Abstract value	$v^\#$	\in	$\text{Val}^\# \triangleq \text{Env}^\# \times \mathcal{P}(\text{Clos}^\#)$
Abstract semantics	$t^\#$	\in	$\mathbb{T}^\# \triangleq \mathbb{P} \rightarrow \text{Env}^\# \times \text{Val}^\#$
Abstract event	$E^\#$	\rightarrow	$\text{Init}^\# \mid \text{Read}^\#(p, x) \mid \text{Call}^\#(p, p)$

$$\text{Step}^\# : \mathbb{T}^\# \rightarrow \mathbb{T}^\#$$

$$\text{Step}^\#(t^\#) \triangleq \bigsqcup_{p \in \mathbb{P}} \text{step}^\#(t^\#, p)$$

$$\text{step}^\# : (\mathbb{T}^\# \times \mathbb{P}) \rightarrow \mathbb{T}^\#$$

$$\begin{aligned} \text{step}^\#(t^\#, p) &\triangleq [p \mapsto \bigsqcup_{p' \in t^\#(p).1.1(x)} (t^\#(p).1, t^\#(p').2)] && \{p : x\} \\ &\sqcup [p \mapsto \bigsqcup_{t^\#(p).1.2 \neq \emptyset} (t^\#(p).1, (([], \{\text{Read}^\#(p, x)\}), \emptyset))] \\ \text{step}^\#(t^\#, p) &\triangleq [p \mapsto (t^\#(p).1, (\perp, \{\langle \lambda x.p', p \rangle\}))] && \{p : \lambda x.p'\} \\ \text{step}^\#(t^\#, p) &\triangleq [p_1 \mapsto (t^\#(p).1, \perp)] && \{p : p_1 p_2\} \\ &\sqcup [p_2 \mapsto (t^\#(p).1, \perp)] \\ &\sqcup \bigsqcup_{\langle \lambda x.p', p'' \rangle \in t^\#(p_1).2.2} [p' \mapsto (t^\#(p'').1 \sqcup ([x \mapsto \{p_2\}], \emptyset), \perp)] \\ &\sqcup [p \mapsto \bigsqcup_{\langle \lambda x.p', _ \rangle \in t^\#(p_1).2.2} (t^\#(p).1, t^\#(p').2)] \\ &\sqcup [p \mapsto \bigsqcup_{t^\#(p_1).2.1.2 \neq \emptyset} (t^\#(p).1, (([], \{\text{Call}^\#(p_1, p_2)\}), \emptyset))] \\ \text{step}^\#(t^\#, p) &\triangleq [p_1 \mapsto (t^\#(p).1, \perp)] && \{p : p_1 \bowtie p_2\} \\ &\sqcup [p_2 \mapsto (t^\#(p_1).2.1, \perp)] \\ &\sqcup [p \mapsto (t^\#(p).1, t^\#(p_2).2)] \\ \text{step}^\#(t^\#, p) &\triangleq [p \mapsto (t^\#(p).1, \perp)] && \{p : \varepsilon\} \\ \text{step}^\#(t^\#, p) &\triangleq [p_1 \mapsto (t^\#(p).1 \sqcup ([x \mapsto \{p_1\}], \emptyset), \perp)] && \{p : x = p_1; p_2\} \\ &\sqcup [p_2 \mapsto (t^\#(p).1 \sqcup ([x \mapsto \{p_1\}], \emptyset), \perp)] \\ &\sqcup [p \mapsto (t^\#(p).1, (t^\#(p_2).2.1 \sqcup ([x \mapsto \{p_1\}], \emptyset), \emptyset))] \end{aligned}$$