# Modular Analysis

Joonhyup Lee

March 22, 2024

# 1 Syntax and Semantics

#### 1.1 Abstract Syntax

Figure 1: Abstract syntax of the language.

## 1.2 Operational Semantics

Figure 2: Definition of the semantic domains.

 $\sigma \vdash e \Downarrow v$ 

$$\begin{array}{ll} \text{ID} & \text{RECID} \\ \frac{\sigma(x) = v}{\sigma \vdash x \Downarrow v} & \frac{\sigma(x) = \mu.v}{\sigma \vdash x \Downarrow \{0 \rightarrow \mu.v\}v} & \frac{\text{Fn}}{\sigma \vdash \lambda x.e \Downarrow \langle \lambda x.e, \sigma \rangle} & \frac{(x, v_2) :: \sigma_1 \vdash e \Downarrow v}{\sigma \vdash e_1 \Downarrow v} \\ \hline \\ \frac{(x, v_2) :: \sigma_1 \vdash e \Downarrow v}{\sigma \vdash e_1 e_2 \Downarrow v} \\ \hline \end{array}$$

$$\frac{\text{Link}}{\sigma \vdash e_1 \Downarrow \sigma_1} \underbrace{\frac{\text{Empty}}{\sigma \vdash e_1 \Downarrow \sigma_1}}_{\sigma \vdash e_1 \rtimes e_2 \Downarrow v} \underbrace{\frac{\text{Empty}}{\sigma \vdash \varepsilon \Downarrow \bullet}}_{\sigma \vdash \varepsilon \Downarrow \bullet} \underbrace{\frac{\text{Empty}}{\sigma \vdash \varepsilon \Downarrow \bullet}}_{\sigma \vdash x = e_1; e_2 \Downarrow (x, \mu. \{0 \leftarrow \ell\} v_1) :: \sigma_2}_{\sigma \vdash x = e_1; e_2 \Downarrow (x, \mu. \{0 \leftarrow \ell\} v_1) :: \sigma_2}$$

Figure 3: The big-step operational semantics.

We use the locally nameless representation, and enforce that all values be *locally closed*. As a consequence, the big-step operational semantics will be *deterministic*, no matter what  $\ell$  is chosen in the Bind rule.

### 1.3 Reconciling with Conventional Backpatching

Figure 4: Definition of the semantic domains with memory.

$$oxed{\sigma,m,L dash e \Downarrow v,m',L'}$$

$$\frac{\frac{\text{Id}}{\sigma(x) = \ell} \quad m(\ell) = v}{\sigma, m, L \vdash x \Downarrow v, m, L} \quad \frac{\text{FN}}{\sigma, m, L \vdash \lambda x.e \Downarrow \langle \lambda x.e, \sigma \rangle, m, L}$$

$$\frac{\text{APP}}{\sigma, m, L \vdash e_1 \Downarrow \langle \lambda x. e, \sigma_1 \rangle, m_1, L_1 \quad \sigma, m_1, L_1 \vdash e_2 \Downarrow v_2, m_2, L_2}{(x, \ell) :: \sigma_1, m_2[\ell \mapsto v_2], L_2 \vdash e \Downarrow v, m', L'} \quad \ell \notin \text{dom}(m_2) \cup L_2$$

$$\frac{\text{Link}}{\sigma, m, L \vdash e_1 \Downarrow \sigma_1, m_1, L_1} \quad \sigma_1, m_1, L_1 \vdash e_2 \Downarrow v, m', L'}{\sigma, m, L \vdash e_1 \rtimes e_2 \Downarrow v, m', L'} \quad \frac{\text{Empty}}{\sigma, m, L \vdash \varepsilon \Downarrow \bullet, m, L}$$

$$\begin{split} & \underset{\ell}{\text{BIND}} \\ & \underset{\ell}{\ell} \notin \text{dom}(m) \cup L \qquad (x,\ell) :: \sigma, m, L \cup \{\ell\} \vdash e_1 \Downarrow v_1, m_1, L_1 \\ & \underbrace{(x,\ell) :: \sigma, m_1[\ell \mapsto v_1], L_1 \vdash e_2 \Downarrow \sigma_2, m', L'}_{\sigma, m, L \vdash x = e_1; e_2 \Downarrow (x,\ell) :: \sigma_2, m', L'} \end{split}$$

Figure 5: The big-step operational semantics with memory.

 $w \sim_f v, m$ 

$$\underbrace{ \begin{array}{l} \text{EQ-Nil} \\ \bullet \sim_f \bullet \end{array} }_{\bullet} \quad \underbrace{ \begin{array}{l} \text{EQ-ConsFree} \\ \ell \notin \text{dom}(f) \quad \ell \notin \text{dom}(m) \quad \sigma \sim_f \sigma' \\ (x,\ell) :: \sigma \sim_f (x,\ell) :: \sigma' \end{array} }_{\bullet} \quad \underbrace{ \begin{array}{l} \text{EQ-ConsBound} \\ f(\ell) = \ell' \quad \ell' \in \text{dom}(m) \quad \sigma \sim_f \sigma' \\ (x,\ell) :: \sigma \sim_f (x,\ell') :: \sigma' \end{array} }_{\bullet}$$

$$\frac{\text{Eq-ConsWVal}}{m(\ell') = v' \quad w \sim_f v'} \quad \frac{\text{Eq-Clos}}{\sigma \sim_f \sigma'} \quad \frac{\text{Eq-Rec}}{\sigma \sim_f \sigma'} \quad \frac{L \text{ finite}}{\sigma \sim_f \sigma'} \quad \frac{L \text{ finite}}{m(\ell') = v'} \quad \forall \ell \notin L, \ \{0 \to \ell\} v \sim_{f[\ell \mapsto \ell']} v'}{\mu.v \sim_f v'} \quad \frac{L \text{ finite}}{\sigma \sim_f \sigma'} \quad \frac{L \text{ finite}}{\sigma \sim$$

Figure 6: The equivalence relation between weak values in the original semantics and values in the semantics with memory.  $f \in \text{Loc} \xrightarrow{\text{fin}} \text{Loc}$  tells what the free locations in w that were *opened* should be mapped to in memory.

The semantics in Figure 3 makes sense due to similarity with a conventional backpatching semantics as presented in Figure 5. We have defined a relation  $\sim$  that satisfies:

$$\sim \subseteq WVal \times (MVal \times Mem \times \mathcal{P}(Loc))$$
  $\bullet \sim (\bullet, \emptyset, \emptyset)$ 

and the following theorem:

**Theorem 1.1** (Equivalence of semantics). For all  $\sigma \in \text{Env}$ ,  $\sigma' \in \text{MEnv} \times \text{Mem} \times \mathcal{P}(\text{Loc})$ ,  $v \in \text{Val}$ ,  $v' \in \text{MVal} \times \text{Mem} \times \mathcal{P}(\text{Loc})$ , we have:

$$\sigma \sim \sigma'$$
 and  $\sigma \vdash e \Downarrow v \Rightarrow \exists v' : v \sim v'$  and  $\sigma' \vdash e \Downarrow v'$   
 $\sigma \sim \sigma'$  and  $\sigma' \vdash e \Downarrow v' \Rightarrow \exists v : v \sim v'$  and  $\sigma \vdash e \Downarrow v$ 

The actual definition for  $\sim$  is given in Figure 6.

The proof of Theorem 1.1 uses some useful lemmas, such as:

**Lemma 1.1** (Free locations not in f are free in memory).

$$w \sim_f v', m \Rightarrow m|_{\mathrm{FLoc}(w)-\mathrm{dom}(f)} = \bot$$

**Lemma 1.2** (Equivalence is preserved by extension of memory).

$$w \sim_f v', m \text{ and } m \sqsubseteq m' \text{ and } m'|_{\mathrm{FLoc}(w) - \mathrm{dom}(f)} = \bot \Rightarrow w \sim_f v', m$$

**Lemma 1.3** (Equivalence only cares about f on free locations).

$$w \sim_f v', m \text{ and } f|_{\mathrm{FLoc}(w)} = f|_{\mathrm{FLoc}(w)} \Rightarrow w \sim_{f'} v', m$$

Lemma 1.4 (Extending equivalence on free locations).

$$w \sim_f v', m \text{ and } \ell \notin \mathrm{dom}(f) \text{ and } \ell \notin \mathrm{dom}(m) \Rightarrow \forall u', w \sim_{f[\ell \mapsto \ell]} v', m[\ell \mapsto u']$$

Lemma 1.5 (Substitution of values).

$$w \sim_f v', m \text{ and } f(\ell) = \ell' \text{ and } m(\ell') = u' \text{ and } u \sim_{f-\ell} u', m \Rightarrow w[u/\ell] \sim_{f-\ell} v', m$$

Lemma 1.6 (Substitution of locations).

$$w \sim_f v', m \text{ and } \ell \in \text{dom}(f) \text{ and } \nu \notin \text{FLoc}(w) \Rightarrow w[\nu/\ell] \sim_{f \circ (\nu \leftrightarrow \ell)} v', m$$

# 2 Generating and Resolving Events

Now we formulate the semantics for generating events.

Figure 7: Definition of the semantic domains with events. All other semantic domains are equal to Figure 2.

We extend how to read weak values given an environment.

$$\bullet(x) \triangleq \bot \qquad \qquad ((x',\ell) :: \sigma)(x) \triangleq (x = x'?\ell : \sigma(x)) \\ [E](x) \triangleq \mathsf{Read}(E,x) \qquad \qquad ((x',w) :: \sigma)(x) \triangleq (x = x'?w : \sigma(x))$$

Then we need to add only one rule to the semantics in Figure 3 for the semantics to incorporate events.

$$\frac{A \text{PPEVENT}}{\sigma \vdash e_1 \Downarrow E} \quad \sigma \vdash e_2 \Downarrow v \\ \hline \sigma \vdash e_1 e_2 \Downarrow \mathsf{Call}(E,v)$$

Now we need to formulate the *concrete linking* rules. The concrete linking rule  $\sigma_0 \propto w$ , given an answer  $\sigma_0$  to the lnit event, resolves all events within w to obtain a set of final results.

Concrete linking makes sense because of the following theorem. First define:

$$\operatorname{eval}(e,\sigma) \triangleq \{v | \sigma \vdash e \Downarrow v\} \qquad \operatorname{eval}(e,\Sigma) \triangleq \bigcup_{\sigma \in \Sigma} \operatorname{eval}(e,\sigma) \qquad \sigma_0 \propto W \triangleq \bigcup_{w \in W} (\sigma_0 \propto w)$$

Then the following holds:

Figure 8: Definition for concrete linking.

**Theorem 2.1** (Soundness of concrete linking). Given  $e \in \text{Expr}, \sigma \in \text{Env}, v \in \text{Val}$ ,

$$\forall \sigma_0 \in \text{Env} : \text{eval}(e, \sigma_0 \times \sigma) \subseteq \sigma_0 \times \text{eval}(e, \sigma)$$

The proof of Theorem 2.1 uses some useful lemmas, such as:

**Lemma 2.1** (Linking distributes under substitution). Let  $\sigma_0$  be the external environment that is linked with locally closed weak values w and u. For all  $\ell \notin \text{FLoc}(\sigma_0)$ , we have:

$$\forall w_+, u_+ : w_+ \in \sigma_0 \otimes w \wedge u_+ \in \sigma_0 \otimes u \Rightarrow \{u_+ \leftarrow \ell\} \\ w_+ \in \sigma_0 \otimes \{u \leftarrow \ell\} \\ w_+ \in \sigma$$

**Lemma 2.2** (Linking is compatible with reads). Let  $\sigma_0$  be the external environment that is linked with some environment  $\sigma$ . Let v be the value obtained from reading x from  $\sigma$ . Let unfold: WVal  $\rightarrow$  Val be defined as:

$$\operatorname{unfold}(\mu.v) \triangleq \{0 \to \mu.v\}v \quad \operatorname{unfold}(v) \triangleq v$$

Then for all  $\sigma_+ \in \sigma_0 \times \sigma$ , we have:

$$\exists w_+ \in WVal : \sigma_+(x) = w_+ \land unfold(w_+) \in \sigma_0 \propto v$$

### 3 CFA

```
Program point p \in \mathbb{P} \triangleq \{\text{finite set of program points}\}\
                                                                                          e \in PExpr
                                          Labelled expression
                                                    Program graph g \in \mathbb{G} \triangleq \mathbb{P} \to PExpr
                                                                                          t \in \mathbb{T} \triangleq \mathbb{P} \to \mathcal{P}(\text{PEnv} + \text{PEnv} \times \text{PVal})
                                                               Proof tree
                                                                                                 \rightarrow x \mid \lambda x.p \mid p \mid p \mid p \bowtie p \mid \varepsilon \mid x = p; p
                                                                                                                                                                                                        \mathrm{Step}:\mathbb{T}\to\mathbb{T}
   \mathrm{Step}(t) \triangleq \bigcup_{p \in \mathbb{P}} \mathrm{step}(t,p)
                                                                                                                                                                                             \mathrm{step}: (\mathbb{T} \times \mathbb{P}) \to \mathbb{T}
step(t, p) \triangleq [p \mapsto \{(\sigma, v) | \sigma \in t(p) \text{ and } \sigma(x) = v\}]
                                                                                                                                                                                                       when q(p) = x
                     \cup [p \mapsto \{(\sigma, \{0 \to \mu.v\}v) | \sigma \in t(p) \text{ and } \sigma(v) = \mu.v\}]
step(t, p) \triangleq [p \mapsto \{(\sigma, \langle \lambda x. p', \sigma \rangle) | \sigma \in t(p)\}]
                                                                                                                                                                                              when q(p) = \lambda x.p'
\operatorname{step}(t,p) \triangleq [p_1 \mapsto \{\sigma | \sigma \in t(p)\}]
                                                                                                                                                                                               when g(p) = p_1 p_2
                    \cup [p_2 \mapsto \{\sigma | \sigma \in t(p)\}]
                     \bigcup_{\sigma \in t(p)} \bigcup_{(\sigma, \langle \lambda x. p', \sigma_1 \rangle) \in t(p_1)} [p' \mapsto \{(x, v_2) :: \sigma_1 | (\sigma, v_2) \in t(p_2) \}]   \bigcup_{\sigma \in t(p)} \bigcup_{(\sigma, \langle \lambda x. p', \sigma_1 \rangle) \in t(p_1)} \bigcup_{(\sigma, v_2) \in t(p_2)} \{(\sigma, v) | ((x, v_2) :: \sigma_1, v) \in t(p') \}] 
                    \cup [p \mapsto \bigcup_{\sigma \in t(p)} \{(\sigma, \mathsf{Call}(E_1, v_2)) | (\sigma, E_1) \in t(p_1) \text{ and } (\sigma, v_2) \in t(p_2)\}]
step(t,p) \triangleq [p_1 \mapsto \{\sigma | \sigma \in t(p)\}]
                                                                                                                                                                                           when g(p) = p_1 \rtimes p_2
                    \cup [p_2 \mapsto \bigcup_{\sigma \in t(p)} \{\sigma_1 | (\sigma, \sigma_1) \in t(p_1)\}]
                    \cup [p \mapsto \bigcup_{\sigma \in t(p)} \bigcup_{(\sigma,\sigma_1) \in t(p_1)} \{(\sigma,v_2) | (\sigma_1,v_2) \in t(p_2)\}]
step(t, p) \triangleq [p \mapsto \{(\sigma, \bullet) | \sigma \in t(p)\}]
                                                                                                                                                                                                       when q(p) = \varepsilon
step(t, p) \triangleq [p_1 \mapsto \{(x, \ell) :: \sigma | \sigma \in t(p) \text{ and } \ell \notin FLoc(\sigma)\}]
                                                                                                                                                                                     when g(p) = x = p_1; p_2
                     \cup [p_2 \mapsto \{(x, \mu. \{0 \leftarrow \ell\}v_1) :: \sigma | \sigma \in t(p) \text{ and } ((x, \ell) :: \sigma, v_1) \in t(p_1)\}]
                     \cup [p \mapsto \{(\sigma, (x, w_1) :: \sigma_2) | \sigma \in t(p) \text{ and } ((x, w_1) :: \sigma, \sigma_2) \in t(p_2)\}]
```

The proof tree  $t_0$  computed by

$$t_0 \triangleq \mathrm{lfp}(\lambda t.\mathrm{Step}(t) \cup [p_0 \mapsto \{\sigma_0\}])$$

contains all derivations of the form  $\sigma_0 \vdash p_0 \Downarrow v_0$  for some  $v_0$ . That is,  $(\sigma, v)$  is contained in  $t_0(p)$  if and only if  $\sigma \vdash p \Downarrow v$  must be contained in a valid derivation for the judgment  $\sigma_0 \vdash p_0 \Downarrow v_0$ .

$$\mathsf{Step}^\#: \mathbb{T}^\# \to \mathbb{T}^\#$$

$$\operatorname{Step}^\#(t^\#) \triangleq \bigsqcup_{p \in \mathbb{P}} \operatorname{step}^\#(t^\#, p)$$

$$step^{\#}: (\mathbb{T}^{\#} \times \mathbb{P}) \to \mathbb{T}^{\#}$$

$$\operatorname{step}^{\#}(t^{\#}, p) \triangleq [p \mapsto \bigsqcup_{p' \in t^{\#}(p).1.1(x)} (t^{\#}(p).1, t^{\#}(p').2)]$$

when 
$$g(p) = x$$

$$\begin{split} \text{step}^{\#}(t^{\#},p) \triangleq & [p \mapsto \bigsqcup_{p' \in t^{\#}(p).1.1(x)} (t^{\#}(p).1,t^{\#}(p').2)] \\ \sqcup & [p \mapsto \bigsqcup_{t^{\#}(p).1.2 \neq \emptyset} (t^{\#}(p).1,(([],\{\mathsf{Read}^{\#}(p,x)\}),\emptyset))] \end{split}$$

$$\operatorname{step}^{\#}(t^{\#},p)\triangleq [p\mapsto (t^{\#}(p).1,(\bot,\{\langle\lambda x.p',p\rangle\}))]$$
 when

$$\operatorname{step}^\#(t^\#,p)\triangleq [p_1\mapsto (t^\#(p).1,\bot)]$$

when 
$$g(p) = \lambda x.p'$$
  
when  $g(p) = p_1 p_2$ 

$$\sqcup [p_2 \mapsto (t^\#(p).1, \bot)]$$

$$\sqcup \bigsqcup_{\langle \lambda x. p', p'' \rangle \in t^{\#}(p_{1}).2.2} [p' \mapsto (t^{\#}(p'').1 \sqcup ([x \mapsto \{p_{2}\}], \emptyset), \bot)]$$

$$\sqcup [p \mapsto \bigsqcup_{t^{\#}(p_{1}).2.1.2 \neq \emptyset} (t^{\#}(p).1, (([], \{\mathsf{Call}^{\#}(p_{1}, p_{2})\}), \emptyset))]$$

$$\text{step}^{\#}(t^{\#}, p) \triangleq [p_1 \mapsto (t^{\#}(p).1, \bot)]$$

when 
$$g(p) = p_1 \rtimes p_2$$

when  $g(p) = x = p_1; p_2$ 

$$\sqcup [p_2 \mapsto (t^\#(p_1).2.1, \bot)]$$
  
 $\sqcup [p \mapsto (t^\#(p).1, t^\#(p_2).2)]$ 

$$\operatorname{step}^\#(t^\#,p)\triangleq [p\mapsto (t^\#(p).1,\bot)]$$

when 
$$g(p) = \varepsilon$$

$$\begin{split} \operatorname{step}^\#(t^\#,p) \triangleq & [p_1 \mapsto \{(x,\ell) :: \sigma | \sigma \in t(p) \text{ and } \ell \notin \operatorname{FLoc}(\sigma)\}] \\ \sqcup & [p_2 \mapsto \{(x,\mu.\{0 \leftarrow \ell\}v_1) :: \sigma | \sigma \in t(p) \text{ and } ((x,\ell) :: \sigma,v_1) \in t(p_1)\}] \\ \sqcup & [p \mapsto \{(\sigma,(x,w_1) :: \sigma_2) | \sigma \in t(p) \text{ and } ((x,w_1) :: \sigma,\sigma_2) \in t(p_2)\}] \end{split}$$