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# Program Language Translation using a Grammar Driven Tree-to-Tree Model

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#### **Abstract**

The task of translating between programming languages differs from the challenge of translating natural languages in that programming languages are designed with a far more rigid set of structural and grammatical rules. Previous work has used a tree-to-tree encoder/decoder model to take advantage of the inherent tree structure of programs during translation. Neural decoders, however, by default do not take advantage of known grammar rules of the target language. In this paper, we describe a tree decoder which leverages knowledge of a language's grammar rules to exclusively generate syntactically correct programs. We find that this grammar-based tree-to-tree model outperforms the state of the art tree-to-tree model on translating between two programming languages on a previously used synthetic task.

### 1. Introduction

Program translation is the process of converting code in one programming language to another, ideally with minimal human effort. It has the possibility to significantly alter the ways in which programs are developed. With a perfect translator, a programmer could freely choose a programming language desirable for a task without regard to whether the chosen language itself is the most efficient for the machine being used. Effective program translation would thus enable programmers to focus on the content and development of a specific program or task as opposed to the details of a particular language. With such a translation method, a developer could easily import code to different platforms, streamlining the process of development.

Programming languages are similar to natural languages in many ways. Natural language translation involve transforming a sequence of words in one language to another. Methods such as sequence to sequence translation, which

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maps input sequences to output sequences, have achieved great performance for this task (Bahdanau et al., 2014; Cho et al., 2014; Eriguchi et al., 2016; He et al., 2016; Vaswani et al., 2017). While similar to natural languages, programming languages are remarkably different in how they are structured, making it harder to use the same tools for translation. For instance, the RNN-based sequence generator, which can generate words in a natural language easily, finds it difficult to generate syntactically correct programs when the lengths grow large (Karpathy et al., 2015).

Techniques for program language translation have generally been variants of statistical machine translation (SMT) (Lopez, 2008), the process of learning to model the probability distribution of phrases of text in one language given phrases in the other language and then using that distribution to generate a probable translation. Nguyen applied traditional SMT methods from natural language processing to the task but found that these methods produced many syntactically incorrect programs (Nguyen et al., 2013). Following up with this work, Nguyen et al. found that SMT methods could be improved by incorporating knowledge of program syntax (2016a). They also saw success matching program elements in different programming languages by learning Word-to-Vec encodings of different tokens based on their usage in their surrounding context and pairing tokens with similar representations (2016b).

Recently, similar to natural language processing there has been a rise in use of neural networks for programming language tasks. Neural networks have been applied to codegeneration tasks converting images to code (Beltramelli, 2017) and converting text to code (Yin & Neubig, 2017). They have also been applied to tasks like program induction (Bunel et al., 2016) and program classification (Peng et al., 2015).

Recent work applied tree-based neural networks to the challenge of programming language translation (Chen et al., 2018). The novel tree-to-tree encoder/decoder model proposed in this work took advantage of the inherent tree structure of programs and performed better than the traditional sequence-to-sequence models for the task of program translation. The tree-to-tree model improved on previous state-of the art program translation approaches by margins of 20 points for real-world translation projects.

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This tree-to-tree program translation model faces various issues, including the generation of syntactically invalid programs and its inability to handle an infinite vocabulary of variables and literals. Our work makes this tree-to-tree model more efficient by leveraging the grammar of the language to generate only syntactically correct programs and removing redundant notation such as end of tree node to decrease the number of required operations in the model.

# 2. Background

The tree-to-tree encoder/decoder model introduced by Chen et al. employs a tree LSTM (Tai et al., 2015) to encode the source tree into an embedding (Chen et al., 2018). First, the input program tree is binarized using the Left-Child Right-Sibling representation. The input tree is then encoded by an LSTM beginning at the leaves of the tree.

Each node N in the tree has a token  $t_s$  and up to two children; a left child  $N_L$  and a right child  $N_R$ . If the children maintain the LSTM states  $(h_L,\,c_L)$  and  $(h_R,\,c_R)$  then the LSTM state  $(h,\,c)$  for N is computed as:

$$(h,c) = LSTM(([h_L:h_R], [c_L, c_R]), t_s)$$
 (1)

For any child that is missing, its hidden state and cell state are treated as a vector of zeros.

The decoder then generates the target tree by first copying the LSTM state into the root node of the target tree and putting it into a queue of nodes to be expanded. As long as there are nodes to be expanded, one is popped out and an attention mechanism is applied to determine what nodes in the input tree are most relevant. The attention mechanism is based on computing a dot product of a representation of the hidden state with all the encoded representations from the input tree (Luong et al., 2015) and produces a context vector  $e_s$ . From there, the hidden state and the context vector that arise are then used to determine the probabilities of the next token as shown in equations 2 and 3.

$$e_t = \tanh(W_1[e_s; h]) \tag{2}$$

$$t_t = \operatorname{argmax} \operatorname{softmax}(We_t)$$
 (3)

W is the trainable matrix  $V_t$  by d where  $V_t$  is the vocabulary size of the outputs and d is the embedding dimension. Each token  $t_t$  is a non-terminal, terminal, or  $\langle \text{EOS} \rangle$  token. If a node's token is not  $\langle \text{EOS} \rangle$ , the decoder will generate two children for the expanding node.

We then generate the hidden state and cell state for each of the node's children with another set of LSTMs. To allow for different states to be produced for each of the node's children, we have a set of LSTMs  $LSTM_1 \dots LSTM_m$ ,

where m is the maximum number of children a node can have. We generate the hidden and cell states for the  $i^{th}$  child of N from its hidden and cell states (h, c) as follows:

$$(h_i, c_i) = LSTM_i((h, c), [Bt_t; e_t])$$

where B is an embedding matrix. To help the LSTM incorporate information from a node's parent's attention when generating its children, the model uses parent attention feeding - concatenating the embedding representation of the parent's value with its attention vector before feeding the two into the LSTM. The new child nodes are then pushed into the queue of nodes to be expanded, and the target tree generation process stops when the queue is empty.

The recent work done by Pengcheng Yin and Graham Neubig (2017) built on previous language translation and semantic parsing by developing a grammar based neural architecture to take into account the target language syntax. Their work was able to generate complex Python programs from natural language descriptions, outperforming previous work in this field. In order to do so, they created a data-driven syntax-based neural network which converted a natural language statement into an abstract syntax tree for the target language.

This network, however, generates nodes in the abstract syntax tree as a series of sequential instructions to extend or terminate a tree branch. Other work by (Chen et al., 2018) which compares the performance of a tree-to-tree model and a tree-to-sequence model suggests that generating the tree directly could yield higher accuracy than generating the tree as a sequence.

We apply their concept of a grammar model to our task of program language translation to leverage the existing grammar rules of a language to enhance the translation accuracy. The benefits of using grammar rules are that it generates only syntactically valid programs and it makes the use of end of tree token redundant thus increasing training speed.

## 3. Grammar-Based Tree-to-Tree model

#### 3.1. Grammar Decoder

We implement a tree-to-tree encoder/decoder model patterned off (Chen et al., 2018). The tree encoder is almost identical to the one described in the paper except that our model does not use Dropout. However, we modify their tree decoder to make use of the grammar of the target language when generating nodes. Unlike in the paper by Chen et al., we do not binarize the output tree because the target language's grammar rules cannot be easily applied to trees in which a node's siblings can appear as its children. We also did not find using dropout (Srivastava et al., 2014) as

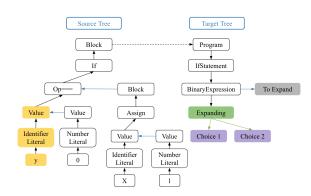


Figure 1. This diagram, adapted from Chen et al., shows a target tree being generated by a binarized input tree. When a node is expanded (green block), it can only generate children from grammatically correct choices (purple blocks) and add them to the queue of unexpanded nodes (gray). Our attention mechanism lets the decoder focus on relevant nodes in the input (yellow blocks).

helpful and removed it.

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To generate a node's children, we first call a function which returns a list of the categories of nodes which can be generated by that node at each index. A category is a unique set of valid children of a parent. For instance, the parent node  $\langle \text{PLUS} \rangle$ , can only generate tokens within the Expression category: ( $\langle \text{PLUS} \rangle$ ,  $\langle \text{MINUS} \rangle$ ,  $\langle \text{VAR} \rangle$ , or  $\langle \text{CONST} \rangle$ ). Each category k has an associated learnable weight matrix  $\mathbf{W}_k$ .

To generate each child, we create a node embedding  $e_t$  computed the same way as the tree decoder made by (Chen et al., 2018). We then generate the node's token by finding the most probable token out of the set of possibilities as follows:

$$t_t = \operatorname{argmax}(W_k e_t). \tag{4}$$

Note that as the number of tokens in class k is typically substantially less than the total number of tokens in the language, this is an easier prediction problem than the for a typical tree decoder.

After this, like in (Chen et al., 2018), we feed an embedded representation of  $t_t$  into an LSTM to compute the hidden and cell state for each of its children. We always trained using teacher forcing (passing the desired value of  $t_t$  into the LSTM rather than the generated value) because a single incorrect token which generates different categories of children than the correct token could make the probability of generating a correct token for any of its children zero. This would zero out most of our gradients, slowing down training.

The decoder iteratively generate nodes from the root of the tree down to the leaves. Since the program's grammar does not allow any tokens to be generated from terminal tokens, branches of the tree end automatically when a terminal character is produced. This means our grammar decoder does not have to learn to generate <EOS> tokens, simplifying the translation task and decreasing the number of operations the model needs to perform.

# 4. Experiments

We tested our grammar-based tree-to-tree model on a task described by Chen et al. (2018). For the first task, we randomly generated a synthetic dataset of 100,000 training programs in For, a simple imperative programming language created by (Chen et al., 2018). We also generated 10,000 validation and 10,000 test programs. The programs were almost generated by a probabilistic context free grammar. The almost is because to avoid generating programs that used variables before they were defined, we kept track of what variables had been defined and only allowed those to be used in expressions. These programs were then fed into a translator function which converted them into a simple functional language called Lambda. More dataset details are available in Table 1. This task examines the ability of a model to translate between simple programming languages of different paradigms.

In Table 2, we compare our model's performance to the base-lines described in (Chen et al., 2018) and to our own reimplemented tree-to-tree and tree-to-sequence models with the architecture and hyperparameters described by Chen et. al. For the hyperparameters of the grammar model we simply used the tree-to-tree models hyperparameters. Each model was trained 5 times over half a million examples. Program accuracy was measured on the held-out test set by counting the percentage of perfectly syntactically correct translated programs.

Results are summarized in Table 2. The grammar-based model achieved on average XXX% accuracy, outperforming our reimplementation of the tree-to-tree model. The reimplemented tree-to-tree model performed worse than the results reported by (Chen et al., 2018), whereas our reimplementation of their tree-to-sequence model achieved comparable accuracy. While this discrepancy could be caused by differences between the complexity our datasets (ours could have used more variables or constants, and it certainly had much greater variation in program lengths), its also possible that despite our attempt to faithfully re-implement (Chen et al., 2018) that there are slight differences between the two treeto-tree models. While our results still point to the grammar decoder performing better than a typical tree decoder, in the future we will attempt to more closely replicate their dataset and see if we can obtain more reliable baselines. To make it

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Table 1. For/Lambda training dataset description.

METRIC	For	Lambda
TOTAL PROGRAM COUNT	100ĸ	100ĸ
AVERAGE PROGRAM LENGTH	22	56
MINIMUM PROGRAM LENGTH	5	13
MAXIMUM PROGRAM LENGTH	104	299
NUMBER OF TOKENS IN LANGUAGE	32	33

Table 2. Program Accuracy on the For/Lambda translation task. Since our datasets are not identical, performance of the reimplemented Tree2Tree and Tree2Seq models does not perfectly match the results reported by (Chen et al., 2018).

MODEL	PROGRAM ACCURACY	
GRAMMAR TREE2TREE	999	
REIMPLEMENTED TREE2TREE	888	
CHEN ET AL. TREE2TREE	99.76%	
REIMPLEMENTED TREE2SEQ	666	
CHEN ET AL. TREE2SEQ	98.51%	

easier for future research to evaluate on the same task, we release our dataset here, XXX.

#### 5. Discussion

### 5.1. Overview

This paper proposes a grammar-based program language translation approach using a grammar decoder that outperforms the state of the art tree-tree models for program language translation in both number of operations needed and accuracy. Future work will explore ways to improve convergence and broaden the practical applicability our approach to various real programming translation problems.

#### 5.2. Limitations

One limitation to this approach is the practical difficulty of obtaining the necessary training data to apply this model to a new pair of languages. Training requires a parallel corpus of programs in two languages. Previous researchers such as (Chen et al., 2018) have obtained such datasets by using languages with an explicit translator between them (which largely obviates the need for a neural translation program between them) or by finding real-world programs implemented in both programs (which may be difficult to collect and can introduce noise to the dataset by differences in program implementations).

Our model also requires a formal grammar for the target programming language. In the absence of a grammar we could approximate a grammar from the training set by recording all child tokens generated by each unique node anywhere in

the training set, but this for rare program syntactic patterns.

Finally, our model currently caps the number of variable names and at a fixed number determined before training. Consequently, if a dataset contains even one training program with an exceptionally high number of variables or literals, we would need to support generation of all of those tokens, which slows down training time. Conversely, if a program in the test set has more variables or literals than the our model supports, there is no way to translate it correctly. Future work could explore alternative ways to generate literals by copying them from the input program using a method similar to that implemented by (Yin & Neubig, 2017).

#### 5.3. Future Work

In future work we will integrate other ideas from natural language translation to our tasks. One possibility includes selfattention, a mechanism which provides the model at each time step with its state at previous time steps and may make help the model learn more complex relationships among different parts of the program. We could also integrate a language model into our decoder to help with translating unusual expressions never seen in the training data.

Our current approach makes parallelization of the training process difficult. Since every tree has a different structure, we cannot to batch training examples together for faster processing on GPUs. Sequence-to-sequence models can circumvent this by batching programs of the same size or padding shorter sequences. We will need to explore methods of batching tree generation.

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