

# Notes

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## 1 5 Key Postulates of Quantum Mechanics

- The state of a quantum system is completely described by wavefunction, which is typically denoted as  $\psi(\vec{r}, t)$  [1].
- Every measurable physical quantity is represented by a Hermitian operator. Eg.

$$\vec{r} \rightarrow \hat{r}, \quad p \rightarrow \hat{p} = -i\hbar\nabla \quad (1)$$

- When a measurement of an observable is made, the wavefunction collapses to one of the eigenstates of the corresponding operator.
- The time evolution of wavefunction is determined by Schrödinger Equation:

$$i\hbar \frac{\partial \psi(\mathbf{r}, t)}{\partial t} = \hat{H} \psi(\mathbf{r}, t) \quad (2)$$

- Identical particles whose intrinsic physical properties, such as mass, charge, or spin, are the same as each other cannot be distinguished by any physical measurements.

## 2 Quantum Mechanics in One Dimension

### 2.1 The Harmonic Oscillator

In one dimension, the potential is given by:

$$V(x) = \frac{1}{2} m \omega^2 x^2 \quad (3)$$

where  $m$  is the mass and  $\omega$  is the angular frequency. The time-independent Schrödinger equation is:

$$\left[ -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 x^2 \right] \psi(x) = E \psi(x). \quad (4)$$

There are two primary methods to solve for the eigenfunctions and eigenvalues:

1. Algebraic method using ladder operators
2. Analytical method by solving the differential equation

#### 2.1.1 Algebraic Method

We define the annihilation ( $a_-$ ) and creation ( $a_+$ ) operators:

$$a_{\pm} \equiv \frac{1}{\sqrt{2\hbar m \omega}} (\mp i p + m \omega x) \quad (5)$$

where  $p = -i\hbar \frac{d}{dx}$  is the momentum operator. These satisfy the commutation relation:

$$[a_-, a_+] = 1 \quad (6)$$

The Hamiltonian can be rewritten in terms of these operators:

$$H = \hbar\omega \left( a_+ a_- + \frac{1}{2} \right) \quad (7)$$

Let  $\psi_n$  be the  $n$ th energy eigenstate with energy  $E_n$ . The ladder operators act on these states as:

$$a_+ |n\rangle = \sqrt{n+1} \psi_{n+1}, \quad a_- |n\rangle = \sqrt{n} \psi_{n-1} \quad (8)$$

The ground state  $\psi_0$  is found by solving  $a_- \psi_0 = 0$ , yielding:

$$\psi_0(x) = \left( \frac{m\omega}{\pi\hbar} \right)^{1/4} e^{-m\omega x^2 / 2\hbar} \quad (9)$$

The energy levels are quantized:

$$E_n = \left( n + \frac{1}{2} \right) \hbar\omega, \quad n = 0, 1, 2, \dots \quad (10)$$

### 2.1.2 Analytical Method

To simplify, we introduce the dimensionless variable:

$$\xi \equiv \sqrt{\frac{m\omega}{\hbar}} x \quad (11)$$

and express the energy in units of  $\frac{1}{2}\hbar\omega$ :

$$K \equiv \frac{2E}{\hbar\omega} \quad (12)$$

The Schrödinger equation then becomes:

$$\frac{d^2\psi(\xi)}{d\xi^2} = (\xi^2 - K)\psi(\xi) \quad (13)$$

For large  $\xi$  (i.e.,  $|\xi| \gg 1$ ), the term  $\xi^2$  dominates over  $K$ , and (13) simplifies to:

$$\frac{d^2\psi(\xi)}{d\xi^2} \approx \xi^2 \psi \quad (14)$$

The approximate solutions to this equation are:

$$\psi(\xi) \approx A e^{-\xi^2/2} + B e^{+\xi^2/2} \quad (15)$$

where  $A$  and  $B$  are constants. To ensure normalizability, we discard the exponentially growing term ( $B = 0$ ), leaving:

$$\psi(\xi) \sim e^{-\xi^2/2} \quad (\text{asymptotic solution}) \quad (16)$$

For the general solution, we assume a form that captures the asymptotic behavior and multiply by a power series  $h(\xi)$ :

$$\boxed{\psi(\xi) = h(\xi) e^{-\xi^2/2}} \quad (17)$$

Substituting this into (13) yields the Hermite differential equation for  $h(\xi)$ :

$$\frac{d\psi}{d\xi} = \left( \frac{dh}{d\xi} - \xi h \right) e^{-\xi^2/2} \quad (18)$$

We solve this using a power series expansion:

$$h(\xi) = \sum_{n=0}^{\infty} a_n \xi^n \quad (19)$$

Substituting the series into the differential equation leads to a recurrence relation for the coefficients  $a_n$ :

$$a_{n+2} = \frac{2n+1-K}{(n+2)(n+1)} a_n \quad (20)$$

To ensure normalizability, the series must terminate (i.e.,  $h(\xi)$  must be a polynomial). This requires:

$$2n+1-K=0 \implies K=2n+1 \quad (21)$$

for some integer  $n \geq 0$ . Recalling the definition of  $K$ , the allowed energies are:

$$E_n = \left(n + \frac{1}{2}\right) \hbar\omega \quad (22)$$

The corresponding wave functions are:

$$\psi_n(x) = \left(\frac{m\omega}{\pi\hbar}\right)^{1/4} \frac{1}{\sqrt{2^n n!}} H_n(\xi) e^{-\xi^2/2} \quad (23)$$

where  $H_n(\xi)$  are the Hermite polynomials.

## 2.2 Infinite Square Well

[2]

- $V(x) =$

$$\begin{cases} 0, & 0 \leq x \leq a \\ \infty, & \text{otherwise} \end{cases} \quad (24)$$

- **Boundary conditions**

$$\begin{cases} \psi \text{ is continuous} \\ \frac{d\psi}{dx} \text{ is continuous} \end{cases} \quad (25)$$

But where the potential goes to infinity only the first of these applies.

The continuity of  $\psi$  requires that

$$\psi(0) = \psi(a) = 0 \quad (26)$$

so as to join the solution  $\psi = 0$  outside the well.

- The **general solution** to the Shrodinger equation is as follows:

$$\psi(x) = A \sin kx + B \cos kx, \text{ where } k \equiv \frac{\sqrt{2mE}}{\hbar} \quad (27)$$

- $k$  represents the wave vector.
- Only bound states are allowed in this case since  $V(x)$  goes to  $\infty$ .
- Apply the boundary conditions to the general solution, and derive that

$$k_n = \frac{n\pi}{a}, \text{ with } n = 1, 2, 3... \quad (28)$$

$$\Rightarrow E_n = \frac{\hbar^2 k^2}{2m} = \frac{n^2 \pi^2 \hbar^2}{2ma^2} \quad (29)$$

$$\Rightarrow \psi(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{n\pi}{a}x\right) \quad (30)$$

where  $\sqrt{\frac{2}{a}}$  is derived by normalization, and cosine canceled out by boundary conditions.

- **Important properties:**

- $\psi_n(x)$ s are alternatively even or odd with respect to the center of the well.
- Number of nodes =  $n - 1$ . e.g.  $\psi_1$  has no node,  $\psi_2$  has one node.
- $\psi_n(x)$ s are mutually **orthogonal**.

## 2.3 Delta Potential Well

- **Potential:**  $V(x) = -\alpha\delta(x)$  ( $\alpha > 0$ )

- **Schrödinger Equation:**

$$\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} - \alpha\delta(x)\psi = E\psi$$

- **Boundary Conditions:**

1.  $\psi$  continuous at  $x = 0$
2.  $\left. \frac{d\psi}{dx} \right|_{0+} - \left. \frac{d\psi}{dx} \right|_{0-} = -\frac{2m\alpha}{\hbar^2} \psi(0)$

- **Bound States** ( $E < 0$ ):

$$\psi(x) = \begin{cases} Ae^{kx}, & x < 0 \\ Ae^{-kx}, & x > 0 \end{cases} \quad (k = \frac{\sqrt{-2mE}}{\hbar})$$

Apply BCs:

$$k = \frac{m\alpha}{\hbar^2} \implies E = -\frac{m\alpha^2}{2\hbar^2}$$

Normalized Wave Function:

$$\psi(x) = \sqrt{\frac{m\alpha}{\hbar^2}} e^{-m\alpha|x|/\hbar^2}$$

- **Scattering States** ( $E > 0$ ):

$$\psi(x) = \begin{cases} e^{ikx} + Re^{-ikx}, & x < 0 \\ Te^{ikx}, & x > 0 \end{cases} \quad (k = \frac{\sqrt{2mE}}{\hbar})$$

Reflection/Transmission Coefficients:

$$R = \frac{1}{1 + \frac{2\hbar^2 E}{m\alpha^2}}, \quad T = \frac{1}{1 + \frac{m\alpha^2}{2\hbar^2 E}}$$

## 2.4 The Free Particle

- $V(x) = 0$  everywhere.
- General Solution:

$$\boxed{\psi(x) = Ae^{ikx} + Be^{-ikx}, \text{ with } k = \frac{\sqrt{2mE}}{\hbar}} \quad (31)$$

- No boundary conditions to restrict the value of  $k$  and hence  $E$ , so it can take any positive energy.
- The sign in front of  $k$  determines the direction in which the wave moves.
- The wave function is not normalizable, which means the separable solutions do not represent physically realizable states. A free particle cannot exist in a stationary state (i.e. there is no such thing as a free particle with a definite energy).
- The general solution is a **superposition** of plane waves (Fourier integral):

$$\psi(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \tilde{\psi}(k) e^{ikx} dk \quad (32)$$

where  $\tilde{\psi}(k)$  is the momentum-space wave function.

The time-dependent solution:

$$\boxed{\psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \tilde{\psi}(k) e^{i(kx - \omega t)} dk, \quad \omega = \frac{\hbar k^2}{2m}} \quad (33)$$

This describes wave packet dispersion.

- Plancherel's Theorem in Fourier Analysis

$$\Rightarrow \tilde{\psi}(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \Psi(x, 0) e^{-ikx} dx \quad (34)$$

- For a free particle,

$$v_{group} = v_{classical} = 2v_{phase}; \quad v_{phase} = \omega/k; \quad v_{group} = \frac{d\omega}{dk}$$

Notes:  $k$  is the number of wavelengths in a unit length. So  $1/k$  is the wavelength ( $k = 1/\lambda$ ). And  $\omega$  ( i.e. phase change in unit time) multiplied by  $1/k$  gives the speed of phase.

## 3 Formalism

### 3.1 Hilbert Space

[1]

- In quantum mechanics, wavefunctions are represented as vectors, and operators act as linear transformations.
- A vector ( $|\alpha\rangle$ ) is expressed as

$$|\alpha\rangle = \vec{a} = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ \vdots \end{bmatrix} \quad (35)$$

The inner product of two vectors is defined as

$$\langle\alpha|\beta\rangle = \sum a_i^* b_i \quad (36)$$

Linear transformations are represented by matrices and can act on vectors. For example:

$$\hat{T}|\alpha\rangle = \begin{bmatrix} t_{11} & t_{12} & \cdots \\ t_{21} & t_{22} & \cdots \\ \vdots & \vdots & \ddots \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \end{bmatrix} \quad (37)$$

#### 3.1.1 Vectors in Hilbert Space

- Vectors in quantum mechanics are functions living in infinite-dimensional spaces (Hilbert Space). The Hilbert Space consists of all square-integrable functions, and the inner product of two functions  $f$  and  $g$  is defined as

$$\langle f|g\rangle = \int_a^b f^* g dx \quad (38)$$

In particular,

$$\langle f|g\rangle = \langle g|f\rangle^* \quad (39)$$

$$\langle f|f\rangle = \int |f|^2 dx \geq 0 \quad (40)$$

A function is normalized  $\Leftrightarrow \langle f|f\rangle = 1$ .

Two functions are orthogonal  $\Leftrightarrow \langle f|g\rangle = 0$ .

A set of functions  $\{f_n\}$  is orthonormal  $\Leftrightarrow \langle f_m|f_n\rangle = \delta_{mn}$ , where  $\delta_{mn} = 1$  if  $m = n$ , or 0 otherwise (Kronecker delta).

A set of functions is complete  $\Leftrightarrow$  any other function (in Hilbert space) can be expressed as a linear combination of them.

### 3.1.2 Example: Fourier Series

- An example of orthonormality: A function  $f(x)$  can be expressed as a Fourier series because the sine and cosine functions form an orthonormal basis in the Hilbert Space. Specifically, the set  $\left\{ \frac{1}{\sqrt{\pi}} \cos(nx), \frac{1}{\sqrt{\pi}} \sin(nx) \mid n \in \mathbb{N}^+ \right\}$  satisfies:

$$\left\langle \frac{1}{\sqrt{\pi}} \cos(mx) \middle| \frac{1}{\sqrt{\pi}} \cos(nx) \right\rangle = \delta_{mn} \quad (41)$$

$$\left\langle \frac{1}{\sqrt{\pi}} \sin(mx) \middle| \frac{1}{\sqrt{\pi}} \sin(nx) \right\rangle = \delta_{mn} \quad (42)$$

$$\left\langle \frac{1}{\sqrt{\pi}} \cos(mx) \middle| \frac{1}{\sqrt{\pi}} \sin(nx) \right\rangle = 0 \quad (43)$$

This orthonormality allows the coefficients  $a_n$  and  $b_n$  to be uniquely determined by projecting  $f(x)$  onto the basis functions.

### 3.1.3 Problems

- Show that the set of all square-integrable functions is a vector space. Is the set of all normalized functions a vector space?

**Solution:** A vector space consists of a set of vectors together with a set of scalars, which is closed under vector addition and scalar multiplication [3].

For two square-integrable functions  $f(x), g(x)$ , let  $h(x) = f(x) + g(x)$ . By the Schwarz inequality:

$$\int_a^b |h(x)|^2 dx = \int_a^b |f(x) + g(x)|^2 dx \quad (44)$$

$$= \int_a^b |f(x)|^2 dx + \int_a^b |g(x)|^2 dx + 2 \int_a^b |f(x)^* g(x)| dx \quad (45)$$

$$\leq \int_a^b |f(x)|^2 dx + \int_a^b |g(x)|^2 dx + 2 \sqrt{\int_a^b |f(x)|^2 dx \int_a^b |g(x)|^2 dx} < \infty \quad (46)$$

Thus, it is closed under vector addition.

For a scalar  $a$ :

$$\int_a^b |a \cdot f(x)|^2 dx = a^2 \int_a^b |f(x)|^2 dx < \infty$$

The set of all normalized functions is not a vector space, since  $2 \sqrt{\int_a^b |f(x)|^2 dx \int_a^b |g(x)|^2 dx}$  does not necessarily equal 1, implying the set is not closed under vector addition or scalar multiplication.

- Show that  $\langle f|g \rangle = \int_a^b f^* g dx$  satisfies the conditions for an inner product.

**Solution:** The inner product satisfies:

$$\langle \alpha|\beta \rangle = \langle \beta|\alpha \rangle^*; \quad \langle \alpha|\alpha \rangle \geq 0; \quad \langle \alpha|\alpha \rangle = 0 \Leftrightarrow |\alpha\rangle = 0; \quad \langle \alpha|(b|\beta\rangle + c|\gamma\rangle) = b\langle \alpha|\beta \rangle + c\langle \alpha|\gamma \rangle$$

**Property 1:**

$$\left( \int f^* g dx \right)^* = \left( \int [u(x) + iv(x)]^* [m(x) + in(x)] dx \right)^* \quad (47)$$

$$= \left( \int (um + vn) dx + i \int (un - vm) dx \right)^* \quad (48)$$

$$= \int (um + vn) dx - i \int (un - vm) dx \quad (49)$$

$$= \int (u - iv)(m + in) dx = \int g^* f dx \quad (50)$$

**Property 2:** (Assume  $b \geq a$ )

$$\int_a^b f^* f dx = \int_a^b |f|^2 dx \geq 0, \quad (51)$$

$$\int_a^b |f|^2 dx = 0 \Leftrightarrow f \equiv 0 \quad (52)$$

**Property 3:**

$$\int f^* (b \cdot g + c \cdot k) dx = b \int f^* g dx + c \int f^* k dx$$

- For what range of  $\nu$  is  $f(x) = x^\nu$  in Hilbert space on  $(0, 1)$ ? Assume  $\nu$  is real.

**Solution:**

$$\int_{(0,1)} |x^\nu|^2 dx < +\infty \quad (53)$$

$$\int_{(0,1)} x^{2\nu} dx = \lim_{x \rightarrow 1} \frac{x^{2\nu+1}}{2\nu+1} - \lim_{x \rightarrow 0} \frac{x^{2\nu+1}}{2\nu+1} \quad (\text{when } 2\nu+1 > 0) \quad (54)$$

$$= \frac{1}{2\nu+1} - 0 \quad (55)$$

$$\Rightarrow \nu > -\frac{1}{2} \quad (56)$$

## 3.2 Observables

[4]

### 3.2.1 Hermitian Operator

- An observable can be expressed by inner product:

$$\langle Q \rangle = \int \Psi^* \hat{Q} \Psi dx = \langle \Psi | \hat{Q} \Psi \rangle. \quad (57)$$

Since  $Q$  is real,  $\langle Q \rangle = \langle Q \rangle^*$ , implying

$$\langle \Psi | \hat{Q} \Psi \rangle = \langle \hat{Q} \Psi | \Psi \rangle, \quad (58)$$

which defines Hermitian operators. The Hermitian conjugate (adjoint) of  $\hat{Q}$  is  $\hat{Q}^\dagger$  satisfying

$$\langle f | \hat{Q} g \rangle = \langle \hat{Q}^\dagger f | g \rangle. \quad (59)$$

A Hermitian operator satisfies  $\hat{Q} = \hat{Q}^\dagger$ .

- Show that if  $\langle h|\hat{Q}h\rangle = \langle \hat{Q}h|h\rangle$  for all  $h$ , then  $\langle f|\hat{Q}g\rangle = \langle \hat{Q}f|g\rangle$  for all  $f, g$ .

**Solution:** Let  $h = f + g$ :

$$\int h^* \hat{Q} h dx = \int (f + g)^* \hat{Q} (f + g) dx = \int [\hat{Q}(f + g)]^* (f + g) dx \quad (60)$$

$$\int (f^* + g^*) (\hat{Q}f + \hat{Q}g) dx = \int (\hat{Q}f^* + \hat{Q}g^*) (f + g) dx \quad (61)$$

$$\int (f^* \hat{Q}f + f^* \hat{Q}g + g^* \hat{Q}f + g^* \hat{Q}g) dx = \int (\hat{Q}f^* f + \hat{Q}f^* g + \hat{Q}g^* f + \hat{Q}g^* g) dx \quad (62)$$

$$\Rightarrow \int f^* \hat{Q}g dx + \int g^* \hat{Q}f dx = \int \hat{Q}f^* g dx + \int \hat{Q}g^* f dx. \quad (1) \quad (63)$$

Let  $h = f + ig$ :

$$\int (f + ig)^* \hat{Q} (f + ig) dx = \int [\hat{Q}(f + ig)]^* (f + ig) dx \quad (64)$$

$$\Rightarrow \int f^* \hat{Q}g dx - \int g^* \hat{Q}f dx = \int \hat{Q}f^* g dx - \int \hat{Q}g^* f dx. \quad (2) \quad (65)$$

Adding (1) and (2):

$$\int f^* \hat{Q}g dx = \int \hat{Q}f^* g dx \Rightarrow \langle f|\hat{Q}g\rangle = \langle \hat{Q}f|g\rangle.$$

**Key:**  $(ig)^* = -ig^*$ .

- Under what condition (on  $\alpha \in \mathbb{C}$ ) is  $\alpha\hat{Q}$  Hermitian?

**Solution:** If  $\alpha\hat{Q}$  is Hermitian:

$$(\alpha\hat{Q})^\dagger = \alpha^* \hat{Q}^\dagger = \alpha\hat{Q} \Rightarrow \alpha^* = \alpha.$$

Thus  $\alpha$  must be real.

- Find the Hermitian conjugate of  $d/dx$ .

**Solution:**

$$\left\langle f \left| \frac{d}{dx} f \right. \right\rangle = \int_{-\infty}^{\infty} f^* \frac{df}{dx} dx \quad (66)$$

$$= [f^* f]_{-\infty}^{\infty} - \int_{-\infty}^{\infty} f \frac{df^*}{dx} dx \quad (67)$$

$$\Rightarrow \left( \frac{d}{dx} \right)^\dagger = -\frac{d}{dx}. \quad (68)$$

### 3.2.2 Determinate States

- Every measurement  $Q$  of a determinate system is certain ( $\sigma^2 = 0$ ,  $\langle Q \rangle = q$ ), satisfying

$$(\hat{Q} - q)\Psi = 0. \quad (69)$$

Thus  $\Psi$  is an eigenfunction of  $\hat{Q}$  with eigenvalue  $q$ . For a determinate state  $\Psi$ ,  $c\Psi$  is also a determinate state.



- The collection of all eigenvalues of an operator is its spectrum. If multiple linearly independent eigenfunctions share an eigenvalue, the spectrum is degenerate.
- Example: Determinate states of total energy are eigenfunctions of the Hamiltonian operator.

### 3.3 Eigenfunctions of a Hermitian Operator

#### 3.3.1 Discrete Spectra

- **Theorem 1** The eigenvalues of the normalizable eigenfunctions of a hermitian operator are real.
- **Theorem 2** Eigenfunctions belonging to distinct eigenvalues are orthogonal.
- **Theorem 3** (Axiom) The functions of an observable operator are complete (i.e., any functions in Hilbert space can be expressed as a linear combination of them).

#### 3.3.2 Continuous Spectra

- **Theorem 1** (Dirac orthonormality)

$$\langle f_{p'} | f_p \rangle = \delta(p - p') \quad (70)$$

- **Theorem 2** (Completeness) Any function  $f(x)$  in Hilbert Space can be written in the form

$$f(x) = \int_{-\infty}^{\infty} c(p) f_p(x) dp \quad (71)$$

- Note: None of the eigenfunctions of an operator of continuous spectra lives in Hilbert space, but those with real eigenvalues are Dirac orthonormalizable and complete.

### 3.4 Generalized Statistical Interpretation

If you measure an observable  $Q$  on a particle in the state  $\Psi$ , you are certain to get one of the eigenvalues of the hermitian operator  $\hat{Q}$ .

- If the spectrum of  $\hat{Q}$  is discrete, the probability of getting the particular eigenvalue  $q_n$  associated with the orthonormalized eigenfunction  $f_n(x)$  is

$$|c_n|^2, \text{ where } c_n = \langle f_n | \Psi \rangle \quad (72)$$

- If the spectrum is continuous, with real eigenvalues  $q(z)$  and associated Dirac-orthonormalized eigenfunctions  $f_z(x)$ , the probability of getting a result in the range  $dz$  is

$$|c(z)|^2 dz, \text{ where } c(z) = \langle f_z | \Psi \rangle \quad (73)$$

The expectation value of  $Q$  is

$$\langle Q \rangle = \sum_n q_n |c_n|^2 = \langle \Psi | \hat{Q} | \Psi \rangle \quad (74)$$

- The momentum space wave function  $\Phi(p, t)$ ; The position space wave function  $\Psi(x, t)$ .

$$\Phi(p, t) = \langle f_p | \Psi \rangle = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} e^{-ipx/\hbar} \Psi(x, t) dx \quad (75)$$

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} e^{ipx/\hbar} \Phi(p, t) dp \quad (76)$$

•

$$\langle Q(x, p, t) \rangle = \int \Psi^* \hat{Q}(x, -i\hbar\partial/\partial x, t) \Psi dx, \quad \text{in position space} \quad (77)$$

$$\langle Q(x, p, t) \rangle = \int \Psi^* \hat{Q}(i\hbar\partial/\partial p, p, t) \Psi dx, \quad \text{in momentum space} \quad (78)$$

### 3.5 Uncertainty Principle

#### 3.5.1 Proof

- For any observable  $\hat{A}$ , we define the variance  $\sigma_A^2$  as:

$$\sigma_A^2 = \langle (\hat{A} - \langle A \rangle) \Psi | (\hat{A} - \langle A \rangle) \Psi \rangle = \langle f | f \rangle \quad (79)$$

where  $f = (\hat{A} - \langle A \rangle) \Psi$ . Similarly, for another observable  $\hat{B}$ , the variance  $\sigma_B^2$  is:

$$\sigma_B^2 = \langle g | g \rangle \quad (80)$$

with  $g = (\hat{B} - \langle B \rangle) \Psi$ .

By the Schwarz inequality, we have:

$$\sigma_A^2 \sigma_B^2 \geq |\langle f | g \rangle|^2 \quad (81)$$

For any complex number  $z$ :

$$|z|^2 = [\text{Re}(z)]^2 + [\text{Im}(z)]^2 \geq [\text{Im}(z)]^2 = \left( \frac{1}{2i} (z - z^*) \right)^2 \quad (82)$$

Let  $z = \langle f | g \rangle$ . Then:

$$\sigma_A^2 \sigma_B^2 \geq \left( \frac{1}{2i} (\langle f | g \rangle - \langle g | f \rangle) \right)^2 \quad (83)$$

Now, consider the commutator of  $\hat{A}$  and  $\hat{B}$ , defined as:

$$[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A} \quad (84)$$

We calculate  $\langle f | g \rangle - \langle g | f \rangle$ :

$$\langle f | g \rangle - \langle g | f \rangle = \langle (\hat{A} - \langle A \rangle) \Psi | (\hat{B} - \langle B \rangle) \Psi \rangle - \langle (\hat{B} - \langle B \rangle) \Psi | (\hat{A} - \langle A \rangle) \Psi \rangle \quad (85)$$

$$= \langle \Psi | (\hat{A} - \langle A \rangle)(\hat{B} - \langle B \rangle) \Psi \rangle - \langle \Psi | (\hat{B} - \langle B \rangle)(\hat{A} - \langle A \rangle) \Psi \rangle \quad (86)$$

$$= \langle \Psi | [\hat{A}, \hat{B}] \Psi \rangle \quad (87)$$

Substituting, we get the generalized uncertainty principle:

$$\boxed{\sigma_A^2 \sigma_B^2 \geq \left( \frac{1}{2i} \langle [\hat{A}, \hat{B}] \rangle \right)^2} \quad (88)$$

- As a specific example, for position  $\hat{x} = x$  and momentum  $\hat{p} = -i\hbar \frac{d}{dx}$ , their commutator is  $[\hat{x}, \hat{p}] = i\hbar$ . Substituting this into the generalized uncertainty principle gives the Heisenberg uncertainty principle:

$$\boxed{\sigma_x \sigma_p \geq \frac{\hbar}{2}} \quad (89)$$

- **Incompatible observables** (i.e., a pair of observables whose operators do not commute) cannot have a complete set of common eigenfunctions.  
**Compatible observables** *admit* complete sets of simultaneous eigenfunctions (i.e., states that are determinate for both observables).

e.g. In the hydrogen atom the Hamiltonian, the magnitude of angular momentum, and the  $z$ -component of angular momentum are mutually compatible observables. But there is no eigenfunction of position that is also an eigenfunction of momentum.

### 3.5.2 The Minimum-Uncertainty Wave Packet

Wave functions hitting the position-momentum uncertainty limit ( $\sigma_x \sigma_p = \hbar/2$ ) (e.g., harmonic oscillator ground state, free-particle Gaussian) raise questions about the most general minimum-uncertainty form.

From the uncertainty principle proof, equality in inequalities requires:

- Schwarz inequality equality:  $g(x) = cf(x)$  ( $c$  complex);
- Pure imaginary  $c = ia$  (real  $a$ ) to satisfy  $\text{Re}(i\langle f|g \rangle) = 0$ , giving  $g(x) = ia f(x)$ .

For position-momentum, this leads to the differential equation:

$$\left(-i\hbar \frac{d}{dx} - \langle p \rangle\right) \Psi = ia(x - \langle x \rangle) \Psi \quad (90)$$

with general solution:

$$\Psi(x) = A e^{-(x - \langle x \rangle)^2 / (4\sigma^2)} e^{i\langle p \rangle x / \hbar} \quad (91)$$

Thus, the minimum-uncertainty wave packet is a Gaussian, which is consistent with earlier examples.

### 3.5.3 The Energy-Time Uncertainty Principle

- Generalized Ehrenfest Theorem:

$$\boxed{\frac{d}{dt} \langle Q \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{Q}] \rangle + \left\langle \frac{\partial \hat{Q}}{\partial t} \right\rangle} \quad (92)$$

- According to generalized Ehrenfest theorem and uncertainty principle,

$$\sigma_H^2 \sigma_Q^2 \geq \left( \frac{1}{2i} \langle [\hat{H}, \hat{Q}] \rangle \right)^2 = \left( \frac{1}{2i} \hbar \frac{d\langle Q \rangle}{dt} \right)^2 = \left( \frac{\hbar}{2} \frac{d\langle Q \rangle}{dt} \right)^2 \quad (93)$$

$$\Rightarrow \sigma_H \sigma_Q \geq \frac{\hbar}{2} \left| \frac{d\langle Q \rangle}{dt} \right|. \quad (94)$$

Define  $\Delta E \equiv \sigma_H$ ,  $\Delta t \equiv \sigma_Q / \left| \frac{d\langle Q \rangle}{dt} \right|$ , therefore

$$\boxed{\Delta E \Delta t \geq \frac{\hbar}{2}}. \quad (95)$$

- $\Delta t$  represents the amount of time it takes the expectation value of  $Q$  to change by one standard deviation.
- If any observable changes rapidly, the "uncertainty" in the energy must be large.

## 3.6 Vectors and Operators

### 3.6.1 Additional Notes: On "Matrix Element"

When an operator  $\hat{Q}$  acts on a state  $|\alpha\rangle$  to produce  $|\beta\rangle = \hat{Q}|\alpha\rangle$ , we want to derive the components of  $|\beta\rangle$ , given the components of  $|\alpha\rangle$  and the transformation  $\hat{Q}$ .

- Original state decomposition:  $|\alpha\rangle = \sum_n a_n |e_n\rangle$  where  $a_n$  is the "amount" of  $|\alpha\rangle$  along basis vector  $|e_n\rangle$ ;
- Operator's action revealed through:  $Q_{mn} = \langle e_m | \hat{Q} | e_n \rangle$  showing (1) how  $\hat{Q}$  redirects  $a_n |e_n\rangle$  and (2) how the redirected state vector is projected on basis vector  $|e_m\rangle$ , or obtaining the  $n$ th-component of the transformed state vector.

The transformation becomes clear when we write:

$$b_m = \sum_n Q_{mn} a_n \quad (96)$$

which means the new state's  $m$ -th component combines all original components:

$$|\beta\rangle = \sum_m \left( \sum_n Q_{mn} a_n \right) |e_m\rangle \quad (97)$$

### 3.6.2 Bases in Hilbert Space

- Classical Analogy: A 2D vector  $\mathbf{A}$  can be described via components w.r.t. different orthonormal bases (e.g.,  $xy$  or  $x'y'$  axes). The vector itself is independent of the basis choice.
- In quantum mechanics: A quantum state  $|\mathcal{S}(t)\rangle$  "lives" in Hilbert space. It can be expanded with respect to different bases:

$$\Psi(x, t) = \langle x | \mathcal{S}(t) \rangle \quad (98)$$

$$\Phi(p, t) = \langle p | \mathcal{S}(t) \rangle \quad (99)$$

Coefficients  $c_n(t) = \langle n | \mathcal{S}(t) \rangle$  for discrete energy basis. All expansions represent the same state:

$$|\mathcal{S}(t)\rangle = \int \Psi(y, t) |y\rangle dy = \int \Phi(p, t) \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar} dp = \sum_n c_n e^{-iE_n t/\hbar} |n\rangle \quad (100)$$

- Definition: Operators (observables) transform vectors:

$$|\beta\rangle = \hat{Q}|\alpha\rangle \quad (101)$$

- Matrix Elements: With orthonormal basis  $\{|e_n\rangle\}$ , an operator  $\hat{Q}$  is represented by matrix elements

$$Q_{mn} \equiv \langle e_m | \hat{Q} | e_n \rangle \quad (102)$$

Taking inner product with  $\langle e_m |$  on  $|\beta\rangle = \hat{Q}|\alpha\rangle$  (after expanding  $|\alpha\rangle, |\beta\rangle$  in basis), we get:

$$b_m = \sum_n Q_{mn} a_n \quad (103)$$

- An Example of Two-State System: Consider a system with two linearly independent states  $|1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $|2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ . General state:

$$|\mathcal{S}\rangle = a|1\rangle + b|2\rangle = \begin{pmatrix} a \\ b \end{pmatrix}, \quad |a|^2 + |b|^2 = 1 \quad (104)$$

For Hamiltonian  $H = \begin{pmatrix} h & g \\ g & h \end{pmatrix}$ , solve time-independent Schrödinger equation  $\hat{H}|s\rangle = E|s\rangle$  to find eigenvectors and eigenvalues. Expand initial state in eigenvectors, add time-dependence  $e^{-iE_n t/\hbar}$  to get state at time  $t$ .

- Operators in Position/Momentum Bases: Position operator  $\hat{x}$ :

$$\hat{x} \rightarrow \begin{cases} x & (\text{position space}) \\ i\hbar\partial/\partial p & (\text{momentum space}) \end{cases} \quad (105)$$

Momentum operator  $\hat{p}$ :

$$\hat{p} \rightarrow \begin{cases} -i\hbar\partial/\partial x & (\text{position space}) \\ p & (\text{momentum space}) \end{cases} \quad (106)$$

A state's wave function  $\Psi(x, t)$  is its “position-basis representation”; the state  $|\mathcal{S}(t)\rangle$  is basis-independent.

### 3.6.3 Dirac Notation

- bra  $\langle\alpha|$ ; ket  $|\beta\rangle$ .
- Bra is a linear function of vectors:

$$\langle f| = \int f^*[\dots]dx \quad (107)$$

- In a finite dimensional vector space, bra is expressed as row and ket is expressed as column.
- The collection of all bras is called the dual space. (duality)
- The projection operator

$$\hat{P} \equiv |\alpha\rangle\langle\alpha| \quad (108)$$

, which gives the proportion of any other vectors that lies along the direction of  $|\alpha\rangle$ :

$$\hat{P}|\beta\rangle \equiv (\langle\alpha|\beta\rangle)|\alpha\rangle. \quad (109)$$

- If  $|e_n\rangle$  is a complete basis, then

1. For discrete orthonormal basis,

$$\sum_n |e_n\rangle\langle e_n| = 1 \quad (110)$$

2. For continuous orthonormal basis,

$$\int |e_n\rangle\langle e_n|dn = 1 \quad (111)$$

- Typically, functions of operators are defined by power series, e.g.

$$e^{\hat{Q}} = 1 + \hat{Q} + 1/2\hat{Q}^2 + 1/3!\hat{Q}^3 + \dots \quad (112)$$

- If an operator is hermitian, its matrix elements in any orthogonal basis satisfy  $Q_{mn} = Q_{nm}^*$  (see Problem 3.24). (Question: Is orthogonality condition necessary?)
- An operator can be expressed by its spectral decomposition:

$$\hat{Q} = \sum_n q_n |e_n\rangle\langle e_n| \quad (113)$$

(see Problem 3.27)

- A function of an operator can be defined by spectral decomposition:

$$f(\hat{Q}) = \sum_n f(q_n) |e_n\rangle\langle e_n| \quad (114)$$

, which is equivalent to the infinite series definition in case of  $e^{\hat{Q}}$ . (Question: Universality)

•

$$[A, B] = AB - BA \quad (115)$$

$$[A, B] = -[B, A] \quad (116)$$

$$[A, B + C] = [A, B] + [A, C] \quad (117)$$

$$[A + B, C] = [A, C] + [B, C] \quad (118)$$

$$[\alpha A, B] = \alpha[A, B] = [A, \alpha B] \quad (119)$$

$$[A, BC] = [A, B]C + B[A, C] \quad (120)$$

$$[AB, C] = A[B, C] + [A, C]B \quad (121)$$

$$[A, [B, C]] + [B, [C, A]] + [C, [A, B]] = 0 \quad (122)$$

$$[A, I] = 0 \quad (123)$$

$$[A, B^2] = [A, B]B + B[A, B] \quad (124)$$

$$[A^2, B] = A[A, B] + [A, B]A \quad (125)$$

$$[A, B] = c \implies [A, B^n] = ncB^{n-1} \quad (126)$$

$$[A, B] = c \implies [A^n, B] = ncA^{n-1} \quad (127)$$

•

$$[A^n, B] = nA^{n-1}C \quad (C = [A, B]; [A, C] = [B, C] = 0) \quad (128)$$

$$[e^{\lambda A}, B] = \lambda e^{\lambda A}C \quad (C = [A, B]; [A, C] = [B, C] = 0) \quad (129)$$

### 3.6.4 Changing Basis in Dirac Notation

- Most frequently used operator:

$$1 = \int dx |x\rangle\langle x| \quad (130)$$

$$1 = \int dp |p\rangle\langle p| \quad (131)$$

$$1 = \sum_n |n\rangle\langle n| \quad (132)$$

- Example: Changing basis from the position-space wave function to the momentum-space wave function.

$$\Phi(p, t) = \langle p | S(t) \rangle \quad (133)$$

$$= \langle p | \left( \int dx |x\rangle \langle x| \right) | S(t) \rangle \quad (134)$$

$$= \int \langle p | x \rangle \langle x | S(t) \rangle dx \quad (135)$$

$$= \int \langle p | x \rangle \Psi(x, t) dx \quad (136)$$

$$= \int \langle x | p \rangle^* \Psi(x, t) dx \quad (137)$$

$$= \int [f_p(x)]^* \Psi(x, t) dx \quad (138)$$

$$= \frac{1}{\sqrt{2\pi\hbar}} \int e^{-ipx/\hbar} \Psi(x, t) dx. \quad (139)$$

- Action of position operator in  $x$  basis  $= \langle x | \hat{x} | S(t) \rangle = x \Psi(x, t)$   
Action of position operator in  $p$  basis  $= \langle p | \hat{x} | S(t) \rangle = i\hbar \frac{\partial \Phi}{\partial p}$

### 3.7 Virial Theorem

$$\boxed{2\langle T \rangle = \left\langle x \frac{dV}{dx} \right\rangle} \quad (140)$$

, which can be proven by generalized Ehrenfest Theorem (Problem 3.37).

## 4 Quantum Mechanics in Three Dimensions

### 4.1 The Schrödinger Equation

$$i\hbar \frac{\partial \Psi}{\partial t} = \hat{H} \Psi \quad (141)$$

The Hamiltonian operator  $\hat{H}$  is derived from the classical energy by substituting  $\mathbf{p} \rightarrow -i\hbar \nabla$ :

$$\hat{H} = -\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{r}, t) \quad (142)$$

where the Laplacian in Cartesian coordinates is

$$\nabla^2 \equiv \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \quad (143)$$

The wave function  $\Psi$  and potential  $V$  are functions of  $\mathbf{r} = (x, y, z)$  and  $t$ . The probability of finding the particle in the infinitesimal volume  $d^3r = dxdydz$  is  $|\Psi(\mathbf{r}, t)|^2 d^3r$ , and the normalization condition is

$$\int |\Psi|^2 d^3r = 1 \quad (144)$$

with the integral over all space. For time-independent  $V$ , stationary states exist:

$$\Psi_n(\mathbf{r}, t) = \psi_n(\mathbf{r}) e^{-iE_n t/\hbar} \quad (145)$$

where  $\psi_n$  satisfies the time-independent Schrödinger equation:

$$-\frac{\hbar^2}{2m}\nabla^2\psi + V\psi = E\psi \quad (146)$$

The general solution to the time-dependent Schrödinger equation is

$$\Psi(\mathbf{r}, t) = \sum c_n \psi_n(\mathbf{r}) e^{-iE_n t/\hbar} \quad (147)$$

with  $c_n$  determined by the initial wave function  $\Psi(\mathbf{r}, 0)$ .

## 4.2 Generalization of Some Conclusions in 1D

### 4.2.1 Canonical Commutation Relations

The canonical commutation relations for components of  $\mathbf{r}$  and  $\mathbf{p}$  are

$$[r_i, p_j] = i\hbar\delta_{ij}, \quad [r_i, r_j] = 0, \quad [p_i, p_j] = 0 \quad (148)$$

where  $r_i = x, y, z$  and  $p_i$  are the corresponding momentum components.

### 4.2.2 Ehrenfest's Theorem

The three-dimensional version of Ehrenfest's theorem states

$$\frac{d}{dt}\langle\mathbf{r}\rangle = \frac{1}{m}\langle\mathbf{p}\rangle \quad (149)$$

$$\frac{d}{dt}\langle\mathbf{p}\rangle = \langle-\nabla V\rangle \quad (150)$$

### 4.2.3 Heisenberg's Uncertainty Principle

In three dimensions, the uncertainty principle takes the form

$$\sigma_x\sigma_{p_x} \geq \frac{\hbar}{2}, \quad \sigma_y\sigma_{p_y} \geq \frac{\hbar}{2}, \quad \sigma_z\sigma_{p_z} \geq \frac{\hbar}{2} \quad (151)$$

with no restriction on cross components (e.g.,  $\sigma_x\sigma_{p_y}$ ).

## 4.3 Infinite Cubical Well

The potential for an infinite cubical well is

$$V(x, y, z) = \begin{cases} 0, & 0 \leq x, y, z \leq a \\ \infty, & \text{otherwise} \end{cases} \quad (152)$$

The stationary states are

$$\psi_{n_x n_y n_z}(x, y, z) = \left(\frac{2}{a}\right)^{3/2} \sin\left(\frac{n_x \pi x}{a}\right) \sin\left(\frac{n_y \pi y}{a}\right) \sin\left(\frac{n_z \pi z}{a}\right) \quad (153)$$

with corresponding energies

$$E_{n_x n_y n_z} = \frac{\pi^2 \hbar^2}{2ma^2} (n_x^2 + n_y^2 + n_z^2) \quad (154)$$

where  $n_x, n_y, n_z = 1, 2, 3, \dots$



## 4.4 Spherical Coordinates

For central potentials  $V(r)$ , spherical coordinates  $(r, \theta, \phi)$  are used. The Laplacian in spherical coordinates is

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \quad (155)$$

The time-independent Schrödinger equation in spherical coordinates is

$$-\frac{\hbar^2}{2m} \left[ \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \psi}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial \psi}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 \psi}{\partial \phi^2} \right] + V\psi = E\psi \quad (156)$$

Separable solutions  $\psi(r, \theta, \phi) = R(r)Y(\theta, \phi)$  lead to the radial equation

$$\frac{1}{R} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] = \ell(\ell + 1) \quad (157)$$

and the angular equation

$$\frac{1}{Y} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right] = -\ell(\ell + 1) \quad (158)$$

## 4.5 The Angular Equation

- From the time-independent Schrödinger equation in spherical coordinates, after separating radial and angular parts, the angular equation is derived as:

$$\frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\} = -\ell(\ell + 1) \quad (159)$$

where  $\ell(\ell + 1)$  is the separation constant.

- The angular wave function  $Y(\theta, \phi)$  is further separated into a product of functions of  $\theta$  and  $\phi$  as  $Y(\theta, \phi) = \Theta(\theta)\Phi(\phi)$ . Substituting this into the angular equation leads to two separate equations:

1.  $\phi$ -dependent part:

$$\frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} = -m^2 \quad (160)$$

where  $m^2$  is another separation constant.

2.  $\theta$ -dependent part:

$$\frac{1}{\Theta} \left[ \sin \theta \frac{d}{d\theta} \left( \sin \theta \frac{d\Theta}{d\theta} \right) \right] + \ell(\ell + 1) \sin^2 \theta = m^2 \quad (161)$$

- The solution to the  $\phi$  equation is  $\Phi(\phi) = e^{im\phi}$ . Due to the periodicity requirement  $\Phi(\phi + 2\pi) = \Phi(\phi)$ , we have  $e^{i2\pi m} = 1$ , which implies  $m = 0, \pm 1, \pm 2, \dots$  (i.e.,  $m$  must be an integer).
- The solution to the  $\theta$  equation involves associated Legendre functions. The associated Legendre function  $P_\ell^m(\cos \theta)$  is defined as:

$$P_\ell^m(x) = (-1)^m (1 - x^2)^{m/2} \left( \frac{d}{dx} \right)^m P_\ell(x) \quad (162)$$

where  $P_\ell(x)$  is the Legendre polynomial given by the Rodrigues formula:

$$P_\ell(x) \equiv \frac{1}{2^\ell \ell!} \left( \frac{d}{dx} \right)^\ell (x^2 - 1)^\ell \quad (163)$$

For a given  $\ell$ ,  $m$  can take values  $m = -\ell, -\ell + 1, \dots, \ell - 1, \ell$ , resulting in  $(2\ell + 1)$  possible values of  $m$ .

- The normalized angular wave functions, called spherical harmonics, are given by:

$$Y_\ell^m(\theta, \phi) = \sqrt{\frac{(2\ell+1)(\ell-m)!}{4\pi(\ell+m)!}} e^{im\phi} P_\ell^m(\cos\theta) \quad (164)$$

They satisfy the orthogonality condition:

$$\int_0^\pi \int_0^{2\pi} [Y_\ell^m(\theta, \phi)]^* [Y_{\ell'}^{m'}(\theta, \phi)] \sin\theta d\theta d\phi = \delta_{\ell\ell'} \delta_{mm'} \quad (165)$$

## 4.6 The Radial Equation

- The radial part of the wave function  $R(r)$  is determined by the radial equation, which is derived from the time-independent Schrödinger equation in spherical coordinates after separating the angular part. The radial equation is:

$$\frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] R = \ell(\ell+1) R \quad (166)$$

where  $\ell$  is the orbital angular momentum quantum number,  $m$  is the mass of the particle,  $V(r)$  is the potential energy, and  $E$  is the energy.

- A simplification is achieved by introducing a new variable  $u(r) \equiv rR(r)$ . Substituting  $R = u/r$  into the radial equation transforms it into:

$$-\frac{\hbar^2}{2m} \frac{d^2 u}{dr^2} + \left[ V + \frac{\hbar^2}{2m} \frac{\ell(\ell+1)}{r^2} \right] u = Eu \quad (167)$$

This equation resembles the one-dimensional time-independent Schrödinger equation, but with an effective potential  $V_{\text{eff}}$ .

- The effective potential  $V_{\text{eff}}$  is given by:

$$V_{\text{eff}} = V + \frac{\hbar^2}{2m} \frac{\ell(\ell+1)}{r^2} \quad (168)$$

The additional term  $\frac{\hbar^2}{2m} \frac{\ell(\ell+1)}{r^2}$  is called the centrifugal term, which acts like a repulsive potential, tending to push the particle away from the origin.

- The normalization condition for the radial wave function, in terms of  $u(r)$ , becomes:

$$\int_0^\infty |u|^2 dr = 1 \quad (169)$$

This is a result of normalizing  $R(r)$  and  $Y(\theta, \phi)$  separately, where the radial normalization condition for  $R(r)$  is  $\int_0^\infty |R|^2 r^2 dr = 1$  and substituting  $R = u/r$  leads to the above expression.

## 4.7 Infinite Spherical Well

- Potential function:

$$V(r) = \begin{cases} 0, & r \leq a; \\ \infty, & r \geq a. \end{cases} \quad (170)$$

- This is a spherical symmetric potential, so the angular solution is spherical harmonics. The only task needed is solving the radial equation:

$$\frac{d^2 u}{dr^2} = \left[ \frac{l(l+1)}{r^2} - k^2 \right] u \quad (171)$$

, where  $k \equiv \frac{\sqrt{2mE}}{\hbar}$ .

- The general solution to the radial equation of infinite spherical well reads

$$u(r) = Arj_l(kr) + Brn_l(kr), \quad (172)$$

where  $j_l(x)$  is the spherical Bessel function of order  $l$ :

$$j_l(x) = (-x)^l \left( \frac{1}{x} \frac{d}{dx} \right)^l \frac{\sin x}{x} \quad (173)$$

, and  $n_l(x)$  is the spherical Neumann function of order  $l$ :

$$n_l(x) = -(-x)^l \left( \frac{1}{x} \frac{d}{dx} \right)^l \frac{\cos x}{x}. \quad (174)$$

However, Neumann function blows up at the origin, hence  $B = 0$ , and

$$R(r) = Aj_l(kr). \quad (175)$$

- Applying the boundary condition  $R(a) = 0$ :

$$k \cdot a = \beta_{Nl} \Rightarrow k = \frac{1}{a} \beta_{Nl}, \quad (176)$$

where  $\beta_{Nl}$  is the  $N$ -th zero of  $l$ th order spherical Bessel function. The allowed energies, then, are given by

$$E_{Nl} = \frac{\hbar^2 k^2}{2m} = \frac{\hbar^2}{2ma^2} \beta_{Nl}^2, \quad (177)$$

and the wave function is

$$\psi_{nlm}(r, \theta, \phi) = A_{nl} j_l \left( \beta_{Nl} \frac{r}{a} \right) Y_l^m(\theta, \phi), \quad (178)$$

where  $A_{nl}$  is the normalization constant.

- Characteristics
  1. The wavefunction has  $N - 1$  radial nodes
  2. The energy levels are determined by both  $n$ 's and  $l$ 's.
  3. Each energy level is  $(2l + 1)$ -fold degenerate: a specific pair of  $n$  and  $l$  determines an energy level, and there are  $(2l + 1)$  distinct values of  $m$ .

## 4.8 The Hydrogen Atom

- The potential function:

$$V(r) = -\frac{e^2}{4\pi\epsilon_0 r} \quad (179)$$

- This is also a spherical symmetric well, so we only need to solve the radial equation:

$$-\frac{\hbar^2}{2m_e} \frac{d^2 u}{dr^2} + \left[ -\frac{e^2}{4\pi\epsilon_0 r} + \frac{\hbar^2}{2m_e} \frac{l(l+1)}{r^2} \right] u = Eu \quad . \quad (180)$$

Define

$$\kappa \equiv \sqrt{-2m_e E/\hbar} \quad (181)$$

, and divide by  $E$ , we have

$$\frac{1}{\kappa^2} \frac{d^2 u}{dr^2} = \left[ 1 - \frac{m_e e^2}{2\pi\epsilon_0 \hbar^2 \kappa} \frac{1}{\kappa r} + \frac{l(l+1)}{(\kappa r)^2} \right] u \quad (182)$$

Let  $\rho = \kappa r$ , and  $\rho_0 = m_e e^2 / (2\pi\epsilon_0 \hbar^2 \kappa)$  (both are dimensionless), so that

$$\frac{d^2 u}{dr^2} = \left[ 1 - \frac{\rho_0}{\rho} + \frac{l(l+1)}{\rho^2} \right] u \quad (183)$$

- Next, we examine the asymptotic behavior. As  $\rho \rightarrow \infty$ ,

$$\frac{d^2 u}{d\rho^2} = u. \quad (184)$$

The general solution (which does not blow up as  $\rho$  goes infinity) is

$$u(\rho) \sim Ae^{-\rho}. \quad (185)$$

Similarly, as  $\rho \rightarrow 0$ , we have

$$\frac{d^2 u}{d\rho^2} = \frac{l(l+1)}{\rho^2} u. \quad (186)$$

The general solution (which does not blow up as  $\rho$  approaches 0) is

$$u(\rho) \sim C\rho^{l+1}. \quad (187)$$

Therefore,

$$u(\rho) = \rho^{l+1} e^{-\rho} v(\rho) \quad (188)$$

Substitute into the radial equation, we derive

$$\rho \frac{d^2 v}{d\rho^2} + 2(l+1-\rho) \frac{dv}{d\rho} + [\rho_0 - 2(l+1)]v = 0 \quad (189)$$

- We assume that the solution,  $v(\rho)$ , can be expressed as a power series in  $\rho$ :

$$v(\rho) = \sum_{j=0}^{\infty} c_j \rho^j \quad (190)$$

Expressing the radial equation in power series, we have

$$\sum_{j=0}^{\infty} j(j+1)c_{j+1}\rho^j + 2(l+1) \sum_{j=0}^{\infty} j(j+1)c_{j+1}\rho^j - 2 \sum_{j=0}^{\infty} j c_j \rho^j + [\rho_0 - 2(l+1)] \sum_{j=0}^{\infty} c_j \rho^j = 0. \quad (191)$$

Hence,

$$j(j+1)c_{j+1} + 2(l+1)(j+1)c_{j+1} - 2j c_j + [\rho_0 - 2(l+1)]c_j = 0 \quad (192)$$

$$\Rightarrow c_{j+1} = \left\{ \frac{2(j+l+1) - \rho_0}{(j+1)(j+2l+2)} \right\} c_j. \quad (193)$$

For large  $j$ , the solution blows up (see Page 189 of the textbook), so the power series must terminate:

$$c_{N-1} \neq 0 \quad \text{but} \quad c_N = 0. \quad (194)$$

- According to the recursion formula we derived,

$$2(N + l) - \rho_0 = 0. \quad (195)$$

Defining  $n \equiv N + l$ , we have  $\rho_0 = 2n$  and hence the energy level

$$E = -\frac{\hbar^2 \kappa^2}{2m} = -\frac{m_e e^4}{8\pi^2 \epsilon_0^2 \hbar^2 \rho_0^2} \quad (196)$$

$$\Rightarrow E_n = -\left[ \frac{m_e}{2\hbar^2} \left( \frac{e^2}{4\pi\epsilon_0} \right)^2 \right] \frac{1}{n^2} = E_1/n^2 \quad (n = 1, 2, 3, \dots) \quad (197)$$

This is called Bohr Formula.

- The characteristic length of the hydrogen atom is called Bohr radius:

$$a \equiv 1/\kappa = \frac{4\pi\epsilon_0 \hbar^2}{m_e e^2} = 0.529 \times 10^{-10} \text{m}. \quad (198)$$

- The wave function of the hydrogen atom is

$$\psi_{nlm} = R_{nl}(r)Y_l^m(\theta, \phi) \quad (199)$$

, where  $c_0$  in the recursion formula determined by normalization.

- Characteristics

1. The degeneracy of each energy level is  $n^2$ . (See Page 191 of the textbook).
2. For a specific  $n$ , possible values of  $l$  are  $l = 0, 1, 2, \dots, n - 1$ .
3. In the hydrogen atom model, different values of  $l$  carry the same energy for a given  $n$ , which gives the model extra degeneracy compared to the infinite spherical well model.
4. For bound states of the hydrogen atom,  $E < 0$ , with corresponding  $n$ 's from 1 to  $\infty$ . For scattering states,  $E > 0$ .  $E_\infty = 0$  separates bound states and scattering states.
5. All  $|\psi|^2$  is of azimuthal symmetry. (For more about nodes, see Page 195 of the textbook)

- The polynomial  $v(\rho)$  can be written as

$$v(\rho) = L_{n-l-1}^{2l+1}(2\rho) \quad (200)$$

, where

$$L_q^p(x) \equiv (-1)^p \left( \frac{d}{dx} \right)^p L_{p+q}(x) \quad (201)$$

is an associated Laguerre polynomial, and

$$L_q(x) \equiv \frac{e^x}{q!} \left( \frac{d}{dx} \right)^q (e^{-x} x^q) \quad (202)$$

is the  $q$ -th Laguerre polynomial.

- The normalized hydrogen atom wave functions are

$$\psi_{nlm} = \sqrt{\left( \frac{2}{na} \right)^3 \frac{(n-l-1)!}{2n(n+l)!}} e^{-r/na} \left( \frac{2r}{na} \right)^l [L_{n-l-1}^{2l+1}(2r/na)] Y_l^m(\theta, \phi). \quad (203)$$

- Orthogonality:

$$\int \psi_{nlm}^* \psi_{n'l'm'} r^2 dr d\Omega = 1 \quad (204)$$

- Question: Can electron enters the nuclei? (as the solution does not rule out this possibility)?

## 5 2-Dimensional Harmonic Oscillator & Infinite Square Well

### 5.1 Harmonic Oscillator

[?]

- Potential:

$$V(x, y) = \frac{1}{2}m\omega^2(x^2 + y^2) \quad (205)$$

- Time-independent Shrodinger equation:

$$-\frac{\hbar^2}{2m}\left(\frac{\partial^2\psi}{\partial x^2} + \frac{\partial^2\psi}{\partial y^2}\right) + \frac{1}{2}m\omega(x^2 + y^2)\psi = E\psi \quad (206)$$

- Using separation of variables, we assume that the solution  $\psi$  can be expressed as the product of the wave function of  $x$  and the wave function of  $y$ :

$$\psi = X(x)Y(y) \quad (207)$$

Substituting into the Shrodinger equation:

$$-\frac{\hbar^2}{2m}\left(Y\frac{\partial^2 X}{\partial x^2} + X\frac{\partial^2 Y}{\partial y^2}\right) + \frac{1}{2}m\omega(x^2 + y^2)XY = EXY \quad (208)$$

$$\Rightarrow -\frac{\hbar^2}{2m}\left(\frac{1}{X}\frac{\partial^2 X}{\partial x^2} + \frac{1}{Y}\frac{\partial^2 Y}{\partial y^2}\right) + \frac{1}{2}m\omega(x^2 + y^2) = E \quad (209)$$

$$\Rightarrow \left[-\frac{\hbar^2}{2m}\frac{1}{X}\frac{\partial^2 X}{\partial x^2} + \frac{1}{2}m\omega x^2\right] + \left[-\frac{\hbar^2}{2m}\frac{1}{Y}\frac{\partial^2 Y}{\partial y^2} + \frac{1}{2}m\omega y^2\right] = E \quad (210)$$

$$\Rightarrow -\frac{\hbar^2}{2m}\frac{1}{X}\frac{\partial^2 X}{\partial x^2} + \frac{1}{2}m\omega x^2 = E_x, \quad (211)$$

$$-\frac{\hbar^2}{2m}\frac{1}{Y}\frac{\partial^2 Y}{\partial y^2} + \frac{1}{2}m\omega y^2 = E_y, \quad (212)$$

$$E = E_x + E_y \quad (213)$$

The  $x$ -dependent and  $y$  dependent equations are exactly the same as Schrodinger equation of HM in 1-D. Using the result obtained previously,

$$X(x) = A\frac{1}{\sqrt{2^{n_x}n_x!}}H_{n_x}(\xi_x)e^{-\xi_x^2/2}; \quad (214)$$

$$Y(y) = B\frac{1}{\sqrt{2^{n_y}n_y!}}H_{n_y}(\xi_y)e^{-\xi_y^2/2}, \quad (215)$$

$$\text{where } \xi_{(x,y)} = \sqrt{\frac{m\omega}{\hbar}} \cdot (x, y). \quad (216)$$

Therefore,

$$\psi(x, y) = C \cdot \frac{1}{\sqrt{2^{n_x}n_x!}}H_{n_x}(\xi_x)e^{-\xi_x^2/2} \cdot \frac{1}{\sqrt{2^{n_y}n_y!}}H_{n_y}(\xi_y)e^{-\xi_y^2/2}; \quad (217)$$

$$E = \hbar\omega(n_x + n_y + 1) = \hbar\omega(N + 1). \quad (218)$$

### 5.2 Infinite Square Well

- Potential function:

$$V(x, y) = \begin{cases} 0, & 0 \leq x, y \leq a \\ \infty, & \text{otherwise} \end{cases} \quad (219)$$

- Solution:

$$\psi(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{n_x \pi}{a} x\right); \quad (220)$$

$$\psi(y) = \sqrt{\frac{2}{a}} \sin\left(\frac{n_y \pi}{a} y\right); \quad (221)$$

$$\psi(x, y) = \psi(x)\psi(y) = \frac{2}{a} \sin\left(\frac{n_x \pi}{a} x\right) \sin\left(\frac{n_y \pi}{a} y\right) \quad (222)$$

## References

- [1] David J. Griffiths. Introduction to Quantum Mechanics (The Third Edition). pages 119–121, 2018.
- [2] David J. Griffiths. Introduction to Quantum Mechanics (The Third Edition). pages 49–52, 2018.
- [3] David J. Griffiths. Introduction to Quantum Mechanics (The Third Edition). page 590, 2018.
- [4] David J. Griffiths. Introduction to Quantum Mechanics (The Third Edition). pages 123–124, 2018.