

# Counting Permutations Restricted by Length of Longest Consecutive Subsequence

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August 13, 2022

## 1 Introduction

This paper counts permutations which are restricted in the length of their longest consecutive subsequence (which we shall call *consecutivity*), for four naturally arising definitions of *consecutivity*, using a recursive method. Permutations are mapped to sequences of vertices (paths) in a polygon for a very visual approach, and categorized into five classes (with mutual overlap). Recursive steps describe the removal or merging of sides and/or vertices, which reduces the problem to counting shorter paths in smaller polygons.

## 2 The Four Types of Consecutivity

Let  $\{p_k\} = p_1, p_2, \dots, p_k$  be a permutation of  $k$  elements from  $[1, \dots, n]$ . For positive integers  $x, y \leq k$ , let

$$\{p_k\}_x^y = \begin{cases} p_x, \dots, p_y & x \leq y \\ p_x, \dots, p_k, p_1, \dots, p_y & x > y \end{cases}$$

be a *proper* and *improper subsequence* of  $\{p_k\}$  respectively.

We say  $\{p_k\}_x^y$  is *consecutive* if  $x \leq y$  and  $p_h - p_i = \pm 1$  for all  $h, i \in \text{dom}(\{p_k\}_x^y)$  satisfying  $h - i = 1$ . We say  $\{p_k\}_x^y$  is *wrap-consecutive* if  $x \leq y$  and  $p_h - p_i \equiv \pm 1 \pmod{n}$  for all  $h, i \in \text{dom}(\{p_k\}_x^y)$  satisfying  $h - i = 1$ . We say  $\{p_k\}_x^y$  is *cyclic-consecutive* if  $p_h - p_i = \pm 1$  for all  $h, i \in \text{dom}(\{p_k\}_x^y)$  satisfying  $h - i \equiv 1 \pmod{k}$ . We say  $\{p_k\}_x^y$  is *wrap-cyclic-consecutive* if  $p_h - p_i \equiv \pm 1 \pmod{n}$  for all  $h, i \in \text{dom}(\{p_k\}_x^y)$  satisfying  $h - i \equiv 1 \pmod{k}$ .

Let  $*$  specify each of the four types of consecutiveness respectively: ‘’, ‘*wrap-*’, ‘*cyclic-*’, and ‘*wrap-cyclic-*’. Let the *\*consecutivity* of  $\{p_k\}$  be the

length of the longest *\*consecutive* subsequence it contains. When determining *wrap-consecutivity*, 1 and  $n$  are considered consecutive because  $n$  ‘wraps’ around to 1. When determining *cyclic-consecutivity*,  $p_1$  and  $p_k$  are considered adjacent because  $p_k$  ‘cycles’ back to  $p_1$ . When determining *wrap-cyclic-consecutivity*, 1 and  $n$  are considered consecutive and  $p_1$  and  $p_k$  are considered adjacent.

If the *\*consecutivity* of  $\{p_k\}$  is less than  $j$ , we say  $\{p_k\}$  has “no  $j$  *\*consecutive*”. For any class of partial permutations  $\mathbb{A}$ , let  $\mathbb{A}_j, \mathbb{A}_j^w, \mathbb{A}_j^c, \mathbb{A}_j^{wc}$  be the subclass of  $\mathbb{A}$  whose partial permutations have no  $j$  *\*consecutive*; let  $\mathbb{A}_j(n, k), \mathbb{A}_j^w(n, k), \mathbb{A}_j^c(n, k), \mathbb{A}_j^{wc}(n, k)$  be the set of  $\{p_k\}$  belonging to  $\mathbb{A}_j, \mathbb{A}_j^w, \mathbb{A}_j^c, \mathbb{A}_j^{wc}$  respectively (alternatively  $\mathbb{A}(n, k, j, \text{wrap}, \text{cyclic})$ , where *wrap* and *cyclic* are boolean parameters specifying the type of consecutiveness); finally, let the single-stroke counterpart  $A_j(n, k), A_j^w(n, k), A_j^c(n, k), A_j^{wc}(n, k)$  denote the cardinality of  $\mathbb{A}_j(n, k), \mathbb{A}_j^w(n, k), \mathbb{A}_j^c(n, k), \mathbb{A}_j^{wc}(n, k)$  respectively.

Let  $\mathbb{P}$  be the class of unrestricted partial permutations. This paper develops recursive formulas for  $n$  permute  $k$  with no  $j$  *\*consecutive* -  $P_j(n, k), P_j^w(n, k), P_j^c(n, k),$  and  $P_j^{wc}(n, k)$ .

### 3 Permutations as Polygonal Paths

Consider an  $n$ -sided polygon with vertices labelled  $[1, \dots, n]$ . By convention, we label the bottom right vertex as 1 and label the remaining vertices with increasing index in the counter-clockwise direction. Every  $k$ -permutation  $\{p_k\}$  can be represented by a unique, directed length- $k$  path in this polygon through vertices  $p_1, p_2, \dots, p_k$ . Regular convex polygons are used for aesthetic purposes.

Since we cannot draw all polygon sizes  $n \in \mathbb{N}$  in a class, we will use abbreviated polygons with ..... representing the omitted sides. A class of partial permutations is denoted by an abbreviated polygon containing various indicators. The cardinality  $A_j^*(n, k)$  is denoted by an abbreviated polygon containing various indicators. A solid dot  $\bullet$  indicates the starting vertex  $p_1$ . A hollow dot  $\circ$  indicates the ending vertex  $p_k$ . A list of vertex numbers enclosed by square brackets denotes a side or undirected path section. A side or undirected path section is used *independently* if no sides are used immediately before or after it. Bolded lines indicate a path section that is used *independently*, in either forward or reverse order. The symbol  $||$  on  $[1, n]$  indicates *wrap* is false and thus  $[1, n]$  can be used freely. The symbol  $\odot$  around  $\bullet$  indicates *cyclic* is true.

Let  $\mathbb{Z}$  be the class of partial permutations which start from one of  $\{1, n\}$  and end at the other. Let  $\overset{x \rightarrow y}{\mathbb{Z}}$  be the subclass of  $\mathbb{Z}$  whose partial permuta-

tions start and end with exactly  $x$  and  $y$  consecutive sides respectively. Let  $\mathbb{S}$  be the class of partial permutations which start from one of  $\{1, n\}$ . Let  $\overset{x}{\mathbb{S}}$  be the subclass of  $\mathbb{S}$  whose partial permutations start with exactly  $x$  consecutive sides in the direction opposite to  $[1, n]$ . Let  $\mathbb{O}$  be the class of partial permutations which use  $[1, n]$ . Let  $\overset{x,y}{\mathbb{O}}$  be the subclass of  $\mathbb{O}$  whose partial permutations use exactly  $x$  and  $y$  consecutive sides to the left and right of  $[1, n]$  respectively.

**Definition 3.0.1.** If  $x < 0$ , let  $S(n, k, j, x) = 0$ . If  $x < 0$  or  $y < 0$ , let  $Z(n, k, j, x, y) = O(n, k, j, x, y) = U(n, k, j, x, y) = 0$ .

*Remark.* Negative side counts may arise from summation. Since paths cannot use a negative number of sides, the functions are defined to be 0 in this case.

Visual representations of these classes are shown below. See the supplementary Python program to generate images showing all possible paths.

## 4 Counting Polygonal Paths ( $n \geq 2$ )

The formulas developed in this section only hold for non-degenerate polygons ( $n > 2$  in all summands). Base cases, exceptions, and values will be given in the next section.

**4.1 Vertex Removal Theorem Z.**  $Z(n, k, j, [j-2], [j-2]) = S(n-1, k-1, j, [j-2]) - Z(n-1, k-1, j, j-2, [j-2])$ .

*Proof.* We first find half of  $Z(n, k, j, [j-2], [j-2])$ .

Starting from 1, the next vertex can be  $[2\dots n]$ . Since 1 cannot be used again, cut it away by constructing side  $(n, 2)$ , then count the number of length  $k-1$  paths in the resulting  $(n-1)$ -gon which start from  $[2\dots n]$  and end at  $n$ . There are  $\frac{1}{2}S(n-1, k-1, j, [j-2])$  paths from  $[2\dots n]$  to  $n$ . The paths starting from 2 and using  $j-2$  consecutive sides  $((2, 3, \dots, j-1, j))$  have been over-counted since the side  $(1, 2)$  was used, so subtract  $Z(n-1, k-1, j, j-2, [j-2])$  such paths. Finally, multiply by 2 choices for the starting vertex (1 and  $n$ ).  $\square$

**4.2 Side Removal Theorem Z.**  $Z(n, k, j, x, y) = Z(n-a-b, k-a-b, j, x-a, y-b)$  for  $a \leq x, b \leq y$ .

*Remark.* Since the  $a$  sides and  $b$  sides next to  $(1, n)$  must be used, they can be "cut" away to form a smaller polygon without affecting path count.

**4.2.1 Corollary.** Take  $a = x, b = y$ ; then  $Z(n, k, j, x, y) = Z(n-x-y, k-x-y, j, 0, 0)$ .

**4.3 Square Theorem.**  $Z(n, k, j, 0, 0) = Z(n, k, j, [j-2], [j-2]) - \sum_{i=1}^{j-2} Z(n, k, j, [j-2], i) - \sum_{i=1}^{j-2} Z(n, k, j, i, [j-2]) + Z(n-2, k-2, j, [j-3], [j-3])$ .

*Proof.* This follows from Inclusion-Exclusion.  $\square$

**4.4 Column Theorem Z1.**  $Z(n, k, j, x, [j-2]) = Z(n-a, k-a, j, x-a, [j-2])$  for  $a \leq x$ .

*Proof.*

$$\begin{aligned} Z(n, k, j, x, [j-2]) &= \sum_{i=0}^{j-2} Z(n, k, j, x, i) \\ &= \sum_{i=0}^{j-2} Z(n-a, k-a, j, x-a, i) \quad (4.2) \\ &= Z(n-a, k-a, j, x-a, [j-2]) \quad \square \end{aligned}$$

**4.4.1 Corollary.** Take  $a = x-1$ ; then  $Z(n, k, j, x, [j-2]) = Z(n-x+1, k-x+1, j, 1, [j-2])$ .

**4.4.2 Corollary.**  $Z(n, k, j, 0, 0) = Z(n+1, k+1, j, 1, [j-2]) - \sum_{i=1}^{j-2} Z(n, k, j, 0, i)$ .

**4.5 Column Theorem Z2.**  $Z(n, k, j, 1, [j-2]) = Z(n-1, k-1, j, [j-2], [j-2]) - \sum_{i=1}^{j-2} Z(n-1, k-1, j, i, [j-2])$ .

*Proof.*

$$\begin{aligned} Z(n, k, j, 1, [j-2]) &= Z(n-1, k-1, j, 0, [j-2]) \quad (4.4) \\ &= Z(n-1, k-1, j, [j-2], [j-2]) \\ &\quad - \sum_{i=1}^{j-2} Z(n-1, k-1, j, i, [j-2]) \quad \square \end{aligned}$$

**4.6 Symmetry Theorem Z.**  $Z(n, k, j, x, y) = Z(n, k, j, y, x)$ .

*Proof.* This follows from symmetry.  $\square$

**4.7 Complement Theorem.**  $Z(n, k, j, x, 0) = S(n-x-1, k-x-1, j, 0) - Z(n-1, k-1, j, x, [j-2])$ .

*Proof.* We first consider half of  $Z(n, k, j, x, 0)$ , the paths starting from 1.

Since the path ends at  $n$  with 0 consecutive sides, construct side  $(1, n-1)$  and count the number of length  $k-1$  paths in the resulting  $(n-1)$ -gon which start from 1, use  $x$  consecutive sides, and end anywhere except  $n-1$ . There are  $\frac{1}{2}S(n-1, k-1, j, x)$  paths which start from 1, use  $x$  consecutive sides, and end at  $[1+x \dots n-1]$ . Subtract  $\frac{1}{2}Z(n-1, k-1, j, x, [j-2])$ , the number of over-counted paths ending at  $n-1$ . Finally, multiply by two choices for the starting vertex (1 and  $n$ ).  $\square$

**4.8 Vertex Removal Theorem S1.**  $S(n, k, j, 0) = 2P(n-1, k-1, j, false, false) - S(n-1, k-1, j, [j-2])$ .

*Proof.* Again, we first consider half of  $S(n, k, j, 0)$ , the paths starting from 1.

The second vertex can be  $[3...n]$ . Since 1 cannot be used again, cut the vertex away by constructing side  $(n, 2)$  and count the number of length  $k-1$  paths in the resulting  $(n-1)$ -gon which start from  $[3...n]$  and can use  $(n, 2)$  freely. There are  $P(n-1, k-1, j, false, false)$  paths starting from  $[2...n]$  which can use  $(n, 2)$  freely. Subtract  $\frac{1}{2}S(n-1, k-1, j, [j-2])$  paths starting from 2. Finally, multiply by two choices for the starting vertex.  $\square$

**4.9 Vertex Removal Theorem S2.**  $S(n, k, j, [j-2]) = 2P(n-1, k-1, j, false, false) - S(n-1, k-1, j, j-2)$ .

*Proof.*

*Case 1.  $j = 2$*

$S(n, k, j, 0) = 2P(n-1, k-1, 2, false, false) - S(n-1, k-1, 2, 0)$ . This is equivalent to  $j = 2$  in Theorem 4.8.

*Case 2.  $j > 2$*

First consider half of  $S(n, k, j, [j-2])$ , the paths starting from 1.

The second vertex can be  $[2...n]$ . Since 1 cannot be used again, cut the vertex away by constructing side  $(n, 2)$  and count the number of length  $k-1$  paths in the resulting  $(n-1)$ -gon which start from  $[2...n]$  and can use  $(n, 2)$  freely. There are  $P(n-1, k-1, j, false, false)$  paths starting from  $[2...n]$  which can use  $(n, 2)$  freely. The paths starting at 2 with  $j-2$  consecutive sides  $((2, 3, \dots, j-1, j))$  have been over-counted since  $(1, 2)$  was used, so subtract  $\frac{1}{2}S(n-1, k-1, j, j-2)$  such paths. Finally, multiply the expression by two choices for the starting vertex.  $\square$

**4.10 Side Removal Theorem S.**  $S(n, k, j, x) = S(n-x, k-x, j, 0)$ .

*Proof.* As in 4.2, since the  $x$  sides next to the starting vertex must be used, cut them away by constructing side  $(n, 1+x)$  (or  $(1, n-x)$ ) and count the number of length  $k-x$  paths in the resulting  $(n-x)$ -gon which start at  $1+x$  (or  $n-x$ ) with 0 consecutive sides.  $\square$

**4.11 Vertex Merge Theorem O.**  $O(n, k, j, 0, 0) = 2\binom{k-1}{n-1}P(n-1, k-1, j, true, false) - 2\sum_{x=0}^{j-2}\sum_{y=1-x}^{j-2-x}O(n, k, j, x, y) + \sum_{x=0}^{j-1}\sum_{y=1-x}^{j-1}O(n, k, j, x, y)$

*Proof.* WLOG,  $(1, n)$  must be used in a subsequence of  $[n-j+1, n-j+2, \dots, n-1, 1, n, 2, \dots, j-1, j]$  of length  $l \geq 2$ .

*Case 1.*  $l = 2$ ,  $(1, n)$  used independently

Merge 1 and  $n$  into a single vertex  $N$  and count the number of length  $k - 1$  paths in the resulting  $(n - 1)$ -gon which use  $N$ . There are  $P(n - 1, k - 1, j, \text{true}, \text{false})$  length  $k - 1$  paths with no  $j$  wrap-consecutive in an  $(n - 1)$ -gon, cumulatively using a vertex  $(k - 1)P(n - 1, k - 1, j, \text{true}, \text{false})$  times. Since  $P(n - 1, k - 1, j, \text{true}, \text{false})$  is rotationally symmetric, each particular vertex in the  $(n - 1)$ -gon is used by  $\left(\frac{k-1}{n-1}\right) P(n - 1, k - 1, j, \text{true}, \text{false})$  paths.

The paths in which  $N$  has up to  $j - 2$  consecutive sides (isn't used independently) have been over-counted. Let there be  $x$  and  $y$  consecutive sides to the left and right of  $N$  respectively. There are  $O(n, k, j, x, y)$  over-counted paths for every pair  $x, y$  satisfying  $1 \leq x + y \leq j - 2$ , so subtract  $\sum_{x=0}^{j-2} \sum_{y=1-x}^{j-2-x} O(n, k, j, x, y)$  paths.

Then multiply the expression by 2 since each length  $k - 1$  path using  $N$  independently maps to two length  $k$  paths containing  $(1, n)$  (either 1 or  $n$  can be visited first).

*Case 2.*  $l > 2$

Let the subsequence of  $[n - j + 1, n - j + 2, \dots, n - 1, 1, n, 2, \dots, j - 1, j]$  contain  $x$  and  $y$  vertices to the left and right of 1 and  $n$  respectively. There is a distinct subsequence with  $l > 2$  for every pair  $x, y$  satisfying  $x \leq j - 1$ ,  $y \leq j - 1$ , and  $x + y \geq 1$ , so there are  $\sum_{x=0}^{j-1} \sum_{y=1-x}^{j-1} O(n, k, j, x, y)$  paths.

*Remark.* Some of the paths subtracted in case 1 are re-added in case 2.

□

**4.12 Side Removal Theorem O.**  $O(n, k, j, x, y) = O(n - x - y, k - x - y, j, 0, 0)$ .

*Remark.* As in 4.2 and 4.10, since the  $x$  and  $y$  sides next to  $n$  and 1 must be used, they can be "cut" away to form a smaller polygon without affecting path count.

**4.13 Symmetry Theorem O.**  $O(n, k, j, x, y) = O(n, k, j, y, x)$ .

*Proof.* This follows from symmetry.

□

**4.14 Vertex Merge Theorem U.**  $U(n, k, j, 0, 0) = 2 \left(\frac{k-1}{n-1}\right) P(n - 1, k - 1, j, \text{true}, \text{true}) - 2 \sum_{x=0}^{j-2} \sum_{y=1-x}^{j-2-x} [U(n, k, j, x, y) - \sum_{d=j-x-y-1}^{j-x-2} Z(n - x - 1, k - x - 1, j, y, d - 1) - \sum_{d=j-x-y-1}^{j-y-2} Z(n - y - 1, k - y - 1, j, x, d - 1)] + \sum_{x=0}^{j-1} \sum_{y=1-x}^{j-1} [U(n - x - y, k - x - y, j, 0, 0) + \sum_{d=j-1}^{j-1-x} Z(n - y - 1, k - y - 1, j, 0, d - 1) + \sum_{d=j-1}^{j-1-y} Z(n - x - 1, k - x - 1, j, 0, d - 1) - \sum_{d=j-x}^{j-2} Z(n - x - y - 1, k - x - y - 1, j, 0, d - 1) - \sum_{d=j-y}^{j-2} Z(n - x - y - 1, k - x - y - 1, j, 0, d - 1)] + 2Z(n - 1, k - 1, j, 0, j - 2)$ .

*Proof.* As in 4.11, WLOG,  $(1, n)$  must be used in a subsequence of  $[n - j + 1, n - j + 2, \dots, n - 1, 1, n, 2, \dots, j - 1, j]$  of length  $l \geq 2$ .

*Case 1.*  $l = 2$ ,  $(1, n)$  used independently

Merge 1,  $n$  into a single vertex  $N$  and count the number of length  $k - 1$  paths in the resulting  $(n - 1)$ -gon which use  $N$  and have no  $j$  *wrap-cyclic-consecutive*. There are  $P(n - 1, k - 1, j, \text{true}, \text{true})$  length  $k - 1$  paths with no  $j$  *wrap-cyclic-consecutive* in an  $(n - 1)$ -gon, cumulatively using a vertex  $(k - 1)P(n - 1, k - 1, j, \text{true}, \text{true})$  times. Since  $\mathbb{P}(n - 1, k - 1, j, \text{true}, \text{true})$  is rotationally symmetric, each particular vertex in the  $(n - 1)$ -gon is used by  $\binom{k-1}{n-1} P(n - 1, k - 1, j, \text{true}, \text{true})$  paths.

The paths in which  $N$  has up to  $j - 2$  consecutive sides (isn't used independently) have been over-counted. There are  $U(n, k, j, x, y)$  paths with  $x$  and  $y$  consecutive sides to the left and right of  $N$  respectively. Those which satisfy  $1 \leq x + y \leq j - 2$  and have no  $j$  *wrap-cyclic-consecutive* after merging 1 and  $n$  have been over-counted in  $\binom{k-1}{n-1} P(n - 1, k - 1, j, \text{true}, \text{true})$ .

For those starting  $n - x$  and ending  $n - x - 1$ , the first and last vertices connect to form a consecutive section that may exceed  $j - 1$  vertices in length after 1 and  $n$  merge. Let the path end at  $n - x - 1$  with  $d$  consecutive vertices ( $d - 1$  consecutive sides). After merging, the joint section will exceed  $j - 1$  vertices if  $d + x + y + 1 \geq j$ . The paths with  $d \geq j - x - 1$  were not counted in  $U(n, k, j, x, y)$ , since the  $d$  vertices would connect with  $[n - x, n - x + 1, \dots, n - 1, n]$  to exceed  $j - 1$  consecutive vertices. Thus there are  $\frac{1}{2} \sum_{d=j-x-y-1}^{j-x-2} Z(n - x - 1, k - x - 1, j, y, d - 1)$  paths in  $U(n, k, j, x, y)$  which start at  $n - x$  and end at  $n - x - 1$  and exceed  $j - 1$  consecutive vertices after merging. There are  $\frac{1}{2} \sum_{d=j-x-y-1}^{j-x-2} Z(n - x - 1, k - x - 1, j, y, d - 1)$  more which start at  $n - x - 1$  and end at  $n - x$ . Similarly, there are  $\sum_{d=j-x-y-1}^{j-y-2} Z(n - y - 1, k - y - 1, j, x, d - 1)$  more such paths with endpoints  $1 + y, 2 + y$ .

Thus there are  $U(n, k, j, x, y) - \sum_{d=j-x-y-1}^{j-x-2} Z(n - x - 1, k - x - 1, j, y, d - 1) - \sum_{d=j-x-y-1}^{j-y-2} Z(n - y - 1, k - y - 1, j, x, d - 1)$  over-counted paths in  $\binom{k-1}{n-1} \mathbb{P}(n - 1, k - 1, j, \text{true}, \text{true})$  for every pair  $x, y$  satisfying  $1 \leq x + y \leq j - 2$ , so subtract  $\sum_{x=0}^{j-2} \sum_{y=1-x}^{j-2-x} [U(n, k, j, x, y) - \sum_{d=j-x-y-1}^{j-x-2} Z(n - x - 1, k - x - 1, j, y, d - 1) - \sum_{d=j-x-y-1}^{j-y-2} Z(n - y - 1, k - y - 1, j, x, d - 1)]$  paths.

Then multiply the expression by 2 since each length  $k - 1$  path using  $N$  independently maps to two length  $k$  paths containing  $(1, n)$  (either 1 or  $n$  can be visited first).

There is a special case which the merge method has not counted. The paths starting with  $[1, n]$  and not using  $(n, 2)$  and ending at  $n - 1$  with  $j - 2$  consecutive sides, although having no  $j$  *cyclic-consecutive*, end up with a *cyclic-consecutivity* of  $j$  after the merge, and thus were not counted in

$\binom{k-1}{n-1} P(n-1, k-1, j, \text{true}, \text{true})$ . Since  $(n, 2)$  isn't used, we can remove  $n$  and shift the starting vertex to 1 in the reduced polygon without affecting path count. Cut  $n$  away by constructing side  $(n-1, 1)$  and count the number of length  $k-1$  paths in the resulting  $(n-1)$ -gon which \*start\* at 1 and end at  $n-1$  with  $j-2$  consecutive sides. Add  $\frac{1}{2}Z(n-1, k-1, j, 0, j-2)$  such paths. Add  $\frac{1}{2}Z(n-1, k-1, j, 0, j-2)$  more for paths in the opposite direction (from  $n-1$  to 1). Add  $Z(n-1, k-1, j, 0, j-2)$  more for the right hand side.

*Case 2.  $l > 2$*

Let the subsequence of  $[n-j+1, n-j+2, \dots, n-1, 1, n, 2, \dots, j-1, j]$  contain  $x$  and  $y$  vertices to the left and right of 1 and  $n$  respectively, where  $x \leq j-1$ ,  $y \leq j-1$ , and  $x+y \geq 1$ . Cut the subsequence away by constructing side  $(n-x, 1+y)$  and count the number of length  $k-x-y$  paths in the resulting  $(n-x-y)$ -gon which use  $(n-x, 1+y)$  independently and have no  $j$  *cyclic-consecutive* prior to reducing. As in 4.12, there are  $U(n-x-y, k-x-y, j, 0, 0)$  paths which have no  $j$  *cyclic-consecutive* in the reduced polygon.

$n-x$  is treated as a vertex (length 1) in the reduced polygon even if  $x=0$ , in which case  $n-x$  connects to 2 and cannot form a consecutive chain with  $n-1$ . The paths starting at  $1+y$  with 0 consecutive sides and ending at  $n-1$  with  $j-2$  consecutive sides (or starting at  $n-1$  with  $j-2$  consecutive sides and ending at  $1+y$  with 0 consecutive sides) meet the consecutivity restriction but have not been counted in  $U(n-x-y, k-x-y, j, 0, 0)$ , so add  $Z(n-y-1, k-y-1, j, 0, j-2)$  if  $x=0$ . Similarly, add  $Z(n-x-1, k-x-1, j, 0, j-2)$  if  $y=0$ .

As in 4.15, for paths with endpoints  $n-x$  and  $n-x-1$ , the endpoints connect to form a consecutive section that may exceed  $j-1$  vertices in length (since  $x-1$  consecutive sides have been used next to  $n-x$ ). Let the path end at  $n-x-1$  with  $d$  consecutive vertices ( $d-1$  consecutive sides). The joint start-end section will exceed  $j-1$  vertices if  $d+x \geq j$ . Only the paths with  $d+1 < j$  have been counted in  $U(n-x-y, k-x-y, j, 0, 0)$ . Thus we subtract  $\sum_{d=j-x}^{j-2} Z(n-x-y-1, k-x-y-1, j, 0, d-1)$  over-counted paths. Similarly, subtract  $\sum_{d=j-y}^{j-2} Z(n-x-y-1, k-x-y-1, j, 0, d-1)$  for the right hand side.

There is a distinct subsequence with  $l > 2$  for every pair  $x, y$  satisfying  $x \leq j-1$ ,  $y \leq j-1$ , and  $x+y \geq 1$ , thus there are  $\sum_{x=0}^{j-1} \sum_{y=1-x}^{j-1} U(n-x-y, k-x-y, j, 0, 0) + \sum_{d=j-1}^{j-1-x} Z(n-y-1, k-y-1, j, 0, d-1) + \sum_{d=j-1}^{j-1-y} Z(n-x-1, k-x-1, j, 0, d-1) - \sum_{d=j-x}^{j-2} Z(n-x-y-1, k-x-y-1, j, 0, d-1) - \sum_{d=j-y}^{j-2} Z(n-x-y-1, k-x-y-1, j, 0, d-1)$  paths.

□



**4.15 Side Removal Theorem U.**  $U(n, k, j, x, y) = U(n - x - y, k - x - y, j, 0, 0) - \sum_{d=j-x-1}^{j-2} Z(n - x - 1, k - x - 1, j, y, d - 1) - \sum_{d=j-y-1}^{j-2} Z(n - y - 1, k - y - 1, j, x, d - 1)$ .

*Proof.* Since  $x$  and  $y$  sides next to  $n$  and 1 must be used, cut them away by constructing side  $(n - x, 1 + y)$  and count the number of length  $k - x - y$  paths in the resulting  $(n - x - y)$ -gon which use  $(n - x, 1 + y)$  independently and have no  $j$  *cyclic-consecutive* prior to reducing. As in 4.12, there are  $U(n - x - y, k - x - y, j, 0, 0)$  paths which have no  $j$  *cyclic-consecutive* in the reduced polygon.

For the paths starting  $n - x$  and ending  $n - x - 1$ , the first and last vertices connect to form a consecutive section that may exceed  $j - 1$  vertices in length (since  $x$  consecutive sides have been used next to  $n$ ). Let the path end at  $n - x - 1$  with  $d$  consecutive vertices ( $d - 1$  consecutive sides). The joint start-end section will exceed  $j - 1$  vertices if  $d \geq j - x - 1$ . The paths with  $d \geq j - 1$  have not been over-counted in  $U(n - x - y, k - x - y, j, 0, 0)$  since they already connect with  $n - x - 1$  to exceed  $j - 1$  consecutive vertices in the reduced polygon. Thus we subtract  $\sum_{d=j-x-1}^{j-2} \frac{1}{2} Z(n - x - 1, k - x - 1, j, y, d - 1)$  over-counted paths. Subtract another  $\sum_{d=j-x-1}^{j-2} \frac{1}{2} Z(n - x - 1, k - x - 1, j, y, d - 1)$  for paths in the opposite direction (starting  $n - x - 1$  and ending  $n - x$ ). Similarly, some of the paths with endpoints  $1 + y, 2 + y$  have been over-counted, so subtract  $\sum_{d=j-y-1}^{j-2} Z(n - y - 1, k - y - 1, j, x, d - 1)$  paths for the other side.  $\square$

**4.16 Symmetry Theorem U.**  $U(n, k, j, x, y) = U(n, k, j, y, x)$ .

*Proof.* This follows from symmetry.  $\square$

**4.17 Restricted Consecutivity Theorem.**  $P(n, k, j, false, false) = P(n, k, j, true, false) + \sum_{x=0}^{j-2} \sum_{y=j-2-x}^{j-2} O(n, k, j, x, y)$ .

*Proof.* To find the number of paths where *wrap* is false ( $(1, n)$  can be used without restriction), add the paths where  $(1, n)$  is *not* in a consecutive section exceeding  $j - 1$  vertices and the paths where  $(1, n)$  is in a consecutive section exceeding  $j - 1$  vertices.  $\square$

**4.18 Restricted Wrap-Consecutivity Theorem.**  $P(n, k, j, true, false) = n(P(n - 1, k - 1, j, false, false) - S(n - 1, k - 1, j, j - 2))$ .

*Proof.*

Case 1.  $j = 2$

Starting from vertex  $s$ , the next vertex can be any of  $[s + 2, \dots, n, 1, \dots, s - 2]$ . Since  $s$  cannot be used again, cut it away by constructing side  $(s - 1, s + 1)$

and count the number of length  $k-1$  paths in the resulting  $(n-1)$ -gon which start from  $[s+2, \dots, s-2]$  and can use  $(s-1, s+1)$  without restriction. There are  $P(n-1, k-1, 2, \text{false}, \text{false})$  length  $k-1$  paths in the reduced polygon which start from  $[s+1, \dots, s-1]$  and can use  $(s-1, s+1)$  without restriction. The paths starting at  $s-1$  and  $s+1$  have been over-counted, so subtract  $S(n-1, k-1, 2, 0)$  such paths. Finally, multiply by  $n$  choices for  $s$ .

*Case 2.  $j > 2$*

Starting from vertex  $s$ , the next vertex can be any of  $[s+1, \dots, n, 1, \dots, s-1]$ . Since  $s$  cannot be used again, cut it away by constructing side  $(s-1, s+1)$  and count the number of length  $k-1$  paths in the resulting  $(n-1)$ -gon which start from  $[s+1, \dots, s-1]$ , can use  $(s-1, s+1)$  without restriction, and have no  $j$  wrap-consecutive prior to reducing. There are  $P(n-1, k-1, j, \text{false}, \text{false})$  length  $k-1$  paths in the reduced polygon which start at  $[s+1, \dots, s-1]$  and can use  $(s-1, s+1)$  without restriction. The paths starting at  $s-1$  or  $s+1$  and using  $j-2$  consecutive sides in the direction opposite to  $(s-1, s+1)$  have been over-counted (since  $s$  has been used), so subtract  $S(n-1, k-1, j, j-2)$  such paths. Finally, multiply by  $n$  choices for  $s$ .

□

**4.19 Restricted Cyclic-Consecutivity Theorem.**  $P(n, k, j, \text{false}, \text{true}) = P(n, k, j, \text{true}, \text{true}) + \sum_{x=0}^{j-2} \sum_{y=j-2-x}^{j-2} [U(n, k, j, x, y) + Z(n, k, j, x, y)] + \sum_{x=0}^{j-3} \sum_{y=0}^{j-3-x} [\sum_{d=j-x-y-2}^{j-2-x} Z(n-x-y, k-x-y, j, 0, d) + \sum_{d=j-x-y-2}^{j-2-y} Z(n-x-y, k-x-y, j, 0, d)]$ .

*Proof.* If 1 and  $n$  belong to the same *wrap-cyclic-consecutive* section, let  $l$  be the length of that section, otherwise let  $l = 0$ . To find the number of paths with no  $j$  cyclic-consecutive where  $(1, n)$  can be used without restriction, add the paths where  $l < j$  and where  $l \geq j$ .

*Remark.* Since we are considering *wrap-cyclic-consecutivity*,  $(1, n)$  can belong to a consecutive section without being used in the path.

*Case 1.  $l < j$*

There are  $P(n, k, j, \text{true}, \text{true})$  paths where  $(1, n)$  is not in a *cyclic-consecutive* section exceeding  $j-1$  vertices in length.

*Case 2.  $l \geq j$*

Count the paths with *wrap-cyclic-consecutivity* greater than or equal to  $j$  (but *cyclic-consecutivity* less than  $j$ ). We divide further based on whether or not the path uses  $(1, n)$ .

*Subcase 2.1.  $(1, n)$  not used*

For  $(1, n)$  to be in a *cyclic-consecutive* section without being used, the path must have endpoints 1 and  $n$ . Let  $x$  and  $y$  consecutive sides be used

next to  $n$  and  $1$  respectively. There are  $Z(n, k, j, x, y)$  paths where  $l \geq j$  for every pair  $x, y$  satisfying  $x \leq j - 2$ ,  $y \leq j - 2$ , and  $x + y + 2 \geq j$ , so  $\sum_{x=0}^{j-2} \sum_{y=j-2-x}^{j-2} Z(n, k, j, x, y)$  paths total.

*Subcase 2.2.*  $(1, n)$  used

Again, let  $x$  and  $y$  sides be used next to  $n$  and  $1$  respectively.

*Subsubcase 2.2.1.*  $x + y \geq j - 2$

There are  $U(n, k, j, x, y)$  paths where  $l \geq j$  for every pair  $x, y$  satisfying  $x \leq j - 2$ ,  $y \leq j - 2$ , and  $x + y + 2 \geq j$ , so  $\sum_{x=0}^{j-2} \sum_{y=j-2-x}^{j-2} U(n, k, j, x, y)$  paths total.

*Subsubcase 2.2.2.*  $x + y < j - 2$

For the consecutive section containing  $(1, n)$  to exceed  $j - 1$  vertices when  $x + y < j - 2$ , the path must have endpoints  $n - x$ ,  $n - x - 1$  or  $1 + y$ ,  $2 + y$ . Consider the paths ending at  $n - x - 1$  with  $d$  consecutive vertices ( $d - 1$  consecutive sides). *Wrap-cyclic-consecutivity* will exceed  $j - 1$  if  $d + x + y + 2 \geq j$ . *Cyclic-consecutivity* will be less than  $j$  if  $d + x + 1 < j$ . Thus add  $\sum_{d=j-x-y-2}^{j-2-x} Z(n - x - y - 1, k - x - y - 1, j, 0, d - 1)$  (or equivalently,  $\sum_{d=j-x-y-2}^{j-2-x} Z(n - x - y, k - x - y, j, 0, d)$ ) for every pair  $x, y$  satisfying  $x + y < j - 2$ . Similarly, add  $\sum_{d=j-x-y-2}^{j-2-y} Z(n - x - y, k - x - y, j, 0, d)$  for every pair  $x, y$  satisfying  $x + y < j - 2$ .

□

**4.20 Restricted Wrap-Cyclic-Consecutivity Theorem.**  $P(n, k, j, \text{true}, \text{true}) = n(P(n - 1, k - 1, j, \text{false}, \text{false}) - 2Z(n, k, j, 0, j - 2) - \sum_{x=0}^{j-2} \sum_{y=j-3-x}^{j-2} Z(n - 1, k - 1, j, x, y))$ .

*Proof.* Starting from  $s$ , the next vertex can be  $[s + 1, \dots, n, 1, \dots, s - 1]$ . Since  $s$  cannot be used again, cut it away by constructing side  $(s - 1, s + 1)$  and count the number of length  $k - 1$  paths in the resulting  $(n - 1)$ -gon which start from  $[s + 1, \dots, s - 1]$ , can use  $(s - 1, s + 1)$  without restriction, and have no  $j$  *wrap-cyclic-consecutive* prior to reducing. There are  $P(n - 1, k - 1, j, \text{false}, \text{false})$  length  $k - 1$  paths in the reduced polygon which start at  $[s + 1, \dots, s - 1]$  and can use  $(s - 1, s + 1)$  without restriction.

The paths starting with  $0$  consecutive sides (from  $s$ ) and ending at one of  $s - 1$ ,  $s + 1$  with  $j - 2$  consecutive sides have been overcounted since  $s \pm 1$  joins with  $s$  to form a consecutive section of length  $j$ , so subtract  $Z(n, k, j, 0, j - 2)$  paths. Subtract another  $Z(n, k, j, 0, j - 2)$  for paths in the opposite direction.

In the reduced polygon, the paths starting  $s - 1$  with  $x$  consecutive sides and ending  $s + 1$  with  $y$  consecutive sides where  $x \leq j - 2$ ,  $y \leq j - 2$ , and  $x + y + 3 \geq j$  have been overcounted since  $s + 1$  joins with  $s$  to form a consecutive section that is too long, so subtract  $\sum_{x=0}^{j-2} \sum_{y=j-3-x}^{j-2} \frac{1}{2} Z(n - 1, k - 1, j, x, y)$

paths. Subtract another  $\sum_{x=0}^{j-2} \sum_{y=j-3-x}^{j-2} \frac{1}{2} Z(n-1, k-1, j, x, y)$  for paths in the opposite direction.

Finally, multiply by  $n$  choices for  $s$ . □

## 5 Base Cases, Exceptions, Computation

$U(2, 2, j, 0, 0) = 2$  for  $j > 2$ . However, case 2 of Theorem 4.14 expects  $U(2, 2, j, 0, 0) = 0$  if  $x + y \geq j$ .

prove why the base cases obtained are correct (since formulas do not hold for  $n \leq 2$ ). use inequalities to determine the bounds of summation