# assignment 5 CI 2533051 Michael Linger

May 20, 2024

### 1 Assignment 5: Neuroevolution

Goal: Implement an Evolutionary Algorithm to optimize an Artificial Neural Network (ANN) based controller for the CartPole task in OpenAI Gym environment.

CartPole evaluation environment functions are provided. Your goal is to implement your ANN to control the cartpole and use your Evolutionary Algorithm to optimize the ANN parameters (weights).

Please answer the Questions and implement coding Tasks by filling PLEASE FILL IN sections. *Documentation* of your code is also important. You can find the grading scheme in implementation cells.

- Plagiarism is automatically checked and set to **0 points**
- It is allowed to learn from external resources but copying is not allowed. If you use any external resource, please cite them in the comments (e.g. # source: https://..../ (see fitness\_function))

#### Install Prerequisites

```
[1]: # Run this cell to install the required libraries
     %pip install numpy matplotlib scipy torch
    Requirement already satisfied: numpy in /usr/local/lib/python3.10/dist-packages
    (1.25.2)
    Requirement already satisfied: matplotlib in /usr/local/lib/python3.10/dist-
    packages (3.7.1)
    Requirement already satisfied: scipy in /usr/local/lib/python3.10/dist-packages
    (1.11.4)
    Requirement already satisfied: torch in /usr/local/lib/python3.10/dist-packages
    (2.2.1+cu121)
    Requirement already satisfied: contourpy>=1.0.1 in
    /usr/local/lib/python3.10/dist-packages (from matplotlib) (1.2.1)
    Requirement already satisfied: cycler>=0.10 in /usr/local/lib/python3.10/dist-
    packages (from matplotlib) (0.12.1)
    Requirement already satisfied: fonttools>=4.22.0 in
    /usr/local/lib/python3.10/dist-packages (from matplotlib) (4.51.0)
    Requirement already satisfied: kiwisolver>=1.0.1 in
    /usr/local/lib/python3.10/dist-packages (from matplotlib) (1.4.5)
    Requirement already satisfied: packaging>=20.0 in
```

```
/usr/local/lib/python3.10/dist-packages (from matplotlib) (24.0)
Requirement already satisfied: pillow>=6.2.0 in /usr/local/lib/python3.10/dist-
packages (from matplotlib) (9.4.0)
Requirement already satisfied: pyparsing>=2.3.1 in
/usr/local/lib/python3.10/dist-packages (from matplotlib) (3.1.2)
Requirement already satisfied: python-dateutil>=2.7 in
/usr/local/lib/python3.10/dist-packages (from matplotlib) (2.8.2)
Requirement already satisfied: filelock in /usr/local/lib/python3.10/dist-
packages (from torch) (3.14.0)
Requirement already satisfied: typing-extensions>=4.8.0 in
/usr/local/lib/python3.10/dist-packages (from torch) (4.11.0)
Requirement already satisfied: sympy in /usr/local/lib/python3.10/dist-packages
(from torch) (1.12)
Requirement already satisfied: networkx in /usr/local/lib/python3.10/dist-
packages (from torch) (3.3)
Requirement already satisfied: jinja2 in /usr/local/lib/python3.10/dist-packages
(from torch) (3.1.4)
Requirement already satisfied: fsspec in /usr/local/lib/python3.10/dist-packages
(from torch) (2023.6.0)
Collecting nvidia-cuda-nvrtc-cu12==12.1.105 (from torch)
 Using cached nvidia_cuda_nvrtc_cu12-12.1.105-py3-none-manylinux1_x86_64.whl
(23.7 MB)
Collecting nvidia-cuda-runtime-cu12==12.1.105 (from torch)
 Using cached nvidia_cuda_runtime_cu12-12.1.105-py3-none-manylinux1_x86_64.whl
(823 kB)
Collecting nvidia-cuda-cupti-cu12==12.1.105 (from torch)
 Using cached nvidia_cuda_cupti_cu12-12.1.105-py3-none-manylinux1_x86_64.whl
(14.1 MB)
Collecting nvidia-cudnn-cu12==8.9.2.26 (from torch)
 Using cached nvidia_cudnn_cu12-8.9.2.26-py3-none-manylinux1_x86_64.whl (731.7
MB)
Collecting nvidia-cublas-cu12==12.1.3.1 (from torch)
 Using cached nvidia_cublas_cu12-12.1.3.1-py3-none-manylinux1_x86_64.whl (410.6
MB)
Collecting nvidia-cufft-cu12==11.0.2.54 (from torch)
 Using cached nvidia_cufft_cu12-11.0.2.54-py3-none-manylinux1_x86_64.whl (121.6
Collecting nvidia-curand-cu12==10.3.2.106 (from torch)
 Using cached nvidia_curand_cu12-10.3.2.106-py3-none-manylinux1_x86_64.whl
(56.5 MB)
Collecting nvidia-cusolver-cu12==11.4.5.107 (from torch)
  Using cached nvidia cusolver_cu12-11.4.5.107-py3-none-manylinux1_x86_64.whl
(124.2 MB)
Collecting nvidia-cusparse-cu12==12.1.0.106 (from torch)
  Using cached nvidia_cusparse_cu12-12.1.0.106-py3-none-manylinux1_x86_64.whl
(196.0 MB)
Collecting nvidia-nccl-cu12==2.19.3 (from torch)
 Using cached nvidia_nccl_cu12-2.19.3-py3-none-manylinux1_x86_64.whl (166.0 MB)
```

```
Collecting nvidia-nvtx-cu12==12.1.105 (from torch)
 Using cached nvidia_nvtx_cu12-12.1.105-py3-none-manylinux1_x86_64.whl (99 kB)
Requirement already satisfied: triton==2.2.0 in /usr/local/lib/python3.10/dist-
packages (from torch) (2.2.0)
Collecting nvidia-nvjitlink-cu12 (from nvidia-cusolver-cu12==11.4.5.107->torch)
 Using cached nvidia_nvjitlink_cu12-12.4.127-py3-none-manylinux2014_x86_64.whl
Requirement already satisfied: six>=1.5 in /usr/local/lib/python3.10/dist-
packages (from python-dateutil>=2.7->matplotlib) (1.16.0)
Requirement already satisfied: MarkupSafe>=2.0 in
/usr/local/lib/python3.10/dist-packages (from jinja2->torch) (2.1.5)
Requirement already satisfied: mpmath>=0.19 in /usr/local/lib/python3.10/dist-
packages (from sympy->torch) (1.3.0)
Installing collected packages: nvidia-nvtx-cu12, nvidia-nvjitlink-cu12, nvidia-
nccl-cu12, nvidia-curand-cu12, nvidia-cufft-cu12, nvidia-cuda-runtime-cu12,
nvidia-cuda-nvrtc-cu12, nvidia-cuda-cupti-cu12, nvidia-cublas-cu12, nvidia-
cusparse-cu12, nvidia-cudnn-cu12, nvidia-cusolver-cu12
Successfully installed nvidia-cublas-cu12-12.1.3.1 nvidia-cuda-cupti-
cu12-12.1.105 nvidia-cuda-nvrtc-cu12-12.1.105 nvidia-cuda-runtime-cu12-12.1.105
nvidia-cudnn-cu12-8.9.2.26 nvidia-cufft-cu12-11.0.2.54 nvidia-curand-
cu12-10.3.2.106 nvidia-cusolver-cu12-11.4.5.107 nvidia-cusparse-cu12-12.1.0.106
nvidia-nccl-cu12-2.19.3 nvidia-nvjitlink-cu12-12.4.127 nvidia-nvtx-cu12-12.1.105
```

### **Imports**

```
[4]: # Necessary libraries
import matplotlib.pyplot as plt
import numpy as np
import torch
import torch.nn as nn
import torch.nn.functional as F
from typing import Tuple, List, Dict, Callable
import random
import math
```

```
[5]: # Enables inline matplotib graphs
%matplotlib inline

# Comment the line above and uncomment the lines below to have interactive plots
# WARN: may cause dependency issues
# %matplotlib qt5
# %pip install PyQt5
# plt.ion()
```

```
[6]: %pip install gymnasium import gymnasium as gym
```

Collecting gymnasium

Downloading gymnasium-0.29.1-py3-none-any.whl (953 kB)

#### 953.9/953.9

```
kB 9.3 MB/s eta 0:00:00
```

```
Requirement already satisfied: numpy>=1.21.0 in
/usr/local/lib/python3.10/dist-packages (from gymnasium) (1.25.2)
Requirement already satisfied: cloudpickle>=1.2.0 in
/usr/local/lib/python3.10/dist-packages (from gymnasium) (2.2.1)
Requirement already satisfied: typing-extensions>=4.3.0 in
/usr/local/lib/python3.10/dist-packages (from gymnasium) (4.11.0)
Collecting farama-notifications>=0.0.1 (from gymnasium)
Downloading Farama_Notifications-0.0.4-py3-none-any.whl (2.5 kB)
Installing collected packages: farama-notifications, gymnasium
Successfully installed farama-notifications-0.0.4 gymnasium-0.29.1
```

Question 1 (0-0.25-0.5 pt): Following link provides more information about the CartPole environemnt we would like to find an ANN to control: https://www.gymlibrary.dev/environments/classic\_control/cart\_pole/

Please have a look at the link and note the observation and action spaces, how many dimensions they have? Are they continuous or discrete, and what kinds of value they can get?

Answer: Observation space: \* dims = 4 \* continuous \* values: \* Cart position: Min = -4.8, Max = 4.8 \* Cart velocity: Min = -inf, Max = inf \* Pole angle: Min = -0.418 rad ( $-24^{\circ}$ ), Max = 0.418 rad ( $-24^{\circ}$ ) \* Pole angular velocity: -Min = -inf, Max = inf

action space: \* dims = 1 \* discrete \* values: \* Direction of force (left/right): 0 or 1

Question 2 (0-0.25-0.5 pt): What is your proposed ANN architecture and why? Please also discuss the activation functions you choose.

Answer: I used the following architecture: \* Input layer of size 4 to match the four input variables cart position, cart velocity, pole angle and pole velocity. \* Hidden layer of size 6 with ReLU activation to enhance the learning capacity without overfitting. The ReLU is chosen to introduce non-linearity and for computational efficiency. \* output layer of size 2 to account for two possible actions (left or right).

Task 1: Implementation of Evolutionary Algrotihm (0-1.6-3.8-4.2-5 pt): Implement your evolutionary algorithm to find an ANN controller for the CartPole task.

## 

```
# 4.2 pts if the code works very well aligned with the task without any
→ mistakes, but it is badly explained
# 5 pts if the code works very well aligned with the task without any mistakes,
→ and it is well explained
# Artificial Neural Network parameters (weights)
# See here: https://www.qymlibrary.dev/environments/classic_control/cart_pole/_
→ for input and output space
# PLEASE SPECIFY BELOW
inp = 4 # Number of input neurons
hid = 6 # Number of hidden neurons
out = 2 # Nubmer of output neurons
###################
#Open AI gym environment
env = gym.make("CartPole-v1")
class ANN(nn.Module):
 def __init__(self, input_size, hidden_size, output_size):
   super(ANN, self).__init__()
   self.layer1 = nn.Linear(input_size, hidden_size) # Input layer
   self.layer2 = nn.Linear(hidden_size, output_size) # Hidden layer
   self.activation = nn.ReLU() # Activation function hidden layer
 def forward(self, input):
   # forward pass through ANN
   x = self.activation(self.layer1(input))
   action_prob = self.layer2(x)
   return action_prob
 def set_weights(self, individual):
   start = 0 # start index for slicing
   # Set weights for layer1
   # Count layer weights and add to start to calculate end index
   end = start + self.layer1.weight.numel()
    # Extract the weights from the individual and reshape match the size of the
→weights of layer 1
   layer1_weights = individual[start:end].reshape(self.layer1.weight.size())
   # Assign the reshaped weights to the weight data of layer1.
   self.layer1.weight.data = torch.tensor(layer1_weights, dtype=torch.float32)
    # Repeat steps for bias and other layers
```

```
# Set bias for layer 1
    start = end
    end = start + self.layer1.bias.numel()
    layer1_bias = individual[start:end].reshape(self.layer1.bias.size())
    self.layer1.bias.data = torch.tensor(layer1_bias, dtype=torch.float32)
    # Set weights for layer2
    start = end
    end = start + self.layer2.weight.numel()
    layer2_weights = individual[start:end].reshape(self.layer2.weight.size())
    self.layer2.weight.data = torch.tensor(layer2_weights, dtype=torch.float32)
    # Set bias for layer2
    start = end
    end = start + self.layer2.bias.numel()
    layer2 bias = individual[start:end].reshape(self.layer2.bias.size())
    self.layer2.bias.data = torch.tensor(layer2_bias, dtype=torch.float32)
# CartPole evaluation function
def cartpole(x):
    ###########
    # PLEASE FILL IN
    # \mathit{Hint}: x is an individual in evolutionary algorithms and needs to map to_{\sqcup}
→ the connection weights of ANNs
    model = ANN(4,6,2)
    model.set_weights(x)
    #########
    # Reset environment
    observation, info = env.reset(seed = 0)
    rew = 0 # Initial reward
    step = 0; #step counter
    done = False
    maxStep = 1000 # maximum number of steps
    while not done:
      ####################
      # PLEASE FILL IN
      # Hint: Provide input to ANN and find the output to be the action
      input = torch.tensor(observation, dtype=torch.float32)
      action_prob = model.forward(input)
      action = torch.argmax(action_prob).item()
      # print(action)
```

```
# action should be provided based on the output of the artifial neural \Box
 \rightarrownetwork
      observation, reward, done, tr, info = env.step(action)
      step+=1 # step counter
      rew = rew + reward # after each step increment reward
      if rew > maxStep:
        done = True
      # print(action)
      # print(rew)
    env.close()
   return np.minimum(maxStep, rew) # return the reward or maxStep (if maxStep ∪
\rightarrow < 1000, this means that pole fell)
# CartPole evaluation function with video recording
def cartpole_record_video(x):
    tmp_env = gym.make("CartPole-v1", render_mode="rgb_array")
    # Video recording function - be sure to check the folder path - you should _{\!\!\!\! \sqcup}
→see the video here:content/video/cartpole
    env = gym.wrappers.RecordVideo(env=tmp env, video folder="content/video/
###########
    # PLEASE FILL IN
    # \mathit{Hint}: x is an individual in evolutionary algorithms and needs to map to_\sqcup
→ the connection weights of ANNs
    model = ANN(4,6,2)
   model.set weights(x)
    #########
    # Reset environment
    observation, info = env.reset(seed = 0)
    env.start_video_recorder()
    rew = 0 # Initial reward
    step = 0; #step counter
    done = False
    maxStep = 1000 # maximum number of steps
    while not done: # run nStep number of time
      ####################
```

```
# PLEASE FILL IN
      # Hint: Provide input to ANN and find the output to be the action
      input = torch.tensor(observation, dtype=torch.float32)
      action_prob = model.forward(input)
      action = torch.argmax(action_prob).item()
      # action should be provided based on the output of the artifial neural \Box
\rightarrownetwork
      observation, reward, done, tr, info = env.step(action)
      step+=1 # step counter
     rew = rew + reward # after each step increment reward
      env.render()
     if rew > maxStep:
       done = True
   env.close_video_recorder()
   env.close()
   return np.minimum(maxStep, rew) # return the reward or maxStep (if maxStep_
\rightarrow < 1000, this means that pole fell)
# Implement your Evolutionary Algorithm to find the ANN weights that can
⇒balance the CartPole
# Feel free to add any functions, such as initialization, crossover, etc.. to_{\sqcup}
\rightarrow make it work!
#Initialize a population randomly based on the population size and dimensions
def initialization(population_size, input_size, hidden_size, output_size) -> np.
→ndarrav:
   11 11 11
   Initialize the starting population with random individuals.
   Each gene of an individual corresponds to a point on a dimension in the \sqcup
\hookrightarrow function
   num_weights = (input_size + 1) * hidden_size + (hidden_size + 1) *_
→output_size
    # Generates 2d numpy array where the population size equals the number
    # of rows and the number of weights equals the number of columns
   x = np.random.uniform(low=-1.0, high=1.0, size=(population_size,__
 →num_weights))
```

```
return x # return population
def evaluation(x: np.ndarray, objective_function: Callable[[np.ndarray],_
→float]) -> np.ndarray:
   """Evaluate the fitness of the population members"""
   fitness:List[float] = [] # empty list
   # Loops through the population and calculates the objective function for
   # each individual.
   for individual in x:
     fitness_ind: float = objective_function(individual)
    fitness.append(fitness_ind)
   return np.array(fitness) # returns the list as a numpy array
# Implement the crossover operator by choosing a suitable method. For
→inspiration, take a look at the lecture slides
def crossover(x_parents: np.ndarray, p_crossover: float) -> np.ndarray:
   """Perform crossover to create offsprings.
   Params:
     .....
   # Create an offpring array
   offspring:List[float] = []
   # generate a probability for every parent pair
   for i in range(0,len(x parents), 2):
    parent1: np.ndarray = x_parents[i]
    parent2: np.ndarray = x_parents[i+1]
    rand_float: float = random.random()
     # if the probability is lower than p crossover, crossover will commence
     # else, the parents will be added to the offspring array
     if rand_float < p_crossover:</pre>
      # print('Crossover initiated')
      # Sets the max index for the crossover point
      max_cross_point: int = len(x_parents[i]) - 1
      # Stochastically determine the crossover point
```

```
cross_point: int = random.randint(1,max_cross_point)
       \# seed = random.seed(2)
       # swap the values in the parents' array based on the crossover point
       # to create two children.
       child1:np.ndarray = np.concatenate((parent1[:cross_point],__
→parent2[cross_point:]), axis = 0)
       child2:np.ndarray = np.concatenate((parent2[:cross_point],__
→parent1[cross_point:]), axis = 0)
       # print('Child 1: ',child1)
       # print('Child 2: ',child2)
       # Add the children to the offspring array
       offspring.append(child1)
       offspring.append(child2)
     else:
       # print('Crossover denied')
       offspring.append(parent1)
       offspring.append(parent2)
   offspring:np.ndarray = np.array(offspring)
   return offspring
# Implement the crossover operator by choosing a suitable method. For
→inspiration, take a look at the lecture slides
def mutation(x, mutation_rate):
   """Apply mutation to an individual."""
   # loops over every individual in the population
   x = x.copy()
   for individual in x:
         # loop over each value in the individual
         for idx, value in enumerate(individual):
           # calculate a random probability
          probability = random.random()
           # print(probability)
           # print(mutation_rate)
           # print(individual)
```

```
# if the probability is lower than the mutation rate, add qaussian
 \rightarrownoise
           if probability < mutation_rate:</pre>
             value = np.random.normal(value, 0.01)
            # make sure the value remains within th the domain
           value = np.clip(value, -1, 1)
            individual[idx] = value
    return x
def parent_selection(x: np.ndarray, f: np.ndarray) -> Tuple[np.ndarray, np.
→ndarray]:
    """Select parents for the next generation
     x: A 2d np array where each row represents an individual from the current \sqcup
\rightarrow population.
      f: A 2d np array representing the fitness of each individual in the \sqcup
\hookrightarrow population
   Returns:
      x_parents: A 2d np array where each row represents a parent.
     f_{-}parents: A 2d np array where each row represents the fitness of a_{\sqcup}
⇒parent."""
    sum_fitness: float = np.sum(f) # The sum of the fitness values
   select_prob: float = 1 - (f / sum_fitness) # The probabilities associated_
 \rightarrow with each entry in x.
   norm_select_prob = select_prob / np.sum(select_prob) # normalized selection_
\rightarrowprobabilities
   num parents: int = len(x) # The number of parents that will be generated.
   x_parents:List[float] = []
   f parents:List[float] = []
    \# Choose parents based on selection probabilities using roulette wheel
\rightarrow selection
    # This line creates a 1d array of indices that match the indices of the
\rightarrow individuals in x.
    # The loop repeats for every parent pair, replace is said to false to avoid,
→ parents mating with themselves.
   for i in range(0, len(x), 2):
```

```
ind_idx_array: np.ndarray = np.random.choice(a = len(x),__
⇒size=num_parents, p=norm_select_prob, replace = False) # 1d array
     # print(ind_idx_array)
     # Select parents based on the indices produced by the roulette wheel
     parent1 = list(x[ind_idx_array][0])
     parent2 = list(x[ind_idx_array][1])
     fitness1 = f[ind idx array][0]
     fitness2 = f[ind_idx_array][1]
     x_parents.append(parent1)
     x_parents.append(parent2)
     f_parents.append(fitness1)
     f_parents.append(fitness2)
   return np.array(x_parents) , np.array(f_parents)
def survivor selection(x, f, x offspring, f offspring):
   """Select the survivors, for the population of the next generation"""
   # create a tuple containing a fitness-indivual pair for the current_
\rightarrow population
   # append them to the list
   fit_ind_tuple = []
   for i,j in zip(f, x):
     fit_ind_tuple.append((i,list(j)))
   # create a tuple containing a fitness-indivual pair for the offspring
\rightarrow population
   # append them to the list
   for i,j in zip(f_offspring, x_offspring):
     fit_ind_tuple.append((i,list(j)))
   # sort the tuples based on the fitness
   fit_ind_tuple = sorted(fit_ind_tuple, reverse = True, key=lambda x: x[0]).
→copy()
   unique_individuals = []
   # Filter unique indiviuals
   for i in fit_ind_tuple:
     if i not in unique_individuals:
```

```
unique_individuals.append(i)
   # Add the best individuals to the top_contenders list
   top_contenders = unique_individuals[0:len(x)]
   # Extract the individuals and convert to numpy array
   x = np.array([i[1] for i in top_contenders])
   # Extract fitness and convert to numpy array
   f = np.array([i[0] for i in top_contenders])
   return x, f
# def ea(
     # hyperparameters of the algorithm
    population_size,
    max_fit_evals, # Maximum number of evaluations
    p_crossover, # Probability of performing crossover operator
    m_rate, # mutation rate
    objective_function # objective function to be minimized
# ):
     # Calculate the maximum number of generations
     # Maximum number of function evaluations should be the same independent,
→of the population size
     max generations = int(max fit evals / population_size) # DO NOT CHANGE
     # Initialize and evaluate the current population
     x = initialization(population\_size, input\_size = 4, hidden\_size=6, 
\rightarrow output_size=2)
     f = evaluation(x, objective function)
     # Find the best individual and append to a list to keep track in each \Box
\rightarrow generation
    idx = np.argmin(f)
     x_best = [x[idx]]
#
    f\_best = [f[idx]]
#
     generation = 0
#
    # Loop over the generations
     for _ in range(max_generations - 1):
```

```
print(f'generation: {generation}')
#
        # Perform the EA steps
#
         # Select parents
        x_parents, f_parents = parent_selection(x, f)
#
        # Perform crossover to create offspring
        x_{offspring} = crossover(x_{parents}, p_{crossover})
#
        # Apply mutation to offspring
        x_offspring_mutated = mutation(x_offspring, m_rate)
        # Select next generation
         x, f = survivor\_selection(x, f, x\_offspring\_mutated, \bot
\rightarrow evaluation(x_offspring_mutated, objective_function))
        generation+=1
         idx = np.argmax(f)
        xi best = x[idx]
        fi best = f[idx]
        if fi best > f best[-1]:
            x_best.append(xi_best)
            f\_best.append(fi\_best)
#
        else:
            x_best.append(x_best[-1])
#
            f_best.append(f_best[-1])
#
         # Append the best individual to the list
        f_best.append(fi_best)
         x_best.append(xi_best)
#
     return x_best, f_best
def ea(
   population size,
   max_fit_evals, # Maximum number of evaluations
   p_crossover, # Probability of performing crossover operator
   m rate, # mutation rate
   objective_function # objective function to be maximized
):
   # Calculate the maximum number of generations
   max_generations = int(max_fit_evals / population_size) # DO NOT CHANGE
   # Initialize and evaluate the current population
   x = initialization(population_size, input_size=4, hidden_size=6,__
→output_size=2)
   f = evaluation(x, objective_function)
```

```
# Find the best individual and append to a list to keep track in each \Box
\rightarrow generation
  idx = np.argmax(f) # Assuming higher fitness is better
  x best = [x[idx]]
  f_best = [f[idx]]
  generation = 0
  # Loop over the generations
  while generation < max_generations - 1:</pre>
      # print(f'generation: {generation}')
      # Perform the EA steps
      # Select parents
      x_parents, f_parents = parent_selection(x, f)
      # Perform crossover to create offspring
      x_offspring = crossover(x_parents, p_crossover)
      # Apply mutation to offspring
      x_offspring_mutated = mutation(x_offspring, m_rate)
      # Evaluate offspring
      f_offspring_mutated = evaluation(x_offspring_mutated,__
→objective_function)
      # Select next generation
      x, f = survivor\_selection(x, f, x\_offspring\_mutated,__
→f_offspring_mutated)
      generation += 1
      idx = np.argmax(f)
      xi_best = x[idx]
      fi_best = f[idx]
      if fi_best > f_best[-1]:
         x_best.append(xi_best)
         f_best.append(fi_best)
      else:
         x_best.append(x_best[-1])
         f best.append(f best[-1])
  return x_best, f_best
```

```
# individual = population[4]
# observation, info = env.reset(seed = 0)
# print(type(torch.tensor(observation)))
# cartpole(individual)
```

Check Your Implementation: Running The Evolutionary Algorithm Run the cell below, if you implemented everything correctly, you should see the algorithm running. Furthermore,

```
[8]: # Dummy parameters, please add or remove based on your implementation
     kwargs = {
         "population_size": 100,
         "max_fit_evals": 2000, # maximum number of fitness evaluations
         "p_crossover": 0.9, # crossover probability
        "m_rate": 0.1, # mutation rate
         "objective_function": cartpole,
     # Run your algorithm once and find the best ANN weigths found
     env = gym.make("CartPole-v1")
     x_best, f_best = ea(**kwargs)
     # Print the best ANN weigths found and best fitness
     print("Best ANN parameters found:",x best[-1])
     print("Best fitnes found:",f_best[-1])
     # Evaluate your ANN weights again and record the video
     if f_best[-1] >= 1000:
       cartpole_record_video(x_best[-1] )
       print("The best fitness 1000 was not found, try again!!")
```

```
Best ANN parameters found: [-0.01664058 0.59830747 -0.10346571 0.76495645 -0.36556768 -0.31547366 -0.95064917 -0.32625606 -0.4745079 0.14712676 -0.71006551 0.12886137 -0.69553358 -0.18188507 0.54976439 0.37630823 0.93661715 -0.89904788 -0.81034735 -0.12400368 -0.30127662 -0.97398679 0.78351867 -0.65864278 -0.78525321 -0.03427458 -0.43643222 -0.69800293 -0.44506809 -0.74642523
```

```
-0.46251174 0.28308212 0.33354209 -0.84765264 -0.25964384 -0.26872976 0.468258 -0.97516919 0.12489756 -0.52642865 -0.4734115 0.5123729 0.10074759 0.10120348]

Best fitnes found: 1000.0

Moviepy - Building video /content/content/video/cartpole/cartpole-episode-0.mp4.

Moviepy - Writing video /content/content/video/cartpole/cartpole-episode-0.mp4

Moviepy - video ready /content/content/video/cartpole/cartpole-episode-0.mp4

Moviepy - Building video /content/content/video/cartpole/cartpole-episode-0.mp4

Moviepy - Building video /content/content/video/cartpole/cartpole-episode-0.mp4

Moviepy - Writing video /content/content/video/cartpole/cartpole-episode-0.mp4

Moviepy - Done !

Moviepy - Done !

Moviepy - video ready /content/content/video/cartpole/cartpole-episode-0.mp4
```

Question 3 (0-0.25-0.5 pt): Please comment on the behavior of the final solution. Were you able to find the best solution (i.e. ANN weights which achieves best fitness: 1000) and was it possible to controll the CartPole task without letting the pole fall?

**Answer:** I was able to find the best solution with a fitness of 1000 reliably. The cart moved from side to side in some simulations and in others it remained almost completely in the center. The pole did not fall in any of the simulations I ran.

### Average results of your algorithm

Remember that the EAs are sthocastic algorithms that can produce different results as a result of independent runs.

Therefore, we would like to see the average results and standard deviations.

Task 2 (0-1.5-3 pt): Please run your algorithm for at least 10 times and plot the average results and standard deviations. Below, you may add as many cells as you need for this implementation and plot functions. You may use previous code you have developed/used during the course.

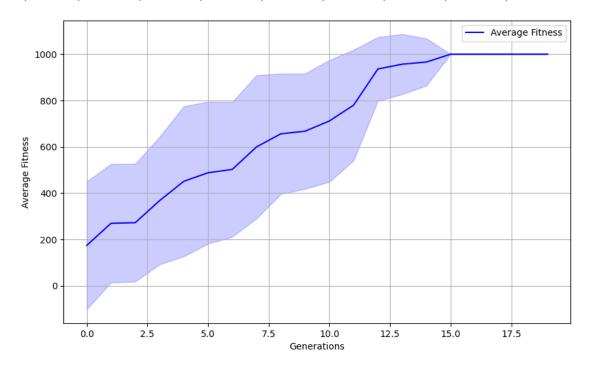
```
[177]: def calculate_mean_std(f_best):
    """This is a helper function to calculate the mean and standard deviation
    →of the best fitness values."""

f_best = np.array(f_best)
    avg = np.mean(f_best, axis=0)
    std = np.std(f_best, axis=0)
    return avg, std
```

```
[334]: def run_experiment(population_size, p_crossover, m_rate):
           runs = 10 # Number of runs
           max_fit_evals = 2000 # Maximum number of fitness evaluations
           all_results = []
           for i in range(runs):
               # Run F.A
               print(f'run: {i}')
               x_best, f_best = ea(
                   population size,
                   max_fit_evals,
                   p_crossover,
                   m_rate,
                   cartpole,
               )
               print(f'best fitness:{f_best}')
               # Store the best fitness values of each run
               all_results.append(f_best)
           # Calculate mean and std of the runs
           avg_fitness, std_fitness = calculate_mean_std(all_results)
           return avg_fitness, std_fitness, all_results
[288]: def generate_subplot_function(avgs_experiment, stds_experiment):
           """Generate subplots for the experiments."""
           fig, ax = plt.subplots(figsize=(10, 6))
           # Plot average fitness values
           ax.plot(avgs_experiment, label="Average Fitness", color="blue")
           # Fill the area between the upper and lower bounds of standard deviation
           ax.fill_between(
               range(len(avgs_experiment)),
               avgs_experiment - stds_experiment,
               avgs_experiment + stds_experiment,
               alpha=0.2,
               color="blue"
           )
           # Set labels and title
           ax.set_xlabel("Generations")
           ax.set_ylabel("Average Fitness")
           ax.legend()
           ax.grid(True)
```

```
plt.show()
[297]: population_size = 100
       m_rate = 0.1
       p_crossover = 0.9
[335]: avg_fitness, std_fitness, = run_experiment(population_size, p_crossover,_
       \hookrightarrowm_rate)
       generate_subplot_function(avg_fitness, std_fitness)
       avg_fitness, std_fitness
      run: 0
      best fitness: [1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0, 1000.0]
      run: 1
      best fitness: [56.0, 181.0, 181.0, 181.0, 181.0, 509.0, 509.0, 509.0, 509.0,
      509.0, 567.0, 567.0, 567.0, 567.0, 660.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0]
      run: 2
      best fitness: [91.0, 91.0, 117.0, 117.0, 117.0, 158.0, 213.0, 213.0, 241.0,
      306.0, 306.0, 306.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0]
      run: 3
      best fitness: [69.0, 201.0, 201.0, 201.0, 305.0, 305.0, 305.0, 1000.0, 1000.0,
      1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0]
      run: 4
      best fitness: [105.0, 105.0, 105.0, 105.0, 118.0, 118.0, 170.0, 170.0, 311.0,
      311.0, 316.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0]
      run: 5
      best fitness: [94.0, 359.0, 359.0, 359.0, 359.0, 359.0, 359.0, 632.0, 642.0,
      642.0, 666.0, 666.0, 792.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0]
      run: 6
      best fitness: [63.0, 279.0, 279.0, 280.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0]
      run: 7
      best fitness: [109.0, 190.0, 190.0, 573.0, 573.0, 573.0, 573.0, 573.0, 573.0,
      600.0, 600.0, 600.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.07
      run: 8
      best fitness: [60.0, 197.0, 197.0, 197.0, 197.0, 199.0, 236.0, 241.0, 624.0,
      647.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0,
      1000.0]
      run: 9
```

best fitness: [93.0, 93.0, 93.0, 659.0, 659.0, 659.0, 659.0, 659.0, 659.0, 659.0, 659.0, 659.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0, 1000.0]



```
[335]: (array([ 174., 269.6,
                               272.2,
                                       367.2,
                                                450.9,
                                                        488.,
                                                                502.4, 599.7,
                       667.4,
                               711.4,
                                        779.8,
                                                935.9,
                                                        956.7,
                                                                966., 1000.,
               655.9,
               1000. , 1000. , 1000. , 1000. ]),
       array([275.93078842, 256.10669652, 254.4066823, 275.35751306,
              324.12819995, 306.69952722, 291.46258765, 309.36452609,
              259.99709614, 249.0510791 , 263.04341847, 239.18227359,
               137.71888033, 129.9
                                         , 102.
                                                           0.
                 0.
                                                           0.
                                                                     ]))
                               0.
                                             0.
```

Question 4 (0-0.25-0.5 pt): Please comment on the average behavior of your algorithm. How did the average results and standard deviations look? Did your algorithm converge all the time to the best fitness?

**Answer:** The average fitness after 15 generations is 1000. This means that every run the algorithm successfully converges to the target fitness before the simulation ends. The standard deviation remained between 250 - 300 throughout the first generations, but eventually began to narrow down after 12 generations.