

# k-Jump Strategy for Preserving Privacy in Micro-Data Disclosure

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## ABSTRACT

In disclosing micro-data with sensitive attributes, the goal is usually two fold. First, the data utility of disclosed data should be maximized for analysis purposes. Second, the private information contained in such data must be limited to an acceptable level. Recent studies show that adversarial inferences using knowledge about a disclosure algorithm can usually render the algorithm unsafe. In this paper, we show that an existing unsafe algorithm can be transformed into a large family of distinct safe algorithms, namely,  $k$ -jump algorithms. We prove that the data utility of different  $k$ -jump algorithms is generally incomparable. Therefore, a secret choice can be made among all  $k$ -jump algorithms to eliminate adversarial inferences while improving the data utility of disclosed micro-data.

## 1. INTRODUCTION

The issue of preserving privacy in micro-data disclosure has attracted much attention lately. Data owners, such as the Census Bureau, may need to disclose micro-data tables to the public to facilitate useful analysis. There are two seemingly conflicting goals during such a disclosure. First, the utility of disclosed data should be maximized to facilitate useful analysis. Second, the sensitive information about individuals contained in the data must be limited to an acceptable level due to privacy concerns.

The upper left tabular of Table 1 shows a toy example of micro-data table  $t_0$ . Suppose each patient's medical condition is considered as sensitive information. Simply deleting the *identifier* Name is not sufficient because the *sensitive attribute* Condition may still potentially be linked to a unique person through the *quasi-identifier* Age (more realistically, a quasi-identifier can be a combination of attributes, such as Age, Gender, and Zip Code). Nonetheless, we shall not include identifiers in the remainder of the paper.

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A Micro-Data Table $t_0$			Generalization $g_1(t_0)$	
Name	DoB	Condition	DoB	Condition
Alice	1990	flu	1980~1999	flu
Bob	1985	cold		cold
Charlie	1974	cancer	1960~1979	cancer
David	1962	cancer		cancer
Eve	1953	headache	1940~1959	headache
Fen	1941	toothache		toothache

Generalization $g_2(t_0)$		Generalization $g_3(t_0)$	
DoB	Condition	DoB	Condition
1970~1999	flu	1960~1999	flu
	cold		cold
	cancer		cancer
1940~1969	cancer	1940~1959	headache
	headache		toothache
	toothache		

**Table 1: A Micro-Data Table and Three Generalizations**

To prevent the above *linking attack*, the micro-data table can be *generalized* to satisfy  $k$ -anonymity [28]. The upper right tabular in Table 1 shows a generalization  $g_1(t_0)$  that satisfies 2-anonymity. That is, each generalized quasi-identifier value is now shared by at least two tuples. Therefore, a linking attack can no longer bind a person to a unique tuple through the quasi-identifier.

Nonetheless,  $k$ -anonymity by itself is not sufficient since linking a person to the second group in  $g_1(t_0)$  already reveals his/her condition to be cancer. To avoid such a situation, the generalization must also ensure enough diversity inside each group of sensitive values, namely, to satisfy the  $l$ -diversity property [23]. For example, assume 2-diversity is desired. If the generalization  $g_2(t_0)$  is disclosed, a person can at best be linked to a group with three different conditions among which each is equally likely to be that person's real condition. The desired privacy property is thus satisfied.

However, adversarial knowledge about a generalization algorithm itself may cause additional complications [31, 34]. First, without considering such knowledge, an adversary looking at  $g_2(t_0)$  in Table 1 can guess that the three persons in each group may have the three conditions in any given order. Therefore, the adversary's mental image of  $t_0$  is a set of totally  $3! \times 3! = 36$  micro-data tables each of which is equally likely to be  $t_0$  (a common assumption is that the quasi-identifier at-

tribute, such as *Age* in  $t_0$ , is public knowledge). We shall call this set of tables the *permutation set*. The left-hand side of Table 2 shows two example tables in the permutation set (with the identifier Name deleted).

$t_1$		$g_1(t_1)$	
DoB	Condition	DoB	Condition
1990	cancer	1980~1999	cancer
1985	flu		flu
1974	cold	1960~1979	cold
1962	cancer		cancer
1953	headache	1940~1959	headache
1941	toothache		toothache

$t_2$		$g_1(t_2)$	
DoB	Condition	DoB	Condition
1990	cold	1980~1999	cold
1985	flu		flu
1974	cancer	1960~1979	cancer
1962	cancer		cancer
1953	headache	1940~1959	headache
1941	toothache		toothache

**Table 2: Two Tables in the Permutation Set and Their Corresponding Generalizations under  $g_1$**

Next, assume an adversary knows the generalization algorithm has considered  $g_1(t_0)$  before it discloses  $g_2(t_0)$ . This knowledge will enable the adversary to exclude some invalid guesses from the permutation set. For example, in Table 2,  $t_1$  is not a valid guess, because  $g_1(t_1)$  satisfies 2-diversity and should have been disclosed instead of  $g_2(t_0)$ . On the other hand,  $t_2$  is a valid guess since  $g_1(t_2)$  fails 2-diversity. Consequently, the adversary can refine his/her guess of  $t_0$  to a smaller set of tables, namely, the *disclosure set*, as shown in Table 3. Since each table in the disclosure set is equally like to be  $t_0$ , the desired 2-diversity should be measured on each row of sensitive values (as a multiset). Clearly, 2-diversity is violated.

DoB	Condition			
1990	flu	cold	flu	cold
1985	cold	flu	cold	flu
1974	cancer	cancer	cancer	cancer
1962	cancer	cancer	cancer	cancer
1953	headache	headache	toothache	toothache
1941	toothache	toothache	headache	headache

**Table 3: A Disclosure Set**

A natural solution to the above problem is for generalization algorithms to evaluate the desired privacy property, such as  $l$ -diversity, on disclosure set in order to determine whether a generalization is safe to disclose. For example, consider how we can compute the disclosure set of next generalization,  $g_3(t_0)$ , in Table 1. We need to exclude every table  $t$  in the permutation set of  $g_3(t_0)$ , if either  $g_1(t)$  or  $g_2(t)$  satisfies 2-diversity. However, to determine whether  $g_2(t)$  satisfies 2-diversity, we would have to compute the disclosure set of  $g_2(t)$  (which is different from the disclosure set of  $g_2(t_0)$  shown in Table 3). Clearly, such a recursive process is deemed to have a high cost.

The contribution of this paper is three fold. First, we show

that a given generalization algorithm can be transformed into a large family of distinct algorithms under a novel strategy, called *k-jump strategy*. Intuitively, the  $k$ -jump strategy penalizes cases where recursion is required to compute the disclosure set. Therefore, algorithms may be more efficient under the  $k$ -jump strategy in contrast to the above safe strategy. Second, we prove that different algorithms under the  $k$ -jump strategy generally lead to incomparable data utility (which is also incomparable to that of algorithms under the above safe strategy). This result is somehow surprising since the  $k$ -jump strategy adopts a more drastic approach than the above safe strategy. Third, the result on data utility also has a practical impact. Specifically, while all the  $k$ -jump algorithms are still publicly known, the choice among these algorithms can be randomly chosen and kept secret, analogous to choosing a cryptographic key. The large number of  $k$ -jump algorithms will render brute force adversarial inferences infeasible.

The rest of the paper is organized as follows. Section 2 gives our model of two existing algorithms. Section 3 then introduces the  $k$ -jump strategy and discusses its properties. Section 4 presents our results on the data utility of  $k$ -jump algorithms. Section 5 reviews related work and Section 6 concludes the paper.

## 2. THE MODEL

We first introduce the basic model of micro-data table and generalization algorithm in Section 2.1. We then review two existing strategies and related concepts in Section 2.2. Table 4 lists our main notations which will be defined in this section.

$t_0, t$	Micro-data table
$a, a_{naive}, a_{safe}$	Generalization algorithm
$g_i(\cdot), g_i(t)$	Generalization (function)
$p(\cdot)$	Privacy property
$per(\cdot), per(g_i(t)), per_i, per_i^k$	Permutation set
$ds(\cdot), ds(g_i(t)), ds_i, ds_i^k$	Disclosure set
$path(\cdot)$	Evaluation path

**Table 4: The Notation Table**

### 2.1 The Basic Model

We are given the secret *micro-data table* (or simply a table) as a relation  $t_0(QID, S)$  where  $QID$  and  $S$  is the *quasi-identifier attribute* and *sensitive attribute*, respectively (note that each of these can also be a sequence of attributes). We make the worst case assumption that each tuple in  $t_0$  can be linked to a unique identifier (which has been deleted from  $t_0$ ) through the  $QID$  value (if some tuples are to be deemed as not sensitive, they can be simply disregarded by the algorithm). Denote by  $T$  the set of all tables with the same schema, the same set of  $QID$  values, and the same multiset of sensitive values as those of  $t_0$ .

We are also given a *generalization algorithm*  $a$  that defines a *privacy property*  $p(\cdot) : 2^T \rightarrow \{true, false\}$ <sup>1</sup> and a sequence of *generalization functions*  $g_i(\cdot) : T \rightarrow G$  ( $1 \leq i \leq n$ ) where  $G$  denotes the set of all possible *generalizations* over  $T$  (we follow the widely accepted notion of generalization given in [28]). Given  $t_0$  as the input to the algorithm  $a$ , either a

<sup>1</sup>The discussion about Table 3 in Section 1 has explained why  $p(\cdot)$  should be evaluated on a set of, instead of one, tables.

generalization  $g_i(t_0)$  will be the output and then disclosed, or  $\phi$  will be the output and nothing is disclosed (we assume the adversary does not know about this fact).

Note that in a real world generalization algorithm, a generalization function may take an implicit form, such as a cut of the taxonomy tree [31]. Moreover, the sequence of generalization functions to be applied to a given table is typically decided on the fly. Our simplified model is reasonable as long as such a decision is based on the quasi-identifier (which is true in, for example, the Incognito [18]), because an adversary who knows both the quasi-identifier and the generalization algorithm can simulate the latter's execution to determine the sequence of generalization functions for the disclosed generalization.

## 2.2 The Algorithms $a_{naive}$ and $a_{safe}$

When adversarial knowledge about a generalization algorithm is not taken into account, the algorithm can take the following *naive strategy*. Given a table  $t_0$  and the generalization functions  $g_i(\cdot)$  ( $1 \leq i \leq n$ ) already sorted in a non-increasing order of data utility<sup>2</sup>, the algorithm will then evaluate the privacy property  $p(\cdot)$  on each of the  $n$  generalizations  $g_i(t_0)$  ( $1 \leq i \leq n$ ) in the given order. The first generalization  $g_i(t_0)$  satisfying  $p(g_i(t_0)) = \text{true}$  will be disclosed, which also maximizes the data utility.

We call  $\{t : g_i(t) = g_i(t_0)\}$  the *permutation set*, and denote it by a function  $per(\cdot) : G \rightarrow 2^T$  as  $per(g_i(t_0))$  (also written as  $per_i$  when both  $g_i$  and  $t_0$  are clear from context). It is easily seen that evaluating the privacy property  $p(\cdot)$  on a generalization  $g_i(t_0)$  is equivalent to evaluating  $p(\cdot)$  on the permutation set  $per(g_i(t_0))$ . We can thus describe the above algorithm as  $a_{naive}$  shown in Table 5. The algorithm  $a_{naive}$  defines a function  $path(\cdot) : T \rightarrow 2^{[1,n]}$  that represents the sequence of evaluated permutation sets, namely, the *evaluation path* (note that although  $path(t_0)$  is defined as a set, the indices naturally form a sequence). We shall need this concept for later discussions.

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**Input:** Table  $t_0$ ;  
**Output:** Generalization  $g$  or  $\phi$ ;  
**Method:**  
1. **Let**  $path(t_0) = \phi$ ;  
2. **For**  $i = 1$  to  $n$   
3.     **Let**  $path(t_0) = path(t_0) \cup \{i\}$ ;  
4.     **If**  $p(per(g_i(t_0))) = \text{true}$  **then**  
5.         **Return**  $g_i(t_0)$ ;  
6. **Return**  $\phi$ ;

---

**Table 5: The Algorithm  $a_{naive}$**

Unfortunately, the naive strategy leads to an unsafe algorithm (that is, an algorithm that fails to satisfy the desired privacy property). First, we need to switch to the adversary's point of view. Specifically, consider an adversary who knows the quasi-identifier  $\Pi_{QID}(t_0)$ , the above algorithm  $a_{naive}$ , and the disclosed generalization  $g_i(t_0)$  for some  $i \in [1, n]$ . Given any table  $t$ , by simulating the algorithm's execution, the adversary also knows  $path(t)$ .

<sup>2</sup>Our discussion does not depend on specific utility measures as long as the measure is defined based on quasi-identifiers.

First, by only looking at the disclosed generalization  $g_i(t_0)$ , the adversary can deduce  $t_0$  must be one of the tables in the *permutation set*  $per(g_i(t_0))$ . This inference itself does not violate the privacy property  $p(\cdot)$  since the algorithm  $a_{naive}$  does ensure  $p(per(g_i(t_0))) = \text{true}$  holds before it discloses  $g_i(t_0)$ . However, for any  $t \in per(g_i(t_0))$ , the adversary can decide whether  $i \in path(t)$  by simulating the algorithm's execution with  $t$  as its input.

Clearly, any  $t \in per(g_i(t_0))$  can be a valid guess of the unknown  $t_0$ , only if  $i \in path(t)$  is true. By excluding all invalid guesses, the adversary can obtain a smaller subset of  $per(g_i(t_0))$ , namely, the *disclosure set*. Formally, we define the function  $ds(\cdot) : G \rightarrow 2^T$  as  $ds(g_i(t_0)) = per(g_i(t_0)) \setminus \{t : i \notin path(t)\}$ , and we say  $ds(g_i(t_0))$  is the disclosure set of  $g_i(t_0)$ .

A natural way to fix the unsafe  $a_{naive}$  is to replace the permutation set with the corresponding disclosure set in the evaluation of a privacy property. From above discussions, after  $g_i(t_0)$  is disclosed, the adversary's mental image about  $t_0$  is  $ds(g_i(t_0))$ . Therefore, we can simply let the algorithm to ensure  $p(ds(g_i(t_0))) = \text{true}$  before it discloses any  $g_i(t_0)$ . We call this the *safe strategy*, and formally describe it as algorithm  $a_{safe}$  in Table 6.

---

**Input:** Table  $t_0$ ;  
**Output:** Generalization  $g$  or  $\phi$ ;  
**Method:**  
1. **Let**  $path(t_0) = \phi$ ;  
2. **For**  $i = 1$  to  $n$   
3.     **Let**  $path(t_0) = path(t_0) \cup \{i\}$ ;  
4.     **If**  $p(ds(g_i(t_0))) = \text{true}$  **then**  
5.         **Return**  $g_i(t_0)$ ;  
6. **Return**  $\phi$ ;

---

**Table 6: The Algorithm  $a_{safe}$**

Taking the adversary's point of view again, when  $g_i(t_0)$  is disclosed under  $a_{safe}$ , the adversary can repeat the aforementioned process to exclude invalid guesses from  $per(g_i(t_0))$ , except that now  $ds_j$  ( $j < i$ ) will be used instead of  $per_j$ . As the result, he/she will conclude that  $t_0$  must be within the set  $per(g_i(t_0)) \setminus \{t' : i \notin path(t')\}$ , which, not surprisingly, coincides with  $ds(g_i(t_0))$  (that is, the result of the adversary's inference is  $t_0 \in ds(g_i(t_0))$ ). Since  $a_{safe}$  has ensured  $p(ds(g_i(t_0))) = \text{true}$ , the adversary's inference will not violate the privacy property  $p(\cdot)$ . That is,  $a_{safe}$  is indeed a safe algorithm.

A subtlety here is that the definition of disclosure set may seem to be a circular definition:  $ds(\cdot)$  is defined using  $path(\cdot)$ ,  $path(\cdot)$  using the algorithm  $a_{safe}$ , which in turn depends on  $ds(\cdot)$ . However, this is not the case. In defining the disclosure set,  $ds(g_i(t))$  depends on the truth value of the condition  $i \notin path(t)$ . In table 6, we can observe that this truth value can be decided in line 3, right before  $ds(g_i(t))$  is needed (in line 4). Therefore, both concepts are well defined.

On the other hand, we can see that for computing  $ds(g_i(t_0))$ , we must compute the truth value of the condition  $i \notin path(t)$

for every  $t \in \text{per}(g_i(t_0))$ . Moreover, to construct  $\text{path}(t)$  requires us to simulate the execution of  $a_{\text{safe}}$  with  $t$  as the input. Therefore, to compute  $ds(g_i(t_0))$ , we will have to compute  $ds(g_j(t))$  for all  $t \in \text{per}(g_i(t_0))$  and  $j = 1, 2, \dots, i-1$ . Clearly, this is an expensive process. In next section, we shall investigate a novel family of algorithms for reducing the cost.

### 3. K-JUMP STRATEGY

We first introduce the  $k$ -jump strategy in Section 3.1. We then discuss its properties in Section 3.2.

#### 3.1 The Algorithm Family $a_{\text{jump}}(\vec{k})$

In the previous section, we have shown that the naive strategy is unsafe, and the safe strategy is safe but may incur a high cost due to the inherently recursive process. First, we more closely examine the limitation of these algorithms in order to build intuitions toward our new solution. In Figure 1, the upper and middle chart shows the decision process of the previous two algorithms,  $a_{\text{naive}}$  and  $a_{\text{safe}}$ , respectively. Each box represents the  $i^{\text{th}}$  iteration of the algorithm. Each diamond represents an evaluation of the privacy property  $p(\cdot)$  on the set inside the diamond, and the symbol  $Y$  and  $N$  denotes the result of such an evaluation to be *true* and *false*, respectively.

Comparing the two charts, we can have four different cases in each iteration of the algorithm (some iterations actually have less possibilities, as we shall show later):

- First, if  $p(\text{per}_i) = p(ds_i) = \text{false}$  (recall that  $\text{per}_i$  is an abbreviation of  $\text{per}(g_i(t_0))$ ), then clearly, both algorithms will immediately move to the next iteration.
- Second, if  $p(\text{per}_i) = p(ds_i) = \text{true}$ , both algorithms will disclose  $g_i(t_0)$  and terminates.
- Third, we delay the discussion of the case of  $p(\text{per}_i) = \text{false} \wedge p(ds_i) = \text{true}$  to later sections.
- Finally, we can see the last case,  $p(\text{per}_i) = \text{true} \wedge p(ds_i) = \text{false}$ , is the main reason that  $a_{\text{naive}}$  is unsafe, and that  $a_{\text{safe}}$  must compute the disclosure set and consequently result in an expensive recursive process.

Therefore, informally, we penalize the last case, by *jumping* over the next  $k-1$  iterations of the algorithm. As a result, we have the  $k$ -jump strategy as illustrated in the lower chart of Figure 1. More formally, the family of algorithms under the  $k$ -jump strategy is shown in Table 7.

There are two main differences between  $a_{\text{jump}}(\vec{k})$  and  $a_{\text{safe}}$ . First, since now in each iteration the algorithm may evaluate  $\text{per}_i$  and  $ds_i$ , or  $\text{per}_i$  only, we slightly change the definition of evaluation path to be  $\text{path}(\cdot) : T \rightarrow 2^{[1,n] \times \{0,1\}}$  so  $(i, 0)$  stands for  $\text{per}_i$  and  $(i, 1)$  for  $ds_i$ . Consequently, the definition of a disclosure set also needs to be revised by replacing the condition  $i \notin \text{path}(t)$  with  $(i, 1) \notin \text{path}(t)$ .

Second, the algorithm family  $a_{\text{jump}}(\vec{k})$  takes an additional input, an  $n$ -dimensional vector  $\vec{k} \in [1, n]^n$ , namely, the *jump distance* vector. In the case of  $p(\text{per}_i) = \text{true} \wedge p(ds_i) =$

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**Input:** Table  $t_0$ , vector  $\vec{k} \in [1, n]^n$ ;

**Output:** Generalization  $g$  or  $\phi$ ;

**Method:**

1. **Let**  $\text{path}(t_0) = \phi$ ;
  2. **Let**  $i = 1$ ;
  3. **While**  $(i \leq n)$
  4.     **Let**  $\text{path}(t_0) = \text{path}(t_0) \cup \{(i, 0)\}$ ;  
       //the pair  $(i, 0)$  represents  $\text{per}_i$
  5.     **If**  $p(\text{per}(g_i(t_0))) = \text{true}$  **then**
  6.         **Let**  $\text{path}(t_0) = \text{path}(t_0) \cup \{(i, 1)\}$ ;  
       //the pair  $(i, 1)$  represents  $ds_i$
  7.         **If**  $p(ds(g_i(t_0))) = \text{true}$  **then**
  8.             **Return**  $g_i(t_0)$ ;
  9.         **Else**
  10.             **Let**  $i = i + \vec{k}[i]$ ;  
               // $\vec{k}[i]$  is the  $i^{\text{th}}$  element of  $\vec{k}$
  11. **Return**  $\phi$ ;
- 

**Table 7: The Algorithm Family  $a_{\text{jump}}(\vec{k})$**

*false*, the algorithm will directly jump to the  $(i + \vec{k}[i])^{\text{th}}$  iteration (note that jumping to the  $i^{\text{th}}$  iteration for any  $i > n$  will simply lead to line 10 of the algorithm, that is, to disclose nothing). In the special case that  $\forall i \in [1, n] \vec{k}[i] = k$  for some integer  $k$ , we shall abuse the notation to simply use  $k$  for  $\vec{k}$ .

Despite the difference between  $a_{\text{safe}}$  and  $a_{\text{jump}}(\vec{k})$ , the final condition for disclosing a generalization remains the same, that is,  $p(ds_i) = \text{true}$ . This simple fact suffices to show  $a_{\text{jump}}(\vec{k})$  to be a safe family of algorithms.

#### 3.2 Properties of $a_{\text{jump}}(\vec{k})$

We discuss several properties of the algorithms  $a_{\text{jump}}(\vec{k})$  in the following.

- **Computation of the Disclosure Set** Again, the disclosure set is well defined under  $a_{\text{jump}}(\vec{k})$ , although it may seem to be a circular definition at first glance. First,  $ds(g_i(t))$  depends on the truth value of the condition  $(i, 1) \notin \text{path}(t)$ . In table 7, we can then observe that this value can be decided in line 5, right before  $ds(g_i(t))$  is needed (in line 6).

Although computing disclosure sets under  $a_{\text{jump}}(\vec{k})$  is similar to that under  $a_{\text{safe}}$ , the former is generally more efficient. Specifically, recall that under  $a_{\text{safe}}$ , to compute  $ds(g_i(t_0))$  we must first compute  $ds(g_j(t))$  for all  $t \in \text{per}(g_i(t_0))$  and  $j = 1, 2, \dots, i-1$ . In contrast, this expensive recursive process is not always necessary under  $a_{\text{jump}}(\vec{k})$ .

Referring to the lower chart in Figure 1, to compute  $ds(g_i(t_0))$  for any  $2 < i < 2 + k$ , we no longer need to always compute  $ds(g_2(t))$  for every  $t \in \text{per}_i$ . By definition,  $ds(g_i(t_0)) = \text{per}(g_i(t_0)) \setminus \{t : (i, 1) \notin \text{path}(t)\}$ . From the chart, it is evident that  $(i, 1) \notin \text{path}(t)$  is true as long as  $p(\text{per}(g_2(t))) = \text{true}$  (in which case  $\text{path}(t)$  will either terminates at  $ds_2$  or jump over the  $i^{\text{th}}$  iteration). Therefore, for any such table

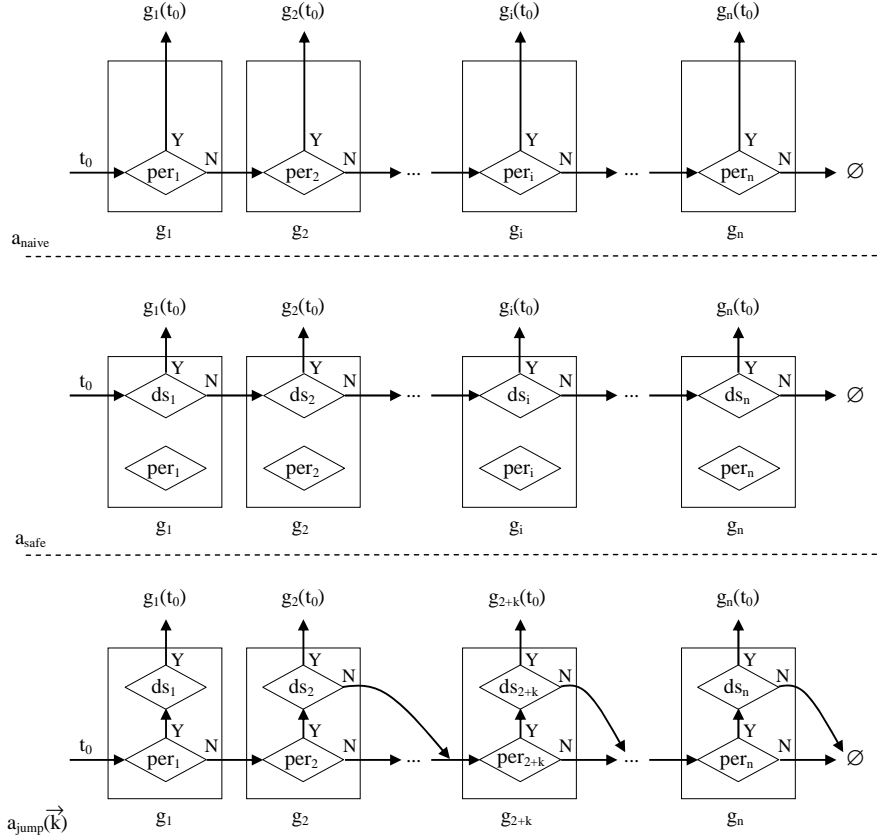


Figure 1: The Decision Process of Different Strategies

$t$ , we do not need to compute  $ds(g_2(t))$  in computing  $ds(g_i(t_0))$ .

As an extreme case, when the jump distance vector is  $(n, n-1, \dots, 1)$ , all the jumps end at  $\phi$  (disclosing nothing). In this case, the computation of disclosure set is no longer a recursive process. To compute  $ds(g_i(t_0))$ , it suffices to only compute  $per(g_j(t))$  for  $t \in per(g_i(t_0))$  and  $j = 1, 2, \dots, i-1$ . The complexity is thus significantly lower.

- **$ds(g_1(t_0))$  and  $ds(g_2(t_0))$**  The first two disclosure sets have some special properties. First of all,  $ds(g_1(t_0)) = per(g_1(t_0))$  is true. Intuitively, since any given table itself generally does not satisfy the privacy property, in computing  $ds_1$ , an adversary cannot exclude any table from  $per_1$ . More specifically, when  $g_1(t_0)$  is disclosed, for all  $t \in per(g_1(t_0))$ ,  $path(t)$  must always end at  $ds_1$ , because  $p(per(g_1(t))) = true$  follows from the fact that  $per(g_1(t)) = per(g_1(t_0))$  (by the definition of permutation set) and  $p(per(g_1(t_0))) = true$  (by the fact that  $g_1(t_0)$  is disclosed). Therefore,  $ds(g_1(t_0)) = per(g_1(t_0)) \setminus \{t : (1, 1) \notin path(t)\}$  yields  $ds(g_1(t_0)) = per(g_1(t_0))$ .

Second, we show that  $ds(g_2(t_0))$  is independent of the distance vector  $\vec{k}$ . That is, all algorithms in  $a_{jump}(\vec{k})$  share the same  $ds(g_2(t_0))$ . By definition,  $ds(g_2(t_0)) = per(g_2(t_0)) \setminus \{t : (2, 1) \notin path(t)\}$ . As  $ds(g_1(t_0)) = per(g_1(t_0))$  is true, the case  $p(per(g_1(t_0))) = true \wedge$

$p(ds(g_1(t_0))) = false$  is impossible, and consequently the jump from  $ds_1$  is never to happen (which explains the missing edge in the lower chart of Figure 1). Therefore, the condition  $(2, 1) \notin path(t)$  does not depend on the distance vector  $\vec{k}$ .

- **Size of the Family** First, with  $n$  generalization functions, we can have roughly  $(n-1)!$  different jump distance vectors since the  $i^{th}$  ( $2 \leq i \leq n$ ) iteration may jump to  $(n-i+1)$  different destinations (that is,  $i+1, i+2, \dots, n+1$ , where the  $(n+1)^{th}$  iteration means disclosing nothing). Clearly,  $(n-1)!$  is a very large number even for a reasonably large  $n$ . Moreover, the space of jump distance vectors will be further increased when we *reuse* generalization functions in a meaningful way, as will be shown in later sections. Therefore, we can now transform any given unsafe algorithm  $a_{naive}$  into a large family of safe algorithms. This fact lays a foundation for making secret choices of  $k$ -jump algorithm to prevent adversarial inferences.

Note here the jump distance refers to possible ways an algorithm may jump at each iteration, which is not to be confused with the evaluation path of a specific table. For example, the vector  $(n, n-1, \dots, 1)$  yields a valid  $k$ -jump algorithm that always jumps to disclosing nothing, whereas any specific evaluation path can include at most one of such jumps. There is also another plausible but false perception related to this. That is, an algorithm with the jump distance  $k$  (note that here  $k$  denotes a vec-

tor whose elements are all equal to  $k$ ) will only disclose a generalization under  $g_i(\cdot)$  where  $i$  is a multiplication of  $k$ . This perception may lead to false statements about data utility, for example, that the data utility for  $k = 2$  is better than that for  $k = 4$ . In fact, regardless of the jump distance, an algorithm may potentially disclose a generalization under every  $g_i(\cdot)$ . The reason is that each jump is only possible, but not mandatory for a specific table.

## 4. DATA UTILITY COMPARISON

In this section, we compare the data utility of different algorithms from the same family. Section 4.1 considers the family of  $k$ -jump algorithms. Section 4.2 studies the case when some generalization functions are reused in an algorithm. Section 4.3 addresses  $a_{safe}$ .

### 4.1 Data Utility of $k$ -Jump Algorithms

Our main result is that the data utility of two  $k$ -jump algorithms  $a_{jump}(\vec{k})$  and  $a_{jump}(\vec{k}')$  from the same family is generally incomparable<sup>3</sup>. That is, the data utility cannot simply be ordered based on the jump distance of two algorithms.

We do not rely on specific utility measures. Instead, the generalization functions are assumed to be sorted in a non-increasing order of their data utility. Consequently, an algorithm  $a_1$  is considered to have better or equal data utility compared to another algorithm  $a_2$  (both algorithms are from the same family), if we can construct a table  $t$  for which  $a_1$  returns  $g_i(t)$  and  $a_2$  returns  $g_j(t)$ , with  $i < j$ .

Such a construction is possible with two methods. First, we let  $path(t)$  under  $a_2$  to jump over the iteration in which  $a_1$  terminates. Second, when the first method is not an option, we let  $path(t)$  under  $a_2$  to include a disclosure set that does not satisfy the privacy property  $p(\cdot)$ , whereas  $path(t)$  under  $a_1$  to include one that does. We first consider the following two special cases.

- $a_{jump}(1)$  and  $a_{jump}(i)$  ( $i > 1$ ) In this case, the evaluation path of  $a_{jump}(1)$  can never jump over that of  $a_{jump}(i)$  (in fact, a jump distance of 1 means no jump at all). Therefore, we apply the above second method, that is, to rely on different disclosure sets of the same disclosed generalization.
- $a_{jump}(i)$  and  $a_{jump}(j)$  ( $1 < i < j$ ) For this case, we apply the above first method, that is, by constructing an evaluation path that jumps over the other.

From now on, we shall add superscripts to existing notations to denote the distance vector of different algorithms. For example,  $ds_1^k$  means the disclosure set  $ds_1$  under the algorithm  $a_{jump}(k)$ . First, we need the following result.

**LEMMA 1.** *For any  $a_{jump}(1)$  and  $a_{jump}(i)$  ( $i > 1$ ) algorithms from the same family, we have  $ds_3^i \subseteq ds_3^1$ .*

<sup>3</sup>Here the comparison of data utility is independent of the given table, which explains why the notation  $a_{jump}(\vec{k})$  does not indicate the given table.

**PROOF.** By definition, we have

$$ds_3^1(t_0) = per_3(t_0) / \{t | (t \in per_3(t_0)) \wedge (p(per_1(t)) = true \vee (p(per_2(t)) = true \wedge p(ds_2^1(t)) = true))\} \quad (1)$$

$$ds_3^i(t_0) = per_3(t_0) / \{t | (t \in per_3(t_0)) \wedge (p(per_1(t)) = true \vee p(per_2(t)) = true)\} \quad (2)$$

from which the result follows.  $\square$

From Lemma 1, we can have the following straightforward result needed for proving Theorem 1.

**LEMMA 2.** *The data utility of  $a_{jump}(1)$  is always better than or equal to that of  $a_{jump}(i)$  ( $i > 1$ ) when both algorithms are from the same family with a set-monotonic<sup>4</sup> privacy property  $p(\cdot)$  and  $n = 3$ .*

**THEOREM 1.** *For any  $i > 1$ , there always exist cases in which the data utility of the algorithm  $a_{jump}(i)$  is better than that of  $a_{jump}(1)$ , and vice versa.*

**PROOF.** The key is to have different disclosure sets  $ds_3$  under the two algorithms such that one satisfies  $p(\cdot)$  and the other fails. By Lemma 2, the case where the data utility of  $a_{jump}(1)$  is better than or equal to that of  $a_{jump}(i)$  ( $i > 1$ ) is trivial to construct and hence is omitted.

We only show the other case where  $a_{jump}(i)$  has better data utility. Basically, we need to design a table to satisfy the following. First,  $per_1$  and  $per_2$  do not satisfy  $p(\cdot)$  while  $per_3$  does. Second,  $p(ds_3^i) = true$  and  $p(ds_3^1) = false$  are both true.

Table 8 shows our construction for the proof. The privacy property  $p(\cdot)$ <sup>5</sup> is that the highest ratio of a sensitive value in a group must be no greater than  $\frac{1}{2}$ . We show that  $a_{jump}(i)$  can disclose using  $g_3$ , whereas  $a_{jump}(1)$  cannot.

1. For this special case,  $ds_3^k(t_0)$  can be computed by first excluding any table  $t$  for which  $p(per_1(t)) = true$ . The tables in  $ds_3^i(t_0)$  must belong to one of the following four disjoint sets.

In the first case,  $I$  has sensitive value  $C_6$ . The number of tables in this case is  $\binom{2}{1} \times \binom{2}{1} \times ((\binom{4}{1} \times \binom{3}{1}) \times (\binom{6}{2} \times \binom{4}{2})) = 48 \times 90 = 4320$ . Denote this set by  $S_1$ . In the other three cases,  $I$  does not have  $C_6$  and both  $N$  and  $O$  have  $C_7$ ,  $C_8$ , or  $C_9$ , denoted respectively by  $S_2$ ,  $S_3$ , and  $S_4$ . Each of these includes  $\binom{2}{1} \times \binom{2}{1} \times ((\binom{4}{1} \times \binom{3}{1}) \times \binom{2}{1} \times ((\binom{4}{1} \times \binom{3}{1})) = 48 \times 24 = 1152$  tables.

Now consider generalizing these tables using  $g_2$ . All tables in the last three sets cannot be disclosed under  $g_2$

<sup>4</sup>That is,  $p(S) = true$  implies  $\forall S' \supseteq S \ p(S') = true$ .

<sup>5</sup>Notice that here (and in the remainder of the paper)  $p(\cdot)$  is not necessarily set-monotonic.

since each of their permutation sets under  $g_2$  fails the privacy property. For the same reason, tables in the first set in which both  $N$  and  $O$  have  $C_7$ ,  $C_8$ , or  $C_9$ , which is denoted as  $S'_1$ , cannot be disclosed under  $g_2$ , either. The cardinality of  $S'_1$  is  $\binom{2}{1} \times \binom{2}{1} \times (\binom{4}{1} \times \binom{3}{1}) \times \binom{4}{2} \times \binom{3}{1} = 48 \times 18 = 864$ .

For  $a_{jump}(i)$ , all the tables in  $(S_1 \setminus S'_1)$  will be excluded from  $ds_3^i(t_0)$ . The reason is the following. Each of their permutation sets under  $g_2$  satisfies the privacy property, so  $a_{jump}(i)$  will disclose them either under  $g_2$  or after  $g_3$ . Therefore,  $ds_3^i(t_0) = S'_1 \cup S_2 \cup S_3 \cup S_4$ . The highest ratio of sensitive value is that of  $A$  and  $B$  associated with  $C_0$  or  $C_1$ , which is  $\frac{1}{2}$ . Since  $ds_3^i(t_0)$  satisfies the privacy property, it can be disclosed using  $g_3$  under  $a_{jump}(i)$ .

2. As to the case of  $a_{jump}(1)$ , the disclosure set of all the tables in  $S_1 \setminus S'_1$  do not satisfy the privacy property and hence all of them cannot be removed from  $ds_3^1(t_0)$ . The reason is as follows. First, the permutation set of each such table under  $g_2$  satisfies the privacy property. Next, consider their disclosure sets under  $g_2$ . The set  $S_1 \setminus S'_1$  can be further divided into three disjoint subsets as follows.

- Either  $N$  or  $O$  has  $C_7$  and the other has  $C_8$ . This subset has  $\binom{2}{1} \times \binom{2}{1} \times (\binom{4}{1} \times \binom{3}{1}) \times \binom{4}{1} \times (\binom{4}{1} \times \binom{3}{1}) \times \binom{2}{1} = 48 \times 24 = 1152$  tables. Based on the sensitive value of  $H$ , this subset can be further divided into two disjoint subsets again.
  - (a)  $H$  has  $C_6$ . This subset has  $\binom{2}{1} \times \binom{2}{1} \times (\binom{3}{1} \times \binom{2}{1}) \times \binom{4}{1} \times (\binom{4}{1} \times \binom{3}{1}) \times \binom{2}{1} = 48 \times 12 = 576$  tables. For each table in this subset, to obtain its disclosure set, we must exclude the tables that can be disclosed under  $g_1$  from its permutation set following the same rule as above. The tables in its disclosure set must satisfy that both  $H$  and  $I$  have  $C_6$ . The ratio of both  $H$  and  $I$  being associated with  $C_6$  is  $1.0 > 0.5$ . This clearly violates the privacy property.
  - (b)  $H$  does not have sensitive value  $C_6$ , but has either  $C_4$  or  $C_5$ . This subset has  $\binom{2}{1} \times \binom{2}{1} \times \binom{3}{1} \times \binom{2}{1} \times (\binom{4}{1} \times \binom{3}{1}) \times \binom{2}{1} = 48 \times 12 = 576$  tables. Similarly, the tables in the disclosure set must satisfy that two from the set  $\{E, F, G\}$  have  $C_6$ . Moreover, one and only one of  $H$  and  $I$  has  $C_6$ . Therefore, the ratio of both  $E$ ,  $F$ , and  $G$  being associated with  $C_6$  is  $\frac{2}{3} > 0.5$ . This also violates the privacy property.

In summary, the disclosure set of every table in this subset under function  $g_2$  will violate the privacy property, and consequently these tables cannot be disclosed under  $g_2$ . Therefore, the algorithm  $a_{jump}(1)$  must continue to evaluate these tables under  $g_3$  whose permutation set satisfies the privacy property.

- The other two cases are that  $N$  and  $O$  have  $C_7$  and  $C_9$ , respectively, or  $C_8$  and  $C_9$ , respectively. Similarly, each has 1152 tables, and for the same

reason as above, the disclosure set of each table in each subset does not satisfy the privacy property, and hence cannot be disclosed under  $g_2$ .

Consequently, all the tables in  $S_1 \setminus S'_1$  cannot be removed from  $ds_3^1(t_0)$ . Therefore,  $ds_3^1(t_0) = S_1 \cup S_2 \cup S_3 \cup S_4$ . The ratio of  $I$  being associated with  $C_6$  is  $\frac{48 \times 90}{48 \times (90 + 24 \times 3)} = 0.556 > 0.5$ . This violates the privacy property. Therefore, the given table cannot be disclosed using  $g_3$  under  $a_{jump}(1)$ .

□

$QID$	$g_1$	$g_2$	$g_3$	...
A	$C_0$	$C_0$	$C_0$	...
B	$C_1$	$C_1$	$C_1$	...
C	$C_2$	$C_2$	$C_2$	...
D	$C_3$	$C_3$	$C_3$	...
E	$C_4$	$C_4$	$C_4$	...
F	$C_5$	$C_5$	$C_5$	...
G	$C_6$	$C_6$	$C_6$	...
H	$C_6$	$C_6$	$C_6$	...
I	$C_6$	$C_6$	$C_6$	...
J	$C_7$	$C_7$	$C_7$	...
K	$C_7$	$C_7$	$C_7$	...
L	$C_8$	$C_8$	$C_8$	...
M	$C_8$	$C_8$	$C_8$	...
N	$C_9$	$C_9$	$C_9$	...
O	$C_9$	$C_9$	$C_9$	...

**Table 8: The Case Where  $a_{jump}(i)$  Has Better Utility Than  $a_{jump}(1)$**

Next, we prove the data utility of  $a_{jump}(i)$  and  $a_{jump}(j)$  to be incomparable by constructing non-overlapping evaluation paths.

**THEOREM 2.** *For any  $j > i > 1$ , there always exist cases where the data utility of the algorithm  $a_{jump}(i)$  is better than that of  $a_{jump}(j)$ , and vice versa.*

**PROOF SKETCH.** Since both  $a_{jump}(i)$  and  $a_{jump}(j)$  can jump over iterations of the algorithm, we can easily construct evaluation paths for the proof. Figure 2 illustrates such constructed paths.

The case where  $a_{jump}(i)$  has better utility than  $a_{jump}(j)$  ( $1 < i < j$ ) is relatively easier to construct. We only show the construction for the other case. We basically need to construct a case satisfying the following conditions:

$$\begin{cases} (\text{if } \omega = 1), & p(\text{per}_\omega) = \text{false}; \\ (\text{if } \omega = 2), & p(\text{per}_\omega) = \text{true} \wedge p(ds_\omega^{i,j}) = \text{false}; \\ (\forall \omega \in [3, j]), & p(\text{per}_\omega) = \text{false}; \\ (\forall \omega \in [j+1, j+2]), & p(\text{per}_\omega) = \text{true}; \\ (\text{if } \omega = j+1), & p(ds_\omega^i) = \text{false}; \\ (\text{if } \omega = j+2), & p(ds_\omega^j) = \text{true}. \end{cases}$$

The above conditions imply that  $g_{j+2}$  will be used to disclose under  $a_{jump}(j)$ . On the other hand, when  $a_{jump}(i)$  evaluates  $g_{i+2}$ , since its permutation set does not satisfy the privacy property, the algorithm will move to the next function, and repeat this until it reaches  $g_{j+1}$ . Since  $ds_{j+1}^i(t_0)$  does not satisfy the privacy property, the algorithm will jump to  $g_{j+1+i}$  and will disclose using a function beyond  $g_{j+2}$ .

Table 9 shows our construction where the privacy property is again that the highest ratio of a sensitive value is no greater than  $\frac{1}{2}$ . We assume the table has many others tuples not shown (the purpose of these additional tuples is only to ensure the data utility of the generalizations is in a non-increasing order). Although we shall omit the details due to space limitations, it can be verified that with this construction, both  $a_{jump}(i)$  and  $a_{jump}(j)$  will follow the desired evaluation paths as shown in Figure 2.  $\square$

$g_1$	$g_2$	$g_3$	$\dots$	$g_j$	$g_{j+1}$	$g_{j+2}$	$\dots$
$C_0$	$C_0$	$C_0$	$\dots$	$C_0$	$C_0$	$C_0$	$\dots$
$C_1$	$C_1$	$C_1$	$\dots$	$C_1$	$C_1$	$C_1$	$\dots$
$C_2$	$C_2$	$C_2$	$\dots$	$C_2$	$C_2$	$C_2$	$\dots$
$C_3$	$C_3$	$C_3$	$\dots$	$C_3$	$C_3$	$C_3$	$\dots$
$C_4$	$C_4$	$C_4$	$\dots$	$C_4$	$C_4$	$C_4$	$\dots$
$S$	$S$	$S$	$\dots$	$S$	$S$	$S$	$\dots$
$S$	$S$	$S$	$\dots$	$S$	$S$	$S$	$\dots$
$C_5$	$C_5$	$C_5$	$\dots$	$C_5$	$C_5$	$C_5$	$\dots$
$C_6$	$C_6$	$C_6$	$\dots$	$C_6$	$C_6$	$C_6$	$\dots$
$C_7$	$C_7$	$C_7$	$\dots$	$C_7$	$C_7$	$C_7$	$\dots$
$C_8$	$C_8$	$C_8$	$\dots$	$C_8$	$C_8$	$C_8$	$\dots$
$C_9$	$C_9$	$C_9$	$\dots$	$C_9$	$C_9$	$C_9$	$\dots$
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$

**Table 9: The Case Where the Data Utility of  $a_{jump}(j)$  is better than that of  $a_{jump}(i)$  ( $1 < i < j$ )**

Next, we extend the above results to the more general case in which the two algorithms  $a_{jump}(\vec{k})$  and  $a_{jump}(\vec{k}')$  both have an  $n$ -dimensional vector as their jump distances.

**THEOREM 3.** *For any  $\vec{k}_1, \vec{k}_2 \in [1, n]^n$ , there always exist cases in which the data utility of the algorithm  $a_{jump}(\vec{k}_1)$  is better than that of  $a_{jump}(\vec{k}_2)$ , and vice versa.*

**PROOF SKETCH.** Suppose the first different element of  $\vec{k}_1$  and  $\vec{k}_2$  is the  $i^{th}$  element. Without the loss of generality, assume that  $\vec{k}_1[i] < \vec{k}_2[i]$ . There are two cases as follows,

1.  $\vec{k}_1[i] = 1$ : Since  $ds_l^{\vec{k}_1} = ds_l^{\vec{k}_2}$  for all  $1 \leq l \leq i$ , and  $ds_{i+1}^{\vec{k}_1} \supseteq ds_{i+1}^{\vec{k}_2}$ , we can construct in a similar way as in the proof of Theorem 1. Basically, we construct the following evaluation path:  $per_1 \rightarrow per_2 \rightarrow \dots \rightarrow per_i \rightarrow per_{i+1} \rightarrow ds_{i+1}$  so that in one case we have  $p(ds_{i+1}^{\vec{k}_1}) = true \wedge p(ds_{i+1}^{\vec{k}_2}) = false$ , whereas in the

other case we have  $p(ds_{i+1}^{\vec{k}_1}) = false \wedge p(ds_{i+1}^{\vec{k}_2}) = true$ .

2.  $\vec{k}_1[i] > 1$ : In this case, we consider two sub-cases.

- (a)  $(\exists j)((i + \vec{k}_1[i] \leq j < i + \vec{k}_2[i]) \wedge (j + \vec{k}_1[j] > i + \vec{k}_2[i]))$ :

In this sub-case, we can construct the following two evaluation paths.

- i.  $a_{jump}(\vec{k}_1) : per_1 \rightarrow per_2 \rightarrow \dots \rightarrow per_i \rightarrow ds_i^{\vec{k}_1} \rightarrow per_{i+\vec{k}_1[i]} \rightarrow \dots \rightarrow per_j \rightarrow ds_j^{\vec{k}_1} \rightarrow per_{j+\vec{k}_1[j]} \rightarrow \dots$   
 $a_{jump}(\vec{k}_2) : per_1 \rightarrow per_2 \rightarrow \dots \rightarrow per_i \rightarrow ds_i^{\vec{k}_2} \rightarrow per_{i+\vec{k}_2[i]} \rightarrow p(ds_{i+\vec{k}_2[i]}^{\vec{k}_2}) = true$
- ii.  $a_{jump}(\vec{k}_1) : per_1 \rightarrow per_2 \rightarrow \dots \rightarrow per_i \rightarrow ds_i^{\vec{k}_1} \rightarrow per_{i+\vec{k}_1[i]} \rightarrow p(ds_{i+\vec{k}_1[i]}^{\vec{k}_1}) = true$   
 $a_{jump}(\vec{k}_2) : per_1 \rightarrow per_2 \rightarrow \dots \rightarrow per_i \rightarrow ds_i^{\vec{k}_2} \rightarrow per_{i+\vec{k}_2[i]} \rightarrow \dots$

Since  $j + \vec{k}_1[j] > i + \vec{k}_2[i]$ , the data utility of  $a_{jump}(\vec{k}_1)$  in the first case is worse than that of  $a_{jump}(\vec{k}_2)$ . Meanwhile, since  $i + \vec{k}_1[i] < i + \vec{k}_2[i]$ , we have the converse result in the second case.

- (b)  $\neg(\exists j)((i + \vec{k}_1[i] \leq j < i + \vec{k}_2[i]) \wedge (j + \vec{k}_1[j] > i + \vec{k}_2[i]))$ :

In this sub-case, suppose that  $ds_j^{\vec{k}_1} \neq ds_j^{\vec{k}_2}$  for certain  $i < j \leq i + \vec{k}_2[i]$ , we can construct an evaluation path in which all the permutation sets fail to satisfy the privacy property until the  $j^{th}$  iteration. Then, we construct a case where the disclosure set of  $g_j$  under  $a_{jump}(\vec{k}_1)$  satisfies the privacy property while it does not under  $a_{jump}(\vec{k}_2)$ , and another case for the converse result. The detailed construction is omitted.

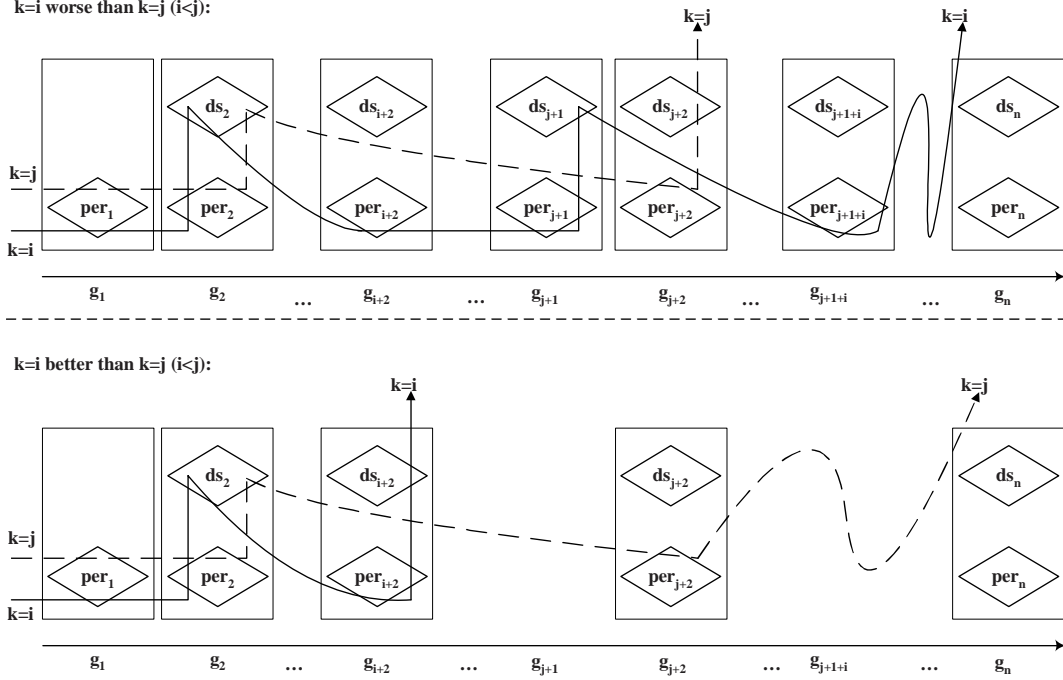
$\square$

## 4.2 Reusing Generalization Functions

With the naive strategy, whether a generalization function satisfies the privacy property is independent of other functions. Therefore, it is meaningless to evaluate the same function more than once. However, we now show that with the  $k$ -jump strategy, it is meaningful to *reuse* a generalization function along the evaluation path. This will either increase the data utility of the original algorithm, or lead to new algorithms with incomparable data utility to enrich the existing family of algorithms. That is, reusing generalization functions may benefit the optimization of data utility.

**THEOREM 4.** *Given the set of generalization functions, there always exist cases in which the data utility of the algorithm with reusing generalization functions is better than that of the algorithm without reusing, and vice versa.*





**Figure 2: The Construction for  $a_{jump}(i)$  and  $a_{jump}(j)$  ( $1 < i < j$ )**

PROOF. Consider two algorithms  $a_1$  and  $a_2$  that define the functions  $g_1, g_2, g_3, g_4, \dots$  and  $g_1, g_2, g_3, g_2', g_4, \dots$ , respectively, where  $g_2'(\cdot)$  and  $g_2(\cdot)$  are identical. Suppose both algorithms has the same jump distance  $k = 1$ , and the privacy property is not set-monotonic. We can construct the following two evaluation paths.

1.  $a_1(t_0) : per_1(t_0) \rightarrow per_2(t_0) \rightarrow ds_2^1(t_0) \rightarrow per_3(t_0) \rightarrow per_4(t_0) \dots$   
 $a_2(t_0) : per_1(t_0) \rightarrow per_2(t_0) \rightarrow ds_2^1(t_0) \rightarrow per_3(t_0) \rightarrow per_{2'}(t_0) \rightarrow ds_{2'}^1(t_0) \rightarrow p(ds_{2'}^1(t_0)) = true$
2.  $a_1(t_0) : per_1(t_0) \rightarrow per_2(t_0) \rightarrow per_3(t_0) \rightarrow per_4(t_0) \rightarrow ds_4^1(t_0) \rightarrow p(ds_4^1(t_0)) = true$   
 $a_2(t_0) : per_1(t_0) \rightarrow per_2(t_0) \rightarrow per_3(t_0) \rightarrow per_{2'}(t_0) \rightarrow per_4(t_0) \rightarrow ds_4^1(t_0) \rightarrow p(ds_4^1(t_0)) = false$

Clearly, the data utility of  $a_1$  in the first case is worse than that of  $a_2$ , while in the second case it is better.  $\square$

It is worth noting that although the same generalization function is repetitively evaluated, its disclosure set will depend on the functions that appear before it in the evaluation path. Take the identical functions  $g_2$  and  $g_2'$  above as an example, the disclosure set of  $g_2$  is computed by excluding from its permutation set the tables which can be disclosed under  $g_1$ ; however, the disclosure set of  $g_2'$  needs to further exclude tables which can be disclosed under  $g_3$ . Therefore,  $ds_{2'} \subseteq ds_2$ . This leads to the following.

**PROPOSITION 1.** *With a set-monotonic privacy property, reusing generalization functions in a  $k$ -jump algorithm does not affect the data utility under  $a_{jump}(1)$ .*

PROOF SKETCH. Suppose  $g_i(\cdot)$  is reused as  $g_{i'}(\cdot)$  in a later iteration of the algorithm.  $p(ds_{i'}(t_0)) = true$  implies  $p(ds_i(t_0)) = true$  so that the algorithm will disclose under  $g_i(\cdot)$ ; if  $p(ds_{i'}(t_0)) = false$  then the algorithm will continue to the next iteration. In both cases,  $g_{i'}(\cdot)$  does not affect the data utility.  $\square$

On the other hand, when generalization functions are reused at the end of the original sequence of functions, some tables which will lead to disclosing nothing under the original sequence of functions may have a chance to be disclosed under the reused functions, which will improve the data utility.

**PROPOSITION 2.** *Reusing a generalization function after the last iteration of an existing  $k$ -jump algorithm may improve the data utility when  $p(\cdot)$  is not set-monotonic.*

PROOF SKETCH. We construct a case in which reusing a function will improve the data utility. Consider two algorithms  $a_1$  and  $a_2$  that define the functions  $g_1, g_2, g_3$  and  $g_1, g_2, g_3, g_2'$ , respectively, where  $g_2'(\cdot)$  and  $g_2(\cdot)$  are identical. Suppose both algorithms have the same jump distance  $k = 1$ , and the privacy property is not set-monotonic. We need to construct the following two evaluation paths by which  $a_1$  will disclose nothing, while  $a_2$  will disclose using  $g_2'$ .

1.  $a_1(x) : per_1(x) \rightarrow per_2(x) \rightarrow ds_2^1(x) \rightarrow p(per_3(x)) = false$
2.  $a_2(x) : per_1(x) \rightarrow per_2(x) \rightarrow ds_2^1(x) \rightarrow per_3(x) \rightarrow per_{2'}(x) \rightarrow ds_{2'}^1(x) \rightarrow p(ds_{2'}^1(x)) = true$

Table 10 shows our construction. The table will lead to disclosing nothing without reusing  $g_2$ , whereas reusing  $g_2$  will

lead to a successful disclosure. In this example, the jump distance is 1, and the privacy property is that the highest ratio of any sensitive value is no greater than  $\frac{1}{2}$ .

More specifically, the given table, denoted by  $t_0$ , cannot be disclosed under  $g_1(\cdot)$  or  $g_3(\cdot)$  since  $p(per_1) = p(per_3) = false$ . For  $g_2$ , we have  $p(per_2) = true$ . The tables in  $ds_2$  must be in one of the following three disjoint sets.

1.  $C$  has the sensitive value  $C_3$ . The number of such tables is  $\binom{2}{1} \times \left(\binom{4}{1} \times \binom{3}{1}\right) = 24$ . Denote this set by  $S_1$ .
2.  $C$  does not have  $C_3$ , and both  $D$  and  $E$  have  $C_3$ . There are  $\binom{2}{1} \times \binom{2}{1} \times \binom{2}{1} = 8$  such tables. Denote this set by  $S_2$ .
3.  $C$  does not have  $C_3$ , and both  $F$  and  $G$  have  $C_3$ . There are 8 such tables. Denote this set by  $S_3$ .

We then have  $ds_2 = S_1 \cup S_2 \cup S_3$ . The ratio of  $C$  being associated with  $C_3$  is  $\frac{24}{24+8+8} = 0.6 > 0.5$ , so  $g_2(t_0)$  cannot be disclosed, either.

Now, consider the case that  $g_2$  is reused as  $g_{2'}$ . To calculate the disclosure set of  $ds_{2'}$ , the tables which can be disclosed under  $g_1$ ,  $g_2$ , and  $g_3$  must be excluded from  $per_2$ . We have that the remaining tables in  $ds_{2'}$  are the same as above, that is,  $S_1 \cup S_2 \cup S_3$ . These tables cannot be disclosed under  $g_2$  as mentioned above.  $S_1$  can be further divided into three disjoint subsets as follows.

1. One and only one of  $D$  and  $E$  has  $C_3$ , so does  $F$  and  $G$ . This subset has  $\binom{2}{1} \times \binom{2}{1} \times \binom{2}{1} \times \binom{2}{1} = 16$  tables, and is denoted by  $S_{1_1}$ .
2. Both  $D$  and  $E$  have  $C_3$ . This subset has  $\binom{2}{1} \times \binom{2}{1} = 4$  tables, and is denoted by  $S_{1_2}$ .
3. Both  $F$  and  $G$  have  $C_3$ . This subset also has 4 tables, and is denoted by  $S_{1_3}$ .

All the tables in  $S_{1_2}$ ,  $S_{1_3}$ ,  $S_2$ , and  $S_3$  cannot be disclosed under  $g_3$  since their permutation sets under  $g_3$  do not satisfy the privacy property (the highest ratios of a sensitive value are respectively 0.6, 1.0, 0.6, and 1.0). On the other hand, the tables in  $S_{1_1}$  can be disclosed under  $g_3$  (the highest ratio is 0.5, which is the ratio of  $F$  and  $G$  being associated with  $C_3$  and  $C_4$  (or  $C_5$ )). The disclosure set under the reused function  $g_{2'}$  is  $ds_{2'} = S_{1_1} \cup S_{1_3} \cup S_2 \cup S_3$ . The ratio of  $A$  and  $B$  being associated with  $C_1$  or  $C_2$  are 0.5, which is the highest ratio. Therefore,  $g_{2'}(t_0)$  can be safely disclosed.  $\square$

### 4.3 $a_{safe}$ and $a_{jump}(1)$

We show that the algorithm  $a_{safe}$  is equivalent to  $a_{jump}(1)$  when the privacy property is either set-monotonic, or based on the highest ratio of sensitive values.

Given a group  $EC_i$  in the disclosed generalization, let  $nr_i$  be the number of tuples and  $ns_i$  be the number of unique sensitive values. Denote the sensitive values within  $EC_i$  by  $\{s_{i,1}, s_{i,2}, \dots, s_{i,ns_i}\}$ . Denote by  $n_{s_{i,j}}$  the number of tuples associated with  $s_{i,j}$ .

$QID$	$g_1$	$g_2$	$g_3$	$g_{2'}$
A	$C_1$	$C_1$	$C_1$	$C_1$
B	$C_2$	$C_2$	$C_2$	$C_2$
C	$C_3$	$C_3$	$C_3$	$C_3$
D	$C_4$	$C_4$	$C_4$	$C_4$
E	$C_5$	$C_5$	$C_5$	$C_5$
F	$C_3$	$C_3$	$C_3$	$C_3$
G	$C_3$	$C_3$	$C_3$	$C_3$

**Table 10: The Case Where Reusing Generalization Functions Improves Data Utility**

LEMMA 3. *If the privacy property is either set-monotonic or based on the highest ratio of sensitive values, then a permutation set not satisfying the privacy property will imply that any of its subsets does not, either.*

PROOF SKETCH. The result is obvious if the privacy property is set-monotonic. Now consider a privacy property based on the highest ratio of sensitive values, which is supposed to be no greater than a given  $\delta$ . Suppose that  $EC_i$  is a group that does not satisfy the privacy property, and in particular,  $s_{i,j}$  is a sensitive value that leads to the violation. First, we have that  $\frac{n_{s_{i,j}}}{nr_i} > \delta$ . Let  $nt$  be the cardinality of any subset of the permutation set. Since all tables in this subset have the same permutation set, each such table has totally  $n_{s_{i,j}}$  appearances of  $s_{i,j}$ . Therefore, among these tables, the total number of appearances of  $s_{i,j}$  is  $n_{s_{i,j}} \times nt$ . On the other hand, assume that one subset of the permutation set with totally  $nt$  tables actually satisfies the privacy property. Then, the number of each sensitive value associated with a tuple should satisfy  $|s_{i,j}| \leq \delta \times nt$ . Therefore, the total number of sensitive values for all identities is:

$$nr_i \times |s_{i,j}| \leq nr_i \times (\delta \times nt) < nr_i \times \frac{n_{s_{i,j}}}{nr_i} \times nt = n_{s_{i,j}} \times nt. \quad (3)$$

Therefore, we have  $n_{s_{i,j}} \times nt < n_{s_{i,j}} \times nt$ , a contradiction. Consequently, the initial assumption that there exists a subset of the permutation set satisfying the privacy property must be false.  $\square$

Since the disclosure set is computed by excluding tables from the corresponding permutation set, we immediately have the following.

COROLLARY 1. *When the privacy property is either set-monotonic or based on the highest ratio of sensitive values, the algorithm  $a_{safe}$  has the same data utility as  $a_{jump}(1)$ .*

For other kinds of privacy properties, we prove that the data utility is again incomparable between  $a_{safe}$  and  $a_{jump}(1)$ . First, we compare their disclosure set under the  $3^{rd}$  generalization function.

LEMMA 4. *The  $ds_3$  under  $a_{safe}$  is a subset of that under  $a_{jump}(1)$ .*

PROOF. By definition, we have the following (where the superscript 0 denotes  $a_{safe}$ ).

$$ds_3^1(t_0) = per_3(t_0) / \{t | (t \in per_3(t_0)) \wedge (p(per_1(t)) \vee (p(per_2(t)) \wedge p(ds_2^1(t))))\} \quad (4)$$

$$\begin{aligned} ds_3^0(t_0) &= per_3(t_0) / \{t | (t \in per_3(t_0)) \wedge (p(ds_1^0(t)) \vee p(ds_2^0(t)))\} \\ &= per_3(t_0) / \{t | (t \in per_3(t_0)) \wedge (p(per_1(t)) \vee p(ds_2^1(t)))\} \end{aligned} \quad (5)$$

Therefore, we have  $ds_3^1(t_0) \supseteq ds_3^0(t_0)$ .  $\square$

**THEOREM 5.** *The data utility of  $a_{safe}$  and  $a_{jump}(1)$  is generally incomparable.*

PROOF SKETCH. Based on Lemma 4, we can construct the following two evaluation paths.

1.  $a_{jump}(1) : per_1 \rightarrow per_2 \rightarrow per_3 \rightarrow p(ds_3^1) = true$   
 $a_{safe} : ds_1^0(per_1) \rightarrow ds_2^0 \rightarrow p(ds_3^0) = false$
2.  $a_{jump}(1) : per_1 \rightarrow per_2 \rightarrow per_3 \dots$   
 $a_{safe} : ds_1^0 \rightarrow p(ds_2^0) = true$

Clearly, the data utility of  $a_{jump}(1)$  in the first case is better than that of  $a_{safe}$ , while in the second case it is worse.  $\square$

## 5. RELATED WORK

The micro-data disclosure problem has received significant attention lately [1, 4, 13, 19, 20]. In particular, data swapping [12, 26, 30] and cell suppression [21] both aim to protect micro-data released in census tables, but those earlier approaches cannot effectively quantify the degree of privacy. A measurement of information disclosed through tables based on the perfect secrecy notion by Shannon is given in [11]. The authors in [6] address the problem ascribed to the independence assumption made in [11]. The important notion of  $k$ -anonymity has been proposed as a model of privacy requirement [28]. It has received tremendous interest in recent years. To achieve optimal  $k$ -anonymity with the most data utility is proved to be computationally infeasible [24].

A model based on the intuition of *blending individuals in a crowd* is proposed in [29]. A personalized requirement for anonymity is studied in [33]. In [14], the authors approach the issue from a different perspective, that is, the privacy property is based on generalization of the protected data and could be customized by users. Much efforts have been made around developing efficient  $k$ -anonymity algorithms [10, 2, 3, 28, 27, 18, 8], whereas the safety of the algorithms is generally assumed. Many more advanced models are proposed to address limitations of  $k$ -anonymity. Many of these focus on the deficiency of allowing insecure groups with a small number of sensitive values, such as  $l$ -diversity [23],  $t$ -closeness [22],  $\alpha$ - $k$ -anonymity [32], and so on. In addition, a generic model called *GBP* was proposed to unify the perspective of

privacy guarantees in both generalization-based publishing and view-based publishing [5].

While most existing work assume the disclosed generalization to be the only source of information available to an adversary, recent work [34] [31] shows the limitation of such an assumption. In addition to such information, the adversary may also know about the disclosure algorithm. With such extra knowledge, the adversary may deduce more information and finally compromise the privacy property. In the work of [34] [31], the authors discover the above problem and correspondingly introduce models and algorithms to address the issue. However, the method in [31] depends on a specific privacy property, whereas the one in [34] is more general, but it also incurs a high complexity. Closest to this work, a special case of the  $k$ -jump strategy is discussed in [35] where all jumps end at disclosing nothing. Our result in this paper is more general than those in [35].

In contrast to micro-data disclosure, aggregation queries are addressed in statistical databases [25, 13, 16]. The main challenge is to answer aggregation queries without allowing inferences of secret individual values. The auditing methods in [9, 7] solve this problem by checking whether each new query can be safely answered based on a history of previously answered queries. The authors of [9, 15, 17] considered the same problem in more specific settings of offline auditing and online auditing, respectively. Closest to our work, the authors of [17] considered knowledge about the decision algorithm itself. However, the solution in [17] only applies to a limited case of aggregation queries and it ignores the current state of the database in determining the safety of a query.

## 6. CONCLUSION

In this paper, we have proposed a novel  $k$ -jump strategy for micro-data disclosure. We have shown how a given generalization algorithm can be transformed into a large number of safe algorithms. By constructing counter-examples, we have shown that the data utility of such algorithms is generally incomparable. The practical impact of this result is that we can make a secret choice from a large family of  $k$ -jump algorithms, which is analogous to choosing a cryptographic key from a large key space, to optimize data utility based on a given table while preventing adversarial inferences (due to space limitations, more details about such optimization will be given in an extended version of this paper). It can be shown that the computational complexity of a  $k$ -jump algorithm with  $n$  generalization functions is exponential in  $\frac{n}{k}$  (more details about this result and its proof will be given in the extended version), which indicates a reduction in the complexity due to  $k$ . Although the complexity is still exponential, we believe such a reduction may be meaningful considering that micro-data disclosure is typically an offline application.

Further studies will be conducted in the following directions. First, we will study other, more efficient algorithms using the same strategy of making a secret choice of public algorithms. Second, we will employ statistical methods to investigate the average-case data utility provided by different  $k$ -jump algorithms. Third, we will further investigate the issue of reusing generalization functions in an existing algorithm, which has only received limited study in the current work.

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