

B+ trees

Nodes type: Root, none-leaf (index, internal) and leaf (data).

Order: M (integer) controls the growth of B+ tree. Some time there are two orders M for none-leaf and L for leaf. In this document we will use M for both none-leaf and leaf.

Root node: in the beginning it is a leaf node after it is getting overflow becomes none-leaf node. When it is a leaf node it has a minimum 1 data and a maximum M data, when it is none-leaf it has a minimum 2 children and a maximum M children.

None-leaf node: Has a minimum $M/2$ and maximum m children. And the key always should be: $\text{Keys} = \text{Children} - 1$

Leaf node: Has a minimum $M/2$ and maximum M data.

Inserting in B+ Tree:

Always add to the leaf node after going through the none-leaf nodes to find the appropriate leaf.

After adding to the leaf node you should got one of the following cases

Case 1: leaf node is not overflow and of course the parent none-leaf node is not overflow (normal case).

Case 2: Leaf node is overflow, none-leaf is not overflow, do transfer for the leaf node, if cannot transfer do split for the leaf node and adjust the none-leaf node.

Case 3: both leaf and none-leaf nodes are overflow, you need to try transfer first, if you cannot do split.

How to split the leaf node:

You split the leaf node when it has more than M (the order) data.

- 1- First node gets $M/2$ data (rounded).
- 2- Second node gets the remaining data.
- 3- Copy the smallest data in the second node into the parent none-leaf node.

Example 1:

Suppose we have $M = 3$ and we have the following leaf

8	10	12
---	----	----

Insert 15

8	10	12	15
---	----	----	----

Now the node has 4 data which is more than M (3), do the previous 3 steps:

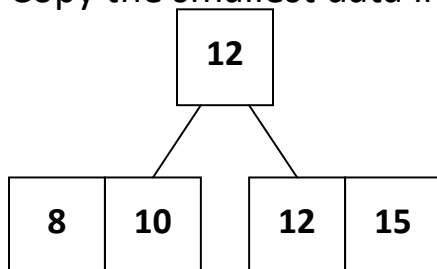
- 1- First node has $M/2 = 3/2 = 1.5 = 2$ (after rounding)

8	10
---	----

- 2- Second node has the remaining data.

12	15
----	----

- 3- Copy the smallest data into the parent (none-leaf node).



Example 2:

Suppose we have $M = 4$ and we have the following leaf

8	10	12	15
---	----	----	----

Insert 13

8	10	12	13	15
---	----	----	----	----

Now the node has 5 data which is more than M (4), do the previous 3 steps:

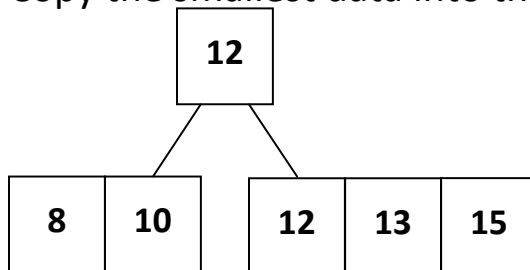
1- First node has $M/2 = 4/2 = 2$

8	10
---	----

2- Second node has the remaining data.

12	13	15
----	----	----

3- Copy the smallest data into the parent (none-leaf node).



How to split the none-leaf node:

You split the none-leaf node when it has more than M children.

- 1- First node gets $(M/2)$ keys with their children (rounded).
- 2- Take the smallest key from the remaining and put it in the parent.
- 3- Second node gets the remaining keys with their children.

Example 1:

Suppose we have $M = 3$ and we have the following none-leaf node

	8		10	
--	---	--	----	--

Insert 15

	8		10		15	
--	---	--	----	--	----	--

Now the node has 4 children which is more than M (3), do the previous 3 steps:

- 1- First node has $(M/2) - 1 = 2 - 1 = 1$ (after rounding)

	8	
--	---	--

- 2- Copy the 10 key to the parent.
- 3- Second node has the remaining keys with their children.

	15	
--	----	--

Example 2:

Suppose we have $M = 6$ and we have the following none-leaf node

	8		10		15		20		25	
--	---	--	----	--	----	--	----	--	----	--

Insert 17

	8		10		15		17		20		25	
--	---	--	----	--	----	--	----	--	----	--	----	--

Now the node has 7 children which is more than M (6), do the previous 3 steps:

1- First node has $(M/2) - 1 = 3 - 1 = 2$

	8		10	
--	---	--	----	--

2- Copy the 15 key to the parent.

3- Second node has the remaining keys with their children.

	17		20		25	
--	----	--	----	--	----	--

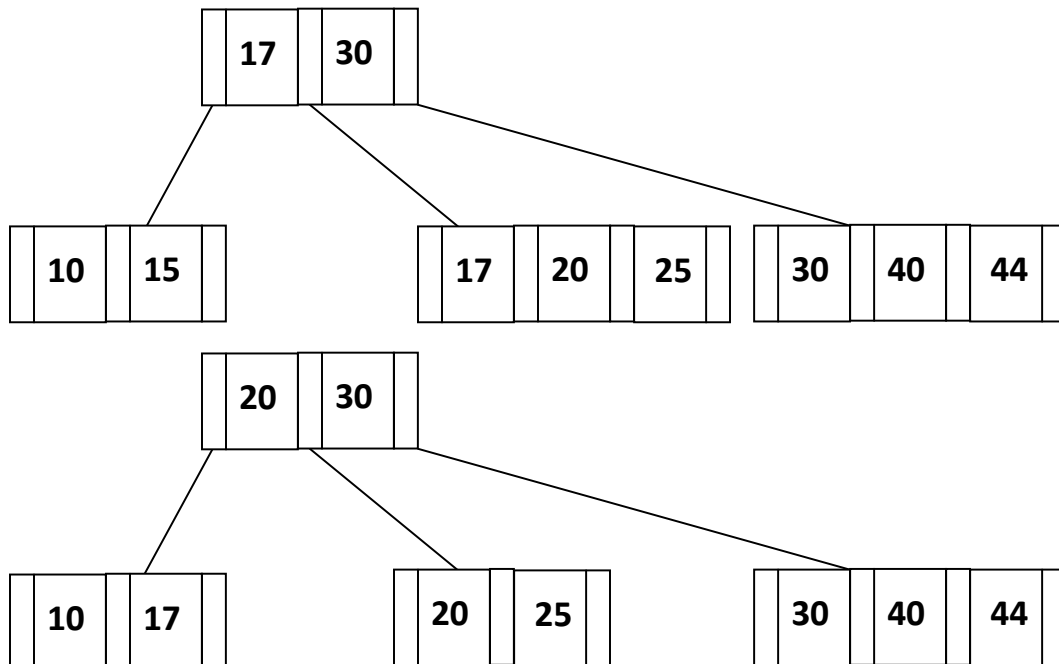
Delete

- 1- Search for the data through the none-leaf nodes, find it, and delete the data from leaf node.
- 2- Check the leaf node; it should have at least $M/2$ data.
- 3- If the leaf node has less than $M/2$ borrow from its sibling, if the sibling has more than $M/2$ data.
- 4- If the sibling has $M/2$ data exactly, than you cannot borrow, merge the two leaf nodes, and adjust the parent none-leaf node.

Example 1(Borrow, redistribute)

Suppose $M = 3$

Delete 15



Example 2(Merge)

Suppose $M = 3$

Delete 15

