

1. **Divide:** Break problem into smaller sub-problems.
2. **Conquer:** Recursively solve smaller sub-problems until base-cases are reached, which are easy to solve.
3. **Combine:** Combine the solutions of the smaller problems into the solution of the current problem.

MERGE-SORT(A, p, r)

```
1  if  $p < r$   
2       $q = \lfloor (p + r)/2 \rfloor$   
3      MERGE-SORT( $A, p, q$ )  
4      MERGE-SORT( $A, q + 1, r$ )  
5      MERGE( $A, p, q, r$ )
```

MERGE(A, p, q, r)

```
1   $n_1 = q - p + 1$ 
2   $n_2 = r - q$ 
3  let  $L[1..n_1 + 1]$  and  $R[1..n_2 + 1]$  be new arrays
4  for  $i = 1$  to  $n_1$ 
5       $L[i] = A[p + i - 1]$ 
6  for  $j = 1$  to  $n_2$ 
7       $R[j] = A[q + j]$ 
8   $L[n_1 + 1] = \infty$ 
9   $R[n_2 + 1] = \infty$ 
10  $i = 1$ 
11  $j = 1$ 
12 for  $k = p$  to  $r$ 
13     if  $L[i] \leq R[j]$ 
14          $A[k] = L[i]$ 
15          $i = i + 1$ 
16     else  $A[k] = R[j]$ 
17          $j = j + 1$ 
```

FIND-MAX-CROSSING-SUBARRAY ($A, low, mid, high$)

```
1   $left-sum = -\infty$ 
2   $sum = 0$ 
3  for  $i = mid$  downto  $low$ 
4       $sum = sum + A[i]$ 
5      if  $sum > left-sum$ 
6           $left-sum = sum$ 
7           $max-left = i$ 
8   $right-sum = -\infty$ 
9   $sum = 0$ 
10 for  $j = mid + 1$  to  $high$ 
11      $sum = sum + A[j]$ 
12     if  $sum > right-sum$ 
13          $right-sum = sum$ 
14          $max-right = j$ 
15 return ( $max-left, max-right, left-sum + right-sum$ )
```

FIND-MAXIMUM-SUBARRAY($A, low, high$)

```
1  if  $high == low$ 
2      return ( $low, high, A[low]$ )          // base case: only one element
3  else  $mid = \lfloor (low + high)/2 \rfloor$ 
4      ( $left-low, left-high, left-sum$ ) =
        FIND-MAXIMUM-SUBARRAY( $A, low, mid$ )
5      ( $right-low, right-high, right-sum$ ) =
        FIND-MAXIMUM-SUBARRAY( $A, mid + 1, high$ )
6      ( $cross-low, cross-high, cross-sum$ ) =
        FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )
7  if  $left-sum \geq right-sum$  and  $left-sum \geq cross-sum$ 
8      return ( $left-low, left-high, left-sum$ )
9  elseif  $right-sum \geq left-sum$  and  $right-sum \geq cross-sum$ 
10     return ( $right-low, right-high, right-sum$ )
11  else return ( $cross-low, cross-high, cross-sum$ )
```

ALGORITHM *EfficientClosestPair*(P, Q)

```
//Solves the closest-pair problem by divide-and-conquer
//Input: An array  $P$  of  $n \geq 2$  points in the Cartesian plane sorted in
//      nondecreasing order of their  $x$  coordinates and an array  $Q$  of the
//      same points sorted in nondecreasing order of the  $y$  coordinates
//Output: Euclidean distance between the closest pair of points
if  $n \leq 3$ 
    return the minimal distance found by the brute-force algorithm
else
    copy the first  $\lceil n/2 \rceil$  points of  $P$  to array  $P_l$ 
    copy the same  $\lceil n/2 \rceil$  points from  $Q$  to array  $Q_l$ 
    copy the remaining  $\lfloor n/2 \rfloor$  points of  $P$  to array  $P_r$ 
    copy the same  $\lfloor n/2 \rfloor$  points from  $Q$  to array  $Q_r$ 
     $d_l \leftarrow \text{EfficientClosestPair}(P_l, Q_l)$ 
     $d_r \leftarrow \text{EfficientClosestPair}(P_r, Q_r)$ 
     $d \leftarrow \min\{d_l, d_r\}$ 
     $m \leftarrow P[\lceil n/2 \rceil - 1].x$ 
    copy all the points of  $Q$  for which  $|x - m| < d$  into array  $S[0..num - 1]$ 
     $dminsq \leftarrow d^2$ 
    for  $i \leftarrow 0$  to  $num - 2$  do
         $k \leftarrow i + 1$ 
        while  $k \leq num - 1$  and  $(S[k].y - S[i].y)^2 < dminsq$ 
             $dminsq \leftarrow \min((S[k].x - S[i].x)^2 + (S[k].y - S[i].y)^2, dminsq)$ 
             $k \leftarrow k + 1$ 
return  $\text{sqrt}(dminsq)$ 
```

PARTITION(A, p, r)

```
1  $x = A[r]$ 
2  $i = p - 1$ 
3 for  $j = p$  to  $r - 1$ 
4     if  $A[j] \leq x$ 
5          $i = i + 1$ 
6         exchange  $A[i]$  with  $A[j]$ 
7 exchange  $A[i + 1]$  with  $A[r]$ 
8 return  $i + 1$ 
```

QUICKSORT(A, p, r)

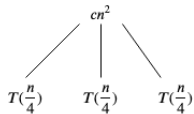
```
1  if  $p < r$   
2       $q = \text{PARTITION}(A, p, r)$   
3      QUICKSORT( $A, p, q - 1$ )  
4      QUICKSORT( $A, q + 1, r$ )
```


Recursion Trees

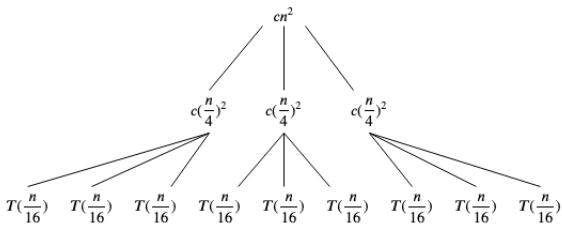
- ▶ Gives you an idea of how a recurrence relation will *expand*.
- ▶ $T(n) = 3T(\lfloor \frac{n}{4} \rfloor) + \Theta(n^2)$

$T(n)$

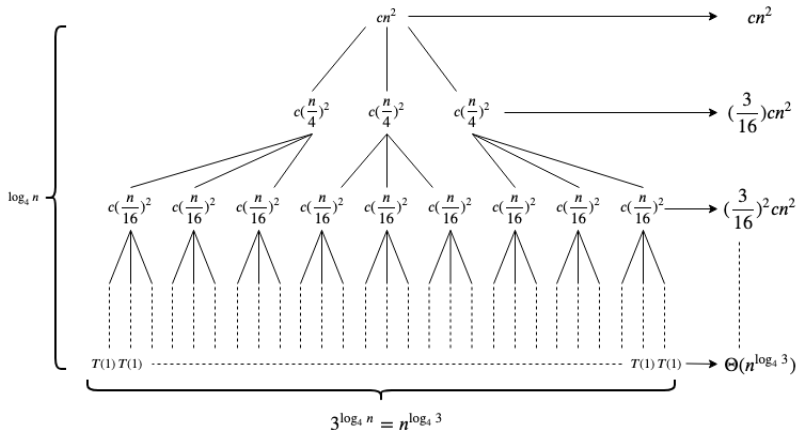
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$$\begin{aligned}
 T(n) &= \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\
 &\leq \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3})
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&\leq \frac{1}{1 - \frac{3}{16}} cn^2 + \Theta(n^{\log_4 3})
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&= \frac{16}{13} cn^2 + \Theta(n^{\log_4 3})
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&\leq \frac{1}{1 - \frac{3}{16}} cn^2 + \Theta(n^{\log_4 3}) \\
&= \frac{16}{13} cn^2 + \Theta(n^{\log_4 3}) \\
&= \mathcal{O}(n^2)
\end{aligned}$$

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- ▶ which gives us:

$$\begin{aligned} T(n) &\leq 3T(\lfloor \frac{n}{4} \rfloor) + cn^2 \\ &\leq 3d\lfloor \frac{n}{4} \rfloor^2 + cn^2 \\ &\leq 3d(\frac{n}{4})^2 + cn^2 \\ &= \frac{3}{16}dn^2 + cn^2 \\ &\leq dn^2 \end{aligned}$$

if we pick $d \geq \frac{16}{13}c$

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- ▶ Which completes the proof. Then, $T(n) = \mathcal{O}(n^2)$.

- ▶ Did we forget the base case of the induction!?

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + \mathcal{O}(n)$$

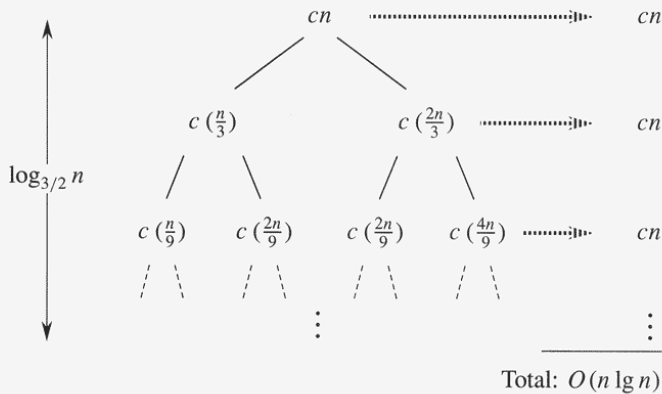
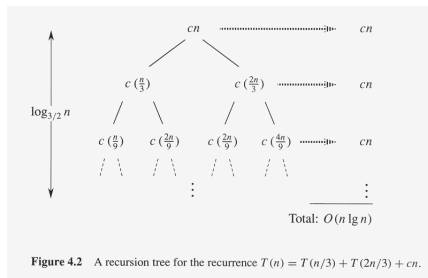


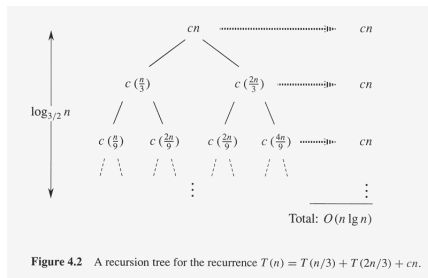
Figure 4.2 A recursion tree for the recurrence $T(n) = T(n/3) + T(2n/3) + cn$.



- If, the tree were complete, there would be

$$2^{\log_{3/2} n} = n^{\log_{3/2} 2}$$

leaves.

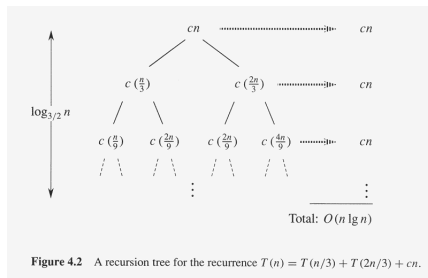


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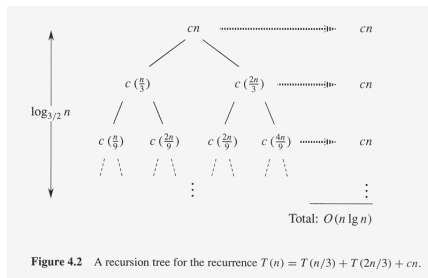


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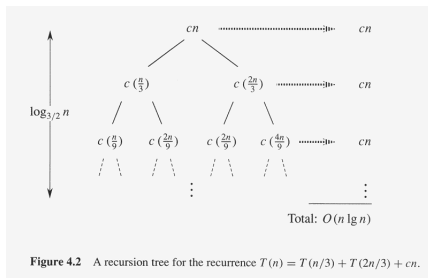


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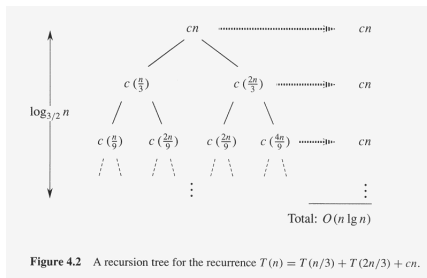
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- Is the tree complete?
- Worst case: tree would be complete.
- Best case: tree very degenerate.



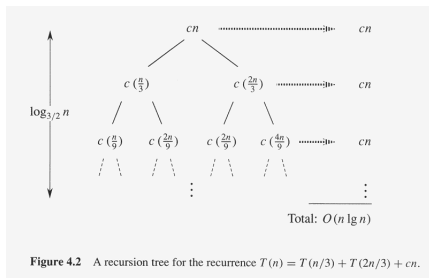
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- Each leaf has constant cost.



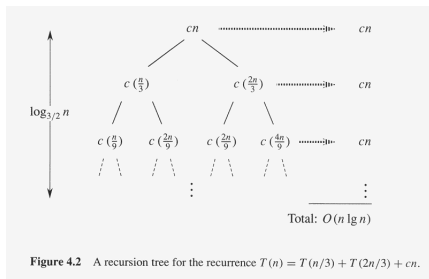
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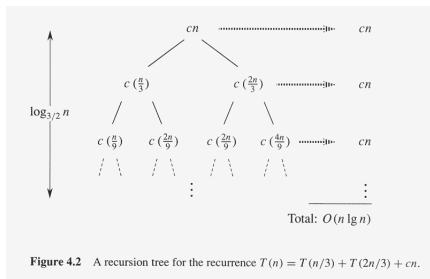
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- ▶ Recursion tree is not always accurate.
- ▶ Actually, we will guess $T(n) = \mathcal{O}(n \lg n)$.

$$T(n) = T(n/3) + T(2n/3) + cn$$

Our goal: $T(n) \leq dn \lg n$

► Inductive hypothesis :

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$$T(n) \leq \left(d\frac{n}{3} \lg n - d\frac{n}{3} \lg 3\right) \\ + \left(d\frac{2n}{3} \lg n - d\frac{2n}{3} \lg\left(\frac{3}{2}\right)\right)$$

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$$T(n) \leq dn \lg n - d((\frac{n}{3}) \lg 3 \\ + (\frac{2n}{3}) \lg(\frac{3}{2})) + cn$$

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$$T(n) \leq dn \lg n - d((\frac{n}{3} \lg 3 + (\frac{2n}{3}) \lg 3 \\ - (\frac{2n}{3}) \lg 2)) + cn$$

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$$T(n) \leq dn \lg n - d\left(\left(\frac{n}{3} \lg 3 + \left(\frac{2n}{3}\right) \lg 3 - \left(\frac{2n}{3}\right) \lg 2\right)\right) + cn$$

$$T(n) \leq dn \lg n - dn\left(\lg 3 - \frac{2}{3}\right) + cn$$

$$\leq dn \lg n$$

$$\text{if } d \geq \frac{c}{\lg 3 - (2/3)}$$

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 - ▶ Each has size $\frac{n}{b}$.
 - ▶ $f(n)$ is the extra cost of dividing, and the cost of combining solutions.
- ▶ If we make $a = 2$, $b = 2$, $f(n) = \Theta(n)$, we get a familiar recurrence.

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Let $a \geq 1$, $b > 1$ be constants, let $f(n)$ be a function and let $T(n)$ be the recurrence:

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Then, $T(n)$ has the following asymptotic bounds:

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- 1. If $f(n) = \mathcal{O}(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$, then
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- 2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$*
- 3. If $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$, and if $af(n/b) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then, $T(n) = \Theta(f(n))$.*

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- ▶ Examples:

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- ▶ $T(n) = 9T(n/3) + n$
- ▶ $a = 9, b = 3, f(n) = n.$
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- ▶ $f(n)$ grows slower than n^2 ! Not only is $f(n) = \mathcal{O}(n^2)$...
- ▶ If you subtract some $\epsilon > 0$ from the exponent of n^2 , $f(n)$ would *still* be growing slower.

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- ▶ $f(n)$ grows slower than n^2 ! Not only is $f(n) = \mathcal{O}(n^2)$...
- ▶ If you subtract some $\epsilon > 0$ from the exponent of n^2 , $f(n)$ would *still* be growing slower.
- ▶ Since $f(n) = \mathcal{O}(n^{2-\epsilon})$, by case 1 of the master method with $\epsilon = 1$, $T(n) = \Theta(n^2).$

► $T(n) = T(2n/3) + 1$

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- ▶ By case 3 of the Master method, $T(n) = \Theta(n \lg n)$.