

## Theorem 4.1

The Language...

$A_{DFA} = \{ \langle B, w \rangle \mid B \text{ is a DFA that accepts } w \}$

... is Decidable

## Possible Confusion

String

w

Language

DFA = Regular Language

String  $\langle B, w \rangle$

Language  $A_{DFA} \Rightarrow$  Not Regular,  
Not CFG,  
But it is decidable

Proof That

$A_{DFA} = \{ \langle B, w \rangle \mid B \text{ is a DFA that accepts } w \}$

is Decidabe.

Provide a TM that decides it.

The TM is given as input  $\langle B, w \rangle$  a DFA and a string  $w$ .

The TM checks to make sure  $B$  is a valid representation.

The TM then simulates  $B$  on  $w$ .

If  $B$  reaches a final state at the end of  $w$ ,

Then the TM will accept.

Otherwise the TM will reject.

This TM will always halt.

We could prove that the TM will  
Always halt, if necessary.

### Theorem 4.2

The Language ...

$$A_{NFA} = \left\{ \langle B, w \rangle \mid B \text{ is an NFA that accepts } w \right\}$$

... is Decidable.

Proof:

Construct a TM that takes as input the representation of an NFA  $A$  and a Candidate String  $w$ .

Approach 1 : Simulate the NFA on  $w$ .

Approach 2 :

Convert the NFA to a DFA

This algorithm was described  
in Chapter 1

We could program a TM to do it.

Simulate the DFA on  $w$ .

We could program a TM to do it

[We did that for  $A_{DFA}$ ]

Accept if the simulation accepts,  
otherwise reject.

### Theorem 4.3

The Language...

$A_{REX} = \{ \langle R, w \rangle \mid R \text{ is a regular expression that generates string } w \}$

... is Decidable.

How do we know?

We can build a TM / we can write a program that, given a REG. Expression  $R$  and string  $w$  as input, will determine whether  $\langle R, w \rangle$  is in  $A_{REX}$ .

And that algorithm / TM will always halt.

Halting?

Often this is self-evident. Sometimes we might have to prove it carefully.

Many programs can be proven to always halt.

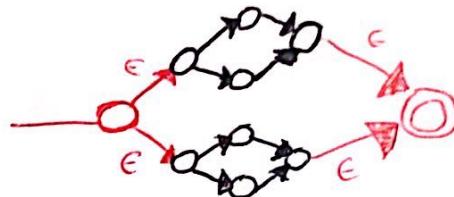
But this does not mean the halting problem is decidable!

$A_{REx} = \{ \langle R, w \rangle \mid R \text{ is a regular expression that generates } w \}$

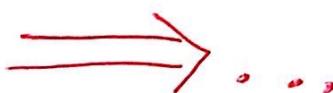
### | Algorithm / TM |

Step 1: Convert  $R$  into a NFA,  $B'$ .  
(Recall the algorithm)

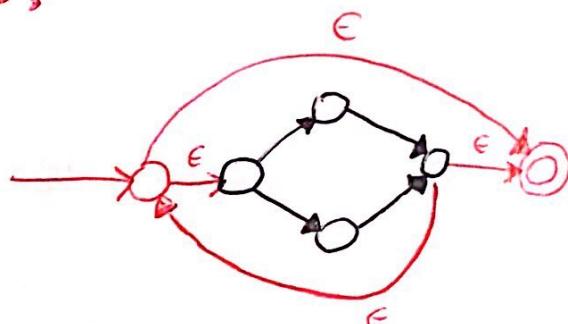
$X \mid Y$



$X \circ Y$



$X^*$



Step 2:

Construct the string  $\langle B', w \rangle$

Step 3:

Use the TM from the last theorem to decide whether this is in

$A_{NFA} = \{ \langle B, w \rangle \mid B \text{ is a NFA that accepts } w \}$

## Acceptance Testing

Is String  $w$  in the Language?

$$A_{DFA} = \{ \langle B, w \rangle \mid \dots \}$$

## Emptiness Testing

Is the language empty?

$$L = \emptyset ?$$

$$E_{DFA} = \{ \langle B \rangle \mid \dots \}$$

## Equality Testing

Are Two Languages the same?

$$EQ_{DFA} = \{ \langle A, B \rangle \mid \dots \}$$

### Theorem 4.4

The Language

$$E_{DFA} = \{ \langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset \}$$

... is decidable

Algorithm / TM to decide it:

Given A DFA "A", can we go from the initial state to final state?

If so, then the DFA could generate some string.

⇒ Is any final state reachable from the initial state?

A graph problem:

- Mark the initial state
- Repeat until No new states get marked.
  - Mark any state where there is a transition to it from a marked state
- Check to see if any final state got marked

## Theorem 4.5

The Language

$$EQ_{DFA} = \left\{ \langle A, B \rangle \mid \begin{array}{l} A \text{ and } B \text{ are DFAs and} \\ L(A) = L(B) \end{array} \right\}$$

... is decidable

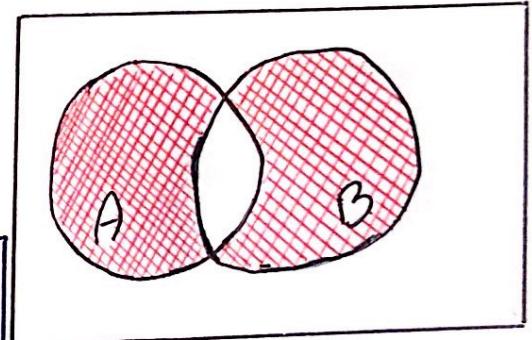
### Proof:

Let  $C$  be the "Symmetric Difference" between  $A$  and  $B$

"Anything in  $A$  or  $B$  But Not both"

$$C = (A \cap \overline{B}) \cup (\overline{A} \cap B)$$

Note | If  $A=B$  then the Symmetric Difference will be  $\emptyset$ .



Given...

$A$  = DFA to accept  $L(A)$

$B$  = DFA to accept  $L(B)$  ...

to combine DFAs.

$$\overline{L(A)}$$

$$L(A) \cup L(B)$$

$$L(A) \cap L(B)$$

Build DFA  $C$  to accept the Symmetric Difference.

Use the TM from previous theorem

$[EQ_{DFA} = \text{empty language}]$

Proof: construct a TM

Input:  $\langle A, B \rangle$  (2 DFAs)

Construct a DFA  $C$  to accept

$$L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B))$$

Use the previous TM to test whether the language that  $C$  accepts is empty.  
Accept if so; Reject otherwise.

## Theorem 4.7

The Language

$$A_{CFG} = \{ \langle G, w \rangle \mid G \text{ is a CFG that generates string } w \}$$

is decidable

In other words:

"Given A CFG and a string, we can write a program that will always halt that will tell us Yes/No whether the grammar generates the string"

All Grammars are "Parsable"

Some ~~most~~ kinds of grammars (eg. LL(k) or LR(k) grammars) can be parsed very efficiently.  $\leftarrow O(N)$

But in general, the parser may take  $O(N^3)$  time.

Proof:

Inputs:  $G = \text{A CFG}$

$w = \text{a string}$

Step 1: Convert  $G$  into Chomsky Normal Form.

Derivations using CNF grammar

At each step, the length grows by exactly 1

$$S \rightarrow SS$$

$$S \rightarrow a$$

$$S \xrightarrow{1} SS \xrightarrow{2} SSS \xrightarrow{3} SSSS \xrightarrow{4} SSSSS \xrightarrow{5} \underbrace{\dots}_{N-1 \text{ steps}}$$

Plus 1 additional step for each terminal symbol:

$$\Rightarrow aSSSS \xrightarrow{2} aaSSS \xrightarrow{3} aaaaSS \xrightarrow{4} aaaaaS \xrightarrow{5} aaaaa$$

$\therefore$  Every derivation has exactly  $2N-1$  steps

Step 2:

Let  $N$  be the length of  $w$ .

List all derivations of length  $2N-1$

(There are only finitely many)

Check each derivation to see if it generates  $w$ .

If any derivation generates  $w$ , then accepts. Else rejects.

### Theorem 4.8

The language

$$E_{CFG} = \{ \langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset \}$$

is decidable

To prove  $E_{CFG}$  is decidable -

To prove some problem is decidable -

Give an algorithm (i.e. a T.M.) verify the algorithm is correct

\* Always halts.

\* Gives the right answer.

### Algorithm

Input: a CFG "G"

A "marking" algorithm:

Mark all terminal symbols

REPEAT

Look for a rule

$$A \rightarrow \underline{X} \underline{X} \underline{X} \underline{X}$$

where all symbols on right side have been marked

$$B \rightarrow \underline{C} \underline{A}$$

Mark the non-terminal on the left side.

until nothing more can be marked

If the start symbol is NOT marked

then accept ( $L(G) = \emptyset$ )

else Reject ( $L(G) \neq \emptyset$ )

Ex:

- Consider this grammar
- Which nonterminals can generate a string of terminals?

$$S \rightarrow \underline{A} \underline{B} \underline{C} \underline{D}$$

$$\underline{A} \rightarrow \underline{B} \underline{C} \underline{A}$$

$$\underline{A} \rightarrow \underline{X} \underline{Y} \underline{Z}$$

$$\underline{B} \rightarrow \underline{C} \underline{A}$$

$$\underline{B} \rightarrow \underline{A} \underline{B}$$

$$\underline{B} \rightarrow \underline{B} \underline{B} \underline{B} \underline{w}$$

$$\underline{C} \rightarrow \underline{C} \underline{B}$$

$$\underline{C} \rightarrow \underline{w} \underline{w}$$

$$\underline{D} \rightarrow \underline{D} \underline{D}$$

$$\underline{D} \rightarrow \underline{B} \underline{D}$$

$$\underline{D} \rightarrow \underline{D} \underline{C}$$

## Theorem 4.9

The Language

$$EQ_{CFG} = \{ \langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H) \}$$

Theorem 4.5 gave an algorithm that decides the analogous language  $EQ_{DFA}$  for finite automata. We used the decision procedure for  $E_{DFA}$  to prove that  $EQ_{DFA}$  is decidable. Because  $E_{CFG}$  also is decidable, you might think that we can use a similar strategy to prove that  $EQ_{CFG}$  is decidable. But something is wrong with this idea. The class of context-free languages is **Not** closed under complementation or intersection, as you proved. In fact,  $EQ_{CFG}$  is **NOT Decidable**. The technique for proving so is presented in coming chapters.

### Proof:

Let  $G$  be a CFG for  $A$  and design a TM  $M_G$  that decides  $A$ .

We build a copy of  $G$  into  $M_G$ . It works as follows.

$M_G$  = "On input  $w$ :

- 1) Run TM  $S$  on input  $\langle G, w \rangle$ .
- 2) if this machine accepts, accept  
if it rejects, reject"

## Theorem 4.22

A Language  $L$  is decidable iff  $L$  and  $\overline{L}$  are Turing Recognizable.

### Definition

A Language is "co-Turing Recognizable" if its complement is Turing ~~Recognizable~~

### Restating the Theorem

A Language is decidable iff it is:

- 1) Turing Recognizable
- 2) co-Turing Recognizable

### Proof:

Assume  $\overline{A_{TM}}$  is Turing Recognizable

If a language and its complement are both Turing Recognizable,

Then the language is decidable

But we know  $A_{TM}$  is NOT decidable

### Recall:

$A_{TM}$  is Turing Recognizable

$A_{TM}$  is NOT Decidable

Therefore

$\overline{A_{TM}}$  is NOT Turing Recognizable

## Theorem 5.1

The Language ...

$$\text{HALT}_{\text{TM}} = \{ \langle M, w \rangle \mid \begin{array}{l} M \text{ is a Turing Machine} \\ \text{and } M \text{ halts on} \\ \text{input } w \end{array} \}$$

is UNDECIDABLE

Proof:

- Assume it is decidable (There is a TM R that decides  $\text{HALT}_{\text{TM}}$ )
- Use R to build another TM, S, that decides  $A_{\text{TM}}$   
\* Reduce  $A_{\text{TM}}$  to  $\text{HALT}_{\text{TM}}$  \*
- But  $A_{\text{TM}}$  is undecidable.  
\*Contradiction\*

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid \begin{array}{l} M \text{ is a TM that} \\ \text{accepts } w \end{array} \}$$

To Decide  $A_{\text{TM}}$ ...

Given M and some input w,  
If M accepts w

Then  $\Rightarrow$  accept.

If M rejects w OR Loops  
Then  $\Rightarrow$  rejects.

\*Deciders can never loop\*

Note: "S" is proven NOT to Exist.

$$R = \text{A Turing Machine that decides} \\ \text{HALT}_{\text{TM}} = \{ \langle M, w \rangle \mid \begin{array}{l} M \text{ is a TM} \\ \text{that halts on } w \end{array} \}$$

To Decide  $\text{HALT}_{\text{TM}}$ ...

Given M and some input w,  
If M accepts OR rejects w,

Then  $\Rightarrow$  accepts

If M loops...

Then  $\Rightarrow$  rejects

Here is Algorithm S:

Input:  $\langle M, w \rangle$

- Run R on  $\langle M, w \rangle$  to see if M halts or loops

- If R accepts, it means M halts, ~~and it will loop~~

- Simulate / Run M on input w. When M halts...

- If M accepts, then  $\Rightarrow$  accepts

- If M rejects, then  $\Rightarrow$  rejects

So "S" is a decider for  $A_{\text{TM}}$ .

Contradiction:

$A_{\text{TM}}$  is undecidable.

## Theorem 5.2

Does a TM accept any string? — undecidable

The Language ...

$$E_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a Turing Machine and } L(M) = \emptyset \}$$

is undecidable.

### Proof:

- Assume that R decides  $E_{\text{TM}}$ .
- Use it to construct S, a decider for  $A_{\text{TM}}$  \* we will reduce  $A_{\text{TM}}$  to  $E_{\text{TM}}$  \*
- Contradiction.

### Step 1:

little

- Modify M a little bit.
- Call the new machine  $M'$ .

$M'$

(Assume:  $w$  is a constant and  $x$  is the input)

- will reject all strings that do NOT match  $w$ .
- May or may not accept  $w$ .

$$L(M') = \{w\} \text{ or } L(M') = \emptyset$$

### Algorithm for $M'$

Input:  $x$

if  $x \neq w$  then reject  
otherwise  
Simulate  $M$  on  $x$ .  
if  $M$  accepts, then accept.  
if  $M$  rejects, then reject.

### Goal:

- Construct an Algorithm (S) which is given  $\langle M, w \rangle$  and decides whether  $M$  accepts  $w$

\* Algorithm for S:  
(to decide  $A_{\text{TM}}$ )  
input  $M, w$

Step 1: Construct  $M'$ .  
Take  $M$   
add a test ( $x=w$ )  
in front of the initial state  
Then pass control to  $M$

Note: \*  
 $L(M') = \{w\}$   
if  $M$  accepts  $w$   
 $L(M') = \emptyset$   
otherwise.

### Step 2:

Use R to decide whether  $L(M')$  is empty or not.

R accepts  $\Rightarrow L(M') \text{ is empty} \Rightarrow M \text{ does NOT accept } w$ ,  
R rejects  $\Rightarrow L(M') = \{w\} \Rightarrow M \text{ accepts } w$ .

### Step 3:

We now have decided  $A_{\text{TM}}$

Contradiction  
( $E_{\text{TM}}$  is Undecidable)

← other 2 steps

### Theorem 5.3

The Language...

$\text{Regular}_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is Turing Machine and } L(M) \text{ is a regular language.} \}$   
is undecidable

#### Proof:

- Assume that  $R$  decides  $\text{Regular}_{\text{TM}}$
- Use it to ~~construct~~<sup>Construct</sup>  $S$ , a decider for  $A_{\text{TM}}$

$S =$  "On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string

1- Construct the following TM  $M_2$ .

$M_2 \left\{ \begin{array}{l} \text{on input } x \\ \quad \text{if } x \text{ has the form } 0^n1^n \text{ then accept} \\ \quad \text{if } x \text{ does NOT have this form, run } M \text{ on input } w \\ \quad \text{and if accepts then accept } w. \end{array} \right.$

2- Run  $R$  on input  $\langle M_2 \rangle$

3- If  $R$  accepts then  $w$  accepts.  
If  $R$  rejects then  $w$  rejects.

### Theorem 5.4

The Language ...

$$EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are Turing Machine} \text{ and } L(M_1) = L(M_2) \}$$

is undecidable.

### Proof:

- Assume that R decides  $EQ_{TM}$

- Use it to construct S, a decider for  $E_{TM}$

$$S \left\{ \begin{array}{l} E_{TM} = \{ \langle M \rangle \mid M \text{ is a Turing Machine} \} \\ \text{To decide } E_{TM} \dots \end{array} \right.$$

- Run R on input  $\langle m, m \rangle$  where  $m$  is TM that rejects all inputs
- If R accepts then accepts.
- If R rejects then rejects.

- If R decides  $EQ_{TM}$ , S decides  $E_{TM}$

But  $E_{TM}$  is Undecidable by Theorem 5.2.

So  $EQ_{TM}$  also must be Undecidable.