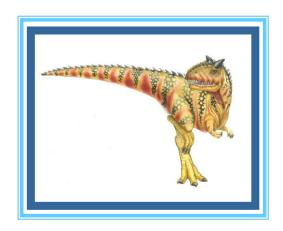
# **Chapter 6 CPU Scheduling**





#### **Chapter 6: CPU Scheduling**

- Basic Concepts
- Scheduling Criteria
- Scheduling Algorithms
- Thread Scheduling

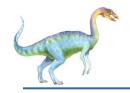




#### **Objectives**

- To introduce CPU scheduling, which is the basis for multiprogrammed operating systems
- To describe various CPU-scheduling algorithms





# Basic Concepts of the shedule

- Maximum CPU utilization obtained with multiprogramming
- CPU-I/O Burst Cycle Process execution consists of a cycle of CPU execution and I/O wait
- CPU burst followed by 1/0 burst
- CPU burst distribution is of main concern

load store add store read from file

wait for I/O

store increment index write to file

wait for I/O

load store add store read from file

wait for I/O

CPU burst

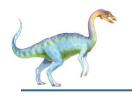
I/O burst

CPU burst

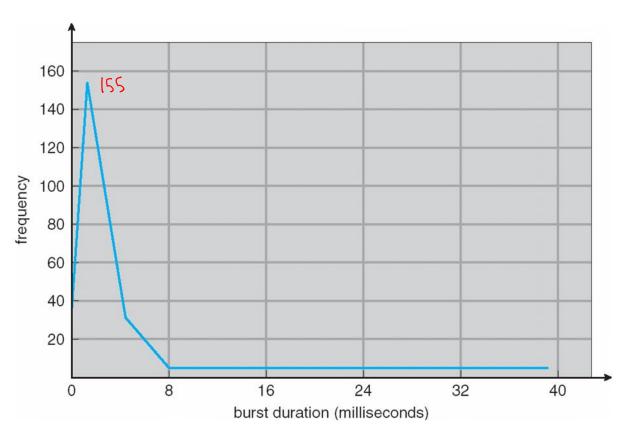
I/O burst

CPU burst

I/O burst

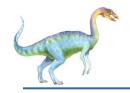


#### **Histogram of CPU-burst Times**



155 bursts took 2 Ms

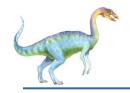




#### **CPU Scheduler**

- Short-term scheduler selects from among the processes in ready queue, and allocates the CPU to one of them
  - Queue may be ordered in various ways -> orlet of Processely
- CPU scheduling decisions may take place when a process:
  - Switches from running to waiting state intavert
    - 2. Switches from running to ready state time slot Finishes
    - 3. Switches from waiting to ready 1/0 Finishes
  - Terminates
- Scheduling under 1 and 4 is nonpreemptive
- All other scheduling is preemptive
  - Consider access to shared data
  - Consider preemption while in kernel mode
  - Consider interrupts occurring during crucial OS activities





#### **Dispatcher**

- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program
- Dispatch latency time it takes for the dispatcher to stop one process and start another running

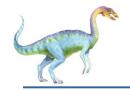




#### **Scheduling Criteria**

- CPU utilization keep the CPU as busy as possible
- Throughput # of processes that complete their execution per time unit
- Turnaround time amount of time to execute a particular process
- Waiting time amount of time a process has been waiting in the ready queue
- Response time amount of time it takes from when a request was submitted until the first response is produced, not output (for time-sharing environment)





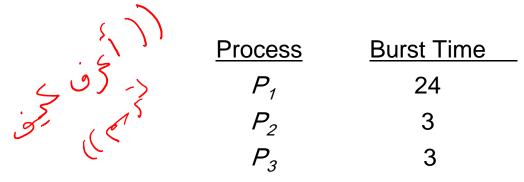
#### **Scheduling Algorithm Optimization Criteria**

- Max CPU utilization
- Max throughput
- Min turnaround time
- Min waiting time
- Min response time





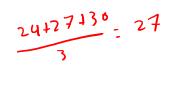
#### First-Come, First-Served (FCFS) Scheduling



Suppose that the processes arrive in the order:  $P_1$ ,  $P_2$ ,  $P_3$ The Gantt Chart for the schedule is:

$P_1$	P <sub>2</sub>	P <sub>3</sub>	
0	24	27	30

- Waiting time for  $P_1 = 0$ ;  $P_2 = 24$ ;  $P_3 = 27$
- Average waiting time: (0 + 24 + 27)/3 = 17







#### FCFS Scheduling (Cont.)

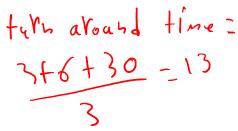
Suppose that the processes arrive in the order:

$$P_2, P_3, P_1$$

The Gantt chart for the schedule is:



- Waiting time for  $P_1 = 6$ ;  $P_2 = 0$ ,  $P_3 = 3$
- Average waiting time: (6 + 0 + 3)/3 = 3
- Much better than previous case
- Convoy effect short process behind long process
  - Consider one CPU-bound and many I/O-bound processes



arrive time Process Eivst come First serve faraAround time wait Akound Him, (Pi) = 20 C (P1) = (207/20) = 6 (P2) = 27 (Pz) =22-5=17 (P3) = 3 (P3)=3-3=0

arr H'ne DV06455 s (shortest) First

arrive time Process 3 (shortest Venaining Filst) this is waiting time turn around time For waltling time t(P1) = 30-3 = 27 n(P1) = 77-20=7 w(P2)- 2-7 = 0 t(P2) = 6-4=2

+ (P3) = 10-0=10

w(P3)-10-8-2



## **Shortest-Job-First (SJF) Scheduling**

- Associate with each process the length of its next CPU burst
  - Use these lengths to schedule the process with the shortest time
- SJF is optimal gives minimum average waiting time for a given set of processes
  - The difficulty is knowing the length of the next CPU request
  - Could ask the user

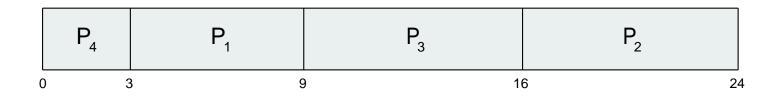




#### **Example of SJF**

<u>Process</u>	Burst Time	
$P_{1}$	6	
$P_2$	8	
$P_3$	7	
$P_{\mathcal{A}}$	3	

SJF scheduling chart



• Average waiting time = (3 + 16 + 9 + 0) / 4 = 7





#### **Determining Length of Next CPU Burst**

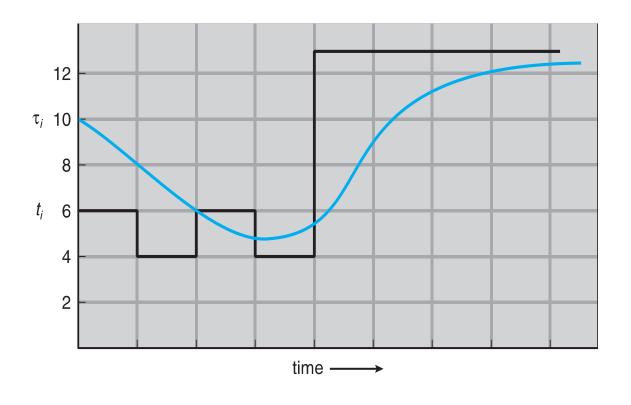
- Can only estimate the length should be similar to the previous one
  - Then pick process with shortest predicted next CPU burst
- Can be done by using the length of previous CPU bursts, using exponential averaging
  - 1.  $t_n = \text{actual length of } n^{th} \text{ CPU burst}$
  - 2.  $\tau_{n+1}$  = predicted value for the next CPU burst
  - 3.  $\alpha$ ,  $0 \le \alpha \le 1$
  - 4. Define:  $\tau_{n+1} = \alpha t_n + (1-\alpha)\tau_n$ .
- Commonly, α set to ½
- Preemptive version called shortest-remaining-time-first







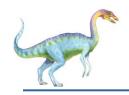
#### **Prediction of the Length of the Next CPU Burst**



CPU burst  $(t_i)$  6 4 6 4 13 13 ...

"guess"  $(\tau_i)$  10 8 6 6 5 9 11 12 ...





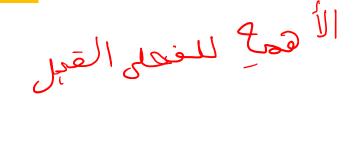
#### **Examples of Exponential Averaging**

- $\alpha = 0$ 
  - $\bullet$   $\tau_{n+1} = \tau_n$
  - Recent history does not count
- $\alpha = 1$ 
  - $\bullet$   $\tau_{n+1} = \alpha t_n$
  - Only the actual last CPU burst counts
- If we expand the formula, we get:

$$\tau_{n+1} = \alpha t_n + (1 - \alpha) \alpha t_{n-1} + \dots$$

$$+ (1 - \alpha)^{j} \alpha t_{n-j} + \dots$$

$$+ (1 - \alpha)^{n+1} \tau_0$$



Since both  $\alpha$  and  $(1 - \alpha)$  are less than or equal to 1, each successive term has less weight than its predecessor



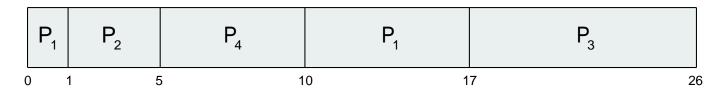


#### **Example of Shortest-remaining-time-first**

Now we add the concepts of varying arrival times and preemption to the analysis

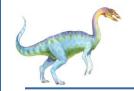
<u>Process</u>	<u>Arrival Time</u>	Burst Time
$P_1$	0	8
$P_2$	1	4
$P_3$	2	9
$P_{_{\mathcal{4}}}$	3	5

Preemptive SJF Gantt Chart



Average waiting time = [(10-1)+(1-1)+(17-2)+5-3)]/4 = 26/4 = 6.5 msec





#### **Priority Scheduling**

- A priority number (integer) is associated with each process
- The CPU is allocated to the process with the highest priority (smallest integer = highest priority)
  - Preemptive
  - Nonpreemptive

shortest job First

- SJF is priority scheduling where priority is the inverse of predicted next CPU burst time
- Problem = Starvation low priority processes may never execute
- Solution ≡ Aging as time progresses increase the priority of the process





#### **Example of Priority Scheduling**

<u>Process</u>	<b>Burst Time</b>	<u>Priority</u>
$P_1$	10	3
$P_2$	1	1-> highest Priority
$P_3$	2	4
$P_4$	1	5
$P_{5}$	5	2

Priority scheduling Gantt Chart



Average waiting time = 8.2 msec





### Round Robin (RR) Preemptible

- Each process gets a small unit of CPU time (time quantum q), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are *n* processes in the ready queue and the time quantum is *q*, then each process gets 1/*n* of the CPU time in chunks of at most *q* time units at once. No process waits more than (*n*-1) *q* time units.
- Timer interrupts every quantum to schedule next process
- Performance
  - q large ⇒ FIFO
  - q small ⇒ q must be large with respect to context switch,
     otherwise overhead is too high



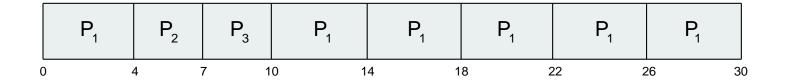




#### Example of RR with Time Quantum = 4

<u>Process</u>	Burst Time
$P_1$	24
$P_2$	3
$P_3$	3

The Gantt chart is:

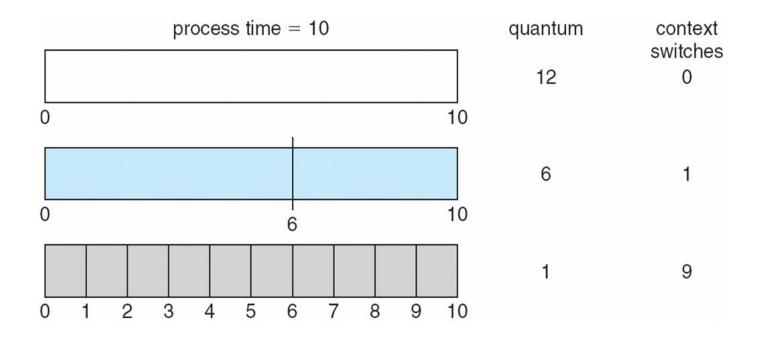


- Typically, higher average turnaround than SJF, but better response
- q should be large compared to context switch time
- q usually 10ms to 100ms, context switch < 10 usec</p>

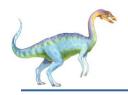




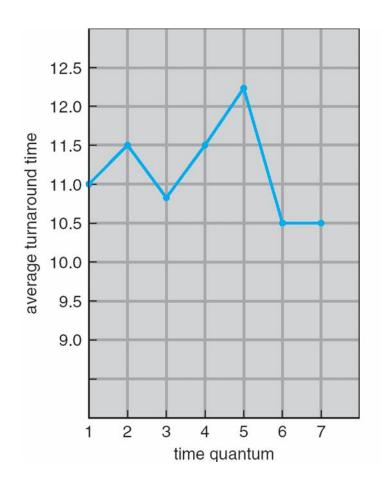
#### **Time Quantum and Context Switch Time**







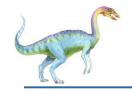
#### **Turnaround Time Varies With The Time Quantum**



process	time
$P_1$	6
$P_2$	3
$P_3$	1
$P_4$	7

80% of CPU bursts should be shorter than q





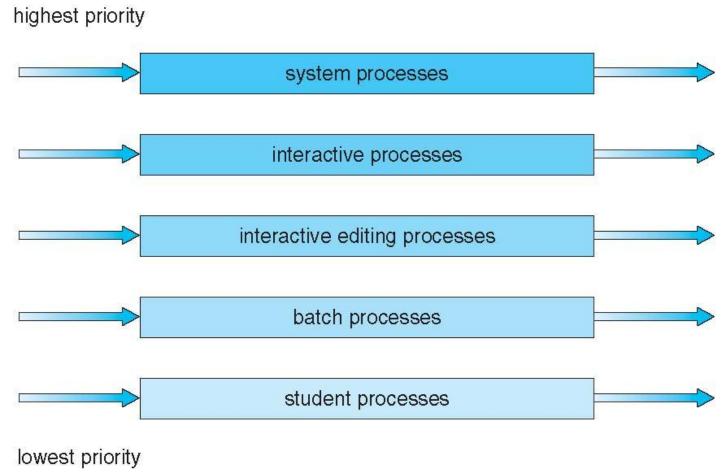
#### **Multilevel Queue**

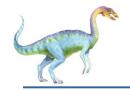
- Ready queue is partitioned into separate queues, eg:
  - foreground (interactive)
  - background (batch)
- Process permanently in a given queue
- Each queue has its own scheduling algorithm:
  - foreground RR
  - background FCFS
- Scheduling must be done between the queues:
  - Fixed priority scheduling; (i.e., serve all from foreground then from background). Possibility of starvation.
  - Time slice each queue gets a certain amount of CPU time which it can schedule amongst its processes; i.e., 80% to foreground in RR
  - 20% to background in FCFS





#### Multilevel Queue Scheduling





#### **Multilevel Feedback Queue**

- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue scheduler defined by the following parameters:
  - number of queues
  - scheduling algorithms for each queue
  - method used to determine when to upgrade a process
  - method used to determine when to demote a process
  - method used to determine which queue a process will enter when that process needs service





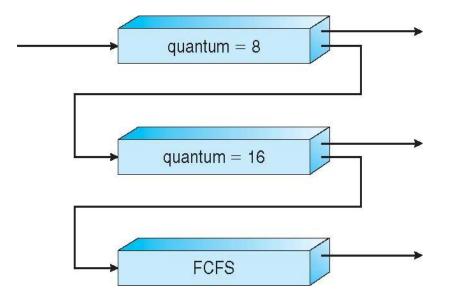
#### **Example of Multilevel Feedback Queue**

#### Three queues:

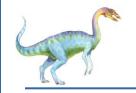
- Q<sub>0</sub> RR with time quantum 8 milliseconds
- $Q_1$  RR time quantum 16 milliseconds
- $Q_2 FCFS$

#### Scheduling

- A new job enters queue  $Q_0$  which is served FCFS (RR)
  - When it gains CPU, job receives 8 milliseconds
  - If it does not finish in 8 milliseconds, job is moved to queue  $Q_1$
- At Q<sub>1</sub> job is again served FCFS and receives 16 additional milliseconds
  - If it still does not complete, it is preempted and moved to queue  $Q_2$

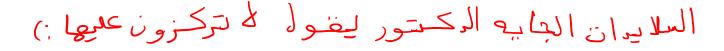






# Thread Scheduling thread library responsable for user thread

- Distinction between user-level and kernel-level threads
- When threads supported, threads scheduled, not processes
- Many-to-one and many-to-many models, thread library schedules user-level threads to run on LWP
  - Known as process-contention scope (PCS) since scheduling competition is within the process
  - Typically done via priority set by programmer
- Kernel thread scheduled onto available CPU is system-contention
   scope (SCS) competition among all threads in system







#### Pthread Scheduling

- API allows specifying either PCS or SCS during thread creation
  - PTHREAD\_SCOPE\_PROCESS schedules threads using PCS scheduling
  - PTHREAD\_SCOPE\_SYSTEM schedules threads using SCS scheduling
- Can be limited by OS Linux and Mac OS X only allow PTHREAD\_SCOPE\_SYSTEM





#### Pthread Scheduling API

```
#include <pthread.h>
#include <stdio.h>
#define NUM THREADS 5
int main(int argc, char *argv[]) {
   int i, scope;
   pthread t tid[NUM THREADS];
  pthread attr t attr;
   /* get the default attributes */
   pthread attr init(&attr);
   /* first inquire on the current scope */
   if (pthread attr getscope(&attr, &scope) != 0)
      fprintf(stderr, "Unable to get scheduling scope\n");
   else {
      if (scope == PTHREAD SCOPE PROCESS)
         printf("PTHREAD SCOPE PROCESS");
      else if (scope == PTHREAD SCOPE SYSTEM)
         printf("PTHREAD SCOPE SYSTEM");
      else
         fprintf(stderr, "Illegal scope value.\n");
```



#### Pthread Scheduling API

```
/* set the scheduling algorithm to PCS or SCS */
  pthread attr setscope (&attr, PTHREAD SCOPE SYSTEM);
   /* create the threads */
   for (i = 0; i < NUM THREADS; i++)
      pthread create(&tid[i], &attr, runner, NULL);
   /* now join on each thread */
   for (i = 0; i < NUM THREADS; i++)
     pthread join(tid[i], NULL);
/* Each thread will begin control in this function */
void *runner(void *param)
   /* do some work ... */
  pthread exit(0);
```



# **End of Chapter 6**

