

# Tutorial on Orthogonal Frequency Division Multiplexing (OFDM)

DTFS  $x[n]$  周  
DTFT  $x[n]$  非  
DFT

# Discrete Fourier Transform (DFT)

- In practice, there is a huge demand on processing **finite duration signals**
- Given a finite duration signal  $\{x[0], x[1], \dots, x[N-1]\}$ , its Fourier transform is

$$X(e^{j\omega}) = \sum_{n=0}^{N-1} x[n]e^{-j\omega n} \quad \text{频率连续}$$

- **Drawback:** The spectrum of DTFT is continuous  $\Rightarrow$  Cannot be handle by computer.
- **Discrete Fourier Transfrom (DFT)** is developed for digital processing of finite duration signals

$$\tilde{X}[k] = \frac{1}{N} \sum_{n=0}^{N-1} x[n]e^{-jk(2\pi/N)n} \quad k = \langle N \rangle \quad \text{频率离散}$$

- **DFT: frequency sampling of DTFT**

$$\tilde{X}[k] = \frac{1}{N} X(e^{j2k\pi/N}) \quad k = \langle N \rangle$$

# Inverse DFT

- Equation system of DFT:

$$\begin{pmatrix} \tilde{X}[0] \\ \tilde{X}[1] \\ \vdots \\ \tilde{X}[N-1] \end{pmatrix} = \underbrace{\frac{1}{N} \begin{pmatrix} e^{-j0} & e^{-j0} & \dots & e^{-j0} \\ e^{-j0} & e^{-j2\pi/N} & \dots & e^{-j2(N-1)\pi/N} \\ \vdots & \vdots & \ddots & \vdots \\ e^{-j0} & e^{-j2(N-1)\pi/N} & \dots & e^{-j2(N-1)(N-1)\pi/N} \end{pmatrix}}_{\mathbf{F}} \begin{pmatrix} x[0] \\ x[1] \\ \vdots \\ x[N-1] \end{pmatrix}$$

- Transform matrix  $\mathbf{F}$  is full rank.
- Observation:**  $\{\tilde{X}[k] | k = 0, \dots, N-1\}$  maintains all the information of  $\{x[0], x[1], \dots, x[N-1]\}$
- Inverse DFT is feasible:

$$x[n] = \sum_{k=0}^{N-1} \tilde{X}[k] e^{jk(2\pi/N)n} \quad n = 0, 1, \dots, N-1$$

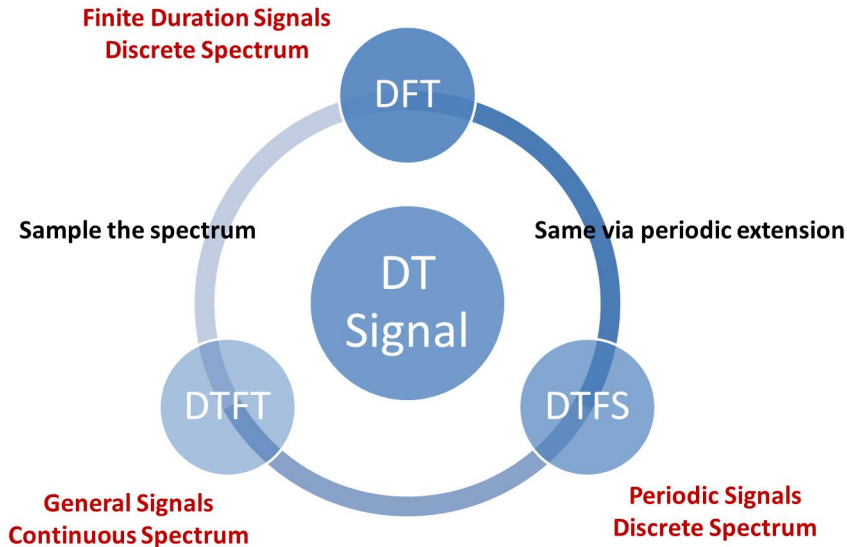
# DFT and DTFS

- DFT is for finite duration signals; DTFS is for periodic signals
- Define  $\tilde{x}[n]$  as the periodic extension of  $x[n]$ : Repeat  $x[n]$  with period  $N$
- Fourier series of  $\tilde{x}[n]$ :

$$\frac{1}{N} \sum_{n=0}^{N-1} \tilde{x}[n] e^{-jk(2\pi/N)n} = \frac{1}{N} \sum_{n=0}^{N-1} x[n] e^{-jk(2\pi/N)n} \Rightarrow \text{DFT of } x[n]$$

- DFT of a finite-duration signal = DTFS of its periodic extension
- Reference on DFT:
  - ▶ Textbook: Problem 5.53, 5.54
  - ▶ [http://en.wikipedia.org/wiki/Discrete\\_Fourier\\_transform](http://en.wikipedia.org/wiki/Discrete_Fourier_transform)

# Comparison



# Periodic Convolution of Finite Duration Signals

## Periodic Convolution

Let  $x$  and  $y$  be two finite duration signals with duration  $N$ ,  $\tilde{x}$  and  $\tilde{y}$  be the associated periodic extension, then the periodic convolution of finite duration signals is defined as

$$x[n] \circledast y[n] := \tilde{x}[n] \circledast \tilde{y}[n] = \sum_{k=\langle N \rangle} \tilde{x}[k] \tilde{y}[n-k] \quad \underline{n = 0, 1, 2, \dots, N-1}$$

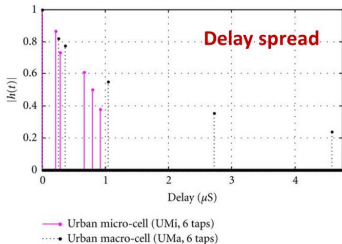
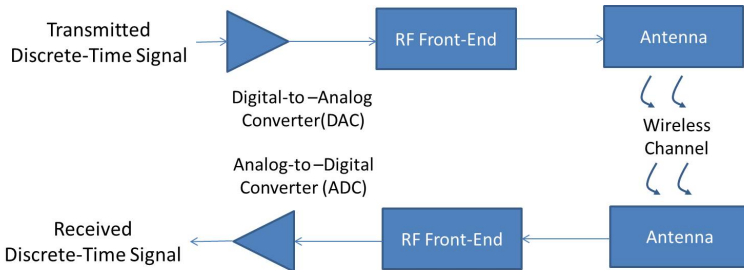
→ 只时移一个周期

## Convolution Property of DFT

Time domain periodic convolution is equivalent to frequency domain multiplication, thus,

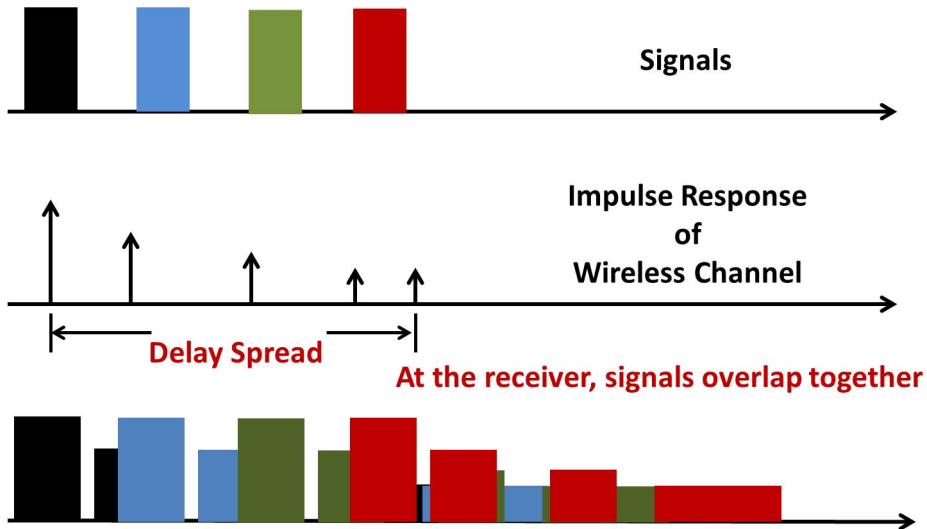
•  $x[n] \circledast y[n] \longleftrightarrow N \tilde{X}[k] \tilde{Y}[k]$  可按照公式推导

# Wireless Systems



**Delay spread causes inter-symbol interference**

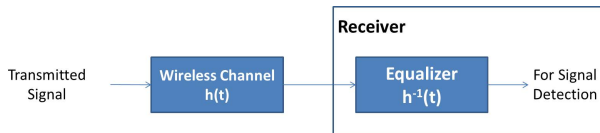
# Inter-Symbol Interference (1/2)





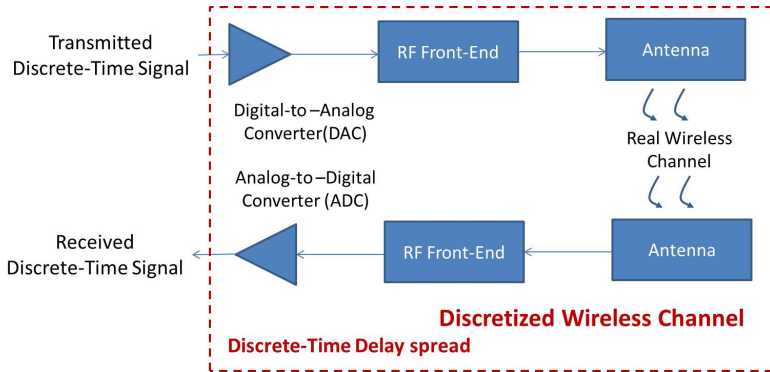
# Inter-Symbol Interference (2/2)

- How to deliver signals without inter-symbol interference?
  - ▶ Suppose the duration of delay spread is  $\Delta H$  seconds
- Approach 1: Send data on every  $\Delta H$  seconds
- Approach 2: Channel equalizer



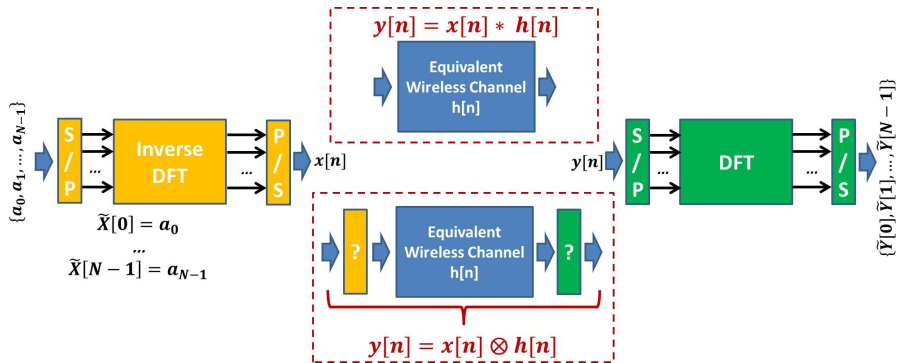
- Approach 3: Orthogonal Frequency Division Multiplexing
  - ▶ Pre-processing at the transmitter + post-processing at the receiver

# Discretetize Wireless Channel



- Tx front-end + wireless channel + Rx front-end: approximately discrete-time LTI system
- Denote the impulse response as  $h[n]$

# OFDM at First Glance



- Signals are loaded in frequency domain
- Some mechanism is necessary to generate the effect of periodic convolution

# OFDM Analysis

- Let  $\{a_0, a_1, \dots, a_{N-1}\}$  be the sequence of bits to be delivered from the transmitter to the receiver.
- At the transmitter

- ▶ we let

$$\tilde{X}[0] = a_0, \tilde{X}[1] = a_1, \dots, \tilde{X}[N-1] = a_{N-1}$$

- ▶ Take inverse DFT:

$$\{x[0], x[1], \dots, x[N-1]\} = DFT^{-1}\{\tilde{X}[0], \tilde{X}[1], \dots, \tilde{X}[N-1]\}$$

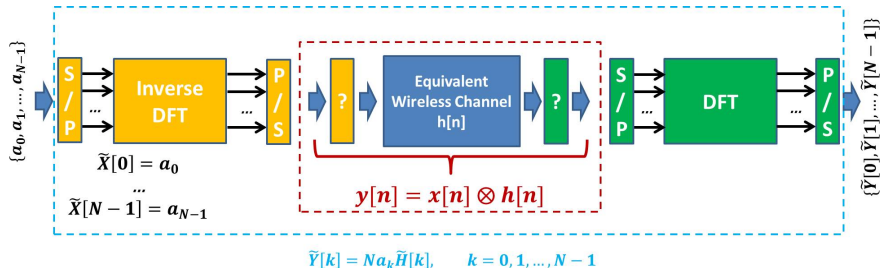
- With certain mechanism, the received signal becomes

$$y[n] = x[n] \circledast h[n]$$

- At the receiver, take DFT on  $y[n]$ :

$$\tilde{Y}[k] = N\tilde{X}[k]\tilde{H}[k] = Na_k\tilde{H}[k] \quad k = 0, 1, \dots, N-1$$

So, ...



- How to detect  $\{a_k | \forall k\}$  from  $\{\tilde{Y}[k] | \forall k\}$ ?
- How to design the blocks "?" ?

# Channel Estimation & Signal Detection

- **Channel estimation:** Estimate the channel gain  $\tilde{H}[k]$  ( $k = 0, 1, \dots, N - 1$ )
  - ▶ The transmitter sends the common information to the receiver  $\{a_0^c, a_1^c, \dots, a_{N-1}^c\}$ :

$$\tilde{Y}^c[k] = Na_k^c \tilde{H}[k] \quad k = 0, 1, \dots, N - 1$$

- ▶ Evaluate channel via

$$\tilde{H}[k] = \tilde{Y}^c[k] / (Na_k^c) \quad k = 0, 1, \dots, N - 1$$

- **Signal detection:** Detect the transmitter's signal via the knowledge of  $\tilde{H}[k]$  ( $k = 0, 1, \dots, N - 1$ ):
  - ▶ The transmitter sends the information to the receiver  $\{a_0, a_1, \dots, a_{N-1}\}$ :

$$\tilde{Y}[k] = Na_k \tilde{H}[k] \quad k = 0, 1, \dots, N - 1$$

- ▶ Detect signal via

$$a_k = \tilde{Y}[k] / (N\tilde{H}[k]) \quad k = 0, 1, \dots, N - 1$$

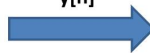
# Convolution vs. Periodic Convolution

Transmission Signal  
 $x[n]$

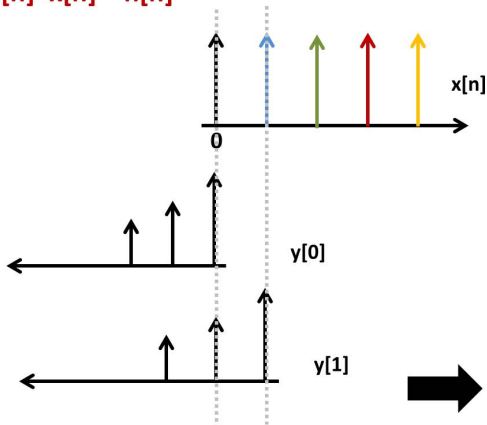
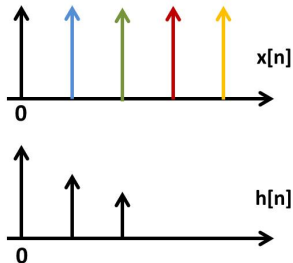


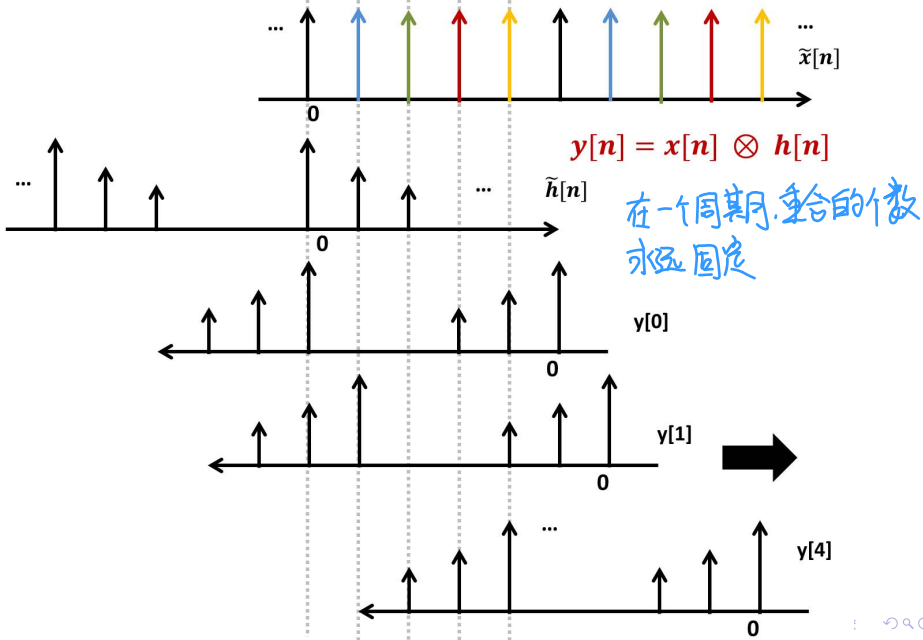
Equivalent Wireless Channel  
DT LTI System  $h[n]$

Received Signal  
 $y[n]$



$$y[n] = x[n] * h[n]$$



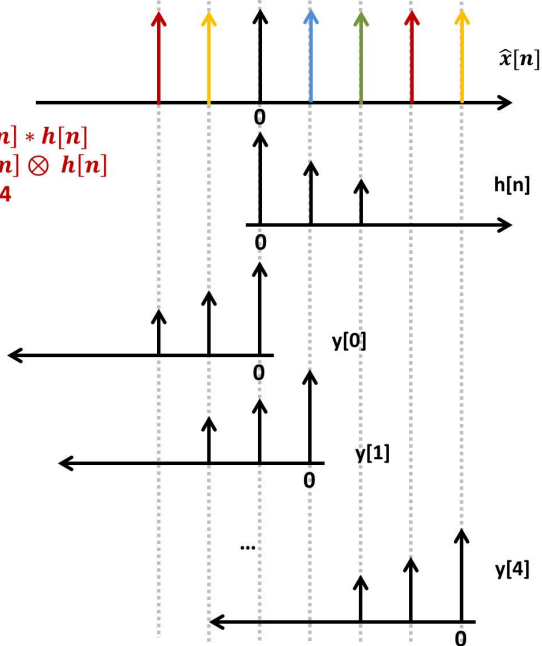




$$y[n] = \hat{x}[n] * h[n]$$

$$= x[n] \otimes h[n]$$

$$n=0,1,2,3,4$$



# Observations

- Periodic convolution can be different from convolution
- In order to guarantee that

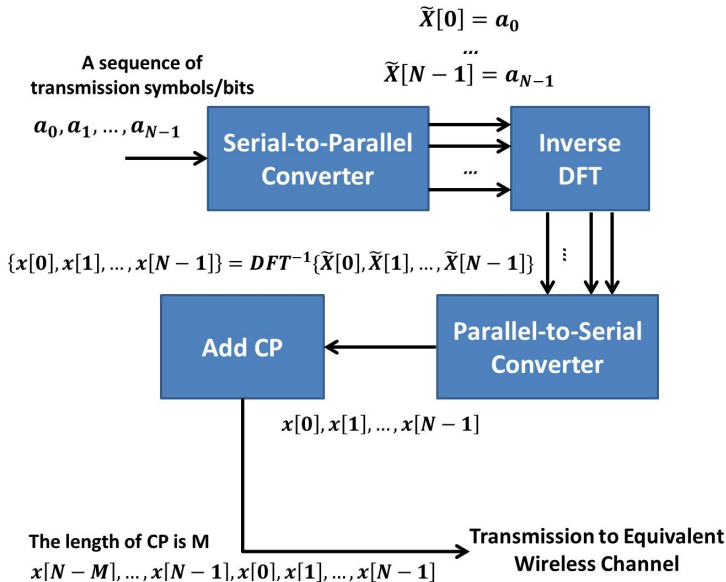
$$y[n] = x[n] \circledast h[n], \text{ for } n = 0, 1, 2, \dots, N - 1,$$

we should copy the last few samples of  $x[n]$  to the beginning, which is named as **cyclic prefix**

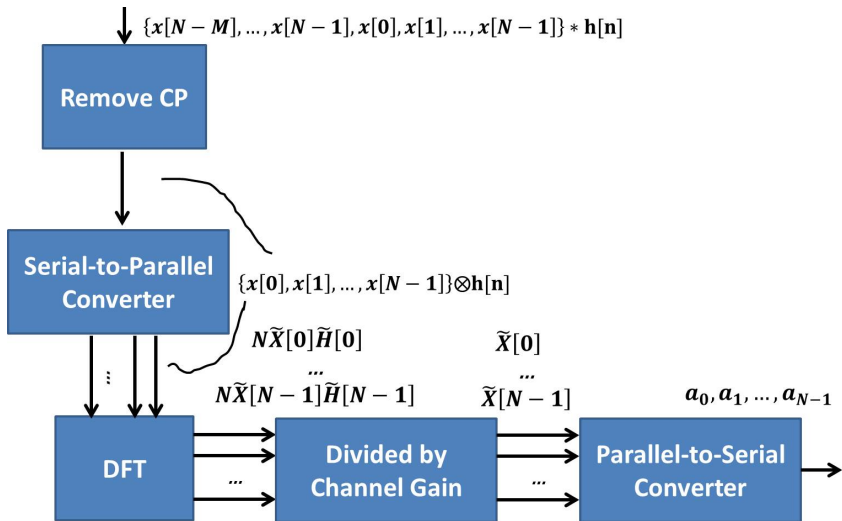
- ▶ How many samples should we copy?

$$\text{length of } h - 1$$

# Transmitter Structure of OFDM



# Receiver Structure of OFDM



# Reference

- Reference

- ▶ [www.gaussianwaves.com/2011/05/introduction-to-ofdm-orthogonal-frequency-division-multiplexing-2/](http://www.gaussianwaves.com/2011/05/introduction-to-ofdm-orthogonal-frequency-division-multiplexing-2/)
- ▶ [www.wirelesscommunication.nl/reference/chaptr05/ofdm/ofdmmath.htm](http://www.wirelesscommunication.nl/reference/chaptr05/ofdm/ofdmmath.htm)

