

AWGN Channel.

$$X \rightarrow \boxed{\text{AWGN}} \rightarrow Y$$

↑
Noise

$$Y = X + N$$

↑

Gaussian Noise.

$$N(0, N_0 B)$$

(X, Y) are correlated.

R.V.s. $\sim p(x, y)$

$$= p(x) \cdot p(y|x)$$

Information from X to Y without error.

$$I(X; Y) \triangleq \sum_{x, y} p(x, y) \cdot \log \frac{p(x, y)}{p(x)p(y)}$$

$$= H(Y) - H(Y|X)$$

$$H(Y) \triangleq - \sum_y p(y) \log p(y)$$

$$H(Y|X) \triangleq - \sum_{x, y} p(x, y) \cdot \log p(y|x)$$

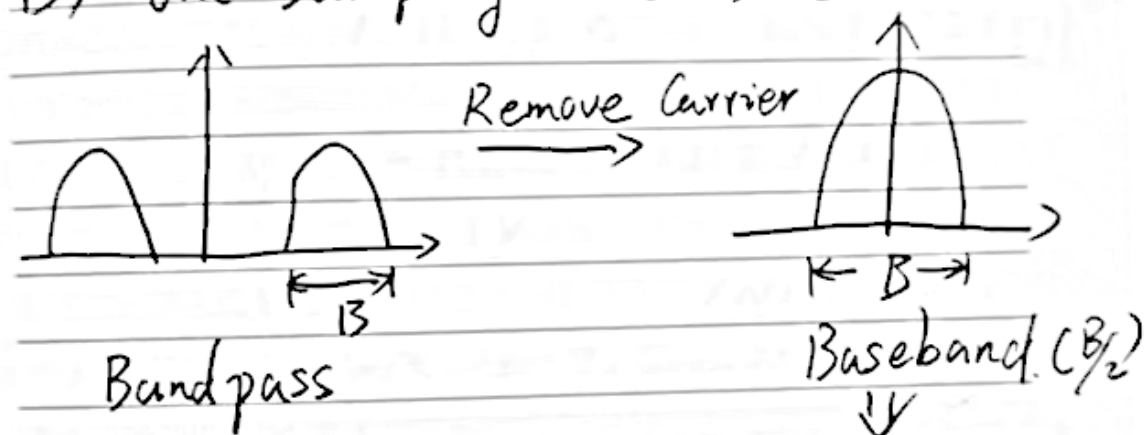
Capacity : maximum amount of information from X to Y without error.

$$C = \max_{p(x)} I(X; Y) = \frac{1}{2} \log_2 (1 + \gamma)$$

where γ is the SNR.

$$\gamma = \frac{P/2}{N_0 B/2} = \frac{P}{N_0 B} \left\{ P/2 = E[X^2] \right\}$$

In wireless communications with bandwidth B , the sampling rate is B .



$$\text{Nyquist Rate} = 2 \cdot \frac{B}{2} = B$$

There are B samples per second.
Samples are complex

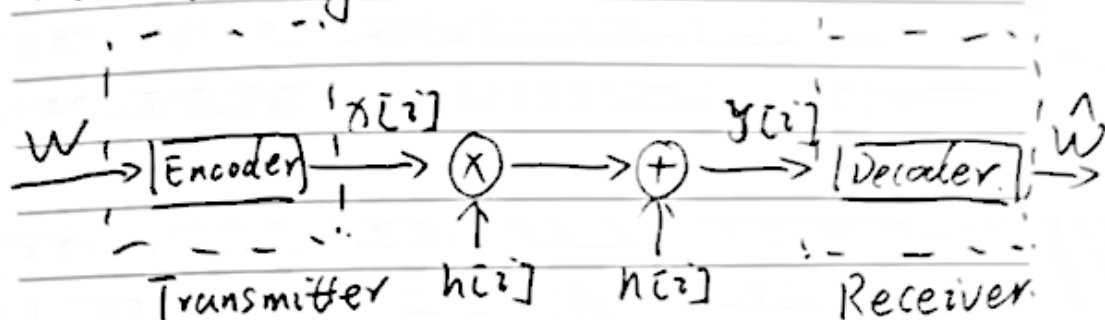
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NOV

\Rightarrow There are $2B$ AWGN transmissions per second.

$$\begin{aligned} \Rightarrow \text{Error-free bit rate} &= 2B \cdot \frac{1}{2} \log_2(1 + \gamma) \\ (\text{Capacity b/s}) &= B \cdot \log_2(1 + \gamma) \end{aligned}$$

Flat Fading Channel.



Channel Power Gain $g[i] = |h[i]|^2$

$$y[i] = h[i]x[i] + n[i]$$

CSI:

$CN(0, N_0 B)$

Channel State/ Side Information

Δ If the CSI is available at the Rx. (CSIR)

$$y'[i] = x[i] + n'[i]$$

$$y[i]/h[i]$$

$$n[i]/h[i] \sim CN(0, \frac{N_0 B}{g[i]})$$

⇒ Two AWGNs: Real + Imaginary

In each AWGN,

Signal Power: $E[|x[i]|^2/2] = P/2$

Noise Power: $N_0 B/2 g[i]$

$$\text{SNR: } \gamma = P / N_0 B / g_{\text{eff}} = g_{\text{eff}} P / N_0 B$$

$$\text{Capacity: } \frac{1}{2} \log \left(1 + \frac{g_{\text{eff}} P}{N_0 B} \right)$$

Capacity with ~~Bandwidth~~ Bandwidth B :

$$C = B \log \left(1 + \frac{g_{\text{eff}} P}{N_0 B} \right)$$

in the coherent time of g_{eff}

△ If CSI is available at the Rx, but not available at the Tx.

⇒ with CSIR, but no CSIT

⇒ Capacity $C = B \log(1 + gP/N_0 B)$ is feasible.

But Tx doesn't know g and $C = B \log(1 + \frac{gP}{N_0 B})$

⇒ Tx fixes a data rate

$$R = B \cdot \log(1 + \gamma_{\min})$$

If $\gamma = \frac{gP}{N_0 B} \geq \gamma_{\min} \Rightarrow$ Error free

If $\gamma < \gamma_{\min} \Rightarrow$ packet loss \Rightarrow outage

$\gamma = \frac{SP}{N_0 B}$ is random due to random g at T_x .

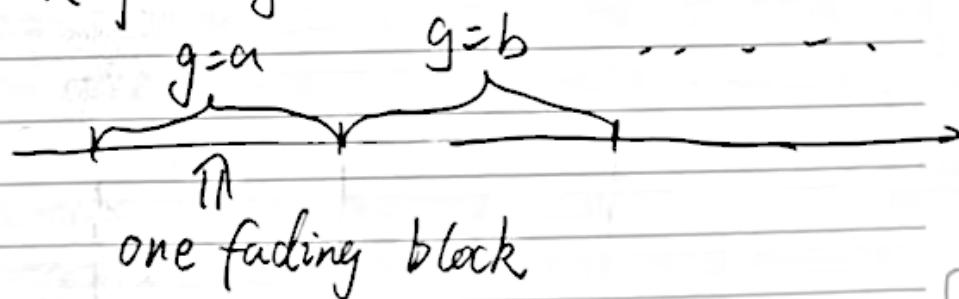
$\Rightarrow \Pr[\gamma_{\min} > \gamma] \quad (P_{\text{out}})$ outage probability.

$\Rightarrow \text{Average data rate} = R \cdot (1 - P_{\text{out}})$

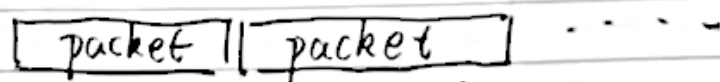
~~$R \cdot \Pr[\gamma_{\min} > \gamma] = B \log_2(1 + \gamma_{\min}) \Pr[\gamma_{\min} > \gamma]$~~

Δ CSIR, no CSIT — Ergodic Capacity

Block fading

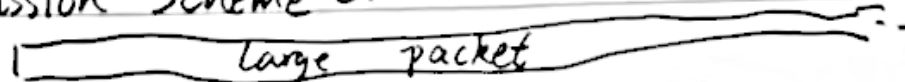


Transmission Scheme 1:



$R \leq C$: Successful. $R > C$: Outage

Transmission Scheme 2:

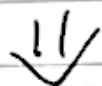


Average Capacity / Ergodic Capacity

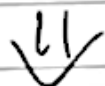
$$\begin{aligned}\bar{C} &= \int_0^{\infty} B \log(1 + \gamma) \cdot p(\gamma) d\gamma \\ &= E[B \log(1 + \gamma)]\end{aligned}$$

{ If $R \leq \bar{C} \Rightarrow$ successful transmission
If $R > \bar{C} \Rightarrow$ packet loss

Although CSIT is not available, the distribution of γ (Channel Distribution Information, CDI) is usually known to the Tx.

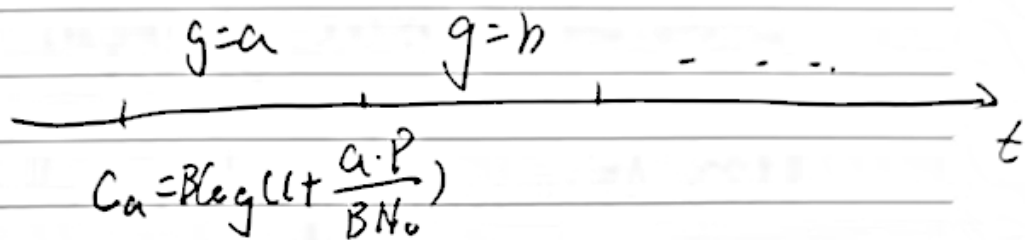


Tx knows \bar{C}



$R = \bar{C}$ is always true.
or \bar{C} is achievable
or data rate is \bar{C}

Δ CSIT & CSIR



$$C_a = B \log \left(1 + \frac{a \cdot P}{B N_0} \right)$$

Because of : $R = C_a$ $R = C_b$ \dots
CSIT

Average Capacity: $C = \sum_{s \in S} C_s \cdot \underbrace{\Pr[g=s]}_{p(s)}$

For continuous R.V. g .

$$C = \int_0^{+\infty} B \log \left(1 + \underbrace{\frac{gP}{BN_0}}_{\gamma} \right) \cdot P(g) \cdot dg$$

$$= \int_0^{+\infty} B \log(1 + \gamma) \cdot p(\gamma) \cdot d\gamma$$

$$= \int_0^{+\infty} C_\gamma \cdot p(\gamma) d\gamma$$

\Rightarrow With both CSIR & CSIT, ~~end~~
ergodic capacity is achievable with shorter