Preference Tree Optimization Framework: Enhancing Goal-Oriented Dialogue with Look-Ahead Simulations

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Abstract

Developing dialogue systems capable of engaging in multi-turn, goal-oriented conversations remains a significant challenge, especially in specialized domains with limited data. This research proposes a novel framework called *Preference Tree Optimization Framework (PTOF)*, designed to iteratively improve agent models in such dialogue systems, by generating preference data using a method called *Preference Tree with Look-Ahead*. Focusing on Motivational Interviewing (MI)—a counseling technique aimed at facilitating behavioral change—we leverage virtual patients and an oracle evaluator to simulate conversations and generate rich preference datasets. By combining this method with Direct Preference Optimization (DPO) [1], we aim to enhance the agent's decision-making capabilities over iterative training cycles. The proposed framework addresses data scarcity and advances the development of more nuanced and effective dialogue systems in goal-oriented domains.

1 Introduction

Goal-oriented dialogue systems are designed to achieve specific objectives through interactive conversations. Developing such systems in specialized domains is challenging due to the complexity of interactions and the scarcity of domain-specific data. Motivational Interviewing (MI) is such a domain – it is a counseling approach that facilitates behavioral change through collaborative, client-centered dialogue, requiring nuanced understanding and adaptability from the conversational agent.

This research introduces a framework for iteratively improving agent models in goal-oriented dialogue systems, called *Preference Tree Optimization Framework (PTOF)*, by generating preference data using a novel method called *Preference Tree with Look-Ahead*. This method systematically simulates various conversational paths and evaluates them using an oracle to generate preference data. We use this preference data with Direct Preference Optimization (DPO) [1] to iteratively refine the agent model, enhancing its decision-making capabilities.

Our approach leverages existing virtual patients and evaluators from previous research in MI [2], making it an ideal testbed for our framework. By addressing the challenges of data scarcity and the need for nuanced interactions, we aim to contribute to the advancement of dialogue systems capable of effective, goal-oriented conversations.

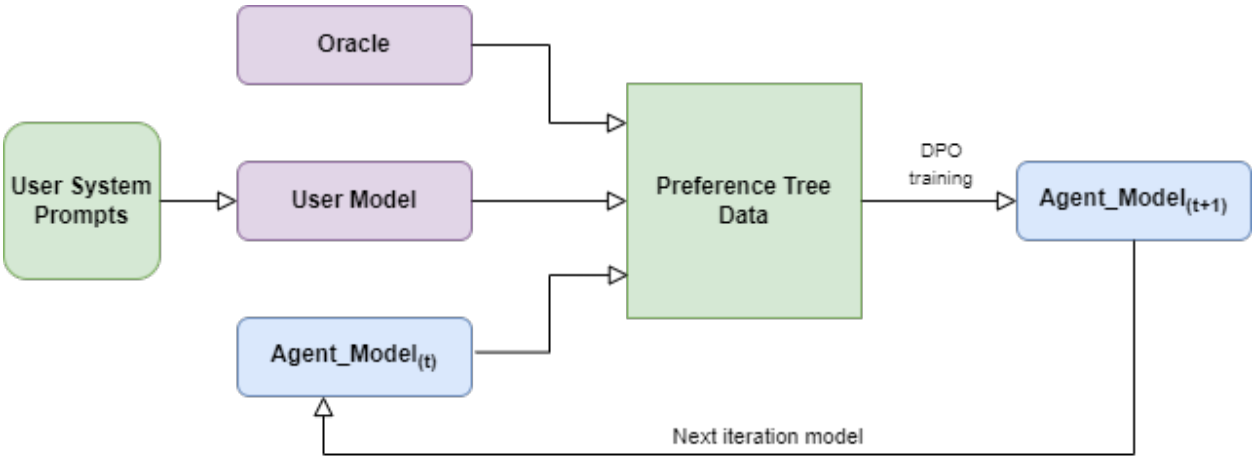

Figure 1: **Preference Tree Optimization Framework (PTOF)**. The framework operates in two iterative steps: (i) **Preference Data Generation**: The User Model is prompted with personalized attributes to simulate diverse user personalities. For each user personality, the *Preference Tree with Look-Ahead* 2 method is used in conjunction with the Oracle Evaluator and the current agent model ($Agent\_Model_t$) to generate a preference tree that explores various conversational pathways. These trees are aggregated into a comprehensive preference dataset. (ii) **Model Training**: The current agent model is trained on the newly generated preference dataset using Direct Preference Optimization (DPO), resulting in an improved model ($Agent\_Model_{t+1}$). The updated agent model is then used for the next iteration, repeating the process for continuous improvement.

2 Research Objectives

The primary objectives of this research are:

1. **Propose a Novel Preference Data Generation Method**: Implement the *Preference Tree with Look-Ahead* to simulate and evaluate potential conversational paths in goal-oriented dialogues.
2. **Iteratively Enhance Agent Models**: Employ the Preference Tree Optimization Framework (PTOF) to integrate the generated preference data with Direct Preference Optimization (DPO), iteratively improving the agent's decision-making capabilities.
3. **Apply to Motivational Interviewing (MI)**: Evaluate the effectiveness of the proposed framework in the MI domain, leveraging existing virtual patients and evaluators.
4. **Benchmark Against Existing Models**: Compare the performance of our agent with current state-of-the-art dialogue systems in MI contexts to validate the efficacy of our approach.
5. **Contribute to Goal-Oriented Dialogue Systems**: Provide insights and methodologies that can be generalized to other specialized dialogue domains.

3 Background and Related Work

Advancements in Natural Language Processing (NLP) and the emergence of Large Language Models (LLMs) have significantly transformed dialogue systems. Despite these developments, creating effective goal-oriented dialogue systems in specialized domains—such as Motivational Interviewing (MI)—remains a complex challenge due to the scarcity of domain-specific data and the necessity for nuanced understanding in multi-turn interactions.

3.1 Preference Optimization in Language Models

One of the key approaches to improving language models involves aligning them with human preferences. This alignment helps models generate responses that are not only coherent but also contextually appropriate and tailored to specific conversational objectives. Traditional approaches, such as Reinforcement Learning from Human Feedback (RLHF) [3], involve training a separate reward model based on human evaluations of model outputs. This reward model then guides the language model through reinforcement learning to produce preferred responses. Although effective, RLHF can be complex and resource-intensive due to the necessity of maintaining a distinct reward model and implementing reinforcement learning algorithms [4].

Direct Preference Optimization (DPO) [1] offers a more streamlined alternative by directly optimizing the language model using preference data, eliminating the need for a separate reward model and the complexities of reinforcement learning. DPO establishes a direct mapping between LLM policies and reward functions, enabling the training of an LLM to satisfy preference data through a straightforward cross-entropy loss.

3.2 Synthetic Data Generation and Iterative Self-Improvement

The challenge of data scarcity in specialized dialogue domains has led researchers to explore synthetic data generation and iterative self-improvement techniques to enhance language models. Several notable approaches have been proposed in this context.

Pace et al. [5] introduced *West of N*, a method for synthetic preference data generation. They generate multiple responses from an LLM and use a reward model to score these responses, selecting the best and worst ones to form preference pairs. These synthetic preference pairs are then used to further train the same reward model, improving its ability to align with human preferences. Unlike our framework, which directly trains the agent model using Direct Preference Optimization (DPO) with preference data generated through look-ahead simulations involving a user model, *West of N* focuses on refining the reward model itself.

Madaan et al. [6] proposed *Self-Refine*, a technique where during inference, the model generates an initial output and then provides feedback on its own response to iteratively refine it. This process enhances the output quality without additional training or data generation.

Yuan et al. [7] presented *Self-Rewarding Language Models*, where the model generates multiple responses and uses an LLM-as-a-Judge mechanism to evaluate them, creating its own preference dataset. This preference data is then used to iteratively train the model using DPO, enhancing both response generation and internal reward modeling. While similar in utilizing DPO, our framework differs by relying on an external oracle evaluator for preference data and simulating conversation paths using a user model, rather than self-assessment.

Xie et al. [8] explored integrating Monte Carlo Tree Search (MCTS) with iterative preference learning to improve reasoning capabilities in LLMs. Their method uses MCTS to generate and evaluate step-level reasoning paths, collecting preference data at each step to refine the model using DPO. The focus is on reasoning paths, whereas our framework simulates full conversation trajectories with a *Preference Tree with Look-Ahead* and a user model, emphasizing goal-oriented dialogue.

Liang et al. [9] introduced *I-SHEEP* (Iterative Self-EnHancEmEnt Paradigm), where the model generates synthetic data, self-assesses it, and filters out low-quality responses. The filtered data is then used for Supervised Fine-Tuning (SFT), allowing the model to improve iteratively without human supervision. Unlike our approach, which generates preference data and applies DPO for iterative improvement with look-ahead conversation simulations, *I-SHEEP* focuses on generating general synthetic data for SFT rather than preference data.

Additionally, prior work on preference trees for reasoning tasks [10] involves constructing preference trees for tasks such as planning, multi-turn interaction, and problem-solving in reasoning, coding, and math. While they utilize preference trees for reasoning, our framework employs a *Preference Tree with Look-Ahead* to simulate conversational pathways with a user model, specifically tailored for goal-oriented dialogue systems.

These methodologies highlight the effectiveness of combining synthetic data generation with iterative self-improvement to address data scarcity and complex interaction dynamics. Our proposed *Preference Tree with Look-Ahead* builds upon these approaches by incorporating strategic exploration of future conversational paths with a user model and generating nuanced preference data evaluated by an oracle. This allows us to directly train the agent model using DPO, enhancing its decision-making in specialized goal-oriented dialogue domains such as Motivational Interviewing.

3.3 Motivational Interviewing and AI Dialogue Systems

Motivational Interviewing (MI) is a client-centered counseling approach aimed at eliciting behavioral change by helping clients explore and resolve ambivalence [11]. Implementing MI in AI dialogue systems presents unique challenges due to the need for empathy, adaptability, and the ability to interpret subtle conversational cues.

Previous research has explored the potential of LLMs in simulating MI sessions. Yosef et al. [2] utilized AI-generated patient simulations to assess MI sessions, highlighting the feasibility of virtual patients in training and evaluating therapeutic dialogues. Their work demonstrated that AI agents could engage in MI conversations to a certain extent but also underscored the limitations in capturing the full depth of human therapist-patient interactions.

In addition, Yosef fine-tuned therapist models using existing datasets specific to MI, demonstrating that such fine-tuning can improve the models performance in therapeutic settings [2]. We will compare the performance of these fine-tuned models with our approach, which employs Direct Preference Optimization (DPO) combined with the *Preference Tree with Look-Ahead* method for iterative improvement.

These studies demonstrate both the potential and the challenges of applying AI to MI, underscoring the need for advanced techniques to improve dialogue systems in this domain. Our work aims to address these challenges by introducing an iterative framework *PTOF* that enhances the agent's decision-making capabilities. By incorporating the *Preference Tree with Look-Ahead* method and integrating it with DPO, we seek to enable more effective and empathetic MI conversations.

4 Methodology

Our methodology involves two main components: the *Preference Tree with Look-Ahead* method for preference data generation and an iterative training process to refine the agent model using DPO.

4.1 Preference Tree with Look-Ahead

The *Preference Tree with Look-Ahead* method systematically explores potential conversational paths by simulating multiple agent responses and their subsequent dialogue trajectories. This allows the agent to anticipate the long-term impact of its responses, as shown in Algorithm 2. The process is as follows:

1. **Agent Decision Point**: At each turn, the agent model generates N possible responses.
2. **Branch Initialization**: For each response, a new branch is created, and the response is appended to the conversation history.
3. **Look-Ahead Simulation**: Each branch simulates K future steps, alternating between the agent and the virtual patient, to anticipate the long-term implications of the agent's response.
4. **Oracle Evaluation**: An oracle evaluator assesses each branch based on predefined criteria (e.g., adherence to MI principles, empathy, goal progression) and assigns scores.
5. **Preference Recording**: The response with the highest score is considered the preferred response, and the one with the lowest score is the least preferred. The preference tuple is recorded in the dataset.
6. **Conversation Update**: The conversation continues with the preferred response, and the process repeats until a termination condition is met (e.g., reaching maximum conversation length or achieving the goal).

By considering future conversation trajectories, the agent is expected to learn to make decisions that are not only immediately appropriate but also beneficial in the long term.2

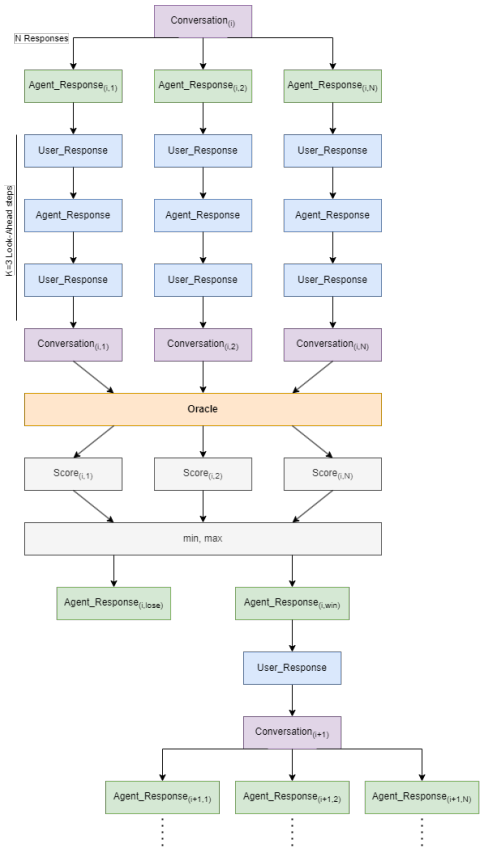

Figure 2: **Preference Tree Generation Process.** The figure shows how a preference tree is used to generate preference data. At each conversation step i, the agent generates N possible responses, and each branch simulates the conversation through several look-ahead steps. These branches represent possible future dialogue paths. An oracle evaluates each path, assigning scores to determine the best ($response_{i,win}$) and worst ($response_{i,lose}$) outcomes. After selecting the winning response, the user model replies, advancing to the next conversation step $conversation_{i+1}$, and the process repeats. This way, each preference tree produces multiple preference samples, with each sample consisting of a tuple $(conversation_i, response_{i,lose}, response_{i,win})$.

4.2 Preference Tree Optimization Framework (PTOF)

This process forms the Preference Tree Optimization Framework (PTOF). The agent model is iteratively improved through cycles of preference data generation and training using DPO.

1. **Initial Training**: The agent model is initially trained on available data or pre-trained weights.
2. **Preference Data Generation**: Using the current agent model, the *Preference Tree with Look-Ahead* method generates new preference data, capturing the agent's strengths and weaknesses.
3. **Model Update**: The agent model is fine-tuned using DPO on the newly generated preference data, optimizing it directly based on preferences without the need for a reward model.
4. **Evaluation**: The updated model is evaluated using predefined metrics to assess improvements.
5. **Iteration**: Steps 2-4 are repeated, allowing the agent to improve over time through continuous learning.

This process balances exploration (generating new conversational paths) and exploitation (refining the agent's responses), leading to incremental enhancements in performance.

Algorithm 1 Preference Tree Optimization Framework (PTOF)

Require: Initial agent model $A^{(0)}$, User model U, Oracle evaluator O, Maximum conversation length L, Look-ahead steps K, Branching factor N, Trees per iteration T, Total iterations I
Ensure: Sequence of optimized agent models $\{A^{(1)}, A^{(2)}, \ldots, A^{(I)}\}$
for $i = 1$ to I **do**
  Initialize preference dataset: $D^{(i)} \leftarrow \{\}$
  for $t = 1$ to T **do**
    Assign user role: $U_t \leftarrow U$
    Generate preference tree: $P^{(t)} \leftarrow \text{GeneratePreferenceTree}(A^{(i-1)}, U_t, O, L, K, N)2$
    Aggregate preferences: $D^{(i)} \leftarrow D^{(i)} \cup P^{(t)}$
  end for
  Optimize Agent Model with DPO: $A^{(i)} \leftarrow \text{DPO}(A^{(i-1)}, D^{(i)})$
end for
Return: Optimized agent models $\{A^{(1)}, A^{(2)}, \ldots, A^{(I)}\}$

5 Experimental Setup

To evaluate our proposed framework, we conducted a series of initial experiments in the Motivational Interviewing (MI) domain. The experimental setup is detailed as follows:

5.1 Models and Tools

- **Agent Model**: We utilized *Llama-2-7B* as the base model for the therapist agent. This model was chosen for its robust language understanding and generation capabilities.
- **User Model**: Virtual patients were simulated using *GPT-3.5*, prompted based on guidelines from previous MI research [2].
- **Oracle Evaluator**: GPT-3.5 model is used as the oracle evaluator, prompted with specific questionnaires designed to assess MI adherence and conversational quality based on the guidelines from [2].

5.2 Experimental Variables

- **Look-Ahead Depths**: We tested two different look-ahead depths: 0 (no look-ahead) and 3. This variable assesses the impact of anticipating future conversational turns on the agent's performance.
- **Iterations per Look-Ahead**: For each look-ahead depth, we conducted 3 iterative training cycles. Each iteration involved:
  1. **Preference Data Generation**: Utilizing the *Preference Tree with Look-Ahead* method to generate preference tuples based on simulated conversational paths.
  2. **Model Fine-Tuning**: Applying Direct Preference Optimization (DPO) to fine-tune the agent model using the newly generated preference data.

5.3 Data Collection

After each iteration, we generated a set of conversations to evaluate the agent's performance:

- **Number of Conversations**: For each trained model, we conducted 96 separate conversations with virtual patients to ensure a comprehensive assessment.
- **Evaluation Metrics**: Each conversation was scored by the oracle evaluator based on two distinct questionnaires designed to measure MI adherence and overall conversational quality.2

6 Preliminary Results

To assess the efficacy of the proposed Preference Tree Optimization Framework (PTOF), we conducted initial experiments concentrating on two distinct look-ahead depths: 0 and 3. Each configuration was subjected to three iterative training cycles, and their performances were benchmarked against the baseline model, *Llama-2-7B*.

6.1 Performance Metrics

The agent's effectiveness was evaluated using two primary metrics derived from the oracle evaluator's questionnaires (Table 2):

- **Session Satisfaction (Q1)**: This metric aggregates scores from Questionnaire 1, assessing overall satisfaction, content relevance, motivation facilitation, learning outcomes, and applicability to everyday life.
- **Working Alliance (Q2)**: This metric aggregates scores from Questionnaire 2, evaluating the therapist's interpersonal skills, empathy, communication effectiveness, and ability to establish a collaborative relationship.
- **Final Score**: Calculated as the average of Session Satisfaction and Working Alliance scores, this provides a comprehensive indicator of overall performance.

6.2 Results Overview

Table 1 presents the average scores and standard deviations for **Session Satisfaction (Q1)**, **Working Alliance (Q2)**, and the **Final Score** across the baseline model and the PTOF-enhanced models with look-ahead depths of 0 and 3 over three iterative training cycles.

Table 1: Average Performance Scores and Standard Deviations Across Iterations

Model	**Session Satisfaction (Q1)**		**Working Alliance (Q2)**		**Final Score**	
	Mean	SD	Mean	SD	Mean	SD
Llama-2-7B (Baseline)	2.952	1.359	3.185	0.944	3.069	1.103
Look-Ahead Depth 0						
M1_L0	3.262	1.396	2.979	1.044	3.121	1.186
M2_L0	3.575	1.177	3.230	0.770	3.402	0.916
M3_L0	3.473	1.228	3.277	0.858	3.375	0.992
Look-Ahead Depth 3						
M1_L3	3.175	1.436	3.194	0.977	3.185	1.160
M2_L3	3.331	1.236	3.388	0.712	3.360	0.917
M3_L3	**3.704**	1.026	**3.581**	0.639	**3.642**	0.788

Baseline Performance:
The baseline *Llama-2-7B* model achieved an average Session Satisfaction score of **2.952** (SD = 1.359) and a Working Alliance score of **3.185** (SD = 0.944), culminating in a Final Score of **3.069** (SD = 1.103). The notably high standard deviation in Q1 indicates considerable variability in session satisfaction, highlighting inconsistencies in the model's performance across different conversational scenarios.

Look-Ahead Depth 0:
Models trained with a look-ahead depth of 0 (*M1_L0*, *M2_L0*, *M3_L0*) consistently surpassed the baseline across all metrics. Specifically, *M2_L0* attained the highest Final Score of **3.402** (SD = 0.916), reflecting not only an improvement in overall performance but also enhanced stability compared to the baseline. While there were gains in the Working Alliance scores, these improvements were relatively modest, suggesting that

a look-ahead depth of 0 provides incremental benefits without substantial enhancements in all aspects of dialogue quality.

Look-Ahead Depth 3:
Models utilizing a look-ahead depth of 3 (*M1_L3*, *M2_L3*, *M3_L3*) demonstrated the most significant performance enhancements. Notably, *M3_L3* achieved the highest scores across all metrics:

- **Session Satisfaction (Q1):** 3.704 (SD = 1.026)
- **Working Alliance (Q2):** 3.581 (SD = 0.639)
- **Final Score:** 3.642 (SD = 0.788)

The reduced standard deviations, particularly in Q2, indicate greater consistency and reliability in performance. The substantial improvement in the Final Score underscores the effectiveness of incorporating a deeper look-ahead mechanism, enabling the agent to anticipate and navigate future conversational turns more adeptly. This strategic foresight likely contributes to more empathetic and goal-oriented interactions, aligning closely with the principles of Motivational Interviewing.

Comparative Insights:
Across both look-ahead depths, PTOF-enhanced models exhibit marked improvements over the baseline, with deeper look-ahead (depth 3) offering superior enhancements in both mean scores and consistency. The data suggests that while even minimal look-ahead strategies can enhance performance, a more extended look-ahead depth substantially amplifies these benefits, leading to more effective and stable dialogue outcomes.

Conclusion:
The preliminary results validate the efficacy of the Preference Tree Optimization Framework (PTOF) in refining goal-oriented dialogue systems. Specifically, models leveraging a look-ahead depth of 3 not only outperform the baseline but also demonstrate enhanced consistency and reliability, essential for specialized applications like Motivational Interviewing. These findings advocate for the integration of strategic foresight mechanisms in dialogue system training to achieve superior conversational quality and user satisfaction.

6.3 Graphical Representations

To gain deeper insights into the agent's performance, we present a series of graphical analyses that complement the quantitative results. These visualizations illustrate the distribution, comparison, and efficiency of different models under various configurations.

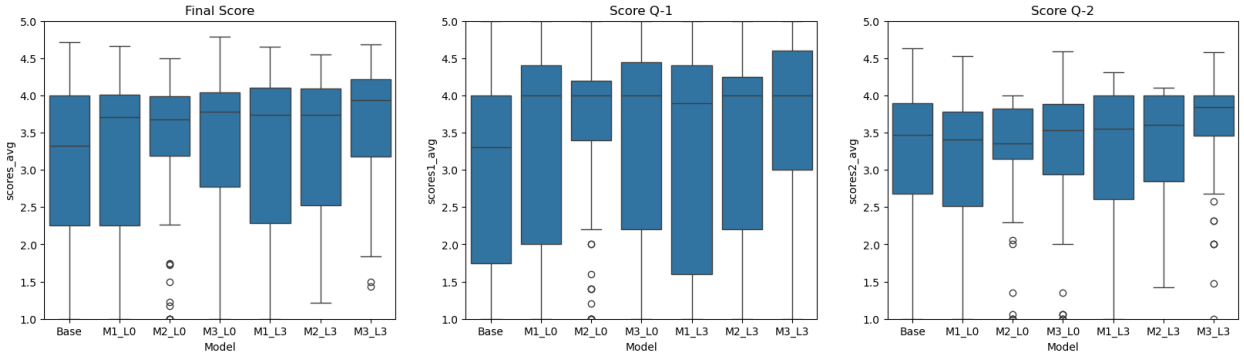

Figure 3: **Distribution of Performance Scores**
Boxplots showing the distribution of scores for **Questionnaire 1 (Session Satisfaction)**, **Questionnaire 2 (Working Alliance)**, and the **Final Score** across all evaluated models. These plots highlight the central tendency and variability of each metric, providing a comprehensive view of model performance.

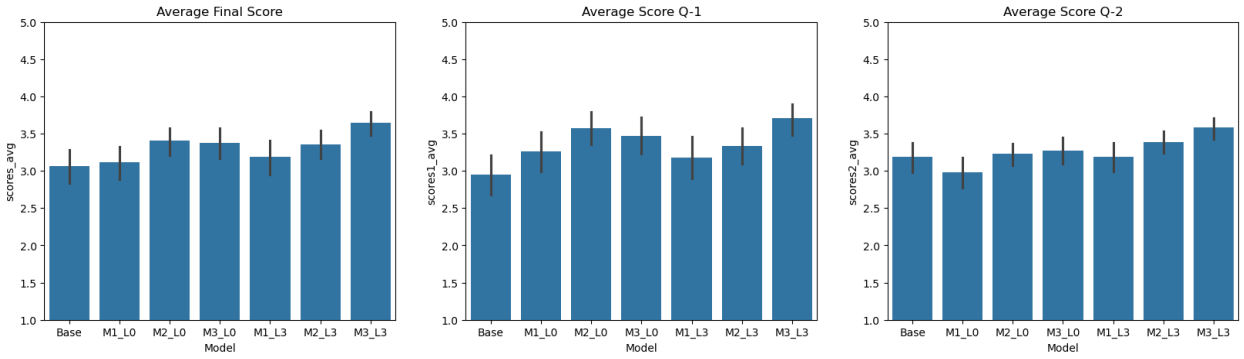

Figure 4: **Comparative Performance Analysis**
Bar charts comparing the average scores of **Questionnaire 1 (Session Satisfaction)**, **Questionnaire 2 (Working Alliance)**, and the **Final Score** between the *Baseline* model (*Llama-2-7B*) and the *PTOF-enhanced* models with different look-ahead depths. This comparison underscores the relative improvements achieved through the Preference Tree Optimization Framework.

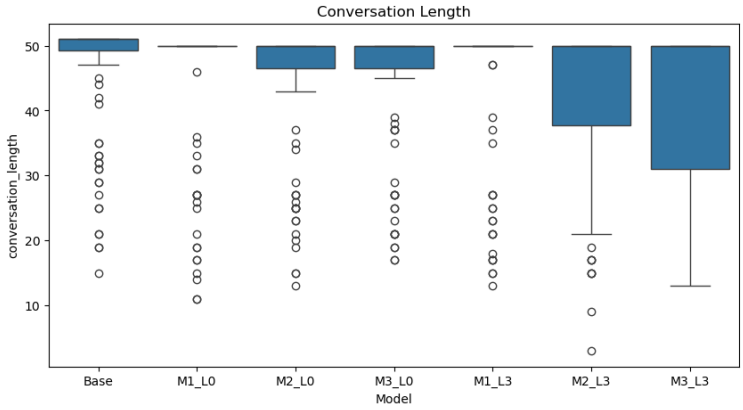

Figure 5: **Conversation Length Distribution**
Boxplot illustrating the distribution of conversation lengths across different models. This figure highlights the agent's ability to efficiently conclude conversations.

Figure 3 presents boxplots for each of the primary evaluation metrics across all models. The plots reveal not only the median performance but also the range and variability, indicating consistency and reliability.

Figure 4 offers a direct comparison between the baseline and PTOF-enhanced models. The bar charts clearly demonstrate the superiority of the PTOF-enhanced models, particularly those with a look-ahead depth of 3, across all metrics. This visual comparison reinforces the quantitative improvements discussed earlier.

Figure 5 focuses on the distribution of conversation lengths, providing insight into the agent's efficiency. Models with higher look-ahead depths not only achieve higher quality scores but also manage to conclude conversations more effectively, striking a balance between thoroughness and conciseness.

Overall, these graphical representations corroborate the quantitative findings, highlighting significant enhancements in both the quality and consistency of the agent's responses. The models leveraging a deeper look-ahead mechanism exhibit superior performance, emphasizing the benefits of strategic foresight in goal-oriented dialogue generation.

7 Expected Contributions

The expected contributions are:

1. **A Novel Preference Data Generation Method**: Introduce the Preference Tree with Look-Ahead as an effective way to generate rich preference data for goal-oriented dialogues.

2. **An Iterative Training Framework**: Demonstrate how iterative refinement using DPO can enhance agent models in specialized domains.

3. **Advancements in MI Dialogue Systems**: Improve the effectiveness of AI agents in MI conversations, with potential implications for mental health interventions.

8 Research Road-map

1. **Phase 1 (2 months)**: Develop the *Preference Tree with Look-Ahead* algorithm and validate its ability to generate meaningful preference data.

2. **Phase 2 (2 months)**: Implement the iterative training process using DPO and conduct initial experiments to assess improvements.

3. **Phase 3 (3 months)**: Perform extensive evaluations against baselines using the defined metrics, analyzing the agent's performance in MI dialogues.

4. **Phase 4 (3 months)**: Refine the models and methods based on findings, explore scalability, and prepare for potential real-world applications.

5. **Phase 5 (2 months)**: Publish results, share methodologies, and consider applications to other domains.

9 Discussion

The preliminary results underscore the effectiveness of the proposed Preference Tree Optimization Framework (PTOF) in advancing goal-oriented dialogue systems, particularly within the domain of Motivational Interviewing (MI). Models that utilized a look-ahead depth of 3 showed substantial improvements over both the baseline and models with zero look-ahead depth. This highlights the critical role of forward-looking simulations in enabling agents to make more strategic and empathetic conversational decisions. By simulating future conversational paths, these models demonstrated a deeper understanding of user needs and a more goal-oriented approach in dialogue management, essential for therapeutic interactions like MI.

A notable outcome is the significant reduction in performance variability for models with deeper look-ahead mechanisms. The decreased standard deviations indicate enhanced consistency, which is particularly important in counseling settings where reliable responses are vital to building trust and fostering client engagement. This suggests that incorporating look-ahead capabilities not only improves average performance but also ensures a steadier quality of interaction.

Moving forward, further research will explore extending the depth of look-ahead simulations and increasing the number of iterative training cycles to push the boundaries of agent performance. Additionally, integrating more sophisticated evaluation metrics will provide a deeper understanding of the agent's capabilities and its impact on the quality of interactions. These future steps aim to refine the framework further and assess its applicability in other specialized dialogue domains, beyond Motivational Interviewing.

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10 Appendix

10.1 Appendix A: Preference Tree with Look-Ahead Algorithm

Algorithm 2 Preference Tree with Look-Ahead

Require:
- Agent model A
- User model U
- Oracle evaluator O
- Maximum conversation length L
- Look-ahead depth K
- Number of agent response candidates N

Ensure: Preference dataset D

Initialize preference dataset: $D \leftarrow \emptyset$
Initialize conversation history: $C \leftarrow \emptyset$
Set initial context in C
while length of $C < L$ **do**
    Agent's Decision Point
    Generate N candidate responses: $R \leftarrow \{r_1, r_2, \ldots, r_N\}$
    Initialize list to store branch scores: $S \leftarrow \emptyset$
    for each response r_i in R **do**
        Initialize Branch
        Create a copy of current history: $C_i \leftarrow C$
        Append agent's response: $C_i \leftarrow C_i \cup \{r_i\}$
        Simulate Look-Ahead Steps
        Initialize step counter: $steps \leftarrow 0$
        Set next turn to User: $current\_turn \leftarrow$ User
        while $steps < K$ **and** not termination condition met **do**
            if $current\_turn =$ User **then**
                Generate user response: $u \leftarrow U(C_i)$
                Append user response: $C_i \leftarrow C_i \cup \{u\}$
                Switch turn to Agent: $current\_turn \leftarrow$ Agent
            else
                Generate agent response: $a \leftarrow A(C_i)$
                Append agent response: $C_i \leftarrow C_i \cup \{a\}$
                Switch turn to User: $current\_turn \leftarrow$ User
            end if
            Increment step counter: $steps \leftarrow steps + 1$
        end while
        Evaluate Branch
        Compute branch score: $s_i \leftarrow O(C_i)$
        Store score: $S \leftarrow S \cup \{s_i\}$
    end for
    Determine Preferences
    Identify index of preferred response: $w \leftarrow \arg\max(S)$
    Identify index of least preferred response: $l \leftarrow \arg\min(S)$
    Extract preferred and least preferred responses: $r_w \leftarrow R[w]$, $r_l \leftarrow R[l]$
    Record Preference Tuple
    Add to dataset: $D \leftarrow D \cup \{(C, r_w, r_l)\}$
    Update Conversation History
    Append preferred response: $C \leftarrow C \cup \{r_w\}$
    Generate user reply: $u \leftarrow U(C)$
    Append user reply: $C \leftarrow C \cup \{u\}$
    if termination condition met **then**
        Exit Loop
        break
    end if
end while
Return Preference dataset D

10.2 Appendix B: Evaluation Questionnaires for Therapist Performance

Table 2: The questions posed to the LLM for evaluating the performance of the therapist.

	Questionnaire 1 (session satisfaction)
Q1	Your overall satisfaction with the chat?
Q2	Your overall satisfaction with the content of the chat?
Q3	To what extent do you feel the chat facilitated motivation?
Q4	Did you learn anything?
Q5	To what extent was this learning relevant to your everyday life?
	Questionnaire 2 (working alliance)
Q1	The therapist gave me a sense of who it was.
Q2	The therapist revealed what it was thinking.
Q3	The therapist shared its feelings with me.
Q4	The therapist seemed to know how I was feeling.
Q5	The therapist seemed to understand me.
Q6	The therapist put itself in my shoes.
Q7	The therapist seemed comfortable talking with me.
Q8	The therapist seemed relaxed and secure when talking with me.
Q9	The therapist took charge of the conversation.
Q10	The therapist let me know when it was happy or sad.
Q11	The therapist didn't have difficulty finding words to express itself.
Q12	The therapist was able to express itself verbally.
Q13	I would describe the therapist as a "warm" communication partner.
Q14	The therapist did not judge me.
Q15	The therapist communicated with me as though we were equals.
Q16	The therapist made me feel like it cared about me.
Q17	The therapist made me feel close to it.