

Submission guidelines:

- Present complete, self-contained solutions.
- For proofs: use Fitch notation (numbered steps, subproofs, rule citations).
- For tableaux/resolution: show the full derivation tree.
- For semantic arguments: provide explicit interpretations and show evaluations.
- For counterexamples: give a concrete model and demonstrate the failure.
- For transformations: show all intermediate steps.

Problem 1: Natural deduction (Fitch proofs)

Construct a natural deduction proof for each sequent below. Use Fitch notation: numbered steps, proper subproof indentation, explicit rule citations.

Strategy hints:

- To prove $\alpha \rightarrow \beta$, use $\rightarrow i$: assume α , derive β , discharge.
- To prove $\neg\alpha$, use $\neg i$: assume α , derive \perp , discharge.
- To use $\alpha \vee \beta$, apply $\vee e$: derive the goal from each disjunct separately.
- For contradictions, $\perp e$ lets you derive anything; RAA lets you derive α from $\neg\alpha \vdash \perp$.

- (a) $A \rightarrow C, B \rightarrow C, A \vee B \vdash C$ (*disjunction elimination*)
- (b) $A \rightarrow B, A \rightarrow \neg B \vdash \neg A$ (*reductio ad absurdum*)
- (c) $\vdash (A \rightarrow B) \rightarrow ((\neg A \rightarrow \perp) \rightarrow B)$ (*nested implications*)
- (d) $\vdash P \vee \neg P$ (*law of excluded middle – derive it, don't assume it*)
- (e) $\vdash ((A \rightarrow B) \rightarrow A) \rightarrow A$ (*Peirce's law*)
- (f) $\neg A \rightarrow \neg B \vdash B \rightarrow A$ (*contrapositive, classical*)
- (g) $\vdash (A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$ (*distributivity of \rightarrow*)
- (h) $(A \rightarrow B), (\neg A \rightarrow B) \vdash B$ (*case analysis*)
- (i) $A \vee (B \rightarrow A) \vdash \neg A \rightarrow \neg B$ (*combining disjunction and negation*)
- (j) $\vdash (\neg\neg A \rightarrow A) \rightarrow ((A \rightarrow \neg\neg A) \rightarrow (A \leftrightarrow \neg\neg A))$ (*double negation equivalence*)

Problem 2: Semantics: validity, entailment, and countermodels

For each claim below, determine whether it holds.

- If **valid/entails**, justify with a brief semantic argument or a truth table.
- If **invalid**, give a concrete counterexample: an interpretation ν (specifying $\nu(p), \nu(q), \dots$) and show the evaluation that falsifies the claim.

- (a) $A \rightarrow B, B \rightarrow C \vDash A \rightarrow C$ (*transitivity*)
- (b) $A \vee B, \neg A \vDash B$ (*disjunctive syllogism*)
- (c) $A \rightarrow B \vDash \neg B \rightarrow \neg A$ (*contraposition*)
- (d) $A \rightarrow B \vDash B \rightarrow A$ (*converse – suspicious!*)

- (e) $\models (A \wedge B) \rightarrow A$ (*conjunction elimination*)
 (f) $\models ((A \rightarrow B) \wedge (A \rightarrow \neg B)) \rightarrow \neg A$ (*proof by contradiction*)
 (g) $A \leftrightarrow B, B \leftrightarrow C \models A \leftrightarrow C$ (*equivalence transitivity*)
 (h) $\models (A \rightarrow B) \vee (B \rightarrow A)$ (*linearity of implication — tricky!*)

Problem 3: Normal forms and Tseitin transformation

SAT solvers operate on CNF. This problem practices the two main conversion strategies: direct transformation (via distributivity) and Tseitin encoding (equisatisfiability with fresh variables).

- (a) **Direct CNF conversion.** Convert $(\neg A \vee B) \wedge (\neg B \vee C) \rightarrow (\neg A \vee C)$ to CNF by:
- Eliminating \rightarrow (rewrite using \neg, \vee).
 - Pushing negations to atoms (De Morgan, double negation).
 - Distributing \vee over \wedge to obtain CNF.
 - State whether the result is satisfiable (give a model or show unsatisfiability).
- (b) **Tseitin transformation.** Convert $(P_1 \leftrightarrow P_2) \leftrightarrow (P_3 \leftrightarrow P_4)$ to *equisatisfiable* CNF.
- Introduce fresh variable N_i for each complex subformula α .
 - Write definitional clauses $N_i \leftrightarrow \alpha$.
 - Convert each \leftrightarrow definition to CNF.
 - Write the final clausal form.
 - **Compare:** How many clauses does your Tseitin encoding have? How many would the *direct* distributive CNF have?
- (c) **NNF without CNF.** Convert $\neg((A \rightarrow B) \wedge (C \vee \neg D))$ to Negation Normal Form (NNF) — negations only on atoms, but *no* requirement for CNF, *i.e.* do not distribute.

Problem 4: Proof system comparison: Tableaux and Resolution

Both semantic tableaux and resolution are *refutation systems*: to prove $\Gamma \models \alpha$, they attempt to derive a contradiction from $\Gamma \cup \{\neg \alpha\}$.

- (a) **Tableaux.** Use the semantic tableaux method to prove:

$$\models (P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$$

Negate the formula, apply decomposition rules (α -rules extend, β -rules branch), and show that all branches close. If you find an open branch, give the countermodel it represents.

- (b) **Resolution.** Use resolution refutation to prove:

$$\{P \vee Q, \neg P \vee R, \neg Q \vee R\} \models R$$

Convert $\Gamma \cup \{\neg r\}$ to CNF (one clause per formula), then derive the empty clause \square by repeated resolution steps. Annotate each resolvent with the parent clauses and the pivot literal.

- (c) **Comparison.** For the formula $(A \rightarrow B) \wedge (B \rightarrow C) \wedge A \wedge \neg C$:
- Show it is *unsatisfiable* using tableaux (exhibit the closed tree).
 - Show it is *unsatisfiable* using resolution (derive \square).
 - Which method required fewer steps? Briefly explain why.

Problem 5: Modeling with propositional logic

Consider a simplified access control system with four propositional atoms:

- P : production mode is active
- D : debug mode is active
- L : verbose logging is enabled
- S : strict security checks are enforced

The system specification states:

1. Production mode requires strict security.
 2. Debug mode requires verbose logging.
 3. Strict security is incompatible with debug mode.
 4. The system is always in exactly one mode: production or debug.
 5. If logging is disabled, the system cannot be in debug mode.
- (a) Express the specification as a single formula Φ_{spec} (a conjunction of the five constraints).
- (b) Determine whether $\Phi_{\text{spec}} \models (P \rightarrow \neg D)$. Justify or provide a countermodel.
- (c) Determine whether $\Phi_{\text{spec}} \models (\neg L \rightarrow \neg P)$. Justify or provide a countermodel.
- (d) Show that Φ_{spec} is satisfiable by giving *two* distinct models (interpretations satisfying all constraints). What are the only two valid system configurations?

Problem 6: First-order logic and metatheory

This problem explores quantifiers, structures, and fundamental limitations of FOL.

- (a) **Formalization.** Express the following statements in first-order logic over the signature $\{E(\cdot, \cdot), \cdot \leq \cdot\}$ (where $E(X, Y)$ means “ X is an edge to Y ” and \leq is a partial order on vertices):
- Every vertex has at most one outgoing edge.
 - There exists a vertex from which all other vertices are reachable (directly or transitively).
 - The graph is acyclic.
- (b) **Quantifier equivalences.** Determine whether the following are *logically valid* (true in all structures). If valid, justify; if not, give a countermodel.
- $\exists X. \forall Y. R(X, Y) \vdash \forall Y. \exists X. R(X, Y)$
 - $\forall Y. \exists X. R(X, Y) \vdash \exists X. \forall Y. R(X, Y)$
- (c) **Prenex Normal Form.** Convert to prenex normal form (all quantifiers at the front):

$$(\forall x. P(x)) \rightarrow (\exists y. Q(y))$$

Hint: Rename bound variables to avoid capture (note: variables x, y are bound), then move quantifiers outward.

(d) **Natural deduction in FOL.** Prove:

$$\forall x. (P(x) \rightarrow Q(x)), \forall x. P(x) \vdash \forall x. Q(x)$$

Use Fitch notation with quantifier rules. Recall: $\forall e$ instantiates to any term; $\forall i$ requires an arbitrary (fresh) variable.

- (e) **Compactness and infinity.** Let $\Gamma = \{\exists x. x \neq c\} \cup \{\exists x \exists y. (x \neq y \wedge x \neq c \wedge y \neq c)\} \cup \dots$ (*i.e.*, “there are at least n elements distinct from c ” for every $n \in \mathbb{N}$).
- Show that every *finite* subset $\Gamma_0 \subseteq \Gamma$ is satisfiable.
 - By compactness, what does this imply about Γ itself?
 - Conclude: “the domain is infinite” can be expressed by an infinite set of FOL sentences, but not by a *single* sentence (or any finite set). Why?

Problem 7: Programming Challenge – Logic in Practice

Implementation context:

This is a *programming project* where you implement core logic concepts from scratch and explore their real-world applications. Choose your language: Python, Rust, OCaml, Haskell, or any language with algebraic data types. For formal verification tasks, use Lean 4 or Coq.

Submission: Code repository (GitHub/GitLab) with README explaining your design choices, plus a brief report (2-3 pages) documenting what you implemented, challenges encountered, and insights gained.

Grading: Core tasks (50%), code quality & documentation (30%), extensions (20%).

Part A: Formula Engine (Core Implementation)

Build a *propositional logic toolkit* from the ground up.

Task A.1: Abstract Syntax Tree

Design and implement an AST representation for propositional formulas.

Required:

- Data type/class for formulas: atoms (p, q, \dots), \neg , \wedge , \vee , \rightarrow , \leftrightarrow , constants (\top, \perp)
- Constructor functions or smart constructors
- Structural equality and hashing (for sets/maps)

Example (Rust):

```
enum Formula {
    Atom(String),
    Not(Box<Formula>),
    And(Box<Formula>, Box<Formula>),
    // ... complete the rest
}
```

Example (Python):

```
@dataclass(frozen=True)
class Atom:
    name: str

@dataclass(frozen=True)
class Not:
    operand: Formula
    # ... complete using Union or inheritance
```

Open question: Should \rightarrow and \leftrightarrow be primitive or derived? Justify your choice.

Task A.2: Pretty Printer and Parser**Required:**

- `to_string(formula)`: Convert AST to human-readable string with minimal parentheses. Use precedence: $\neg > \wedge > \vee > \rightarrow > \leftrightarrow$
- Handle associativity correctly

Optional:

- Parser: `parse(string) → AST`. Use a parser combinator library (e.g., pyparsing, nom, parsec) or write a recursive descent parser.
- Round-trip test: `parse(to_string(f)) == f`

Test case:

`((p ∧ q) → r) ∨ (¬p ∧ s)` should print with minimal parens

Task A.3: Evaluator and Truth Tables**Required:**

- `eval(formula, interpretation)`: Evaluate formula under a given variable assignment.
- `truth_table(formula)`: Generate complete truth table as a list/table of (`valuation`, `result`) pairs.
- `is_tautology(formula)`, `is_satisfiable(formula)`, `is_contradiction(formula)`

Output format:

p	q	r	$(p \wedge q) \rightarrow r$
---	---	---	-----
T	T	T	T
T	T	F	F
...			

Open task: Implement *early termination*: stop generating the truth table for `is_satisfiable` as soon as you find one satisfying assignment.

Task A.4: Normal Forms**Required:**

- `to_nnf(formula)`: Convert to Negation Normal Form (push negations to atoms).
- `to_cnf(formula)`: Convert to Conjunctive Normal Form using distributivity or Tseitin transformation.

Open choice: Should `to_cnf` always use Tseitin (polynomial blowup) or try direct conversion first (exponential worst case, but often smaller)? Implement both and compare.

Test: Verify that your CNF conversion preserves satisfiability (or equivalence, if not using Tseitin).

Task A.5: Equivalence and Properties**Required:**

- `equivalent(f1, f2)`: Check logical equivalence using truth tables or SAT solving.
- Implement and test De Morgan's laws:
 - $\neg(p \wedge q) \equiv (\neg p) \vee (\neg q)$
 - $\neg(p \vee q) \equiv (\neg p) \wedge (\neg q)$
- Test distributivity: $p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$

Extension:

- Implement a *random formula generator* for property-based testing.
- Use it to test commutativity, associativity, absorption laws.

Part B: Proof Systems (Advanced Implementation)

Implement proof checking and (optionally) proof search.

Task B.1: Fitch Proof Representation**Required:**

- Data structure for Fitch proofs: list of steps, each with:
 - Line number
 - Formula
 - Justification (rule name + references to earlier lines)
 - Indentation level (for subproofs)

Example structure (pseudocode):

```
Step = {
    line: int,
    formula: Formula,
    rule: Rule,
    references: List[int],
```

```

    level: int, // subproof depth
}

```

Open design question: How do you represent assumptions vs. derived steps? How do you track subproof scope?

Task B.2: Proof Checker

Required: Implement a checker that validates Fitch proofs step-by-step. Support at minimum:

- Premise (assumption at depth 0)
- Assumption (start subproof, increase depth)
- $\wedge i$, $\wedge e$, v_i , v_e , $\rightarrow i$, $\rightarrow e$, $\neg i$, $\neg e$, $\perp e$
- Reiteration (repeat earlier line from valid scope)

Checker requirements:

- Verify each step references valid earlier lines
- Check subproof scoping (can only reference lines from current or outer scopes)
- Verify rule applications are correct
- Report specific errors with line numbers

Test case: Validate the proof from Problem 1(a) in HW1.

Extension:

- Add RAA (reductio ad absurdum) and LEM (law of excluded middle)
- Support FOL quantifier rules ($\forall i/e$, $\exists i/e$) with eigenvariable checking

Task B.3: Automated Proof Search (Optional)

Challenge: Implement a simple automated prover.

Approach 1 (Semantic Tableaux):

- Implement a tableau prover: try to build a countermodel by systematic case analysis.
- If all branches close, the formula is a tautology.

Approach 2 (Resolution):

- Convert to CNF, apply resolution until deriving \square or saturating.

Approach 3 (Sequent Calculus):

- Bottom-up proof search in sequent calculus.

Open exploration: Which approach finds proofs fastest for the examples in Problem 1? Can you find formulas where one method outperforms the others dramatically?

Part C: Real-World Applications

Connect theory to practical software engineering.

Task C.1: Configuration Validation

Scenario: You're building a deployment system with configuration constraints (like Problem 5: production mode, debug mode, logging, security).

Implementation:

- Define a configuration schema as propositional formulas (constraints).
- Implement `validate_config(constraints, config)`: check if a configuration satisfies all constraints.
- Implement `find_valid_configs(constraints)`: enumerate *all* valid configurations using your SAT solver or truth table generator.
- Implement `explain_conflict(constraints, config)`: if a config is invalid, report which constraints are violated and suggest fixes.

Test: Use the access control system from Problem 5.

Extension:

- Minimal correction: given an invalid config, find the *smallest* set of variables to flip to make it valid.

Task C.2: SMT Solver Integration

Tool: Use Z3 (Python/C++/Java bindings) or CVC5.

Task:

- Translate your propositional formulas to SMT-LIB or use the API.
- Solve satisfiability: `z3_solve(formula)` → SAT/UNSAT + model.
- Compare performance with your truth table implementation on large formulas (100+ variables).

Research direction:

- Generate random 3-SAT instances with varying clause/variable ratios.
- Plot satisfiability probability vs. ratio. Observe the *phase transition* around ratio ≈ 4.26.
- Document your findings.

Task C.3: Symbolic Execution (Bonus)

Challenge: Implement a *toy symbolic executor* for a simple imperative language.

Language (example):

```
x := E      // assignment
if B then S1 else S2
assert(B)    // fails if B is false
while B do S // bounded unrolling
```

Symbolic execution:

- Execute with *symbolic* inputs (variables, not concrete values).
- Track path condition (formula representing choices made).
- At `assert(B)`, check if `path_condition ∧ ¬B` is satisfiable:
 - If SAT → assertion can fail (counterexample).
 - If UNSAT → assertion always holds on this path.

Deliverable:

- Implement symbolic executor for assertion checking.
- Test on 2-3 small programs (e.g., array bounds check, login validation).

Open question: How do you handle loops? (Bounded unrolling? Loop invariants?)

Task C.4: Type Checking as Logic (Bonus)

Insight: Type systems are logical systems (Curry-Howard correspondence).

Task:

- Design a simple typed lambda calculus or a subset of a real language (e.g., Simply Typed Lambda Calculus with booleans and integers).
- Encode typing judgments $\Gamma \vdash e : \tau$ as logical formulas or inference rules.
- Implement a type checker using your proof representation from Part B.
- Show that type checking \equiv proof search in a specific logic.

Extension:

- Implement in Lean or Coq: prove type safety (progress + preservation theorems).
- Compare the formal proof to your implementation.

Part D: Formal Verification Track (Optional)

Use Lean 4 or Coq to *prove properties* about your implementations.

Task D.1: Verified Evaluator (Lean/Coq)**Task:**

- Define propositional formulas in Lean/Coq as an inductive type.
- Implement `eval : Formula → Valuation → Bool`.
- **Prove:** eval is deterministic: $\forall f v, \text{eval } f v = \text{eval } f v$ (trivial, warmup).
- **Prove:** Double negation: $\forall f v, \text{eval } (\neg\neg f) v = \text{eval } f v$.
- **Prove:** De Morgan: $\forall f g v, \text{eval } (\neg(f \wedge g)) v = \text{eval } (\neg f \vee \neg g) v$.

Resources:

- Lean 4: Theorem Proving in Lean
- Coq: Software Foundations (Vol. 1, *Logical Foundations*)

Task D.2: Verified CNF Conversion (Lean/Coq)**Challenge:**

- Implement NNF conversion in Lean/Coq.
- **Prove correctness:** $\forall f, \text{equivalent } f (\text{to_nnf } f)$ where $\text{equivalent } f g := \forall v, \text{eval } f v = \text{eval } g v$.
- Prove termination (structural recursion or well-founded relation).

Extension:

- Prove Tseitin transformation preserves *satisfiability* (not equivalence).

Task D.3: Soundness of Proof Checker (Lean/Coq)**Advanced challenge:**

- Formalize Fitch-style natural deduction in Lean/Coq.
- Implement proof checking.
- **Prove soundness:** If $\text{check_proof } \Gamma \phi \text{ proof} = \text{true}$, then $\Gamma \vdash \phi$ (semantic entailment).

This is research-level work – partial results are valuable. Document your approach and any obstacles.