

# COLX-531: Neural Machine Translation

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## Road Map

- Translate a sentence by naively translating its words (**generative modeling**)
- Assume **bilingual dictionary**: Given a word in a **foreign language** ( $f$ ), what are possible **English glosses** ( $e$ )?
- **Problem**: Each foreign word can have multiple English equivalents.  
**Solution**: Choose the most likely based on *parallel corpus* stats
- **Other Problems**:
  - How to **order** words in target (English)? (**Note**: Some words should move together)
  - One word can translate into many words (**one-to-many**)
  - Many words can translate into only one word (**many-to-one**)
  - **Null-to-one/One-to-null**
  - ...

# IBM Model 1: Lexical Translation

## IBM Model 1

- Dictionary look-up: Haus — house, building, home, household, shell
- Multiple translations
  - some *more frequent* than others
  - e.g., house, and building most common
  - special cases: Haus of a snail is its shell

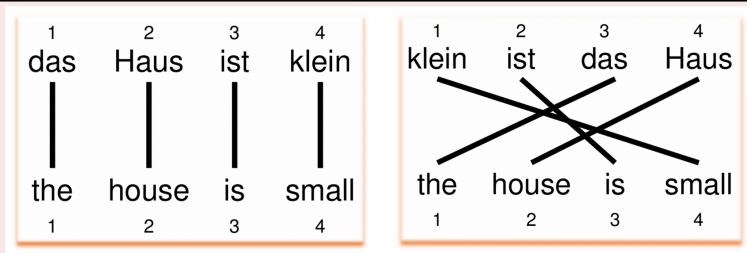
## Collect Stats (Parallel Corpus) & Estimate Trans Probs (MLE)

Translation of Haus	Count
house	8,000
building	1,600
home	200
household	150
shell	50

$$p_f(e) = \begin{cases} 0.8 & \text{if } e = \text{house,} \\ 0.16 & \text{if } e = \text{building,} \\ 0.02 & \text{if } e = \text{home,} \\ 0.015 & \text{if } e = \text{household,} \\ 0.005 & \text{if } e = \text{shell.} \end{cases}$$

# Word Alignment & Reordering

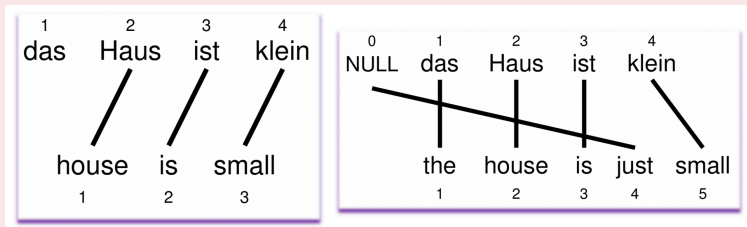
## Alignment & Reordering



**Figure:** We **align** words in one language with the words in the other (**left**). For translation, words may be **reordered** using an **alignment function**  $\alpha$  (**right**).

# Word Dropping & Inserting

## Dropping & Inserting



**Figure:** Words may be **dropped** during translation (German article **das** is dropped) (**left**), or **inserted** (English **just** does not have an equivalent in German, and so we map it to a **NULL** token)

# IBM Model 1

## IBM Model 1

- Generative model: break up translation process into smaller steps
  - IBM Model 1 only uses lexical translation
- Translation probability
  - for a foreign sentence  $\mathbf{f} = (f_1, \dots, f_{l_f})$  of length  $l_f$
  - to an English sentence  $\mathbf{e} = (e_1, \dots, e_{l_e})$  of length  $l_e$
  - with an alignment of each English word  $e_j$  to a foreign word  $f_i$  according to the alignment function  $a : j \rightarrow i$

$$p(\mathbf{e}, a | \mathbf{f}) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j | f_{a(j)})$$

- parameter  $\epsilon$  is a normalization constant

Figure: (From Philipp Kohnen)

# IBM Model 1 *Contd.*

## Example

das		Haus		ist		klein	
$e$	$t(e f)$	$e$	$t(e f)$	$e$	$t(e f)$	$e$	$t(e f)$
the	0.7	house	0.8	is	0.8	small	0.4
that	0.15	building	0.16	's	0.16	little	0.4
which	0.075	home	0.02	exists	0.02	short	0.1
who	0.05	household	0.015	has	0.015	minor	0.06
this	0.025	shell	0.005	are	0.005	petty	0.04

$$\begin{aligned}p(e, a|f) &= \frac{\epsilon}{4^3} \times t(\text{the}|\text{das}) \times t(\text{house}|\text{Haus}) \times t(\text{is}|\text{ist}) \times t(\text{small}|\text{klein}) \\&= \frac{\epsilon}{4^3} \times 0.7 \times 0.8 \times 0.8 \times 0.4 \\&= 0.0028\epsilon\end{aligned}$$

Figure: (From Philipp Kohen)



## IBM Models

- Designed for **word-level translation**

Model	Function
IBM Model 1	lexical translation
IBM Model 2	adds absolute reordering model
IBM Model 3	adds fertility model
IBM Model 4	relative reordering model
IBM Model 5	fixes deficiency

# Noisy Channel

## Noisy Channel

- What is noisy channel? Watch ([this](#)).
- Originates in **acoustics** and **information theory**

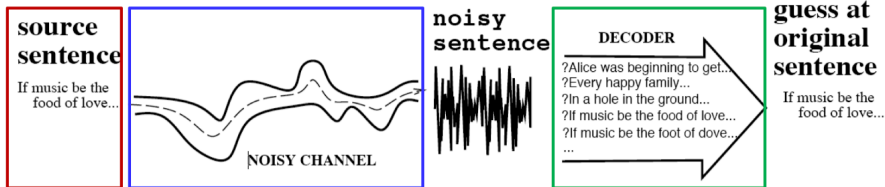
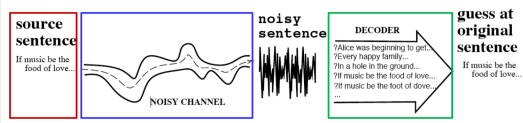


Figure: Noisy channel. (From Dan Klein)

# Noisy Channel *Contd.*

## Noisy Channel: Two Models



- Assume foreign sentence (message) was English (where we have a LM), but **distorted in noisy channel**.  $P(\text{Source}) = P(\text{LM})$
- **Goal: Restore message (in Eng)**.  $P(\text{Received}|\text{Source}) = P(e|f)$

Speech	MT
Source	Target (Eng) $P(\text{LM})$
Noisy Channel Model	SMT Model
Receiver (Distorted Message)	Input (foreign sent) $P(e f)$

# Noisy Channel *Contd.*

## Noisy Channel: Two Models



- Use Bayes' rule to decompose  $P(e|f)$  into:
  - Translation Model:  $P(f|e) * P(e)$
  - Language Model:  $P(e)$

### 1: New Model

$$\operatorname{argmax} P(e|f) = \operatorname{argmax} \frac{P(f|e) * P(e)}{P(e)} = \operatorname{argmax} P(f|e) * P(e).$$

# Updated MT Model

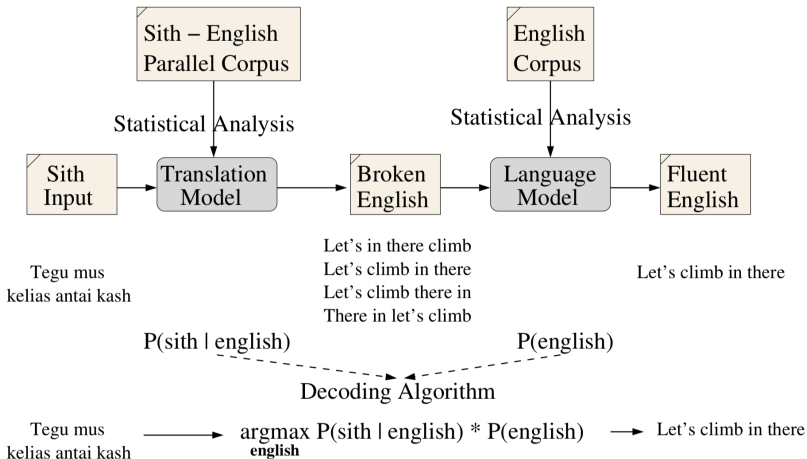


Figure: (From Fabienne Cap)

**Translation Model:** prefers **adequate** translations

- $P(\text{Tegu mus kalias antai kash} \mid \text{Let's climb in there}) >$
- $P(\text{Tegu mus kellias antai kash} \mid \text{Let's climb in **here**}) >$
- $P(\text{Tegu mus kalias antai kash} \mid \text{Let's **clamber** in there})$

**Language Model:** prefers grammatical/**fluent** sequences

- $P(\text{Let's climb in there}) > P(\text{Let's there climb in})$

Figure: (From Fabienne Cap)

# Continuous Word Representations Across Languages

## Background

- **Distributional hypothesis (Harris, 1954):** Words occurring in similar contexts tend to have similar meanings
- Exploited in **Word2vec** (Mikolov et al., 2013c;a) and **GloVe** (Pennington et al., 2014); and **FastText** (Bojanowski et al., 2017)
- **Exciting discovery!:** Continuous word embedding spaces **exhibit similar structures across languages**, even when considering distant language pairs like English and Vietnamese (Mikolov et al., 2013b)
- Mikolov et al. (2013b) use a **linear mapping from a source to a target embedding space** with a **parallel vocabulary of 5K words as anchor points** to learn this mapping
- Mikolov et al. (2013b) **evaluate on a word translation task**

# Supervised Learning of XL Word Embeddings

## Studies Relying on Bilingual Word Lexica

- Faruqui & Dyer (2014); Xing et al. (2015); Lazaridou et al. (2015); Ammar et al. (2016); Artetxe et al. (2016); Smith et al. (2017)

## Reducing Reliance on Bilingual Lexica

- **Using identical character strings** to form a parallel vocabulary (Smith et al., 2017)
- **Using aligned digits** to gradually align embedding spaces (Artetxe et al., 2017)
- Mostly limited to **similar languages sharing a common alphabet**, such as European languages.



# Unsupervised, But Less Successful!

## Unsupervised

- Using a distribution-based approach (Cao et al., 2016)
- Using adversarial training (Zhang et al., 2017b)
- Both are **less successful than supervised methods**
- Conneau et al., 2018: **(On par with supervised methods!)**
  - ① adversarial training
  - ② synthetic parallel vocabulary
  - ③ cross-domain similarity local scaling (CSLS)
- With two sets of embeddings trained independently on monolingual data
- Learn a mapping between the two sets such that **translations are close in the shared space**

# Learning a Mapping $W$ Between $S$ & $T$

## Finding in Mikolov et al. (2013b)

- Let  $\mathcal{X} = \{x_1, \dots, x_n\}$  and  $\mathcal{Y} = \{y_1, \dots, y_m\}$  be two sets of  $n$  and  $m$  word embeddings coming from a **source** and a **target** language
- We can exploit similarities of monolingual embedding spaces to learn a mapping  $W$  between source and target space.
- P.S. They use a dict of  $n = 5000$  pairs of words  $\{x_i, y_i\}_{i \in \{1, n\}}$  to learn a **linear mapping** such that: (see next slide)

## 2: Main Loss

$$W^* = \underset{W \in M_d(\mathbb{R})}{\operatorname{argmin}} \|WX - Y\|_F$$

### Where:

- $d$ : dimension of the embeddings
- $M_d(\mathbb{R})$ : space of  $d \times d$  matrices of real numbers
- $X$  and  $Y$ : aligned matrices of  $d \times n$  with embeddings of the words in parallel vocab
- **Translation  $t$  of any source word  $s$  defined as:**  
 $t = \operatorname{argmax}_t \cos(Wx_s, y_t).$

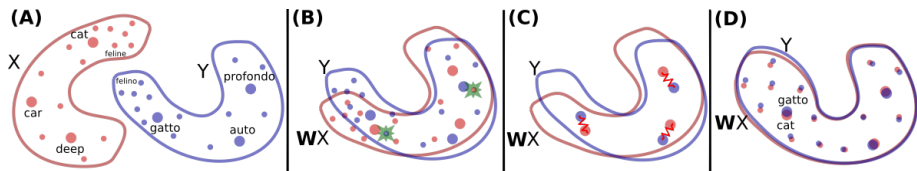
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## WORD TRANSLATION WITHOUT PARALLEL DATA

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### ABSTRACT

State-of-the-art methods for learning cross-lingual word embeddings have relied on bilingual dictionaries or parallel corpora. Recent studies showed that the need for parallel data supervision can be alleviated with character-level information. While these methods showed encouraging results, they are not on par with their supervised counterparts and are limited to pairs of languages sharing a common alphabet. In this work, we show that we can build a bilingual dictionary between two languages without using any parallel corpora, by aligning monolingual word embedding spaces in an unsupervised way. Without using any character information, our model even outperforms existing supervised methods on cross-lingual tasks for some language pairs. Our experiments demonstrate that our method works very well also for distant language pairs, like English-Russian or English-Chinese. We finally describe experiments on the English-Esperanto low-resource language pair, on which there only exists a limited amount of parallel data, to show the potential impact of our method in fully unsupervised machine translation. Our code, embeddings and dictionaries are publicly available<sup>1</sup>.



**Figure:** **(A)** English words in red denoted by  $X$  and Italian words in blue denoted by  $Y$ . Size of each word dot represents freq in train. **(B)** Use adversarial learning to learn a rotation matrix  $W$  which roughly aligns the two distributions. The green stars are randomly selected words fed to the discriminator to determine whether their embeddings come from the same distribution. **(C)** The mapping  $W$  is further refined via Procrustes method. **(D)** Finally, translate by using the mapping  $W$  and a distance metric that expands the space where there is high density of points (like the area around the word “cat”), so that “hubs” (like the word “cat”) become less close to other word vectors than they would otherwise (compare to the same region in panel A).

# Domain-adversarial Approach

## Learning a Mapping $W$ Between $S$ & $T$ Space

- They use **Deep Adversarial Networks**
- **Discriminator:** Trained to discriminate between elements randomly sampled from  $W\mathcal{X} = \{Wx_1, \dots, Wx_n\}$  and  $\mathcal{Y}$ .
- **Mapping  $W$ :**  $W$  trained to prevent the discriminator from making accurate predictions (**Recall Generator**)
- **A two-player game:** Discriminator aims at maximizing its ability to identify the origin of an embedding, and  $W$  aims at preventing the discriminator from doing so by making  $W\mathcal{X}$  and  $\mathcal{Y}$  as *similar* as possible

## Discriminator Objective

They consider discriminator parameters to be  $\theta_D$ , and the probability  $P_{\theta_D}(\text{source} = 1|z)$  that a vector  $z$  is the mapping of a source embedding (as opposed to a target embedding) according to the discriminator.

## 3: Discriminator Loss

$$\mathcal{L}_D(\theta_D|W) = -\frac{1}{n} \sum_{i=1}^n \log P_{\theta_D}(\text{source} = 1|Wx_i) - \frac{1}{m} \sum_{i=1}^m \log P_{\theta_D}(\text{source} = 0|y_i).$$

## Mapping Objective

In the unsupervised setting,  $W$  is now trained so that the discriminator is unable to accurately predict the embedding origins:

### 4: Mapping Loss

$$\mathcal{L}_W(W|\theta_D) = -\frac{1}{n} \sum_{i=1}^n \log P_{\theta_D}(\text{source} = 0 | Wx_i) - \frac{1}{m} \sum_{i=1}^m \log P_{\theta_D}(\text{source} = 1 | y_i).$$



## Refining Mapping $W$

- Adversarial approach tries to align all words **irrespective of their frequencies**
- **Rare words** are updated less frequently, and occur in different contexts in each corpus. (harder to align)
- **Solution:** Use most freq words to acquire **synthetic parallel vocab** using  $W$  just learned with adversarial training
- They **retain only mutual nearest neighbors**, to ensure a high-quality dictionary
- Apply the **Procrustes algorithm** on dict and possibly repeat
- Procrustes offers a **closed form solution** obtained from the singular value decomposition (SVD) of  $YX^T$  (see paper)

**Hubness Problem:** Points tending to be nearest neighbors of many points in high-dimensional spaces

- Need to **improve comparison metric** such that **the nearest neighbor of a source word, in the target language, is more likely to have as a nearest neighbor this particular source word**
- **Problem:** Nearest neighbors are **asymmetric**:  $y$  being a  $K$ -NN of  $x$  does not imply that  $x$  is a  $K$ -NN of  $y$ .
- Some vectors, dubbed **hubs**, are with high probability nearest neighbors of many other points, while others (**anti-hubs**) are not nearest neighbors of any point.

## Bi-partite Neighborhood Graph

- They consider a **bi-partite neighborhood graph** where each word of a given dictionary is connected to its  $K$  nearest neighbors in the other language.
- $\mathcal{N}_T(W_{x_s})$ : The neighborhood, on the bi-partite graph, associated with a mapped source word embedding  $W_{x_s}$ .
- All  $K$  elements of  $\mathcal{N}_T(W_{x_s})$  are words from the target language.
- Similarly,  $\mathcal{N}_S(y_t)$  is the neighborhood associated with a word  $t$  of the target language.

# Mean Similarity of Source Embedding

## 5: Mean similarity of source embedding $x_s$ to its target neighborhood

$$r_T(Wx_s) = \frac{1}{K} \sum_{y_t \in \mathcal{N}_T(Wx_s)} \cos(Wx_s, y_t)$$

Likewise  $r_S(y_t)$  is the mean similarity of a target word  $y_t$  to its neighborhood.

## Compute Mean Similarities

- Compute MS quantities for all source and target word vectors with their neighbors, and use them to define a similarity measure  $CSLS(.,.)$  between mapped source words and target words as:  
 $CSLS(Wx_s, y_t) = 2 \cos(Wx_s, y_t) - r_T(Wx_s) - r_S(y_t)$

## Compute Mean Similarities

- The CSLS update **increases** the similarity associated with isolated word vectors
- Conversely, it **decreases** the ones of vectors lying in dense areas
- CSLS **significantly increases** the accuracy for word translation **retrieval**, while not requiring any parameter tuning