

# AI Development Workflow Assignment

## Part 1: Short Answer Questions

### 1. Problem Definition

- Hypothetical Problem: Predicting breast cancer malignancy from diagnostic features (e.g., tumour size, cell morphology).
  - Objectives:
    1. Achieve  $\geq 90\%$  F1-score in malignancy classification.
    2. Reduce false negatives to  $< 5\%$  (critical to avoid missed diagnoses).
    3. Provide interpretable predictions for clinician trust.
  - Stakeholders: Patients, Oncologists.
  - KPI: F1-score (balances precision/recall, crucial for medical decisions).
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### 2. Data Collection & Preprocessing

- Data Sources:
    1. Kaggle breast cancer dataset (features like tumor\_radius, texture).
    2. Hospital biopsy reports (augmenting with patient history).
  - Potential Bias: Underrepresentation of rare subtypes (e.g., triple-negative breast cancer in minority populations), leading to poor generalisation.
  - Preprocessing Steps:
    1. Impute missing values (e.g., median imputation for mitosis counts).
    2. Normalise features (e.g., scale cell\_area to  $[0,1]$  via Min-Max).
    3. Balance classes (SMOTE oversampling for minority malignant cases).
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### 3. Model Development

- Model Choice: Random Forest (justification: handles high-dimensional data, robust to outliers, provides feature importance for clinical interpretability).
  - Data Splitting: 70% training, 15% validation (hyperparameter tuning), 15% test (final evaluation). Stratified sampling to preserve class ratios.
  - Hyperparameters:
    1. n\_estimators (optimise tree count to balance accuracy/compute time).
    2. max\_depth (prevent overfitting by limiting tree complexity).
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### 4. Evaluation & Deployment

- Evaluation Metrics:
  1. F1-score: Prioritises both false positives (unnecessary stress) and false negatives (missed cancer).
  2. AUC-ROC: Measures the separability of malignant/benign classes across thresholds.
- Concept Drift: When data distributions shift post-deployment (e.g., new imaging technology). Monitoring: Track F1-score weekly; trigger retraining if performance drops  $> 5\%$ .

- Technical Challenge: Scalability for real-time predictions. Solution: Containerize model with Docker; deploy on cloud GPUs.
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## Part 2: Case Study Application

### Problem Scope

- Problem: Predict 30-day hospital readmission risk.
- Objectives:
  1. Identify high-risk patients for proactive care.
  2. Reduce readmissions by  $\geq 20\%$ .
  3. Minimise false negatives (high-risk patients missed).
- Stakeholders: Patients, Clinicians, Hospital Administrators.

### Data Strategy

- Data Sources:
  1. EHRs (lab results, medications).
  2. Socioeconomic data (e.g., ZIP code  $\rightarrow$  access to care).
- Ethical Concerns:
  1. Privacy: Anonymise data (HIPAA compliance).
  2. Bias: Overrepresentation of affluent patients  $\rightarrow$  underdiagnosis in low-income groups.
- Preprocessing Pipeline:
  1. Clean missing lab values (KNN imputation).
  2. Encode categorical variables (e.g., diagnosis\_code  $\rightarrow$  one-hot).
  3. Feature Engineering:
    - Create comorbidity\_score (sum of chronic conditions).
    - Calculate medication\_adherence (prescriptions vs. refills).

### Model Development

- Model Choice: Random Forest (handles mixed data types; explains risk factors via feature importance).
- Confusion Matrix (Hypothetical 1,000 patients):

	Predicted: No	Predicted: Yes
Actual: No	700 (TN)	50 (FP)
Actual: Yes	80 (FN)	170 (TP)

○

$$\text{Precision} = \text{TP} / (\text{TP} + \text{FP}) = 170 / (170 + 50) = 77.3\%$$

○  $\text{Recall} = \text{TP} / (\text{TP} + \text{FN}) = 170 / (170 + 80) = 68.0\%$

### Deployment

- Integration Steps:
  - Build REST API with Flask.

- Integrate with hospital EHR via FHIR standards.
  - Output risk scores to clinician dashboards.
- Compliance:
  - HIPAA: Encrypt data in transit (TLS) and at rest (AES-256); audit logs for data access.
  - GDPR: Patient consent workflows for data usage.

#### Optimization

- Overfitting Solution: Feature importance pruning (remove low-impact features like redundant lab tests) to simplify the model.

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## Part 3: Critical Thinking

### Ethics & Bias

- Bias Impact: Overrepresentation of urban populations could mispredict rural patient risk (e.g., distance to clinics not captured), leading to inadequate care.
- Mitigation: Stratified sampling by rural/urban residency during training; fairness-aware loss functions.

### Trade-offs

- Interpretability vs. Accuracy:
  - Random Forest (interpretable) may have lower accuracy than neural networks (black-box).
  - Resolution: Use SHAP values to explain complex models → maintain both accuracy and trust.
- Limited Resources: Opt for logistic regression (faster training) over ensemble methods; prioritise critical features to reduce dimensionality.

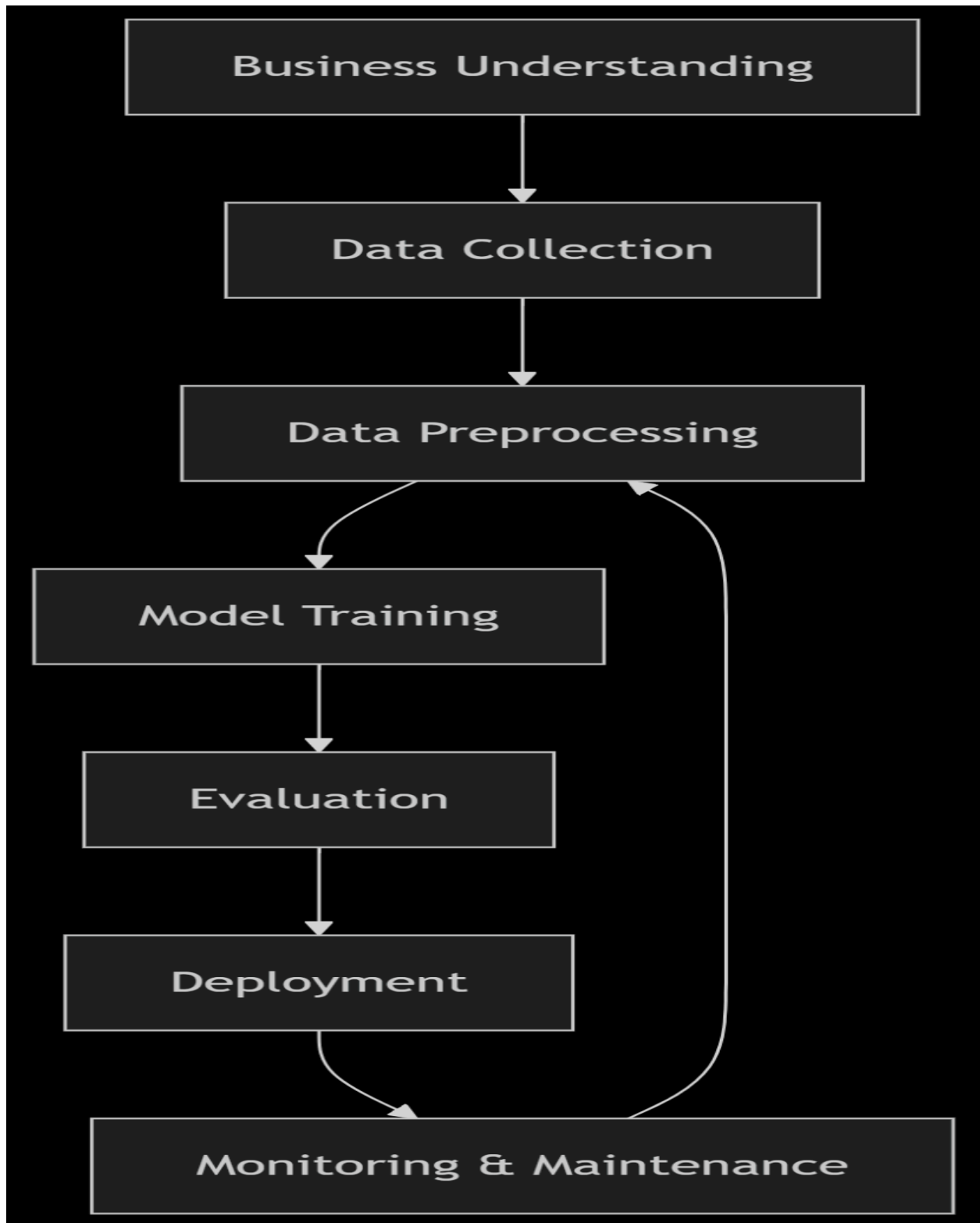
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## Part 4: Reflection & Workflow Diagram

### Reflection

- Biggest Challenge: Bias mitigation (e.g., ensuring underrepresented groups are modeled fairly). Requires domain expertise to identify sensitive variables.
- Improvements:
  1. Partner with diverse hospitals to expand data coverage.
  2. Implement continuous bias monitoring with IBM AIF360.

Workflow Diagram:



#### Key Stages:

1. Business Understanding: Define objectives (e.g., "reduce readmissions").
  2. Data Collection: EHRs, demographics.
  3. Preprocessing: Imputation, normalisation, feature engineering.
  4. Model Training: Random Forest with hyperparameter tuning.
  5. Evaluation: F1-score, AUC-ROC, fairness metrics.
  6. Deployment: API integration with EHRs.
  7. Monitoring: Track drift, bias, and performance.
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#### Sources:

- CRISP-DM framework.
- HIPAA compliance guidelines.
- IBM AIF360 documentation.