```
In [ ]: | import sqlite3
         conn = sqlite3. connect('test1.db')
In [2]: def clenup(conn, emp, dept):
             cursor = conn. cursor()
             cursor. execute('PRAGMA foreign_keys = OFF;')
             cursor. execute('BEGIN DEFERRED TRANSACTION;')
             cursor. execute('DROP TABLE IF EXISTS {};'. format(emp))
             cursor. execute('DROP TABLE IF EXISTS {};'. format(dept))
             conn. commit()
             cursor. close()
In [3]: def displayDB(conn):
             import pandas as pd
             cursor = conn. cursor()
             cursor. execute ("SELECT name FROM sqlite master WHERE type='table' ORDER BY name;
             table names = cursor.fetchall()
             # Check if there are any tables
             if not table names:
                 print("No tables found in the database.")
                 return
             for table in table names:
                 df = pd. read sql query(f"SELECT * FROM {table[0]}", con=conn)
                 print(f"Table: {table[0]}")
                 print(df)
                 print("\n")
             cursor. close()
In [4]: def handle_error(conn, callback, *args, **kwargs):
             try:
                 cursor = conn. cursor()
                 cursor. execute('PRAGMA foreign keys = ON;')
                 callback(cursor, *args, **kwargs)
                 cursor.connection.commit()
             except sqlite3. DatabaseError as e:
                 error message = str(e)
                 print(f"Error: {error_message}")
                 cursor. connection. rollback()
             except ValueError as e:
                 error message = str(e)
                 print(f"Value Error: {error_message}")
                 print("Operation completed successfully.")
             finally:
                 cursor. close()
         # define basic RUD operations
In [5]: |
         def insert emp(cursor, eno, ename, birtyday, level, position, salary, dno):
             cursor. execute ('''
                 INSERT INTO Emp (eno, ename, birtyday, level, position, salary, dno)
                 VALUES (?, ?, ?, ?, ?, ?)
             ''', (eno, ename, birtyday, level, position, salary, dno))
             print("Record inserted successfully.")
         def insert_dept(cursor, dno, dname, budget, manager):
             cursor. execute ('''
                 INSERT INTO Dept (dno, dname, budget, manager)
                 VALUES (?, ?, ?, ?)
```

```
''', (dno, dname, budget, manager))
   print("Record inserted successfully.")
def update_emp(cursor, eno, ename=None, birtyday=None, level=None, position=None,
   cursor. execute ("SELECT COUNT(*) FROM Emp WHERE eno = ?", (eno,))
    if cursor. fetchone() [0] == 0:
        raise ValueError(f"Employee with eno {eno} not found.")
   update columns = []
   update_values = []
    if ename is not None:
        update_columns.append("ename = ?")
        update_values. append (ename)
   if birtyday is not None:
        update_columns.append("birtyday = ?")
        update_values. append(birtyday)
    if level is not None:
        update_columns.append("level = ?")
        update_values. append(level)
   if position is not None:
        update_columns.append("position = ?")
        update values. append (position)
    if salary is not None:
        update_columns.append("salary = ?")
        update_values. append(salary)
    if dno is not None:
        update_columns.append("dno = ?")
        update_values.append(dno)
    if update columns:
        update values. append (eno)
        update query = f'''
            UPDATE Emp
            SET {", ".join(update_columns)}
            WHERE eno = ?
        cursor. execute (update query, tuple (update values))
        print("Record updated successfully.")
    else:
        print("No values provided for update.")
def update dept(cursor, dno, dname=None, budget=None, manager=None):
   cursor. execute("SELECT COUNT(*) FROM Dept WHERE dno = ?", (dno,))
    if cursor.fetchone()[0] == 0:
        raise ValueError(f"Department with dno {dno} not found.")
   update columns = []
   update values = []
    if dname is not None:
        update columns. append ("dname = ?")
        update values. append (dname)
    if budget is not None:
        update columns. append ("budget = ?")
        update values. append (budget)
    if manager is not None:
        update columns. append ("manager = ?")
        update values. append (manager)
    if update columns:
        update values. append (dno)
        update query = f'''
            UPDATE Dept
```

```
WHERE dno = ?
                 cursor. execute (update_query, tuple (update_values))
                 print("Record updated successfully.")
             else:
                 print("No values provided for update.")
         def delete emp(cursor, eno, emp="Emp"):
             cursor. execute (f"SELECT COUNT(*) FROM {emp} WHERE eno = ?", (eno,))
             if cursor. fetchone() [0] == 0:
                 raise ValueError(f"Employee with eno {eno} not found.")
             cursor. execute (f'''
                DELETE FROM {emp}
                 WHERE eno = ?
             ',', (eno,))
             print("Record deleted successfully.")
         def delete_dept(cursor, dno, dept="Dept"):
             cursor. execute(f"SELECT COUNT(*) FROM {dept} WHERE dno = ?", (dno,))
             if cursor. fetchone() [0] == 0:
                 raise ValueError(f"Department with dno {dno} not found.")
             cursor. execute (f'''
                DELETE FROM {dept}
                 WHERE dno = ?
             ',', (dno,))
             print("Record deleted successfully.")
         def insert_test_data(conn, dept_table='Dept', emp_table='Emp'):
In [6]:
             print("Inserting test data into %s and %s tables..." % (dept_table, emp_table))
             cursor = conn. cursor()
             try:
                 cursor. execute('PRAGMA foreign_keys = ON;')
                 cursor. execute('BEGIN DEFERRED TRANSACTION;')
                 # Insert into Dept table
                 cursor. execute (f'''
                     INSERT INTO {dept_table} (dno, dname, budget, manager)
                     VALUES (1001, '计算机学院', 28000.00, 1004)
                 ,,,)
                 cursor. execute (f'''
                     INSERT INTO {dept table} (dno, dname, budget, manager)
                     VALUES (1002, '数学学院', 30000.00, 1005)
                 cursor. execute (f'''
                     INSERT INTO {dept_table} (dno, dname, budget, manager)
                     VALUES (1003, '智能学院', 4000.00, 1003)
                 # Insert into Emp table
                 cursor. execute (f'''
                     INSERT INTO {emp_table} (eno, ename, birtyday, level, position, salary,
                     VALUES (1001, '张伟', '1980-05-15', 3, '教师', 12000.00, 1001)
                 ,,,)
                 cursor. execute (f'''
                     INSERT INTO {emp_table} (eno, ename, birtyday, level, position, salary,
                     VALUES (1002, '李娜', '1990-03-20', 2, '秘书', 8000.00, 1002)
                 cursor. execute (f'''
                     INSERT INTO {emp_table} (eno, ename, birtyday, level, position, salary,
                     VALUES (1003, '王强', '1985-11-10', 1, '会计', 4000.00, 1003)
```

SET {", ". join(update\_columns)}

```
''')
cursor.execute(f'''
INSERT INTO {emp_table} (eno, ename, birtyday, level, position, salary, values (1004, '赵婷', '1992-08-05', 4, '教务', 16000.00, 1001)
''')
cursor.execute(f'''
INSERT INTO {emp_table} (eno, ename, birtyday, level, position, salary, values (1005, '孙明', '1988-12-25', 5, '教师', 30000.00, 1002)
''')

conn.commit()
print("Database created and data inserted successfully.")
except sqlite3.DatabaseError as e:
print(f"Error during inserting test data: {e}")
conn.rollback()
finally:
cursor.close()
```

# 基本约束设计的定义部分

Emp( eno, ename, birtyday, level, position, salary, dno )
Dept( dno, dname, budget, manager )

- 1. 声明eno和dno是递增序列号形式的主码,长度为4的整型,形式为0001,0002,...
- 2. 声明Emp中的dno为参照Dept的外码, Dept的manager为参照Emp的外码
- 3. 测试外码定义的三种形式(最后再测试)
- 4. 限定dname为枚举型(数学学院、计算机学院、智能学院、电子学院、元培学院)
- 5. 限定position为枚举型(教师、教务、会计、秘书)
- 6. 限定level为1到5,缺省为3,salary为2000~200000

```
def create table(conn):
In [7]:
            # default using no action
            clenup(conn, 'Emp', 'Dept')
            cursor = conn. cursor()
            cursor.execute('PRAGMA foreign_keys = ON;')
            # 创建 Dept 表
            cursor. execute ('''
            CREATE TABLE Dept (
                dno INTEGER,
                dname TEXT NOT NULL,
                budget REAL,
                manager INTEGER,
                PRIMARY KEY (dno),
                CONSTRAINT fk manager FOREIGN KEY (manager) REFERENCES Emp(eno) DEFERRABLE II
                CONSTRAINT chk dno length CHECK (length(dno) = 4),
                CONSTRAINT chk dname valid CHECK (dname IN ('数学学院', '计算机学院', '智能学
            );
            # 创建 Emp 表
            cursor. execute(''')
            CREATE TABLE Emp (
                eno INTEGER,
                ename TEXT NOT NULL,
                birtyday DATE,
                level INTEGER DEFAULT 3,
                position TEXT NOT NULL,
                salary REAL,
```

## 基本约束设计的增删改操作(除去外码删除部分)

```
create table (conn)
insert test data(conn)
displayDB(conn)
Inserting test data into Dept and Emp tables...
Database created and data inserted successfully.
Table: Dept
   dno dname
              budget manager
 1001 计算机学院 28000.0
                            1004
1 1002
       数学学院 30000.0
                           1005
2 1003
        智能学院
                4000.0
                           1003
Table: Dept Restrict
   dno dname budget manager
 1001 计算机学院 28000.0
                            1004
1 1002
        数学学院 30000.0
                           1005
2 1003
        智能学院
                4000.0
                           1003
Table: Emp
   eno ename
              birtyday level position salary dno
         张伟 1980-05-15 3 教师 12000.0 1001
 1001
                            2
1 1002
         李娜 1990-03-20
                                   秘书
                                         8000.0 1002
       王强 1985-11-10 1
赵婷 1992-08-05 4
2 1003
                                  会计
                                         4000.0 1003
3 1004
                                   教务 16000.0 1001
4 1005
         孙明 1988-12-25
                           5
                                  教师 30000.0 1002
Table: Emp Restrict
   eno ename birtyday level position salary
                                              dno
  1001
       张伟 1980-05-15 3 教师 12000.0 1001
1 1002
         李娜 1990-03-20
                            2
                                   秘书
                                        8000.0 1002
2 1003
         王强 1985-11-10 1
赵婷 1992-08-05 4
                                   会计
                                         4000.0 1003
3 1004
                                   教务
                                        16000.0
                                                1001
4 1005
         孙明 1988-12-25
                           5
                                   教师 30000.0 1002
```

```
In [9]: # a successful insert into Emp table handle_error(conn, insert_emp, 1006, '王芳', '1995-01-01', 3, '教师', 13000.00, 1001
```

```
print("After successful insert:")
         displayDB(conn)
         Record inserted successfully.
         Operation completed successfully.
         After successful insert:
         Table: Dept
            dno dname
                         budget manager
         0 1001 计算机学院 28000.0
                                        1004
         1 1002
                  数学学院 30000.0
                                       1005
         2 1003
                  智能学院
                           4000.0
                                       1003
         Table: Dept_Restrict
            dno dname budget manager
           1001 计算机学院 28000.0
                                        1004
         1 1002
                  数学学院 30000.0
                                       1005
         2 1003
                  智能学院
                           4000.0
                                       1003
         Table: Emp
                         birtyday level position salary
            eno ename
         0
           1001
                   张伟
                        1980-05-15
                                        3
                                               教师 12000.0 1001
         1
           1002
                   李娜 1990-03-20
                                        2
                                               秘书
                                                      8000.0 1002
         2 1003
                                               会计
                                                      4000.0 1003
                   王强
                        1985-11-10
                                       1
         3 1004
                   赵婷
                        1992-08-05
                                               教务
                                                     16000.0 1001
                                       4
         4 1005
                   孙明 1988-12-25
                                               教师 30000.0 1002
                                        5
           1006
                   王芳
                        1995-01-01
                                        3
                                               教师
                                                    13000.0 1001
         Table: Emp_Restrict
            eno ename
                         birtyday level position salary
                                                           dno
         0 1001
                   张伟
                        1980-05-15
                                        3
                                               教师 12000.0 1001
           1002
                   李娜 1990-03-20
                                        2
                                               秘书
                                                      8000.0
         1
                                                             1002
         2 1003
                   王强 1985-11-10
                                        1
                                               会计
                                                      4000.0 1003
         3 1004
                   赵婷 1992-08-05
                                       4
                                               教务
                                                     16000.0 1001
         4 1005
                   孙明 1988-12-25
                                               教师 30000.0 1002
In [10]: # a failed insert into Emp table (due to chk_eno_length constraint)
         handle_error(conn, insert_emp, 100, '王芳', '1995-01-01', 2, '教师', 13000.00, 1001)
         Error: CHECK constraint failed: chk_eno_length
         # a failed insert into Emp table (due to foreign key constraint)
In [11]:
         handle error(conn, insert emp, 1007, '李四', '1993-02-02', 3, '教师', 13000.00, 9999)
         Record inserted successfully.
         Error: FOREIGN KEY constraint failed
         # a failed insert into Emp table (due to chk level range constraint)
In [12]:
         handle_error(conn, insert_emp, 1007, '王芳', '1995-01-01', 6, '教师', 13000.00, 1001
         Error: CHECK constraint failed: chk level range
         # a failed insert into Emp table (due to chk_position_valid constraint)
In [13]:
         handle_error(conn, insert_emp, 1008, '王芳', '1995-01-01', 2, '经理', 13000.00, 1001)
         Error: CHECK constraint failed: chk_position_valid
         # a failed insert into Emp table (due to chk_salary_range constraint)
In [14]:
         handle_error(conn, insert_emp, 1009, '王芳', '1995-01-01', 2, '教师', 300000.00, 100
         Error: CHECK constraint failed: chk salary range
```

```
# a successful insert into Dept table
In [15]:
         handle_error(conn, insert_dept, 1004, '电子学院', 400000.00, 1004)
         print("After successful insert:")
         displayDB (conn)
         Record inserted successfully.
         Operation completed successfully.
         After successful insert:
         Table: Dept
            dno dname
                         budget manager
          1001 计算机学院
                             28000.0
                                        1004
                  数学学院
         1
           1002
                            30000.0
                                        1005
         2
                  智能学院
           1003
                            4000.0
                                        1003
         3 1004
                  电子学院 400000.0
                                       1004
        Table: Dept_Restrict
            dno dname budget manager
           1001 计算机学院 28000.0
                                       1004
         1 1002
                  数学学院 30000.0
                                       1005
         2 1003
                  智能学院
                           4000.0
                                      1003
        Table: Emp
                        birtyday level position salary
            eno ename
         0
           1001
                   张伟
                        1980-05-15
                                       3
                                               教师 12000.0 1001
         1
          1002
                   李娜 1990-03-20
                                        2
                                               秘书
                                                     8000.0 1002
         2 1003
                   王强
                                       1
                                               会计
                                                     4000.0 1003
                        1985-11-10
                        1992-08-05
         3 1004
                   赵婷
                                               教务
                                                    16000.0 1001
                                       4
         4 1005
                   孙明 1988-12-25
                                       5
                                               教师 30000.0 1002
           1006
                   王芳
                        1995-01-01
                                       3
                                               教师
                                                    13000.0 1001
        Table: Emp_Restrict
            eno ename
                        birtyday level position
                                                salary
                                                          dno
         0 1001
                   张伟
                        1980-05-15
                                       3
                                               教师 12000.0 1001
                   李娜 1990-03-20
                                        2
                                               秘书
         1
           1002
                                                     8000.0 1002
         2 1003
                   王强 1985-11-10
                                       1
                                               会计
                                                     4000.0 1003
         3 1004
                   赵婷 1992-08-05
                                       4
                                               教务
                                                    16000.0 1001
         4 1005
                   孙明 1988-12-25
                                       5
                                               教师
                                                    30000.0 1002
In [16]: # a failed insert into Dept table (due to chk_dno_length constraint)
         handle error (conn, insert dept, 100, '电子学院', 400000.00, 1006)
         Error: CHECK constraint failed: chk_dno_length
         # a failed insert into Dept table (due to foreign key constraint)
In [17]:
         handle_error(conn, insert_dept, 1005, '电子学院', 400000.00, 9999)
         Record inserted successfully.
         Error: FOREIGN KEY constraint failed
         # a failed insert into Dept table (due to chk dname valid constraint)
In [18]:
         handle_error(conn, insert_dept, 1005, '物理学院', 400000.00, 1006)
         Error: CHECK constraint failed: chk_dname_valid
         # a failed insert into Dept table (due to primary key constraint)
In [19]:
         handle_error(conn, insert_dept, 1001, '电子学院', 400000.00, 1006)
         Error: UNIQUE constraint failed: Dept.dno
```

```
# a successful update on Emp table
In [20]:
         handle_error(conn, update_emp, eno=1006, ename='张三', salary=14000.00)
         print("After successful update:")
         displayDB (conn)
         Record updated successfully.
         Operation completed successfully.
         After successful update:
         Table: Dept
            dno dname
                          budget manager
         ()
          1001 计算机学院
                             28000.0
                                        1004
                  数学学院
         1
           1002
                             30000.0
                                        1005
         2
                  智能学院
           1003
                            4000.0
                                        1003
         3 1004
                  电子学院 400000.0
                                        1004
         Table: Dept_Restrict
            dno dname budget manager
           1001 计算机学院 28000.0
                                        1004
         1 1002
                  数学学院 30000.0
                                       1005
         2 1003
                  智能学院
                            4000.0
                                       1003
         Table: Emp
                         birtyday level position salary
            eno ename
         0
           1001
                   张伟
                        1980-05-15
                                        3
                                                教师 12000.0 1001
         1
           1002
                   李娜 1990-03-20
                                        2
                                               秘书
                                                      8000.0 1002
         2 1003
                                       1
                                               会计
                                                      4000.0 1003
                   王强
                        1985-11-10
                        1992-08-05
         3 1004
                   赵婷
                                               教务
                                                     16000.0 1001
                                       4
         4 1005
                   孙明 1988-12-25
                                        5
                                                教师 30000.0 1002
                   张三
           1006
                        1995-01-01
                                        3
                                               教师
                                                     14000.0 1001
         Table: Emp_Restrict
            eno ename
                         birtyday level position
                                                 salary
                                                           dno
         0 1001
                   张伟
                        1980-05-15
                                        3
                                               教师 12000.0 1001
                   李娜 1990-03-20
                                        2
                                               秘书
         1
           1002
                                                      8000.0 1002
         2 1003
                   王强 1985-11-10
                                        1
                                               会计
                                                      4000.0 1003
         3 1004
                   赵婷 1992-08-05
                                        4
                                                教务
                                                     16000.0 1001
         4 1005
                   孙明 1988-12-25
                                        5
                                                教师
                                                     30000.0 1002
In [21]: # a failed update on Emp table (due to primary key constraint)
         handle error (conn, update emp, eno=1010, ename='李四', dno=1001)
         Value Error: Employee with eno 1010 not found.
         # a failed update on Emp table (due to foreign key constraint)
In [22]:
         handle error (conn, update emp, eno=1006, dno=9999)
         Record updated successfully.
         Error: FOREIGN KEY constraint failed
         # a failed update on Emp table (due to chk level range constraint)
In [23]:
         handle error (conn, update emp, eno=1006, level=10)
         Error: CHECK constraint failed: chk_level_range
         # a failed update on Emp table (due to chk position valid constraint)
In [24]:
         handle_error(conn, update_emp, eno=1006, position='总监')
         Error: CHECK constraint failed: chk_position_valid
```

```
# a failed update on Emp table (due to chk salary range constraint)
In [25]:
         handle_error(conn, update_emp, eno=1006, salary=300000.00)
         Error: CHECK constraint failed: chk salary range
         # a successful update on Dept table
In [26]:
         handle_error(conn, update_dept, dno=1004, budget=600000.00)
         print("After successful update:")
         displayDB(conn)
         Record updated successfully.
         Operation completed successfully.
         After successful update:
         Table: Dept
             dno dname
                          budget manager
         0 1001 计算机学院
                              28000.0
                                         1004
         1 1002
                  数学学院
                             30000.0
                                        1005
                  智能学院
         2 1003
                             4000.0
                                        1003
         3 1004
                  电子学院 600000.0
                                        1004
         Table: Dept Restrict
             dno dname
                       budget manager
          1001 计算机学院 28000.0
                                        1004
         ()
         1
           1002
                  数学学院 30000.0
                                       1005
         2 1003
                  智能学院
                             4000.0
                                       1003
         Table: Emp
             eno ename
                         birtyday level position
                                                   salary
                                                           dno
         0
           1001
                   张伟 1980-05-15
                                        3
                                                教师 12000.0 1001
           1002
                   李娜 1990-03-20
                                        2
                                                秘书
                                                      8000.0 1002
         1
         2 1003
                   王强 1985-11-10
                                                会计
                                                      4000.0 1003
                                        1
         3 1004
                   赵婷
                                                教务
                         1992-08-05
                                        4
                                                     16000.0 1001
         4 1005
                   孙明
                        1988-12-25
                                        5
                                                教师
                                                      30000.0
                                                              1002
         5 1006
                   张三 1995-01-01
                                        3
                                                教师
                                                     14000.0 1001
         Table: Emp Restrict
             eno ename
                         birtyday level position salary
         0
           1001
                   张伟
                         1980-05-15
                                        3
                                                教师 12000.0 1001
                                        2
         1 1002
                   李娜 1990-03-20
                                                秘书
                                                      8000.0 1002
         2 1003
                   王强 1985-11-10
                                        1
                                                会计
                                                      4000.0 1003
                         1992-08-05
         3 1004
                   赵婷
                                                教务
                                                      16000.0 1001
                                        4
         4 1005
                   孙明 1988-12-25
                                        5
                                                教师
                                                     30000.0 1002
In [27]: # a failed update on Dept table (due to primary key constraint)
         handle error (conn, update dept, dno=10010, budget=700000.00)
         Value Error: Department with dno 10010 not found.
         # a failed update on Dept table (due to foreign key constraint)
In [28]:
         handle_error(conn, update_dept, dno=1004, manager=9999)
         Record updated successfully.
         Error: FOREIGN KEY constraint failed
         # a failed update on Dept table (due to chk dname valid constraint)
In [29]:
         handle_error(conn, update_dept, dno=1004, dname='物理学院', budget=700000.00)
         Error: CHECK constraint failed: chk_dname_valid
```

```
# a successful delete on Emp table
In [30]:
        handle_error(conn, delete_emp, eno=1006)
        print("After successful delete:")
        displayDB(conn)
        Record deleted successfully.
        Operation completed successfully.
        After successful delete:
        Table: Dept
            dno dname
                        budget manager
        0 1001 计算机学院
                           28000.0
                                      1004
        1 1002
                数学学院
                           30000.0
                                      1005
        2 1003
                 智能学院
                          4000.0
                                      1003
        3 1004
                 电子学院 600000.0
                                     1004
        Table: Dept_Restrict
            dno dname budget manager
          1001 计算机学院 28000.0
                                     1004
        1 1002
                数学学院 30000.0
                                     1005
                智能学院
        2 1003
                         4000.0
                                     1003
        Table: Emp
                       birtyday level position salary
            eno ename
        0
          1001
                  张伟 1980-05-15
                                     3
                                             教师 12000.0 1001
                  李娜 1990-03-20
                                     2
        1 1002
                                             秘书
                                                  8000.0 1002
        2 1003
                  王强 1985-11-10
                                     1
                                             会计
                                                   4000.0 1003
        3 1004
                  赵婷 1992-08-05
                                     4
                                             教务 16000.0 1001
        4 1005
                                             教师 30000.0 1002
                  孙明 1988-12-25
                                     5
        Table: Emp_Restrict
                       birtyday level position salary dno
            eno ename
                                     3
                                             教师 12000.0 1001
        0 1001
                  张伟 1980-05-15
        1 1002
                  李娜 1990-03-20
                                     2
                                                   8000.0 1002
                                             秘书
        2 1003
                  王强 1985-11-10
                                     1
                                             会计
                                                   4000.0 1003
        3 1004
                  赵婷 1992-08-05
                                     4
                                             教务 16000.0 1001
        4 1005
                  孙明 1988-12-25
                                     5
                                             教师 30000.0 1002
In [31]:
        # a successful delete on Dept table
        handle_error(conn, delete_dept, dno=1004)
        print("After successful delete:")
```

displayDB(conn)

```
Record deleted successfully.
        Operation completed successfully.
        After successful delete:
        Table: Dept
                      budget manager
           dno dname
        0 1001 计算机学院 28000.0
                                    1004
        1 1002 数学学院 30000.0
                                   1005
        2 1003
                智能学院
                        4000.0
                                   1003
        Table: Dept_Restrict
           dno dname budget manager
        0 1001 计算机学院 28000.0
                                   1004
        1 1002
               数学学院 30000.0
                                   1005
        2 1003
                智能学院
                        4000.0
                                   1003
        Table: Emp
           eno ename
                      birtyday level position salary
                                                     dno
        0
          1001
                 张伟 1980-05-15 3
                                           教师 12000.0 1001
        1 1002
                 李娜 1990-03-20
                                           秘书
                                                 8000.0 1002
        2 1003
                 王强 1985-11-10
                                           会计
                                                 4000.0 1003
                                   1
        3 1004
                                           教务
                 赵婷
                      1992-08-05
                                    4
                                                16000.0 1001
        4 1005
                 孙明 1988-12-25
                                    5
                                           教师 30000.0 1002
        Table: Emp_Restrict
           eno ename birtyday level position salary
                                                    dno
                 张伟 1980-05-15 3
        0 1001
                                           教师 12000.0 1001
        1 1002
                 李娜 1990-03-20
                                    2
                                           秘书
                                                 8000.0 1002
        2 1003 王强 1985-11-10
                                   1
                                           会计
                                                 4000.0 1003
        3 1004 赵婷 1992-08-05
                                    4
                                           教务 16000.0 1001
        4 1005 孙明 1988-12-25
                                   5
                                           教师 30000.0 1002
In [32]: # a failed delete on Emp table
        handle error (conn, delete emp, eno=1006)
        Value Error: Employee with eno 1006 not found.
        clenup(conn, 'Emp', 'Dept')
```

# 基本约束关系(外码删除相关)

In [33]:

```
In [34]:
         clenup(conn, 'Emp Restrict', 'Dept Restrict')
         cursor = conn. cursor()
         cursor. execute('PRAGMA foreign keys = ON;')
         # 重新创建 Dept & Emp 表, 使用 RESTRICT 约束
         cursor. executescript ('''
         -- 使用 RESTRICT 的 Dept Restrict 表
         CREATE TABLE Dept_Restrict (
             dno INTEGER,
             dname TEXT NOT NULL,
             budget REAL,
             manager INTEGER,
             PRIMARY KEY (dno),
             FOREIGN KEY (manager) REFERENCES Emp Restrict(eno) ON DELETE RESTRICT ON UPDATE
             CHECK (length(dno) = 4),
             CHECK (dname IN ('数学学院', '计算机学院', '智能学院', '电子学院', '元培学院'))
```

```
);
-- 使用 RESTRICT 的 Emp Restrict 表
CREATE TABLE Emp_Restrict (
   eno INTEGER,
   ename TEXT NOT NULL,
   birtyday DATE,
   level INTEGER DEFAULT 3,
   position TEXT NOT NULL,
   salary REAL,
   dno INTEGER,
   PRIMARY KEY (eno),
   FOREIGN KEY (dno) REFERENCES Dept_Restrict(dno) ON DELETE RESTRICT ON UPDATE REST
   CHECK (length(eno) = 4),
   CHECK (level BETWEEN 1 AND 5),
   CHECK (position IN ('教师', '教务', '会计', '秘书')),
   CHECK (salary BETWEEN 2000 AND 200000)
· · · · )
cursor. close()
insert_test_data(conn, 'Dept_Restrict', 'Emp_Restrict')
displayDB (conn)
Inserting test data into Dept_Restrict and Emp_Restrict tables...
Database created and data inserted successfully.
Table: Dept Restrict
   dno dname budget manager
0 1001 计算机学院 28000.0
                             1004
1 1002 数学学院 30000.0
                             1005
2 1003
         智能学院
                 4000.0
                             1003
Table: Emp_Restrict
   eno ename
               birtyday level position salary
0
 1001
          张伟 1980-05-15 3 教师 12000.0 1001
1 1002
          李娜 1990-03-20
                             2
                                     秘书
                                           8000.0 1002
2 1003
         王强 1985-11-10
                             1
                                     会计
                                            4000.0 1003
                          4
5
3 1004
         赵婷 1992-08-05
                                      教务 16000.0 1001
```

```
In [35]: # an example of RESTRICT constraint
          handle error (conn, delete emp, eno=1004, emp='Emp Restrict')
          print("After failed delete due to RESTRICT constraint:")
          displayDB(conn)
```

教师 30000.0 1002

4 1005

孙明 1988-12-25

```
Error: FOREIGN KEY constraint failed
After failed delete due to RESTRICT constraint:
Table: Dept Restrict
     dno dname
                  budget manager
0 1001 计算机学院 28000.0
                                       1004
          数学学院 30000.0
1 1002
                                      1005
2 1003 智能学院 4000.0
                                     1003
Table: Emp_Restrict
     eno ename
                    birtyday level position salary
   1001 张伟 1980-05-15 3 教师 12000.0 1001

    1
    1002
    李娜
    1990-03-20
    2

    2
    1003
    王强
    1985-11-10
    1

    3
    1004
    赵婷
    1992-08-05
    4

    4
    1005
    孙明
    1988-12-25
    5

                                              秘书 8000.0 1002
                                               会计 4000.0 1003
                                               教务 16000.0 1001
                                               教师 30000.0 1002
```

```
clenup(conn, 'Emp_Restrict', 'Dept_Restrict')
In [36]:
In [37]: clenup(conn, 'Emp_Cascade', 'Dept_Cascade')
         cursor = conn. cursor()
         cursor. execute ('PRAGMA foreign keys = ON;')
         # 重新创建 Dept & Emp 表, 使用 CASCADE 约束
         cursor. executescript ('''
         -- 使用 CASCADE 的 Dept_Cascade 表
         CREATE TABLE Dept Cascade (
             dno INTEGER,
             dname TEXT NOT NULL,
             budget REAL,
             manager INTEGER,
             PRIMARY KEY (dno),
             FOREIGN KEY (manager) REFERENCES Emp_Cascade (eno) ON DELETE CASCADE ON UPDATE CAS
             CHECK (length(dno) = 4),
             CHECK (dname IN ('数学学院', '计算机学院', '智能学院', '电子学院', '元培学院'))
         );
         -- 使用 CASCADE 的 Emp Cascade 表
         CREATE TABLE Emp Cascade (
             eno INTEGER,
             ename TEXT NOT NULL,
             birtyday DATE,
             level INTEGER DEFAULT 3,
             position TEXT NOT NULL,
             salary REAL,
             dno INTEGER,
             PRIMARY KEY (eno),
             FOREIGN KEY (dno) REFERENCES Dept Cascade (dno) ON DELETE CASCADE ON UPDATE CASCAD
             CHECK (1ength(eno) = 4),
             CHECK (level BETWEEN 1 AND 5),
             CHECK (position IN ('教师', '教务', '会计', '秘书')),
             CHECK (salary BETWEEN 2000 AND 200000)
         );
         cursor. close()
         insert_test_data(conn, 'Dept_Cascade', 'Emp_Cascade')
         displayDB(conn)
```

```
Table: Dept Cascade
            dno dname
                      budget manager
        0 1001 计算机学院 28000.0
                                      1004
                 数学学院 30000.0
        1 1002
                                     1005
        2 1003
                 智能学院
                         4000.0
                                     1003
        Table: Emp_Cascade
            eno ename
                       birtyday level position salary
        0
           1001
                  张伟 1980-05-15
                                      3 教师 12000.0 1001
        1 1002
                  李娜 1990-03-20
                                      2
                                            秘书
                                                  8000.0 1002
        2 1003 王强 1985-11-10
                                     1
                                             会计
                                                   4000.0 1003
        3 1004 赵婷 1992-08-05
                                             教务 16000.0 1001
                                    4
        4 1005 孙明 1988-12-25 5
                                             教师 30000.0 1002
In [38]:
        # an example of CASCADE constraint
         handle_error(conn, delete_emp, eno=1004, emp='Emp_Cascade')
         print("After successful delete due to CASCADE constraint:")
         displayDB(conn)
        Record deleted successfully.
        Operation completed successfully.
        After successful delete due to CASCADE constraint:
        Table: Dept Cascade
            dno dname
                      budget manager
        0 1002 数学学院 30000.0
                                 1005
        1 1003 智能学院 4000.0
                                    1003
        Table: Emp_Cascade
            eno ename
                       birtyday level position salary
                                                        dno
        ()
          1002
                  李娜 1990-03-20 2 秘书
                                                   8000.0 1002
        1 1003
                  王强 1985-11-10
                                     1
                                             会计
                                                   4000.0 1003
        2 1005
                  孙明 1988-12-25
                                             教师 30000.0 1002
                                     5
        clenup(conn, 'Emp Cascade', 'Dept Cascade')
In [39]:
        clenup(conn, 'Emp_SetNull', 'Dept_SetNull')
In [40]:
         cursor = conn. cursor()
         cursor.execute('PRAGMA foreign_keys = ON;')
         # 重新创建 Dept & Emp 表, 使用 SET NULL 约束
         cursor. executescript(''')
         -- 使用 SET NULL 的 Dept SetNull 表
         CREATE TABLE Dept_SetNull (
            dno INTEGER,
            dname TEXT NOT NULL,
            budget REAL,
            manager INTEGER,
            PRIMARY KEY (dno),
            FOREIGN KEY (manager) REFERENCES Emp SetNull(eno) ON DELETE SET NULL ON UPDATE SI
            CHECK (length(dno) = 4),
            CHECK (dname IN ('数学学院', '计算机学院', '智能学院', '电子学院', '元培学院'))
        );
         -- 使用 SET NULL 的 Emp SetNull 表
         CREATE TABLE Emp_SetNull (
```

Inserting test data into Dept\_Cascade and Emp\_Cascade tables...

Database created and data inserted successfully.

```
eno INTEGER,
            ename TEXT NOT NULL,
            birtyday DATE,
            level INTEGER DEFAULT 3,
            position TEXT NOT NULL,
            salary REAL,
            dno INTEGER,
            PRIMARY KEY (eno),
            FOREIGN KEY (dno) REFERENCES Dept SetNull(dno) ON DELETE SET NULL ON UPDATE SET
            CHECK (length(eno) = 4),
            CHECK (level BETWEEN 1 AND 5),
            CHECK (position IN ('教师', '教务', '会计', '秘书')),
            CHECK (salary BETWEEN 2000 AND 200000)
         ,,,,
         cursor. close()
         insert_test_data(conn, 'Dept_SetNull', 'Emp_SetNull')
         displayDB(conn)
         Inserting test data into Dept_SetNull and Emp_SetNull tables...
        Database created and data inserted successfully.
        Table: Dept_SetNull
            dno dname
                        budget manager
           1001 计算机学院 28000.0
                                      1004
        1 1002
                 数学学院 30000.0
                                      1005
        2 1003
                智能学院
                          4000.0
                                      1003
        Table: Emp_SetNull
                       birtyday level position salary dno
            eno ename
                  张伟 1980-05-15 3
        0 1001
                                             教师 12000.0 1001
                  李娜 1990-03-20
                                      2
        1 1002
                                              秘书
                                                   8000.0 1002
                  王强 1985-11-10
赵婷 1992-08-05
        2 1003
                                      1
                                              会计
                                                    4000.0
                                                           1003
        3 1004
                                      4
                                              教务 16000.0 1001
        4 1005
                  孙明 1988-12-25
                                     5
                                              教师 30000.0 1002
In [41]:
         # an example of SET NULL constraint
         handle_error(conn, delete_emp, eno=1004, emp='Emp_SetNull')
         print("After successful delete due to SET NULL constraint:")
         displayDB(conn)
        Record deleted successfully.
        Operation completed successfully.
        After successful delete due to SET NULL constraint:
        Table: Dept SetNull
            dno dname
                       budget manager
        0 1001 计算机学院 28000.0
                                       NaN
        1 1002 数学学院 30000.0 1005.0
        2 1003
                  智能学院 4000.0 1003.0
        Table: Emp SetNull
            eno ename
                        birtyday level position salary
                                                         dno
                  张伟 1980-05-15 3 教师 12000.0 1001
        0 1001
        1 1002
                  李娜 1990-03-20
                                              秘书
                                                    8000.0 1002
        2 1003
                  王强 1985-11-10
                                              会计
                                                    4000.0 1003
                                      1
                                  5
        3 1005
                  孙明 1988-12-25
                                              教师 30000.0 1002
```

## 中级约束设计 延迟约束

#### DEFERRABLE INITIALLY DEFERRED

```
In [43]:
         create table (conn)
         insert test data(conn)
         displayDB(conn)
         Inserting test data into Dept and Emp tables...
         Database created and data inserted successfully.
         Table: Dept
             dno dname budget manager
         0 1001 计算机学院 28000.0
                                       1004
         1 1002 数学学院 30000.0
                                       1005
         2 1003 智能学院
                           4000.0
                                       1003
         Table: Emp
                         birtyday level position salary dno
            eno ename
         0 1001
                   张伟 1980-05-15 3 教师 12000.0 1001
         1 1002
                   李娜 1990-03-20
                                       2
                                               秘书 8000.0 1002
                 王强 1985-11-10 1
赵婷 1992-08-05 4
孙明 1988-12-25 5
         2 1003
                                       1
                                               会计
                                                     4000.0 1003
         3 1004
                                               教务
                                                      16000.0 1001
         4 1005
                                               教师 30000.0 1002
         # a failed insert into Dept and Emp tables
In [44]:
         def imme insert (cursor):
             cursor. executescript('''
                 INSERT INTO Dept (dno, dname, budget, manager)
                 VALUES (1004, '电子学院', 500000.00, 1009);
                 INSERT INTO Emp (eno, ename, birtyday, level, position, salary, dno)
                 VALUES (1009, '李四', '1993-02-02', 2, '教师', 13000.00, 1004);
             print("Record inserted successfully.")
         handle error (conn, imme insert)
         Error: FOREIGN KEY constraint failed
         def delayed insert(cursor):
In [45]:
             cursor. execute('BEGIN DEFERRED TRANSACTION;')
             cursor. execute ('''
                 INSERT INTO Dept (dno, dname, budget, manager)
                 VALUES (1004, '电子学院', 500000.00, 1009)
             ,,,)
             cursor. execute ('''
                 INSERT INTO Emp (eno, ename, birtyday, level, position, salary, dno)
                 VALUES (1009, '李四', '1993-02-02', 3, '教师', 13000.00, 1004)
             print("Record inserted successfully.")
         handle error (conn, delayed insert)
         displayDB(conn)
```

```
Record inserted successfully.
Operation completed successfully.
Table: Dept
   dno dname
                budget manager
        计算机学院
0
 1001
                    28000.0
                               1004
1
  1002
         数学学院
                   30000.0
                               1005
2 1003
         智能学院
                    4000.0
                              1003
3 1004
         电子学院 500000.0
                              1009
Table: Emp
   eno ename
               birtyday level position
                                       salary
0
  1001
          张伟 1980-05-15
                              3
                                      教师 12000.0 1001
1
  1002
          李娜 1990-03-20
                              2
                                      秘书
                                           8000.0 1002
 1003
          王强 1985-11-10
                              1
                                            4000.0
                                      会计
3 1004
          赵婷
               1992-08-05
                              4
                                      教务
                                           16000.0
                                                    1001
4 1005
          孙明 1988-12-25
                                      教师
                                           30000.0
                                                    1002
                              5
5 1009
          李四 1993-02-02
                              3
                                      教师 13000.0 1004
中级约束设计 工资约束
CONSTRAINT chk_salary_level_match CHECK (
(level = 1 AND salary BETWEEN 2000 AND 4999) OR
(level = 2 AND salary BETWEEN 5000 AND 9999) OR
(level = 3 AND salary BETWEEN 10000 AND 14999) OR
(level = 4 AND salary BETWEEN 15000 AND 19999) OR
(level = 5 AND salary BETWEEN 20000 AND 200000)
)
# a successful update on salary and level columns in Emp table
handle_error(conn, update_emp, eno=1009, salary=25000.00, level=5)
print("After successful update:")
displayDB(conn)
Record updated successfully.
Operation completed successfully.
After successful update:
Table: Dept
   dno dname
                budget manager
       计算机学院
                    28000.0
                               1004
 1001
1 1002
         数学学院
                   30000.0
                               1005
2 1003
         智能学院
                    4000.0
                              1003
3 1004
         电子学院 500000.0
                              1009
Table: Emp
   eno ename
               birtyday level position
                                         salary
  1001
          张伟
               1980-05-15
                              3
                                      教师 12000.0 1001
          李娜
                              2
                                      秘书
1 1002
               1990-03-20
                                            8000.0
                                                    1002
2 1003
          王强
               1985-11-10
                                      会计
                                            4000.0
                                                    1003
3 1004
          赵婷
               1992-08-05
                              4
                                      教务
                                            16000.0
                                                    1001
4 1005
          孙明
                              5
                                      教师
                                           30000.0 1002
               1988-12-25
5 1009
          李四
               1993-02-02
                              5
                                      教师
                                           25000.0
                                                    1004
```

In [46]:

```
In [47]: # a failed update on Emp table (due to salary level mismatch) handle_error(conn, update_emp, eno=1009, salary=25000.00, level=2)
```

# 中级约束设计 员工编码

```
sqlite 暂不支持sql: create function, 仅仅采用python函数
         下提供一种sql实现:
         DELIMITER $$
         CREATE FUNCTION generateSmartCode(p_eno INT) RETURNS VARCHAR(100)
         DETERMINISTIC
          BEGIN
              DECLARE v_eno CHAR(4);
              DECLARE v_dno CHAR(4);
              DECLARE v birth year CHAR(4);
              DECLARE v_level CHAR(2);
              DECLARE v_position_code CHAR(2);
              DECLARE v_manager CHAR(4);
              -- 查询
              SELECT
                  LPAD(E.eno, 4, '0'),
                  LPAD(E.dno, 4, '0'),
                  YEAR(E.birtyday),
                  LPAD(E.level, 2, '0'),
                  IFNULL((SELECT code FROM PositionCode WHERE position =
          E.position), '00'),
                  LPAD(D.manager, 4, '0')
              INTO v_eno, v_dno, v_birth_year, v_level, v_position_code, v_manager
              FROM Emp E JOIN Dept D ON E.dno = D.dno
              WHERE E.eno = p_eno;
              -- 拼接
              RETURN CONCAT(v eno, v dno, v birth year, v level, v position code,
          v manager);
          END$$
         DELIMITER;
In [48]: # add new tables for position and department codes
         clenup(conn, 'PositionCode', 'DeptCode')
         cursor = conn. cursor()
         cursor. executescript ('''
             -- 职位编码表
             CREATE TABLE PositionCode (
                position TEXT PRIMARY KEY,
                code TEXT NOT NULL
             );
             -- 院系编码表
             CREATE TABLE DeptCode (
                dname TEXT PRIMARY KEY,
                code TEXT NOT NULL
         ,,,,
         cursor. executescript ('''
             INSERT INTO PositionCode (position, code) VALUES
```

```
('教师', '01'),
('教务', '02'),
('会计', '03'),
('秘书', '04');
              INSERT INTO DeptCode (dname, code) VALUES
              ('数学学院', '01'),
             ('计算机学院', '02'), ('智能学院', '03'), ('电子学院', '04'),
              ('元培学院', '05');
         ,,,,
          conn. commit()
          cursor. close()
In [49]: def generate_smart_code(conn, eno):
             cursor = conn. cursor()
             cursor. execute ('PRAGMA foreign keys = ON;')
             cursor. execute ('''
                 SELECT Emp. eno, Emp. dno, strftime ('%Y', Emp. birtyday) AS birth_year,
                         Emp. level, Emp. position,
                         Dept.manager
                 FROM Emp natural join Dept
                 WHERE Emp. eno = ?
              ''', (eno,))
             row = cursor. fetchone()
             # 没找到该员工
             if not row:
                 cursor. close()
                 return None
             eno, dno, birth_year, level, position, manager = row
             # 查职位编码
             cursor. execute ('SELECT code FROM PositionCode WHERE position = ?', (position,))
             pos row = cursor. fetchone()
             position_code = pos_row[0] if pos_row else '00'
             cursor. close()
             return smart_code
          def print smart code (conn, eno):
             smart_code = generate_smart_code(conn, eno)
             if smart_code:
                 print(f"Smart code for employee {eno}: {smart_code}")
             else:
                 print(f"Employee {eno} not found.")
         print_smart_code(conn, 1009)
In [50]:
          print_smart_code(conn, 1010)
         Smart code for employee 1009: 10091004199305011009
         Employee 1010 not found.
         clenup(conn, 'PositionCode', 'DeptCode')
In [51]:
```

### 高级约束设计

- 1. 使用触发器来保证管理者的工资必须高于他所管理的任何一个员工
- 2. 使用触发器保证任何一个员工工资的变化额度,都应该体现在他所在部门的预算上面,本质上这相当于实现了一个物化视图的一致性维护机制(触发器应该考虑到员工改变工作部门的情况,从一致性维护效率的角度,完全重算当然最简单,但希望还是实现基于更新行的增量更新)

```
clenup(conn, 'Emp', 'Dept')
In [52]:
         cursor = conn. cursor()
         cursor. execute('PRAGMA foreign keys = ON;')
         # 创建 Dept 表
         cursor.execute('''
             CREATE TABLE Dept (
                 dno INTEGER,
                 dname TEXT NOT NULL,
                 budget REAL,
                 manager INTEGER,
                 PRIMARY KEY (dno),
                 CONSTRAINT fk manager FOREIGN KEY (manager) REFERENCES Emp(eno) DEFERRABLE I
                 CONSTRAINT chk_dno_length CHECK (length(dno) = 4),
                 CONSTRAINT chk dname valid CHECK (dname IN ('数学学院', '计算机学院', '智能学
             );
         , , , ,
         # 创建 Emp 表
         cursor.execute('''
             CREATE TABLE Emp (
                 eno INTEGER,
                 ename TEXT NOT NULL,
                 birtyday DATE,
                 level INTEGER DEFAULT 3,
                 position TEXT NOT NULL,
                 salary REAL,
                 dno INTEGER,
                 PRIMARY KEY (eno),
                 CONSTRAINT fk dno FOREIGN KEY (dno) REFERENCES Dept(dno) DEFERRABLE INITIALL
                 CONSTRAINT chk eno length CHECK (length(eno) = 4),
                 CONSTRAINT chk level range CHECK (level BETWEEN 1 AND 5),
                 CONSTRAINT chk position valid CHECK (position IN ('教师', '教务', '会计', '秘
                 CONSTRAINT chk_salary_range CHECK (salary BETWEEN 2000 AND 200000),
                 CONSTRAINT chk salary level match CHECK (
                     (level = 1 AND salary BETWEEN 2000 AND 4999) OR
                     (level = 2 AND salary BETWEEN 5000 AND 9999) OR
                     (level = 3 AND salary BETWEEN 10000 AND 14999) OR
                     (level = 4 AND salary BETWEEN 15000 AND 19999) OR
                     (level = 5 AND salary BETWEEN 20000 AND 200000)
             );
         ,,,)
         # 处理工资不高于经理的触发器
         # 不考虑删除操作, 因为删除时会依据外键约束删除
         cursor. executescript ('''
         DROP TRIGGER IF EXISTS check_manager_salary_after_emp_insert;
         DROP TRIGGER IF EXISTS check manager salary after emp update;
         DROP TRIGGER IF EXISTS check manager salary after dept update;
         DROP TRIGGER IF EXISTS check_manager_salary_after_dept_insert;
```

```
# 插入新员工时触发
cursor. executescript(''')
{\tt CREATE\ TRIGGER\ check\_manager\_salary\_after\_emp\_insert}
AFTER INSERT ON Emp
FOR EACH ROW
BEGIN
   SELECT CASE
       WHEN EXISTS (
           SELECT 1
           FROM Dept JOIN Emp AS M ON Dept.manager = M.eno
           WHERE NEW.eno != M.eno AND
                    Dept.dno = NEW.dno AND NEW.salary >= M.salary
       )
       THEN
           RAISE(FAIL, '员工工资不能高于或等于其管理工资')
   END;
END;
,,,)
# 更新员工时触发
cursor. executescript(''')
CREATE TRIGGER check manager salary after emp update
AFTER UPDATE ON Emp
FOR EACH ROW
BEGIN
   SELECT CASE
       WHEN EXISTS (
           SELECT 1
           FROM Dept JOIN Emp AS M ON Dept.manager = M.eno
           WHERE NEW. eno != M. eno AND
                   Dept. dno = NEW. dno AND NEW. salary >= M. salary
       )
       THEN
           RAISE(FAIL, '更新失败: 员工工资不能高于或等于其管理工资')
   END;
END;
,,,)
# 更新管理时触发
cursor.executescript('''
CREATE TRIGGER check_manager_salary_after_dept_update
AFTER UPDATE OF manager ON Dept
FOR EACH ROW
BEGIN
   SELECT CASE
       WHEN EXISTS (
           SELECT 1
           FROM Emp
           WHERE dno = NEW. dno AND eno != NEW. manager AND
                    salary >= (SELECT salary FROM Emp WHERE eno = NEW.manager)
       )
       THEN
           RAISE (FAIL, '更新失败: 经理工资必须高于所有员工工资')
   END;
END;
,,,)
#插入新部门时触发(其实理应不需要,新部门第一个就是管理员)
cursor.executescript('''
CREATE TRIGGER check manager salary after dept insert
AFTER INSERT ON Dept
FOR EACH ROW
BEGIN
```

```
SELECT CASE
       WHEN EXISTS (
           SELECT 1
           FROM Emp
           WHERE dno = NEW. dno AND eno != NEW. manager AND
                     salary >= (SELECT salary FROM Emp WHERE eno = NEW. manager)
       )
       THEN
           RAISE(FAIL, '插入失败: 经理工资必须高于所有员工工资')
   END:
END;
,,,)
# 处理预算统计触发器
cursor. executescript ('''
DROP TRIGGER IF EXISTS budget_statistics_trigger_after_insert;
DROP TRIGGER IF EXISTS budget_statistics_trigger_after_update;
DROP TRIGGER IF EXISTS budget_statistics_trigger_after_delete;
DROP TRIGGER IF EXISTS budget_statistics_trigger_after_create;
,,,)
# 创建预算统计触发器
cursor. executescript('''
CREATE TRIGGER budget_statistics_trigger_after_create
AFTER INSERT ON Dept
FOR EACH ROW
BEGIN
   UPDATE Dept SET budget = 0 WHERE dno = NEW. dno;
END;
,,,)
# 插入触发器
cursor. executescript('''
{\tt CREATE\ TRIGGER\ budget\_statistics\_trigger\_after\_insert}
AFTER INSERT ON Emp
FOR EACH ROW
BEGIN
   UPDATE Dept SET budget = budget + NEW. salary WHERE dno = NEW. dno;
END;
,,,)
# 删除触发器
cursor.executescript('''
CREATE TRIGGER budget_statistics_trigger_after_delete
AFTER DELETE ON Emp
FOR EACH ROW
BEGIN
   UPDATE Dept SET budget = budget - OLD. salary WHERE dno = OLD. dno;
END;
,,,)
# 更新触发器
cursor.executescript('''
CREATE TRIGGER budget_statistics_trigger_after_update
AFTER UPDATE OF salary, dno ON Emp
FOR EACH ROW
BEGIN
    -- 部门没变,只更新差值
   UPDATE Dept
   SET budget = budget + (NEW. salary - OLD. salary)
   WHERE dno = NEW. dno AND OLD. dno = NEW. dno;
    一 部门变了,分别扣旧部门、加新部门
   UPDATE Dept
```

```
SET budget = budget - OLD. salary
             WHERE dno = OLD. dno AND OLD. dno != NEW. dno;
             UPDATE Dept
             SET budget = budget + NEW. salary
             WHERE dno = NEW. dno AND OLD. dno != NEW. dno:
         END;
         ,,,)
         conn. commit()
         cursor. close()
         insert test data(conn)
         displayDB(conn)
         Inserting test data into Dept and Emp tables...
         Database created and data inserted successfully.
         Table: Dept
            dno dname
                         budget manager
         ()
           1001 计算机学院 28000.0
                                        1004
         1 1002
                  数学学院 38000.0
                                       1005
         2 1003
                  智能学院
                             4000.0
                                       1003
         Table: Emp
                         birtyday level position
             eno ename
                                                 salary
         0
           1001
                   张伟 1980-05-15
                                        3
                                                教师 12000.0 1001
         1 1002
                   李娜 1990-03-20
                                        2
                                                秘书
                                                      8000.0 1002
         2 1003
                   王强 1985-11-10
                                        1
                                                会计
                                                       4000.0
                                                              1003
         3 1004
                   赵婷
                        1992-08-05
                                                      16000.0 1001
                                        4
                                                教务
         4 1005
                   孙明
                        1988-12-25
                                        5
                                                教师
                                                     30000.0 1002
In [53]: # a successful insert into Emp table
         handle_error(conn, insert_emp, 1006, '王芳', '1995-01-01', 3, '教师', 13000.00, 1001
         print("After successful insert:")
         displayDB(conn)
         Record inserted successfully.
         Operation completed successfully.
         After successful insert:
         Table: Dept
             dno dname
                         budget manager
         0 1001 计算机学院 41000.0
                                        1004
                  数学学院 38000.0
         1 1002
                                       1005
         2 1003
                  智能学院
                            4000.0
                                       1003
         Table: Emp
             eno ename
                         birtyday level position salary
                                                            dno
         0
           1001
                   张伟
                        1980-05-15
                                        3
                                                教师 12000.0 1001
         1 1002
                   李娜
                        1990-03-20
                                        2
                                                秘书
                                                       8000.0 1002
         2 1003
                   王强
                        1985-11-10
                                                会计
                                                       4000.0 1003
                                        1
         3 1004
                                                教务
                   赵婷
                         1992-08-05
                                                      16000.0 1001
                                        4
         4 1005
                   孙明
                         1988-12-25
                                        5
                                                教师
                                                      30000.0
                                                              1002
         5 1006
                   王芳
                        1995-01-01
                                        3
                                                教师
                                                     13000.0 1001
```

```
In [54]: # a failed insert into Emp table handle_error(conn, insert_emp, 1007, '王芳', '1995-01-01', 5, '教师', 30000.00, 1001)
```

Error: 员工工资不能高于或等于其管理工资

```
# a successful update on Emp table (change salary to 15500.00)
In [55]:
         handle_error(conn, update_emp, eno=1006, salary=15500.00, level=4)
         print("After successful update:")
         displayDB(conn)
         Record updated successfully.
        Operation completed successfully.
         After successful update:
         Table: Dept
            dno dname
                        budget manager
        0 1001 计算机学院 43500.0
                                       1004
         1 1002
                 数学学院 38000.0
                                      1005
                  智能学院
         2 1003
                            4000.0
                                      1003
        Table: Emp
            eno ename
                        birtyday level position salary
                                                        dno
        0
                   张伟 1980-05-15
                                              教师 12000.0 1001
          1001
                                       3
         1 1002
                   李娜 1990-03-20
                                       2
                                              秘书
                                                     8000.0
                                                            1002
        2 1003
                   王强 1985-11-10
                                      1
                                                     4000.0 1003
                                               会计
        3 1004
                  赵婷 1992-08-05
                                      4
                                               教务 16000.0 1001
         4 1005
                  孙明 1988-12-25
                                       5
                                               教师 30000.0 1002
        5 1006
                  王芳 1995-01-01
                                     4
                                               教师 15500.0 1001
In [56]: # a failed update on Emp table
         handle error (conn, update emp, eno=1006, salary=20000.00, level=5)
         Error: 更新失败: 员工工资不能高于或等于其管理工资
In [57]: # a failed update on Dept table (due to manager salary constraint)
         handle_error(conn, update_dept, dno=1001, manager=1006)
         Error: 更新失败: 经理工资必须高于所有员工工资
         def swap_dept_manager(cursor, dno1, dno2):
In [58]:
            # 查出两个部门的当前经理
            cursor.execute('SELECT manager FROM Dept WHERE dno = ?', (dno1,))
            row1 = cursor. fetchone()
             if rowl is None:
                raise ValueError(f"Department {dno1} not found.")
            manager1 = row1[0]
            cursor. execute ('SELECT manager FROM Dept WHERE dno = ?', (dno2,))
            row2 = cursor. fetchone()
             if row2 is None:
                raise ValueError(f"Department {dno2} not found.")
            manager2 = row2[0]
            # 查出两个经理的工资和级别
            cursor. execute ('SELECT salary, level FROM Emp WHERE eno = ?', (manager1,))
            m1 = cursor. fetchone()
             if ml is None:
                raise ValueError(f"Manager {manager1} not found in Emp table.")
            salaryl, levell = ml
            cursor.execute('SELECT salary, level FROM Emp WHERE eno = ?', (manager2,))
            m2 = cursor. fetchone()
             if m2 is None:
                raise ValueError(f"Manager {manager2} not found in Emp table.")
            salary2, level2 = m2
            # 保证工资较低的经理在后
```

```
if salary1 <= salary2:
        tmp_salary = salary1
        tmp level = level1
        tmp\_dno = dno1
        tmp manager = manager1
        dno1 = dno2
        salary1 = salary2
        1 \text{evel} 1 = 1 \text{evel} 2
        manager1 = manager2
        dno2 = tmp\_dno
        salary2 = tmp_salary
        level2 = tmp\_level
        manager2 = tmp_manager
    print(f"Swapping managers: {manager1} (salary: {salary1}, level: {level1}) from
          f"with {manager2} (salary: {salary2}, level: {level2}) from {dno2}")
    # 开启事务
    cursor. execute('BEGIN DEFERRED TRANSACTION;')
    # 暂时提升后面部门的经理工资
    cursor. execute ('UPDATE Emp SET salary = ?, level = ? WHERE eno = ?',
                   (salary1 + 0.01, level1, manager2))
    # 交换部门的manager
    cursor. execute ('UPDATE Dept SET manager = ? WHERE dno = ?', (manager2, dno1))
    cursor. execute ('UPDATE Emp SET dno = ? WHERE eno = ?', (dno1, manager2))
    cursor.execute('UPDATE Dept SET manager = ? WHERE dno = ?', (manager1, dno2))
    cursor.execute('UPDATE Emp SET salary = ?, level = ?, dno = ? WHERE eno = ?'
                  , (salary2, level2, dno2, manager1))
    cursor. execute ('UPDATE Emp SET salary = ? WHERE eno = ?', (salary1, manager2))
    print(f"Managers of department {dno1} and {dno2} swapped successfully.")
handle_error(conn, swap_dept_manager, 1001, 1002)
print("After swapping managers:")
displayDB(conn)
Swapping managers: 1005 (salary: 30000.0, level: 5) from 1002 with 1004 (salary: 160
00.0, level: 4) from 1001
Managers of department 1002 and 1001 swapped successfully.
Operation completed successfully.
After swapping managers:
Table: Dept
                budget manager
    dno dname
                               1005
0 1001 计算机学院 43500.0
1 1002
        数学学院 38000.0
                               1004
2 1003
         智能学院
                   4000.0
                               1003
Table: Emp
   eno ename
                birtyday level position salary
                                                  dno
0 1001
          张伟 1980-05-15
                               3
                                      教师 12000.0 1001
1 1002
          李娜 1990-03-20
                               2
                                       秘书
                                             8000.0 1002
2 1003
          王强 1985-11-10
                                       会计
                               1
                                              4000.0 1003
3 1004
                                             30000.0 1002
          赵婷
               1992-08-05
                               5
                                       教务
                                       教师 16000.0 1001
4 1005
          孙明 1988-12-25
                               4
5 1006
          王芳 1995-01-01
                               4
                                       教师 15500.0 1001
```

```
displayDB(conn)
         Record updated successfully.
         Operation completed successfully.
         After successful update:
         Table: Dept
             dno dname
                         budget manager
         0 1001 计算机学院 28000.0
                                         1005
                 数学学院 63000.0
         1 1002
                                        1004
         2 1003
                   智能学院 4000.0
                                        1003
         Table: Emp
                         birtyday level position salary
             eno ename
                                                            dno
            1001
                   张伟 1980-05-15 3 教师 12000.0 1001
                                        2
1
         1 1002
                   李娜 1990-03-20
                                               秘书
                                                      8000.0 1002

    2
    1003
    王强
    1985-11-10
    1

    3
    1004
    赵婷
    1992-08-05
    5

    4
    1005
    孙明
    1988-12-25
    4

                                                       4000.0 1003
                                               会计
                                               教务 30000.0 1002
                                               教师 16000.0 1001
                                    5
         5 1006
                   王芳 1995-01-01
                                                教师 25000.0 1002
In [60]: # a successful delete on Emp table
         handle error (conn, delete emp, eno=1006)
         print("After successful delete:")
         displayDB(conn)
         Record deleted successfully.
         Operation completed successfully.
         After successful delete:
         Table: Dept
             dno dname
                         budget manager
         0 1001 计算机学院 28000.0
                                         1005
                 数学学院 38000.0
         1 1002
                                        1004
         2 1003
                   智能学院
                            4000.0
                                        1003
         Table: Emp
                         birtyday level position salary
             eno ename
                                                            dno
         0
                   张伟 1980-05-15 3 教师 12000.0 1001
           1001
                    李娜 1990-03-20
                                         2
         1 1002
                                               秘书
                                                      8000.0
                                                               1002
                                        1
                   王强 1985-11-10 1
赵婷 1992-08-05 5
         2 1003
                                               会计
                                                       4000.0 1003
         3 1004
                                                 教务 30000.0 1002
         4 1005
                   孙明 1988-12-25
                                        4
                                                 教师 16000.0 1001
         conn. close()
In [61]:
```

# 终极约束设计

print("After successful update:")

my\_stock(stock\_id, volume, avg\_price, profit): 表示所持有的股票编号、数量、持仓平均价格、利润

trans(trans\_id,stock\_id, date, price, amount, sell\_or\_buy): 表示一次交易的编号、股票编号、交易日期、成交价格、成交数量、买入还是卖出

使用触发器完成下面的工作:

- 1. 往trans里面插入一条记录时,根据其是买入还是卖出,调整my\_stock中的volume以及 avg\_price。如果是初次插入的股票交易,就在my\_stock中为该股票新建一条记录,profit 置为0。注意,如果一笔卖出交易的amount大于my\_stock中该股票的volume,说明是无效的下单交易,应该加以拒绝,直接抛弃。

由于sqlite不支持for & if,我也没有想到替代方案,第二题将符合MySQL的部分放在下方,替换现在触发器中的sell成功部分即可

```
-- Update the stock volume for a sell transaction
    -- Accumulate the profit from previous buy transactions
    DECLARE profit_accumulated REAL DEFAULT 0;
    DECLARE remaining_amount INT DEFAULT NEW.amount;
    -- Calculate the total amount already sold from previous sell
transactions
    DECLARE total selled amount INT DEFAULT (
        SELECT SUM(amount)
        FROM trans
        WHERE stock_id = NEW.stock_id AND sell_or_buy = 'S'
    );
    -- Loop through all previous buy transactions for this stock and
match with the sell
    FOR trans row IN (
        SELECT trans_id, price, amount
        FROM trans
        WHERE stock id = NEW.stock id AND sell or buy = 'B'
        ORDER BY date ASC -- Ensure buy transactions are processed in
the order they occurred
    ) DO
        -- Skip the buy transaction if the amount has already been fully
matched
        DECLARE available_buy_amount INT DEFAULT trans_row.amount -
total_selled_amount;
        IF available buy amount <= 0 THEN</pre>
            SET total_selled_amount = total_selled_amount -
trans_row.amount;
            CONTINUE;
        ELSE
            SET total_selled_amount = 0;
        END IF;
        -- Match as much as possible from the current buy transaction
        DECLARE amount to match INT DEFAULT LEAST(remaining amount,
available_buy_amount);
        IF amount_to_match > 0 THEN
            -- Calculate profit for the matched amount
            DECLARE profit REAL DEFAULT (NEW.price - trans_row.price) *
amount_to_match;
```

```
IF remaining_amount = 0 THEN
                           BREAK;
                       END IF;
                  END IF;
              END FOR;
              UPDATE my_stock
              SET volume = volume - NEW.amount,
                  profit = profit + profit_accumulated
              WHERE stock_id = NEW.stock_id AND NEW.sell_or_buy = 'S';
In [67]: conn = sqlite3. connect('test2.db')
         clenup(conn, 'my_stock', 'trans')
         cursor = conn. cursor()
         cursor. execute('PRAGMA foreign_keys = ON;')
         cursor. executescript ('''
         CREATE TABLE my stock (
             stock_id INTEGER PRIMARY KEY, -- 股票编号
             volume INTEGER,
                                           -- 股票数量
                                         -- 持仓平均价格
             avg_price REAL,
                                          -- 利润
             profit REAL
         );
         · · · )
         cursor. executescript ('''
         CREATE TABLE trans (
             trans_id INTEGER PRIMARY KEY, -- 交易编号
             stock_id INTEGER, -- 股票编号
date INTEGER, -- 成交日期
             date INTEGER,
                                         -- 成交价格
             price REAL,
             amount INTEGER,
                                           -- 成交数量
                                           -- 'B' 或 'S'
             sell or buy TEXT,
             FOREIGN KEY (stock id) REFERENCES my stock(stock id),
             CONSTRAINT chk_sell_or_buy CHECK (sell_or_buy IN ('B', 'S'))
         );
         cursor. execute ('''
         DROP TRIGGER IF EXISTS trans insert trigger;
         ''')
         cursor. executescript ('''
         CREATE TRIGGER trans_insert_trigger
         BEFORE INSERT ON trans
         FOR EACH ROW
         BEGIN
             -- For a buy transaction
             INSERT OR IGNORE INTO my stock (stock id, volume, avg price, profit)
             VALUES (NEW. stock id, 0, 0, 0);
             -- Update the stock volume and average price for a buy transaction
             UPDATE my stock
             SET volume = volume + NEW. amount,
                 avg_price = (avg_price * volume + NEW.amount * NEW.price) / (volume + NEW.amo
             WHERE stock_id = NEW.stock_id AND NEW.sell_or_buy = 'B';
```

SET profit\_accumulated = profit\_accumulated + profit;
SET remaining\_amount = remaining\_amount - amount\_to\_match;

```
-- If sell is attempted with more than available volume, reject the transaction
             SELECT CASE
                       WHEN (SELECT volume FROM my_stock WHERE stock_id = NEW.stock_id) < NEW
                       THEN RAISE (FAIL, 'Insufficient stock volume for sell transaction')
                       ELSE NULL
                   END;
            UPDATE my stock
            SET volume = volume - NEW. amount
            WHERE stock_id = NEW.stock_id AND NEW.sell_or_buy = 'S';
         END;
         ,,,)
         conn. commit()
         cursor. close()
         def insert_trans(cursor, trans_id, stock_id, date, price, amount, sell_or_buy):
In [74]:
            cursor. execute ('''
                INSERT INTO trans (trans_id, stock_id, date, price, amount, sell_or_buy)
                VALUES (?, ?, ?, ?, ?)
             ''', (trans_id, stock_id, date, price, amount, sell_or_buy))
         handle_error(conn, insert_trans, 1, 1, 1, 10, 1000, 'B')
In [75]:
         displayDB(conn)
        Operation completed successfully.
         Table: my_stock
           stock_id volume avg_price profit
             1
                      1000
                            10.0 0.0
        Table: trans
           trans_id stock_id date price amount sell_or_buy
                    1 1
                                   10.0
                                          1000 B
           1
In [76]:
         handle_error(conn, insert_trans, 2, 1, 2, 11, 500, 'B')
         displayDB(conn)
        Operation completed successfully.
         Table: my stock
           stock_id volume avg_price profit
                     1500 10.333333
             1
                                      0.0
        Table: trans
           trans_id stock_id date price amount sell_or_buy
                1
                    1 1 10.0
                                            1000
                           1
                               2 11.0
                                             500
         handle_error(conn, insert_trans, 3, 1, 3, 12, 800, 'S')
In [77]:
         displayDB(conn)
```

-- For a sell transaction, check if enough volume exists

```
Table: trans
          trans_id stock_id date price amount sell_or_buy
        0
               1 1 10.0 1000
       1
                2
                        1
                            2
                               11.0
                                        500
                                                   В
                            3 12.0
        2
                3
                       1
                                        800
                                                    S
        handle error (conn, insert trans, 4, 1, 4, 12, 1000, 'S')
In [78]:
        displayDB(conn)
       Error: Insufficient stock volume for sell transaction
       Table: my stock
          stock_id volume avg_price profit
          1 700 10.333333
                                  0.0
       Table: trans
         trans_id stock_id date price amount sell_or_buy
              1 1 10.0 1000
                            2 11.0
       1
                2
                       1
                                        500
                                                   В
                            3 12.0
                3
                        1
                                        800
                                                   S
In [79]:
        handle_error(conn, insert_trans, 5, 1, 5, 9, 1000, 'B')
        displayDB(conn)
       Operation completed successfully.
       Table: my_stock
         stock_id volume avg_price profit
          1 1700 9.54902 0.0
       Table: trans
          trans_id stock_id date price amount sell_or_buy
                          1
                                            В
              1
                   1
                               10.0
                                     1000
                       1 2 11.0
1 3 12.0
       1
                2
                                        500
                                                   В
        2
               3
                                        800
                                                   S
        3
               5
                            5 9.0
                                                   В
                       1
                                       1000
In [80]: handle_error(conn, insert_trans, 6, 1, 6, 12, 800, 'S')
        displayDB(conn)
```

Operation completed successfully.

stock\_id volume avg\_price profit 1 700 10.333333 0.0

Table: my\_stock

```
Operation completed successfully.
Table: my_stock
    stock_id volume avg_price profit
0 1 900 9.54902 0.0
```

Table	trans
IUDIC	trans

	trans_id	stock_id	date	price	amount	sell_or_buy
0	1	1	1	10.0	1000	В
1	2	1	2	11.0	500	В
2	3	1	3	12.0	800	S
3	5	1	5	9.0	1000	В
4	6	1	6	12.0	800	S

# In [81]: handle\_error(conn, insert\_trans, 7, 1, 7, 7, 800, 'S') displayDB(conn)

Operation completed successfully.

Table: my\_stock

#### Table: trans

	trans_id	stock_id	date	price	amount	sell_or_buy
0	1	1	1	10.0	1000	В
1	2	1	2	11.0	500	В
2	3	1	3	12.0	800	S
3	5	1	5	9.0	1000	В
4	6	1	6	12.0	800	S
5	7	1	7	7.0	800	S