

3.3 Chain Homotopy vs. Homotopy(extra)

同伦与链同伦的关系在前文中并没有讲清楚, 在此处进行着重讲解 (参考齐震宇, 代数拓扑 3 同伦诱导链同伦), 笔记暂时设计为英文, 穿插部分中文解释.

定义 3.3.1. (Chain Homotopy) Let C, C' be two chain complexes, $(f_0)_\#$ and $(f_1)_\#$ be chain maps.

$$C \begin{array}{c} \xrightarrow{(f_0)_\#} \\ \xrightarrow{(f_1)_\#} \end{array} C'$$

A chain homotopy from $(f_0)_\#$ to $(f_1)_\#$ consists of group homomorphisms $h_k : C_k \rightarrow C'_{k+1}$ so that $(f_1)_k - (f_0)_k = \partial'_{k+1}h_k + h_{k-1}\partial_k, k \in \mathbb{Z}$.

The diagram of chain homotopy is

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_k & \xrightarrow{\partial_k} & C_{k-1} & \longrightarrow & \cdots \\ & & \downarrow & & \downarrow & & \\ & & (f_1)_k - (f_0)_k & & & & \\ & \swarrow h_k & \downarrow & \nwarrow h_{k-1} & & & \\ \cdots & \longrightarrow & C'_{k+1} & \xrightarrow{\partial'_{k+1}} & C'_k & \longrightarrow & \cdots \end{array}$$

Notation : $f_0 \simeq^{h_\#} f_1$.

定义 3.3.2. (Homotopy) 即同伦定义

3.3.1 (Homotopy \Rightarrow Chain Homotopy)

Let $f_0 \simeq f_1 : X \rightarrow Y$ in **Top**. we want to show that $(f_1)_\# \simeq (f_2)_\#$, notice that in **Top** we have:

$$\begin{array}{ccccc} & & f_1 & & \\ & \searrow & & \nearrow & \\ X & \xrightarrow{i_1} & X \times I & \xrightarrow{h} & Y \\ & \swarrow & & \nwarrow & \\ & & f_0 & & \end{array}$$

Note that we may reduce the problem to the case $Y = X \times I, f_0 = i_0, f_1 = i_1$.

定理 3.3.1. It exist a family of natural transformations

$$h_k : S_k \rightarrow S_{k+1}(\cdot \times I), k \in \mathbb{Z}$$

so that $(i_1)_\# - (i_0)_\# = \partial'_{k+1} \circ h_k = h_{k-1} \circ \partial_k, \forall k \in \mathbb{Z}$.

$$\begin{array}{ccccccc} \cdots & \longrightarrow & S_{k+1} & \xrightarrow{\quad} & S_k & \xrightarrow{\partial_k} & S_{k-1} \longrightarrow \cdots \\ & & \downarrow & & \downarrow & & \downarrow \\ & & & \swarrow h_k & (i_1)_\# - (i_0)_\# & \nwarrow h_{k-1} & \\ \cdots & \longrightarrow & S_{k+1}(\cdot \times I) & \xrightarrow{\partial'_{k+1}} & S_k(\cdot \times I) & \longrightarrow & S_{k-1}(\cdot \times I) \longrightarrow \cdots \end{array}$$

In other words, for any topological space X , we need to construct $S_k(X) \xrightarrow{h_k(X)} S_{k+1}(X \times I), k \in \mathbb{Z}$, so that $i_1(X)_\# - i_0(X)_\# = \partial_{k+1}(X \times I) \circ h_k(X) + h_{k-1}(X) \circ \partial_k(X)$.

And

$$\begin{array}{ccccc}
 X & & S_k(X) & \xrightarrow{h_k(X)} & S_{k+1}(X \times I) \\
 \downarrow f & & \downarrow f_{\#} & & \downarrow (f \times \text{id}_I)_{\#} \\
 Y & & S_k(Y) & \xrightarrow{h_k(Y)} & S_{k+1}(Y \times I)
 \end{array}$$

Proof. 1) If such h_k exists for every $k \in \mathbb{Z}$, it is determined by $h_k(\Delta_k)(\text{id}_{\Delta_k})$ (取 $S_k(X)$ 中的生成元 σ , 而 σ 可以完全由 $S_k(\Delta_k)$ 中的元素所决定, 不妨设这个元素为 id_{Δ_k}), thus the diagram below is commutative (the pink part is determined by σ)

$$\begin{array}{ccccc}
 \sigma : \Delta_k \rightarrow X & \xleftarrow{\quad} & & \xrightarrow{\quad} & \text{id}_{\Delta_k} \\
 \downarrow h_k(X)\sigma \text{ must be} & \in & S_k(X) & \xleftarrow{\sigma_{\#}} & S_k(\Delta_k) \\
 & & \downarrow h_k(X) & & \downarrow h_k(\Delta_k) \\
 & & S_{k+1}(X \times I) & \xleftarrow{(\sigma \times \text{id}_I)_{\#}} & S_{k+1}(\Delta_k \times I) \\
 & \in & & \ni & \\
 (\sigma \times \text{id})_{\#}(h_k(\Delta_k)\text{id}_{\Delta_k}) & \xleftarrow{\quad} & & \xrightarrow{\quad} & h_k(\Delta_k)\text{id}_{\Delta_k}
 \end{array}$$

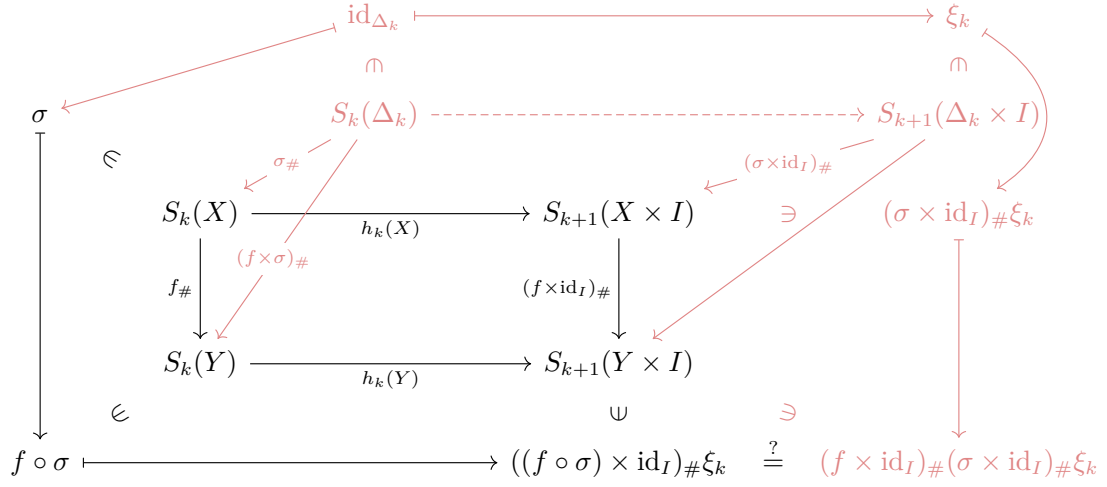
Hence, if h_k exists, it is determined by its definitio, and its form is as shown in the diagram above. Thus we can use above step as the definition of h_k (事先选定好 h_k , 即选定了 $h_k(X)$ 作用在 σ 上的点, 接下来的问题就是如何选好 $(h_k(\Delta_k)\text{id}_{\Delta_k})$ 使其满足定理所给条件).

2) On the other hand, if we have chosen an element $\xi_k \in S_{k+1}(\Delta_k \times I)$ to play a role of $h_k(\Delta_k)\text{id}_{\Delta_k}$ and define

$$\begin{array}{ccc}
 \bigoplus_{\sigma: \Delta_k \rightarrow X} \mathbb{Z}\sigma & & \\
 \parallel & & \\
 S_k(X) & \xrightarrow{h_k(X)} & S_{k+1}(X \times I) \\
 \Psi & & \Psi \\
 \sigma & \longmapsto & h_k(X)\sigma \quad := \quad (\sigma \times \text{id})_{\#}\xi_k
 \end{array}$$

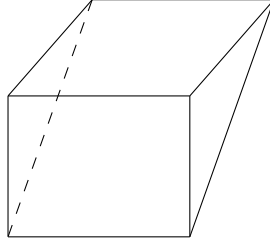
then we need to prove $h_k : S_k \rightarrow S_{k+1}(\cdot \times I)$ is a natural transformation (虽然前文说如果 h_k 是一个自然变换, 它就完全由上文决定, 但是并没有说明在这一步这样定义的 h_X

就是一个自然变换). Therefore, consider diagram below



we need to check if $((f \circ \sigma) \times \text{id}_I)_\# \xi_k = (f \times \text{id}_I)_\# (\sigma \times \text{id}_I)_\# \xi_k$, it determines whether the diagram commutative.

注 3.3.2. 此处, 可以将交换图表的主体想象为如下图所示的几何图形. 我们想证明的是

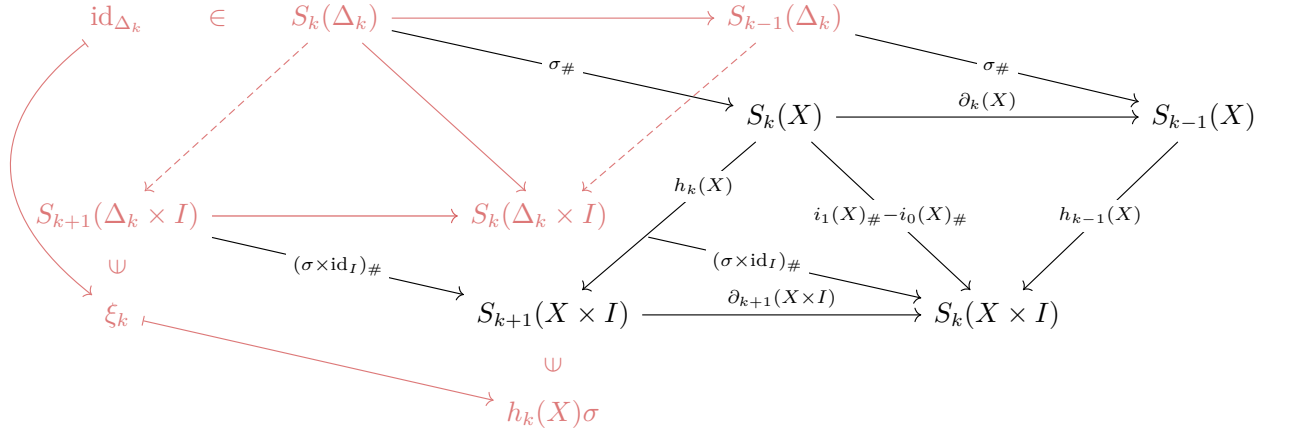


该几何图形的正面可以交换, 已有条件为其它几个面均可交换 (左右两侧交换性来源于链映射 $\#$).

3) Furthermore, if h_{k-1} and h_k are defined in the manner of 2), and $\xi_k = h_k(\Delta_k) \text{id}_{\Delta_k}$ so that (假设是对的)

$$\partial_{k+1}(\Delta_k \times I) h_k(\Delta_k) \text{id}(\Delta_k) = i_1(\Delta_k)_\# \text{id}_{\Delta_k} - i_0(\Delta_k)_\# \text{id}_{\Delta_k} - h_{k-1}(\Delta_k) \partial_k(\Delta_k) \text{id}_{\Delta_k} \quad (*)$$

Consider the diagram



then

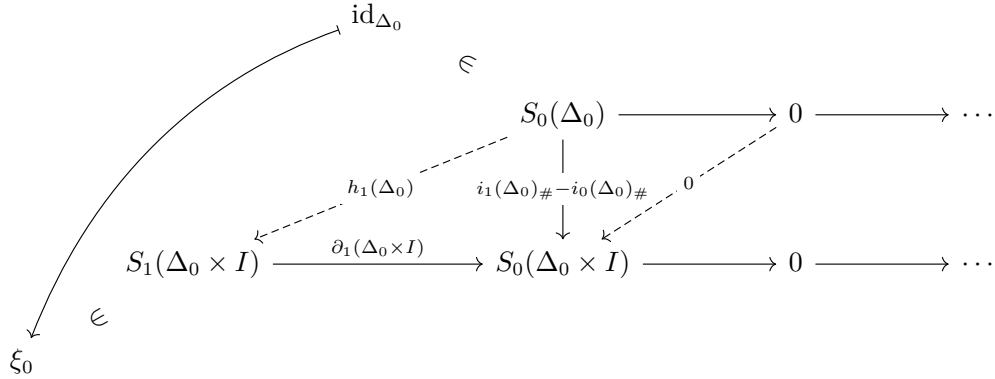
$$\partial_{k+1}(X \times I) \circ h_k(X)\sigma = \partial_{k+1}(X \times I)(\sigma \times \text{id}_I)_\# h_k(\Delta_k)\text{id}_{\Delta_k}$$

The formula (*) tell us

$$\begin{aligned} \partial_{k+1}(X \times I)(\sigma \times \text{id}_I)_\# h_k(\Delta_k)\text{id}_{\Delta_k} &= (\sigma \times \text{id}_I)_\# \partial_{k+1}(\Delta_k \times I)\text{id}_{\Delta_k} \\ &= (\sigma \times \text{id}_I)_\# (i_1(\Delta_k)_\# - i_0(\Delta_k)_\# \text{id}_{\Delta_k} - h_{k-1}(\Delta_k)\partial_k(\Delta_k)\text{id}_{\Delta_k}) \\ &= (\iota_1(X)_\# - \iota_0(X)_\#)\sigma_\# \text{id}_{\Delta_k} - h_{k-1}(X)\sigma_\# \partial_k(\Delta_k)\text{id}_{\Delta_k} \\ &= \iota_1(X)_\# \sigma - \iota_0(X)_\# \sigma - h_{k-1}(X)\partial_k(X)\sigma \end{aligned}$$

4) Use Mathematical Induction to find ξ_k which satisfies formula (*).

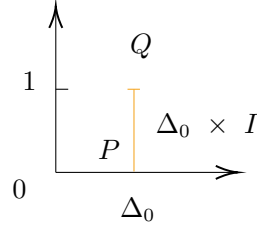
when $k = 0$, we have



It means that we need to find a ξ_0 satisfies

$$\partial_1(\Delta_0 \times I) \circ \xi_0 = i_1(\Delta_0)_\# - i_0(\Delta_0)_\#(\text{id}_{\Delta_0})$$

now we research $(i_1(\Delta_0) - i_0(\Delta_0))\text{id}_{\Delta_0}$. Since Δ_0 is a point, we can picture that



Let $\iota_0(\Delta_0) = P, \iota_1(\Delta_0) = Q$. We have $(\iota_1(\Delta_0)_\# - \iota_0(\Delta_0)_\#)(\text{id}_{\Delta_0}) = Q - P$, where Q and P both point. now we need $\xi_0 \in \Delta_0 \times I$ be a curve which boundary is Q and P .

For example, one can take ξ_0 to be the 1- simplex $\xi_0 : (t_0, t_1) \mapsto (1, t_1)$

5) Suppose we have defined $h_l, l < k$ (following 2)) so that

$$\forall X \in \text{Ob } \mathbf{Top}, i_1(X)_\# - i_0(X)_\# = \partial_{l+1}(X \times I)h_l(X) + h_{l-1}(X)\partial_l(X), l < k$$

Now, consider the diagram

$$\begin{array}{ccccccc}
 & & \text{id}_{\Delta_k} & \in & S_k(\Delta_k) & \xrightarrow{\partial_k(\Delta_k)} & S_{k-1}(\Delta_k) & \xrightarrow{\partial_{k-1}(\Delta_k)} & S_{k-2}(\Delta_k) & \longrightarrow & \dots \\
 & \swarrow & & & \downarrow & & \downarrow & & \downarrow & & \\
 \xi_k & & & & i_1(\Delta_k)_\# - i_0(\Delta_k)_\# & \xrightarrow{h_{k-1}(\Delta_k)} & i_1(\Delta_k)_\# - i_0(\Delta_k)_\# & \xrightarrow{h_{k-2}(\Delta_k)} & i_1(\Delta_k)_\# - i_0(\Delta_k)_\# & & \\
 \cap & & & & \downarrow & & \downarrow & & \downarrow & & \\
 S_{k+1}(\Delta_k \times I) & \xrightarrow{\partial_{k+1}(\Delta_k \times I)} & S_k(\Delta_k \times I) & \xrightarrow{\partial_k(\Delta_k \times I)} & S_{k-1}(\Delta_k \times I) & \longrightarrow & \dots
 \end{array}$$

We need to find ξ_k which satisfies

$$\partial_{k+1}(\Delta_k \times I)(\xi_k) = i_1(\Delta_k)_\# \text{id}_{\Delta_k} - i_0(\Delta_k)_\# \text{id}_{\Delta_k} - h_{k-1}(\Delta_k) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k}$$

Since $\Delta_k \times I$ is a star-shaped set ($H_k(X) = 0, k \geq 1$). We only need prove that $i_1(\Delta_k)_\# \text{id}_{\Delta_k} - i_0(\Delta_k)_\# \text{id}_{\Delta_k} - h_{k-1}(\Delta_k) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k} \in \ker(\partial_k(\Delta_k \times I))$. Since the diagram of chain complex is commutative.

$$\partial_k(\Delta_k \times I)(i_1(\Delta_k)_\# \text{id}_{\Delta_k} - i_0(\Delta_k)_\# \text{id}_{\Delta_k}) = (i_1(\Delta_k)_\# - i_0(\Delta_k)_\#) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k}$$

and from the assumption we can find

$$\partial_k(\Delta_k \times I)(h_{k-1}(\Delta_k) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k}) = ((i_1(\Delta_k)_\# - i_0(\Delta_k)_\#) - h_{k-2}(\Delta_k) \partial_{k-1}(\Delta_k)) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k}$$

Since $k-1 < k$ and $\partial_{k-1} \partial_k = 0$ we have

$$(i_1(\Delta_k)_\# - i_0(\Delta_k)_\#)_{k-1} = \partial_k(\Delta_k \times I) \circ h_{k-1}(\Delta_k) + h_{k-2}(\Delta_k) \circ \partial_{k-1}(\Delta_k)$$

and

$$h_{k-2}(\Delta_k) \partial_{k-1}(\Delta_k) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k} = 0$$

Thus

$$\partial_k(\Delta_k \times I)(h_{k-1}(\Delta_k) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k}) = (i_1(\Delta_k)_\# - i_0(\Delta_k)_\#) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k}$$

and hence,

$$i_1(\Delta_k)_\# \text{id}_{\Delta_k} - i_0(\Delta_k)_\# \text{id}_{\Delta_k} - h_{k-1}(\Delta_k) \circ \partial_k(\Delta_k) \text{id}_{\Delta_k} = 0$$

□

3.3.2 Acyclic model theorem[8]

定义 3.3.3. (models and expressible functors) Let \mathcal{C} be a category and $F : \mathcal{C} \rightarrow \mathbf{Ab}$ be a covariant functor.

Suppose we have chosen a set $\mathfrak{M} \subset \text{Ob}(\mathcal{C})$ and $\mathcal{U} := \{U_M \subset F(M) : M \in \mathfrak{M}\}$ a family of subsets of $F(M)$, $M \in \mathfrak{M}$.

1) we can define a (covariant) functor

$$\begin{aligned} \tilde{F}^{\mathcal{U}} &: \mathcal{C} \rightarrow \mathbf{Ab} \\ \tilde{F}^{\mathcal{U}}(X) &:= \mathbb{Z}^{\oplus \{(\phi, m) : M \xrightarrow{\phi} X : m \in U_M, M \in \mathfrak{M}\}} \\ X \xrightarrow[\mathcal{C}]{f} Y &\longmapsto \tilde{F}^{\mathcal{U}}(X) \xrightarrow{\tilde{F}^{\mathcal{U}}(f)} \tilde{F}^{\mathcal{U}}(Y) \\ (\phi, m) &\longmapsto (f \circ \phi, m) \end{aligned}$$

2) we have a natural transformation $\tilde{F}^{\mathcal{U}} \xrightarrow{\pi} F$.

$$\begin{aligned} \tilde{F}^{\mathcal{U}}(X) &\xrightarrow{\pi(X)} F(X) \\ (\phi, m) &\mapsto F(\phi)m \end{aligned}$$

注 3.3.3. 考虑图表

$$\begin{array}{ccccc} M & & F(M) & \supset & U_M & \ni & m \\ \downarrow \phi & & \downarrow F(\phi) & & & \nearrow & \\ X & & F(X) & \ni & F(\phi) \cdot m & & \end{array}$$

以得知前因后果.

Proof.

$$\begin{array}{ccccc}
X & & \tilde{F}^{\mathcal{U}}(X) & \xrightarrow{\pi_X} & F(X) \\
\downarrow f & & \downarrow \tilde{F}f & & \downarrow Ff \\
Y & & \tilde{F}^{\mathcal{U}}(Y) & \xrightarrow{\pi_Y} & F(Y)
\end{array}$$

we need to show that $F(f) \circ \pi_X = \pi_Y \circ \tilde{F}f$. Since

$$\begin{aligned}
F(f) \circ \pi(X) : \tilde{F}^{\mathcal{U}}(X) &\rightarrow F(Y) \\
(\phi, m) &\mapsto F(f)(F(\phi)m) \\
\pi_Y \circ \tilde{F}f : \tilde{F}^{\mathcal{U}}(X) &\rightarrow F(Y) \\
(\phi, m) &\mapsto F(f \circ \phi)m = F(f)(F(\phi)m)
\end{aligned}$$

Thus π is a natural transformation. □

3) we say that F is \mathcal{U} -expressible if π is a natural equivalence.

例 3.3.4. Let $F = S_k : \mathbf{Top} \rightarrow \mathbf{Ab}$, and $\mathfrak{M} = \{\Delta_k\}, \mathcal{U} := \{\text{id}_{\Delta_k}\} \subset S_k(\Delta_k)$. Thus $\tilde{F}^{\mathcal{U}}(X) = \mathbb{Z} \oplus \{(\sigma, \text{id}_{\Delta_k}) : \Delta_k \xrightarrow{\sigma} X = S_k(X)\}$. It is easy to find that π is a natural equivalence, and then F is \mathcal{U} -expressible.

定理 3.3.5. (acyclic model theorem Ib) Let \mathcal{C} be a category. Suppose we have covariant functors from \mathcal{C} to \mathbf{Ab} and natural transformations between them as shown in below diagram

$$\begin{array}{ccccccc}
& & K_k & \xrightarrow{\partial_k} & K_{k-1} & \xrightarrow{\partial_{k-1}} & K_{k-2} \\
& & \downarrow f_k & & \downarrow f_{k-1} & & \downarrow f_{k-2} \\
& & K'_k & \xrightarrow{\partial'_k} & K'_{k-1} & & \\
& \swarrow h_{k-1} & & \swarrow h_{k-2} & & & \\
K'_{k+1} & \xrightarrow{\partial'_{k+1}} & K'_k & \xrightarrow{\partial'_k} & K'_{k-1} & &
\end{array}$$

where uppercase letters represent functors and arrow represent natural transformation between them so that $\partial_{k-1} \circ \partial_k = 0, \partial'_k \circ \partial'_{k+1} = 0, \partial'_k \circ f_k = f_{k-1} \circ \partial_k$ (square commutative), and $f_{k-1} = \partial'_k \circ h_{k-1} + h_{k-2} \circ \partial_{k-1}$. If K_k is \mathcal{U} -expressible (for some \mathcal{U} above, $\mathfrak{M} \subset \text{Ob}(\mathcal{C})$, $U_M \in K_k(M), M \in \mathfrak{M} \Rightarrow \tilde{K}_k^{\mathcal{U}} \xrightarrow[\sim]{\pi} K_k$) and if $\ker \partial'_k(M) = \text{im } \partial'_{k+1}(M)$ for all $M \in \mathfrak{M}$, then it exists a natural transformation $h_k : K_k \rightarrow K_{k+1}$ so that $f_k = h_{k-1} \circ \partial_k + \partial'_{k+1} \circ h_k$.

Proof. 1) Define $h_k(M)u$ for $u \in U_M \subset K_k(M), M \in \mathfrak{M}$, consider the diagram

$$\begin{array}{ccccccc}
& & u & & & & \\
& & \cap & & & & \\
& & K_k(M) & \xrightarrow{\partial_k(M)} & K_{k-1}(M) & \xrightarrow{\partial_{k-1}(M)} & K_{k-2}(M) \\
& & \downarrow f_k(M) & & \downarrow f_{k-1}(M) & & \downarrow f_{k-2}(M) \\
& & K'_k(M) & \xrightarrow{\partial'_k(M)} & K'_{k-1}(M) & & \\
& & \cup & & & & \\
& & f_k(M)u - h_{k-1}(M)\partial_k(M)u & & & &
\end{array}$$

$K'_{k+1}(M) \xrightarrow{\partial'_{k+1}(M)} K'_k(M) \xrightarrow{\partial'_k(M)} K'_{k-1}(M)$

$h_{k-1}(M)$ (arrow from $K_k(M)$ to $K'_k(M)$)

$h_{k-2}(M)$ (arrow from $K_{k-1}(M)$ to $K'_{k-1}(M)$)

we need to prove $f_k(M)u - h_{k-1}(M)\partial_k(M)u \in K'_k(M)$.

$$\begin{aligned}
& \partial'_k(M)(f_k(M)u - h_{k-1}(M)\partial_k(M)u) \\
&= f_{k-1}(M)\partial_k(M)u - (f_{k-1}(M)\partial_k(M)u - h_{k-2}(M)\partial_{k-1}(M)\partial_k(M)u) \\
&= 0
\end{aligned}$$

Choose a $\xi_u \in K'_{k+1}(M)$ which satisfies

$$\partial'_{k+1}(M)\xi_u = f_k(M)u - h_{k-1}(M)\partial_k(M)u$$

2) Define a natural transformation $\tilde{K}_k^{\mathcal{U}} \xrightarrow{\tilde{h}_k} K'_{k+1}$ due to below diagram

$$\begin{array}{ccc}
& \tilde{K}_k^{\mathcal{U}} & \\
& \searrow \tilde{h}_k & \downarrow \sim \pi \\
& & K_k \\
& \swarrow \text{wish} & \\
K'_{k+1} & &
\end{array}$$

we wish construct $h_k : K_k \rightarrow K'_{k+1}$ it is hard, but notice that K_k is \mathcal{U} -expressible thus we can get the diagram above, the way to determine $\tilde{h}_k(X)$ as shown in the diagram

below

$$\begin{array}{ccccc}
& & (\phi, u) & \xrightarrow{\quad\quad\quad} & K'_{k+1}(\phi)\xi_u \\
& & \downarrow & \lrcorner & \downarrow \\
X & & \tilde{K}_k^{\mathcal{U}}(X) & \xrightarrow{\tilde{h}_X} & K'_{k+1}(X) \\
& & \downarrow \tilde{K}_k^{\mathcal{U}}(f) & & \downarrow K'_{k+1}(f) \\
& & \tilde{K}_k^{\mathcal{U}}(Y) & \xrightarrow{\tilde{h}_Y} & K'_{k+1}(Y) \\
& & \downarrow & \lrcorner & \downarrow \\
& & (f \circ \phi, u) & \xrightarrow{\quad\quad\quad} & K'_{k+1}(f \circ \phi)\xi_u
\end{array}$$

Thus we have

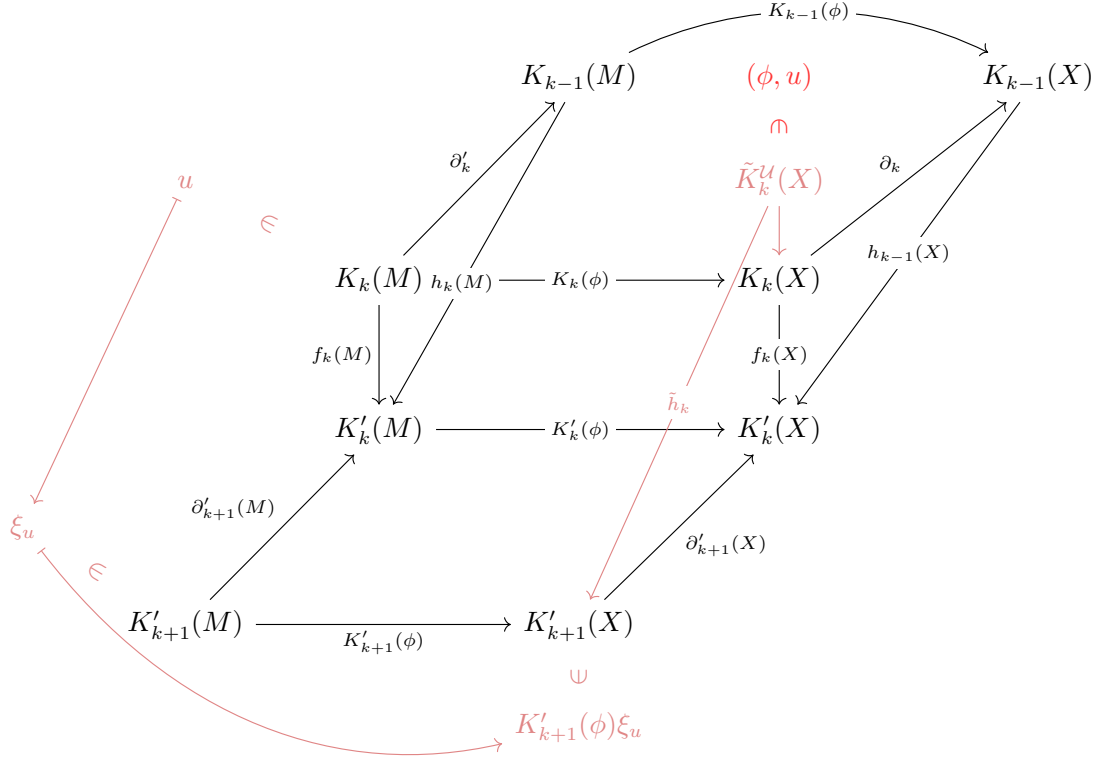
$$\tilde{K}_k^{\mathcal{U}}(X) \longrightarrow K'_{k+1}(X)$$

$$(\phi, u) \longmapsto K'_{k+1}(\phi)\xi_u$$

3) We want to prove $f_k \circ \pi = \partial'_{k+1} \tilde{h}_k + h_{k-1} \circ \partial_k \circ \pi$ since

$$\begin{array}{ccccc}
& & \tilde{K}_k^{\mathcal{U}} & & \\
& & \downarrow \sim \pi & & \\
& & K_k & \xrightarrow{\partial_k} & K_{k-1} \\
& \swarrow \tilde{h}_k & \downarrow f_k & \nwarrow h_{k-1} & \\
K'_{k+1} & \xrightarrow{\partial'_{k+1}} & K'_k & &
\end{array}$$

to prove this, we need to use below diagram



therefore

$$\begin{aligned}
& f_k(X)\pi(X)(\phi, u) \\
&= f_k(X)K_k(\phi)u \\
&= K'_k(\phi)f_k(M)u \\
&= K'_k(\phi)(\partial'_k(M)\xi_u + h_{k-1}(M)\partial_{k-1}(M)u) \\
&= \partial'_{k+1}(X)K'_{k+1}(\phi)\xi_u + h_{k-1}(X)K_{k-1}(\phi)\partial_k(M)u \\
&= \partial'_{k+1}(X)\tilde{h}_k(X)(\phi, u) + h_{k-1}(X)\partial_k(X)K_k(\phi)u \\
&= \partial'_{k+1}(X)\tilde{h}_k(X)(\phi, u) + h_{k-1}(X)\partial_k(X)\pi(X)(\phi, u)
\end{aligned}$$

□

注 3.3.6. We only need to assume that π has a (natural) right inverse (or say π is epi).

$$\tilde{K}_k^{\mathcal{U}} \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{\exists \tau} \end{array} K_k$$

so that

$$\pi \circ \tau = 1$$