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## 20241010 MATH3541 NOTE 7[1]

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**Author:** Be  $\sqrt{-1}$ maginative, and nothing will be  $\frac{d}{dx}$ ifficult!

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# 1 Introduction

Why shall we investigate topological groups?

- (1) Distinguish  $(X, \mathcal{O}_X)$  from  $\sigma(\mathbb{R})$ , where  $\sigma$  is a bijection on  $X$ .
- (2) Construct continuous functions on  $X$  via basic operations.

How to investigate topological groups?

- (1) Review basic concepts, especially group action, in group theory.
- (2) Force group composition and group inverse to be continuous.
- (3) Test the topological properties of these groups.

## 2 Group Action

### 2.1 Motivation from Permutation Group

In  $S_n$ , notice that:

- (1) There exists a well-defined function:

$$\text{Left} : S_n \times \{k\}_{k=1}^n \rightarrow \{k\}_{k=1}^n, \text{Left}(\sigma, l) = \sigma(l)$$

- (2) For all  $\sigma, \sigma' \in S_n$  and  $l \in \{k\}_{k=1}^n$ :

$$\text{Left}(\sigma, \text{Left}(\sigma', l)) = \text{Left}(\sigma, \sigma'(l)) = \sigma(\sigma'(l)) = \sigma\sigma'(l) = \text{Left}(\sigma\sigma', l)$$

- (3) For all  $l \in \{k\}_{k=1}^n$ :

$$\text{Left}(e, l) = e(l) = l$$

This is exactly why “a group  $G$  describes the symmetries on a set  $X$ ”, or “group theory is the language of symmetry”. We shall give a name to such function Left.

**Definition 2.1. (Left Action)**

Let  $G$  be a group,  $X$  be a set, and  $\text{Left} : G \times X \rightarrow X$  be a function. If:

(1)  $\forall g, g' \in G$  and  $x \in X, \text{Left}(g, \text{Left}(g', x)) = \text{Left}(gg', x)$ ;

(2)  $\forall x \in X, \text{Left}(e, x) = x$ ,

then Left is a left action of  $G$  on  $X$ .

There are some natural group actions.

**Proposition 2.2.** Let  $G$  be a group, and  $H$  be a subgroup of  $G$ .

Define  $\text{Left} : H \times G \rightarrow G, (h, g) \mapsto hg$ . Left is a left action of  $H$  on  $G$ .

*Proof.* We may divide our proof into two parts.

**Part 1:** For all  $h, h' \in H$  and  $g \in G$ :

$$\text{Left}(h, \text{Left}(h', g)) = \text{Left}(h, h'g) = hh'g = \text{Left}(hh', g)$$

**Part 2:** For all  $g \in G$ :

$$\text{Left}(e, g) = eg = g$$

Hence, Left is a left action of  $H$  on  $G$ . Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.3.** Let  $G$  be a group, and  $N$  be a normal subgroup of  $G$ . Define  $\text{Left} : G \times N \rightarrow N, (g, n) \mapsto gng^{-1}$ . Left is a left action of  $G$  on  $N$ .

*Proof.* We may divide our proof into two parts.

**Part 1:** For all  $g, g' \in G$  and  $n \in N$ :

$$\text{Left}(g, \text{Left}(g', n)) = \text{Left}(g, g'n g'^{-1}) = gg'n g'^{-1} g^{-1} = gg'n (gg')^{-1} = \text{Left}(gg', n)$$

**Part 2:** For all  $n \in N$ :

$$\text{Left}(e, n) = ene^{-1} = n$$

Hence, Left is a left action of  $G$  on  $N$ . Quod. Erat. Demonstrandum.  $\square$

## 2.2 Orbit of an Element

Identify every nontrivial  $\sigma \in S_n$  with the set it permutes.

Every  $\sigma \in S_n$  is a unique product of disjoint cycles.

Assume that  $x \in \sigma$  is in the nontrivial component cycle  $\sigma_x$  of  $\sigma$ .

For all  $m \in \mathbb{Z}$ ,  $\sigma^m(x) \in \sigma_x$ , so  $\sigma_x$  is fixed under  $\{\sigma^m\}_{m \in \mathbb{Z}}$ .

We shall describe this phenomenon in general.

### Definition 2.4. (Orbit)

Let  $G$  be a group,  $X$  be a set,  $x$  be an element of  $X$ , and  $\text{Left} : G \times X \rightarrow X$  be a left action of  $G$  on  $X$ .

Define the orbit of  $G$  on  $X$  through  $x$  as:

$$Gx = \text{Left}(G, x) = \{\text{Left}(g, x) \in X : g \in G\}$$

**Proposition 2.5.** Let  $G$  be a group,  $X$  be a set, and  $\text{Left} : G \times X \rightarrow X$  be a left action of  $G$  on  $X$ . Define  $\sim$  on  $X$  by:

$$x \sim x' \text{ if } x \in \text{Left}(G, x')$$

$\sim$  is an equivalence relation on  $X$ .

*Proof.* We may divide our proof into three parts.

**Part 1:** For all  $x \in X$ :

$$\exists e \in G, x = \text{Left}(e, x) \implies x \in \text{Left}(G, x) \implies x \sim x$$

**Part 2:** For all  $x, x' \in X$ :

$$\begin{aligned} x \sim x' &\implies x \in \text{Left}(G, x') \\ &\implies \exists g' \in G, x = \text{Left}(g', x') \\ &\implies \exists g'^{-1} \in G, x' = \text{Left}(g'^{-1}, \text{Left}(g', x')) = \text{Left}(g'^{-1}, x) \\ &\implies x' \in \text{Left}(G, x) \implies x' \sim x \end{aligned}$$

**Part 3:** For all  $x, x', x'' \in X$ :

$$\begin{aligned} x \sim x' \text{ and } x' \sim x'' &\implies x \in \text{Left}(G, x') \text{ and } x' \in \text{Left}(G, x'') \\ &\implies \exists g', g'' \in G, x = \text{Left}(g', x') \text{ and } x' = \text{Left}(g'', x'') \\ &\implies \exists g'g'' \in G, x = \text{Left}(g', \text{Left}(g'', x'')) = \text{Left}(g'g'', x'') \\ &\implies x \in \text{Left}(G, x'') \implies x \sim x'' \end{aligned}$$

Hence,  $\sim$  is an equivalence relation on  $X$ . Quod. Erat. Demonstrandum.  $\square$

**Remark:** Notice that:

- (1) **Lagrange's Theorem** can be deduced if we replace  $(G, X)$  by  $(H, G)$ .
- (2) **Jordan Normal Form** can be found if we replace  $(G, X)$  by  $(\mathbf{GL}_n(\mathbb{C}), \mathbf{M}_n(\mathbb{C}))$ .
- (3) **Cycle Pattern** can be found if we replace  $(G, X)$  by  $(S_n, S_n)$ .

## 2.3 Stabilizer Subgroup of an Element

In analysis, when solving an equation in  $\mathbf{x}$ :

$$\mathbf{f}(\mathbf{x}) = \mathbf{y}$$

We usually find a fixed point of another function  $\mathbf{T}$  instead:

$$\mathbf{T} : \mathbb{R}^n \rightarrow \mathbb{R}^n, \mathbf{T}(\mathbf{x}) = \mathbf{x} - A^{-1}[\mathbf{f}(\mathbf{x}) - \mathbf{y}]$$

This is because we have theorems for the existence and uniqueness of fixed points.

Hence, we shall study fixed points from different perspectives because they are useful.

### Definition 2.6. (Stabilizer Subgroup)

Let  $G$  be a group,  $X$  be a set,  $x$  be an element of  $X$ ,  
and  $\text{Left} : G \times X \rightarrow X$  be a left action of  $G$  on  $X$ .

Define the stabilizer subgroup of  $x$  in  $G$  as:

$$G_x = \{g \in G : \text{Left}(g, x) = x\}$$

**Proposition 2.7.** Let  $G$  be a group,  $X$  be a set,  $x$  be an element of  $X$ , and  $\text{Left} : G \times X \rightarrow X$  be a left action of  $G$  on  $X$ .

$$G_x \leq G$$

*Proof.* We may divide our proof into three parts.

**Part 1:**  $\text{Left}(e, x) = x$ , so  $e \in G_x$ .

**Part 2:** For all  $g, g' \in G$ :

$$\begin{aligned} g, g' \in G_x &\implies \text{Left}(g, x) = \text{Left}(g', x) = x \\ &\implies \text{Left}(gg', x) = \text{Left}(g, \text{Left}(g', x)) = x \implies gg' \in G_x \end{aligned}$$

**Part 3:** For all  $g \in G$ :

$$\begin{aligned} g \in G_x &\implies \text{Left}(g, x) = x \\ &\implies \text{Left}(g^{-1}, x) = \text{Left}(g^{-1}, \text{Left}(g, x)) = x \implies g^{-1} \in G_x \end{aligned}$$

Hence,  $G_x \leq G$ . Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.8.** Let  $G$  be a group,  $X$  be a set,  $x$  be an element of  $X$ , and  $\text{Left} : G \times X \rightarrow X$  be a left action of  $G$  on  $X$ .

If we define  $G/G_x = \{gG_x\}_{g \in G}$ ,

then  $\sigma_x : G/G_x \rightarrow \text{Left}(G, x)$ ,  $gG_x \mapsto \text{Left}(g, x)$  is a bijection.

*Proof.* We may divide our proof into two parts.

**Part 1:** For all  $gG_x, g'G_x \in G/G_x$ , assume that  $gG_x = g'G_x$ , so  $\exists h' \in G_x, g = g'h'$ .

This implies:

$$\begin{aligned} \text{Left}(g, x) &= \text{Left}(g'h', x) \\ &= \text{Left}(g', \text{Left}(h', x)) = \text{Left}(g', x) \end{aligned}$$

so  $\sigma_x$  is well-defined.

**Part 2:** For all  $gG_x, g'G_x \in G/G_x$ , assume that  $\text{Left}(g, x) = \text{Left}(g', x)$ .

This implies:

$$\begin{aligned} \text{Left}(g'^{-1}g, x) &= \text{Left}(g'^{-1}, \text{Left}(g, x)) \\ &= \text{Left}(g'^{-1}, \text{Left}(g', x)) = x \end{aligned}$$

As a consequence,  $g'^{-1}g \in G_x$ ,  $\exists h' \in G_x, g = g'h'$ ,  $gG_x = g'G_x$ , so  $\sigma_x$  is injective.

Hence, the surjective function  $\sigma_x$  is bijective. Quod. Erat. Demonstrandum.  $\square$

**Remark:** Notice that  $\sigma_x$  is not an isomorphism as  $G/G_x, \text{Left}(G, x)$  are not groups.

### 3 General Topological Group

In this section, we first study topological properties of topological groups, then study topological properties on corresponding orbit spaces.

#### 3.1 Topological Group and Left Topological Action

**Definition 3.1. (Topological Group)**

Let  $G$  be a group and a topological space.

If the following two functions are continuous:

- (1)  $\text{Comp} : G \times G \rightarrow G, (g, g') \mapsto gg'$ ;
- (2)  $\text{Inv} : G \rightarrow G, g \mapsto g^{-1}$ ,

then  $G$  is a topological group.

*Remark:* This concept emphasizes that group and topological space are compatible.

**Proposition 3.2.** Let  $G$  be a topological group.

- (1) For all  $g \in G$ ,  $\ell_g : G \rightarrow G, g' \mapsto gg'$  is a homeomorphism.
- (2) For all  $g \in G$ ,  $c_g : G \rightarrow G, g' \mapsto gg'g^{-1}$  is a homeomorphism.

*Proof.* We may divide our proof into two parts.

**Part 1:** For all  $g \in G$ ,  $\ell_g$  is the restriction of:

$$\text{Comp} : G \times G \rightarrow G, (g, g') \mapsto gg'$$

Hence,  $\ell_g$  is continuous.

**Part 2:** As  $\ell_g$  also has a continuous inverse  $\ell_{g^{-1}}$ ,  $\ell_g$  is a homeomorphism.

As  $c_g = (\text{Inv} \circ \ell_g)^2$  is a composition of homeomorphisms,  $c_g$  is a homeomorphism.

Quod. Erat. Demonstrandum. □

**Definition 3.3. (Left Topological Action)**

Let  $G$  be a topological group,  $X$  be a topological space, and  $\text{Left} : G \times X \rightarrow X$  be a left action. If  $\text{Left}$  is continuous, then  $\text{Left}$  is a left topological action.

#### 3.2 Construction of Topological Group

**Definition 3.4. (Topological Subgroup)**

Let  $G$  be a topological group, and  $H$  be a subgroup of  $G$ .

If we consider the subspace topology of  $G$  on  $H$ ,

then  $H$  forms a topological group.

Define  $H$  as a topological subgroup of  $G$ .

**Definition 3.5. (Topological Product Group)**

Let  $(G_\lambda)_{\lambda \in I}$  be an indexed family of topological groups,  
and  $G$  be the product group of  $(G_\lambda)_{\lambda \in I}$ .

If we consider the product space topology of  $(G_\lambda)_{\lambda \in I}$  on  $G$ ,  
then  $G$  forms a topological group.

Define  $G$  as the topological product group of  $(G_\lambda)_{\lambda \in I}$ .

**Definition 3.6. (Topological Quotient Group)**

Let  $G$  be a topological group,  $N$  be a normal subgroup of  $G$ ,  
and  $G/N$  be the quotient group of  $G$  modulo  $N$ .

If we consider the quotient space topology of  $N$  on  $G$ ,  
then  $G/N$  forms a topological group.

Define  $G/N$  as the topological quotient group of  $G$  modulo  $N$ .

**3.3 Separating a Topological Group****Definition 3.7. (Symmetric Subset)**

Let  $G$  be a group, and  $U$  be a nonempty subset of  $G$ .

If  $U^{-1} = \{u^{-1}\}_{u \in U} = U$ , then  $U$  is symmetric in  $U$ .

**Remark:**  $U$  is not necessarily a subgroup of  $G$ .

**Lemma 3.8.** Let  $G$  be a topological group, and  $U$  be an open neighbour of  $e$ .  
There exists a symmetric open neighbour  $N$  of  $e$ , such that  $N^{-1}N \subseteq U$ .

*Proof.* Consider the following continuous function:

$$\sigma : G \times G \rightarrow G, \sigma(g, g') = g^{-1}g'$$

For given open neighbour  $U$  of  $e = \sigma(e, e) \in G$ ,

there exists an open neighbour  $V$  of  $(e, e) \in G \times G$ , such that:

$$\sigma(V) \subseteq U$$

According to the definition of product topology,

there exist open neighbours  $W, W'$  of  $e \in G$ , such that:

$$\begin{aligned} U &\supseteq \sigma(V) \\ &\supseteq \sigma(\pi^{-1}(W) \cap \pi'^{-1}(W')) \\ &= \sigma(W, W') \\ &= W^{-1}W' \end{aligned}$$



Take  $N = W \cap W^{-1} \cap W' \cap W'^{-1}$ , and we are done.

Quod. Erat. Demonstrandum.  $\square$

**Definition 3.9. (Regular Space)**

Let  $X$  be a topological space. If  $\forall V \in \mathcal{C}_X$  and  $v \in V^c, \exists U, u \in \mathcal{O}_X, V \subseteq U$  and  $v \in u$  and  $U \cap u = \emptyset$ , then  $X$  is regular.

**Remark:** Regular space is stronger than Hausdorff space.

**Proposition 3.10.** Let  $G$  be a topological group.  $G$  is regular.

*Proof.* For all  $V \in \mathcal{C}_X$  and  $v \in V^c$ , WLOG, assume that  $v = e$ .

Notice that  $U = V^c$  is an open neighbour of  $e$ , so there exists a symmetric neighbour  $N$  of  $e$ , such that  $N^{-1}N \subseteq V^c$ . This implies:

$$\begin{aligned} V \cap (N^{-1}N) = \emptyset &\implies (NV) \cap N = \emptyset \\ &\implies \exists U = NV, u = N \in \mathcal{O}_G, V \subseteq U \text{ and } v \in u \text{ and } U \cap u = \emptyset \end{aligned}$$

Hence,  $G$  is regular. Quod. Erat. Demonstrandum.  $\square$

**Remark:** It is the group structure of  $G$  that separates  $G$ .

**Lemma 3.11.** Let  $G$  be a topological group,  $X$  be a topological space,  $\text{Left} : G \times X \rightarrow X$  be a left topological action, and  $X/G$  be the orbit space.  $\pi : X \rightarrow X/G, x \mapsto \text{Left}(G, x)$  is open.

*Proof.* For all  $U \in \mathcal{O}_X$ , we would like to prove that  $\pi(U) = \text{Left}(G, U) \in \mathcal{O}_{X/G}$ .

It suffices to see  $\pi^{-1}(\pi(U)) = \bigcup_{g \in G} \text{Left}(g, U) \in \mathcal{O}_X$ . Quod. Erat. Demonstrandum.  $\square$

**Proposition 3.12.** Let  $G$  be a topological group,  $X$  be a topological space,  $\text{Left} : G \times X \rightarrow X$  be a left topological action, and  $X/G$  be the orbit space.  $X/G$  is Hausdorff if and only if  $\Delta = \{(x, \text{Left}(g, x))\}_{(x, g) \in X \times G}$  is closed.

*Proof.* We may divide our proof into two parts.

**“if” direction:** Assume that  $\Delta$  is closed.

For all distinct  $\pi(x), \pi(x') \in X/G$ ,  $(x, x')$  is an interior point of  $\Delta^c$ .

There exists  $V \in \mathcal{O}_{X \times X}$  with  $V \subseteq \Delta^c$ , such that  $(x, x') \in V$ .

WLOG, assume that  $V = U \times U'$ , where  $U, U' \in \mathcal{O}_X$ .

Hence,  $\pi(x), \pi(x')$  are separated by  $\pi(U), \pi(U') \in \mathcal{O}_{X/G}$ .

**“only if” direction:** Assume that  $X/G$  is Hausdorff.

For all  $(x, x') \in \Delta^c$ ,  $\pi(x), \pi(x')$  are two distinct elements of  $X/G$ .

Since  $X/G$  is Hausdorff,  $\pi(x), \pi(x')$  are separated by  $W, W' \in \mathcal{O}_{X/G}$ .

This implies  $U = \pi^{-1}(W), U' = \pi^{-1}(W') \in \mathcal{O}_X$ .

Hence, there exists  $V = U \times U' \in \mathcal{O}_{X \times X}$  with  $V \subseteq \Delta^c$ , such that  $(x, x') \in V$ .

Combine the two parts above, we've proven the biconditional.

Quod. Erat. Demonstrandum.  $\square$

**Remark:** The following proposition specifies the above general criterion.

**Proposition 3.13.** Let  $G$  be a topological group.

If  $G$  has a closed subgroup  $H$ , then  $G/H$  is Hausdorff.

*Proof.* It suffices to prove that  $\{(g, hg)\}_{(g,h) \in G \times H}$  is closed in  $G$ .

Notice that it is the inverse image of  $H$  under the following continuous function:

$$\sigma : G \times G \rightarrow G, \sigma(g, g') = g'g^{-1}$$

So  $H$  is closed implies  $\{(g, hg)\}_{(g,h) \in G \times H}$  is closed. Quod. Erat. Demonstrandum.  $\square$

### 3.4 Connected Topological Group

**Proposition 3.14.** Let  $G$  be a topological group.

If  $G$  has a proper open subgroup  $H$ , then  $H \in \mathcal{C}_G$ .

*Proof.* According to **Lagrange's Theorem**,  $H^c = \bigcup_{gH \neq eH} gH \in \mathcal{O}_G$ , so  $H \in \mathcal{C}_G$ .

Quod. Erat. Demonstrandum.  $\square$

**Remark:** Note that  $H$  is closed doesn't imply  $H$  is open. For example,  $\{0\}$  is a closed subgroup in the topological group  $\mathbb{R}$ , but  $\{0\}$  is not open.

**Proposition 3.15.** Let  $G$  be a topological group.

If  $G$  has a proper open subgroup  $H$ , then  $G$  is not connected.

*Proof.* As  $H$  is clopen in  $G$  and  $H \neq \emptyset$  and  $H \neq G$ ,  $G$  is not connected.

Quod. Erat. Demonstrandum.  $\square$

**Remark:** Note that  $G$  is not connected doesn't imply  $G$  has a proper open subgroup.

Consider the additive group  $\mathbb{Z}$  of integers. Define  $\sigma : \mathbb{Z} \rightarrow \mathbb{R}$  by:

$$\sigma(n) = \begin{cases} 0 & \text{if } n = 0; \\ \frac{1}{n} & \text{if } n \neq 0; \end{cases}$$

Consider the initial metric  $d_\sigma$  of  $\mathbb{R}$  on  $\mathbb{Z}$  via  $\sigma$ .

For all  $n_0, m_0 \in \{0\}^c$ ,  $n_0, m_0, (n_0, m_0)$  are isolated, so it is easy to check:

(1)  $\text{Comp} : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}, (n, m) \mapsto n + m$  is continuous.

(2)  $\text{Inv} : \mathbb{Z} \rightarrow \mathbb{Z}, n \mapsto -n$  is continuous.

This implies  $\mathbb{Z}$  is a topological group.

As  $\mathbb{Z}$  has a nontrivial open partition  $\{\{\pm 1\}, \{\pm 1\}^c\}$ , so  $\mathbb{Z}$  is not connected.

For all proper subgroup  $H$  of  $\mathbb{Z}$ ,  $0 \in H$  and  $0 \in (H^c)'$ , so  $H$  is not open.

## 4 Special Topological Group

### 4.1 Matrix Row Transformation

**Definition 4.1. (Matrix Row Transformation)**

The function  $\text{Left} : \mathbf{GL}_n(\mathbb{F}) \times \mathbf{M}_{n,m}(\mathbb{F}) \mapsto \mathbf{M}_{n,m}(\mathbb{F}), (P, A) \mapsto PA$  is a left action of  $\mathbf{GL}_n(\mathbb{F})$  on  $\mathbf{M}_{n,m}(\mathbb{F})$ . Define  $\text{Left}$  as the matrix row transformation.

**Remark:** From now on, we assume that there is a well-defined rank function  $\text{Rank} : \mathbf{M}_{n,m}(\mathbb{F}) \rightarrow \mathbb{Z}$ , and we assume all its elementary properties.

**Proposition 4.2.** For all  $0 < k \leq n$ ,  $\text{Rank}^{-1}([k, +\infty)) \in \mathcal{O}_{\mathbf{M}_{n,m}(\mathbb{R})}$ .

*Proof.* For all  $A \in \text{Rank}^{-1}([k, +\infty))$ ,  $A$  has a  $k$  by  $k$  submatrix  $A'$  with  $\text{Det}(A') \neq 0$ .

As  $\sigma' : \mathbf{M}_{n,m}(\mathbb{R}) \rightarrow \mathbb{R}, B \mapsto \text{Det}(B')$  is continuous,

$A$  has an open neighbour  $V$ , such that each  $B \in V$  satisfies  $\text{Det}(B') \neq 0$ .

Hence, there exists  $V \in \mathcal{O}_{\mathbf{M}_{n,m}(\mathbb{R})}$  with  $V \subseteq \text{Rank}^{-1}([k, +\infty))$ , such that  $A \in V$ .

Quod. Erat. Demonstrandum.  $\square$

**Proposition 4.3.**  $\mathbf{M}_{n,m}(\mathbb{R})/\mathbf{GL}_n(\mathbb{R})$  is not Hausdorff.

*Proof.* For some  $O \in \mathbf{M}_{n,m}(\mathbb{R})$ , consider its orbit  $\pi(O) \in \mathbf{M}_{n,m}(\mathbb{R})/\mathbf{GL}_n(\mathbb{R})$ .

For all  $\pi(A) \in \{\pi(O)\}^c$ , for open neighbor  $V$  of  $\pi(O)$ ,  $\pi^{-1}(V)$  is an open neighbour of  $O$ .

Take a large enough  $N \in \mathbb{N}$ , such that  $\frac{1}{N}A \in \pi^{-1}(V)$ . This implies  $\pi(A) = \pi(\frac{1}{N}A) \in V$ ,

so  $V = \mathbf{M}_{n,m}(\mathbb{R})/\mathbf{GL}_n(\mathbb{R})$  is the unique open neighbour of  $\pi(O)$ ,  $\mathbf{M}_{n,m}(\mathbb{R})/\mathbf{GL}_n(\mathbb{R})$  is not Hausdorff. Quod. Erat. Demonstrandum.  $\square$

**Remark:** To solve the following problem, recall **Least Square Principle**:

Suppose  $X$  is a full column rank real matrix,  $A$  is an unknown coefficient column real vector, and  $Y$  is a given output column real vector. We have the following results:

(1)  $X^T X$  is invertible.

(2) Minimize  $\|Y - XA\|_{\text{Euclid}} \iff A = (X^T X)^{-1} X^T Y$ .

(3) The minimum  $\|Y - X(X^T X)^{-1} X^T Y\|_{\text{Euclid}}$  is continuous with respect to  $X$  and  $Y$ .

**Proposition 4.4.**  $\text{Rank}^{-1}(\{n\})/\mathbf{GL}_n(\mathbb{R})$  is Hausdorff.

*Proof.* For all distinct orbits  $\pi(A), \pi(B)$ , we wish to find disjoint open neighbours.

**Step 1:** Define the following function  $f_A$ .

$$f_A : \mathbf{M}_n(\mathbb{R}) \rightarrow \mathbb{R}, G \mapsto \|A - GB\|_{\text{Frobenius}}$$

$\pi(A) \neq \pi(B)$  implies  $f_A$  is positive definite.

According to **Least Square Principle**,  $f_A$  has a positive minimum  $\epsilon_A$ .

**Step 2:** Construct a closed ball  $\overline{B}(A, \epsilon_A/2)$  in  $\mathbf{GL}_n(\mathbb{R})$  under Frobenius norm.

For all  $A' \in \overline{B}(A, \epsilon_A/2)$ , define the following function  $f_{A'}$ :

$$f_{A'} : \mathbf{M}_n(\mathbb{R}) \rightarrow \mathbb{R}, G \mapsto \|A' - GB\|_{\text{Frobenius}}$$

$\forall G \in \mathbf{M}_n(\mathbb{R}), f_{A'}(G) \geq f_A(G) - \|A' - A\|_{\text{Frobenius}} > \epsilon_A/2$  implies  $f_{A'}$  is positive definite.

According to **Least Square Principle**,  $f_{A'}$  has a positive minimum  $\epsilon_{A'}$ .

**Step 3:** Define the following function  $E$ .

$$E : \overline{B}(A, \epsilon_A/2) \rightarrow \mathbb{R}, A' \mapsto \epsilon_{A'}$$

According to **Least Square Principle**,  $\text{Rank}(A') = n$  implies  $E$  is continuous.

As  $\overline{B}(A, \epsilon_A/2)$  is compact,  $E$  has a positive minimum  $\mu > 0$ , which implies:

$$\forall A' \in \overline{B}(A, \epsilon_A/2) \text{ and } G \in \mathbf{M}_n(\mathbb{R}), \|A' - GB\|_{\text{Frobenius}} \geq \mu$$

**Step 4:** We want to find another ball  $\overline{B}(B, r)$  centred at  $B$  in  $\mathbf{GL}_n(\mathbb{R})$  under Frobenius norm, such that for all  $A' \in \overline{B}(A, \epsilon_A/2)$  and  $B' \in \overline{B}(B, r)$ ,  $\pi(A') \neq \pi(B')$ .

Assume to the contrary that such ball doesn't exist, so there exist three sequences:

$$A_k \in \overline{B}(A, \epsilon_A/2), \quad B_k \in \overline{B}(B, 1/k) \setminus \{B\}, \quad G_k \in \mathbf{GL}_n(\mathbb{R})$$

such that each  $A_k = G_k B_k$ . Notice that:

$$\|G_k\|_{\text{Spectral}} \geq \frac{\|G_k(B_k - B)\|_{\text{Spectral}}}{\|B_k - B\|_{\text{Spectral}}} = \frac{\|A_k - G_k B\|_{\text{Spectral}}}{\|B_k - B\|_{\text{Spectral}}} \geq \frac{\mu}{\sqrt{n}\|B_k - B\|_{\text{Spectral}}}$$

so  $\lim_{k \rightarrow +\infty} \|B_k - B\|_{\text{Spectral}} = 0$  implies  $\lim_{k \rightarrow +\infty} \|G_k\|_{\text{Spectral}} = +\infty$ .

On one hand,  $(A_k)_{k \in \mathbb{N}}$  is bounded, so:

$$\lim_{k \rightarrow +\infty} \frac{\|A_k\|_{\text{Spectral}}}{\|G_k\|_{\text{Spectral}}} = 0$$

On the other hand,  $\lim_{k \rightarrow +\infty} B_k = B$  and  $\text{Rank}(B) = n$ , so when  $k$  is large enough:

$$\frac{\|G_k B_k\|_{\text{Spectral}}}{\|G_k\|_{\text{Spectral}}} \geq \inf \frac{\|B_k \mathbf{x}\|_{\text{Euclid}}}{\|\mathbf{x}\|_{\text{Euclid}}} \geq \frac{1}{2} \inf \frac{\|B \mathbf{x}\|_{\text{Euclid}}}{\|\mathbf{x}\|_{\text{Euclid}}} > 0$$

This contradicts to the following identity:

$$\frac{\|A_k\|_{\text{Spectral}}}{\|G_k\|_{\text{Spectral}}} = \frac{\|G_k B_k\|_{\text{Spectral}}}{\|G_k\|_{\text{Spectral}}}$$

Hence, our assumption is false. Take the following disjoint open neighbours of  $A, B$ :

$$U = B(A, \epsilon_A/2), \quad V = B(B, r)$$

By construction,  $\pi(U), \pi(V)$  are disjoint open neighbours of  $\pi(A), \pi(B)$ , so this orbit

space is Hausdorff. Quod. Erat. Demonstrandum.  $\square$

**Remark:** Notice that there exists a bijection  $\tau : \mathbf{M}_{n,m}(\mathbb{R})/\mathbf{GL}_n(\mathbb{R}) \rightarrow \text{Spec}(\mathbb{R}^m)$ ,  $\pi(A) \mapsto \text{Row}(A)$ , so we just identify the orbit space  $\mathbf{M}_{n,m}(\mathbb{R})/\mathbf{GL}_n(\mathbb{R})$  with the spectrum  $\text{Spec}(\mathbb{R}^m)$ .

## 4.2 Matrix Similarity Transformation

### Definition 4.5. (Matrix Similarity Transformation)

The function  $\text{Left} : \mathbf{GL}_n(\mathbb{F}) \times \mathbf{M}_n(\mathbb{F}) \mapsto \mathbf{M}_n(\mathbb{F})$ ,  $(P, A) \mapsto PAP^{-1}$  is a left action of  $\mathbf{GL}_n(\mathbb{F})$  on  $\mathbf{M}_n(\mathbb{F})$ . Define  $\text{Left}$  as the matrix similarity transformation.

**Remark:** From now on, we assume that there is a well-defined eigenpolynomial function  $\chi : \mathbf{M}_n(\mathbb{F}) \rightarrow \mathbb{F}[\lambda]$ , and we assume all its elementary properties.

**Proposition 4.6.** The set  $\mathbf{D}_n(\mathbb{C})$  of all diagonal matrices is closed in  $\mathbf{M}_n(\mathbb{C})$ .

*Proof.* For all convergent sequence  $(D_k)_{k \in \mathbb{N}}$  in  $\mathbf{D}_n(\mathbb{C})$ , its limit  $D_*$  is in  $\mathbf{D}_n(\mathbb{C})$ . Hence,  $\mathbf{D}_n(\mathbb{C})$  is closed in  $\mathbf{M}_n(\mathbb{C})$ . Quod. Erat. Demonstrandum.  $\square$

**Proposition 4.7.** The set  $\mathbf{N}_n(\mathbb{C})$  of all nilpotent matrices is closed in  $\mathbf{M}_n(\mathbb{C})$ .

*Proof.* Notice that  $\chi$  is continuous, so  $\mathbf{N} = \chi^{-1}(\{0\})$  is closed in  $\mathbf{M}_n(\mathbb{C})$ . Quod. Erat. Demonstrandum.  $\square$

**Remark:** As  $\pi(\mathbf{D}_n(\mathbb{C}))$  is a union of orbits, the restricted action  $\text{Left} : \mathbf{GL}_n(\mathbb{C}) \times \pi(\mathbf{D}_n(\mathbb{C})) \rightarrow \pi(\mathbf{D}_n(\mathbb{C}))$  is well-defined, so the notation  $\pi(\mathbf{D}_n(\mathbb{C}))/\mathbf{GL}_n(\mathbb{C})$  makes sense.

**Proposition 4.8.**  $\pi(\mathbf{D}_n(\mathbb{C}))/\mathbf{GL}_n(\mathbb{C}) \cong \mathbb{C}^n/S_n$ .

*Proof.* Notice that the following diagram commutes:

$$\begin{array}{ccccc}
 \mathbb{C}^n & \xrightarrow{\chi} & \mathbb{C}[\lambda]_n & \xleftarrow{v} & \pi(\mathbf{D}_n(\mathbb{C})) \\
 \tau \downarrow & \nearrow \tilde{\chi} & & \nwarrow \tilde{v} & \downarrow \pi \\
 \mathbb{C}^n/S_n & & & & \pi(\mathbf{D}_n(\mathbb{C}))/\mathbf{GL}_n(\mathbb{C})
 \end{array}$$

(1) According to **Open Mapping Theorem**, eigenpolynomial function  $\chi$  is surjective, continuous and open, so  $\tilde{\chi}$  is a homeomorphism.

(2) According to **Open Mapping Theorem**, Vieta function  $v$  is surjective, continuous and open, so  $\tilde{v}$  is a homeomorphism.

Combine the two observations above,  $\mathbb{C}^n/S_n \cong \mathbb{C}[\lambda]_n \cong \pi(\mathbf{D}_n(\mathbb{C}))/\mathbf{GL}_n(\mathbb{C})$ .

Quod. Erat. Demonstrandum.  $\square$

**Remark:** As  $\text{Left}$  preserves eigenpolynomial, the restricted action  $\text{Left} : \mathbf{GL}_n(\mathbb{C}) \times \mathbf{N}_n(\mathbb{C}) \rightarrow \mathbf{N}_n(\mathbb{C})$  is well-defined, so the notation  $\mathbf{N}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C})$  makes sense.

**Proposition 4.9.**  $\mathbf{N}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C})$  is closed in  $\mathbf{M}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C})$ .

*Proof.* Consider the following matrix  $N \in \mathbf{N}_n(\mathbb{C})$ :

$$N = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

For every possible Jordan form in  $\mathbf{N}_n(\mathbb{C})$ , such as:

$$\Delta = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

there exists a matrix function  $P(t)$  on  $\mathbb{C}^\times$ , such that  $\lim_{t \rightarrow 0} P(t)NP(t)^{-1} = \Delta$ . Here:

$$P(t) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & t^{-1} & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & t^{-1} & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & t^{-2} & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & t^{-2} & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & t^{-3} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

$$P(t)NP(t)^{-1} = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & t & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & t & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & t & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

This implies  $\overline{\{\pi(N)\}}$  contains  $\mathbf{N}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C})$ .

Any orbits with different eigenpolynomials can be separated by open sets,

This implies  $\mathbf{N}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C})$  contains  $\overline{\{\pi(N)\}}$ .

To conclude,  $\mathbf{N}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C}) = \overline{\{\pi(N)\}}$  is closed in  $\mathbf{M}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C})$ .

Quod. Erat. Demonstrandum. □

**Remark:** By similar construction, one may show that  $\pi(N) \subseteq \overline{\pi(M)}$  if and only if:

(1)  $\chi(N) = \chi(M)$ .

(2) Every 1 in the Jordan form of  $N$  is in the corresponding position of that of  $M$ .  
so  $\pi(N) \leq \pi(M)$  if  $\pi(N) \subseteq \overline{\pi(M)}$  is a partial order on  $\mathbf{M}_n(\mathbb{C})/\mathbf{GL}_n(\mathbb{C})$ .

**Proposition 4.10.**  $\pi(\mathbf{D}_n(\mathbb{C}))$  is closed in  $\mathbf{M}_n(\mathbb{C})$ .

*Proof.* For every not diagonalizable matrix  $J$ , we wish to separate  $J$  from  $\pi(\mathbf{D}_n(\mathbb{C}))$ .

**Step 1:** For all  $D \in \pi(\mathbf{D}_n(\mathbb{C}))$ , define the following continuous function:

$$f_D : \mathbf{M}_n(\mathbb{C}) \rightarrow \mathbf{M}_n(\mathbb{C}), H \mapsto \|HD - JH\|_{\text{Frobenius}}$$

$J$  is not diagonalizable implies  $f_A$  is positive definite on the following compact set:

$$\mathbf{Q}_n(\mathbb{C}) = \{Q \in \mathbf{M}_n(\mathbb{C}) : QQ^* = Q^*Q = I\}$$

Hence,  $f_D$  has a positive minimum  $\epsilon_D > 0$  on  $\mathbf{Q}_n(\mathbb{C})$ .

**Step 2:** Define the following function  $E$ .

$$E : \pi(\mathbf{D}_n(\mathbb{C})) \rightarrow \mathbb{R}, D \mapsto \inf_{H \in \mathbf{Q}_n(\mathbb{C})} \|HD - JH\|_{\text{Frobenius}}$$

The following inequality shows that  $E$  is 1-Lipschitz continuous.

$$\begin{aligned}
E(D_1) &= \inf_{H \in \mathbf{Q}_n(\mathbb{C})} \|HD_1 - JH\|_{\text{Frobenius}} \\
&\leq \inf_{H \in \mathbf{Q}_n(\mathbb{C})} \|HD_2 - JH\|_{\text{Frobenius}} + \|H(D_1 - D_2)\|_{\text{Frobenius}} \\
&= E(D_2) + \|D_1 - D_2\|_{\text{Frobenius}} \\
E(D_2) &= \inf_{H \in \mathbf{Q}_n(\mathbb{C})} \|HD_2 - JH\|_{\text{Frobenius}} \\
&\leq \inf_{H \in \mathbf{Q}_n(\mathbb{C})} \|HD_1 - JH\|_{\text{Frobenius}} + \|H(D_2 - D_1)\|_{\text{Frobenius}} \\
&= E(D_1) + \|D_2 - D_1\|_{\text{Frobenius}} \\
|E(D_2) - E(D_1)| &\leq \|D_2 - D_1\|_{\text{Frobenius}}
\end{aligned}$$

The following inequality shows that  $\lim_{D \rightarrow \infty} E(D) = +\infty$ .

$$\begin{aligned}
E(D) &= \inf_{H \in \mathbf{Q}_n(\mathbb{C})} \|HD - JH\|_{\text{Frobenius}} \\
&\geq \inf_{H \in \mathbf{Q}_n(\mathbb{C})} \|HD\|_{\text{Frobenius}} - \|JH\|_{\text{Frobenius}} \\
&= \|D\|_{\text{Frobenius}} - \|J\|_{\text{Frobenius}}
\end{aligned}$$

The two assumptions above shows that  $E$  has a positive minimum  $\mu$ .

**Step 3:** Construct an open ball  $B(J, \mu/2)$ .

The following inequality shows  $\forall J' \in B(J, \mu/2)$  and  $H \in \mathbf{Q}_n(\mathbb{C})$ ,  $HDH^{-1} \neq J$ .

$$\begin{aligned}
\|HDH^{-1} - J'\|_{\text{Frobenius}} &= \|HD - J'H\|_{\text{Frobenius}} \\
&\geq \|HD - JH\|_{\text{Frobenius}} - \|(J' - J)H\|_{\text{Frobenius}} \\
&> \mu - \mu/2 = \mu/2 > 0
\end{aligned}$$

**Step 4:** Consider the open set  $\pi(B(J, \mu/2))$ .

As every matrix has a  $QR$ -factoization,  $\pi$  “upgrades” the set  $B(J, \mu/2)$  of nondiagonalizable matrices via unitary matrix to the set  $\pi(B(J, \mu/2))$  of nondiagonalizable matrices via arbitrary matrix, which is a desired open neighbour.

Quod. Erat. Demonstrandum. □

**Remark:** This hard proof is given by Prof. Hua.

### 4.3 LPU Decomposition

#### Definition 4.11. (Lower Triangular Transformation)

The function  $\text{Left} : \mathbf{L}_n(\mathbb{C}) \times \mathbf{GL}_n(\mathbb{C}) \rightarrow \mathbf{GL}_n(\mathbb{C})$ ,  $(L, A) \mapsto LA$  is a left action of  $\mathbf{L}_n(\mathbb{C})$  on  $\mathbf{GL}_n(\mathbb{C})$ . Define  $\text{Left}$  as the lower triangular transformation.



**Definition 4.12. (Upper Triangular Transformation)**

The function  $\text{Right} : \mathbf{GL}_n(\mathbb{C}) \times \mathbf{U}_n(\mathbb{C}) \rightarrow \mathbf{GL}_n(\mathbb{C}), (A, U) \mapsto AU$  is a right action of  $\mathbf{U}_n(\mathbb{C})$  on  $\mathbf{GL}_n(\mathbb{C})$ . Define  $\text{Right}$  as the upper triangular transformation.

Left reduces every column of  $A \in \mathbf{GL}_n(\mathbb{C})$  as follows:

$$\text{Column}_4(A) = \begin{pmatrix} a_{14} \\ a_{24} \\ a_{34} \\ a_{44} \\ a_{54} \\ a_{64} \\ a_{74} \\ a_{84} \\ \vdots \end{pmatrix} \mapsto \begin{pmatrix} a_{14} \\ a_{24} \\ a_{34} \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ \vdots \end{pmatrix}$$

Right reduces every row of  $A \in \mathbf{GL}_n(\mathbb{C})$  as follows:

$$\begin{array}{c} \text{Row}_6(A) \\ \parallel \\ \begin{pmatrix} a_{61} & a_{62} & a_{63} & a_{64} & a_{65} & a_{66} & a_{67} & a_{68} & \cdots \end{pmatrix} \\ \Downarrow \\ \begin{pmatrix} a_{61} & a_{62} & a_{63} & a_{64} & a_{65} & 1 & 0 & 0 & \cdots \end{pmatrix} \end{array}$$

Combine the two actions, we can reduce  $A$  into a permutation matrix, so:

$$\mathbf{L}_n(\mathbb{C}) \backslash \mathbf{GL}_n(\mathbb{C}) / \mathbf{U}_n(\mathbb{C}) \cong S_n$$

**Proposition 4.13.** If we define  $\pi : \mathbf{GL}_n(\mathbb{C}) \rightarrow \mathbf{L}_n(\mathbb{C}) \backslash \mathbf{GL}_n(\mathbb{C}) / \mathbf{U}_n(\mathbb{C}), A \mapsto \mathbf{L}_n(\mathbb{C})AU_n(\mathbb{C})$  as the double projection map, then  $\pi(I)$  is open in  $\mathbf{GL}_n(\mathbb{C})$ .

*Proof.* For all permutation matrix  $P \in S_n \setminus \{I\}$ , take the smallest integer  $k$  such that the entry  $p_{k,k} = 0$ . Notice that the corresponding entry of any  $LPU$  is 0, so  $\|I - LPU\|_{\text{Frobenius}} \geq 1$ . Hence,  $B(I, 1) \subseteq \pi(I)$ , and  $\pi(I)$  is open follows from homogeneity. Quod. Erat. Demonstrandum.  $\square$

#### 4.4 Discrete Identification Action

**Definition 4.14. (Klein Bottle)**

Define the following operation  $\circ : (\mathbb{Z} \times \mathbb{Z}) \times (\mathbb{Z} \times \mathbb{Z}) \rightarrow (\mathbb{Z} \times \mathbb{Z})$ :

$$(m, n)(m', n') = (m + (-1)^n m', n + n')$$

Define the following function  $\text{Left} : (\mathbb{Z} \times \mathbb{Z}) \times (\mathbb{R} \times \mathbb{R}) \rightarrow (\mathbb{R} \times \mathbb{R})$ :

$$(m, n)(x, y) = (m + (-1)^n x, n + y)$$

We have the following results:

- (1)  $\mathbb{Z} \times \mathbb{Z}$  forms a group under  $\circ$ .
  - (2)  $\text{Left}$  is a left action of  $\mathbb{Z} \times \mathbb{Z}$  on  $\mathbb{R} \times \mathbb{R}$ .
  - (3) The edge  $\{0\} \times [0, 1]$  is glued to the edge  $\{1\} \times [0, 1]$  without twisting.
  - (4) The edge  $[0, 1] \times \{0\}$  is glued to the edge  $[0, 1] \times \{1\}$  with a half twist.
- Define the orbit space  $(\mathbb{R} \times \mathbb{R})/(\mathbb{Z} \times \mathbb{Z})$  as the Klein bottle  $\mathbb{K}^2$ .

*Proof.* We check the claims one by one.

**Part 1:** For all  $(m, n), (m', n') \in \mathbb{Z} \times \mathbb{Z}$  and  $(x, y) \in \mathbb{R} \times \mathbb{R}$ :

$$\begin{aligned} [(m, n)(m', n')](x, y) &= (m + (-1)^n m', n + n')(x, y) \\ &= (m + (-1)^n m' + (-1)^{n+n'} x, n + n' + y) \\ &= (m, n)(m' + (-1)^{n'} x, n' + y) \\ &= (m, n)[(m', n')(x, y)] \end{aligned}$$

Hence,  $\circ$  and  $\text{Left}$  are associative.

**Part 2:** There exists  $(0, 0) \in \mathbb{Z} \times \mathbb{Z}$ , such that for all  $(m, n) \in \mathbb{Z} \times \mathbb{Z}$  and  $(x, y) \in \mathbb{R} \times \mathbb{R}$ :

$$\begin{aligned} (m, n)(0, 0) &= (m + (-1)^0 0, n + 0) = (m, n) \\ (0, 0)(x, y) &= (0 + (-1)^0 x, 0 + y) = (x, y) \end{aligned}$$

Hence,  $\circ$  has an identity element  $(0, 0)$ , which is compatible with  $\text{Left}$ .

**Part 3:** For all  $(m, n) \in \mathbb{Z} \times \mathbb{Z}$ , there exists  $(-(-1)^n m, -n) \in \mathbb{Z} \times \mathbb{Z}$ , such that:

$$\begin{aligned} (m, n)(-(-1)^n m, -n) &= (m - (-1)^n (-1)^n m, n - n) = (0, 0) \\ (-(-1)^n m, -n)(m, n) &= (-(-1)^n m + (-1)^n m, -n + n) = (0, 0) \end{aligned}$$

Hence, every  $(m, n) \in \mathbb{Z} \times \mathbb{Z}$  is invertible under  $\circ$ .

**Part 4:** For all  $(0, y) \in \{0\} \times [0, 1]$ :

$$(0, y) \sim (1, 0)(0, y) = (1 + (-1)^0 0, 0 + y) = (1, y)$$

Hence, the edge  $\{0\} \times [0, 1]$  is glued to the edge  $\{1\} \times [0, 1]$  without twisting.

**Part 5:** For all  $(x, 0) \in [0, 1] \times \{0\}$ :

$$(x, 0) \sim (1, 1)(x, 0) = (1 + (-1)^1 x, 1 + 0) = (1 - x, 1)$$

Hence, the edge  $[0, 1] \times \{0\}$  is glued to the edge  $[0, 1] \times \{1\}$  with a half twist.

To conclude, the claims are valid. Quod. Erat. Demonstrandum.  $\square$

**Remark:** By adding components, one may generalize Klein bottle:

$$\begin{aligned} (m, n, k)(m', n', k') &= (m + (-1)^k m', n + (-1)^k n', k + k') \\ (m, n, k)(x, y, z) &= (m + (-1)^k x, n + (-1)^k y, k + z) \end{aligned}$$

By adding a factor, one may construct a different orbit space:

$$\begin{aligned} (m, n)(m', n') &= (m + (-1)^n m', n + (-1)^m n') \\ (m, n)(x, y) &= (m + (-1)^n x, n + (-1)^m y) \end{aligned}$$

However, be careful that this is actually a disk instead of a projective plane. It is the extra interior identification  $(x, y) \sim (1 - x, 1 - y)$  that makes the difference.

**Definition 4.15. (Lens Space)**

Let  $p, q$  be coprime integers,  $\zeta = e^{2\pi i/p}$  be a unit root, and  $\mathbb{S}^3 = \{(z_1, z_q) \in \mathbb{C} \times \mathbb{C} : |z_1|^2 + |z_q|^2 = 1\}$  be a subspace of  $\mathbb{C} \times \mathbb{C}$ . Define the following function Left :  $\langle \zeta \rangle \times \mathbb{S}^3 \rightarrow \mathbb{S}^3$ :

$$\zeta^k(z_1, z_q) = (\zeta^k z_1, \zeta^{qk} z_q)$$

Notice that Left is a left action of  $\langle \zeta \rangle$  on  $\mathbb{S}^3$ .

Define the lens space as the orbit space  $L(p, q) = \mathbb{S}^3 / \langle \zeta \rangle$ .

**Proposition 4.16.** Every orbit  $\pi(z_1, z_q) \in L(p, q)$  contains exactly  $p$  elements.

*Proof.* We may divide our proof into two cases.

**Case 1:** Assume that  $z_1 \neq 0$ .

For all  $k, k' \in \mathbb{Z}$ :

$$\zeta^k = \zeta^{k'} \iff k \equiv k' \pmod{p}$$

According to the **Division Algorithm**,  $\{\zeta^k z_1\}_{k=0}^{p-1}$  contains exactly  $p$  elements.

Hence,  $\pi(z_1, z_q) = \{(\zeta^k z_1, \zeta^{qk} z_q)\}_{k=0}^{p-1}$  contains exactly  $p$  elements.

**Case 2:** Assume that  $z_q \neq 0$ . Notice that  $q \in \mathbb{Z}_p^\times$  is invertible.

For all  $k, k' \in \mathbb{Z}$ :

$$\begin{aligned}\zeta^{qk} &\equiv \zeta^{qk'} \iff qk \equiv qk' \pmod{p} \\ &\iff k \equiv k' \pmod{p}\end{aligned}$$

According to the **Division Algorithm**,  $\{\zeta^{qk} z_q\}_{k=0}^{p-1}$  contains exactly  $p$  elements.

Hence,  $\pi(z_1, z_q) = \{(\zeta^k z_1, \zeta^{qk} z_q)\}_{k=0}^{p-1}$  contains exactly  $p$  elements.

Quod. Erat. Demonstrandum. □

**Proposition 4.17.** If  $p = p'$  and  $q \equiv q' \pmod{p}$ , then  $L(p, q) \cong L(p', q')$ .

*Proof.* Define a surjective function  $\sigma : L(p, q) \rightarrow L(p', q'), \pi(z_1, z_q) \mapsto \pi'(z_1, z_q)$ .

**Part 1:** For all  $(z_1, z_q), (w_1, w_q) \in \mathbb{S}^3$ , notice that  $q = q' \in \mathbb{Z}_p^\times$ :

$$\begin{aligned}\pi(z_1, z_q) = \pi(w_1, w_q) &\implies \exists k \in \mathbb{Z}, (z_1, z_q) = (\zeta^k w_1, \zeta^{qk} w_q) \\ &\implies \exists k' = k \in \mathbb{Z}, (z_1, z_q) = (\zeta^{k'} w_1, \zeta^{q'k'} w_q) \\ &\implies \pi'(z_1, z_q) = \pi'(w_1, w_q)\end{aligned}$$

Hence,  $\sigma$  is well-defined.

**Part 2:** For all  $(z_1, z_q), (w_1, w_q) \in \mathbb{S}^3$ , notice that  $q = q' \in \mathbb{Z}_p^\times$ :

$$\begin{aligned}\pi'(z_1, z_q) = \pi'(w_1, w_q) &\implies \exists k' \in \mathbb{Z}, (z_1, z_q) = (\zeta^{k'} w_1, \zeta^{q'k'} w_q) \\ &\implies \exists k = k' \in \mathbb{Z}, (z_1, z_q) = (\zeta^k w_1, \zeta^{qk} w_q) \\ &\implies \pi(z_1, z_q) = \pi(w_1, w_q)\end{aligned}$$

Hence,  $\sigma$  is injective.

**Part 3:** Notice that following diagram commutes:

$$\begin{array}{ccc}\mathbb{S}^3 & \xrightarrow{\text{The Identity Function } e} & \mathbb{S}^3 \\ \text{The Projection Function } \pi \downarrow & & \downarrow \text{The Projection Function } \pi' \\ L(p, q) & \xrightarrow{\text{The Bijective Function } \sigma} & L(p', q')\end{array}$$

For all open subset  $U'$  of  $L(p', q')$ :

$$\sigma^{-1}(U') = \pi((\sigma \circ \pi)^{-1}(U')) = \pi((\pi' \circ e)^{-1}(U')) \text{ is open in } L(p, q)$$

For all open subset  $U$  of  $L(p, q)$ :

$$\sigma(U) = \pi'((\sigma^{-1} \circ \pi')^{-1}(U)) = \pi'((\pi' \circ e^{-1})^{-1}(U)) \text{ is open in } L(p', q')$$

Hence,  $\sigma$  is a homeomorphism. Quod. Erat. Demonstrandum. □

**Proposition 4.18.** If  $p = p'$  and  $qq' \equiv 1 \pmod{p}$ , then  $L(p, q) \cong L(p', q')$ .

*Proof.* Define a surjective function  $\sigma : L(p, q) \rightarrow L(p', q'), \pi(z_1, z_q) \mapsto \pi'(z_q, z_1)$ .

**Part 1:** For all  $(z_1, z_q), (w_1, w_q) \in \mathbb{S}^3$ , notice that  $qq' = 1 \in \mathbb{Z}_p^\times$ :

$$\begin{aligned} \pi(z_1, z_q) = \pi(w_1, w_q) &\implies \exists k \in \mathbb{Z}, (z_1, z_q) = (\zeta^k w_1, \zeta^{qk} w_q) \\ &\implies \exists k' = qk \in \mathbb{Z}, (z_q, z_1) = (\zeta^{k'} w_q, \zeta^{q'k'} w_1) \\ &\implies \pi'(z_q, z_1) = \pi'(w_q, w_1) \end{aligned}$$

Hence,  $\sigma$  is well-defined.

**Part 2:** For all  $(z_1, z_q), (w_1, w_1) \in \mathbb{S}^3$ , notice that  $qq' = 1 \in \mathbb{Z}_p^\times$ :

$$\begin{aligned} \pi'(z_q, z_1) = \pi'(w_q, w_1) &\implies \exists k' \in \mathbb{Z}, (z_q, z_1) = (\zeta^{k'} w_q, \zeta^{q'k'} w_1) \\ &\implies \exists k = q'k' \in \mathbb{Z}, (z_1, z_q) = (\zeta^k w_1, \zeta^{qk} w_q) \\ &\implies \pi(z_1, z_q) = \pi(w_1, w_q) \end{aligned}$$

Hence,  $\sigma$  is injective.

**Part 3:** Notice that following diagram commutes:

$$\begin{array}{ccc} \mathbb{S}^3 & \xrightarrow{\text{The Transposition Function } \tau} & \mathbb{S}^3 \\ \text{The Projection Function } \pi \downarrow & & \downarrow \text{The Projection Function } \pi' \\ L(p, q) & \xrightarrow{\text{The Bijective Function } \sigma} & L(p', q') \end{array}$$

For all open subset  $U'$  of  $L(p', q')$ :

$$\sigma^{-1}(U') = \pi((\sigma \circ \pi)^{-1}(U')) = \pi((\pi' \circ \tau)^{-1}(U')) \text{ is open in } L(p, q)$$

For all open subset  $U$  of  $L(p, q)$ :

$$\sigma(U) = \pi'((\sigma^{-1} \circ \pi')^{-1}(U)) = \pi'((\pi' \circ \tau^{-1})^{-1}(U)) \text{ is open in } L(p', q')$$

Hence,  $\sigma$  is a homeomorphism. Quod. Erat. Demonstrandum. □

**Remark:** The complete classification of lens spaces includes two more results:

(1) If  $p \neq p'$ , then  $L(p, q) \not\cong L(p', q')$ .

(2) If  $p = p'$  and  $q \not\equiv q' \pmod{p}$  and  $qq' \not\equiv 1 \pmod{p}$ , then  $L(p, q) \not\cong L(p', q')$ .

However, we need advanced topological invariants in order to show them.

## References

- [1] H. Ren, “Template for math notes,” 2021.