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## 20241121 MATH3541 NOTE 10[1]

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**Author:** Be  $\sqrt{-1}$ maginative, and nothing will be  $\frac{d}{dx}$ ifficult!

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# 1 Introduction

*We've proven that the fundamental functor  $\pi_1$  is a functor.*

*However, beyond the trivial case where  $X$  is a convex subset of a normed vector space, we still don't know how to compute the fundamental group  $\pi_1(X)$  of  $X$ .*

*Hence, we shall introduce covering space to compute the fundamental group.*

## 2 Covering Map

### 2.1 Homeomorphism, Local Homeomorphism and Covering Map

*Homeomorphism is an attempt to identify topological spaces.*

**Definition 2.1. (Homeomorphism)**

Let  $X, Y$  be two topological spaces, and  $f : X \rightarrow Y$  be a function. If  $f$  has an inverse  $g$ , and both  $f$  and  $g$  are continuous, then  $f$  is a homeomorphism.

*Sometimes homeomorphism is too strong, so we introduce local homeomorphism.*

**Definition 2.2. (Local Homeomorphism)**

Let  $X, Y$  be two topological spaces, and  $f : X \rightarrow Y$  be a function. If every  $x \in X$  has an open neighbour  $U$ , such that  $f(U)$  is open in  $Y$ , and the restricted function  $f|_U : U \rightarrow f(U)$  is a homeomorphism, then  $f$  is a local homeomorphism.

**Proposition 2.3.** If  $f : X \rightarrow Y$  is a homeomorphism, then  $f$  is a local homeomorphism.

*Proof.* For all  $x \in X$ , there exists an open neighbour  $U$  of  $x$ , such that  $f(U) = Y$  is open in  $Y$ , and the restricted function  $f|_U = f$  is a homeomorphism.

Hence,  $f$  is a local homeomorphism. Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.4.** If  $f : X \rightarrow Y$  is a local homeomorphism, then  $f$  is continuous and open.

*Proof.* We may divide our proof into two parts.

(1) We prove that  $f$  is open.

For all open subset  $\mathfrak{U}$  of  $X$ , we wish to show that  $f(\mathfrak{U})$  is open in  $Y$ .

That is, for all  $y \in f(\mathfrak{U})$ , we wish to find an open neighbour  $\mathfrak{V}$  of  $y$  with  $\mathfrak{V} \subseteq f(\mathfrak{U})$ .

As  $y \in f(\mathfrak{U})$ , there exists  $x \in \mathfrak{U}$ , such that  $y = f(x)$ .

As  $f$  is a local homeomorphism,  $x$  has an open neighbour  $U$ , such that  $f(U)$  is open in

$Y$ , and the restricted function  $f|_U : U \rightarrow f(U)$  is a homeomorphism. Now:

$$\begin{aligned} \mathfrak{U} \text{ is open in } X &\implies U \cap \mathfrak{U} \text{ is open in } U, \text{ apply the homeomorphism } f|_U \\ &\implies \mathfrak{V} = f|_U(U \cap \mathfrak{U}) \text{ is open in } f(U), \text{ where } f(U) \text{ is open in } Y \\ &\implies \mathfrak{V} \text{ is an open neighbour of } y \text{ with } \mathfrak{V} \subseteq f(U) \end{aligned}$$

(2) We prove that  $f$  is continuous.

For all open subset  $\mathfrak{V}$  of  $Y$ , we wish to show that  $f^{-1}(\mathfrak{V})$  is open in  $X$ .

That is, for all  $x \in f^{-1}(\mathfrak{V})$ , we wish to find an open neighbour  $\mathfrak{U}$  of  $x$  with  $\mathfrak{U} \subseteq f^{-1}(\mathfrak{V})$ .

As  $f$  is a local homeomorphism,  $x$  has an open neighbour  $U$ , such that  $f(U)$  is open in  $Y$ , and the restricted function  $f|_U : U \rightarrow f(U)$  is a homeomorphism. Now:

$$\begin{aligned} \mathfrak{V} \text{ is open in } Y &\implies f(U) \cap \mathfrak{V} \text{ is open in } f(U), \text{ apply the homeomorphism } f|_U \\ &\implies \mathfrak{U} = f|_U^{-1}(f(U) \cap \mathfrak{V}) \text{ is open in } U, \text{ where } U \text{ is open in } X \\ &\implies \mathfrak{U} \text{ is an open neighbour of } x \text{ with } \mathfrak{U} \subseteq U \end{aligned}$$

Quod. Erat. Demonstrandum. □

*However, local homeomorphism is too weak to “record different decks”.*

**Definition 2.5. (Covering Map)**

Let  $X, Y$  be two topological spaces, and  $f : X \rightarrow Y$  be a continuous surjection.

If for all  $y \in Y$ , there exists an open neighbour  $V$  of  $y$ , such that:

- (1)  $f^{-1}(V)$  is homeomorphic to  $\coprod_{\lambda \in I} U_\lambda$ , where each  $U_\lambda$  is open in  $X$ .
- (2) Each restricted map  $f|_{U_\lambda} : U_\lambda \rightarrow V$  is a homeomorphism.

Then,  $f$  is a covering map.

**Proposition 2.6.** Let  $(X_\lambda)_{\lambda \in I}$  be an indexed family of topological spaces,

$X$  be the coproduct space of  $(X_\lambda)_{\lambda \in I}$ ,  $Y$  be a topological space,

and  $(f_\lambda : X_\lambda \rightarrow Y)_{\lambda \in I}$  be an indexed family of homeomorphisms.

The coproduct  $f$  of  $(f_\lambda)_{\lambda \in I}$  is a covering map.

*Proof.* For all  $y \in Y$ , there exists an open neighbour  $V$  of  $y$ , such that:

- (1)  $f^{-1}(V) = X \cong \coprod_{\lambda \in I} X_\lambda \times \{\lambda\}$ , where each  $X_\lambda \times \{\lambda\}$  is open in  $X$ .
- (2) Each restricted map  $f|_{X_\lambda \times \{\lambda\}}(x, \lambda) = f_\lambda(x)$  is a homeomorphism.

Hence,  $f$  is a covering map. Quod. Erat. Demonstrandum. □

**Remark:** Coproduct is not an interesting covering space.

**Proposition 2.7.** If  $f : X \rightarrow Y$  is a covering map,

then  $f$  is a local homeomorphism.

*Proof.* For all  $x \in X$ , we wish to find an open neighbour  $U$  of  $x$ , such that  $f(U)$  is open in  $Y$ , and the restricted function  $f|_U : U \rightarrow f(U)$  is a homeomorphism.

As  $f$  is a covering map, there exists an open neighbour  $V$  of  $f(x)$ , such that:

- (1)  $f^{-1}(V)$  is homeomorphic to  $\coprod_{\lambda \in I} U_\lambda$ , where each  $U_\lambda$  is open in  $X$ .
- (2) Each restricted map  $f|_{U_\lambda} : U_\lambda \rightarrow V$  is a homeomorphism.

As  $f(x) \in V$ ,  $x \in f^{-1}(V) = \bigcup_{\lambda \in I} U_\lambda$ , so  $x$  is in some  $U_\mu$ .

Hence, there exists an open neighbour  $U_\mu$  of  $x$ , such that  $f(U_\mu) = V$  is open in  $Y$ , and the restricted function  $f|_{U_\mu} : U_\mu \rightarrow V$  is a homeomorphism.

Quod. Erat. Demonstrandum. □

**Remark:** As a consequence, every covering map  $f$  is open.

## 2.2 Lifting Properties

Covering map is introduced to “record the information of a loop in vertical displacement”.

### Theorem 2.8. (Special Lifting Property)

Let  $p : \tilde{X} \rightarrow X$  be a covering map.

For all path  $\gamma : [0, 1]_t \rightarrow X$  downstairs with initial point  $\gamma(0) \in X$ ,  
for all initial point  $\tilde{\gamma}(0) \in \tilde{X}$  upstairs with projection  $p(\tilde{\gamma}(0)) = \gamma(0)$ , there exists  
a unique path  $\tilde{\gamma} : [0, 1]_t \rightarrow \tilde{X}$  upstairs with projection  $p \circ \tilde{\gamma} = \gamma$ .

*Proof.* We may divide our proof into seven steps.

- (1) For each point  $\xi \in X$  downstairs, choose an open neighbour  $U_\xi$  of  $\xi$ ,  
such that  $p^{-1}(U_\xi) \cong \coprod_{\lambda \in I_\xi} \tilde{U}_\xi^{\lambda_\xi}$  and each  $p|_{\tilde{U}_\xi^{\lambda_\xi}} : \tilde{U}_\xi^{\lambda_\xi} \rightarrow U_\xi$  is a homeomorphism.
- (2)  $\gamma$  pulls the open cover  $(U_\xi)_{\xi \in X}$  of  $X$  back to that  $(\gamma^{-1}(U_\xi))_{\xi \in X}$  of  $[0, 1]_t$ .
- (3) There exists a partition  $\pi_t : 0 = t^0 < t^1 < \dots < t^{n-1} < t^n = 1$  of the compact set  $[0, 1]_t$ , such that each  $T_k = [t^k, t^{k+1}]$  is entirely contained in some  $\gamma^{-1}(U_{\xi_k})$ .
- (4) There exists a unique  $\mu_{\xi_0} \in I_{\xi_0}$ , such that  $\tilde{\gamma}(t^0) = \tilde{\gamma}(0) \in \tilde{U}_{\xi_0}^{\mu_{\xi_0}}$  upstairs.
- (5) Construct a path  $\tilde{\gamma}|_{T_k} = p|_{\tilde{U}_{\xi_0}^{\mu_{\xi_0}}}^{-1} \circ \gamma|_{T_k}$ .
- (6) Now we've found a new point  $\tilde{x}_1 = \tilde{\gamma}(t^1)$  upstairs with projection  $x_1 = \gamma(t^1)$ .  
Repeat the procedure inductively, and the concatenation generates a path  $\tilde{\gamma}$ .
- (7) For all open covers  $(U_\xi)_{\xi \in X}, (U'_\xi)_{\xi \in X}$  chosen in (1), on each block of  $\pi_t \cup \pi'_t$ ,  
the paths  $\tilde{\gamma}, \tilde{\gamma}'$  are identified, so  $\tilde{\gamma}, \tilde{\gamma}'$  are globally identified.

Quod. Erat. Demonstrandum. □

**Remark:** The main purpose of this theorem is to lift paths.

### Theorem 2.9. (General Lifting Property)

Let  $p : \tilde{X} \rightarrow X$  be a covering map.

For all continuous function  $H : [0, 1]^n \rightarrow X$  with initial point  $H(\mathbf{0}) \in X$ ,  
for all initial point  $\tilde{H}(\mathbf{0})$  upstairs with projection  $p(\tilde{H}(\mathbf{0})) = H(\mathbf{0})$ , there exists a  
unique continuous function  $\tilde{H} : [0, 1]^n \rightarrow \tilde{X}$  upstairs with projection  $p \circ \tilde{H} = H$ .

*Proof.* We may divide our proof into seven steps.

- (1) For each point  $\xi \in X$  downstairs, choose an open neighbour  $U_\xi$  of  $\xi$ ,  
such that  $p^{-1}(U_\xi) \cong \coprod_{\lambda \in I_\xi} \tilde{U}_\xi^{\lambda_\xi}$  and each  $p|_{\tilde{U}_\xi^{\lambda_\xi}} : \tilde{U}_\xi^{\lambda_\xi} \rightarrow U_\xi$  is a homeomorphism.
  - (2)  $H$  pulls the open cover  $(U_\xi)_{\xi \in X}$  of  $X$  back to that  $(H^{-1}(U_\xi))_{\xi \in X}$  of  $[0, 1]^n$ .
  - (3) There exists a partition  $\pi^n = \prod_{l=1}^n \pi_{t_l}$  of the compact set  $[0, 1]^n = \prod_{l=1}^n [0, 1]_{t_l}$ ,  
such that each  $T_{\mathbf{k}}^n = \prod_{l=1}^n [t_l^{k_l}, t_l^{k_l+1}]$  is entirely contained in some  $H^{-1}(U_{\xi_{\mathbf{k}}})$ .
  - (4) There exists a unique  $\mu_{\xi_0} \in I_{\xi_0}$ , such that  $\tilde{H}(t_l^0)_{l=1}^n = \tilde{H}(\mathbf{0}) \in \tilde{U}_{\xi_0}^{\mu_{\xi_0}}$  upstairs.
  - (5) Construct a continuous function  $\tilde{H}|_{T_{\mathbf{k}}^n} = p|_{\tilde{U}_{\xi_0}^{\mu_{\xi_0}}}^{-1} \circ H|_{T_{\mathbf{k}}^n}$ .
  - (6) Repeat the procedure inductively in  $n$  directions,  
and the concatenation generates a continuous function  $\tilde{H}$ .
  - (7) For all open covers  $(U_\xi)_{\xi \in X}, (U'_\xi)_{\xi \in X}$  chosen in (1), on each block of  $\pi^n \cup \pi'^n$ ,  
the two continuous functions  $\tilde{H}, \tilde{H}'$  are identified, so  $\tilde{H}, \tilde{H}'$  are globally identified.
- Quod. Erat. Demonstrandum.  $\square$

**Remark:** The main purpose of this theorem is to lift path homotopies.

**Proposition 2.10.** Let  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  be a covering map.  
The map  $p'$  obtained by the fundamental functor  $\pi_1$  is a group embedding.

*Proof.* For all  $[\tilde{\gamma}] \in \pi_1(X, x_0)$ :

$$p'([\tilde{\gamma}]) = [e_{x_0}] = p'([\tilde{e}_{\tilde{x}_0}]) \implies [p \circ \tilde{\gamma}] = [p \circ \tilde{e}_{\tilde{x}_0}] \implies p \circ \tilde{\gamma} \approx p \circ \tilde{e}_{\tilde{x}_0} \implies \tilde{\gamma} \approx \tilde{e}_{\tilde{x}_0}$$

Quod. Erat. Demonstrandum.  $\square$

**Remark:** This is a direct consequence of homotopy lifting property.

**Theorem 2.11. (Universal Property of Covering Space[2])**

Let  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  be a covering map.

For all continuous function  $f : (Y, y_0) \rightarrow (X, x_0)$ ,

where  $Y$  is path connected and locally path connected:

$$f'(\pi_1(Y, y_0)) \leq p'(\pi_1(\tilde{X}, \tilde{x}_0)) \iff \exists! \text{ lift } \tilde{f} : (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0) \text{ of } f$$

*Proof.* We may divide our proof into two parts.

**“if” direction:** Assume that  $\exists! \text{ lift } \tilde{f} : (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0)$  of  $f$ .

For all  $[\gamma] \in f'(\pi_1(Y, y_0))$ , there exists  $[\sigma] \in \pi_1(Y, y_0)$ , such that:

$$[\gamma] = f'([\sigma]) = [f \circ \sigma]$$

Hence, there exists  $[\tilde{f} \circ \sigma] \in \pi_1(\tilde{X}, \tilde{x}_0)$ , such that:

$$[\gamma] = [p \circ \tilde{f} \circ \sigma] = p'([\tilde{f} \circ \sigma])$$

This implies  $[\gamma] \in p'(\pi_1(\tilde{X}, \tilde{x}_0))$ .

**“only if” direction:** Assume that  $f'(\pi_1(Y, y_0)) \leq p'(\pi_1(\tilde{Y}, \tilde{y}_0))$ .

For all  $y \in Y$ , define  $\tilde{f}(y) = \tilde{x}$  if there exists a path  $\sigma$  from  $y_0$  to  $y$ ,

such that  $\gamma = f \circ \sigma$  is from  $x_0$  to  $x = f(y)$ , and  $\gamma$  has a lift  $\tilde{\gamma}$  from  $\tilde{x}_0$  to  $\tilde{x}$  with  $p(\tilde{x}) = x$ .

(1) We show that  $\tilde{f}$  has at least one image  $\tilde{x}$  under an arbitrary  $y \in Y$ .

As  $Y$  is path connected, there is at least one path  $\sigma$  from  $y_0$  to  $y$ .

As  $[\gamma] = [f \circ \sigma] = f'([\sigma])$  is in  $p'(\pi_1(\tilde{X}, \tilde{x}_0))$ ,  $\gamma$  has a lift  $\tilde{\gamma}$  from  $\tilde{x}_0$  to  $\tilde{x}$  with  $p(\tilde{x}) = x$ .

(2) We show that  $\tilde{f}$  has at most one image  $\tilde{x}$  under an arbitrary  $y \in Y$ .

For all paths  $\sigma, \sigma'$  from  $y_0$  to  $y$ , we may construct the following loop:

$$[\gamma] \star [\gamma']^{-1} = f'([\sigma]) \star f'([\sigma'])^{-1} = f'([\sigma] \star [\sigma']^{-1}) \text{ is in } p'(\pi_1(\tilde{X}, \tilde{x}_0))$$

As this loop  $[\gamma] \star [\gamma']^{-1}$  pulls back to a loop  $[\tilde{\alpha}]$  in  $\tilde{X}$ , it must be true that  $\tilde{x} = \tilde{x}'$ .

(3) We show that  $\tilde{f}$  is continuous. Our strategy is to prove the following statement:

$$\forall y \in Y, \forall \text{ open neighbour } \tilde{U} \text{ of } \tilde{f}(y), \exists \text{ open neighbour } V \text{ of } y, \tilde{f}(V) \subseteq \tilde{U}$$

For all open neighbour  $\tilde{U}$  of  $\tilde{f}(y)$ , we wish to separate it with other unrelated points.

Choose an evenly covered open neighbour  $U_y$  of  $f(y)$ , such that  $U_y$  has a unique sheet  $\tilde{U}_y$  above containing  $\tilde{f}(y)$ , where  $\tilde{f}(y)$  is a preimage of  $f(y)$  under  $p$ .

Notice that  $f^{-1}(p(\tilde{U} \cap \tilde{U}_y))$  is an open neighbour of  $y$ . As  $Y$  is locally path connected,  $f^{-1}(p(\tilde{U} \cap \tilde{U}_y))$  contains a path connected neighbour  $V$  of  $y$ .

We want to show  $\tilde{f}(V) \subseteq \tilde{U}$ , i.e., for all  $z \in V$ ,  $\tilde{f}(z) \in \tilde{U}$ .

As  $V$  is path connected, we may connect  $y_0$  to  $y$  in  $Y$ , and then connect  $y$  to  $z$  in  $V$ .

Now consider the path that connects  $f(y)$  to  $f(z)$  in  $f(V) \subseteq U_y$ .

This path can be lifted within one sheet.

As the initial point  $\tilde{f}(y) \in \tilde{U}_y$ , the whole path is restricted to stay in  $\tilde{U}_y$ . Now apply the local inverse  $p|_{\tilde{U}_y}^{-1}$  to  $f(z) \in p(\tilde{U} \cap \tilde{U}_y)$ , we get  $\tilde{f}(z) \in \tilde{U} \cap \tilde{U}_y \subseteq \tilde{U}$ , and we are done.

(4) It follows from the unique path lifting property that such lifting is unique.

Quod. Erat. Demonstrandum. □

## 2.3 Constructions of Covering Map

**Proposition 2.12.** If  $f : X \rightarrow Y$  is a homeomorphism, and  $\mathfrak{U}$  is a subset of  $X$ , then the restricted map  $f|_{\mathfrak{U}} : \mathfrak{U} \rightarrow f(\mathfrak{U})$  is a homeomorphism.

*Proof.* As  $f : X \rightarrow Y$  is a homeomorphism,  $f$  has an inverse  $g$ , and both  $f$  and  $g$  are continuous. The inverse relationship and continuity are preserved if we restrict  $f$  to  $f|_{\mathfrak{U}}$  and restrict  $g$  to  $g|_{f(\mathfrak{U})}$ . Hence, the restricted map  $f|_{\mathfrak{U}}$  is a homeomorphism.

Quod. Erat. Demonstrandum. □

**Proposition 2.13.** If  $f : X \rightarrow Y$  is a local homeomorphism, and  $\mathfrak{U}$  is a subset of  $X$ , then the restricted map  $f|_{\mathfrak{U}} : \mathfrak{U} \rightarrow f(\mathfrak{U})$  is a local homeomorphism.

*Proof.* For all  $x \in \mathfrak{U}$ , as  $f$  is a local homeomorphism, there exists an open neighbour  $U$  of  $x$ , such that  $f(U)$  is open in  $Y$  and the restricted function  $f|_U : U \rightarrow f(U)$  is a homeomorphism. As there exists an open neighbour  $U \cap \mathfrak{U}$  of  $x$ , such that  $f|_{U \cap \mathfrak{U}} = (f|_U)|_{\mathfrak{U}}$  is a homeomorphism, we may conclude that  $f|_{\mathfrak{U}}$  is local homeomorphism. Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.14.** If  $f : X \rightarrow Y$  is a covering map, and  $\mathfrak{U}$  is a subset of  $X$ , then the restricted map  $f|_{\mathfrak{U}} : \mathfrak{U} \rightarrow f(\mathfrak{U})$  is a covering map.

*Proof.* For all  $y \in f(\mathfrak{U})$ , as  $f$  is a covering map, there exists an open neighbour  $V$  of  $y$ , such that  $f^{-1}(V)$  is homeomorphic to a disjoint union  $\coprod_{\lambda \in I} U_\lambda$  of open subsets of  $X$ , and each restricted map  $f|_{U_\lambda} : U_\lambda \rightarrow V$  is a homeomorphism. Hence, there exists an open neighbour  $f(\mathfrak{U}) \cap V$  of  $y$ , such that  $f|_{\mathfrak{U}}^{-1}(f(\mathfrak{U}) \cap V)$  is homeomorphic to a disjoint union  $\coprod_{\lambda \in I} \mathfrak{U} \cap U_\lambda$  of open subsets of  $\mathfrak{U}$ , and each restricted map  $f|_{\mathfrak{U} \cap U_\lambda} = (f|_{U_\lambda})|_{\mathfrak{U}}$  is a homeomorphism. This implies the restricted map  $f|_{\mathfrak{U}}$  is a covering map. Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.15.** Define  $\text{Obj} = [\text{All topological space}]$ .  $X \sim Y$  if there exists a homeomorphism  $f : X \rightarrow Y$  is an equivalence relation on  $\text{Obj}$ .

*Proof.* We may divide our proof into three parts.

(1) For all  $X \in \text{Obj}$ :

There exists a homeomorphism  $e_X : x \mapsto x$  from  $X$  to  $X$ .

(2) For all  $X, Y \in \text{Obj}$ :

If there exists a homeomorphism  $f$  from  $X$  to  $Y$ ,  
then  $f$  has an inverse  $g$  and both  $f$  and  $g$  are continuous,  
so  $g$  has an inverse  $f$  and both  $g$  and  $f$  are continuous.

Hence, there exists a homeomorphism  $g$  from  $Y$  to  $X$ .

(3) For all  $X, Y, Z \in \text{Obj}$ :

If there exist a homeomorphism  $f$  from  $X$  to  $Y$  and a homeomorphism  $u$  from  $Y$  to  $Z$ ,  
then  $f$  has an inverse  $g$  and  $u$  has an inverse  $v$  and all  $f, g, u, v$  are continuous,  
so  $u \circ f$  has an inverse  $g \circ v$  and both  $u \circ f$  and  $g \circ v$  are continuous.

Hence, there exists a homeomorphism  $u \circ f$  from  $X$  to  $Z$ .

To conclude,  $\sim$  is an equivalence relation on  $\text{Obj}$ . Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.16.** If  $f : X \rightarrow Y, g : Y \rightarrow Z$  are two local homeomorphisms, then  $g \circ f : X \rightarrow Z$  is a local homeomorphism.

*Proof.* We may divide our proof into three steps.

(1) For all  $x \in X$ , for some open neighbour  $U_x$  of  $x$ ,  $f(U_x)$  is open in  $Y$ ,  
and the restricted map  $f|_{U_x} : U_x \rightarrow f(U_x)$  is a homeomorphism.

(2) For all  $y \in Y$ , for some open neighbour  $V_y$  of  $y$ ,  $g(V_y)$  is open in  $Z$ ,  
and the restricted map  $g|_{V_y} : V_y \rightarrow g(V_y)$  is a homeomorphism.



(3) For all  $x \in X$ , for some open neighbour  $W_x = U_x \cap f^{-1}(V_{f(x)})$  of  $x$ ,  $g \circ f(W_x)$  is open in  $Z$ , and the restricted map  $g \circ f|_{W_x} = g|_{V_{f(x)}} \circ f|_{W_x}$  is a homeomorphism. Hence,  $g \circ f$  is a local homeomorphism. Quod. Erat. Demonstrandum.  $\square$

**Remark:** However, when it comes to covering map, things becomes more complicated.

**Theorem 2.17.** Let  $X, Y, Z$  be three topological spaces, and  $f : X \rightarrow Y, g : Y \rightarrow Z$  be two continuous functions. If  $f, g$  are covering maps, and each  $g^{-1}(\{z\})$  is finite, then  $h = g \circ f$  is a covering map.

*Proof.* We may divide our proof into four steps.

**Step 1:** For all  $z \in Z$ , for some open neighbour  $W_z$  of  $z$ ,  $g^{-1}(W_z) \cong \coprod_{k=1}^m \mathfrak{V}_k$ , and each restricted map  $g|_{\mathfrak{V}_k} : \mathfrak{V}_k \rightarrow W_z$  is a homeomorphism.

**Step 2:** For some open neighbour  $V_{y_k} \subseteq \mathfrak{V}_k$  of  $y_k = g|_{\mathfrak{V}_k}^{-1}(z)$ ,  $f^{-1}(V_{y_k}) \cong \coprod_{\lambda_k \in I_k} \mathfrak{U}_{\lambda_k}$ , and each restricted map  $f|_{\mathfrak{U}_{\lambda_k}} : \mathfrak{U}_{\lambda_k} \rightarrow V_{y_k}$  is a homeomorphism.

**Step 3:** As  $g, f$  are covering maps,  $g, f$  are surjective and continuous, which implies  $h = g \circ f$  is surjective and continuous.

**Step 4:** Define an open neighbour  $U_z = \bigcap_{k=1}^m g|_{\mathfrak{V}_k}^{-1}(V_{y_k})$  of  $z$ .

The following set-theoretic result holds:

$$\begin{aligned} h^{-1}(U_z) &= f^{-1}(g^{-1}(U_z)) = f^{-1}\left(\prod_{k=1}^m g|_{\mathfrak{V}_k}^{-1}(U_z)\right) = \prod_{k=1}^m f^{-1}(g|_{\mathfrak{V}_k}^{-1}(U_z)) \\ &= \prod_{k=1}^m \prod_{\lambda_k \in I_k} f|_{\mathfrak{U}_{\lambda_k}}^{-1}(g|_{\mathfrak{V}_k}^{-1}(U_z)) = \prod_{k=1}^m \prod_{\lambda_k \in I_k} h|_{\mathfrak{U}_{\lambda_k}}^{-1}(U_z) \end{aligned}$$

Hence,  $h^{-1}(U_z)$  is homeomorphic to the above coproduct of open subsets of  $X$ , and each restricted map  $h|_{\mathfrak{U}_{\lambda_k}} : \mathfrak{U}_{\lambda_k} \rightarrow U_z$  is a homeomorphism.

Hence,  $h$  is a covering map. Quod. Erat. Demonstrandum.  $\square$

**Theorem 2.18.** Let  $X, Y, Z$  be three topological spaces, and  $f : X \rightarrow Y, g : Y \rightarrow Z$  be two continuous functions. If  $Z$  is locally path connected, and  $f, h = g \circ f$  are two covering maps, then  $g : Y \rightarrow Z$  is a covering map.

*Proof.* We may divide our proof into four steps.

**Step 1:** As  $h : X \rightarrow Z$  is a covering map, for all  $z \in Z$ , for some open neighbour  $W_z$  of  $z$ ,  $h^{-1}(W_z) \cong \coprod_{\nu \in K} \mathfrak{W}_\nu$ , and each restricted map  $h|_{\mathfrak{W}_\nu} : \mathfrak{W}_\nu \rightarrow W_z$  is a homeomorphism. As  $Z$  is locally path connected, replace  $W_z$  with a path connected open neighbour of  $z$ .

**Step 2:** As the covering map  $h = g \circ f$  is surjective,  $g$  is surjective.

As  $h = g \circ f$  is continuous and the covering map  $f$  is surjective and open,  $g$  is continuous.

$$\forall \text{ open subset } W \text{ of } Z, g^{-1}(W) = f(f^{-1}(g^{-1}(W))) = f(h^{-1}(W)) \text{ is open in } Y$$

**Step 3:** Partition  $g^{-1}(W_z)$  by all its path connected components.

$$g^{-1}(W_z) = \coprod_{\mu \in J} \mathfrak{V}_\mu$$

(1) As the covering map  $f$  is surjective and open, each  $\mathfrak{V}_\mu$  is open:

$$\begin{aligned} \mathfrak{V}_\mu &= f(f^{-1}(\mathfrak{V}_\mu)) = f(\{x \in X : f(x) \in \mathfrak{V}_\mu\}) = f(\{x \in h^{-1}(W_z) : f(x) \in \mathfrak{V}_\mu\}) \\ &= \{f(x) \in h^{-1}(W_z) : f(x) \in \mathfrak{V}_\mu\} = \bigcup_{f(\mathfrak{W}_\nu) \subseteq \mathfrak{V}_\mu} f(\mathfrak{W}_\nu) \end{aligned}$$

(2) We wish to show that each  $f(\mathfrak{W}_\nu)$  is equal to the path connected component  $\mathfrak{V}_\mu$  it lives in, so which representative  $f(\mathfrak{W}_\nu)$  we choose for  $\mathfrak{V}_\mu$  is not important.

Assume to the contrary that some  $f(\mathfrak{W}_\nu) \subsetneq \mathfrak{V}_\mu$ .

Fix  $x_\nu \in \mathfrak{W}_\nu$ ,  $y_\nu = f(x_\nu) \in f(\mathfrak{W}_\nu)$  and  $y \in \mathfrak{V}_\mu \setminus f(\mathfrak{W}_\nu)$ .

As  $\mathfrak{V}_\mu$  is path connected, there exists a path  $\gamma : [0, 1] \rightarrow \mathfrak{V}_\mu$  from  $y_\nu$  to  $y$ .

As  $f$  is a covering map, for certain initial point  $x_\nu \in X$ ,

the path  $\gamma$  downstairs has a unique lift  $\tilde{\gamma} : [0, 1] \rightarrow X$  upstairs.

On one hand,  $f \circ \tilde{\gamma}([0, 1]) = \gamma([0, 1]) \subseteq \mathfrak{V}_\mu$ ,  $\tilde{\gamma}([0, 1]) \subseteq f^{-1}(\mathfrak{V}_\mu) = \coprod_{f(\mathfrak{W}_\nu) \subseteq \mathfrak{V}_\mu} \mathfrak{W}_\nu$ ,

the path  $\tilde{\gamma}$  should stay in the sheet  $\mathfrak{W}_\nu$  where its initial point  $x_\nu$  lies in.

On the other hand,  $y = \gamma(1) = f \circ \tilde{\gamma}(1)$  goes out of the range  $f(\mathfrak{W}_\nu)$ , a contradiction.

Hence, our assumption is wrong, and we've proven that  $f(\mathfrak{W}_\nu) = \mathfrak{V}_\mu$ .

**Step 4:** For each  $\mu \in J$ , choose  $\nu_\mu \in K$ , such that  $f(\mathfrak{W}_{\nu_\mu}) = \mathfrak{V}_\mu$ .

We've already set up a homeomorphism  $h|_{\mathfrak{W}_{\nu_\mu}} : \mathfrak{W}_{\nu_\mu} \rightarrow W_z$ .

If we restrict the covering map  $f$  to  $f|_{\mathfrak{W}_{\nu_\mu}} : \mathfrak{W}_{\nu_\mu} \rightarrow \mathfrak{V}_\mu$ ,

then  $f|_{\mathfrak{W}_{\nu_\mu}}$  is surjective, open and continuous.

As  $h|_{\mathfrak{W}_{\nu_\mu}} = g|_{\mathfrak{V}_\mu} \circ f|_{\mathfrak{W}_{\nu_\mu}}$  is bijective,  $f|_{\mathfrak{W}_{\nu_\mu}}$  must be injective.

As  $f|_{\mathfrak{W}_{\nu_\mu}}$  is a homeomorphism,  $g|_{\mathfrak{V}_\mu} = h|_{\mathfrak{W}_{\nu_\mu}} \circ f|_{\mathfrak{W}_{\nu_\mu}}^{-1}$  is a homeomorphism.

Hence,  $g$  is a covering map. Quod. Erat. Demonstrandum.  $\square$

**Theorem 2.19.** Let  $X, Y, Z$  be three topological spaces, and  $f : X \rightarrow Y, g : Y \rightarrow Z$  be two continuous functions. If  $Y$  is path connected, and  $g, h = g \circ f$  are covering maps, then  $f : X \rightarrow Y$  is a covering map.

*Proof.* We may divide our proof into four steps.

**Step 1:** As  $g : Y \rightarrow Z$  is a covering map, for all  $z \in Z$ , for some open neighbour  $V_z$  of  $z$ ,  $g^{-1}(V_z) \cong \coprod_{\mu \in J} \mathfrak{V}_\mu$ , and each restricted map  $g|_{\mathfrak{V}_\mu} : \mathfrak{V}_\mu \rightarrow V_z$  is a homeomorphism.

**Step 2:** As  $h : X \rightarrow Z$  is a covering map, for all  $z \in Z$ , for some open neighbour  $W_z$  of  $z$ ,  $h^{-1}(W_z) \cong \coprod_{\nu \in K} \mathfrak{W}_\nu$ , and each restricted map  $h|_{\mathfrak{W}_\nu} : \mathfrak{W}_\nu \rightarrow W_z$  is a homeomorphism.

**Step 3:** We wish to show that the continuous function  $f : X \rightarrow Y$  is surjective.

(1) For all  $y \in Y$ , we wish to find a preimage  $x$  of  $y$  under  $f$ .

(2) Fix a point  $x_0 \in X$ , and define  $y_0 = f(x_0) \in Y, z_0 = h(x_0) = g(y_0) \in Z$ .

(3) As  $Y$  is path connected, there exist a path  $\nu : [0, 1] \rightarrow Y$  from  $y_0$  to  $y$ .

- (4) Project the path  $\nu$  in  $Y$  to the path  $\sigma = g \circ \nu$  in  $Z$  via  $g$ .  
(5) As  $h$  is a covering map, there exists a unique lift  $\mu$  of  $\sigma$  with initial point  $x_0 \in X$ .  
(6) Project the path  $\mu$  in  $X$  to the path  $\nu' = f \circ \mu$  in  $Y$  via  $f$ .  
(7) As  $g$  is a covering map and the initial points  $\nu(0) = y_0, \nu'(0) = f \circ \mu(0) = f(\mu(0)) = f(x_0) = y_0$  are identical,  $y = \nu(1) = \nu'(1) = f \circ \mu(1) = f(\mu(1)) \in f(X)$ ,  $f$  is surjective.  
**Step 4:** For all  $y \in Y$ , choose the open neighbour  $U_y = g^{-1}(V_{g(y)} \cap W_{g(y)})$  of  $y$ .

The following set-theoretic result holds:

$$\begin{aligned} f^{-1}(U_y) &= f^{-1}(g^{-1}(V_{g(y)} \cap W_{g(y)})) = \coprod_{\nu \in K} f|_{\mathfrak{W}_\nu}^{-1} \left( \coprod_{\mu \in J} g|_{\mathfrak{W}_\mu}^{-1} (V_{g(y)} \cap W_{g(y)}) \right) \\ &= \coprod_{\nu \in K} \coprod_{\mu \in J} f|_{\mathfrak{W}_\nu}^{-1} (g|_{\mathfrak{W}_\mu}^{-1} (V_{g(y)} \cap W_{g(y)})) = \coprod_{\nu \in K} \coprod_{\mu \in J} h|_{f^{-1}(\mathfrak{W}_\mu) \cap \mathfrak{W}_\nu}^{-1} (V_{g(y)} \cap W_{g(y)}) \end{aligned}$$

Hence,  $f^{-1}(U_y)$  is homeomorphic to the above coproduct of open subsets of  $X$ , and each restricted map  $g|_{\mathfrak{W}_\mu \cap f(\mathfrak{W}_\nu)}^{-1} \circ h|_{f^{-1}(\mathfrak{W}_\mu) \cap \mathfrak{W}_\nu}$  is a homeomorphism.

To conclude,  $f$  is a covering map. Quod. Erat. Demonstrandum.  $\square$

**Remark:** In order to ensure that the composition is a covering map, one should assume that the target space has a universal cover.

**Definition 2.20. (Simple Connectedness)**

Let  $X$  be a topological space. If  $X$  is path connected, and the fundamental group  $\pi_1(X, x_0)$  at every base point  $x_0 \in X$  is isomorphic to the trivial group  $\{e\}$ , then  $X$  is simply connected.

**Definition 2.21. (Universal Covering Map)**

Let  $X, Y$  be two topological spaces, and  $f : X \rightarrow Y$  be a covering map. If  $X$  is simply connected and locally connected, then  $f$  is universal.

**Theorem 2.22.** Let  $X, Y, Z, W$  be four topological spaces, and  $f : X \rightarrow Y, g : Y \rightarrow Z, \zeta : W \rightarrow Z$  be three continuous functions. If  $Z$  is locally path connected,  $f, g$  are covering maps, and  $\zeta$  is a universal covering map, then  $h = g \circ f$  is a covering map.

*Proof.* We may divide our proof into three steps.

**Step 1:** Treat  $g : (Y, y_0) \rightarrow (Z, z_0)$  as a cover, and  $\zeta : (W, w_0) \rightarrow (Z, z_0)$  as a map.

As  $\zeta$  is universal,  $\zeta'(\pi_1(W, w_0)) = \{\zeta'(\llbracket e_{w_0} \rrbracket)\} = \{\llbracket \zeta \circ e_{w_0} \rrbracket\} = \{\llbracket e_{z_0} \rrbracket\} \leq g'(\pi_1(Y, y_0))$ .

As  $W$  is path connected and locally path connected, the universal property of covering space suggests that  $\zeta : (W, w_0) \rightarrow (Z, z_0)$  has a lift  $\eta : (W, w_0) \rightarrow (Y, y_0)$ .

**Step 2:** Treat  $f : (X, x_0) \rightarrow (Y, y_0)$  as a cover, and  $\eta : (W, w_0) \rightarrow (Y, y_0)$  as a map.

As  $\eta$  is universal,  $\eta'(\pi_1(W, w_0)) = \{\eta'(\llbracket e_{w_0} \rrbracket)\} = \{\llbracket \eta \circ e_{w_0} \rrbracket\} = \{\llbracket e_{y_0} \rrbracket\} \leq f'(\pi_1(X, x_0))$ .

As  $W$  is path connected and locally path connected, the universal property of covering space suggests that  $\eta : (W, w_0) \rightarrow (Y, y_0)$  has a lift  $\xi : (W, w_0) \rightarrow (X, x_0)$ .

**Step 3:** As  $Z$  is locally path connected, and  $\zeta = g \circ \eta = g \circ f \circ \xi = h \circ \xi$ ,  $\xi$  are covers, it follows from **Theorem 2.18.** that  $h : X \rightarrow Z$  is a covering map.  
Quod. Erat. Demonstrandum.  $\square$

## 2.4 Examples and Non-examples of Covering Map

**Proposition 2.23.**  $f : \mathbb{C}^\times \rightarrow \mathbb{C}^\times, z \mapsto z^n (n \neq 0)$  is a covering map.

*Proof.* Define  $X = \mathbb{C}^\times, Y = \mathbb{C}^\times, \alpha = \pi/|n|$ . As  $Y$  is a topological group, it suffices to show that  $y = 1$  has an open neighbour  $V = e^{\mathbb{R} + i(-\pi, +\pi)}$  with:

- (1)  $f^{-1}(V) \cong \coprod_{k=0}^{n-1} U_k$ , where each  $U_k = e^{\mathbb{R} + 2ki\alpha + i(-\alpha, +\alpha)}$  is open in  $X$ .
- (2) Each restricted map  $f|_{U_l} : U_l \rightarrow V$  is a homeomorphism.

Hence, the continuous surjection  $f$  is a covering map. Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.24.**  $f : \mathbb{C} \rightarrow \mathbb{C}, z \mapsto z^n (n \geq 2)$  is not a local homeomorphism.

*Proof.* Define  $\alpha = \pi/n, U_r = B(0, r)$ .

For all  $r > 0$ , the restricted function  $f|_{U_r} : U_r \rightarrow f(U_r)$  is not injective:

$$\forall 0 < s < r \text{ and } 0 \leq k < n, f|_{U_r}(se^{2ki\alpha}) = s^n$$

Hence,  $f$  is not a local homeomorphism. Quod. Erat. Demonstrandum.  $\square$

**Remark:** As a corollary,  $f$  is not a covering map.

As proposed by Prof. Hua, this example provides us with a general technique to prove that “the power map” on a multiplicative topological group is not a covering map.

**Proposition 2.25.**  $f : \mathbb{C} \rightarrow \mathbb{C}^\times, z \mapsto e^z$  is a covering map.

*Proof.* Define  $X = \mathbb{C}, Y = \mathbb{C}^\times$ . As  $Y$  is a topological group, it suffices to show that  $y = 1$  has an open neighbour  $V = e^{\mathbb{R} + i(-\pi, +\pi)}$  with:

- (1)  $f^{-1}(V) \cong \coprod_{k \in \mathbb{Z}} U_k$ , where each  $U_k = \mathbb{R} + 2ki\pi + i(-\pi, +\pi)$  is open in  $X$ .
- (2) Each restricted map  $f|_{U_l} : U_l \rightarrow V$  is a homeomorphism.

Hence, the continuous surjection  $f$  is a covering map. Quod. Erat. Demonstrandum.  $\square$

**Proposition 2.26.**  $f : \mathbf{GL}_2(\mathbb{C}) \rightarrow \mathbf{GL}_2(\mathbb{C}), A \mapsto A^n (n \geq 2)$  and  $g : \mathbb{H}^\times \rightarrow \mathbb{H}^\times, \mathbf{q} \mapsto \mathbf{q}^n (n \geq 2)$  are not local homeomorphisms.

*Proof.* We may divide our proof into two parts.

- (1) Define  $\alpha = \pi/n, R = \begin{pmatrix} 1 & 0 \\ 0 & e^{2i\alpha} \end{pmatrix}, U_r = B_{\text{Frobenius}}(R, r)$ .

For all  $r > 0$ , the restricted function  $f|_{U_r} : U_r \rightarrow f(U_r)$  is not injective:

$$\forall 0 < |t| < r, f|_{U_r} \begin{pmatrix} 1 & t \\ 0 & e^{2i\alpha} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Hence,  $f$  is not a local homeomorphism.

(2) Fix an imaginary unit  $\mathbf{s}$ , and define  $\alpha = \pi/n$ ,  $V_r = B(e^{s\alpha}, r)$ .

For all  $r > 0$ , the restricted function  $g|_{V_r} : V_r \rightarrow g(V_r)$  is not injective:

$$\forall \text{ imaginary unit } \mathbf{t} \text{ with } |\mathbf{t} - \mathbf{s}| < r/\sin \alpha, g(e^{t\alpha}) = -1$$

Hence,  $g$  is not a local homeomorphism.

Quod. Erat. Demonstrandum. □

**Remark:** To prove that  $f, g$  are not local homeomorphisms, we implicitly make use of the fact that  $U_r, V_r$  contain infinitely many elements in the forms  $\begin{pmatrix} 1 & t \\ 0 & e^{2i\alpha} \end{pmatrix}, e^{t\alpha}$ .

**Proposition 2.27.**  $f : \mathbf{M}_2(\mathbb{C}) \rightarrow \mathbf{GL}_2(\mathbb{C})$ ,  $A \mapsto e^A$  and  $g : \mathbb{H} \rightarrow \mathbb{H}^\times$ ,  $\mathbf{q} \mapsto e^{\mathbf{q}}$  are not local homeomorphisms.

*Proof.* We may divide our proof into two parts.

(1) Define  $R = \begin{pmatrix} 0 & 0 \\ 0 & 2\pi i \end{pmatrix}$ ,  $U_r = B_{\text{Frobenius}}(R, r)$ .

For all  $r > 0$ , the restricted function  $f|_{U_r} : U_r \rightarrow f(U_r)$  is not injective:

$$\forall 0 < |t| < r, f \begin{pmatrix} 0 & t \\ 0 & 2\pi i \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Hence,  $f$  is not a local homeomorphism.

(2) Fix a pure imaginary unit  $\mathbf{s}$ , and define  $V_r = B(\mathbf{s}\pi, r)$ .

For all  $r > 0$ , the restricted function  $g|_{V_r} : V_r \rightarrow g(V_r)$  is not injective:

$$\forall \text{ imaginary unit } \mathbf{t} \text{ with } |\mathbf{t} - \mathbf{s}| < r/\pi, g(\mathbf{t}\pi) = -1$$

Hence,  $g$  is not a local homeomorphism.

Quod. Erat. Demonstrandum. □

**Remark:** To prove that  $f, g$  are not local homeomorphisms, we implicitly make use of the fact that  $U_r, V_r$  contain infinitely many elements in the forms  $\begin{pmatrix} 0 & t \\ 0 & 2\pi i \end{pmatrix}, \mathbf{t}\pi$ .

**Definition 2.28. (Hawaii Earring  $\mathbb{H}_N$ )**

Define  $\mathbb{S}(z_0, r) = \{z \in \mathbb{C} : |z - z_0| = r\}$ .

Define the subspace  $\bigcup_{n=N}^{+\infty} \mathbb{S}(\frac{i}{3^n}, \frac{1}{3^n})$  of  $\mathbb{C}$  as the Hawaii earring  $\mathbb{H}_N$ .

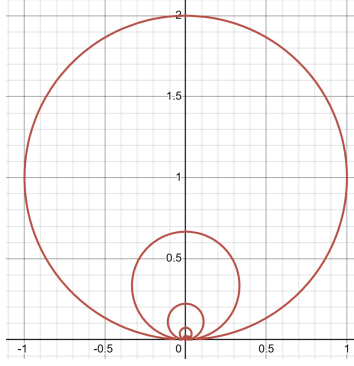


Figure 1: Hawaii Earring  $\mathbb{H}_0$

**Proposition 2.29.** The Hawaii earring  $\mathbb{H}_N$  is not a wedge sum.

*Proof.* Consider the point  $0 \in \mathbb{H}_N$ .

For all  $\epsilon > 0$ , there exists  $M \geq N$ , such that for all  $n \geq M$ , the diameter  $\frac{2}{3^n} < \epsilon$ .

This implies the partial union  $\bigcup_{n=M}^{+\infty} \mathbb{S}\left(\frac{i}{3^n}, \frac{1}{3^n}\right)$  is contained in  $\mathbb{D}(0, \epsilon)$ .

That is, every open neighbour of  $0 \in \mathbb{H}_N$  contains infinitely many circles, which is not true in the wedge sum case. Quod. Erat. Demonstrandum.  $\square$

**Definition 2.30. (Hawaii Necklace  $\mathbb{Y}$ )**

Define the following subspace of  $\mathbb{C}$  as the Hawaii Necklace  $\mathbb{Y}$ :

$$(\mathbb{R} \times \{0\}) \cup \left( \bigcup_{m \in \mathbb{Z}} m + \mathbb{H}_1 \right)$$

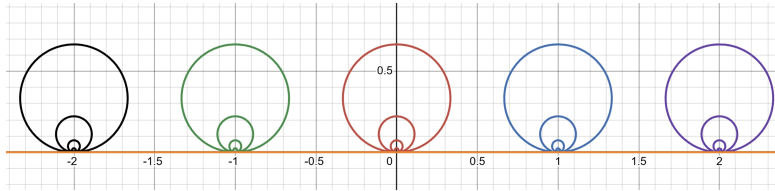


Figure 2: Hawaii Necklace  $\mathbb{Y}$

**Proposition 2.31.** Define a function  $p : \mathbb{Y} \rightarrow \mathbb{H}_0$  by:

(1) If  $z = m + \frac{\theta}{2\pi}(0 \leq \theta < 2\pi)$  is on the line segment  $[m, m+1) \times \{0\}$ , then  $p(z) = i - ie^{i\theta}$  is on the 1<sup>st</sup> circle  $\mathbb{S}(i, 1)$ .

(2) If  $z = m + \frac{i - ie^{i\theta}}{3^n}(0 \leq \theta < 2\pi, n \geq 0)$  is on the  $n^{\text{th}}$  circle  $\mathbb{S}\left(\frac{i}{3^n}, \frac{1}{3^n}\right)$ , then  $p(z) = \frac{i - ie^{i\theta}}{3^{n+1}}$  is on the  $(n+1)^{\text{th}}$  circle  $\mathbb{S}\left(\frac{i}{3^{n+1}}, \frac{1}{3^{n+1}}\right)$ .

$p$  is a covering map.

*Proof.* For all  $z_0 \in \mathbb{H}_0$ , we wish to find an open neighbour  $U_{z_0}$  of  $z_0$ , such that  $p^{-1}(U_{z_0}) \cong \coprod_{\lambda \in I} \mathfrak{U}_\lambda$ , and each restricted map  $p|_{\mathfrak{U}_\lambda} : \mathfrak{U}_\lambda \rightarrow U_{z_0}$  is homeomorphism.

**Case 1:** If  $z_0 = 0$ , then choose:

$$U_{z_0} = \mathbb{H}_0 \setminus \{2i\}$$

**Case 2:** If  $z_0 = \frac{i - ie^{i\theta_0}}{3^n}$  ( $0 < \theta_0 < 2\pi, n \geq 0$ ), then choose:

$$U_{z_0} = \mathbb{S} \left( \frac{i}{3^n}, \frac{1}{3^n} \right) \setminus \{0\}$$

Hence,  $p$  is a covering map. Quod. Erat. Demonstrandum. □

**Proposition 2.32.** Define  $\partial\mathbb{E}_n = \{x + iy \in \mathbb{C} : 3^n x^2 + y^2 = 1\}$ .

(1) For all  $n_1, n_2 \geq 1$ :

$$n_1 \leq n_2 \implies \partial\mathbb{E}_{n_1} \cap \mathbb{S} \left( +i - \frac{i}{3^{n_2}}, \frac{1}{3^{n_2}} \right) = \{+i\}$$

(2) For all  $n_1, n_2 \geq 1$ :

$$n_1 \leq n_2 \implies \partial\mathbb{E}_{n_1} \cap \mathbb{S} \left( -i + \frac{i}{3^{n_2}}, \frac{1}{3^{n_2}} \right) = \{-i\}$$

*Proof.* It suffices to prove (1) by solving the following system:

$$\begin{cases} 3^{n_1} x^2 + y^2 &= 1 \\ 3^{2n_2} x^2 + (3^{n_2} y - 3^{n_2} + 1)^2 &= 1 \end{cases}$$

**Step 1:** Note that  $y \neq -1$ :

$$\begin{aligned} y = -1 &\implies 1 = 3^{2n_2} x^2 + (-2 \cdot 3^{n_2} + 1)^2 \geq 25 \\ &\implies \text{Contradiction} \end{aligned}$$

Hence, the following calculation is valid as  $y + 1 \neq 0$ :

$$\begin{aligned} 3^{n_1} x^2 + y^2 = 1 &\implies 3^{n_1} x^2 = (1 + y)(1 - y) \\ &\implies y = 1 - \frac{3^{n_1} x^2}{1 + y} \end{aligned}$$

**Step 2:** Note that  $y \neq 1 - \frac{2}{3^{n_2}}$ :

$$\begin{aligned} y = 1 - \frac{2}{3^{n_2}} &\implies x = \pm \frac{1}{3^{n_2}} \sqrt{1 - (3^{n_2} y - 3^{n_2} + 1)^2} = 0 \\ &\implies 3^{n_1} x^2 + y^2 = \left( 1 - \frac{2}{3^{n_2}} \right)^2 < 1 \\ &\implies \text{Contradiction} \end{aligned}$$

Hence, the following calculation is valid as  $3^{n_2}y - 3^{n_2} + 2 \neq 0$ :

$$\begin{aligned} 3^{2n_n}x^2 + (3^{n_2}y - 3^{n_2} + 1)^2 = 1 &\implies 3^{2n_n}x^2 = 3^{n_2}(1 - y)(3^{n_2}y - 3^{n_2} + 2) \\ &\implies y = 1 - \frac{3^{n_2}x^2}{3^{n_2}y - 3^{n_2} + 2} \end{aligned}$$

**Step 3:** When  $x = 0$ , we get a solution  $x + iy = +i$ .

**Step 4:** When  $x \neq 0$ , the following calculation leads to a contradiction:

$$\begin{aligned} 1 - \frac{3^{n_1}x^2}{1 + y} = y = 1 - \frac{3^{n_2}x^2}{3^{n_2}y - 3^{n_2} + 2} &\implies \frac{3^{n_1}}{1 + y} = \frac{3^{n_2}}{3^{n_2}y - 3^{n_2} + 2} \\ &\implies y = 1 + \frac{\frac{1}{3^{n_1}} - \frac{1}{3^{n_2}}}{1 - \frac{1}{3^{n_1}}} \geq 1 \end{aligned}$$

To conclude, the original system has a unique solution  $x + yi = +i$ .

Quod. Erat. Demonstrandum. □

**Definition 2.33. (Hawaii Necklace  $\mathbb{X}$ )**

For each  $m \in \mathbb{Z}$ , define the following subspace  $\mathbb{P}_m$  of  $\mathbb{C}$ :

$$\mathbb{P}_m = \overbrace{(\mathbb{H}_{|m|+2} - i)}^{\text{Lower Ring}} \cup \overbrace{\left( \bigcup_{n=2}^{|m|+2} \partial \mathbb{E}_n \right)}^{(|m|+2) \text{ Ellipses}} \cup \overbrace{(i - \mathbb{H}_{|m|+2})}^{\text{Upper Ring}}$$

Define the following subspace of  $\mathbb{C}$  as the Hawaii Necklace  $\mathbb{X}$ :

$$(\mathbb{R} \times \{\pm 1\}) \cup \left( \bigcup_{m \in \mathbb{Z}} m + \mathbb{P}_m \right)$$

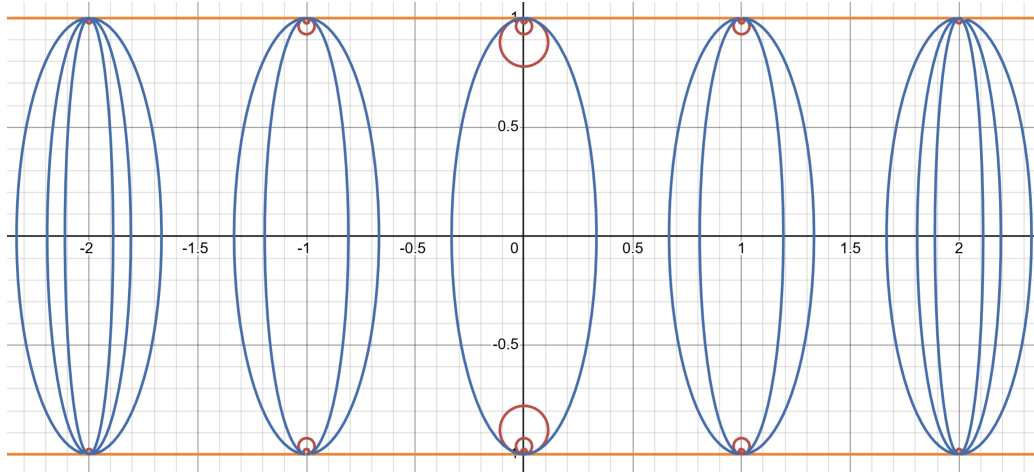


Figure 3: Hawaii Necklace  $\mathbb{X}$



**Example 2.34.** The Hawaii Necklace  $\mathbb{X}$  has a two sheet cover  $q$  on the Hawaii Necklace  $\mathbb{Y}$ . However, as every open neighbour  $U_z$  of the branch point  $z = 0 \in \mathbb{H}_0$  cuts through finitely many boundary circles,  $r = q \circ p$  is not a cover.

### 3 Monodromy Action and Deck Transformation

#### 3.1 Monodromy Action

**Example 3.1.** For all  $0 < \theta < 2\pi$ , the following initial value problem:

$$\frac{dA(t)}{dt} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \frac{A(t)}{t}, A(1) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Has a unique solution on  $e^{\mathbb{R} + i[0, \theta]}$ :

$$A(t) = \frac{t^{+\sqrt{2}} + t^{-\sqrt{2}}}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \frac{t^{+\sqrt{2}} - t^{-\sqrt{2}}}{2\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

However, if we evaluate  $A(t)$  at  $t = e^{i\theta}$  and take limit  $\theta \rightarrow 2\pi$ :

$$\lim_{\theta \rightarrow 2\pi} A(e^{i\theta}) = \frac{\cos 2\sqrt{2}\pi}{1} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \frac{i \sin 2\sqrt{2}\pi}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \neq A(1)$$

Hence, the solution cannot be extended to  $\mathbb{C}^\times$  in a continuous way.

**Remark:** In this case,  $\sqrt{2} \notin \mathbb{Q}$ , so there is no chance for  $A(t)$  to return to  $A(1)$  after finitely many turns. However, if  $A(t)$  does return to  $A(1)$ , then may “count the order of the singularity”, which is the idea behind monodromy action.

#### **Theorem 3.2. (Monodromy Action)**

Let  $Y, Z$  be two topological spaces, and  $p : Y \rightarrow Z$  be a covering map. For all  $z \in Z$ , define  $G = \pi_1(Z, z)$  and  $X = p^{-1}(\{z\})$ .  $*$  :  $G \times X \rightarrow X$ ,  $[\zeta] * x = \eta(1)$  is a contravariant left action, where  $\eta$  is a lift of  $\zeta$  with initial point  $x$  upstairs.

*Proof.* We may divide our proof into three parts.

**Part 1:** We prove that  $*$  is well-defined.

- (1) For all path  $\zeta$  downstairs and initial point  $x$  upstairs, there exists a unique lift  $\eta$ . Hence,  $[\zeta] * x$  has at least one image  $\eta(1)$ .
  - (2) For all path homotopy  $J$  downstairs and initial point  $x$  upstairs, there exists a unique lift  $I$ . Hence,  $[\zeta] * x$  has at most one image  $\eta(1)$ .
- To conclude,  $*$  is well-defined.

**Part 2:** For all  $x \in X$ ,  $e_z$  has a lift  $e_x$  with initial point  $x$  upstairs, so:

$$[[e_z]] * x = e_x(1) = x$$

**Part 3:** For all  $[[\zeta]], [[\zeta']] \in G$  and  $x \in X$ ,  
assume that  $\eta'$  is a lift of  $\zeta'$  with initial point  $x$  upstairs,  
and  $\eta$  is a lift of  $\zeta$  with initial point  $\eta'(1)$  upstairs.  
Now  $\eta' \star_c \eta$  is a lift of  $\zeta' \star_c \zeta$  with initial point  $x$  upstairs, and:

$$[[\zeta]] * ([[ \zeta' ]] * x) = [[\zeta]] * \eta'(1) = \eta(1) = \eta' \star_c \eta(1) = [[\zeta'] \star_c \zeta] * x = ([[ \zeta' ]] \star [[ \zeta ]]) * x$$

Hence,  $*$  is a contravariant left action. Quod. Erat. Demonstrandum.  $\square$

**Remark:** If  $Y$  is path connected, then the monodromy action  $*$  is transitive.

**Example 3.3.** Define  $Y = Z = \mathbb{C}^\times$ ,  $p(y) = y^n$  ( $n \neq 0$ ) and  $z = 1$ .

The monodromy action of  $(Z, z)$  on  $Y$  is the rotation of  $e^{2i\alpha\mathbb{Z}}$ , where  $\alpha = \pi/|n|$ .

**Example 3.4.** Define  $Y = \mathbb{C}$ ,  $Z = \mathbb{C}^\times$ ,  $p(y) = e^y$  and  $z = 1$ .

The monodromy action of  $(Z, z)$  on  $Y$  is the translation of  $\mathbb{Z}$ .

**Example 3.5.** First, define  $Y = \mathbb{S}^n$  and  $\sim: Y \rightarrow Y, \mathbf{y} \sim \mathbf{y}'$  if  $\mathbf{y} = \pm \mathbf{y}'$ .

Then, define  $Z = \tilde{Y}$  and  $p: Y \rightarrow Z = \tilde{Y}, \mathbf{y} \mapsto z = \tilde{\mathbf{y}}$ .

For all  $z \in Z$ , the monodromy action of  $(Z, z)$  on  $Y$  is the reflection of  $\pm 1$ .

The rest of this subsection devotes to an interesting multivariable calculus problem.  
The technique of solving this problem is related to the idea of monodromy action.

**Definition 3.6. (Regular Surface)**

Let  $\Sigma$  be a subset of  $\mathbb{R}^n$ . If for all  $\mathbf{x} \in \Sigma$ , there exists an open neighbour  $U$  of  $\mathbf{x}$  and a bijection  $\mathbf{f}$  from the unit disk to  $U$ , such that  $\mathbf{f}$  is continuously differentiable,  $\mathbf{f}^{-1}$  is continuous, and  $\text{Rank}(D\mathbf{f}) = 2$ , then  $\Sigma$  is a regular surface.

**Theorem 3.7.** There is no regular nonplanar surface  $\Sigma \subseteq \mathbb{R}^3 \setminus \{\mathbf{0}\}$ ,

such that for some nonsingular, nonsymmetric matrix  $Q = (q_{i,j})$ ,

for all position vector  $\mathbf{x} \in \Sigma$  and differential vector  $d\mathbf{x} \in \text{Tan}(\Sigma, \mathbf{x})$ ,  $d\mathbf{x}^T Q \mathbf{x} = 0$ .

*Proof.* Assume to the contrary that such regular surface  $\Sigma$  exists.

**Step 1:** By rotation and scaling, we may assume that  $Q$  is in the following form:

$$Q = M + N, \text{ where } M = (m_{i,j}) \text{ is symmetric and } N = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

**Step 2:** We wish to find a point  $\mathbf{a} = (a_1, a_2, a_3)^T \in \Sigma$ , such that the 3<sup>rd</sup> component  $m_{3,1}a_1 + m_{3,2}a_2 + m_{3,3}a_3$  of the normal vector  $Q\mathbf{a}$  at  $\mathbf{a}$  is nonzero.

Assume to the contrary that such point doesn't exist.

**Case 2.1:**  $(m_{3,1}, m_{3,2}, m_{3,3})^T = \mathbf{0}$ , contradicting to  $Q$  is nonsingular.

**Case 2.2:**  $(m_{3,1}, m_{3,2}, m_{3,3})^T \neq \mathbf{0}$ , contradicting to  $\Sigma$  is nonplanar.

This implies such point  $\mathbf{a}$  should exist.

**Step 3:** As  $\Sigma$  is regular, there exists an open neighbour  $U$  of  $\mathbf{a}$  and a bijection  $\mathbf{f}$  from the unit disk to  $U$ , such that  $\mathbf{f}$  is continuously differentiable, and  $\text{Rank}(D\mathbf{f}) = 2$  over the unit disk. As the 3<sup>rd</sup> component of  $\frac{\partial \mathbf{f}}{\partial u_1} \times \frac{\partial \mathbf{f}}{\partial u_2}$  is nonzero over the unit disk, i.e.:

$$\text{Det} \begin{pmatrix} \frac{\partial f_1}{\partial u_1} & \frac{\partial f_1}{\partial u_2} \\ \frac{\partial f_2}{\partial u_1} & \frac{\partial f_2}{\partial u_2} \end{pmatrix} \neq 0$$

We may further shrink  $U$ , such that the induced map  $(u_1, u_2)^T \mapsto (f_1, f_2)^T$  from the unit disk to the projection of  $U$  on the plane  $x_3 = 0$  is a diffeomorphism.

**Step 4:** Choose  $r > 0$ , such that the continuously differentiable loop  $\gamma(\theta) = (a_1 + r \cos \theta, a_2 + r \sin \theta)^T$  is contained in the projection of  $U$ . Use the diffeomorphisms  $\mathbf{f}, (f_1, f_2)^T$  to lift  $\gamma$  upstairs to a continuously differentiable loop  $\Gamma$  in  $\Sigma$ . Along this  $\Gamma$ :

$$0 = \frac{1}{2} \oint_{\Gamma} d(\mathbf{x}^T M \mathbf{x}) = \oint_{\Gamma} d\mathbf{x}^T M \mathbf{x} = - \oint_{\Gamma} d\mathbf{x}^T N \mathbf{x} = \oint_{\Gamma} x dy - y dx = 2\pi r^2$$

We get a contradiction. Hence, our assumption is wrong, and we've proven that such surface  $\Sigma$  doesn't exist. Quod. Erat. Demonstrandum.  $\square$

### 3.2 Deck Transformation

To “globalize” a monodromy action, we define deck transformation.

#### Theorem 3.8. (Deck Transformation)

Let  $X, Y$  be two topological spaces,  $p : X \rightarrow Y$  be a covering map, and  $\text{Aut}(X)$  be the homeomorphism group of  $X$ .  $\text{Dec}(p) = \{\sigma \in \text{Aut}(X) : p \circ \sigma = p\} \leq \text{Aut}(X)$ .

*Proof.* We may divide our proof into three parts.

**Part 1:**  $p \circ e = p$ , so  $\text{Dec}(p)$  contains  $e$ .

**Part 2:** For all  $f_1, f_2 \in \text{Aut}(X)$ :

$$\begin{aligned} f_1 \in \text{Dec}(p) \text{ and } f_2 \in \text{Dec}(p) &\implies p \circ f_1 = p \text{ and } p \circ f_2 = p \\ &\implies p \circ f_1 \circ f_2 = p \circ f_2 = p \implies f_1 \circ f_2 \in \text{Dec}(p) \end{aligned}$$

**Part 3:** For all  $f \in \text{Aut}(X)$ :

$$f \in \text{Dec}(p) \implies p \circ f = p \implies p \circ f^{-1} = p \implies f^{-1} \in \text{Dec}(p)$$

Hence,  $\text{Dec}(p) \leq \text{Aut}(X)$ . Quod. Erat. Demonstrandum.  $\square$

**Definition 3.9. (Normal Covering Map)**

Let  $X, Y$  be two topological spaces, where  $X$  is path connected and locally path connected, and  $p : X \rightarrow Y$  be a covering map. If for all  $y \in Y$ ,  $\text{Dec}(p) \rightarrow X = p^{-1}(\{y\})$ ,  $f * x = f(x)$  is transitive, then  $p$  is normal.

**Proposition 3.10.** Let  $X, Y$  be two topological spaces, where  $X$  is path connected and locally path connected, and  $p : X \rightarrow Y$  be a covering map.

$$p \text{ is normal} \iff \forall x_0 \in X, p'(\pi_1(X, x_0)) \text{ is normal in } \pi_1(Y, p(x_0))$$

*Proof.* We may divide our proof into two parts.

**“if” direction:** Assume that  $\forall x_0 \in X$ ,  $p'(\pi_1(X, x_0))$  is normal in  $\pi_1(Y, p(x_0))$ .

For all  $y \in Y$ , for all  $x_1, x_2 \in p^{-1}(\{y\})$ , we wish to find  $d \in \text{Dec}(p)$ , such that  $d(x_1) = x_2$ .

We have the following three conditions:

- (1)  $(X, x_1), (X, x_2)$  are path connected and locally path connected.
- (2)  $p_1 = p : (X, x_1) \rightarrow (Y, y)$ ,  $p_2 = p : (X, x_2) \rightarrow (Y, y)$  are covering maps.
- (3)  $\pi_1(X, x_1), \pi_1(X, x_2)$  are conjugate,  $p'_1(\pi_1(X, x_1)), p'_2(\pi_1(X, x_2))$  are conjugate, so the normal subgroups  $p'_1(\pi_1(X, x_1)), p'_2(\pi_1(X, x_2))$  of  $\pi_1(Y, p(x_0))$  are equal.

Combine the three conditions above,

$p_1, p_2$  have unique lifts  $d_{1,2} : (X, x_1) \rightarrow (X, x_2), d_{2,1} : (X, x_2) \rightarrow (X, x_1)$  upstairs.

Similarly,  $p_2 \circ p_1 : (X, x_1) \rightarrow (X, x_1)$ ,  $p_1 \circ p_2 : (X, x_2) \rightarrow (X, x_2)$  are identities.

This implies  $d = d_{1,2} \in \text{Dec}(p)$  is the desired deck transformation, such that  $d(x_1) = x_2$ .

**“only if” direction:** Assume that  $p$  is normal.

For all  $[n] \in p'(\pi_1(X, x_0))$  and  $[g] \in \pi_1(Y, p(x_0))$ ,

we wish to prove  $[g]^{-1} \star [n] \star [g] \in p'(\pi_1(X, x_0))$ .

As  $[n] \in p'(\pi_1(X, x_0))$ , there exists  $[m] \in \pi_1(X, x_0)$ , such that  $[n] = p'([m]) = [p \circ m]$ .

As  $p$  is a covering map, the path homotopy class  $[g]$  downstairs has a unique lift  $[f]$  upstairs with initial point  $f(0) = x_0$ . Although  $[f]$  may not be a loop, we do have:

$$\begin{aligned} [g]^{-1} \star [n] \star [g] &= p'([f])^{-1} \star p'([m]) \star p'([f]) \\ &= p'([f]^{-1} \star [m] \star [f]) \end{aligned}$$

It suffices to find  $d \in \text{Dec}(p)$ , such that the base point  $f(1)$  becomes  $f(0) = x_0$ :

$$[f]^{-1} \star [m] \star [f] \mapsto [d \circ f]^{-1} \star [d \circ m] \star [d \circ f] \in \pi_1(X, x_0)$$

Hence, we've proven the logical equivalency. Quod. Erat. Demonstrandum. □

**Proposition 3.11.** Let  $X, Y$  be two topological spaces, where  $X$  is path connected and locally path connected, and  $p : X \rightarrow Y$  be a covering map.

For all  $x_0 \in X$ , the following map from the normalizer subgroup of  $p'(\pi_1(X, x_0))$  in  $\pi_1(Y, p(x_0))$  to  $\text{Dec}(p)$  is a surjective contravariant group homomorphism:

$$\sigma : \llbracket \eta \rrbracket \mapsto [\text{A deck transformation from } (X, x_0) \text{ to } (X, \xi(1))]$$

Here,  $\llbracket \xi \rrbracket$  is the lift of  $\llbracket \eta \rrbracket$  with initial point  $x_0$  upstairs.

In addition,  $\text{Ker}(\sigma) = p'(\pi_1(X, x_0))$ .

*Proof.* We may divide our proof into four parts.

**Part 1:** We prove that  $\sigma$  is well-defined.

As  $\llbracket \eta \rrbracket$  is in the normalizer subgroup of  $p'(\pi_1(X, x_0))$  in  $\pi_1(Y, p(x_0))$ , **Proposition 3.10.**, “if” direction suggests the existence of  $d \in \text{Dec}(p)$  from  $x_0$  to  $\xi(1)$ . It follows from the unique path lifting property that such deck transformation  $d$  is unique.

**Part 2:** We prove that  $\sigma$  is surjective.

For all  $d \in \text{Dec}(p)$ , choose a path homotopy class  $\llbracket \xi \rrbracket$  from  $x_0$  to  $d(x_0)$  upstairs.

For some path homotopy class  $\llbracket \eta \rrbracket = p'(\llbracket \xi \rrbracket)$  downstairs,  $d = \sigma(\llbracket \eta \rrbracket)$ , so  $\sigma$  is surjective.

**Part 3:** We prove that  $\sigma$  is a contravariant group homomorphism.

For all  $\llbracket \eta_1 \rrbracket, \llbracket \eta_2 \rrbracket$  downstairs, assume that  $\llbracket \xi_1 \rrbracket, \llbracket \xi_2 \rrbracket$  are the lifts of  $\llbracket \eta_1 \rrbracket, \llbracket \eta_2 \rrbracket$  with common initial point  $x_0$  upstairs. Define  $d_1 = \sigma(\llbracket \eta_1 \rrbracket), d_2 = \sigma(\llbracket \eta_2 \rrbracket) \in \text{Dec}(p)$ , note that:

$$\begin{aligned} p'(\llbracket \xi_1 \rrbracket \star \llbracket d_1 \circ \xi_2 \rrbracket) &= p'(\llbracket \xi_1 \rrbracket) \star p'(\llbracket d_1 \circ \xi_2 \rrbracket) = p'(\llbracket \xi_1 \rrbracket) \star \llbracket p \circ d_1 \circ \xi_2 \rrbracket \\ &= p'(\llbracket \xi_1 \rrbracket) \star \llbracket p \circ \xi_2 \rrbracket = p'(\llbracket \xi_1 \rrbracket) \star p'(\llbracket \xi_2 \rrbracket) = \llbracket \eta_1 \rrbracket \star \llbracket \eta_2 \rrbracket \\ \sigma(\llbracket \eta_1 \rrbracket \star \llbracket \eta_2 \rrbracket) &= [\text{A deck transformation from } (X, x_0) \text{ to } (X, \xi_1 \star_c d_1 \circ \xi_2(1))] \\ &= [\text{A deck transformation from } (X, x_0) \text{ to } (X, d_1 \circ \xi_2(1))] \\ &= [\text{A deck transformation from } (X, d_1(x_0)) \text{ to } (X, d_1 \circ \xi_2(1))] \\ &\quad \circ [\text{A deck transformation from } (X, x_0) \text{ to } (X, \xi_1(1))] \\ &= [\text{A deck transformation from } (X, x_0) \text{ to } (X, \xi_2(1))] \\ &\quad \circ [\text{A deck transformation from } (X, x_0) \text{ to } (X, \xi_1(1))] \\ &= d_2 \circ d_1 = \sigma(\llbracket \eta_2 \rrbracket) \circ \sigma(\llbracket \eta_1 \rrbracket) \end{aligned}$$

Hence,  $\sigma$  is a contravariant group homomorphism.

**Part 4:** We prove that  $\text{Ker}(\sigma) = p'(\pi_1(X, x_0))$ .

$$\begin{aligned} \text{Ker}(\sigma) &= \{\llbracket \eta \rrbracket \text{ downstairs} : \sigma(\llbracket \eta \rrbracket) = [\text{The identity deck transformation}]\} \\ &= \{\llbracket \eta \rrbracket \text{ downstairs} : \text{The lift } \llbracket \xi \rrbracket \text{ of } \llbracket \eta \rrbracket \text{ upstairs is a loop}\} = p'(\pi_1(X, x_0)) \end{aligned}$$

Quod. Erat. Demonstrandum. □

**Remark:** Apply the first group isomorphism theorem, then  $\tilde{\sigma}$  is a group isomorphism. When  $p$  is normal, the normalizer is equal to  $\pi_1(Y, y_0)$ , so this is the monodromy action.

**Example 3.12.** Let  $X, Y$  be two topological spaces, and  $p : X \rightarrow Y$  be a covering map. If  $p$  is universal, then  $\forall x_0 \in X, \pi_1(Y, p(x_0)) \cong \text{Dec}(p)$

**Remark:** Afterwards, we further convert  $\text{Dec}(p)$  to another group  $G$  by properly discontinuous left action. Eventually,  $\pi_1(Y, p(y_0)) \cong \text{Dec}(p) \cong G$ , where  $G$  is computable.

**Definition 3.13. (Properly Discontinuous Left Action)**

Let  $\tilde{X}$  be a topological space,  $G$  be a subgroup  $\text{Aut}(\tilde{X})$ , and  $* : G \times \tilde{X} \rightarrow \tilde{X}$  be the induced left action. If every  $\tilde{x} \in \tilde{X}$  has an open neighbour  $\tilde{U}$ , such that for all  $g \in G$ ,  $\tilde{U} \cap g * \tilde{U} \neq \emptyset$  implies  $g = e$ , then  $*$  is properly discontinuous.

**Lemma 3.14.** Let  $\tilde{X}$  be a topological space,  $G$  be a subgroup of  $\text{Aut}(\tilde{X})$ ,  $*$  be the induced left action, and  $p : \tilde{X} \rightarrow X = \tilde{X}/G, \tilde{x} \mapsto G * \tilde{x}$  be the quotient map. If  $*$  is properly discontinuous, then each  $\ell_g : \tilde{X} \rightarrow \tilde{X}, \tilde{x} \mapsto g * \tilde{x}$  is continuous.

**Theorem 3.15.** Let  $\tilde{X}$  be a topological space,  $G$  be a subgroup of  $\text{Aut}(\tilde{X})$ ,  $* : G \times \tilde{X} \rightarrow \tilde{X}$  be the induced left action, and  $p : \tilde{X} \rightarrow X = \tilde{X}/G, \tilde{x} \mapsto G * \tilde{x}$  be the quotient map.

$$* \text{ is properly discontinuous } \iff p \text{ is a covering map}$$

*Proof.* As every quotient map is a continuous surjection,  $p$  is a covering map iff for each  $x$  downstairs, there exists an open neighbour  $U_x$  of  $x$ , such that:

- (1)  $p^{-1}(U_x)$  is homeomorphic to a coproduct  $\coprod_{\tilde{x} \in p^{-1}(\{x\})} \tilde{\mathcal{U}}_{\tilde{x}}$  of open subsets of  $\tilde{X}$ .
- (2) Each restricted map  $p|_{\tilde{\mathcal{U}}_{\tilde{x}}} : \tilde{\mathcal{U}}_{\tilde{x}} \rightarrow U_x$  is a homeomorphism.

The first criterion is equivalent to  $*$  is properly discontinuous.

The second criterion holds because every quotient map maps saturated opens to opens. Quod. Erat. Demonstrandum.  $\square$

**Theorem 3.16.** Let  $\tilde{X}$  be a path connected and locally path connected topological space,  $G$  be a subgroup of  $\text{Aut}(\tilde{X})$ ,  $* : G \times \tilde{X} \rightarrow \tilde{X}$  be the induced left action, and  $p : \tilde{X} \rightarrow X = \tilde{X}/G, \tilde{x} \mapsto G * \tilde{x}$  be the quotient map.

$$* \text{ is properly discontinuous } \implies G = \text{Dec}(p)$$

*Proof.* We may divide our proof into two parts.

“ $\subseteq$ ” **inclusion:** For all  $g \in G$ :

$$\tilde{x} \in \tilde{X}, p \circ g(\tilde{x}) = G * (g * \tilde{x}) = (Gg) * \tilde{x} = G * \tilde{x} = p(\tilde{x})$$

Hence,  $p \circ g = p$ ,  $g \in \text{Dec}(p)$ , so  $G \subseteq \text{Dec}(p)$ .

“ $\supseteq$ ” **inclusion:** For all  $d \in \text{Dec}(p)$ :

- (1) Choose  $\tilde{x}_0 \in \tilde{X}$  upstairs, and define  $x_0 = p(\tilde{x}_0)$  downstairs.
- (2) As  $d$  is a deck transformation,  $\tilde{x}_0, d(\tilde{x}_0)$  are in the same fibre  $p^{-1}(\{x_0\})$ .
- (3) As  $p^{-1}(\{x_0\}) = \{\tilde{x} \in \tilde{X} : p(\tilde{x}) = x_0\} = \{\tilde{x} \in \tilde{X} : G * \tilde{x} = G * \tilde{x}_0\} = G * \tilde{x}_0$ , there exists  $g \in G$ , such that  $d(\tilde{x}_0) = g * \tilde{x}_0 = g(\tilde{x}_0)$ .
- (4) As  $(\tilde{X}, \tilde{x}_0)$  is path connected and locally path connected,  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  is a covering map, and  $p'(\pi_1(\tilde{X}, \tilde{x}_0)) = p'(\pi_1(\tilde{X}, \tilde{x}_0))$ ,  $p$  has a unique lift  $g^{-1} \circ d = e$  upstairs, so we’ve proven that  $d = g \in G$ , which implies  $G \supseteq \text{Dec}(p)$ .

To conclude,  $G = \text{Dec}(p)$ . Quod. Erat. Demonstrandum.  $\square$

**Example 3.17.** Let  $\tilde{X}$  be a simply connected and locally path connected topological space,  $G$  be a subgroup of  $\text{Aut}(\tilde{X})$ ,  $*$  :  $G \times \tilde{X} \rightarrow \tilde{X}$  be the induced left action, and  $p : \tilde{X} \rightarrow X = \tilde{X}/G, \tilde{x} \mapsto G * \tilde{x}$  be the quotient map.

$$* \text{ is properly discontinuous } \implies \forall x_0 \in X, \pi_1(X, x_0) \cong \text{Dec}(p) = G$$

### 3.3 Applications

**Theorem 3.18.** Let  $X$  be a normed vector space over field  $\mathbb{R}$ .  
Every nonempty convex subset  $U$  of  $X$  is simply connected.

*Proof.* For all  $\mathbf{x}_0 \in U$ , for all loop  $\gamma : [0, 1]_t \rightarrow U$  with base point  $\mathbf{x}_0$ , there exists a path homotopy  $\mathbf{H} : [0, 1]_s \times [0, 1]_t \rightarrow U, \mathbf{H}(s, t) = s\gamma(t)$  from  $e_{\mathbf{x}_0}$  to  $\gamma$ . Hence,  $\pi_1(U, \mathbf{x}_0) = \{[e_{\mathbf{x}_0}]\}$ ,  $U$  is simply connected. Quod. Erat. Demonstrandum.  $\square$

**Theorem 3.19.** Let  $X$  be a normed vector space over field  $\mathbb{R}$ .  
Every nonempty convex subset  $U$  of  $X$  is locally path connected.

*Proof.* For all  $\mathbf{x}_0 \in U$ , for all open neighbour  $V$  of  $\mathbf{x}_0$  in  $U$ , we wish to find a path connected open neighbour  $\mathfrak{V}$  of  $\mathbf{x}_0$  in  $U$  with  $\mathfrak{V} \subseteq V$ .

- (1) As  $V$  is an open neighbour of  $\mathbf{x}_0$  in the subset  $U$  of  $X$ , there exists an open neighbour  $W$  of  $\mathbf{x}_0$  in  $X$ , such that  $V = U \cap W$ .
- (2) As  $W$  is an open neighbour of  $\mathbf{x}_0$  in  $X$ , there exists an open ball  $\mathfrak{W}$  centered at  $\mathbf{x}_0$  with  $\mathfrak{W} \subseteq W$ .
- (3) As  $U, \mathfrak{W}$  are convex in  $X$ ,  $U \cap \mathfrak{W}$  is convex in  $X$ , so  $U \cap \mathfrak{W}$  is path connected.
- (4) As  $\mathbf{x}_0$  has a path connected open neighbour  $\mathfrak{V} = U \cap \mathfrak{W}$  of  $\mathbf{x}_0$  in  $U$  with  $\mathfrak{V} \subseteq V$ ,  $U$  is locally path connected. Quod. Erat. Demonstrandum.  $\square$

**Proposition 3.20.** In  $\mathbb{C}$ , define  $\mathbb{S} = \{z \in \mathbb{C} : |z| = 1\}$ .

$$\forall e^{i\theta_0} \in \mathbb{S}, \pi_1(\mathbb{S}, e^{i\theta_0}) \cong \mathbb{Z}$$

*Proof.* As  $\tilde{X} = \mathbb{R}$  is convex in  $\mathbb{R}$ ,  $\tilde{X}$  is simply connected and locally path connected. Consider the subgroup  $G = [\text{All translation } t_n : \theta \mapsto 2n\pi + \theta]$  of  $\text{Aut}(\tilde{X})$ .

**Step 1:** We prove that the induced left action  $*$  is properly discontinuous.

For the identity element 0 in the topological group  $\tilde{X} = \mathbb{R}$ , there exists an open neighbour  $\tilde{U} = (-\pi, +\pi)$  of 0, such that for all  $t_n$  in the group  $G$ :

$$\tilde{U} \cap t_n * \tilde{U} \neq \emptyset \implies (-\pi, +\pi) \cap (2n\pi - \pi, 2n\pi + \pi) \neq \emptyset \implies n = 0$$

Hence,  $*$  is properly discontinuous, so for all base point  $x_0$  in the quotient space  $X = \tilde{X}/G$ , the fundamental group  $\pi_1(X, x_0)$  is isomorphic to  $G$ , and  $G$  is isomorphic to  $\mathbb{Z}$ .

**Step 2:** We prove that the quotient space  $X$  is homeomorphic to  $\mathbb{S}$ .

Follow the argument in **Proposition 2.25.**,  $\sigma : \mathbb{R} \rightarrow \mathbb{S}, \theta \mapsto e^{i\theta}$  is a covering map.

As  $\sigma$  is a surjective local homeomorphism, the quotient map  $\tilde{\sigma}$  is a homeomorphism, whose domain is  $\mathbb{R}/[\text{The equivalence relation induced by } \sigma] = \tilde{X}/G$ . To conclude:

$$\forall e^{i\theta_0} \in \mathbb{S}, \pi_1(\mathbb{S}, e^{i\theta_0}) \cong \pi_1(\tilde{X}/G, G * \theta_0) \cong G \cong \mathbb{Z}$$

Quod. Erat. Demonstrandum. □

**Theorem 3.21.** Let  $X$  be a topological space.

If  $X$  has two open subsets  $X_1, X_2$  with:

- (1)  $X_1, X_2$  are simply connected.
- (2)  $X_1 \cap X_2$  is nonempty and path connected.
- (3)  $X_1 \cup X_2 = X$ .

Then  $X$  is simply connected.

*Proof.* Choose  $x_0 \in X_1 \cap X_2$ . It suffices to prove that every loop  $\gamma$  with base point  $x_0$  is homotopic to the identity loop  $e_{x_0}$  at  $x_0$ . Consider the open preimages  $\gamma^{-1}(X_1), \gamma^{-1}(X_2)$ :

(1) As  $[0, 1]$  is a subset of  $\mathbb{R}$ , the Lindelöf property suggests:

$$\gamma^{-1}(X_1) \cong \coprod_{\lambda \in I_1} \mathcal{U}_1^\lambda, \gamma^{-1}(X_2) \cong \coprod_{\lambda \in I_2} \mathcal{U}_2^\lambda$$

Here, the index sets  $I_1, I_2$  are countable, and the families  $\mathcal{U}_1 = \{\mathcal{U}_1^\lambda\}_{\lambda \in I_1}, \mathcal{U}_2 = \{\mathcal{U}_2^\lambda\}_{\lambda \in I_2}$  consist of disjoint open connected subsets of  $[0, 1]$ .

(2) As  $[0, 1]$  is compact, the open cover  $\mathcal{U}_1 \cup \mathcal{U}_2$  of it has a finite subcover.

(3) As the open subsets in  $\mathcal{U}_1, \mathcal{U}_2$  are disjoint, WLOG,

assume that the finite subcover mentioned above is of the following form:

$$\begin{aligned} 0 \in \mathcal{U}_1^1 = [0, b_1^1), \mathcal{U}_2^2 = (a_2^2, b_2^2), \dots, & \quad \mathcal{U}_1^{k-1} = (a_1^{k-1}, b_1^{k-1}), \mathcal{U}_2^k = (a_1^k, 1] \ni 1 \\ 0 \in \mathcal{U}_1^1 = [0, b_1^1) < \dots < & \quad \mathcal{U}_1^{k-1} = (a_1^{k-1}, b_1^{k-1}) \quad \not\ni 1 \\ 0 \notin & \quad \mathcal{U}_2^2 = (a_2^2, b_2^2) < \dots < & \quad \mathcal{U}_2^k = (a_1^k, 1] \ni 1 \end{aligned}$$

(4) Decompose  $\gamma$  into the concatenation  $\gamma_1 \star_{c_1} \gamma_2 \star_{c_2} \dots \star_{c_{k-2}} \gamma_{k-1} \star_{c_{k-1}} \gamma_k$ .



For each  $\gamma_l$ , it is contained in  $X_1$  or  $X_2$ . Note that the initial point and end point of  $\gamma_l$  lie in the path connected intersection,  $\gamma_l$  is homotopic to some path  $\sigma_l$  in  $X_1 \cap X_2$ .  
(5) Repeat this process inductively, then loop  $\gamma$  is homotopic to some loop  $\sigma$  in  $X_1 \cap X_2$ . It suffices to apply the simple connectedness of  $X_1$  and prove that  $\sigma$  is homotopic to the identity loop  $e_{x_0}$  at  $x_0$ . Hence,  $X$  is simply connected. Quod. Erat. Demonstrandum.  $\square$

**Proposition 3.22.** In  $\mathbb{R}^3$ , define  $\mathbb{S}^2 = \{(x, y, z)^T \in \mathbb{R}^3 : \|(x, y, z)^T\| = 1\}$ .

$\mathbb{S}^2$  is simply connected and locally path connected

*Proof.* Define  $X = \mathbb{S}^3$ ,  $X_1 = \mathbb{S}^3 \setminus \{(0, 0, -1)^T\}$ ,  $X_2 = \mathbb{S}^3 \setminus \{(0, 0, +1)^T\}$ .

**Step 1:** By stereographic projection,  $X_1, X_2 \cong \mathbb{R}^2$ , where  $\mathbb{R}^2$  is convex in  $\mathbb{R}^2$ .

Hence,  $X_1, X_2$  are simply connected.

**Step 2:** There exists a deformation retraction from  $\mathbb{S}$  to  $X_1 \cap X_2$ :

$$\mathbf{H} : (X_1 \cap X_2) \times [0, 1]_t \rightarrow X_1 \cap X_2$$

$$\mathbf{H}((\cos \phi \cos \theta, \sin \phi \cos \theta, \sin \theta)^T, t) = (\cos \phi \cos t\theta, \sin \phi \cos t\theta, \sin t\theta)^T$$

Hence,  $X_1 \cap X_2 \sim \mathbb{S}$ ,  $\mathbb{S}$  is path connected implies  $X_1$  is path connected.

**Step 3:** All conditions in **Theorem 3.21.** hold, so  $X = \mathbb{S}^2$  is simply connected.

**Step 4:** As every spherical crown is path connected,  $\mathbb{S}^2$  is locally path connected.

Quod. Erat. Demonstrandum.  $\square$

**Remark:** The same proof works for  $\mathbb{S}^n$ , where  $n \geq 2$ .

**Theorem 3.23.** Let  $(X, x_0), (Y, y_0)$  be two topological spaces. The following map from  $\pi_1(X \times Y, (x_0, y_0))$  to  $\pi_1(X, x_0) \times \pi_1(Y, y_0)$  is a group isomorphism:

$$\sigma : [(\xi, \eta)] \mapsto ([\xi], [\eta])$$

*Proof.* We may divide our proof into three parts.

**Part 1:** We prove that  $\sigma$  is well-defined.

For all  $[(\xi_1, \eta_1)], [(\xi_2, \eta_2)] \in \pi_1(X \times Y, (x_0, y_0))$ :

$$\begin{aligned} [(\xi_1, \eta_1)] = [(\xi_2, \eta_2)] &\implies (\xi_1, \eta_1) \approx (\xi_2, \eta_2) \implies \xi_1 \approx \xi_2 \text{ and } \eta_1 \approx \eta_2 \\ &\implies [\xi_1] = [\xi_2] \text{ and } [\eta_1] = [\eta_2] \implies ([\xi_1], [\eta_1]) = ([\xi_2], [\eta_2]) \end{aligned}$$

**Part 2:** We prove that the surjective map  $\sigma$  is injective.

For all  $([\xi_1], [\eta_1]), ([\xi_2], [\eta_2]) \in \pi_1(X, x_0) \times \pi_1(Y, y_0)$ :

$$\begin{aligned} ([\xi_1], [\eta_1]) = ([\xi_2], [\eta_2]) &\implies [\xi_1] = [\xi_2] \text{ and } [\eta_1] = [\eta_2] \implies \xi_1 \approx \xi_2 \text{ and } \eta_1 \approx \eta_2 \\ &\implies (\xi_1, \eta_1) \approx (\xi_2, \eta_2) \implies [(\xi_1, \eta_1)] = [(\xi_2, \eta_2)] \end{aligned}$$

**Part 3:** We prove that  $\sigma$  is a group homomorphism.

For all  $[(\xi_1, \eta_1)], [(\xi_2, \eta_2)] \in \pi_1(X \times Y, (x_0, y_0))$ :

$$\begin{aligned}\sigma([( \xi_1, \eta_1 )] \star [(\xi_2, \eta_2)]) &= \sigma([( \xi_1, \eta_1 ) \star_c (\xi_2, \eta_2)]) = \sigma([( \xi_1 \star_c \xi_2, \eta_1 \star_c \eta_2)]) \\ &= ([\xi_1 \star_c \xi_2], [\eta_1 \star_c \eta_2]) = ([\xi_1] \star [\xi_2], [\eta_1] \star [\eta_2]) \\ &= ([\xi_1], [\eta_1]) \star ([\xi_2], [\eta_2]) = \sigma([( \xi_1, \eta_1 )]) \star \sigma([( \xi_2, \eta_2 )])\end{aligned}$$

Hence, the bijective group homomorphism  $\sigma$  is a group isomorphism.

Quod. Erat. Demonstrandum. □

**Remark:** The same proof works for arbitrary Cartesian product.

**Proposition 3.24.** Define  $\mathbb{T}^2 = \mathbb{S} \times \mathbb{S}$ .

$$\forall (z_0, w_0) \in \mathbb{T}^2, \pi_1(\mathbb{T}^2, (z_0, w_0)) \cong \mathbb{Z} \times \mathbb{Z}$$

*Proof.* For all  $(z_0, w_0) \in \mathbb{T}^2$ :

$$\pi_1(\mathbb{T}^2, (z_0, w_0)) = \pi_1(\mathbb{S} \times \mathbb{S}, (z_0, w_0)) \cong \pi_1(\mathbb{S}, z_0) \times \pi_1(\mathbb{S}, w_0) \cong \mathbb{Z} \times \mathbb{Z}$$

Quod. Erat. Demonstrandum. □

**Proposition 3.25.** In  $\mathbb{S}^2$ , define  $\mathbb{RP}^2 = \mathbb{S}^2/G$ .

$$\forall [(x_0, y_0, z_0)^T] \in \mathbb{RP}^2, \pi_1(\mathbb{RP}^2, [(x_0, y_0, z_0)^T]) \cong \{\pm 1\}$$

Here:

$$G = \left\{ \begin{pmatrix} +1 & 0 & 0 \\ 0 & +1 & 0 \\ 0 & 0 & +1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \right\}$$

*Proof.* Consider the simply connected and locally path connected space  $\tilde{X} = \mathbb{S}^2$ .

We prove that the induced left action  $*$  is properly discontinuous.

For all element  $(x, y, z)^T$  of the topological space  $\tilde{X}$ , there exists an open neighbour  $\tilde{U} = \{(\xi, \eta, \zeta)^T \in \mathbb{S}^2 : x\xi + y\eta + z\zeta > 0\}$  of  $(x, y, z)^T$ , such that:

$$\tilde{U} \cap \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} * \tilde{U} = \emptyset$$

Hence,  $*$  is properly discontinuous, and:

$$\forall [(x_0, y_0, z_0)^T] \in \mathbb{RP}^2, \pi_1(\mathbb{RP}^2, [(x_0, y_0, z_0)^T]) = \pi_1(\tilde{X}/G, [(x_0, y_0, z_0)^T]) \cong G \cong \{\pm 1\}$$

Quod. Erat. Demonstrandum. □

**Remark:** The same proof works for  $\mathbb{RP}^n = \mathbb{S}^n/G$ .

**Proposition 3.26.** In  $\mathbb{R}^2$ , define  $\mathbb{K}^2 = \mathbb{R}^2/G$ .

$$\forall [(x_0, y_0)^T] \in \mathbb{K}^2, \pi_1(\mathbb{K}^2, [(x_0, y_0)^T]) \cong G$$

Here:

$$G = \left\{ \left( \begin{array}{cc|c} (-1)^n & 0 & m \\ 0 & 1 & n \\ \hline 0 & 0 & 1 \end{array} \right) : m, n \in \mathbb{Z} \right\}$$

*Proof.* As  $\tilde{X} = \mathbb{R}^2$  is convex in  $\mathbb{R}^2$ ,  $\tilde{X}$  is simply connected and locally path connected.

We prove that the induced left action  $*$  is properly discontinuous.

For all element  $(x, y)^T$  in the topological space  $\tilde{X} = \mathbb{R}^2$ , there exists an open neighbour

$\tilde{U} = B((x, y)^T, \frac{1}{2})$  of  $(x, y)^T$ , such that for all  $\left( \begin{array}{cc|c} (-1)^n & 0 & m \\ 0 & 1 & n \\ \hline 0 & 0 & 1 \end{array} \right)$  in the group  $G$ :

$$\tilde{U} \cap \left( \begin{array}{cc|c} (-1)^n & 0 & m \\ 0 & 1 & n \\ \hline 0 & 0 & 1 \end{array} \right) * \tilde{U} \neq \emptyset \implies B\left(x, \frac{1}{2}\right) \cap B\left(m + (-1)^n x, \frac{1}{2}\right) \neq \emptyset$$

$$\text{and } B\left(y, \frac{1}{2}\right) \cap B\left(n + y, \frac{1}{2}\right) \neq \emptyset$$

$$\implies \left( \begin{array}{cc|c} (-1)^n & 0 & m \\ 0 & 1 & n \\ \hline 0 & 0 & 1 \end{array} \right) = \left( \begin{array}{cc|c} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \hline 0 & 0 & 1 \end{array} \right)$$

Hence,  $*$  is properly discontinuous, and:

$$\forall [(x_0, y_0)^T] \in \mathbb{K}^2, \pi_1(\mathbb{K}^2, [(x_0, y_0)^T]) = \pi_1(\mathbb{R}^2/G, [(x_0, y_0)^T]) \cong G$$

Quod. Erat. Demonstrandum. □

**Remark:** We need some technique to reconstruct the group  $G$  in a simpler way.

**Proposition 3.27.** In  $\mathbb{S}^3$ , define  $\mathcal{L}(p, q) = \mathbb{S}^3/G$ .

$$\forall [(z_0, w_0)^T] \in \mathcal{L}(p, q), \pi_1(\mathcal{L}(p, q), [(z_0, w_0)^T]) \cong \mathbb{Z}_p$$

Here,  $p, q \geq 1$  are coprime,  $\zeta = e^{2\pi i/p}$ , and:

$$G = \left\{ \begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{nq} \end{pmatrix} : n \in \mathbb{Z} \right\}$$

*Proof.* Consider the simply connected and locally path connected space  $\tilde{X} = \mathbb{S}^2$ .

We prove that the induced left action  $*$  is properly discontinuous.

**Step 1:** For all  $(z, w)^T \in \tilde{X} = \mathbb{S}^3$  and  $n \in (p\mathbb{Z})^c$ :

$$\begin{aligned} \left\| \begin{pmatrix} z \\ w \end{pmatrix} - \begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{nq} \end{pmatrix} * \begin{pmatrix} z \\ w \end{pmatrix} \right\|^2 &= \|((1 - \zeta^n)z, (1 - \zeta^{nq})w)\|^2 \\ &= |1 - \zeta^n|^2 |z|^2 + |1 - \zeta^{nq}|^2 |w|^2 \\ &\geq \left| 2 \sin \frac{\pi}{p} \right|^2 |z|^2 + \left| 2 \sin \frac{\pi}{p} \right|^2 |w|^2 = \left| 2 \sin \frac{\pi}{p} \right|^2 \end{aligned}$$

Hence, all distinct points in the same orbit are separated by Euclidean distance  $|2 \sin \frac{\pi}{p}|$ .

**Step 2:** For all element  $(z, w)^T$  in the topological space  $\tilde{X}$ , there is an open neighbour

$\tilde{U} = B((z, w)^T, |\sin \frac{\pi}{p}|)$  of  $(z, w)^T$ , such that for all  $\begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{nq} \end{pmatrix}$  in the group  $G$ :

$$\begin{aligned} \tilde{U} \cap \begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{nq} \end{pmatrix} * \tilde{U} \neq \emptyset &\implies \left\| \begin{pmatrix} z \\ w \end{pmatrix} - \begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{nq} \end{pmatrix} * \begin{pmatrix} z \\ w \end{pmatrix} \right\| < \left| 2 \sin \frac{\pi}{p} \right| \\ &\implies \begin{pmatrix} z \\ w \end{pmatrix} = \begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{nq} \end{pmatrix} * \begin{pmatrix} z \\ w \end{pmatrix} \implies \begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{nq} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

Hence,  $*$  is properly discontinuous, and:

$$\forall [(z_0, w_0)^T] \in \mathcal{L}(p, q), \pi_1(\mathcal{L}(p, q), [(z_0, w_0)^T]) = \pi_1(\tilde{X}/G, [(z_0, w_0)^T]) \cong G \cong \mathbb{Z}_p$$

Quod. Erat. Demonstrandum. □

## 4 Seifert–Van Kampen Theorem

### 4.1 Free Group and Free Product

#### Definition 4.1. (Word)

Let  $S$  be a nonempty set.

- (1) Define the empty word  $e$  in  $S$  as the unique word that contains no letter.
- (2) For all finite nonempty list  $s_1, s_2, \dots, s_{m-1}, s_m$  in  $S$  and finite nonempty list  $\epsilon_1, \epsilon_2, \dots, \epsilon_{m-1}, \epsilon_m$  in  $\{\pm 1\}$ , define a nonempty word  $s_1^{\epsilon_1} s_2^{\epsilon_2} \dots s_{m-1}^{\epsilon_{m-1}} s_m^{\epsilon_m}$  in  $S$ . Two words are equal iff they arrange letters in completely the same way.

#### Definition 4.2. (Free Group)

Let  $S$  be a nonempty set.

Define  $\text{Word}(S)$  as the set of all words in  $S$ .

Define concatenate word and inverse word as follows:

$$(s_1^{\epsilon_1} s_2^{\epsilon_2} \dots s_{m-1}^{\epsilon_{m-1}} s_m^{\epsilon_m})(t_1^{\sigma_1} t_2^{\sigma_2} \dots t_{n-1}^{\sigma_{n-1}} t_n^{\sigma_n}) = s_1^{\epsilon_1} s_2^{\epsilon_2} \dots s_{m-1}^{\epsilon_{m-1}} s_m^{\epsilon_m} t_1^{\sigma_1} t_2^{\sigma_2} \dots t_{n-1}^{\sigma_{n-1}} t_n^{\sigma_n}$$

$$(s_1^{\epsilon_1} s_2^{\epsilon_2} \dots s_{m-1}^{\epsilon_{m-1}} s_m^{\epsilon_m})^{-1} = s_m^{-\epsilon_m} s_{m-1}^{-\epsilon_{m-1}} \dots s_2^{-\epsilon_2} s_1^{-\epsilon_1}$$

Define an equivalence relation  $\sim$  on  $\text{Word}(S)$  by:

$$w \sim w' \iff w = w' \text{ after inserting or deleting } s^{-1}s^+, s^+s^{-1}$$

Define  $\langle S \rangle = \text{Word}(S) / \sim$  as the free group generated by  $S$ .

**Remark:** In practice, we use  $\langle a_1, a_2, \dots, a_n | R_1, R_2, \dots, R_m \rangle$  to stand for the free group generated by  $\{a_1, a_2, \dots, a_n\}$  under extra identification relations  $R_1, R_2, \dots, R_m$ .

#### Example 4.3.

$$\mathbb{Z} = \langle 1 \rangle$$

**Lemma 4.4.** For all positive integer  $n$ :

$$\langle g | g^n = e \rangle = \{e, g, g^2, \dots, g^{n-1}\}$$

*Proof.* We may divide our proof into two parts.

“ $\subseteq$ ” **inclusion:** For all  $h \in \langle g | g^n = e \rangle$ , there exists  $a \in \mathbb{Z}$ , such that:

$$h = g^a$$

According to the division algorithm, there exist  $q, r \in \mathbb{Z}$ , such that:

$$a = qn + r \text{ and } 0 \leq r < n$$

Apply the identification rule  $g^n = e$ :

$$h = g^{qn+r} = (g^n)^q g^r = e^q g^r = g^r \in \{e, g, g^2, \dots, g^{n-1}\}$$

“ $\supseteq$ ” **inclusion:** All of  $e, g, g^2, \dots, g^{n-1}$  are words of  $g$ .

Hence,  $\langle g | g^n = e \rangle = \{e, g, g^2, \dots, g^{n-1}\}$ .

Quod. Erat. Demonstrandum. □

**Example 4.5.** Define the following function from  $\text{Word}(g)$  to  $\mathbb{Z}$ :

$$\#_g : g^{\epsilon_1} g^{\epsilon_2} \dots g^{\epsilon_{m-1}} g^{\epsilon_m} \mapsto \epsilon_1 + \epsilon_2 + \dots + \epsilon_{m-1} + \epsilon_m$$

As two words are equal iff they arrange letters in completely the same way,  $\#_g$  is well-defined. In addition, for all positive integer  $n$ , if we insert or delete:

$$g^{-1} g^{+1}, g^{+1} g^{-1}, g^n$$

Then the remainder  $\#_g^n$  of  $\#_g$  modulo  $n$  is invariant:

Insert/Delete	$\Delta(\#_g)$	$\Delta(\#_g^n)$
$g^{-1} g^{+1}$	0	0
$g^{+1} g^{-1}$	0	0
$g^n$	$\pm n$	0

Hence, for all  $\alpha, \beta \in \text{Word}(g)$ :

$$\alpha = \beta \text{ in } \langle g | g^n = e \rangle \implies \#_g^n(\alpha) = \#_g^n(\beta)$$

**Lemma 4.6.** For all positive integer  $n$ :

$$|\langle g | g^n = e \rangle| = n$$

*Proof.* For the words  $e, g, g^2, \dots, g^{n-1} \in \langle g | g^n = e \rangle$ ,

we compute  $\#_g$  and  $\#_g^n$ :

Word	$\#_g$	$\#_g^n$
$e$	0	0
$g$	1	1
$g^2$	2	2
$\vdots$	$\vdots$	$\vdots$
$g^{n-1}$	$n-1$	$n-1$

As the evaluations are pairwise distinct, it follows that:

$$e, g, g^2, \dots, g^{n-1} \text{ are pairwise distinct in } \langle g | g^n = e \rangle$$

Apply **Lemma 4.4**, and we get:

$$|\langle g|g^n = e \rangle| = |\{e, g, g^2, \dots, g^{n-1}\}| = n$$

Quod. Erat. Demonstrandum. □

**Theorem 4.7.** For all positive integer  $n$ ,  
the following map from  $\mathbb{Z}_n$  to  $\langle g|g^n = e \rangle$  is a group isomorphism:

$$\tilde{\phi} : [m]_n \mapsto g^m$$

*Proof.* We prove that the following map from  $\mathbb{Z}$  to  $\langle g|g^n = e \rangle$  is a surjective group homomorphism with kernel  $n\mathbb{Z}$ :

$$\phi : m \mapsto g^m$$

**Part 1:** For all  $h \in \langle g|g^n = e \rangle$ , there exists  $a \in \mathbb{Z}$ , such that:

$$h = g^a = \phi(a)$$

Hence,  $\phi$  is surjective.

**Part 2:** For all  $m_1, m_2 \in \mathbb{Z}$ :

$$\phi(m_1 + m_2) = g^{m_1 + m_2} = g^{m_1} g^{m_2} = \phi(m_1) \phi(m_2)$$

Hence,  $\phi$  is a group homomorphism.

**Part 3:** We prove that  $\text{Ker}(\phi) = n\mathbb{Z}$ .

$$\text{Ker}(\phi) = \{m \in \mathbb{Z} : \phi(m) = e\} = \{m \in \mathbb{Z} : n|m\} = n\mathbb{Z}$$

Hence, the quotient map  $\tilde{\phi} : \mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z} \rightarrow \langle g|g^n = e \rangle, [m]_n \mapsto g^m$  is a group isomorphism. Quod. Erat. Demonstrandum. □

**Example 4.8.** For all positive integer  $n$ , in  $\langle r, \sigma : r^n = \sigma^2 = (r\sigma)^2 = e \rangle$ ,  
we have the following Cayley table:

Row*Col	$r^l$	$r^l \sigma$
$r^k$	$r^{k+l}$	$r^{k+l} \sigma$
$r^k \sigma$	$r^{k-l} \sigma$	$r^{k-l}$

**Lemma 4.9.** For all positive integer  $n$ :

$$\langle r, \sigma : r^n = \sigma^2 = (r\sigma)^2 = e \rangle = \{e, r, r^2, \dots, r^{n-1}, \sigma, r\sigma, r^2\sigma, \dots, r^{n-1}\sigma\}$$

*Proof.* We may divide our proof into two parts.

“**⊆ inclusion:**” According to **Example 4.8.**,

for all  $h \in \langle r, \sigma : r^n = \sigma^2 = (r\sigma)^2 = e \rangle$ , there exist  $a, b \in \mathbb{Z}$ , such that:

$$h = r^a \sigma^b$$

According to the division algorithm, there exist  $\mu, \nu, \alpha, \beta \in \mathbb{Z}$ , such that:

$$a = \mu n + \nu \text{ and } 0 \leq \nu < n \text{ and } b = \alpha 2 + \beta \text{ and } 0 \leq \beta < 2$$

Apply the identification rule  $r^n = \sigma^2 = e$ :

$$\begin{aligned} h &= r^{\mu n + \nu} \sigma^{\alpha 2 + \beta} = (r^n)^\mu r^\nu (\sigma^2)^\alpha \sigma^\beta = e^\mu r^\nu e^\alpha \sigma^\beta = r^\nu \sigma^\beta \\ &\in \{e, r, r^2, \dots, r^{n-1}, \sigma, r\sigma, r^2\sigma, \dots, r^{n-1}\sigma\} \end{aligned}$$

“**⊇ inclusion:**” All of  $e, r, r^2, \dots, r^{n-1}, \sigma, r\sigma, r^2\sigma, \dots, r^{n-1}\sigma$  are words of  $r, \sigma$ .

Hence,  $\langle r, \sigma : r^n = \sigma^2 = (r\sigma)^2 = e \rangle = \{e, r, r^2, \dots, r^{n-1}, \sigma, r\sigma, r^2\sigma, \dots, r^{n-1}\sigma\}$ .

Quod. Erat. Demonstrandum. □

**Example 4.10.** Define the following function from  $\text{Word}(r, \sigma)$  to  $\mathbb{Z}$ :

$$\#_{r, \sigma} : g_1^{\epsilon_1} g_2^{\epsilon_2} \cdots g_{m-1}^{\epsilon_{m-1}} g_m^{\epsilon_m} \mapsto \delta_{g_1, \sigma} \epsilon_1 + \delta_{g_2, \sigma} \epsilon_2 + \cdots + \delta_{g_{m-1}, \sigma} \epsilon_{m-1} + \delta_{g_m, \sigma} \epsilon_m$$

As two words are equal iff they arrange letters in completely the same way,  $\#_{r, \sigma}$  is well-defined. In addition, for all positive integer  $n$ , if we insert or delete:

$$r^{-1}r^{+1}, r^{+1}r^{-1}, \sigma^{-1}\sigma^{+1}, \sigma^{+1}\sigma^{-1}, r^n, \sigma^2, (r\sigma)^2$$

Then the remainder  $\#_{r, \sigma}^2$  of  $\#_{r, \sigma}$  modulo 2 is invariant:

Insert/Delete	$\Delta(\#_{r, \sigma})$	$\Delta(\#_{r, \sigma}^2)$
$r^{-1}r^{+1}$	0	0
$r^{+1}r^{-1}$	0	0
$\sigma^{-1}\sigma^{+1}$	0	0
$\sigma^{+1}\sigma^{-1}$	0	0
$r^n$	0	0
$\sigma^2$	$\pm 2$	0
$(r\sigma)^2$	$\pm 2$	0

Hence, for all  $\alpha, \beta \in \text{Word}(r, \sigma)$ :

$$\alpha = \beta \text{ in } \langle r, \sigma | r^n = \sigma^2 = (r\sigma)^2 = e \rangle \implies \#_{r, \sigma}^2(\alpha) = \#_{r, \sigma}^2(\beta)$$



**Example 4.11.** Define the following function from  $\text{Word}(r, \sigma)$  to  $\mathbb{Z}$ :

$$\begin{aligned}\%_{r,\sigma}(e) &= 0 \\ \%_{r,\sigma}([\text{Prefix}]r^{+1}) &= +\%_{r,\sigma}([\text{Prefix}]) + 1 \\ \%_{r,\sigma}([\text{Prefix}]r^{-1}) &= +\%_{r,\sigma}([\text{Prefix}]) - 1 \\ \%_{r,\sigma}([\text{Prefix}]\sigma^{+1}) &= -\%_{r,\sigma}([\text{Prefix}]) \\ \%_{r,\sigma}([\text{Prefix}]\sigma^{-1}) &= -\%_{r,\sigma}([\text{Prefix}])\end{aligned}$$

As two words are equal iff they arrange letters in completely the same way,  $\%_{r,\sigma}$  is well-defined. In addition, for all positive integer  $n$ , if we insert or delete:

$$r^{-1}r^{+1}, r^{+1}r^{-1}, \sigma^{-1}\sigma^{+1}, \sigma^{+1}\sigma^{-1}, r^n, \sigma^2, (r\sigma)^2$$

Then the remainder  $\%_{r,\sigma}^n$  of  $\%_{r,\sigma}$  modulo  $n$  is invariant:

Insert/Delete	$\Delta(\%_{r,\sigma})$	$\Delta(\%_{r,\sigma}^n)$
$r^{-1}r^{+1}$	0	0
$r^{+1}r^{-1}$	0	0
$\sigma^{-1}\sigma^{+1}$	0	0
$\sigma^{+1}\sigma^{-1}$	0	0
$r^n$	$\pm n$	0
$\sigma^2$	0	0
$(r\sigma)^2$	0	0

Hence, for all  $\alpha, \beta \in \text{Word}(r, \sigma)$ :

$$\alpha = \beta \text{ in } \langle r, \sigma | r^n = \sigma^2 = (r\sigma)^2 = e \rangle \implies \%_{r,\sigma}^n(\alpha) = \%_{r,\sigma}^n(\beta)$$

**Lemma 4.12.** For all positive integer  $n$ :

$$|\langle r, \sigma | r^n = \sigma^2 = (r\sigma)^2 \rangle| = 2n$$

*Proof.* For the words  $e, r, r^2, \dots, r^{n-1}, \sigma, r\sigma, r^2\sigma, \dots, r^{n-1}\sigma$ , we compute  $(\#_{r,\sigma}, \%_{r,\sigma})$  and  $(\#_{r,\sigma}^2, \%_{r,\sigma}^n)$ :

Word	$(\#_{r,\sigma}, \%_{r,\sigma})$	$(\#_{r,\sigma}^2, \%_{r,\sigma}^n)$	Word	$(\#_{r,\sigma}, \%_{r,\sigma})$	$(\#_{r,\sigma}^2, \%_{r,\sigma}^n)$
$e$	(0, 0)	(0, 0)	$\sigma$	(1, 0)	(1, 0)
$r$	(0, 1)	(0, 1)	$r\sigma$	(1, -1)	(1, $n-1$ )
$r^2$	(0, 2)	(0, 2)	$r^2\sigma$	(1, -2)	(1, $n-2$ )
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
$r^{n-1}$	(0, $n-1$ )	(0, $n-1$ )	$r^{n-1}\sigma$	(1, $-n+1$ )	(1, 1)

As the evaluations are pairwise distinct, it follows that:

$e, r, r^2, \dots, r^{n-1}$   
 $\sigma, r\sigma, r^2\sigma, \dots, r^{n-1}\sigma$  are pairwise distinct in  $\langle r, \sigma | r^n = \sigma^2 = (r\sigma)^2 = e \rangle$

Apply **Lemma 4.9.**, and we get:

$$|\langle r, \sigma | r^n = \sigma^2 = (r\sigma)^2 = e \rangle| = |\{e, r, r^2, \dots, \sigma, r\sigma, r^2\sigma, \dots, r^{n-1}\sigma\}| = 2n$$

Quod. Erat. Demonstrandum. □

**Theorem 4.13.** For all positive integer  $n$ , if we define  $\zeta = e^{2\pi i/n}$ , then:

$$\langle r, \sigma : r^n = \sigma^2 = (r\sigma)^2 = e \rangle \cong \langle z \mapsto \zeta z, z \mapsto \zeta \bar{z} \rangle$$

*Proof.* The two groups have the same Cayley table after relabeling:

Row*Col	$z \mapsto \zeta^l z$	$z \mapsto \zeta^{k+l} \bar{z}$
$z \mapsto \zeta^k z$	$z \mapsto \zeta^{k+l} z$	$z \mapsto \zeta^{k+l} \bar{z}$
$z \mapsto \zeta^k \bar{z}$	$z \mapsto \zeta^{k-l} \bar{z}$	$z \mapsto \zeta^{k-l} z$

Hence, the two groups are isomorphic. Quod. Erat. Demonstrandum. □

**Example 4.14.** In  $\langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle$ ,  
 we have the following Cayley table:

Row*Col	$w$	$x$	$y$	$xy$	$x^2$	$x^3$	$x^2y$	$x^3y$
$w$	$w$	$x$	$y$	$xy$	$x^2$	$x^3$	$x^2y$	$x^3y$
$x$	$x$	$x^2$	$xy$	$x^2y$	$x^3$	$w$	$x^3y$	$y$
$y$	$y$	$x^3y$	$x^2$	$x$	$x^2y$	$xy$	$w$	$x^3$
$xy$	$xy$	$y$	$x^3$	$x^2$	$x^3y$	$x^2y$	$x$	$w$
$x^2$	$x^2$	$x^3$	$x^2y$	$x^3y$	$w$	$x$	$y$	$xy$
$x^3$	$x^3$	$w$	$x^3y$	$y$	$x$	$x^2$	$xy$	$x^2y$
$x^2y$	$x^2y$	$xy$	$w$	$x^3$	$y$	$x^3y$	$x^2$	$x$
$x^3y$	$x^3y$	$x^2y$	$x$	$w$	$xy$	$y$	$x^3$	$x^2$

**Lemma 4.15.**

$$\langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle = \{w, x, y, xy, x^2, x^3, x^2y, x^3y\}$$

*Proof.* We may divide our proof into two parts.

“ $\subseteq$ ” **inclusion:** According to **Example 4.14.**,

for all  $h \in \langle x, y | x^4 = yxyx^3 = y^3xyx = e \rangle$ , there exist  $0 \leq a < 4, 0 \leq b < 1$ , such that:

$$h = x^a y^b \in \{w, x, y, xy, x^2, x^3, x^2y, x^3y\}$$

“ $\subseteq$ ” **inclusion:** All of  $w, x, y, xy, x^2, x^3, x^2y, x^3y$  are words of  $x, y$ .

Hence,  $\langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle = \{w, x, y, xy, x^2, x^3, x^2y, x^3y\}$ .

Quod. Erat. Demonstrandum. □

**Example 4.16.** Define the following function from  $\text{Word}(x, y)$  to  $\mathbb{Z}$ :

$$\begin{aligned}\#_{x,y}(e) &= 1 \\ \#_{x,y}(x) &= \#_{x,y}(y) = \#_{x,y}(xy) = \#_{x,y}(yx) = 0 \\ \#_{x,y}([\text{Word with } x^{-1}, y^{-1}]) &= \#_{x,y}([\text{Replace } x^{-1}, y^{-1} \text{ with } x^3, y^3]) \\ \#_{x,y}([\text{Prefix}]x^2) &= \#_{x,y}([\text{Prefix}]y^2) = -\#_{x,y}([\text{Prefix}]) \\ \#_{x,y}([\text{Prefix}]x^2y) &= -\#_{x,y}([\text{Prefix}]y) \\ \#_{x,y}([\text{Prefix}]y^2x) &= -\#_{x,y}([\text{Prefix}]x) \\ \#_{x,y}([\text{Prefix}]xyx) &= \#_{x,y}([\text{Prefix}]y) \\ \#_{x,y}([\text{Prefix}]yxy) &= \#_{x,y}([\text{Prefix}]x)\end{aligned}$$

As two words are equal iff they arrange letters in completely the same way,  $\#_{x,y}$  is well-defined. In addition, if we insert or delete:

$$x^{-1}x^{+1}, x^{+1}x^{-1}, y^{-1}y^{+1}, y^{+1}y^{-1}, x^4, yxyx^3, y^3xyx$$

Then the number  $\#_{x,y}$  itself is invariant:

Insert/Delete	$\Delta(\#_{x,y})$
$x^{-1}x^{+1}$	0
$x^{+1}x^{-1}$	0
$y^{-1}y^{+1}$	0
$y^{+1}y^{-1}$	0
$x^4$	0
$yxyx^3$	0
$y^3xyx$	0

Hence, for all  $\alpha, \beta \in \text{Word}(x, y)$ :

$$\alpha = \beta \text{ in } \langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle \implies \$_{x,y}(\alpha) = \$_{x,y}(\beta)$$

**Lemma 4.17.**

$$|\langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle| = 8$$

*Proof.* For the words  $w, x, y, xy, x^2, x^3, x^2y, x^3y$ , we compute  $\#_{x,y}$  in four different directions:

Word $\alpha$	$\#_{x,y}(\alpha)$	$\#_{x,y}(\alpha x^{-1})$	$\#_{x,y}(\alpha y^{-1})$	$\#_{x,y}(\alpha y^{-1}x^{-1})$
$w$	1	0	0	0
$x$	0	1	0	0
$y$	0	0	1	0
$xy$	0	0	0	1
$x^2$	-1	0	0	0
$x^3$	0	-1	0	0
$x^2y$	0	0	-1	0
$x^3y$	0	0	0	-1

As the evaluations are pairwise distinct, it follows that:

$$\begin{matrix} w, & x, & y, & xy \\ x^2, & x^3, & x^2y, & x^3y \end{matrix} \text{ are pairwise distinct in } \langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle$$

Apply **Lemma 4.15.**, and we get:

$$|\langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle| = |\{w, x, y, xy, x^2, x^3, x^2y, x^3y\}| = 8$$

Quod. Erat. Demonstrandum. □

**Theorem 4.18.** If we define:

$$\mathbf{i} = \begin{pmatrix} \mathbf{i} & 0 \\ 0 & -\mathbf{i} \end{pmatrix}, \mathbf{j} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

Then:

$$\langle x, y | x^4 = yxyx^3 = y^3xyx = w \rangle \cong \langle \mathbf{i}, \mathbf{j} \rangle$$

*Proof.* The two groups have the same Cayley table after relabeling:

Row*Col	1	$\mathbf{i}$	$\mathbf{j}$	$\mathbf{ij}$	$\mathbf{i}^2$	$\mathbf{i}^3$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{i}^3\mathbf{j}$
1	1	$\mathbf{i}$	$\mathbf{j}$	$\mathbf{ij}$	$\mathbf{i}^2$	$\mathbf{i}^3$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{i}^3\mathbf{j}$
$\mathbf{i}$	$\mathbf{i}$	$\mathbf{i}^2$	$\mathbf{ij}$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{i}^3$	1	$\mathbf{i}^3\mathbf{j}$	$\mathbf{j}$
$\mathbf{j}$	$\mathbf{j}$	$\mathbf{i}^3\mathbf{j}$	$\mathbf{i}^2$	$\mathbf{i}$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{ij}$	1	$\mathbf{i}^3$
$\mathbf{ij}$	$\mathbf{ij}$	$\mathbf{j}$	$\mathbf{i}^3$	$\mathbf{i}^2$	$\mathbf{i}^3\mathbf{j}$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{i}$	1
$\mathbf{i}^2$	$\mathbf{i}^2$	$\mathbf{i}^3$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{i}^3\mathbf{j}$	1	$\mathbf{i}$	$\mathbf{j}$	$\mathbf{ij}$
$\mathbf{i}^3$	$\mathbf{i}^3$	1	$\mathbf{i}^3\mathbf{j}$	$\mathbf{j}$	$\mathbf{i}$	$\mathbf{i}^2$	$\mathbf{ij}$	$\mathbf{i}^2\mathbf{j}$
$\mathbf{i}^2\mathbf{j}$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{ij}$	1	$\mathbf{i}^3$	$\mathbf{j}$	$\mathbf{i}^3\mathbf{j}$	$\mathbf{i}^2$	$\mathbf{i}$
$\mathbf{i}^3\mathbf{j}$	$\mathbf{i}^3\mathbf{j}$	$\mathbf{i}^2\mathbf{j}$	$\mathbf{i}$	1	$\mathbf{ij}$	$\mathbf{j}$	$\mathbf{i}^3$	$\mathbf{i}^2$

Hence, the two groups are isomorphic. Quod. Erat. Demonstrandum. □

**Definition 4.19. (Free Product)**

Let  $(G_1, \circ_1), (G_2, \circ_2)$  be groups. Define the free product of  $G_1, G_2$  as:

$$G_1 * G_2 = \left\langle G_1 \vee_e G_2 \left| \begin{array}{ll} \forall g_1, g'_1 \in G, & g_1 g'_1 = g_1 \circ_1 g'_1 \\ \forall g_2, g'_2 \in G_2, & g_2 g'_2 = g_2 \circ_2 g'_2 \end{array} \right. \right\rangle$$

**Proposition 4.20.** If  $G_1 = \langle S_1 \rangle, G_2 = \langle S_2 \rangle$ , then  $G_1 * G_2 = \langle S_1 \sqcup S_2 \rangle$ .

*Proof.*

$$\begin{aligned} G_1 * G_2 &= \left\langle G_1 \vee_e G_2 \left| \begin{array}{ll} \forall g_1, g'_1 \in G_1, & g_1 g'_1 = g_1 \circ_1 g'_1 \\ \forall g_2, g'_2 \in G_2, & g_2 g'_2 = g_2 \circ_2 g'_2 \end{array} \right. \right\rangle \\ &= \left\langle \langle S_1 \rangle \vee_e \langle S_2 \rangle \left| \begin{array}{ll} \forall s_1, s'_1 \in S_1, & s_1 s'_1 = s_1 \circ_1 s'_1 \\ \forall s_2, s'_2 \in S_2, & s_2 s'_2 = s_2 \circ_2 s'_2 \end{array} \right. \right\rangle = \langle S_1 \sqcup S_2 \rangle \end{aligned}$$

Quod. Erat. Demonstrandum. □

**Proposition 4.21.** If  $G, H$  are two nontrivial groups, then for some nontrivial  $g \in G$  and  $h \in H$ ,  $hgh^{-1} \notin G$  and  $ghg^{-1} \notin H$ , so  $G, H$  are not normal in  $G * H$ .

*Proof.* Notice that the number of  $h$  before  $g$  and the number of  $h$  after  $g$  are invariant under identification, so  $hgh^{-1} \notin G$  and  $ghg^{-1} \notin H$ . Quod. Erat. Demonstrandum. □

The rest of this section devotes to prove the following result:[3]

$$G = \left\{ \frac{a_{1,1}z + a_{1,2}}{a_{2,1}z + a_{2,2}} : \begin{pmatrix} a_{1,1} & a_{1,2} \\ a_{2,1} & a_{2,2} \end{pmatrix} \in \mathbf{M}_2(\mathbb{Z}) \text{ and } \text{Det} \begin{pmatrix} a_{1,1} & a_{1,2} \\ a_{2,1} & a_{2,2} \end{pmatrix} = 1 \right\} \cong \mathbb{Z}_2 * \mathbb{Z}_3$$

**Lemma 4.22.** For all  $\frac{a_{1,1}z + a_{1,2}}{a_{2,1}z + a_{2,2}} \in G$ ,  $\frac{a_{1,1}z + a_{1,2}}{a_{2,1}z + a_{2,2}}$  can be reduced to  $\frac{1z+0}{0z+1}$  by a word of sheer transformations  $\frac{1z+q}{0z+1}, \frac{1z+0}{qz+1} \in G$  and transposition  $\frac{0z-1}{1z+0} \in G$ .

*Proof.* We may divide our proof into two steps.

**Step 1:** We apply division algorithm to kill one of  $a_{1,1}, a_{2,1}$ .

**Case 1.1:** If  $|a_{1,1}| + |a_{2,1}| \leq 1$ , then **Step 1** terminates.

Otherwise, since  $a_{1,1}, a_{2,1}$  are coprime, neither of them is zero.

**Case 1.2:** If  $|a_{1,1}| \geq |a_{2,1}|$ , then choose  $q \in \mathbb{Z}$ , such that  $0 \leq a_{1,1} + qa_{2,1} < |a_{2,1}|$ .

Replace  $\frac{a_{1,1}z + a_{1,2}}{a_{2,1}z + a_{2,2}}$  with the following expression, and return to **Case 1.1.**

$$\frac{r_{1,1}z + r_{1,2}}{a_{2,1}z + a_{2,2}} = \frac{1z + q}{0z + 1} \frac{a_{1,1}z + a_{1,2}}{a_{2,1}z + a_{2,2}}$$

**Case 1.3:** If  $|a_{1,1}| \leq |a_{2,1}|$ , then choose  $q \in \mathbb{Z}$ , such that  $0 \leq a_{2,1} + qa_{1,1} < |a_{1,1}|$ . Replace  $\frac{a_{1,1}z+a_{1,2}}{a_{2,1}z+a_{2,2}}$  with the following expression, and return to **Case 1.1.**

$$\frac{a_{1,1}z + a_{1,2}}{r_{2,1}z + r_{2,2}} = \frac{1z + 0}{qz + 1} \frac{a_{1,1}z + a_{1,2}}{a_{2,1}z + a_{2,2}}$$

As  $|a_{1,1}| + |a_{2,1}| < +\infty$ , **Step 1** terminates after finitely many steps.

**Step 2:** As one of  $a_{1,1}, a_{2,1}$  is killed, and  $\text{Det} \begin{pmatrix} a_{1,1} & a_{1,2} \\ a_{2,1} & a_{2,2} \end{pmatrix} = 1$ ,

$\frac{a_{1,1}z+a_{1,2}}{a_{2,1}z+a_{2,2}}$  must be equal to  $\frac{1z+q}{0z+1}$  or  $\frac{0z-1}{1z+q}$  after adjusting signs properly.

**Case 2.1:** If  $\frac{a_{1,1}z+a_{1,2}}{a_{2,1}z+a_{2,2}} = \frac{1z+q}{0z+1}$ , then we are done.

**Case 2.2:** If  $\frac{a_{1,1}z+a_{1,2}}{a_{2,1}z+a_{2,2}} = \frac{0z-1}{1z+q}$ , then do the following multiplication:

$$\frac{0z-1}{1z+0} \frac{0z-1}{1z+q} = \frac{-1z-q}{-0z-1} = \frac{1z+q}{0z+1}$$

Quod. Erat. Demonstrandum. □

**Lemma 4.23.** For all  $\frac{a_{1,1}z+a_{1,2}}{a_{2,1}z+a_{2,2}} \in G$ ,  $\frac{a_{1,1}z+a_{1,2}}{a_{2,1}z+a_{2,2}}$  is a word of:

$$\alpha = \frac{1z+1}{0z+1} \text{ and } \beta = \frac{0z-1}{1z+0}$$

*Proof.* It suffices to notice the followings:

$$\begin{aligned} \left( \frac{1z+q}{0z+1} \right)^{-1} &= \frac{1z-q}{0z+1} = \left( \frac{1z+1}{0z+1} \right)^{-q} = \alpha^{-q} \\ \left( \frac{1z+0}{qz+1} \right)^{-1} &= \left( \frac{1z+0}{-qz+1} \right) = \left( \frac{0z-1}{1z+0} \right) \left( \frac{1z+1}{0z+1} \right)^q \left( \frac{0z-1}{1z+0} \right) = \beta \alpha^q \beta \\ \left( \frac{0z-1}{1z+0} \right)^{-1} &= \left( \frac{0z-1}{1z+0} \right) = \beta \end{aligned}$$

Quod. Erat. Demonstrandum. □

**Lemma 4.24.**  $\frac{1z+0}{0z+1}$  is not equal to any nontrivial word of:

$$\alpha = \frac{1z+1}{0z+1} \text{ and } \beta = \frac{0z-1}{1z+0}$$

*Proof.* Consider the left action  $*$  of  $G$  on the set of all irrational real numbers  $\mathbb{I}$ .

If we define  $\gamma = \alpha\beta = \frac{1z-1}{1z+0}$ ,  $\mathbb{I}_{>0} = \mathbb{I} \cap \mathbb{R}_{>0}$ ,  $\mathbb{I}_{<0} = \mathbb{I} \cap \mathbb{R}_{<0}$ , then:

$$\begin{aligned} \beta * \mathbb{I}_{>0} &\subseteq \mathbb{I}_{<0} \\ \gamma * \mathbb{I}_{<0} &\subseteq \mathbb{I}_{>0} \\ \gamma^{-1} * \mathbb{I}_{<0} &\subseteq \mathbb{I}_{>0} \end{aligned}$$

For all nontrivial word  $\omega$  of  $\alpha, \beta$ , it is also a nontrivial word of  $\gamma$ .

**Case 1:** If  $\omega$  has odd nontrivial blocks, then  $\omega$  begins and ends with a  $\beta$ -block, where  $\omega * \mathbb{I}_{>0} \subseteq \beta * \mathbb{I}_{>0} \subseteq \mathbb{I}_{<0}$ , or  $\omega$  begins and ends with a  $\gamma$ -block, where  $\omega * \mathbb{I}_{<0} \subseteq \gamma^{\pm 1} * \mathbb{I}_{<0} \subseteq \mathbb{I}_{>0}$ . In both situations,  $\omega$  reverses part of the real line, which is not the case of  $\frac{1z+0}{0z+1}$ .

**Case 2:** If  $\omega$  has even nontrivial blocks, then we may do conjugation and assume that  $\omega$  begin with a  $\gamma$ -block and end with a  $\beta$ -block. There are two situations two consider:

**Situation 2.1:** If the first block is  $\gamma$ , then  $\omega * \mathbb{I}_{>0} \subseteq \gamma * \mathbb{I}_{<0} \subseteq (1, +\infty)$ .

**Situation 2.2:** If the first block is  $\gamma^{-1}$ , then  $\omega * \mathbb{I}_{>0} \subseteq \gamma^{-1} * \mathbb{I}_{<0} \subseteq (-\infty, 1)$ .

In both situations,  $\omega$  cuts a whole interval of the real line, which is not the case of  $\frac{1z+0}{0z+1}$ .  
Quod. Erat. Demonstrandum.  $\square$

#### Theorem 4.25.

$$G \cong \mathbb{Z}_2 * \mathbb{Z}_3$$

*Proof.* Take  $\beta = \frac{0z-1}{1z+0}, \gamma = \frac{1z-1}{1z+0}$ . From the following calculations:

$$\begin{aligned}\beta^1 &= \frac{0z-1}{1z+0} \neq \frac{1z+0}{0z+1} \\ \beta^2 &= \frac{1z+0}{0z+1} \\ \gamma^1 &= \frac{1z-1}{1z+0} \neq \frac{1z+0}{0z+1} \\ \gamma^2 &= \frac{0z-1}{1z-1} \neq \frac{1z+0}{0z+1} \\ \gamma^3 &= \frac{1z+0}{0z+1}\end{aligned}$$

We know that  $\text{Ord}(\beta) = 2$  and  $\text{Ord}(\gamma) = 3$ .

From **Lemma 4.23.**, we know that every  $\frac{a_{1,1}z+a_{1,2}}{a_{2,1}z+a_{2,2}}$  is a word of  $\beta, \gamma$ .

From **Lemma 4.24.**, we know that there is no other nontrivial restrictions on  $\beta, \gamma$ .

Hence, we may conclude that:

$$G \cong \langle \beta, \gamma | \beta^2 = \gamma^3 = e \rangle \cong \mathbb{Z}_2 * \mathbb{Z}_3$$

Quod. Erat. Demonstrandum.  $\square$

## 4.2 Free Product with Amalgamation

*In the last section, we see that it is in general hard to count the following number:*

$$|\langle x, y | R(x, y) = S(x, y) = T(x, y) = e \rangle|$$

*Counting this number is beyond our scope. What we do is to generalize this concept.*

**Definition 4.26. (Free Product with Amalgamation)**

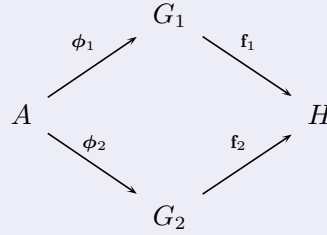
Let  $A, G_1, G_2$  be groups,  $\phi_1 : A \rightarrow G_1, \phi_2 : A \rightarrow G_2$  be group homomorphisms. Define the free product of  $G_1, G_2$  with amalgamation as:

$$G_1 *_A G_2 = \left\langle G_1 \vee_e G_2 \left| \begin{array}{ll} \forall g_1, g'_1 \in G_1, & g_1 g'_1 = g_1 \circ_1 g'_1 \\ \forall g_2, g'_2 \in G_2, & g_2 g'_2 = g_2 \circ_2 g'_2 \\ \forall a \in A, & \phi_1(a) = \phi_2(a) \end{array} \right. \right\rangle$$

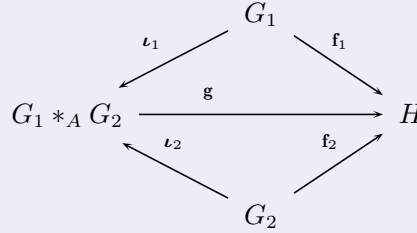
**Remark:** When  $A$  is the trivial group, this degenerates to the usual free product.

**Theorem 4.27. (The Universal Property of Free Product)**

Let  $A, G_1, G_2, H$  be groups, and  $\phi_1, \phi_2, \mathbf{f}_1, \mathbf{f}_2$  be group homomorphisms such that the following diagram commutes:



Define  $\iota_{1,2} : G_{1,2} \rightarrow G_1 *_A G_2$  as the natural projection maps. For some unique group homomorphism  $\mathbf{g} : G_1 *_A G_2 \rightarrow H$ , the following diagram commutes:



*Proof.* It suffices to check that  $\mathbf{g}$  is invariant under three identification relations.

$$\mathbf{g} : G_1 *_A G_2 \mapsto H, [\text{A word of } G_1 \vee_e G_2] \mapsto [\text{A word of } \mathbf{f}_1(G_1) \vee_e \mathbf{f}_2(G_2)]$$

**Step 1:** For all words in the form  $\cdots x_1 x'_1 \cdots = \cdots x_1 \circ_1 x'_1 \cdots$ , where  $x_1, x'_1 \in G_1$ :

$$\begin{aligned} \mathbf{g}(\cdots x_1 x'_1 \cdots) &= \cdots \diamond \mathbf{f}_1(x_1) \diamond \mathbf{f}_2(x'_1) \diamond \cdots \\ &= \cdots \diamond \mathbf{f}_1(x_1 \circ_1 x'_1) \diamond \cdots = \mathbf{g}(\cdots x_1 \circ_1 x'_1 \cdots) \end{aligned}$$

**Step 2:** For all words in the form  $\cdots x_2 x'_2 \cdots = \cdots x_2 \circ_2 x'_2 \cdots$ , where  $x_2, x'_2 \in G_2$ :

$$\begin{aligned} \mathbf{g}(\cdots x_2 x'_2 \cdots) &= \cdots \diamond \mathbf{f}_2(x_2) \diamond \mathbf{f}_2(x'_2) \diamond \cdots \\ &= \cdots \diamond \mathbf{f}_2(x_2 \circ_2 x'_2) \diamond \cdots = \mathbf{g}(\cdots x_2 \circ_2 x'_2 \cdots) \end{aligned}$$



**Step 3:** For all words in the form  $\cdots \phi_1(a) \cdots = \cdots \phi_2(a) \cdots$ , where  $a \in A$ :

$$\begin{aligned} \mathbf{g}(\cdots \phi_1(a) \cdots) &= \cdots \diamond \mathbf{f}_1 \circ \phi_1(a) \diamond \cdots \\ &= \cdots \diamond \mathbf{f}_2 \circ \phi_2(a) \diamond \cdots = \mathbf{g}(\cdots \phi_2(a) \cdots) \end{aligned}$$

Hence, the function  $\mathbf{g}$  is well-defined. The diagram commutes iff we take the definition at the beginning, so this function is unique. As  $\mathbf{f}_1, \mathbf{f}_2$  are group homomorphisms, it follows that  $\mathbf{g}$  is a group homomorphism. Quod. Erat. Demonstrandum.  $\square$

**Theorem 4.28. (Seifert-Van Kampen Theorem[4])**

Let  $S, X_1, X_2, Y$  be topological spaces with:

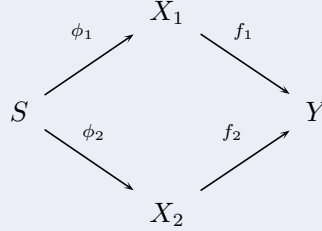
$$Y = X_1 \cup X_2$$

$X_1 \subseteq Y$  is open and path connected

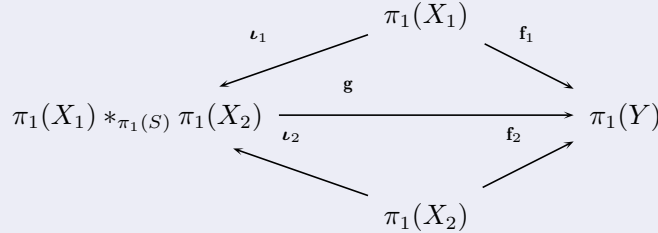
$X_2 \subseteq Y$  is open and path connected

$S = X_1 \cap X_2$  is nonempty and path connected

$\phi_1, \phi_2, f_1, f_2$  be the natural projection maps described in the follow diagram:



Define  $\iota_{1,2} : X_{1,2} \rightarrow Y$  as the natural projection maps, take an arbitrary base point  $s_0$  from  $S$ , and we omit this base point in fundamental groups because the context is clear. There exists a unique group isomorphism  $\mathbf{g} : \pi_1(X_1) *_{\pi_1(S)} \pi_1(X_2) \rightarrow \pi_1(Y)$ , such that the following diagram commutes:



Here,  $\iota_{1,2} = \pi_1(\iota_{1,2}), \mathbf{f}_{1,2} = \pi_1(f_{1,2})$  are generated by the fundamental functor  $\pi_1$ .

*Proof.* We may divide our proof into three parts.

**Part 1:** We apply **Theorem 4.27.** to find a unique group homomorphism  $\mathbf{g}$ .

Define groups  $A = \pi_1(S), G_{1,2} = \pi_1(X_{1,2}), H = \pi_1(Y)$  and group homomorphisms

$\phi_{1,2} = \pi_1(\phi_{1,2}), \mathbf{f}_{1,2} = \pi_1(f_{1,2})$ . Now the following diagram commutes:

$$\begin{array}{ccc}
 & G_1 & \\
 \phi_1 \nearrow & & \searrow f_1 \\
 A & & H \\
 \phi_2 \searrow & & \nearrow f_2 \\
 & G_2 &
 \end{array}$$

According to **Theorem 4.27.**, for some unique group homomorphism  $\mathbf{g} : G_1 *_A G_2 \rightarrow H$ , the following diagram commutes:

$$\begin{array}{ccc}
 & G_1 & \\
 \iota_1 \nearrow & & \searrow f_1 \\
 G_1 *_A G_2 & \xrightarrow{\mathbf{g}} & H \\
 \iota_2 \searrow & & \nearrow f_2 \\
 & G_2 &
 \end{array}$$

**Part 2:** We prove that this group homomorphism  $\mathbf{g}$  is surjective.

It suffices to prove that every loop  $\gamma$  in  $Y$  with base point  $s_0$  is homotopic to a word of loops in  $X_1, X_2$  at  $s_0$ . Consider the open preimages  $\gamma^{-1}(X_1), \gamma^{-1}(X_2)$ :

(1) As  $[0, 1]$  is a subset of  $\mathbb{R}$ , the Lindelöf property suggests:

$$\gamma^{-1}(X_1) \cong \coprod_{\lambda \in I_1} \mathcal{U}_1^\lambda, \gamma^{-1}(X_2) \cong \coprod_{\lambda \in I_2} \mathcal{U}_2^\lambda$$

Here, the index sets  $I_1, I_2$  are countable, and the families  $\mathcal{U}_1 = \{\mathcal{U}_1^\lambda\}_{\lambda \in I_1}, \mathcal{U}_2 = \{\mathcal{U}_2^\lambda\}_{\lambda \in I_2}$  consist of disjoint open connected subsets of  $[0, 1]$ .

(2) As  $[0, 1]$  is compact, the open cover  $\mathcal{U}_1 \cup \mathcal{U}_2$  of it has a finite subcover.

(3) As the open subsets in  $\mathcal{U}_1, \mathcal{U}_2$  are disjoint, WLOG,

assume that the finite subcover mentioned above is of the following form:

$$\begin{array}{lll}
 0 \in \mathcal{U}_1^1 = [0, b_1^1), \mathcal{U}_2^2 = (a_2^2, b_2^2), \dots, & \mathcal{U}_1^{k-1} = (a_1^{k-1}, b_1^{k-1}), \mathcal{U}_2^k = (a_1^k, 1] \ni 1 & \\
 0 \in \mathcal{U}_1^1 = [0, b_1^1) < \dots < & \mathcal{U}_1^{k-1} = (a_1^{k-1}, b_1^{k-1}) & \not\ni 1 \\
 0 \notin & \mathcal{U}_2^2 = (a_2^2, b_2^2) < \dots < & \mathcal{U}_2^k = (a_1^k, 1] \ni 1
 \end{array}$$

(4) Decompose  $\gamma$  into the concatenation  $\gamma_1 \star_{c_1} \gamma_2 \star_{c_2} \dots \star_{c_{k-2}} \gamma_{k-1} \star_{c_{k-1}} \gamma_k$ . For each  $\gamma_l$ , it is contained in  $X_1$  or  $X_2$ . Note that the initial point and end point of  $\gamma_l$  lie in the path connected intersection, so  $\gamma_l$  is homotopic to some loop  $\sigma_l$  in  $X_1$  or  $X_2$ .

(5) Repeat this process inductively, then loop  $\gamma$  is homotopic to an alternating word of loops in  $X_1$  and  $X_2$ . This means every  $[\gamma] \in \pi_1(Y)$  in  $\pi_1(Y)$  is the image of some word in  $\pi_1(X_1) *_A \pi_1(X_2)$  under the group homomorphism  $\mathbf{g}$ , so  $\mathbf{g}$  is surjective.

**Part 3:** We prove that this group homomorphism  $\mathbf{g}$  is injective.

It suffices to prove that every path homotopy  $H : [0, 1]_t \times [0, 1]_s \rightarrow Y$  is a word of path

homotopies in  $X_1, X_2$ .

(1) As  $[0, 1]_t \times [0, 1]_s$  is a subset of  $\mathbb{R}_t \times \mathbb{R}_s$ , the Lindelöf property suggests:

$$\gamma^{-1}(X_1) \cong \coprod_{\lambda \in I_1} \mathfrak{U}_1^\lambda, \gamma^{-1}(X_2) \cong \coprod_{\lambda \in I_2} \mathfrak{U}_2^\lambda$$

Here, the index sets  $I_1, I_2$  are countable, and the families  $\mathcal{U}_1 = \{\mathfrak{U}_1^\lambda\}_{\lambda \in I_1}, \mathcal{U}_2 = \{\mathfrak{U}_2^\lambda\}_{\lambda \in I_2}$  consist of disjoint open connected subsets of  $[0, 1]_t \times [0, 1]_s$ .

(2) As  $[0, 1]_t \times [0, 1]_s$  is compact, the open cover  $\mathcal{U}_1 \cup \mathcal{U}_2$  of it has a finite subcover.

(3) As the open subsets in  $\mathcal{U}_1, \mathcal{U}_2$  are disjoint, WLOG, assume that the following partition of  $[0, 1]_t \times [0, 1]_s$  satisfies each block is contained in some  $\mathfrak{U}_{i,j}$  in that subcover.

If this partition is not fine enough, just halven the width of subintervals and test again:

	$t = 0$	$0 < t < \frac{1}{2}$	$t = \frac{1}{2}$	$\frac{1}{2} < t < 1$	$t = 1$
$s = 0$	$(0, 0)$	Edge	$(\frac{1}{2}, 0)$	Edge	$(1, 0)$
$0 < s < \frac{1}{2}$	Edge	Square $\subseteq \mathfrak{U}_{0,0}$	Edge	Square $\subseteq \mathfrak{U}_{1,0}$	Edge
$s = \frac{1}{2}$	$(0, \frac{1}{2})$	Edge	$(\frac{1}{2}, \frac{1}{2})$	Edge	$(1, \frac{1}{2})$
$\frac{1}{2} < s < 1$	Edge	Square $\subseteq \mathfrak{U}_{0,1}$	Edge	Square $\subseteq \mathfrak{U}_{1,1}$	Edge
$s = 1$	$(0, 1)$	Edge	$(\frac{1}{2}, 1)$	Edge	$(1, 1)$

(4) Construct the following finite list of loops and path homotopies in  $X_1$  or  $X_2$ :

$$\gamma_0 = H \circ [(0, 0) > \text{Edge} > (1/2, 0) > \text{Edge} > (1, 0)]$$

$$H_0 = [\text{The path homotopy from } \gamma_0 \text{ to } \gamma_1 \text{ induced by } H]$$

$$\gamma_1 = H \circ [(0, 1/2) > \text{Edge} > (1/2, 1/2) > \text{Edge} > (1/2, 0) > \text{Edge} > (1, 0)]$$

$$H_1 = [\text{The path homotopy from } \gamma_1 \text{ to } \gamma_2 \text{ induced by } H]$$

$$\gamma_2 = H \circ [(0, 1/2) > \text{Edge} > (1/2, 1/2) > \text{Edge} > (1, 1/2)]$$

$$H_2 = [\text{The path homotopy from } \gamma_2 \text{ to } \gamma_3 \text{ induced by } H]$$

$$\gamma_3 = H \circ [(0, 1) > \text{Edge} > (1/2, 1) > \text{Edge} > (1/2, 1/2) > \text{Edge} > (1, 1/2)]$$

$$H_3 = [\text{The path homotopy from } \gamma_3 \text{ to } \gamma_4 \text{ induced by } H]$$

$$\gamma_4 = H \circ [(0, 1) > \text{Edge} > (1/2, 1) > \text{Edge} > (1, 1)]$$

Hence,  $H = H_0 \star_{c_1} H_1 \star_{c_2} H_2 \star_{c_3} H_3$ , where each  $H_k$  is contained in  $X_1$  or  $X_2$ .

To conclude, for all loops  $[\mu], [\nu] \in \pi_1(X) *_{\pi_1(S)} \pi_1(X_2)$ ,  $[\mu], [\nu]$  are identified upstairs in  $\pi_1(Y)$  implies they are identified downstairs in  $\pi_1(X) *_{\pi_1(S)} \pi_1(X_2)$ , so  $\mathbf{g}$  is injective.

We end up with the desired group isomorphism  $\mathbf{g}$ . Quod. Erat. Demonstrandum.  $\square$

### 4.3 Applications

**Proposition 4.29.**

$$\pi_1(\mathbb{S} \vee_1 \mathbb{S}) \cong \mathbb{Z} * \mathbb{Z}$$

*Proof.* Define the following four topological spaces:

$$Y = \mathbb{S} \vee_1 \mathbb{S}$$

$$X_1 = \mathbb{S} \vee_1 (\mathbb{S} \setminus \{-1\})$$

$$X_2 = (\mathbb{S} \setminus \{-1\}) \vee_1 \mathbb{S}$$

$$S = (\mathbb{S} \setminus \{-1\}) \vee_1 (\mathbb{S} \setminus \{-1\})$$

(1) Set-theoretically,  $Y = X_1 \cup X_2$ .

(2) The preimages  $\mathbb{S}, \mathbb{S} \setminus \{-1\}$  of  $X_1 = \mathbb{S} \vee_1 (\mathbb{S} \setminus \{-1\})$  under natural projections are open in  $\mathbb{S}, \mathbb{S}$  respectively, so  $X_1$  is open in the final topological space  $Y = \mathbb{S} \vee_1 \mathbb{S}$ .

(3) The preimages  $\mathbb{S} \setminus \{-1\}, \mathbb{S}$  of  $X_2 = (\mathbb{S} \setminus \{-1\}) \vee_1 \mathbb{S}$  under natural projections are open in  $\mathbb{S}, \mathbb{S}$  respectively, so  $X_2$  is open in the final topological space  $Y = \mathbb{S} \vee_1 \mathbb{S}$ .

(4)  $X_1, X_2, S$  are wedge sums of path connected topological spaces, so  $X_1, X_2, S$  are path connected. And,  $S \ni 1$  is nonempty.

The four parts above suggests the following:

$$\pi_1(\mathbb{S} \vee_1 \mathbb{S}) = \pi_1(Y) \cong \pi_1(X_1) *_{\pi_1(S)} \pi_1(X_2) \cong \pi_1(\mathbb{S}) *_{\pi_1(\{1\})} \pi_1(\mathbb{S}) \cong \mathbb{Z} *_{\{e\}} \mathbb{Z} \cong \mathbb{Z} * \mathbb{Z}$$

Quod. Erat. Demonstrandum. □

**Proposition 4.30.**

$$\pi_1(\mathbb{R}^3 \setminus \mathbb{S}) \cong \mathbb{Z}$$

*Proof.* We may divide our proof into two steps.

**Step 1:** We construct a deformation retraction to simplify  $\mathbb{R}^3 \setminus \mathbb{S}$ .

It suffices to graph the flow lines of the following vector field:

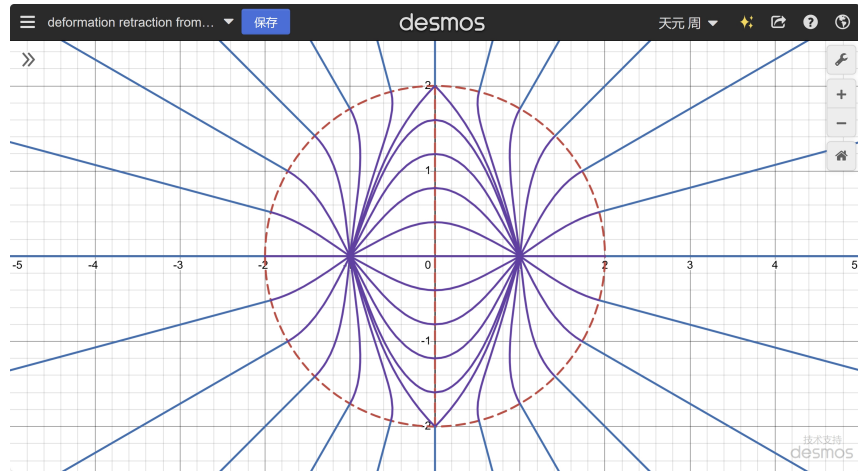


Figure 4: Deformation Retraction From  $\mathbb{R}^3 \setminus \mathbb{S}$  To  $\mathbb{S}^2 \vee_{\pm 1} [-1, +1]$

**Step 2:** Retract the line segment inside to a circle attached to the sphere outside, so:

$$\mathbb{R}^3 \setminus \mathbb{S} \cong \mathbb{S}^2 \vee_{\pm 1} [-1, +1] \cong \mathbb{S}^2 \vee_1 \mathbb{S}$$

Define the following four topological spaces:

$$\begin{aligned} Y &= \mathbb{S}^2 \vee_1 \mathbb{S} \\ X_1 &= \mathbb{S}^2 \vee_1 (\mathbb{S} \setminus \{-1\}) \\ X_2 &= (\mathbb{S}^2 \setminus \{-1\}) \vee_1 \mathbb{S} \\ S &= (\mathbb{S}^2 \setminus \{-1\}) \vee_1 (\mathbb{S} \setminus \{-1\}) \end{aligned}$$

- (1) Set-theoretically,  $Y = X_1 \cup X_2$ .
- (2) The preimages  $\mathbb{S}^2, \mathbb{S} \setminus \{-1\}$  of  $X_1 = \mathbb{S}^2 \vee_1 (\mathbb{S} \setminus \{-1\})$  under natural projections are open in  $\mathbb{S}^2, \mathbb{S}$  respectively, so  $X_1$  is open in the final topological space  $Y = \mathbb{S}^2 \vee_1 \mathbb{S}$ .
- (3) The preimages  $\mathbb{S}^2 \setminus \{-1\}, \mathbb{S}$  of  $X_2 = (\mathbb{S}^2 \setminus \{-1\}) \vee_1 \mathbb{S}$  under natural projections are open in  $\mathbb{S}^2, \mathbb{S}$  respectively, so  $X_2$  is open in the final topological space  $Y = \mathbb{S}^2 \vee_1 \mathbb{S}$ .
- (4)  $X_1, X_2, S$  are wedge sums of path connected topological spaces, so  $X_1, X_2, S$  are path connected. And,  $S \ni 1$  is nonempty.

The four parts above suggests the following:

$$\pi_1(\mathbb{R}^3 \setminus \mathbb{S}) \cong \pi_1(Y) \cong \pi_1(X_1) *_{\pi_1(S)} \pi_1(X_2) \cong \pi_1(\mathbb{S}^2) *_{\pi_1(\{1\})} \pi_1(\mathbb{S}) \cong \{e\} *_{\{e\}} \mathbb{Z} \cong \mathbb{Z}$$

Quod. Erat. Demonstrandum. □

**Lemma 4.31.**

$$\begin{aligned} \mathbb{T}^2 \setminus \overline{\mathbb{D}^2} &\sim \mathbb{S} \vee_1 \mathbb{S}, & \pi_1(\mathbb{T}^2 \setminus \overline{\mathbb{D}^2}) &\cong \mathbb{Z} * \mathbb{Z} \\ \mathbb{RP}^2 \setminus \overline{\mathbb{D}^2} &\sim \mathbb{S}, & \pi_1(\mathbb{RP}^2 \setminus \overline{\mathbb{D}^2}) &\cong \mathbb{Z} \\ \mathbb{K}^2 \setminus \overline{\mathbb{D}^2} &\sim \mathbb{S} \vee_1 \mathbb{S}, & \pi_1(\mathbb{K}^2 \setminus \overline{\mathbb{D}^2}) &\cong \mathbb{Z} * \mathbb{Z} \end{aligned}$$

*Proof.* If we remove  $\overline{\mathbb{D}^2}$  with radius  $r < 1$  from the centre, then  $|z|^{-t}$  is continuous, so the deformation retraction  $H(z, t) = |z|^{-t} z$  is well-defined. Quod. Erat. Demonstrandum. □

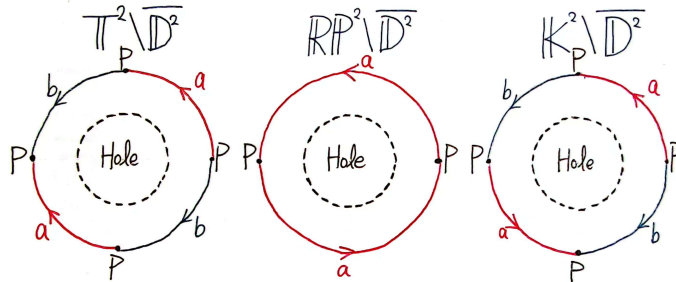


Figure 5:  $\mathbb{T}^2 \setminus \overline{\mathbb{D}^2}, \mathbb{RP}^2 \setminus \overline{\mathbb{D}^2}, \mathbb{K}^2 \setminus \overline{\mathbb{D}^2}$

**Proposition 4.32.**

$$\pi_1(\mathbb{T}^2 \# \mathbb{T}^2) \cong \langle s_1, t_1, s_2, t_2 | s_1^{-1} t_1^{-1} s_1 t_1 = t_2^{-1} s_2^{-1} t_2 s_2 \rangle$$

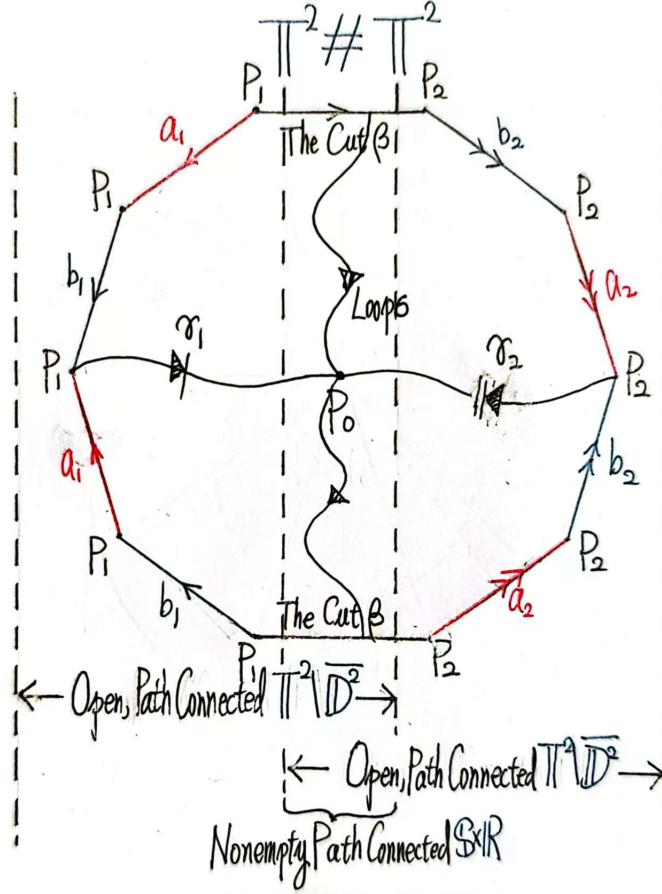


Figure 6:  $\mathbb{T}^2 \# \mathbb{T}^2$

*Proof.*

$$\begin{aligned} \pi_1(\mathbb{T}^2 \# \mathbb{T}^2) &\cong \pi_1(\mathbb{T}^2 \setminus \overline{\mathbb{D}^2}) *_{\pi_1(\mathbb{S} \times \mathbb{R})} \pi_1(\mathbb{T}^2 \setminus \overline{\mathbb{D}^2}) \\ &\cong \langle \gamma_1^{-1} a_1 \gamma_1, \gamma_1^{-1} b_1 \gamma_1 \rangle *_{\langle \sigma \rangle} \langle \gamma_2^{-1} a_2 \gamma_2, \gamma_2^{-1} b_2 \gamma_2 \rangle \\ &\cong \left\langle \gamma_1^{-1} a_1 \gamma_1, \gamma_1^{-1} b_1 \gamma_1 \middle| \gamma_1^{-1} a_1^{-1} b_1^{-1} a_1 b_1 \gamma_1 = \gamma_2^{-1} b_2^{-1} a_2^{-1} b_2 a_2 \gamma_2 \right\rangle \\ &\cong \langle s_1, t_1, s_2, t_2 | s_1^{-1} t_1^{-1} s_1 t_1 = t_2^{-1} s_2^{-1} t_2 s_2 \rangle \end{aligned}$$

Quod. Erat. Demonstrandum. □

**Proposition 4.33.**

$$\pi_1(\mathbb{RP}^2 \# \mathbb{RP}^2) \cong \langle s_1, s_2 | s_1 = s_2 s_1^{-1} s_2 \rangle$$

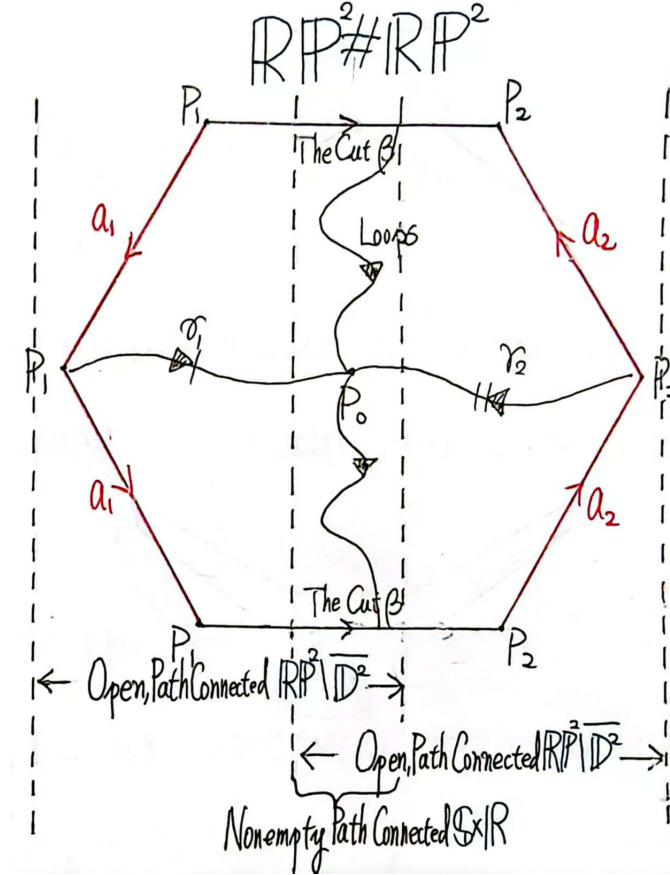


Figure 7:  $\mathbb{RP}^2 \# \mathbb{RP}^2$

*Proof.*

$$\begin{aligned} \pi_1(\mathbb{RP}^2 \# \mathbb{RP}^2) &\cong \pi_1(\mathbb{RP}^2 \setminus \overline{\mathbb{D}^2}) *_{\pi_1(\mathbb{S} \times \mathbb{R})} \pi_1(\mathbb{RP}^2 \setminus \overline{\mathbb{D}^2}) \\ &\cong \langle \gamma_1^{-1} a_1 \gamma_1 \rangle *_{\langle \sigma \rangle} \langle a_2 \rangle \\ &\cong \langle \gamma_1^{-1} a_1 \gamma_1, \gamma_2^{-1} a_2 \gamma_2 | \gamma_1^{-1} a_1^2 \gamma_1 = \gamma_2^{-1} a_2^{-2} \gamma_2 \rangle \\ &\cong \langle a_1, \gamma_1 \gamma_2^{-1} a_2 \gamma_2 \gamma_1^{-1} | a_1^2 = \gamma_1 \gamma_2^{-1} a_2^{-2} \gamma_2 \gamma_1^{-1} \rangle \\ &\cong \langle a_1, \gamma_1 \gamma_2^{-1} \beta^{-1} a_1^{-1} | a_1 = \gamma_1 \gamma_2^{-1} \beta^{-1} a_1^{-1} \gamma_1 \gamma_2^{-1} \beta^{-1} \rangle \\ &\cong \langle s_1, s_2 | s_1 = s_2 s_1^{-1} s_2 \rangle \end{aligned}$$

Quod. Erat. Demonstrandum. □

**Remark:** For 2-dimensional topological manifolds, the orientation of gluing doesn't make any difference, but the proof is not required.

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