

28- Wednesday November 9, 2011.

Definition 28.1: A *vector space* over a field F (or an F -*vector space*) is an Abelian group for addition + (with identity 0 and inverse of x denoted $-x$), and a *scalar multiplication* (since the elements of F are called *scalars*), which is a mapping from $F \times V$ into V , with the image of (λ, v) denoted λv , satisfying

$$\begin{aligned}\lambda(v_1 + v_2) &= \lambda v_1 + \lambda v_2 \text{ for all } \lambda \in F \text{ and all } v_1, v_2 \in V, \\ (\lambda_1 + \lambda_2)v &= \lambda_1 v + \lambda_2 v \text{ for all } \lambda_1, \lambda_2 \in F \text{ and all } v \in V, \\ \lambda_1(\lambda_2 v) &= (\lambda_1 \lambda_2)v \text{ for all } \lambda_1, \lambda_2 \in F \text{ and all } v \in V, \\ 1v &= v \text{ for all } v \in V,\end{aligned}$$

which imply $\lambda 0 = 0$ for all $\lambda \in F$, and $0v = 0$ for all $v \in V$.

A *linear mapping* from an F -vector space V_1 into an F -vector space V_2 is a mapping L from V_1 into V_2 such that

$$\begin{aligned}L(v + w) &= L(v) + L(w) \text{ for all } v, w \in V_1, \\ L(\lambda v) &= \lambda L(v) \text{ for all } \lambda \in F \text{ and all } v \in V_1,\end{aligned}$$

and the set $L(V_1; V_2)$ of all linear mappings from V_1 into V_2 is an F -vector space.¹ The *kernel* of a linear mapping L is $L^{-1}(\{0\}) = \{v_1 \in V_1 \mid L(v_1) = 0\} \subset V_1$, and the *range* of L is the image $\{L(v_1) \mid v_1 \in V_1\} \subset V_2$. For an F -vector space V , the *general linear group* $GL(V)$ is the multiplicative group of all *invertible linear mappings* from V into itself.²

A *bilinear mapping* B from $V_1 \times V_2$ into V_3 , where V_1, V_2, V_3 are F -vector spaces, is a mapping such that $v_1 \mapsto B(v_1, v_2)$ is linear (from V_1 into V_3) for all $v_2 \in V_2$ and $v_2 \mapsto B(v_1, v_2)$ is linear (from V_2 into V_3) for all $v_1 \in V_1$.

Examples 28.2: If I is non-empty and for each $i \in I$ one is given an F -vector space V_i , then the product $\prod_{i \in I} V_i$ has a natural structure of F -vector space.³ A particular case is when all V_i are equal to an F -vector space W , which corresponds to considering the (F -vector space of) mappings from I into W ; this example is encountered with $W = F$ and $I = \mathbb{N}$ in the case of the ring of formal power series $F[[x]]$. Other F -vector spaces already encountered are the ring of polynomials $F[x]$, the ring of formal Laurent series $F((x))$, as well as any quotient ring $F[x]/(P_0)$ for some $P_0 \in F[x]$.

In the case P_0 is an irreducible polynomial of degree n , then $K = F[x]/(P_0)$ is a field, and as an F -vector space it is isomorphic to F^n , since each coset $P + (P_0)$ corresponds to $r + (P_0)$ where $r = a_0 + \dots + a_{n-1}x^{n-1}$ is the remainder in the Euclidean division of P by P_0 , and a_0, \dots, a_{n-1} may be chosen independently in F .

$F[x]$, $F[x]/(P_0)$, $F[[x]]$, and $F((x))$ also have a multiplication, and they are particular cases of an F -vector space V having a product given by a bilinear mapping from $V \times V$ into V , in which case V is called an *algebra* over F .

Remark 28.3: If R is a ring but not a field, one has a similar notion of R -module, or more precisely of left R -module and right R -module in the case where R is not commutative, and the structure of modules is not as simple of that of vector spaces, in particular because one cannot define a notion of dimension, or use a basis as for a vector space. However, if D is a division ring, then the properties of a D -module are exactly similar to that of a vector space.

Definition 28.4: If V is an F -vector space, a *linear combination* of elements of a non-empty subset $A \subset V$ is any element of the form $\sum_{i=1}^n \lambda_i a_i$ with $a_1, \dots, a_n \in A$ and $\lambda_1, \dots, \lambda_n \in F$; $\text{span}(A) = \{\sum_{i=1}^n \lambda_i a_i \mid n \in \mathbb{N}^\times, a_1, \dots, a_n \in A, \lambda_1, \dots, \lambda_n \in F\}$ is the vector *subspace generated by* A . Distinct elements $a_1, \dots, a_n \in V$ are *linearly dependent* if $\sum_{i=1}^n \lambda_i a_i = 0$ and not all λ_i are equal to 0; a non-empty subset $A \subset V$ is *linearly*

¹ If $L_1, L_2 \in L(V_1; V_2)$, then $L_1 + L_2$ is the mapping $v \mapsto L_1(v) + L_2(v)$ for all $v \in V_1$, and for $\lambda \in F$, λL_1 is the mapping $v \mapsto \lambda L_1(v)$ for all $v \in V_1$.

² Notice that $GL(V)$ is not a vector space. It is a pointed cone in the vector space $L(V; V)$, i.e. one can multiply an element $A \in GL(V)$ by $\lambda \in F^*$, but 0 is not allowed.

³ If $a = (a_i, i \in I), b = (b_i, i \in I) \in \prod_{i \in I} V_i$, and $\lambda \in F$, then $c = a + b$ and $d = \lambda a$ are the elements of $\prod_{i \in I} V_i$ defined by $c_i = a_i + b_i, d_i = \lambda a_i$ for all $i \in I$.

independent if no non-empty finite subset of A is linearly dependent, i.e. if $n \geq 1$ and $\sum_{i=1}^n \lambda_i a_i = 0$ for distinct elements $a_1, \dots, a_n \in A$ imply $\lambda_i = 0$ for $i = 1, \dots, n$. A *basis* of an F -vector space V is a linearly independent set which spans V .

Lemma 28.5: If V is an F -vector space, and $v_1, \dots, v_n \in V$ for $n \geq 1$, then any $n + 1$ (or more) vectors in $\text{span}(v_1, \dots, v_n)$ are linearly dependent.

Proof: One first checks the case $n = 1$, i.e. one considers two vectors, $w_1 = \alpha v_1, w_2 = \beta v_1$ for some $\alpha, \beta \in F$: since $\beta w_1 - \alpha w_2 = 0$, it proves linear dependence if α or β is non-zero, but if $\alpha = \beta = 0$ then $w_1 = w_2 = 0$ and any of the two vectors is linearly dependent (since $1 w_j = w_j = 0$).

One proves the general case by induction on n : one assumes that $n \geq 2$ and that the result is proved for a number of vectors $\leq n - 1$, and one chooses w_1, \dots, w_{n+1} which are linear combinations of v_1, \dots, v_n , i.e. one writes $w_j = \sum_{i=1}^n \lambda_{j,i} v_i$ for some $\lambda_{j,i} \in F, i = 1, \dots, n, j = 1, \dots, n + 1$; if all $\lambda_{j,n}$ are 0, then $\text{span}(v_1, \dots, v_n) = \text{span}(v_1, \dots, v_{n-1})$, and the induction hypothesis applies; if $\lambda_{j_0,n} \neq 0$, then for $j \neq j_0$ one considers the vectors $z_j = w_j - \lambda_{j_0,n}^{-1} \lambda_{j,n} w_{j_0}$ for $j \neq j_0$, which are linear combinations of v_1, \dots, v_{n-1} , hence the induction hypothesis applies and there exist $\mu_j \in F$ for $j \neq j_0$, not all equal to 0, and such that $\sum_{j \neq j_0} \mu_j z_j = 0$, so that it means $\sum_j \mu_j w_j = 0$ if one defines $\mu_{j_0} = -\sum_{j \neq j_0} \lambda_{j_0,n}^{-1} \lambda_{j,n} \mu_j$, and since not all μ_j are 0 the w_j are linearly dependent.

Definition 28.6: An F -vector space V is *finite-dimensional* if it is generated by finitely many elements, and its *dimension* is the number of elements in a basis (indeed independent of the basis by Lemma 28.5).

Remark 28.7: Every F -vector space V has a basis, because a basis is a maximal family of linearly independent vectors $\{e_i \mid i \in I\}$, since if $W = \text{span}(e_i, i \in I)$ was different from V , adding to the family $e_i, i \in I$ any element in $V \setminus W$ would contradict the maximality; then such a maximal family exists by a simple application of “Zorn’s lemma”. If $f_j, j \in J$ is another basis, then I and J have the same cardinal, even in the case where I (and then J by Lemma 28.5) is infinite: for $i \in I$, e_i can be expressed as a linear combination of the f_j , with non-zero coefficient for $j \in A(i)$, but since $i' \neq i$ could have $A(i') = A(i)$, one puts a total order on I (for example a well order by Zermelo’s theorem), and one denotes $\alpha(i)$ the order of i in the set $A^{-1}(A(i))$ of indices i' having the same image than i (noticing that this set is finite and has a number of element at most that of $A(i)$ by Lemma 28.5); then the mapping $i \mapsto (\alpha(i), A(i))$ is injective from I into $\mathbb{N} \times \mathcal{P}_{\text{finite}}(J)$, where $\mathcal{P}_{\text{finite}}(J)$ denotes the set of finite subsets of J , and since for J infinite $\mathcal{P}_{\text{finite}}(J)$ has the same cardinal than J , and $\mathbb{N} \times J$ has the same cardinal than J , one deduces that $\text{cardinal}(I) \leq \text{cardinal}(J)$; reversing the roles gives $\text{cardinal}(J) \leq \text{cardinal}(I)$, hence $\text{cardinal}(J) = \text{cardinal}(I)$ by the Schröder–Bernstein theorem.^{4,5}

Definition 28.8: The *prime subfield* F_0 of a field F is the subfield generated by 0 and 1. If F has *characteristic* 0, the prime subfield is isomorphic to \mathbb{Q} , and if F has finite characteristic, necessarily a prime p , then the prime subfield is isomorphic to \mathbb{Z}_p .

If E is a subfield of F , one says that F is an *extension field* of E , or that F/E is a *field extension*. F is an E -vector space, whose dimension is denoted $[F:E]$, and F is called a *finite-dimensional extension* (or a *finite extension*) if $[F:E] < \infty$, and an *infinite-dimensional extension* (or *infinite extension*), if $[F:E] = \infty$.

Lemma 28.9: If F is a finite field, then $|F| = p^k$ for a prime p (the characteristic of F) and a positive integer k .

Proof: Let F_0 be the prime subfield of F , isomorphic to \mathbb{Z}_p for the characteristic p of F . Then F is an F_0 -vector space, necessarily of finite dimension k , so that F is isomorphic to F_0^k as F_0 -vector spaces.

Remark 28.10: It can be shown that, for each prime p and each $k \geq 1$, there is only one finite field with $q = p^k$ elements, up to isomorphism (as fields), and one denotes it F_q .

⁴ Friedrich Wilhelm Karl Ernst SCHRÖDER, German mathematician, 1841–1902. He worked in Darmstadt, and in Karlsruhe, Germany. The Schröder–Bernstein theorem is partly named after him (CANTOR stated it without giving a proof, which BERNSTEIN provided in 1898, and SCHRÖDER obtained it independently the same year).

⁵ Felix BERNSTEIN, German mathematician, 1878–1956. He worked at Georg-August-Universität, Göttingen, Germany. The Schröder–Bernstein theorem is partly named after him (CANTOR stated it without giving a proof, which BERNSTEIN provided in 1898, and SCHRÖDER obtained it independently the same year).