

21-238, Math Studies Algebra 2, Department of Mathematical Sciences, Carnegie Mellon University
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Lemma 32.1: If a field extension F of E is finite and normal, it is a splitting field extension for some $f \in E[x]$.

Proof: If $[F:E] = m+1$ and $1, a_1, \dots, a_m$ is a basis of F as an E -vector space, let $P_{a_1}, \dots, P_{a_m} \in E[x]$ be the monic irreducible polynomials (which split over F) associated to a_1, \dots, a_m , and define $f = P_{a_1} \cdots P_{a_m}$. Then $f \in E[x]$ splits over F , and its roots generate F (since they contain $\{a_1, \dots, a_m\}$), so that F is a splitting field extension for f over E .

Lemma 32.2: If F is a splitting field extension for $f \in E[x]$ over E , then it is a normal extension.

Proof: If $\deg(f) = d$, then one has $[F:E] \leq d!$. For $a \in F$, let $P_a \in E[x]$ be its associated monic irreducible polynomial, and let \tilde{F} be a splitting field extension for P_a over F ; if one shows that $\tilde{F} = F$, it implies that P_a splits over F , and since a is arbitrary, then F is a normal extension.

Since \tilde{F} is generated by the roots of P_a , one must show that the roots of P_a belong to F . Let $b \in \tilde{F}$ with $P_a(b) = 0$, so that P_a is the monic irreducible polynomial associated to b , and then $E(a)$ and $E(b)$ satisfy $[E(a):E] = [E(b):E] = \deg(P_a)$, and $E(b)$ is isomorphic to $E(a)$.¹ Then, one observes that $F(b)$ is a splitting field extension for f over $E(b)$,² but F is also a splitting field extension for f over $E(a)$,³ and by the uniqueness of splitting field extensions (up to isomorphism) one has $[F(b):E(b)] = [F:E(a)]$; from $[F(b):E] = [F(b):F][F:E(a)][E(a):E]$ and $[F(b):E] = [F(b):E(b)][E(b):E]$, one deduces that $[F(b):F] = 1$, so that $b \in F$.

Lemma 32.3: Let G be a group and let F be a field. Then, the characters of G in F form a F -linearly independent set in the F -vector space F^G of all functions from G into F .

Proof: One assumes that a F -linearly dependent set of characters exists, and one chooses one with the minimum number n of elements, and $n > 1$ since a character cannot be 0, because it maps $e \in G$ onto 1: one has $\sum_{i=1}^n \lambda_i \varphi_i = 0$, i.e. $\sum_{i=1}^n \lambda_i \varphi_i(g) = 0$ for all $g \in G$, with distinct characters $\varphi_1, \dots, \varphi_n$, and none of the $\lambda_i \in F$ is 0. Since $\varphi_n \neq \varphi_1$, there exists $h \in G$ such that $\varphi_n(h) \neq \varphi_1(h)$, and then $0 = \sum_{i=1}^n \lambda_i \varphi_i(hg) = \sum_{i=1}^n \lambda_i \varphi_i(h) \varphi_i(g)$, so that by subtracting $\varphi_n(h) \sum_{i=1}^n \lambda_i \varphi_i(g) = 0$ one obtains $\sum_{i=1}^{n-1} \lambda_i (\varphi_i(h) - \varphi_n(h)) \varphi_i(g) = 0$ for all $g \in G$: it means that $\sum_{i=1}^{n-1} \mu_i \varphi_i = 0$ with $\mu_i = \lambda_i (\varphi_i(h) - \varphi_n(h))$ for $i = 1, \dots, n-1$, and $\mu_1 \neq 0$, contradicting the minimality of n .

Lemma 32.4: For a field F , $\text{Aut}(F)$ is an F -linearly independent set of F^F .

Proof: Each element of $\text{Aut}(F)$, when restricted to F^* is a character of $G = F^*$ in F , and one applies Lemma 32.3.

Lemma 32.5: If F is a finite field extension of E , then $|\text{Aut}_E(F)| \leq [F:E]$.

Proof: If $[F:E] = n$, F is an E -vector space of dimension n , and one chooses a basis f_1, \dots, f_n of F . Suppose that $\sigma_j, j = 1, \dots, n+1$ are distinct elements of $\text{Aut}_E(F)$, and let $w_j = (\sigma_j(f_1), \dots, \sigma_j(f_n)) \in F^n$, so that the elements w_1, \dots, w_{n+1} are F -linearly dependent (since the dimension of F^n is n), and $\sum_{j=1}^{n+1} \lambda_j w_j = 0$ (i.e. $\sum_{j=1}^{n+1} \lambda_j \sigma_j(f_i) = 0$ for $i = 1, \dots, n$), not all λ_j being 0. By E -linearity, one has $\sum_{j=1}^{n+1} \lambda_j \sigma_j(f) = 0$ for all $f \in F$,⁴ and since it holds for all $f \in F$, one has $\sum_{j=1}^{n+1} \lambda_j \sigma_j = 0$, which contradicts Lemma 32.4.

¹ If $d = \deg(P_a)$, the isomorphism sends $c_0 + c_1 a + \dots + c_{d-1} a^{d-1}$ to $c_0 + c_1 b + \dots + c_{d-1} b^{d-1}$ for all $c_0, c_1, \dots, c_{d-1} \in E$.

² Because f splits in F , it splits in $F(b)$, and the smallest field containing the roots of f and $E(b)$ must contain the roots of f and E , so that it contains F (since F is a splitting field extension for f over E), and then it must contain $F(b)$ because it contains b .

³ A splitting field extension F for f over E is a splitting field extension for f over any intermediate field K , since f splits in F , and a field containing the roots of f and K contains the roots of f and E , hence F .

⁴ For $f \in F$, one has $f = \sum_{i=1}^n e_i f_i$ for some $e_1, \dots, e_n \in E$, and it implies that $\sum_{j=1}^{n+1} \lambda_j \sigma_j(f) = \sum_{j=1}^{n+1} \sum_{i=1}^n \lambda_j \sigma_j(e_i f_i) = \sum_{j=1}^{n+1} \sum_{i=1}^n \lambda_j \sigma_j(e_i) \sigma_j(f_i)$ since the σ_i are homomorphisms, which is equal to $\sum_{j=1}^{n+1} \sum_{i=1}^n \lambda_j e_i \sigma_j(f_i)$ since the σ_i fix E , i.e. $= \sum_{i=1}^n e_i (\sum_{j=1}^{n+1} \lambda_j \sigma_j(f_i)) = 0$.

Lemma 32.6: If F is a field and H is a finite subgroup of $\text{Aut}(F)$, then the field $E = \text{Fix}(H)$ satisfies $[F:E] = |H|$ and $\text{Aut}_E(F) = H$ (so that F is a Galois extension of E).

Proof: It suffices to show that $[F:E] \leq |H|$, since $H \leq \text{Aut}_E(F)$ implies $|H| \leq |\text{Aut}_E(F)|$, which is $\leq [F:E]$ because F is a finite extension of E (Lemma 32.5), and equality must hold.

Let $H = \{\sigma_1, \dots, \sigma_m\}$ with $\sigma_1 = \text{id}$; the case $m = 1$ is true, since $E = F$ in this case. One assumes that $m > 1$ and that one can find $f_1, \dots, f_{m+1} \in F$ which are E -linearly independent, and one sets $v_i = (\sigma_1(f_i), \dots, \sigma_m(f_i)) \in F^m$ for $i = 1, \dots, m+1$, which are then F -linearly dependent (since F^m has dimension m), and distinct (because the first entry of v_i is f_i). Let N be minimal such that there is a F -linear dependence among N of the v_i , and using a permutation on $\{1, \dots, m+1\}$ one may assume that $\sum_{i=1}^N \lambda_i v_i = 0$ with all λ_i non-zero, and (by multiplying by λ_1^{-1}) one may assume that $\lambda_1 = 1$. Since the first entry of v_i is f_i , and the f_i are E -linearly independent, one deduces that some λ_i does not belong to E , and by a permutation on $\{2, \dots, N\}$ one may assume that $\lambda_N \notin E$, and by a permutation on $\{\sigma_2, \dots, \sigma_m\}$ one may assume that $\sigma_m(\lambda_N) \neq \lambda_N$ (because of the definition of E , that elements in F fixed by $\sigma_1, \dots, \sigma_m$ belong to E). Then, $\sum_{i=1}^N \lambda_i v_i = 0$ means $\sum_{i=1}^N \lambda_i \sigma_j(f_i) = 0$ for $j = 1, \dots, m$, and applying σ_m gives $\sum_{i=1}^N \sigma_m(\lambda_i) \sigma_m(\sigma_j(f_i)) = 0$ for $j = 1, \dots, m$, but since H is a group, the $\sigma_m \circ \sigma_j$ run through H and it is then equivalent to $\sum_{i=1}^N \sigma_m(\lambda_i) \sigma_j(f_i) = 0$ for $j = 1, \dots, m$, i.e. $\sum_{i=1}^N \sigma_m(\lambda_i) v_i = 0$; after subtracting and using $\sigma_m(\lambda_1) = \lambda_1$ and $\sigma_m(\lambda_N) \neq \lambda_N$, one finds a shorter non trivial F -dependence among the v_i , contradicting the minimality of N .