

33- Monday November 21, 2011.

**Lemma 33.1:** Splitting fields are unique up to isomorphism. More precisely, if  $\sigma$  is an isomorphism from  $E_1$  onto  $E_2$ , if  $F_1$  is a splitting field extension for  $P_1 \in E_1[x]$  over  $E_1$ , and  $F_2$  is a splitting field extension for  $P_2 = \sigma P_1 \in E_2[x]$  over  $E_2$ , then there exists an isomorphism  $\tau$  from  $F_1$  onto  $F_2$  extending  $\sigma$ .<sup>1</sup> It follows that  $[F_1 : E_1] = [F_2 : E_2]$ . If  $E_2 = E_1$  and  $\sigma = id_{E_1}$ , then the isomorphism  $\tau$  fixes  $E_1$ . If  $F_2 = F_1$ ,  $\tau$  is an automorphism of  $F_1$  which moves  $E_1$  to  $E_2$ .

*Proof:* By induction on the dimension  $[F_1 : E_1]$ .<sup>2</sup> If  $[F_1 : E_1] = 1$ , then  $F_1 = E_1$  and  $P_1$  splits over  $E_1$ , i.e.  $P_1 = c \prod_{i=1}^d (x - a_i)$  with  $c \in E_1^*$ ,  $a_1, \dots, a_d \in E_1$ , so that  $P_2 = \sigma P_1 = \sigma(c) \prod_{i=1}^d (x - \sigma(a_i))$  with  $\sigma(c) \in E_2^*$ ,  $\sigma(a_1), \dots, \sigma(a_d) \in E_2$ , i.e.  $P_2$  splits over  $E_2$ , hence  $F_2 = E_2$ .

If  $[F_1 : E_1] > 1$ , let  $a \in F_1 \setminus E_1$  be a root of  $P_1$  (which exists, since  $F_1$  is generated by these roots), so that  $a$  is algebraic over  $E_1$  (since  $P_1(a) = 0$ ), and let  $P \in E_1[x]$  be the monic irreducible polynomial with  $P(a) = 0$ , so that  $P$  divides  $P_1$ ; one then defines  $Q = \sigma P$ . Since  $P$  divides  $P_1$ , one deduces that  $Q$  divides  $P_2$ , so that  $Q$  splits over  $F_2$ , and there exists  $a' \in F_2$  (among the roots of  $P_2$ ) such that  $Q(a') = 0$ , hence the monic irreducible polynomial in  $E_2[x]$  associated to  $a'$  divides  $Q$ ; then, there exists an isomorphism  $\rho$  from  $E_1(a)$  onto  $E_2(a')$  extending  $\sigma$  and such that  $\rho(a) = a'$  by Lemma 32.2. Then, if  $P_1 = (x - a)Q_1$  and  $P_2 = (x - a')Q_2$ , one has  $Q_2 = \sigma Q_1$ , and one checks easily that  $F_1$  is a splitting field extension for  $Q_1$  over  $E_1(a)$ ,<sup>3</sup> and that  $F_2$  is a splitting field extension for  $P_2$  over  $E_2(a')$ , and one applies the induction hypothesis for constructing an isomorphism  $\tau$ , since  $[F_1 : E_1] = [F_1 : E_1(a)][E_1(a) : E_1]$  and  $[E_1(a) : E_1] > 1$  gives  $[F_1 : E_1(a)] < [F_1 : E_1]$ .

**Lemma 33.2:** For any prime  $p$  and any  $k \geq 1$ , two fields of size  $q = p^k$  are isomorphic.

*Proof:* If  $F$  is a finite field of characteristic  $p$ , and  $F_0$  is its prime subfield, isomorphic to  $\mathbb{Z}_p$ , then  $|F| = q = p^k$  means  $[F : F_0] = k$ . Since  $F^*$  is a finite multiplicative group of order  $q - 1$ , one has  $a^{q-1} = 1$  for all  $a \in F^*$ , so that  $a^q = a$  for all  $a \in F$ . Since  $x^q - x$  is a monic polynomial of degree  $q$  and one knows  $q$  distinct roots, one has  $x^q - x = \prod_{a \in F} (x - a)$ , and  $F$  is then a splitting field extension for  $x^q - x$  over  $F_0$ , since the polynomial splits over  $F$  and its roots certainly generate  $F$ , because every element of  $F$  is a root. Since splitting field extensions are unique up to isomorphism by Lemma 33.1, two such fields are isomorphic.

**Lemma 33.3:** Let  $D$  be any field of characteristic  $p$ , with  $D_0$  as prime subfield ( $\simeq \mathbb{Z}_p$ ). Then, the mapping  $\varphi_p$ , defined by  $\varphi_p(a) = a^p$  for all  $a \in D$ , is an *injective* ring-homomorphism from  $D$  into itself. If  $D$  is finite, it is an automorphism, the *Frobenius automorphism*,<sup>4</sup> with *fixed field*  $D_0$ .<sup>5</sup>

*Proof:* Since  $\varphi_p(a + b) = (a + b)^p = a^p + (\sum_{j=1}^{p-1} \binom{p}{j} a^j b^{p-j}) + b^p$  and the binomial coefficient  $\binom{p}{i}$  is a multiple of  $p$  except for  $i = 0$  and  $i = p$  because  $p$  is prime, the right side is  $a^p + b^p$ , i.e.  $\varphi_p(a) + \varphi_p(b)$ ; then  $\varphi_p(ab) = (ab)^p = a^p b^p = \varphi_p(a) \varphi_p(b)$ , so that  $\varphi_p$  is a ring-homomorphism.

If  $\varphi_p(a) = \varphi_p(b)$ , then  $\varphi_p(b - a) = \varphi_p(b) + \varphi_p(-1) \varphi_p(a) = \varphi_p(b) - \varphi_p(a) = 0$  (since  $p = 2$  implies  $+1 = -1$ ), and  $(b - a)^p = 0$  implies  $b = a$ . If  $D$  is finite, any injective mapping from  $D$  into itself is also surjective. By Fermat's theorem,  $j^{p-1} = 1 \pmod{p}$  for  $j = 1, \dots, p - 1$ , so that  $a^{p-1} = 1$  for all  $a \in D_0^*$ , hence  $a^p = a$  for all  $a \in D_0$ , i.e.  $\varphi_p(a) = a$ ; since  $x^p - x$  has degree  $p$  and one already knows  $p$  distinct roots, one knows them all, and  $\varphi_p(x) = x$  implies  $x \in D_0$ .

<sup>1</sup> This isomorphism  $\tau$  is not unique in general, as seen from the proof, where one chooses a root of  $Q$ .

<sup>2</sup> One has  $[F_1 : E_1] < \infty$ : if  $a_1, \dots, a_d$  are the roots of  $P_1$  in  $F_1$ , then each  $a_j$  is algebraic over  $E_1$  with an order  $\leq d$ , so that  $[F_1 : E_1]$  is at most the product of the orders, giving an upper bound  $d^d$ . Once the result is proved, it is at most  $d!$  since a splitting field extension was constructed satisfying such a bound.

<sup>3</sup> Because  $Q_1$  splits over  $F_1$ , and the smallest field containing  $E_1(a)$  and all the roots of  $Q_1$  contains  $E_1$  and all the roots of  $P_1$ , and is then  $F_1$ .

<sup>4</sup> Ferdinand Georg FROBENIUS, German mathematician, 1949–1918. He worked in Berlin, Germany.

<sup>5</sup> The fixed points of an endomorphism  $\psi$  of a ring  $R$  is a subring of  $R$ , since  $\psi(x) = x$  and  $\psi(y) = y$  imply  $\psi(x + y) = \psi(x) + \psi(y) = x + y$ , so that  $\psi(0) = 0$  and  $\psi(-x) = -\psi(x)$ , and  $\psi(xy) = \psi(x)\psi(y) = xy$ . The fixed points of an automorphism  $\psi$  of a field  $K$  is a subfield of  $K$ , since  $\psi(x) = \psi(x)\psi(1)$  for all  $x \in K$  implies  $\psi(1) = 1$ , and  $x^{-1}x = 1$  for  $x \neq 0$  implies  $(\psi(x))^{-1}\psi(x) = 1$ , so that  $\psi(x) = x \neq 0$  implies  $\psi(x^{-1}) = x^{-1}$ .

**Lemma 33.4:** Let  $E = \mathbb{Z}_p$ , and for  $k \geq 1$  let  $F$  be a splitting field extension for  $Q = x^{p^k} - x$  over  $E$ . Then  $|F| = p^k$ .

*Proof:* Since  $Q' = -1$ , there are no multiple roots in  $F$ , and since  $[F:E] < \infty$ ,  $F$  is finite and the Frobenius mapping  $\varphi_p$  is an automorphism by Lemma 33.3, fixing  $E$  by Fermat's theorem, i.e.  $\varphi_p \in \text{Aut}_E(F)$ , hence  $\varphi_p^k \in \text{Aut}_E(F)$ , and  $\varphi_p^k(x) = x^{p^k}$  for all  $x$  (because product means composition), the fixed field of  $\varphi_p^k$  is then exactly the roots of  $Q$ , which is then the smallest field containing  $E$  and the roots of  $Q$ , i.e.  $F$ , and this shows that  $|F| = p^k$ .

**Remark 33.5:** It is common to call  $F_q$  a field of order  $q$ , with  $q$  a power of a prime  $p$ , so that  $F_p$  is then isomorphic to  $\mathbb{Z}_p$ .

This is a third different meaning for the notation  $F_n$ , but it denotes now a finite field (only used if  $n = p^k$  for a prime  $p$ ), while the first two denoted integers, the  $n$ th Fibonacci number (with  $F_0 = F_1 = 1$  and  $F_{n+2} = F_n + F_{n+1}$  for all  $n \geq 0$ ), or the  $n$ th Fermat “prime” ( $F_n = 2^{2^n} + 1$ , which is only known to be prime for  $0 \leq n \leq 4$ ).

**Lemma 33.6:** If  $E$  is any field, and  $G$  is a *finite* subgroup of the multiplicative group  $E^* = E \setminus \{0\}$ , then  $G$  is cyclic.

*Proof:* Because  $G$  is finite, every element has a finite order; let  $\ell$  be the *lcm* (least common multiple) of the orders of the elements of  $G$ , so that  $g^\ell = 1$  for all  $g \in G$ . By the structure theorem for finite Abelian groups, there is an element  $g_0$  of order  $\ell$ ,<sup>6</sup> so that  $G$  has at least  $\ell$  elements, but on the other hand  $x^\ell = 1$  has at most  $\ell$  roots, so that  $G$  has exactly  $\ell$  elements and is generated by  $g_0$ .

**Definition 33.7:** If  $E$  is a field and  $F$  is a finite field extension of  $E$ , with  $[F:E] = k$ , a *power basis* is a basis of  $F$  (as an  $E$ -vector space) which has the form  $\{1, a, \dots, a^{k-1}\}$  for an element  $a \in F$ .

**Remark 33.8:** Using Lemma 33.6, we shall prove that a power basis exists for any finite field  $F_q$  (if  $E$  is its prime subfield, isomorphic to  $\mathbb{Z}_p$  if  $q = p^k$ ).

From a practical point of view, finite fields are important in coding theory and in cryptography, and a power basis is often used, but implicitly as a root of an irreducible polynomial, so that one encounters the question of irreducible polynomial in  $\mathbb{Z}_p[x]$ , for example. In case of  $\mathbb{Z}_2$ , I found written that the irreducible polynomials are  $x^2 + x + 1$  if  $k = 2$ ,  $x^3 + x + 1$  or  $x^3 + x^2 + 1$  if  $k = 3$ ,  $x^4 + x + 1$  or  $x^4 + x^3 + 1$  if  $k = 4$ , and that some irreducible polynomials for  $k \geq 5$  are  $x^5 + x^2 + 1$  if  $k = 5$ ,  $x^6 + x + 1$  if  $k = 6$ ,  $x^7 + x + 1$  if  $k = 7$ ,  $x^8 + x^4 + x^3 + x^2 + 1$  if  $k = 8$ , so that there are various practical aspects to consider, like how to check that any of these given polynomials is indeed irreducible, or how to find an irreducible polynomial in a situation which is not listed in the books.

The values used in coding theory are reasonable low for  $p$  and for  $k$ , and the study of *cyclotomic polynomials* will be of great help, but the values of  $p$  used in cryptography have a few hundred digits, and the questions for such cases are then quite different.

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<sup>6</sup> Directly, using additive notation, if in an Abelian group  $H$  an element  $a$  of order  $n$ , and if  $m$  divides  $n$ , then  $b = \frac{n}{m}a$  has order  $m$ . If  $(q, r) = 1$  and an element  $g$  has order  $q$  and another element  $h$  has order  $r$ , then the cyclic group generated by  $g$  and the cyclic group generated by  $h$  only intersect at 0, and  $g + h$  has order  $qr$ .