

**21-238, Math Studies Algebra 2**, Department of Mathematical Sciences, Carnegie Mellon University  
**Spring 2012:** Monday, Wednesday, Friday, 10:30 am, Doherty Hall 1211.  
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**Remark 13.1:** Since a Lie group  $G$  is a differentiable manifold, one can define the notion of a tangent space  $T_g G$  at  $g \in G$ . In a general differentiable manifold, one cannot compare the tangent spaces at different points, but in a Lie group, one can relate the tangent spaces at different points by using multiplication: if  $R_h$  is the mapping of multiplication by  $h$  on the right, i.e.  $g \mapsto gh$  for  $g \in G$ , then the differential  $DR_h$  maps the tangent space  $T_g G$  into  $T_{gh} G$  for every  $g \in G$ , and since  $R_h$  and  $R_{h^{-1}}$  are inverses, one deduces that  $DR_h$  provides an isomorphism from  $T_g G$  onto  $T_{gh} G$ , with inverse  $DR_{h^{-1}}$ . Of course, the same remark applies with  $L_h$ , the mapping of multiplication by  $h$  on the left, i.e.  $g \mapsto hg$ , and  $DL_h$  provides an isomorphism from  $T_g G$  onto  $T_{hg} G$ , with inverse  $DL_{h^{-1}}$ . Hence  $T_g G$  is isomorphic to  $T_e G$  by either  $DL_g$  or  $DR_g$ .

This permits to define the *exponential map*. For a tangent vector  $v \in T_e G$ , one has  $DL_g v \in T_g G$ , and one may solve a differential equation corresponding to this (tangent) vector field, i.e. find  $X(t) \in G$  for  $t$  in an open interval  $I \subset \mathbb{R}$  containing 0, such that  $DX(t) = DL_{X(t)} v$  for  $t \in I$  and  $X(0) = g_0 \in G$ ; the unique solution (defined in a maximal interval depending upon  $v$  and  $g_0$ ) gives the exponential map  $X(t) = \exp(t; v)X(0)$ , and with natural restrictions on the domains of definition, one has  $\exp(s; v) \circ \exp(t; v) = \exp(s+t; v)$  and  $\exp(\lambda t; v) = \exp(t; \lambda v)$ .

Although it is true in particular cases, like for  $S\mathbb{O}(n)$ , it is not always the case that every point in the connected component of  $e$  in  $G$  can be written as  $\exp(t; v)e$  for some  $t \in \mathbb{R}$  and  $v \in T_e G$ . However, every point in the connected component of  $e$  in  $G$  can be written as  $\exp(t_m; v_m) \circ \cdots \circ \exp(t_1; v_1)e$  for some  $m \geq 1$  and  $t_1, \dots, t_m \in \mathbb{R}$  and  $v_1, \dots, v_m \in T_e G$ .

**Remark 13.2:** An  $E$ -vector space  $V$  is called an *algebra* if it has a multiplication  $(v, w) \mapsto v \cdot w \in V$  which is a bilinear mapping (so that  $(\lambda v) \cdot w = v \cdot (\lambda w) = \lambda(v \cdot w)$  for all  $v, w \in V, \lambda \in E$ ); usually, multiplication is asked to be associative. For a Lie group  $G$ , the tangent space  $\mathcal{G} = T_e G$  is endowed with a bilinear mapping of a different kind, for which one prefers the notation  $[v, w]$ , called a *Lie bracket*, which is a skew-symmetric bilinear mapping (i.e.  $[w, v] = -[v, w]$  for all  $v, w \in \mathcal{G}$ ) satisfying the *Jacobi identity*, i.e.  $[a, [b, c]] + [b, [c, a]] + [c, [a, b]] = 0$  for all  $a, b, c \in \mathcal{G}$ , and such a structure is called a *Lie algebra*.

In the case of  $G = S\mathbb{O}(n)$ ,  $\mathcal{G}$  is the space of skew-symmetric  $n \times n$  matrices with entries in  $\mathbb{R}$ , and  $[v, w] = vw - wv$ , and Jacobi identity actually holds for elements of  $L(V, V)$  (with  $[v, w] = vw - wv$ ) for any  $E$ -vector space, by developing the expression, which gives twelve terms and each of the six permutations of  $a, b, c$  occur twice, one time with a + sign, and one time with a - sign.

For defining the Lie bracket on  $\mathcal{G}$ , one considers the mappings  $\exp(s; v)$  and  $\exp(t; w)$  for  $v, w \in \mathcal{G}$  (which map  $G$  into itself) and one considers the commutator  $\exp(-t; w) \circ \exp(-s; v) \circ \exp(t; w) \circ \exp(s; v)$ : for  $s = t = 0$ , it maps  $e$  into  $e$ , and for  $s$  and  $t$  small, it looks like  $\exp(st; z)$  for a vector  $z \in \mathcal{G}$ , which depends upon  $v, w$  in the correct bilinear way, so that one defines  $[v, w] = z$ .

**Remark 13.3:** Since a Lie group is a manifold, there are neighbourhoods of a point which look like balls in  $\mathbb{R}^d$ , and the connected component of any point  $g$  is the set of points  $h$  which can be reached by a (continuous) path,<sup>1</sup> i.e. a continuous mapping  $\psi$  from  $[0, 1]$  into  $G$  such that  $\psi(0) = g$  and  $\psi(1) = h$ . If  $G_0$  is the connected component of  $e$  in a Lie group  $G$ , then  $G_0$  is itself a Lie group, since the product maps  $G_0 \times G_0$  into  $G_0$ .<sup>2</sup>

It can be shown that the Lie algebra structure of  $\mathcal{G}$  permits to reconstruct what  $G_0$  is. For any  $g \in G \setminus G_0$ , the connected component of  $g$  is  $gG_0$ , but if  $H$  is any discrete group, then  $H \times G_0$  is a Lie group, so that the knowledge of  $G_0$  cannot give information about what the rest of the Lie group is.

**Remark 13.4:** That the group of rotations  $S\mathbb{O}(3)$  is connected does not tell much about its topology, and since  $S\mathbb{O}(3)$  is a three-dimensional manifold embedded in  $\mathbb{R}^9$ , it is not so easy to “see” some of its properties.

<sup>1</sup> In a general topological space  $X$ , the *connected component* of  $a \in X$  is the smallest subset which is both open and closed and contains  $a$ ;  $X$  is said to be *connected* if the only subsets of  $X$  which are both open and closed are  $\emptyset$  and  $X$ .

<sup>2</sup> If  $\psi_1$  is a path from  $e$  to  $g_1$  and  $\psi_2$  is a path from  $e$  to  $g_2$ , then  $\psi_1 \psi_2$  is a path from  $e$  to  $g_1 g_2$ , because multiplication is continuous.

In a (connected) topological space  $X$ , a path  $\psi_0$  from  $a$  to  $b$  is said to be *homotopic* to a path  $\psi_1$  from  $a$  to  $b$  if there exists a *homotopy* from  $\psi_0$  to  $\psi_1$ , i.e. a continuous mapping  $\Psi$  from  $[0, 1] \times [0, 1]$  such that  $\Psi(x, 0) = \psi_0(x)$ ,  $\Psi(x, 1) = \psi_1(x)$  for all  $x \in [0, 1]$ ,  $\Psi(0, y) = a$ ,  $\Psi(1, y) = b$  for all  $y \in [0, 1]$ .  $X$  is said to be *simply connected* if for all  $a, b \in X$  any two paths from  $a$  to  $b$  are homotopic; said otherwise, any path from  $a$  to  $a$  (called a *loop*) can be deformed to a constant path (i.e.  $\psi(t) = a$  for all  $t \in [0, 1]$ ) by a *homotopy*.

A (non-empty) subset  $C$  of an  $R$ -vector space is *convex* if for all  $c_1, c_2 \in C$  the segment  $[c_1 c_2]$  belongs to  $C$ , i.e.  $(1-t)c_1 = tc_2 \in C$  for all  $t \in [0, 1]$ ; any convex set of  $\mathbb{R}^d$  is simply connected.

The sphere  $S^{n-1} \subset \mathbb{R}^n$  is simply connected for all  $n \geq 3$ , but the circle  $S^1 \subset \mathbb{R}^2$ , the torus  $\mathbb{T}^2 \subset \mathbb{R}^3$  (isomorphic to  $S^1 \times S^1$ ), and  $S\mathbb{O}(3)$  are not simply connected, in different ways.

**Remark 13.5:** If  $\psi_1$  and  $\psi_2$  are loops from  $a$  to  $a$ , one creates a new loop  $\psi_3 = \psi_1 \star \psi_2$  by *concatenation* i.e. going through the first loop and then through the second, by considering (for example)  $\psi_3(t) = \psi_1(2t)$  for  $t \in [0, \frac{1}{2}]$  and  $\psi_3(t) = \psi_2(2t-1)$  for  $t \in [\frac{1}{2}, 1]$ . One then observes that for three loops  $\ell_1, \ell_2, \ell_3$  from  $a$  to  $a$ , the loop  $\ell_1 \star (\ell_2 \star \ell_3)$  is homotopic to the loop  $(\ell_1 \star \ell_2) \star \ell_3$ , and since being homotopic is an equivalence relation for loops from  $a$  to  $a$ , which is compatible with concatenation, one deduces that there is an associative operation on equivalence classes of loop, which has an identity, the constant loop at  $a$ . One then observes that each loop  $\psi_+$  from  $a$  to  $a$  has an inverse  $\psi_-$  obtained by going through the loop backwards, i.e.  $\psi_-(t) = \psi_+(1-t)$  for  $t \in [0, 1]$ , since both  $\psi_+ \star \psi_-$  and  $\psi_- \star \psi_+$  are easily seen to be homotopic to the constant loop at  $a$ . One then has defined a structure of group, the *first homotopy group* of  $X$ , denoted  $\pi_1(X, a)$ ; if  $X$  is connected it is easy to see that the construction with another base point  $b$  gives  $\pi_1(X, b)$  isomorphic to  $\pi_1(X, a)$ .  $X$  is simply connected if and only if  $\pi_1(X, a)$  is the trivial group  $\{e\}$ .

**Remark 13.6:** A *covering map* of a topological space  $X$  is a continuous surjective mapping  $p$  from a topological space  $C$  onto  $X$  such that each  $a \in X$  has a neighbourhood  $U$  which is evenly covered by  $p$ , i.e.  $p^{-1}(U)$  is a disjoint union of open sets in  $C$ , each of which is homeomorphic to  $U$ ;  $C$  is called a *covering space* of  $X$ . If moreover  $C$  is simply connected, it is called a *universal cover* of  $X$ . If  $X$  is a manifold, it has a universal cover which is a manifold, and if  $\psi$  is a loop from  $a$  to  $a$  in  $X$ , and  $c \in p^{-1}(a)$ , then  $\psi$  lifts into a uniquely defined path from  $c$  to a point  $d \in p^{-1}(a)$  which only depends upon the equivalence class of  $\psi$  in  $\pi_1(X, a)$ .

For  $X = S^1$ , one may take  $C = \mathbb{R}$ , and  $p(t) = (\cos t, \sin t)$ , so that  $\pi_1(S^1, a) \simeq \mathbb{Z}$ . For  $X = \mathbb{T}^2$ , one may take  $C = \mathbb{R}^2$ , and  $\pi_1(\mathbb{T}^2, a) \simeq \mathbb{Z} \times \mathbb{Z}$ .<sup>3</sup>

**Remark 13.7:** For  $X = S\mathbb{O}(3)$ , one considers for  $C$  the unit sphere  $S^3 \subset \mathbb{R}^4$  (which is simply connected), and the projection  $p$  from  $C$  onto  $S\mathbb{O}(3)$  is defined as follows. One identifies  $C$  with the set of quaternions  $U = r + x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$  having unit norm, i.e.  $r^2 + x^2 + y^2 + z^2 = 1$ , so that  $U^{-1} = r - x\mathbf{i} - y\mathbf{j} - z\mathbf{k}$ ; one identifies a vector  $v \in \mathbb{R}^3$  with a quaternion with real part 0, namely  $q_v = v_1\mathbf{i} + v_2\mathbf{j} + v_3\mathbf{k}$ , and one may consider that  $U = \cos \theta + \sin \theta q_u$  for  $\theta \in [0, \pi]$  and a unit vector  $u \in \mathbb{R}^3$ . Then one checks that the conjugation  $U q_v U^{-1}$  corresponds to a vector  $q_w$  and that one goes from  $v$  to  $w$  by a rotation of axis  $u$  and angle  $2\theta$ ,<sup>4</sup> and  $p(U)$  is this rotation. Indeed, using the definition of the product of quaternions (i.e.  $(\alpha + q_v)(\beta + q_w) = \gamma + q_z$  with  $\gamma = \alpha\beta - (v, w)$ , and  $z = \alpha w + \beta v + v \times w$ ) one has  $q_v U^{-1} = q_v (\cos \theta - \sin \theta q_u) = \sin \theta (v, u) + (\cos \theta v - \sin \theta v \times u)$ , and  $U q_v U^{-1} = (\cos \theta + \sin \theta q_u) (\sin \theta (v, u) + (\cos \theta v - \sin \theta v \times u)) = \gamma + q_z$  with  $\gamma = \cos \theta \sin \theta (v, u) - \sin \theta (u, (\cos \theta v - \sin \theta v \times u)) = 0$ , and  $z = \cos \theta (\cos \theta v - \sin \theta v \times u) + \sin \theta (v, u) \sin \theta u + \sin \theta u \times (\cos \theta v - \sin \theta v \times u) = Mv$  for some  $M \in L(\mathbb{R}^3, \mathbb{R}^3)$ ; one checks easily that  $Mu = u$ , so that it remains to see that when  $v$  is orthogonal to  $u$  its image  $Mv$  is obtained by a rotation: without loss of generality, one may then choose an orthonormal basis (while keeping the orientation, so that the cross product of two vectors keeps the same form) such that  $u = e_3$ , and check only the case  $v = e_1$ ; noticing that  $v \times u = e_1 \times e_3 = -e_2$  and  $u \times (v \times u) = -e_3 \times e_2 = e_1$ , one deduces that  $Me_1 = \cos^2 \theta e_1 + \sin \theta \cos \theta e_2 + \sin \theta \cos \theta e_2 - \sin^2 \theta e_1 = \cos 2\theta e_1 + \sin 2\theta e_2$ .

One has  $p(-U) = p(U)$ , so that  $p$  is two-to-one, hence  $\pi_1(S\mathbb{O}(3), a) \simeq \mathbb{Z}_2$ . It shows that on  $S\mathbb{O}(3)$  there is a (non-contractible) loop at  $a$  quite different than the ones existing on  $S^1$  (where one can count the number of turns) or the torus  $\mathbb{T}^2$  (where one can count two number of turns), since if one follows it twice it becomes contractible (i.e. homotopic to the constant loop at  $a$ ).

<sup>3</sup> If  $X = X_1 \times X_2$  and  $a = (a_1, a_2) \in X$ , one has  $\pi_1(X, a) \simeq \pi_1(X_1, a_1) \times \pi_1(X_2, a_2)$ .

<sup>4</sup> Of course,  $u$  is not defined if  $\theta = 0$  or  $\theta = \pi$  (i.e.  $U = \pm 1$ ), but in this case the rotation is the identity.