

**21-238, Math Studies Algebra 2**, Department of Mathematical Sciences, Carnegie Mellon University  
**Spring 2012:** Monday, Wednesday, Friday, 10:30 am, Doherty Hall 1211.  
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**Lemma 35.1:** If  $E$  is a field and  $f \in E[x]$  has no common factor with  $f'$ , i.e. the  $\gcd$  (greatest common divisor) of  $f$  and  $f'$  is 1, then  $f$  is separable.

*Proof:* One has  $f = P_1 \cdots P_k$ , with  $P_1, \dots, P_k$  irreducible in  $E[x]$ , and by Definition 33.5  $f$  is separable if and only if  $P_i$  is separable for  $i = 1, \dots, k$ . If  $P_1$  is not separable (for example), then by Definition 33.2 there exists a field extension  $F$  of  $E$  where  $P_1$  has a repeated root, i.e.  $P = (x - a)^2 Q$  for some  $a \in F$  and  $Q \in F[x]$ ; then  $f = (x - a)^2 R$  with  $R = Q P_2 \cdots P_k \in F[x]$ , and  $f' = (x - a)(2R + (x - a)R')$ , so that  $f$  and  $f'$  have a common factor  $x - a$  in  $F[x]$ , and the  $\gcd$  of  $f$  and  $f'$  in  $F[x]$  has degree  $\geq 1$ , but the Euclidean algorithm for the search of the  $\gcd$  in  $F[x]$  gives a non-zero constant, since it leads to the same computations than the Euclidean algorithm for the search of the  $\gcd$  in  $E[x]$ .

**Definition 35.2:** An *extension by radicals* of a field  $E$  is a field extension  $F$  such that there exist  $E_0 = E \subset E_1 \subset \dots \subset E_k = F$ , where for  $i = 0, \dots, k-1$  one has  $E_{i+1} = E_i(\alpha_i)$  with  $\alpha_i^{n_i} = a_i \in E_i$  (and  $\alpha_i \in E_{i+1} \setminus E_i$ ,  $n_i \geq 2$ ).

A polynomial  $f \in E[x]$  is *solvable by radicals* if (and only if) there exists a splitting field extension  $F_1$  for  $f$  over  $E$ , and a field extension  $F_2$  of  $F_1$  such that  $F_2$  is an extension by radicals of  $E$ .

**Definition 35.3:** If  $E$  is a field, a *primitive  $d$ th root of unity* is an element  $a \in E^*$  which generates a (cyclic) group of order  $d$  consisting of the  $d$  roots of  $x^d - 1 = 0$ .<sup>1</sup>

**Lemma 35.4:** Let  $E$  be a field whose characteristic is either 0 or a prime  $p$  not dividing  $n$ , and let  $F$  be a splitting field extension for  $f = x^n - 1$  over  $E$ . Then,  $F$  is a Galois extension of  $E$ , there exists a primitive  $n$ th root  $\xi$  of 1 in  $F$ , and the Galois group  $\text{Aut}_E(F)$  is Abelian.

*Proof:*  $f' = n x^{n-1}$ , and since  $n$  is invertible,<sup>2</sup> the  $\gcd$  of  $f$  and  $f'$  is 1, and  $f$  is then separable by Lemma 35.1, so that  $F$  is a Galois extension of  $E$ .  $f$  splits in  $F$  with  $n$  distinct roots and one of them is a primitive root, since the  $n$ th roots of unity in  $F$  form a multiplicative subgroup of  $F^*$ , which is cyclic.

If  $\xi$  is a primitive root of unity in  $F$ , and  $\sigma \in \text{Aut}_E(F)$ , the value of  $\sigma(\xi)$  characterizes  $\sigma$ , since  $F = E(\xi)$ , and there exists  $j$  with  $\sigma(\xi) = \xi^j$ ; if  $\tau \in \text{Aut}_E(F)$  with  $\tau(\xi) = \xi^k$ , then  $\sigma \circ \tau(\xi) = \tau \circ \sigma(\xi) = \xi^{jk}$ , so that  $\sigma \tau = \tau \sigma$ .

**Lemma 35.5:** Let  $E$  be a field whose characteristic is either 0 or a prime  $p$  not dividing  $n$ , and let  $F$  be a splitting field extension for  $f = x^n - a$  over  $E$ , with  $a \in E^*$ . Then,  $f$  has  $n$  distinct roots in  $F$ ,  $F$  is a Galois extension of  $E$ , and  $F = E(\alpha, \xi)$ , with  $\alpha^n = a$ , and  $\xi$  is a primitive  $n$ th root of 1 in  $F$ .

Moreover, the Galois group  $G = \text{Aut}_E(F)$  is solvable.

*Proof:* As for Lemma 35.4,  $f' = n x^{n-1}$ , and the  $\gcd$  of  $f$  and  $f'$  is 1, so that  $f$  is separable by Lemma 35.1, and  $F$  is a Galois extension of  $E$ . Since the roots are  $\alpha, \alpha \xi, \dots, \alpha \xi^{n-1}$ , one has  $F = E(\alpha, \alpha \xi, \dots, \alpha \xi^{n-1}) = E(\alpha, \xi)$ .

Since  $E(\xi)$  is a Galois extension of  $E$  by Lemma 35.4,  $N = \text{Aut}_{E(\xi)}(F)$  is a normal subgroup of  $G$  and  $\text{Aut}_E(E(\xi)) \simeq G/N$  by the fundamental theorem of Galois theory. Since  $\text{Aut}_E(E(\xi))$  is Abelian by Lemma 35.4,  $G/N$  is Abelian, hence solvable.<sup>3</sup>

Since  $F$  is generated by  $\alpha$  over  $E(\xi)$ , any element  $\sigma \in N$  is determined by  $\sigma(\alpha)$ , which is a root of  $x^n - a$ , and then has the form  $\alpha \xi^j$  for some  $j$ ; for another element  $\tau \in N$  one has  $\tau(\alpha) = \alpha \xi^k$ , and then, since  $\sigma(\xi) = \tau(\xi) = \xi$  (by definition of  $N$ ), one has  $\tau(\sigma(\alpha)) = \tau(\alpha \xi^j) = \tau(\alpha) \tau(\xi)^j = \alpha \xi^k \xi^j = \alpha \xi^{j+k}$ , which is then also  $\sigma(\tau(\alpha))$ , implying that  $\sigma \circ \tau$  and  $\tau \circ \sigma$  coincide, so that  $N$  is Abelian, hence solvable. Since  $N$  and  $G/N$  are solvable,  $G$  is solvable.

<sup>1</sup> Once a primitive  $d$ th root of unity  $a$  exists, then  $a^k$  is another primitive  $d$ th root of unity if and only if  $(k, d) = 1$ , so that there are  $\varphi(d)$  primitive  $d$ th roots of unity, by definition of the Euler  $\varphi$  function.

<sup>2</sup>  $n$  is considered as an element of the prime subfield, isomorphic to  $\mathbb{Q}$  if the characteristic of  $E$  is 0, and isomorphic to  $\mathbb{Z}_p$  if the characteristic of  $E$  is  $p$ .

<sup>3</sup> Any Abelian group  $H$  is solvable, by using the normal series  $H_0 = \{e\}$ ,  $H_1 = H$ .

**Definition 35.6:** A *Kummer extension* of a field  $E$  is a splitting field extension for a polynomial  $f \in E[x]$  having the form  $\prod_{i=1}^k (x^{n_i} - a_i)$ ,<sup>4</sup> with (distinct)  $a_i \in E^*$ ,  $i = 1, \dots, k$ .

**Lemma 35.7:** If  $E$  has characteristic 0,<sup>5</sup> and if  $F$  is a Kummer extension of  $E$ , then  $F$  is a Galois extension of  $E$ , and the Galois group  $\text{Aut}_E(F)$  is solvable.

*Proof:* By induction on  $k$ . The case  $k = 1$  is Lemma 35.5. Assume that the result is proved for up to  $k - 1$  factors, so that for  $g = \prod_{i=1}^{k-1} (x^{n_i} - a_i)$  a field extension  $F_{k-1}$  for  $g$  over  $E$  is a Galois extension with  $H = \text{Aut}_E(F_{k-1})$  solvable. Since  $F_{k-1}$  is a Galois extension of  $E$ , it is the splitting field extension for a separable polynomial  $\tilde{g} \in E[x]$  over  $E$ . Let  $F_k$  be a splitting field extension for  $h = x^{n_k} - a_k$  over  $F_{k-1}$ , which is a Galois extension with  $N = \text{Aut}_{F_{k-1}}(F_k)$  solvable by Lemma 35.5. Let  $d \in E[x]$  be the  $\text{gcd}$  of  $\tilde{g}$  and  $h$ , and  $h = d\tilde{h}$ , then  $F_k$  is a splitting field extension for  $\tilde{g}\tilde{h}$  over  $E$ ;<sup>6</sup> moreover  $\tilde{g}\tilde{h} \in E[x]$  is separable, since both  $\tilde{g}$  and  $\tilde{h}$  are separable,<sup>7</sup> and their  $\text{gcd}$  is 1, hence  $F_k$  is a Galois extension of  $E$ . Then, by the fundamental theorem of Galois theory,  $N$  is a normal subgroup of  $G = \text{Aut}_E(F_k)$  and  $H \simeq G/N$ , so that  $G$  is solvable (since  $N$  and  $G/N$  are solvable).

**Lemma 35.8:** If  $E$  has characteristic 0, if  $F$  is an extension by radicals of  $E$ , there exists an extension  $\overline{F}$  of  $F$  such that  $\overline{F}$  is a Galois extension of  $E$  with a solvable Galois group  $\text{Aut}_E(\overline{F})$ .

*Proof:* By Definition 35.2, there exist  $E_0 = E \subset E_1 \subset \dots \subset E_k = F$ , and  $E_{i+1} = E_i(\alpha_i)$  with  $\alpha_i \in E_{i+1}$  and  $\alpha_i^{n_i} = a_i \in E_i$ ,  $i = 0, \dots, k - 1$ . If  $k = 0$ , there is nothing to prove.

If  $k \geq 1$ , one uses an induction on  $k$ , so one finds an extension  $\overline{E_{k-1}}$  of  $E_{k-1}$  which is a Galois extension of  $E$  with a solvable Galois group  $G_{k-1} = \text{Aut}_E(\overline{E_{k-1}})$ . One chooses  $g \in E[x]$ , separable over  $E$ , such that  $\overline{E_{k-1}}$  is a splitting field extension for  $g$  over  $E$ . Then, one defines  $h \in \overline{E_{k-1}}[x]$  by  $h = \prod_{\sigma \in G_{k-1}} (x^{n_{k-1}} - \sigma(a_{k-1}))$ , and one wants to show that  $h \in E[x]$ : for an arbitrary  $\tau \in G_{k-1}$ , using the fact that  $G_{k-1}$  is a group,  $\tau$  permutes the factors of  $h$ , so that  $\tau(h) = h$ , i.e. each coefficient of  $h$  is fixed by  $\tau$ , hence belongs to  $\text{Fix}(G_{k-1})$ , which is  $E$  by definition of  $G_{k-1}$  being a Galois extension of  $E$ .

One lets  $\overline{E_k}$  be a splitting field extension for  $h$  over  $\overline{E_{k-1}}$ , so that  $\overline{E_k}$  is a splitting field extension for  $gh$  over  $E$  (hence the importance of knowing that  $h \in E[x]$ ), and as in Lemma 35.7 one may replace  $gh$  by a separable polynomial, showing that  $\overline{E_k}$  is a Galois extension of  $E$ . Let  $P \in E_{k-1}[x]$  be the monic irreducible polynomial associated to  $\alpha_{k-1} \in E_k$ ; then,  $P$  divides  $x^{n_{k-1}} - a_{k-1}$ , so that it divides  $h$ . Choosing any  $\beta \in \overline{E_k}$  such that  $P(\beta) = 0$ , there is an isomorphism from  $E_k = E_{k-1}(\alpha_{k-1})$  onto  $E_{k-1}(\beta)$  fixing  $E_{k-1}$ , so that, without loss of generality, one may assume that  $E_k \subset \overline{E_k}$ . By Definition 35.6  $\overline{E_k}$  is a Kummer extension of  $\overline{E_{k-1}}$ , so that by Lemma 35.7  $H = \text{Aut}_{\overline{E_{k-1}}}(\overline{E_k})$  is solvable;  $\text{Aut}_E(\overline{E_{k-1}})$  is solvable by the induction hypothesis. Since  $\overline{E_{k-1}}$  and  $\overline{E_k}$  are Galois extensions of  $E$ , the fundamental theorem of Galois theory implies that  $H$  is a normal subgroup of  $G = \text{Aut}_E(\overline{E_k})$  and  $\text{Aut}_E(\overline{E_{k-1}})$  is isomorphic to  $G/H$ , so that  $H$  and  $G/H$  being solvable,  $G$  is solvable.

**Lemma 35.9:** If  $E$  has characteristic 0, if  $f \in E[x]$  is solvable by radicals (Definition 35.2), and if  $F$  is a splitting field extension for  $f$  over  $E$ , then  $\text{Aut}_E(F)$  is a solvable group.

*Proof:* Let  $F_1$  be an extension of  $F$  such that  $F_1$  is an extension by radicals of  $E$ , and let  $\overline{F_1}$  be associated as in Lemma 35.8. Since one may assume that  $f$  is separable,<sup>8</sup>  $F$  is a Galois extension of  $E$ , and by the fundamental theorem of Galois theory,  $\text{Aut}_E(F)$  is isomorphic to the quotient  $\text{Aut}_E(\overline{F_1})/\text{Aut}_F(\overline{F_1})$ , and a quotient of a solvable group (by a normal subgroup) is solvable.

<sup>4</sup> Ernst Eduard KUMMER, German mathematician, 1810–1893. He worked in Berlin, Germany.

<sup>5</sup> The proof shows that the result is also true if  $E$  has characteristic  $p$ , and if none of the  $n_i$  is a multiple of  $p$ .

<sup>6</sup> The smallest field containing  $E$  and the roots of  $\tilde{g}$  is  $F_{k-1}$ , and the smallest field containing  $F_{k-1}$  and the roots of  $\tilde{h}$  contains the roots of  $d\tilde{h} = h$  (since  $d$  divides  $\tilde{g}$ ), and is  $F_k$ .

<sup>7</sup> Since the  $\text{gcd}$  of  $h$  and  $h'$  is 1,  $h$  is separable, and from Definition 33.5 a factor of a separable polynomial is separable.

<sup>8</sup> One may assume that  $f$  is monic, and write it as a product of monic irreducible polynomials; if one irreducible polynomial is repeated, one only keeps one copy, without changing the splitting field extension; the derivative of an irreducible polynomial is not zero, since  $E$  has characteristic 0, hence each irreducible polynomial is separable, so that one may assume that  $f$  is separable.

**Definition 35.10:** For  $f \in E[x]$ , the *Galois group of  $f$  over  $E$*  is the Galois group of a splitting field extension for  $f$  over  $E$ .

**Lemma 35.11:** If  $\sigma \in S_5$  is a cyclic permutation, and  $\tau \in S_5$  is a transposition, then  $\sigma$  and  $\tau$  generate  $S_5$ .  
*Proof:* One may label the 5 elements so that  $\sigma = (12345)$  and for the case where  $\tau$  transposes two adjacent elements one may consider that  $\tau = (12)$ , and for the case where  $\tau$  transposes two non-adjacent elements one may consider that  $\tau = (13)$ .

In the first case,  $\sigma(12)\sigma^{-1} = (23)$ , and repeating the conjugation by  $\sigma$  gives the transpositions (34), (45), and (51); then  $(12)\sigma(12) = (21345)$ , and  $(21345)(23) = (13)(245)$  whose power 3 is (13), which by conjugation by  $\sigma$  gives (24), (35), (41), and (52), so that one has generated all transpositions, hence the subgroup generated by  $\sigma$  and (12) is  $S_5$ .

In the second case,  $\sigma^2 = (13524)$  so that (13) transposes two adjacent elements of the cycle of  $\sigma^2$  and the first case applies.

**Lemma 35.12:** If  $f \in \mathbb{Q}[x]$  is irreducible of degree 5, and has 3 real roots and 2 non-real roots, then the Galois group of  $f$  over  $\mathbb{Q}$  is isomorphic to  $S_5$ , and  $f$  cannot be solved by radicals.

*Proof:* Let  $F$  be the subfield of  $\mathbb{C}$  generated by the roots of  $f$ , which is a splitting field extension for  $f$  over  $\mathbb{Q}$ , hence a Galois extension of  $\mathbb{Q}$ , since  $f$  is separable, so that  $|Aut_{\mathbb{Q}}(F)| = [F:\mathbb{Q}]$ , which is  $[F:\mathbb{Q}(\alpha)][\mathbb{Q}(\alpha):\mathbb{Q}]$  for any root  $\alpha$  of  $f$ , i.e a multiple of  $5 = [\mathbb{Q}(\alpha):\mathbb{Q}]$ . By Cauchy's theorem,  $Aut_{\mathbb{Q}}(F)$  contains an element  $\sigma$  of order 5, and it contains the complex conjugation  $\tau$ ; the action of  $\sigma$  on the roots of  $f$  corresponds to a cyclic permutation, while  $\tau$  corresponds to a transposition (since it exchanges the two non-real roots), and by Lemma 35.11 they generate  $S_5$ , so that all permutations are obtained and  $Aut_{\mathbb{Q}}(F) \simeq S_5$ . Since  $S_5$  is not solvable, Lemma 35.9 shows that  $f$  cannot be solved by radicals.

**Example 35.13:**  $x^5 - 80x + a$  with  $a \in \mathbb{Z}$ ,  $|a| < 128$  and  $a$  either even but not a multiple of 4, or a multiple of 5 but not a multiple of 25, is not solvable by radicals.

*Proof:* By applying Eisenstein criterion to  $f = x^5 - 80x + a$ , it is irreducible if either  $a$  is a multiple of 2 but not of 4 by taking  $p = 2$ , or if  $a$  is a multiple of 5 but not of 25 by taking  $p = 5$ .<sup>9</sup> Since  $f' = 5(x^4 - 16)$  has roots  $\pm 2$ ,  $f$  has 3 real roots if and only if  $f(-2) > 0 > f(2)$ , i.e.  $|a| < 128$ , and Lemma 35.12 applies.

**Example 35.14:** More generally  $P = Ax^5 + Bx + C$  with  $A, B, C \in \mathbb{Z}$  and  $A > 0, B < 0, C \neq 0$  has 3 real roots and 2 non-real roots if and only if  $P(-y) > 0 > P(y)$  with  $y \in \mathbb{R}_+$  defined by  $5Ay^4 + B = 0$ , which means  $3125AC^4 < -256B^5$ , so that if  $P$  is irreducible over  $\mathbb{Q}$  it is not solvable by radicals. Eisenstein criterion applies (and proves that  $P$  is irreducible over  $\mathbb{Q}$ ) if there exists a prime  $p$  such that  $p$  does not divide  $A$ ,  $p$  divides  $B$  and  $C$ , and  $p^2$  does not divide  $C$  (or if  $p$  does not divide  $C$ ,  $p$  divides  $A$  and  $B$ , and  $p^2$  does not divide  $A$ ).

**Remark 35.15:** It will be shown later that if  $E$  has characteristic 0 and  $F$  is a splitting field extension for  $f \in E[x]$  over  $E$  with the Galois group  $Aut_E(F)$  being solvable, then  $f$  is solvable by radicals.

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<sup>9</sup> Notice that  $|a| \in \{20, 40, 60, 80, 120\}$  gives an irreducible polynomial by Eisenstein criterion with  $p = 5$ , while Eisenstein criterion with  $p = 2$  does not apply.