

**21-238, Math Studies Algebra 2**, Department of Mathematical Sciences, Carnegie Mellon University  
**Spring 2012:** Monday, Wednesday, Friday, 10:30 am, Doherty Hall 1211.  
 Luc TARTAR, University Professor of Mathematics, Wean Hall 6212, tartar@cmu.edu

34- Monday April 16, 2012.

**Lemma 34.1:** (fundamental theorem of Galois theory) Let  $F$  be a finite Galois extension of  $E$ . Then

a) The mapping  $K \mapsto \text{Aut}_K(F)$  for intermediate fields (i.e.  $E \subset K \subset F$ ), and the mapping  $H \mapsto \text{Fix}(H)$  for subgroups of  $\text{Aut}_E(F)$  are inverse bijections.

b) For any intermediate field  $K$ ,  $F$  is a Galois extension of  $K$ .

c) For an intermediate field  $K$ ,  $K$  is a Galois extension of  $E$  if and only if  $K$  is a normal extension of  $E$ , or if and only if  $\text{Aut}_K(F) \triangleleft \text{Aut}_E(F)$ . In that case the mapping  $\sigma \mapsto \sigma|_K$  maps  $\text{Aut}_E(F)$  into  $\text{Aut}_E(K)$ , it is surjective with kernel  $\text{Aut}_K(F)$ , and it induces an isomorphism from  $\text{Aut}_E(K)$  onto the quotient group  $\text{Aut}_E(F)/\text{Aut}_K(F)$ .

*Proof:* If  $H$  is a subgroup of  $\text{Aut}_E(F)$ , then  $H$  is finite since  $|\text{Aut}_E(F)| = [F:E] < \infty$ , so that if  $K = \text{Fix}(H)$  one has  $H = \text{Aut}_K(F)$  by Lemma 32.6. By Lemma 33.8,  $F$  is a splitting field extension for a separable  $f \in E[x]$  over  $E$ . If  $K$  is an intermediate field, then  $F$  is a splitting field extension for  $f$  over  $K$ ,<sup>1</sup>  $f$  is separable over  $K$  by Lemma 33.6, so that  $F$  is a Galois extension of  $K$  by Lemma 33.8, and this proves b); it means  $K = \text{Fix}(\text{Aut}_K(F))$ , which ends the proof of a).

Since  $F$  is a separable extension of  $E$ ,  $K$  is also a separable extension of  $E$ , and then  $K$  is a Galois extension of  $E$  if and only if it is a normal extension of  $E$  by Lemma 33.8. Each  $\sigma \in \text{Aut}_E(F)$  permutes the roots of any polynomial  $Q \in E[x]$ , in particular if  $a \in K$  has minimal (monic irreducible) polynomial  $P_a \in E[x]$ ,  $\sigma(a)$  is another root of  $P_a$  belonging to  $F$ ; the restriction  $\sigma|_K$  of  $\sigma$  to  $K$  is an homomorphism of  $K$  into  $F$ , and if all the roots of  $P_a$  belong to  $K$ , one has  $\sigma|_K(a) \in K$ .

Assuming that  $K$  is a Galois extension of  $E$ ,  $K$  is a normal extension of  $E$ , i.e. all  $P_a$  split over  $K$  for  $a \in K$ , so that  $\sigma|_K$  maps  $K$  into  $K$ , and it is an automorphism of  $K$  since it is a bijection in  $F$ , and  $\sigma|_K \in \text{Aut}_E(K)$  because it fixes  $E$ . Moreover, the mapping which to  $\sigma \in \text{Aut}_E(F)$  associates  $\sigma|_K \in \text{Aut}_E(K)$  is an homomorphism, and its kernel corresponds to  $\sigma|_K = \text{id}_K$ , i.e.  $\sigma$  fixes  $K$ , or  $\sigma \in \text{Aut}_K(F)$ , which is then a normal subgroup of  $\text{Aut}_E(F)$  as the kernel of an homomorphism. Also, the image of this homomorphism is contained in  $\text{Aut}_E(K)$  whose order is  $\leq [K:E]$ , and the image has order  $\frac{|\text{Aut}_E(F)|}{|\text{Aut}_K(F)|} = \frac{[FE]}{[FK]} = [K:E]$ , so that the homomorphism is surjective, and the first isomorphism theorem gives  $\text{Aut}_E(K)$  isomorphic to  $\text{Aut}_E(F)/\text{Aut}_K(F)$ .

Finally, assuming that  $\text{Aut}_K(F)$  is a normal subgroup of  $\text{Aut}_E(F)$ , one wants to show that  $K$  is a normal extension of  $E$ . Let  $a \in K$  and let  $P_a \in E[x]$  be its monic irreducible polynomial, which splits in  $F$  as  $\prod_i (x - a_i)$ , where the  $a_i$  run through the orbit of  $a$  by action of  $\text{Aut}_E(F)$  (by the proof of Lemma 33.8), and one wants to show that each  $a_i$  belongs to  $K$ : one starts by choosing  $\sigma \in \text{Aut}_E(F)$  such that  $\sigma(a) = a_i$ , and then for  $\tau \in \text{Aut}_K(F)$  one has  $\sigma^{-1}\tau\sigma \in \text{Aut}_K(F)$  since  $\text{Aut}_K(F)$  is a normal subgroup of  $\text{Aut}_E(F)$ , so that  $\sigma^{-1}\tau\sigma(a) = a$  because  $a \in K$ , i.e.  $\tau(a_i) = a_i$ ; since this holds for all  $\tau \in \text{Aut}_K(F)$ , it means that  $a_i \in \text{Fix}(\text{Aut}_K(F))$ , which is  $K$ , because  $F$  is a Galois extension of  $K$  by b), and it proves c).

**Lemma 34.2:** Let  $f \in E[x]$  be separable over  $E$ , and let  $F$  be a splitting field extension for  $f$  over  $E$ . Every  $\sigma \in \text{Aut}_E(F)$  determines a permutation  $\pi$  of the roots of  $f$ , and the knowledge of  $\pi$  characterizes  $\sigma$ .

Moreover, if  $f$  is irreducible and  $a, b \in F$  are two roots of  $f$ , there exists  $\sigma \in \text{Aut}_E(F)$  with  $\sigma(a) = b$ , i.e.  $\text{Aut}_E(F)$  acts *transitively* on the roots of  $f$ .<sup>2</sup>

*Proof:* For any polynomial  $P \in E[x]$ , any root  $r \in F$  of  $P$ , and any  $\sigma \in \text{Aut}_E(F)$ ,  $\sigma(r)$  is a root of  $P$  (in  $F$ ), and since  $\sigma^{-1} \in \text{Aut}_E(F)$  one deduces that  $\sigma$  induces a permutation  $\pi$  of the roots of  $P$ . Since  $F$  is a splitting field extension for  $f$  over  $E$ , and  $r_1, \dots, r_n \in F$  are the roots of  $f$ , then  $F = E(r_1, \dots, r_n) = E[r_1, \dots, r_n]$ ,<sup>3</sup> so that every  $c \in F$  can be written  $c = Q(r_1, \dots, r_n)$  for a polynomial  $Q \in E[x_1, \dots, x_n]$ , and then  $\sigma(c) = Q(\sigma(r_1), \dots, \sigma(r_n)) = Q(\pi(r_1), \dots, \pi(r_n))$  is determined by  $\pi$ .<sup>4</sup>

<sup>1</sup>  $f$  splits over  $F$  and  $F$  is generated by  $E$  and the roots of  $f$ , hence generated by  $K$  and the roots.

<sup>2</sup> A group  $G$  acts *transitively* on a set  $X$  if for every  $x_1, x_2 \in X$  there exists  $g \in G$  with  $gx_1 = x_2$ .

<sup>3</sup> Since  $K(r) = K[r]$  if  $r$  is algebraic over  $K$ , one deduces by induction that  $E(r_1, \dots, r_n) = K(r_n)$  with  $K = E(r_1, \dots, r_{n-1}) = E[r_1, \dots, r_{n-1}]$  and then  $K(r_n) = K[r_n] = E[r_1, \dots, r_n]$ .

<sup>4</sup> Not every permutation on the roots defines an element  $\sigma \in \text{Aut}_E(F)$ , of course.

The case  $b = a$  is obvious (with  $\sigma = id$ ), and one assumes  $b \neq a$ , so that  $\deg(f) \geq 2$ , and neither  $a$  nor  $b$  belong to  $E$ . Because  $f$  is irreducible,  $E(a)$  is isomorphic to  $E(b)$ , and there exists a unique isomorphism  $\sigma_0$  from  $E(a)$  onto  $E(b)$  extending  $id_E$  and such that  $\sigma_0(a) = b$ .<sup>5</sup> Then,  $F$  is a splitting field extension for  $f$  over  $E(a)$ , and also over  $E(b)$ , and by the uniqueness of the splitting field extension up to isomorphism, one can extend  $\sigma_0$  (not in a unique way) into an isomorphism  $\sigma$  of  $F$ , which then belongs to  $Aut_E(F)$ .

**Lemma 34.3:** The splitting field extension for  $x^4 - 2$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\alpha, i)$  with  $\alpha = \sqrt[4]{2}$ ; it is a Galois extension of  $\mathbb{Q}$  with  $|Aut_{\mathbb{Q}}(\mathbb{Q}(\alpha, i))| = [\mathbb{Q}(\alpha, i) : \mathbb{Q}] = 8$ .

*Proof:* The polynomial  $f = x^4 - 2$  is irreducible in  $\mathbb{Q}[x]$  by Eisenstein criterion, and its roots in  $\mathbb{C}$  are  $\alpha, \alpha i, -\alpha, -\alpha i$ , so that  $\mathbb{Q}(\alpha, \alpha i, -\alpha, -\alpha i) = \mathbb{Q}(\alpha, \alpha i)$  is the desired splitting field extension, but since  $\frac{1}{\alpha} \in \mathbb{Q}[\alpha]$ , it is  $\mathbb{Q}(\alpha, i)$ . Since  $\mathbb{Q}(\alpha) \subset \mathbb{R}$ ,  $x^2 + 1$  is irreducible in  $\mathbb{Q}(\alpha)$  (because  $\pm i \notin \mathbb{Q}(\alpha)$ ), so that  $\mathbb{Q}(\alpha, i) = (\mathbb{Q}(\alpha))(i)$ , and  $[\mathbb{Q}(\alpha, i) : \mathbb{Q}(\alpha)] = 2$ , which with  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 4$  gives  $[\mathbb{Q}(\alpha, i) : \mathbb{Q}] = 8$ . Since  $f$  is irreducible in  $\mathbb{Q}[x]$  and  $f' \neq 0$ ,  $f$  is separable by Lemma 33.4, so that  $\mathbb{Q}(\alpha, i)$  is a Galois extension of  $\mathbb{Q}$  by Lemma 33.8, which gives  $|Aut_{\mathbb{Q}}(\mathbb{Q}(\alpha, i))| = [\mathbb{Q}(\alpha, i) : \mathbb{Q}] = 8$ .

**Remark 34.4:** Up to isomorphism, the Abelian groups of order 8 are  $\mathbb{Z}_8$ ,  $\mathbb{Z}_2 \times \mathbb{Z}_4$ , and  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ , and the non-Abelian groups of order 8 are the dihedral group  $D_4$ , and the quaternion group  $Q_8$ .

**Lemma 34.5:** For  $\alpha = \sqrt[4]{2}$ ,  $Aut_{\mathbb{Q}}(\mathbb{Q}(\alpha, i))$  is isomorphic to the dihedral group  $D_4$ .

*Proof:* For  $\sigma' \in Aut_{\mathbb{Q}}(\mathbb{Q}(\alpha, i))$ ,  $\sigma'(\alpha)$  must be one of the 4 roots of  $x^4 - 2 = 0$ , i.e.  $\alpha, \alpha i, -\alpha, -\alpha i$ , which one denotes 1, 2, 3, 4, and  $\sigma'(i)$  should be one of the 2 roots of  $x^2 + 1 = 0$ , i.e.  $i, -i$ , and this gives 8 possibilities, but  $\mathbb{Q}(\alpha, i)$  being a Galois extension, the group  $Aut_{\mathbb{Q}}(\mathbb{Q}(\alpha, i))$  has order 8, and all these possibilities are allowed.

Let  $\sigma$  denote the element satisfying  $\sigma(\alpha) = \alpha i$  and  $\sigma(i) = i$ , which when restricted to being a permutation of  $\{1, 2, 3, 4\}$  corresponds to the circular permutation  $(1, 2, 3, 4)$ ; let  $\tau$  denote the element satisfying  $\tau(\alpha) = \alpha$  and  $\tau(i) = -i$ , which when restricted to being a permutation of  $\{1, 2, 3, 4\}$  corresponds to the transposition  $(2, 4)$ ;<sup>6</sup> then  $\tau^{-1}\sigma\tau(i) = i = \sigma^{-1}(i)$  and  $\tau^{-1}\sigma\tau(\alpha) = -\alpha i = \sigma^{-1}(\alpha)$ , so that  $\tau^{-1}\sigma\tau = \sigma^{-1}$  (or equivalently  $\tau\sigma\tau = \sigma^3$ ), and such a relation between two generators characterizes the dihedral group  $D_4$ .

**Lemma 34.6:** For  $\alpha = \sqrt[4]{2}$ , besides  $\mathbb{Q}$  itself, and  $\mathbb{Q}(\alpha, i)$ , which is an extension of  $\mathbb{Q}$  of order 8, the intermediate fields (strictly) between  $\mathbb{Q}$  and  $\mathbb{Q}(\alpha, i)$  are:

$\mathbb{Q}(\sqrt{2})$ ,  $\mathbb{Q}(i)$ , and  $\mathbb{Q}(\sqrt{2}i)$ , which are extensions of  $\mathbb{Q}$  of order 2,

$\mathbb{Q}(\alpha)$ ,  $\mathbb{Q}(\alpha i)$ ,  $\mathbb{Q}(\alpha(1-i))$ ,  $\mathbb{Q}(\alpha(1+i))$ , and  $\mathbb{Q}(\sqrt{2}, i)$ , which are extensions of  $\mathbb{Q}$  of order 4.

*Proof:* Because  $\mathbb{Q}(\alpha, i)$  is a Galois extension of  $\mathbb{Q}$ , one must make the list of all subgroups of the dihedral group  $D_4$ , and identify the corresponding intermediate fields fixed by the subgroups. The group is made of  $e, \sigma = (1234), \sigma^2 = (13)(24), \sigma^3 = (1432), \tau = (24), \tau\sigma = (14)(23), \tau\sigma^2 = (13),$  and  $\tau\sigma^3 = (12)(34)$ .

The subgroups of order 2, corresponding to field extensions of  $\mathbb{Q}$  of order 4, are

$\{e, (24)\}$ : fixes  $\alpha$ , so the fixed field contains  $\mathbb{Q}(\alpha)$ , but  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 4$ , so that the fixed field is  $\mathbb{Q}(\alpha)$ ;

$\{e, (13)\}$ : fixes  $\alpha i$ , and similarly the fixed field is  $\mathbb{Q}(\alpha i)$ ,

$\{e, (13)(24)\}$ : maps  $\alpha$  to  $-\alpha$ , so it fixes  $\alpha^2 = \sqrt{2}$ , and it fixes  $i$ , so that the fixed field is  $\mathbb{Q}(\sqrt{2}, i)$ ;

$\{e, (14)(23)\}$ : maps  $\alpha$  to  $-\alpha i$ , and  $\alpha i$  to  $-\alpha$ , so it fixes  $\beta = \alpha(1-i)$ , and the fixed field contains  $\mathbb{Q}(\beta)$ ; one has  $\beta^2 = -2\alpha^2 i$ ,  $\beta^3 = 2\alpha^3(1-i)$ ,  $\beta^4 = -8$ , and Eisenstein criterion does not apply to  $x^4 + 8$ , but 1,  $\beta$ ,  $\beta^2$  and  $\beta^3$  are  $\mathbb{Q}$ -linearly independent, so that  $[\mathbb{Q}(\beta) : \mathbb{Q}] = 4$ , and the fixed field is  $\mathbb{Q}(\beta)$ ;<sup>7</sup>

$\{e, (12)(34)\}$ : maps  $\alpha$  to  $\alpha i$ , and  $\alpha i$  to  $\alpha$ , so it fixes  $\gamma = \alpha(1+i)$ , and similarly the fixed field is  $\mathbb{Q}(\gamma)$ .

The subgroups of order 4, corresponding to field extensions of  $\mathbb{Q}$  of order 2, are

$\{e, \sigma, \sigma^2, \sigma^3\}$ : fixes  $i$ , so that the fixed field is  $\mathbb{Q}(i)$ ;

$\{e, (24), (13), (13)(24)\}$ : fixes  $\alpha^2$ , so that the fixed field is  $\mathbb{Q}(\sqrt{2})$ ;

$\{e, (12)(34), (13)(24), (14)(23)\}$ : fixes  $\alpha^2 i$ , so that the fixed field is  $\mathbb{Q}(\sqrt{2}i)$ .

<sup>5</sup> It is defined by  $\sigma_0(R(a)) = R(b)$  for all  $R \in E[x]$ .

<sup>6</sup>  $\tau$  is the restriction of complex conjugation to  $\mathbb{Q}(\alpha, i)$ .

<sup>7</sup> It suffices to show that  $\mathbb{Q}(\beta)$  is not an extension of  $\mathbb{Q}$  of order 2, i.e. that 1,  $\beta$ , and  $\beta^2$  are  $\mathbb{Q}$ -linearly independent.