

THE CHINESE UNIVERSITY OF HONG KONG

DEPARTMENT OF MECHANICAL & AUTOMATION ENGINEERING

MAEG5070 Nonlinear Control Systems

Assignment #9

by

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Problem 1

Consider the following system

$$\dot{x}_1 = x_1 + x_2
\dot{x}_2 = x_3 + \cos(x_1)u
\dot{x}_3 = x_1 + x_2^2 + \lambda x_3
y = x_1$$
(1)

- (a) For what values of λ is the system minimum phase? nonminimum phase?
- (b) Assume a state feedback control law u = k(x) is such that $\ddot{y}(t) + 4\dot{y}(t) + 2y(t) = 0$. Is the equilibrium point at the origin of the closed-loop system (locally) asymptotically stable for all $\lambda \in R$? Why or Why not?

Solution:

(a) The Jacobian linearization of the system at the origin is given by

$$\dot{x} = Ax + Bu
y = Cx$$
(2)

with

$$A = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & \lambda \end{bmatrix}, \quad B = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \quad C = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix}$$
 (3)

The transfer function of the above linear system is then given by

$$H(s) = C (sI - A)^{-1} B$$

$$= \frac{1}{s (s-1) (s-\lambda) + 1} C \begin{bmatrix} s (s-\lambda) & s-\lambda & 1\\ 1 & (s-1) (s-\lambda) & s-1\\ s & 1 & s (s-1) \end{bmatrix} B$$

$$= \frac{s-\lambda}{s (s-1) (s-\lambda) + 1}$$
(4)

The system has a zero at $s = \lambda$. Thus, it is minimum phase for all $\lambda < 0$ and it is nonminimum phase for all $\lambda \ge 0$.

(b) No. Since when $\lambda \geq 0$, the system is nonminimum phase at the origin, the equilibrium cannot be made locally asymptotically stable.

Problem 2

The motion equation of a single-link robot rigid-joint manipulator is given by

$$\ddot{y} + a\dot{y}\sin(y) = \beta(x)u \tag{5}$$

- (a) Give the state space equation of Equation (5) with $x_1 = y$ and $x_2 = \dot{y}$.
- (b) Assume $1 \le a \le 2$ and $0.5 < \beta(x) < 2.5$, using $\hat{a} = 1.5$ to design a sliding mode control law u such that

$$\frac{ds^2}{dt} \le -|s|\tag{6}$$

where $s = \dot{e} + 2e$ with $e = y - y_d$.

- (c) Assume y_d is a unit step function, simulate your design on the closed-loop system consisting of the plant Equation (5) with a=2 and the sliding mode control law with $\hat{a}=1.5$. Illustrate the performance of your control law by plotting y(t) and $y_d(t)$ in the same figure for $0 \le t \le 20$. Also, plot u(t) for $0 \le t \le 20$.
- (d) In the control law designed in part (b), replace sgn(s) by sat(s/0.2), and repeat part (c). Hint: In simulation, you can let $F(x) = |\alpha(x) \hat{\alpha}(x)|$ and $\beta(x) = 1$. **Solution:**
 - (a) Let $x_1 = y$ and $x_2 = \dot{y}$, the state-space equation is

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -ax_2 \sin x_1 + \beta(x) u \\ y = x_1 \end{cases}$$
 (7)

(b) Define the estimate $\hat{\beta}$ of $\beta(x)$ by $\hat{\beta} = (b_{min}b_{max})^{1/2} = \frac{\sqrt{5}}{2}$ and let $b = (b_{max}/b_{min})^{1/2} = \sqrt{5}$.

Using $\hat{a} = 1.5$ yields that

$$\hat{\alpha} = -1.5x_2 \sin x_1 \tag{8}$$

$$\Delta \alpha = \alpha (x) - \hat{\alpha} \Longrightarrow |\Delta \alpha| = |\alpha (x) - \hat{\alpha}| \le 0.5 |x_2 \sin x_1| \Longrightarrow F(x) = 0.5 |x_2 \sin x_1| \quad (9)$$

Therefore,

$$\hat{u} = -\hat{\alpha}(x) + \ddot{y}_d - \alpha_1 \dot{e} \tag{10}$$

Because $s = \dot{e} + 2e$, $\alpha_1 = 2$. Therefore,

$$\hat{u} = 1.5x_2 \sin x_1 + \ddot{y}_d - 2\dot{e} \tag{11}$$

Because the sliding mode control law u should satisfy

$$\frac{ds^2}{dt} \le -|s|\tag{12}$$

we can know that $\eta = \frac{1}{2}$. Therefore, we can let $\phi(x)$ be

$$\phi(x) = b (F(x) + \eta) + (b - 1) |\hat{u}|$$

$$= \sqrt{5} \left(0.5 |x_2 \sin x_1| + \frac{1}{2} \right) + \left(\sqrt{5} - 1 \right) |1.5x_2 \sin x_1 + \ddot{y}_d - 2\dot{e}|$$

$$= \sqrt{5} \left(0.5 |x_2 \sin x_1| + \frac{1}{2} \right) + \left(\sqrt{5} - 1 \right) |1.5x_2 \sin x_1 + \ddot{y}_d - 2 (\dot{y} - \dot{y}_d)|$$

$$= \sqrt{5} \left(0.5 |x_2 \sin x_1| + \frac{1}{2} \right) + \left(\sqrt{5} - 1 \right) |1.5x_2 \sin x_1 + \ddot{y}_d - 2 (x_2 - \dot{y}_d)|$$

$$= \sqrt{5} \left(0.5 |x_2 \sin x_1| + \frac{1}{2} \right) + \left(\sqrt{5} - 1 \right) |1.5x_2 \sin x_1 + \ddot{y}_d - 2 (x_2 - \dot{y}_d)|$$
(13)

Hence, we can design a sliding mode control law u as follows:

$$u = \hat{\beta}^{-1} \left[\hat{u} - \phi(x) \, sgn(s) \right]$$

$$= \frac{2}{\sqrt{5}} \left[1.5x_2 \sin x_1 + \ddot{y}_d - 2\dot{e} - \phi(x) \, sgn(s) \right]$$

$$= \frac{2}{\sqrt{5}} \left[1.5x_2 \sin x_1 + \ddot{y}_d - 2(x_2 - \dot{y}_d) - \phi(x) \, sgn(s) \right]$$
(14)

where

$$\phi(x) = \sqrt{5} \left(0.5 |x_2 \sin x_1| + \frac{1}{2} \right) + \left(\sqrt{5} - 1 \right) |1.5x_2 \sin x_1 + \ddot{y}_d - 2 (x_2 - \dot{y}_d)|$$
 (15)

$$s = \dot{e} + 2e = (\dot{y} - \dot{y}_d) + 2(y - y_d) = (x_2 - \dot{y}_d) + 2(x_1 - y_d)$$
(16)

The MATLAB shown below is used to simulate the performance of the designed controller.

```
1 clc; clf; clear all;
2 %% sgn part
3 [t,x] = ode45('Q9_2_Systemsgn',[0,20],[0 0]);
4 phi = 0.5+0.5*abs(x(:,2).*sin(x(:,1)));
5 y_d = 1;
6 \text{ y\_ddot} = 0;
7 y \text{ dddot} = 0;
8 y = x(:,1);
9 ydot = x(:,2);
10 s = ydot-y_ddot+2*(y-y_d);
11 u = -phi.*sgn(s)+1.5*x(:,2).*sin(x(:,1))+y_dddot-2*(ydot-y_ddot);
12 figure(1);
13 hold on;
14 plot(t, y,'color',[0.667 0.667 1],'LineWidth',2.5);
15 plot(t, y_d+t*0,'color',[1 0.5 0],'LineWidth',2.5);
16 hold off;
17 grid on;
18 legend('$y\left(t\right)$','$y d\left(t\right)$','interpreter','latex');
19 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
20 ylabel('$\theta, \ (\mathrm{rad})$','interpreter','latex');
21 a = get(gca,'XTickLabel');
22 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
```

```
23 set(gca, 'position', [0.15 0.20 0.6 0.6]);
24 set(gcf, 'position', [100 100 800 600]);
25 set(gcf,'renderer','painters');
26 filename = "Q9-2-yyd-sgn"+".pdf";
27 saveas (gcf, filename);
28 figure(2);
29 plot(t, u,'color',[0.667 0.667 1],'LineWidth',2.5);
30 grid on;
31 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
32 ylabel('$u, \ (\mathrm{N\cdot m})$','interpreter','latex');
33 a = get(gca,'XTickLabel');
34 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
35 set(gca, 'position', [0.15 0.20 0.6 0.6]);
36 set(gcf, 'position', [100 100 800 600]);
37 set(gcf,'renderer','painters');
38 filename = "Q9-2-u-sgn"+".pdf";
39 saveas (gcf, filename);
40 %% sat part
41 [t,x] = ode45('Q9_2_Systemsat',[0,20],[0 0]);
42 phi = 0.5+0.5*abs(x(:,2).*sin(x(:,1)));
43 \quad y_d = 1;
44 y_dot = 0;
45 y_dddot = 0;
46 y = x(:,1);
47 ydot = x(:,2);
48 s = ydot-y_ddot+2*(y-y_d);
49 u = -phi.*sgn(s)+1.5*x(:,2).*sin(x(:,1))+y_dddot-2*(ydot-y_ddot);
50 figure(3);
51 hold on;
52 plot(t, y,'color',[0.667 0.667 1],'LineWidth',2.5);
53 plot(t, y_d+t*0,'color',[1 0.5 0],'LineWidth',2.5);
54 hold off;
55 grid on;
56 legend('$y\left(t\right)$','$y_d\left(t\right)$','interpreter','latex');
57 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
58 ylabel('$\theta, \ (\mathrm{rad})$','interpreter','latex');
59 a = get(gca,'XTickLabel');
60 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
61 set(gca, 'position', [0.15 0.20 0.6 0.6]);
62 set(gcf, 'position', [100 100 800 600]);
63 set(gcf,'renderer','painters');
64 filename = "Q9-2-yyd-sat"+".pdf";
65 saveas (gcf, filename);
66 figure(4);
67 plot(t, u,'color',[0.667 0.667 1],'LineWidth',2.5);
68 grid on;
```

where the codes for the system representation are shown below:

```
1 function xd = Q9_2_Systemsgn(t,x)
2
        xd(1) = x(2);
3
       phi = 0.5+0.5*abs(x(2)*sin(x(1)));
4
       y_d = 1;
       y_ddot = 0;
5
6
       y_dddot = 0;
7
       y = x(1);
8
       ydot = x(2);
9
        s = ydot-y_ddot+2*(y-y_d);
10
       u = -phi * sqn(s) + 1.5 * x(2) * sin(x(1)) + y_dddot - 2 * (ydot - y_ddot);
        xd(2) = -2*x(2)*sin(x(1))+u;
11
12
        xd = xd';
13 end
```

and the sgn function is designed as follows:

```
function y = sgn(s)

if s == 0

y = 0;

else

y = s./abs(s);

end

end
```

The simulation results are shown in Figure 1.

(c) The codes for the controller are changed for sat function as shown below:

```
1 function xd = Q9_2_Systemsat(t,x)
2     xd(1) = x(2);
3     phi = 0.5+0.5*abs(x(2)*sin(x(1)));
4     y_d = 0*t+1;
5     y_ddot = 0;
6     y_dddot = 0;
7     y = x(1);
8     ydot = x(2);
```

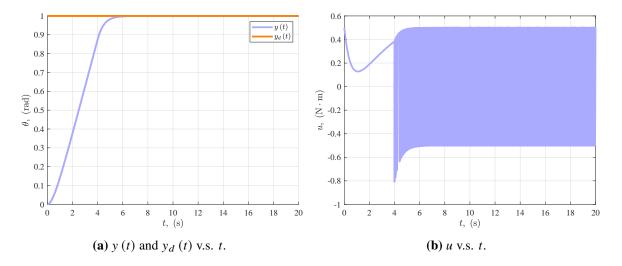


Figure 1: Simulation results for the controller with sgn.

and the sat function is designed as follows:

```
function y = sat(s)
1
2
       if abs(s) < 0.2
3
            y = s;
4
       elseif s < 0
5
            y = -1;
6
       else
7
            y = 1;
8
       end
9
  end
```

The simulation results are shown in Figure 2.

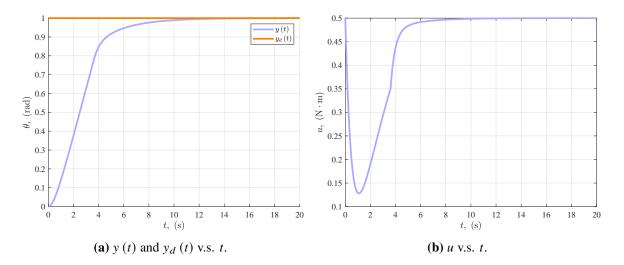


Figure 2: Simulation results for the controller with sat.

Problem 3

Consider the motion equation of a single-link robot rigid-joint manipulator given in Equation (5) where $\beta(x) = 1$ for all x.

- (a) Assume a = 1.5, $y_d = 2 \sin t$ and $s = \dot{e} + 3e$. Design a control law of the form (8.7) of the lecture note with k = 2 and simulate the performance of your control law by plotting y(t) and $y_d(t)$ in the same figure for $0 \le t \le 20$. Also, plot u(t) for $0 \le t \le 20$.
- (b) Assume the actual value of a=2. Use the same control law as the one in Part (i) to simulate the performance of your control law by plotting y(t) and $y_d(t)$ in the same figure for $0 \le t \le 20$. Also, plot u(t) for $0 \le t \le 20$.
- (c) Assume a is unknown, put the system in the form (8.3) of the lecture note and identify a_0 , a_1 , a_2 and f_1 , f_2 .
- (d) Design an adaptive control law of the form (8.10) and (8.12) of the lecture note with $\gamma_i = 3$. Assume the actual value of a = 2.5, respectively. Simulate the performance of your control law by plotting y(t) and $y_d(t)$ in the same figure for $0 \le t \le 20$. Also, plot u(t) and $\hat{a}_i(t)$ for $0 \le t \le 20$.

Hint: Note Part (iv) of Remark 8.1.

Solution:

(a) Because $\beta(x) = 1$, $a_0 = 1$. Consider the control law,

$$u = a_0 f_0(x, t) - ks + \sum_{i=1}^{m} a_i f_i(x, t)$$
(17)

where k = 2, and

$$f_0(x,t) = \ddot{y}_d - \alpha_1 \dot{e} \tag{18}$$

Because $s = \dot{e} + 3e$, $\alpha_1 = 3$. Therefore, the designed control law for the system is as follows:

$$u = \ddot{y}_d - 3\dot{e} - 2s + 1.5\dot{y}\sin(y)$$

= $\ddot{y}_d - 3(x_2 - \dot{y}_d) - 2((x_2 - \dot{y}_d) + 3(x_1 - y_d)) + 1.5x_2\sin x_1$ (19)

The MATLAB shown below is used to simulate the performance of the designed controller.

```
1 clc; clf; clear all;
2 %% Q9-3-a
3 [t,x] = ode45('Q9_3_a_System',[0,20],[0 0]);
4 y_d = 2*sin(t);
5 y_ddot = 2*cos(t);
6 y_dddot = -2*sin(t);
```

```
7 y = x(:,1);
 8 ydot = x(:,2);
9 s = ydot-y_ddot+3*(y-y_d);
10 \ a0 = 1;
11 f0 = y_dddot-3*(ydot-y_ddot);
12 u = a0*f0-2*s+1.5*x(:,2).*sin(x(:,1));
13 figure(1);
14 hold on;
15 plot(t, y,'color',[0.667 0.667 1],'LineWidth',2.5);
16 plot(t, y_d+t*0,'color',[1 0.5 0],'LineWidth',2.5);
17 hold off;
18 grid on;
19 legend('$y\left(t\right)$','$y_d\left(t\right)$','interpreter','latex');
20 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
21 ylabel('$\theta, \ (\mathrm{rad})$','interpreter','latex');
22 a = get(gca,'XTickLabel');
23 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
24 set(gca, 'position', [0.15 0.20 0.6 0.6]);
25 set(gcf, 'position', [100 100 800 600]);
26 set(gcf,'renderer','painters');
27 filename = "Q9-3-a-yyd"+".pdf";
28 saveas(gcf, filename);
29 figure(2);
30 plot(t, u,'color',[0.667 0.667 1],'LineWidth',2.5);
31 grid on;
32 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
33 ylabel('$u, \ (\mathrm{N\cdot m})$','interpreter','latex');
34 a = get(gca,'XTickLabel');
35 set(gca, 'XTickLabel', a, 'FontName', 'Times', 'fontsize', 12);
36 set(gca, 'position', [0.15 0.20 0.6 0.6]);
37 set(gcf,'position',[100 100 800 600]);
38 set(gcf,'renderer','painters');
39 filename = "Q9-3-a-u"+".pdf";
40 saveas (gcf, filename);
41 %% Q9-3-b
42 [t,x] = ode45('Q9_3_b_System', [0,20], [0 0]);
43 y_d = 2*sin(t);
44 y_{dot} = 2*cos(t);
45 y_dddot = -2*sin(t);
46 y = x(:,1);
47 ydot = x(:,2);
48 s = ydot-y_dot+3*(y-y_d);
49 \quad a0 = 1;
50 	 f0 = y_dddot-3*(ydot-y_ddot);
51 u = a0*f0-2*s+1.5*x(:,2).*sin(x(:,1));
52 figure(3);
```

```
53 hold on;
54 plot(t, y,'color',[0.667 0.667 1],'LineWidth',2.5);
55 plot(t, y_d+t*0,'color',[1 0.5 0],'LineWidth',2.5);
56 hold off;
57 grid on;
58 legend('$y\left(t\right)$','$y_d\left(t\right)$','interpreter','latex');
59 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
60 ylabel('$\theta, \ (\mathrm{rad})$','interpreter','latex');
61 a = get(gca,'XTickLabel');
62 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
63 set(gca, 'position', [0.15 0.20 0.6 0.6]);
64 set(gcf,'position',[100 100 800 600]);
65 set(gcf,'renderer','painters');
66 filename = "Q9-3-b-yyd"+".pdf";
67 saveas (gcf, filename);
68 figure(4);
69 plot(t, u,'color',[0.667 0.667 1],'LineWidth',2.5);
70 grid on;
71 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
72 ylabel('$u, \ (\mathrm{N\cdot m})$','interpreter','latex');
73 a = get(gca,'XTickLabel');
74 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
75 set(gca, 'position', [0.15 0.20 0.6 0.6]);
76 set(gcf, 'position', [100 100 800 600]);
77 set(gcf,'renderer','painters');
78 filename = "Q9-3-b-u"+".pdf";
79 saveas(gcf, filename);
```

where the codes for the system representation are shown below:

```
1 function xd = Q9_3_a_System(t,x)
2
       xd(1) = x(2);
3 %
        phi = 0.5+0.5*abs(x(2)*sin(x(1)));
4
       y_d = 2*sin(t);
5
       y_{dot} = 2*cos(t);
6
       y_{dddot} = -2*sin(t);
7
       y = x(1);
8
       ydot = x(2);
9
       s = ydot-y_ddot+3*(y-y_d);
10
       a0 = 1;
11
       f0 = y_dddot-3*(ydot-y_ddot);
12
       u = a0*f0-2*s+1.5*x(2)*sin(x(1));
13
       xd(2) = -1.5*x(2)*sin(x(1))+u;
14
       xd = xd';
15 end
```

The simulation results are shown in Figure 3.

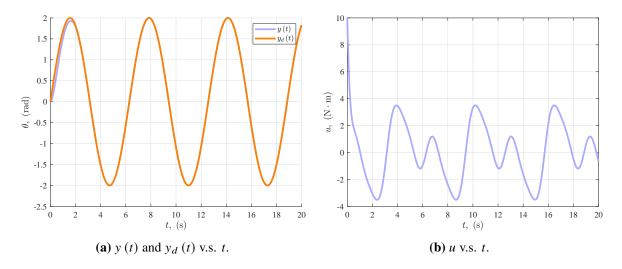


Figure 3: Simulation results for the controller with for the adaptive control with k = 2.

(b) The codes for the system representation are changed as shown below:

```
function xd = Q9_3_b_System(t,x)
2
       xd(1) = x(2);
         phi = 0.5+0.5*abs(x(2)*sin(x(1)));
3
4
       y_d = 2*sin(t);
5
       y_{dot} = 2*cos(t);
       y_dddot = -2*sin(t);
6
7
       y = x(1);
8
       ydot = x(2);
9
       s = ydot-y_ddot+3*(y-y_d);
10
       a0 = 1;
11
       f0 = y_dddot-3*(ydot-y_ddot);
       u = a0*f0-2*s+1.5*x(2)*sin(x(1));
12
       xd(2) = -2*x(2)*sin(x(1))+u;
13
14
       xd = xd';
15
   end
```

The simulation results are shown in Figure 4.

(c)
$$a_0 y^{(n)} + \sum_{i=1}^m a_i f(x, t) = u$$
 (20)

Here, $a_0 = 1$, $a_1 = a$, $a_2 = 0$, $f_1(x) = x_2 \sin x_1$, $f_2(x) = 0$

(d)

$$u = a_0 f_0(x, t) - ks + \sum_{i=1}^{m} \hat{a}_i f_i(x, t)$$

$$\dot{\hat{a}}_i = -\gamma_i sgn(a_0) sf_i, i = 1, \dots, m$$
(21)

Because $\gamma_i = 3$ and $a_0 = 1$, Equation (21) can be simplified into

$$u = (\ddot{y}_d - 3(x_2 - \dot{y}_d)) - 2((x_2 - \dot{y}_d) + 3(x_1 - y_d)) + \hat{a}_1 x_2 \sin x_1$$

$$\dot{a}_1 = -3((x_2 - \dot{y}_d) + 3(x_1 - y_d)) x_2 \sin x_1$$
(22)

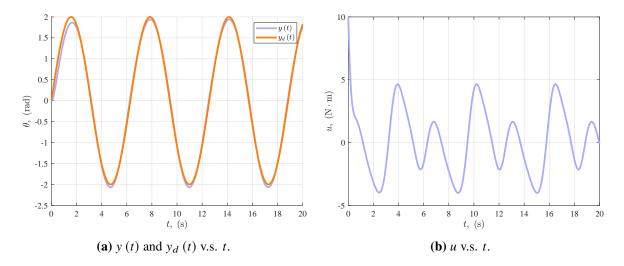


Figure 4: Simulation results for the controller with for the adaptive control with k=2 and the actual value of a=2.

The Simulink as shown in Figure 5 is used to simulate the performance of the designed controller.

And we use the following code to plot the results:

```
1 clear all; clc;
2 figg1 = openfig('Q9-3-d-ahat.fig','reuse');
3 grid on;
4 xlabel('$t, \mathrm{\ \left(s\right)}$','interpreter','latex');
5 ylabel('$\hat{a}$', 'interpreter','latex');
6 % legend('$y_d$', '$y$', 'interpreter','latex','Location','southeast');
7 title('');
8 a = get(gca,'XTickLabel');
9 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
10 set(gca, 'position', [0.15 0.20 0.6 0.6]);
11 set(gcf,'position',[100 100 800 600]);
12 set(gcf, 'renderer', 'painters');
13 filename = "Q9-3-d-ahat"+".pdf";
14 saveas(gcf, filename);
15 close(figg1);
16 figg2 = openfig('Q9-3-d-u.fig','reuse');
17 grid on;
18 \times \lim([0.07 20]);
19 ylim([-5 6]);
20 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
21 ylabel('$u, \ (\mathrm{N\cdot m})$','interpreter','latex');
22 % legend('$y_d$', '$y$', 'interpreter', 'latex', 'Location', 'southeast');
23 title('');
24 a = get(gca,'XTickLabel');
25 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
26 set(gca, 'position', [0.15 0.20 0.6 0.6]);
```

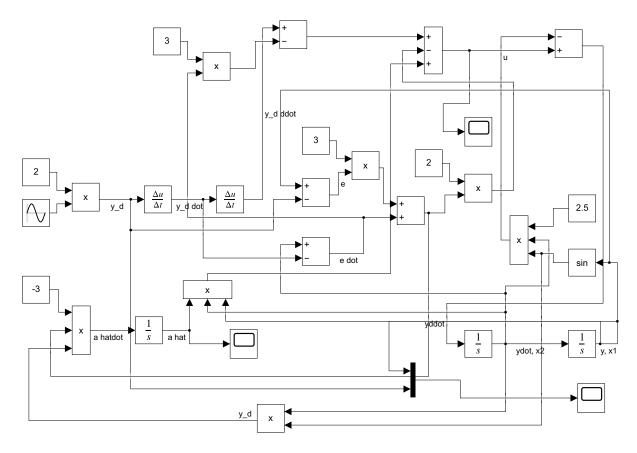


Figure 5: Block diagram for the system.

```
27 set(gcf,'position',[100 100 800 600]);
28 set(gcf,'renderer','painters');
29 filename = "Q9-3-d-u"+".pdf";
30 saveas (gcf, filename);
31 close(figg2);
32 figg3 = openfig('Q9-3-d-yyd.fig','reuse');
33 grid on;
34 legend('$y\left(t\right)$','$y_d\left(t\right)$','interpreter','latex');
35 xlabel('$t, \ (\mathrm{s})$','interpreter','latex');
36 ylabel('$\theta, \ (\mathrm{rad})$','interpreter','latex');
37 title('');
38 a = get(gca,'XTickLabel');
39 set(gca,'XTickLabel',a,'FontName','Times','fontsize',12);
40 set(gca, 'position', [0.15 0.20 0.6 0.6]);
41 set(gcf, 'position', [100 100 800 600]);
42 set(gcf,'renderer','painters');
43 filename = "Q9-3-d-yyd"+".pdf";
44 saveas (gcf, filename);
45 close(figg3);
```

The simulation results are shown in Figure 6.

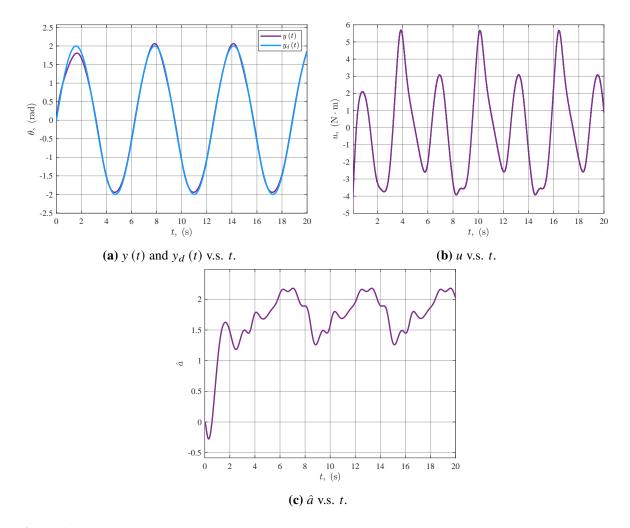


Figure 6: Simulation results for the controller with for the adaptive control law of the form (8.10) and (8.12) of the lecture note.