

# *An Efficient Fuzzy Based Edge Estimation for Iris Localization and Pupil Detection in Human Eye for Automated Cataract Detection System*

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**Abstract:** Presently Digital Image Processing based automated diagnosis of medical images is very popular area of research. This technique cuts short the diagnosis time and produces results with high degree of accuracy with least variations in diagnostic opinion. For such applications, basic parameter mapping and evolving image processing techniques play a very crucial role. Automated Cataract detection through digital eye images requires circular pupil extraction from eye image. Conventional Hough transform fails to extract the circular pupil area due to presence of similar ring structure in Iris and in between region. This paper explains the distinct approach in iris localization and pupil extraction from eye images by extraction of edges using fuzzy logic approach resulting into effective edge estimation technique and later use of these inputs to circular Hough Transform for detection of both. The results of proposed approach has been compared on MMU1, UTIRIS, and IIT-Delhi eye biometric databases. Experimental results explain effective iris localization and pupil extraction with greater accuracy and lower computational time. Results show that the proposed algorithm can be effectively applied in automated cataract detection where circular regions: Iris Localization and subsequent pupil extraction are key to the detection methodology.

**Key-points-** Gaussian filtering, Fuzzy Logic, Circular Hough Transform (CHT), Iris localization, Pupil Extraction

## **I. Introduction**

Vision related problems arising from the eye disorders often leads to vision loss over a long period of time, if not treated satisfactorily and early, affecting the patient in both terms namely on-going medical expenses and through the high mental trauma of degrading vision [1]. The World Health Report regarding cataracts, updated in 2014, says that 285 million people are estimated to be visually impaired worldwide, out of those 39 million are blind and 246 have low vision [2]. Presently, cataract related blindness is major cause owing to increase in ageing population and shortage of required healthcare infrastructure in low and middle-income countries [3]. World Health Organization (WHO) defines cataract as clouding of the lens of the eye, which impedes the passage of light [4]. Modern Digital Image Processing techniques have enabled automated diagnosis and assisted the process of diagnosis in eye related diseases through machine learning, extraction and identifying the significant features from an eye image; e.g., detection of cataract can be accomplished by identifying the clouding in protein structure of eye lens by examining different image mapping parameters. Like, Retno Supriyanti et al. [5,6] proposed a new approach to detect the presence of cataracts based on

digital image processing. The authors proposed the use of specular reflection analysis for cataract detection. Retno Supriyanti et al. [7] proposed the necessary specifications and guidelines to detect the presence of cataracts. As the eye lens lied in pupil region, therefore, for automatic cataract detection from simple digital eye image, faithful pupil extraction is the key point. The basic method for robust cataract detection algorithm [8] can be described in three steps: preprocessing, feature extraction, and decision making.

For iris localization and subsequent pupil detection various methods have been applied so far in the literature. C.H. Morimoto et al.[9] described a fast, low cost, simple and robust pupil detection technique which uses two infrared time multiplexed light sources synchronized with the CCD B/W camera frame rate. When the light source is placed off-axis with the camera optical axis (normal illumination), the camera is able to detect a dark pupil image, known as odd frame, while with the on-axis placement of the IR source, the light is reflected from the interior of the eye and camera is able to detect a bright pupil image, known as even frame. Xianmei Wang et al.[10] presented a new approach for pupil localization in multi-view eyeballs under ordinary light conditions. To address the issue of pupil localization, the author present an approach based on ASM (Active Shape Models) incorporating with analysis of regional gray information. Somnath Dey et al.[11] proposed a new scheme which is based on scaling and power transform. The author also uses the edge detection and circle finding to detect the boundary layer between iris and pupil. Scaling mitigates the search space significantly for pupil center and pupil radius whereas power transform minimizes the influence of irrelevant edges and performs the image thresholding. The experiment is performed on CASIA iris database. Theekapun Charoenpong et al.[12] enhanced the accuracy of pupil extraction method by using integrated method. Three techniques are used to eliminate the noise, present in extracted pupil image, which are K-mean clustering, black blob, and Mahalanobis distance. Pupil position is addressed by mean of gray value based on the assumption that the gray value of pupil is lowest. Saurabh Singh et al.[13] proposed a new approach for circular edge detection. A mathematical operation is operated using Hough transform to find the centre and radius of the pupil. After that on applying any of the edge detection gives us a ring. A.El-Zaart et al.[14] developed a new technique to extract the pupil part from an eye image, based on minimum and mean gray value of pupil. In this work, red RGB color layer image is used which is smoothed by smoothing filter initially to reduce the noise and color variation. The RGB image is converted to binary image

using this threshold and removes all unnecessary components (eyelids, eyelashes) except large connected component.

Therefore, a robust, automated and reliable iris localization algorithm is of utmost importance for the accomplishment of automated cataract detection. Hough transform is the most popular choice for detection of circular iris and pupil, but it suffers from different noises due to change in surrounding pixel values and related entropies (figure 1.). A novel iris localization algorithm has been developed, tested and results have been shown in the following sections. This algorithm uses fuzzy based edge estimation as input for the CHT algorithm to produce reliable and faithful results. Section II describes the methods and materials, Hough transform based Iris localization has been discussed in section III. Section IV presents experiment results, conclusion and future scope concludes the paper with section V.

## II. Methods and Materials

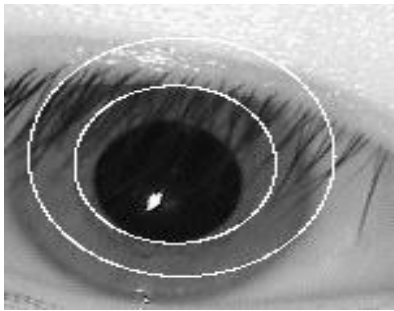
The following section explains the preprocessing methods required for iris localization and pupil detection in this approach. 2D-Gaussian filtering is performed to obtain a smoothed image. The gradient of the image yields horizontal detail component and vertical detail component of the image in order to define the membership functions of the fuzzy logic based edge estimation model.

### A] Gaussian Filtering

Gaussian filtering is an effective technique in image processing, used for blurring or smoothing the image so as to reduce the noise content in the image and the smoothed image is useful in efficient edge extraction. The Gaussian filter output is weighted average of each neighborhood pixels and this average focused more to the center pixel values. This is an advantage of Gaussian filter over other evenly weighted average filters like mean filter and it contributes pleasant smoothing and effective edge preservation over other averaging filters. For image processing, a 2D Gaussian filtering is considered.

$$G(x, y) = \frac{1}{2\pi\sigma^2} e^{-\frac{x^2+y^2}{2\sigma^2}} \quad (1)$$

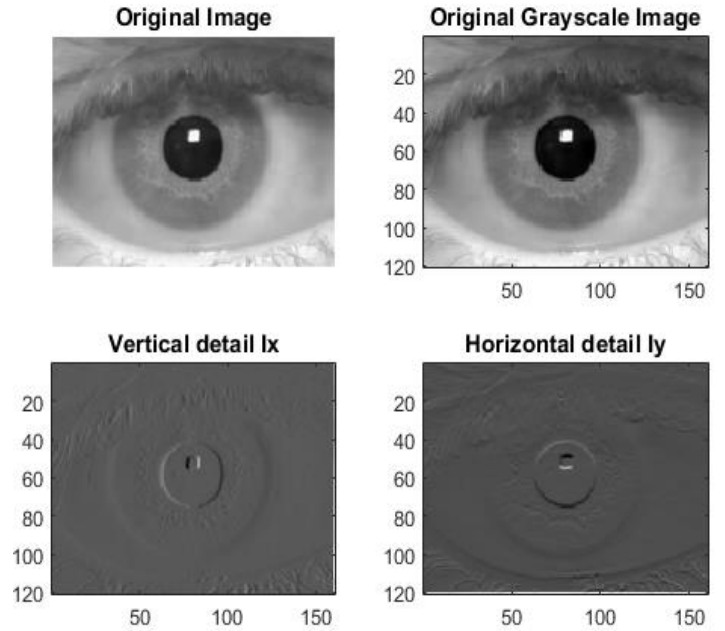
In the proposed approach, Gaussian filtering is performed on a grayscale image with zero mean and with 0.7 standard deviation value for different databases.



**Figure 1.** Incorrect detection using CHT due to noise.

### B] Fuzzy logic based edge estimation

Edge estimation is of useful application in the area such as model recognition, and biomedical imaging. [15,16] Edge estimation traces the high-frequency elements present in an image. [17,18,19] The challenge lies in effective edge estimation for noisy images. As compared to other edge estimation techniques like Prewitt, Canny type edge detectors, fuzzy inference system based edge estimation has guided to better results. [20,21] Estimation of the edge using fuzzy membership function is a non-linear type of image enhancement technique. [22] It is important to define the fuzzy rules appropriately in order to extract correct edge information. Fuzzy membership functions are of great importance in a fuzzy inference system in-order to specify fuzzy rules. Fuzziness of fuzzy system is defined with the help of membership functions as they are primal components of a fuzzy system. The article [23] covers a detailed explanation of fuzzy sets and fuzzy membership functions.



**Figure2.**Original image, original grayscale image vertical and horizontal detail components.

Figure 2 shows the original image, original grayscale image, the vertical component and the horizontal detail component of the image. The vertical detail component ( $I_x$ ) and horizontal detail component ( $I_y$ ) are the membership functions defined and they act as inputs to fuzzy model. Based on these fuzzy inputs a crisp output is obtained (figure 3) to determine the effective location of the edges based on the following defined rules :

- If  $I_x$  is zero and  $I_y$  is zero then  $I_{out}$  is white
- If  $I_x$  is not zero or  $I_y$  is not zero then  $I_{out}$  is black

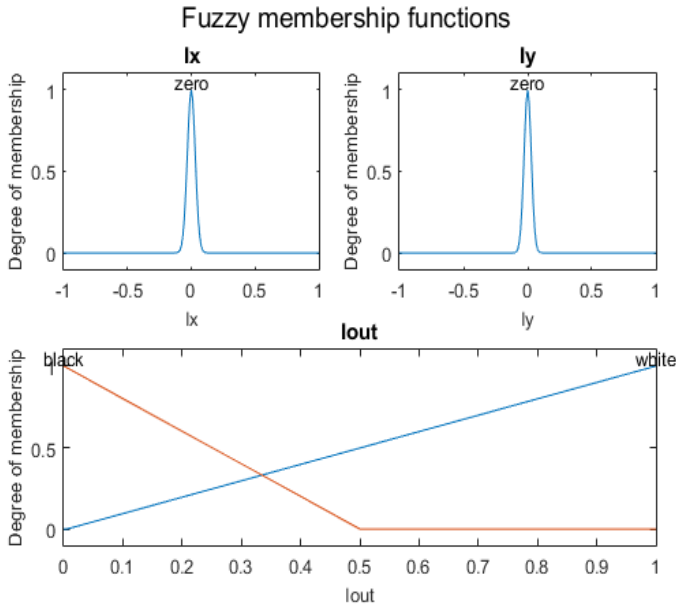


Figure3. Fuzzy membership functions.

### III. Fuzzy Classifier based Edge Estimation

One of the features of circle detection retrieval is being done through CHT.[24] CHT is a specialization of the Hough transform for detecting circles. The goal of this strategy is to detect circles in areas of incomplete and distorted input images. The candidate pixels of a circle which is away from the circle's center, cast the votes in accumulator space to trace the locus of actual circle.[25,26] The circle's center is obtained by extracting the accumulator space peak.

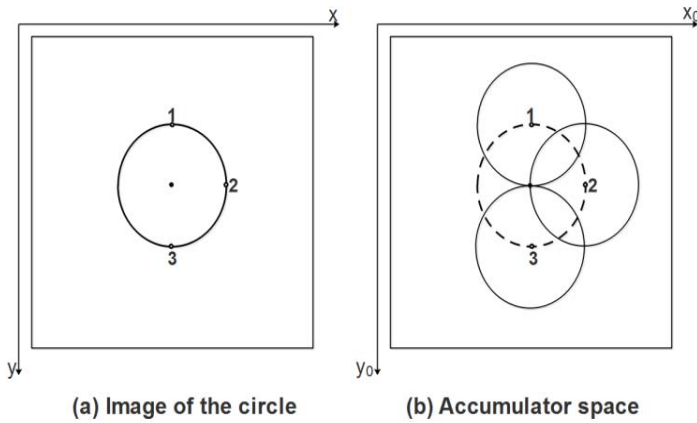


Figure4. Implementation of CHT.

Implementation of CHT can be explained using equation of circle

$$(x - x_0)^2 + (y - y_0)^2 = r^2 \quad (2)$$

The equation (3) can be expressed in parametric form as

$$\begin{cases} x = x_0 + r \cos(\theta) \\ y = y_0 + r \sin(\theta) \end{cases} \quad (3)$$

The equation (4) helps in obtaining the parameters and hence CHT mapping is determined as

$$\begin{cases} x_0 = x - r \cos(\theta) \\ y_0 = y - r \sin(\theta) \end{cases} \quad (4)$$

The equation (3) determines the points in the accumulator space (figure 4) based on radius  $r$ .

As both iris and pupil are circular in shape, CHT is being used for localization and subsequent pupil extraction. The original 24-bit image is initially converted into 8 bit grayscale of size 160x120 pixels. The vertical and horizontal detail components of the image are considered as the input parameters for the fuzzy model and later the fuzzy model is being used for effective edge detection. The circular Hough transform is applied on edge detected images using the `imfindcircles` function in MATLAB with specified small radius range for pupil detection and large radius range for iris detection part, specifying the lower value of edge threshold. This function returns the appropriate location of circle's centre and their respective radius in the image within the defined radius range.[27] The flow chart for the proposed approach is as shown in figure5.

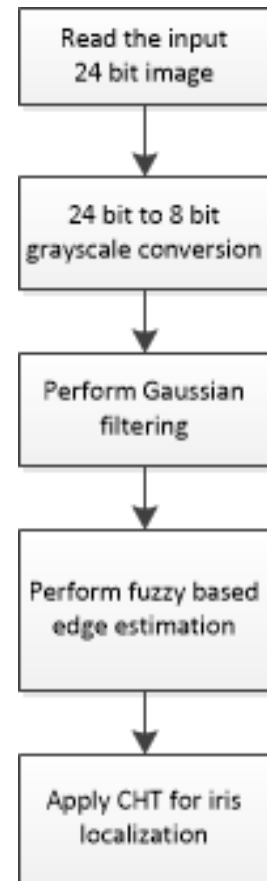
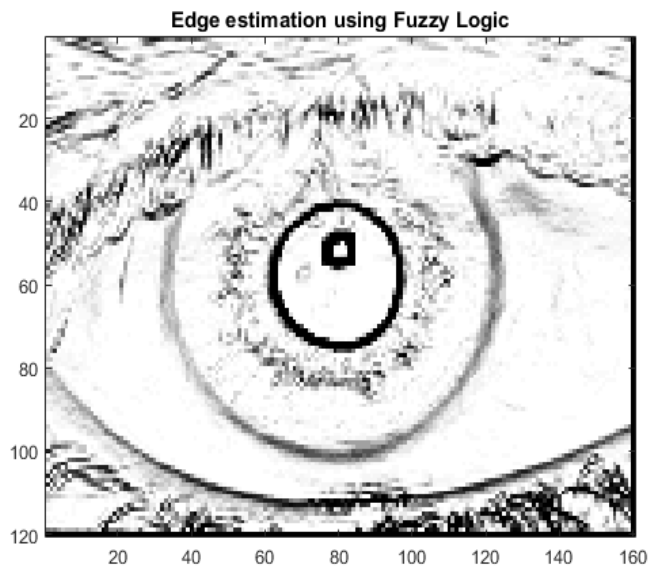


Figure5. Proposed approach flow diagram for iris localization

### IV. Experimental Results

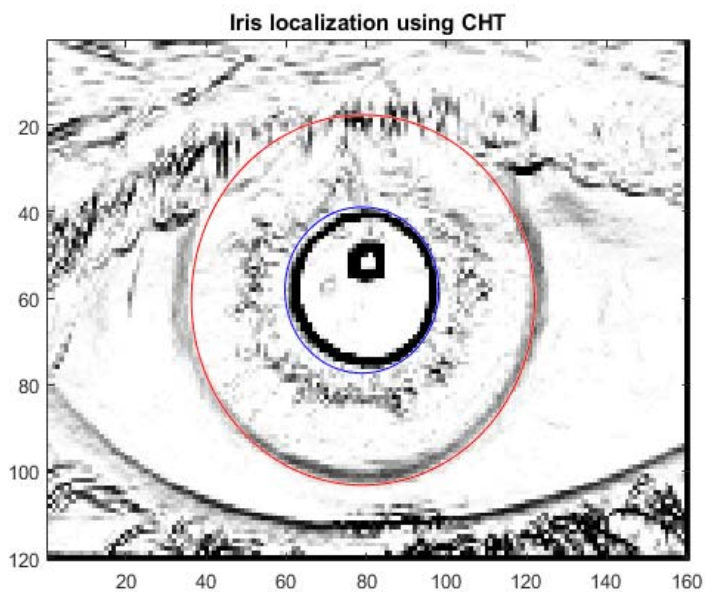
The proposed approach is applied on MMU1 database 450 eye images of size 320x240 pixels in bitmap

format created by a research group at Multimedia University, Malaysia and on UTIRIS database of 792 Near Infra-Red (NIR) grayscale eye images of size 1000x776 pixels in bitmap format created by University of Tehran. For reducing computational load, the iris biometric images were resized to 160x120 pixels bitmap format. The MATLAB function `imfindcircles` which is based on CHT is being used for iris localization. The gradient-based edge detected image is being obtained for iris detection, for increasing the accuracy and the fuzzy-based edge detected image is being used for detecting the pupil of the eye with high accuracy rate (figure 6).



**Figure6.** Edge estimation using proposed fuzzy logic approach

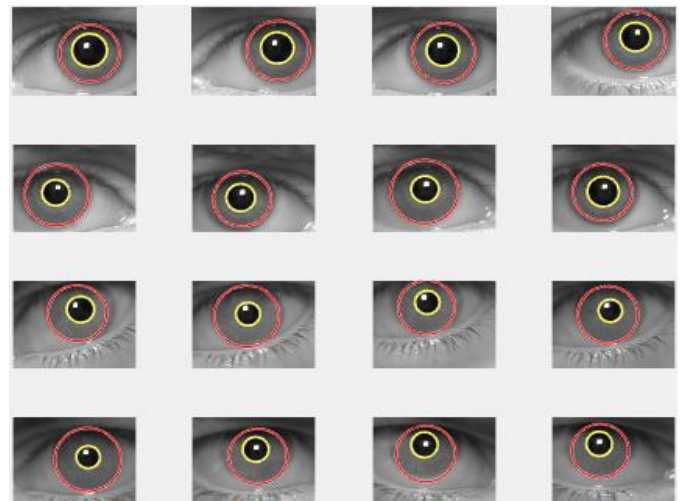
Figure 7(a) represents the experimental result of fuzzy logic based edge estimation applied on one of the images from the database resulting into good edge estimation.



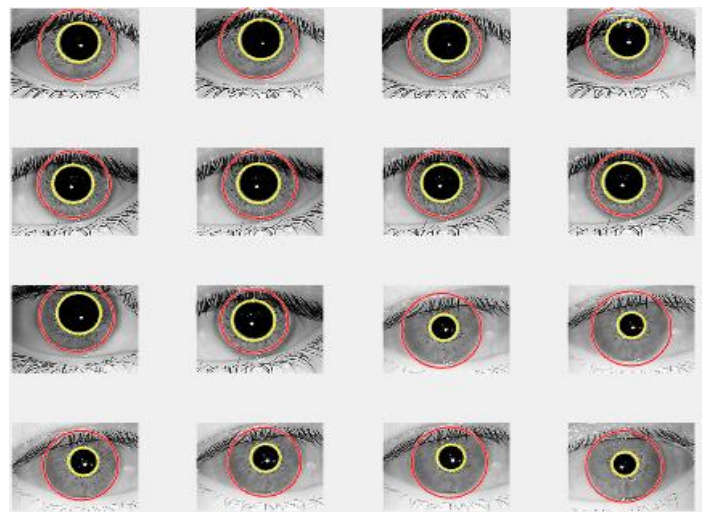
**Figure7(a).** Iris localization using CHT



**Figure7(b).** Iris localization using CHT on MMU1 database



**Figure7(c).** Iris localization using CHT on UTIRIS database



**Figure7(d).** Iris localization using CHT on IITD database



The mentioned results in figures 7b, 7c and 7d represent the effective iris localization on MMU1, UTIRIS and IIT-Delhi database using proposed approach. The proposed approach has correctly localized iris of 434 of 450 images of MMU1 database with an accuracy of 96.44%, 762 of 792 images of UTIRIS database with an accuracy of 96.21% and 2176 of 2240 images of IITD database with an accuracy of 97.14%

The proposed approach has also resulted into some incorrect and partial iris localization, shown in figure8, largely due to partially clear or inappropriately taken images.

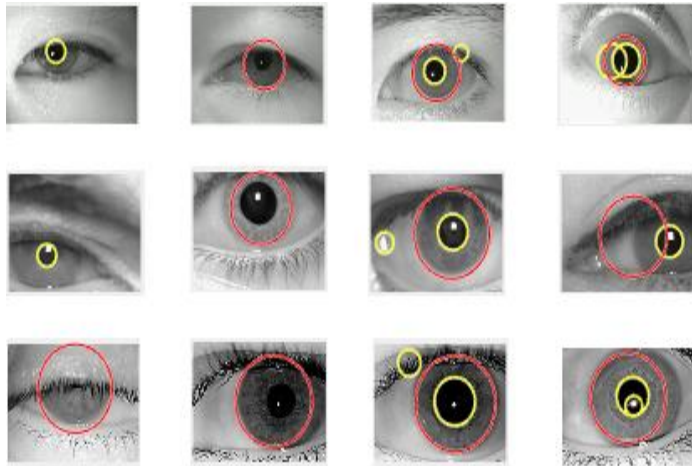


Figure8. Incorrect or partial iris localization

## V. Conclusion and Future scope

The proposed approach has introduced an effective and distinct methodology for iris localization and pupil extraction using CHT in combination with fuzzy logic to increase the detection efficiency. The fuzzy edge estimation has resulted into good edge estimation technique in conjugation with CHT for appropriate iris localization and pupil detection. This method has improved the performance of CHT, which would otherwise alone detected many circles inside Iris and proper detection of Iris and pupil was not accomplished. Sometimes presence of similar pixel values in Iris region makes the pupil detection hard.

Further, this approach can be considered for implementation of effective biometric applications as an authentication unit for security purposes.

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