

# Multi-Input Multi-Output (MIMO) Communication.

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The aim of this experiment is that through building MIMO system model, let us understand the basical concepts of MIMO system, such as channel capacity, fading channel, QAM modulation, space-time coding, etc.

## 1. MIMO system.

Multiple antennas are used at the signal transmitter and receiver. it has powerful performance-enhancing capabilities, especially in the wireless communications, where the channel is impaired predominantly by multi-path fading

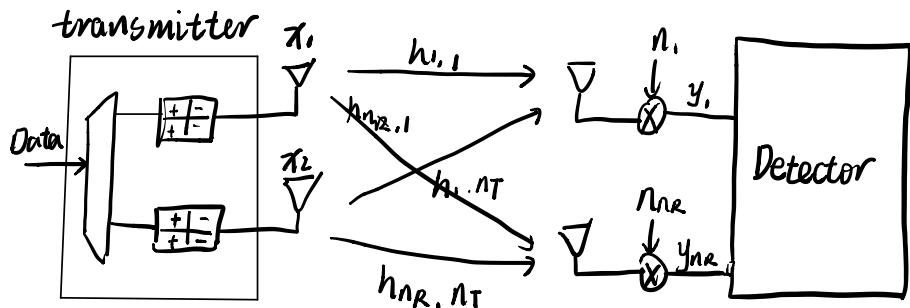
## 2. Benefits of MIMO technology.

using MIMO technology, we can achieve many gains:

- | Array gain
- | Spatial diversity gain
- | Spatial multiplexing gain.

using MIMO technology can also achieve interference reduction and avoidance.

## 3. Structure



Hence. the  $N_R$ -dimensional received signal  $y$  can be written as:

$$y = \sqrt{\frac{P}{n_T}} Hx + n$$

$x$ : is the  $N_T$ -dimensional complex transmitted vector

$H$ : the  $N_R$  by  $N_T$  complex Gaussian channel matrix with i.i.d entries.

$n$ : the  $N_R$ -dimensional i.i.d complex Gaussian noise vector.

And for  $H, n$ . have unit variance.

$x$ : is unit power constraint

Hence.  $P$ : average signal to noise ratio (SNR) at each receive antenna.

what's more. in this case, signal power at each antenna is  $\frac{P}{N_T}$ , Amplitude is  $\sqrt{\frac{P}{N_T}}$ .

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There is another important concept:

Capacity, it is a fundamental measure of the maximum amount of information which can be conveyed through a channel reliably.

when  $N_R = N_T = n$ ,

$$C_H = \log_2 \left| 1 + \frac{P}{n_T} H H^H \right| \text{ (bps / Hz)}$$

\*  $P$  is in dB :  $P(\text{dB}) = 10 \log_{10}(P)$

I: Identity matrix

|: | means matrix determinant

If the channel matrices  $H$  are statistically independent over the time

For the ergodic channels, Shannon capacity is equal to statistical average.

$$C_{\text{ergodic}} = E_H[C_H]$$

In the Exercise 1:

We have the figure,  $n = 1, 2, 3, 4$ .

and we try to get the figure when  $n$  is 5, 6, ..., 10.

$\left. \begin{array}{l} H \text{ is complex Gaussian channel matrix} \\ n_T \text{ is complex transmitted vector} \end{array} \right\} \rightarrow \text{randn}(.)$

according to the question:

we need to use Monte Carlo simulation. and  $C_H$



a broad class of computational algorithms that rely on repeated random sampling to obtain numerical results.

for each  $n_T$ ,  $n_T$ , SNR, we should generate a large number of  $H$ , then calculate  $C_H$  and take the average of  $C_H$  to get the MIMO capacity.

For the core code:

```

for id = 1:length(nTx)
    sum_rate_simu = zeros(1,length(SNR_dB));
    frame_num = 1e4;
    for n = 1:length(SNR_dB)
        sum_rate = 0;
        for m = 1:frame_num
            gamma_B_inst = Nakagami_m(m_B, SNR(n), nTx(id), nRx(id));
            gamma_B = abs(gamma_B_inst).^2;
            gamma_B_temp = [ ];
            id_ant_temp = [ ];
            gamma_B_ant = sum(gamma_B);
            SNR_b = sum(gamma_B_ant);
            capa = log2(1 + SNR_b);
            sum_rate = sum_rate + capa;
        end
        sum_rate_simu(n) = sum_rate / frame_num;
    end
    figure(1);plot(SNR_dB, sum_rate_simu); hold on;
    xlabel('SNR(dB)');
    ylabel('Capacity(bps/Hz)');
    legend('nr=nt=5', 'nr=nt=6', 'nr=nt=7', 'nr=nt=8', 'nr=nt=9', 'nr=nt=10');
end

```

```

function h = Nakagami_m(m, gamma_avg, row, col)
    r = gamrnd(m, gamma_avg/m, row, col); %Amplitude distribution
    o = 2*pi.*rand(row, col); %Phase distribution
    h = sqrt(r).*exp(ji.*o);
end

```

universal  
Nakagami  
fading.

in our experiment. we can  
set  $m=1$ , which means  
Rayleigh fading

in fact. we can also use another way to get  $H$ .  
as the questions said

$H$  is complex Gaussian channel matrix  
Hence.

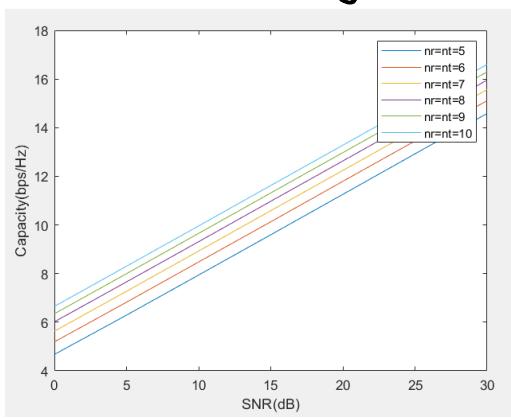
$$H = \text{sqrt}(0.5) * (\text{randn}(n_R, n_T) + j * \text{randn}(n_R, n_T))$$

in this way the function gets easier.

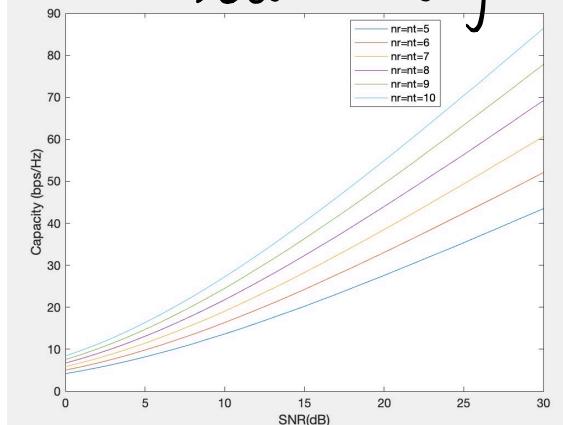
Running the code. we can get the results:

after use the two ways to get  $H$ ,  
we can get the final results.

First way



Second way



Analysis:

The two shapes have some different.  
but we can get the same trend.

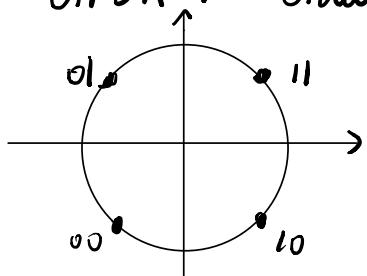
which is more antennas, higher capacity, which means MIMO system can increase the system capacity.

And higher SNR, the higher capacity which means there is higher power to transmit

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For exercise 2. we need more basic concept of MIMO system.

1. QPSK: Quadrature phase-shift keying.



this is a constellation diagram for QPSK. with Gray code. Each adjacent symbol only

differs by one bit.

$00 : -\frac{\sqrt{2}}{2} - \frac{\sqrt{2}}{2}j$	$10 : \frac{\sqrt{2}}{2} - \frac{\sqrt{2}}{2}j$
$01 : -\frac{\sqrt{2}}{2} + \frac{\sqrt{2}}{2}j$	$11 : \frac{\sqrt{2}}{2} + \frac{\sqrt{2}}{2}j$

## 2. Receiver detection :

### A. ML (maximum-likelihood) detector:

search all possible input  $\pi$ . then compute the distance between the output of possible  $\pi$  and the practical output. The detector output the  $\pi$  corresponding to the minimum distance it is given by

$$\hat{\pi} = \arg \min_{\pi \in \Pi_{GC}} \|y - \sqrt{\frac{P}{n_T}} H\pi\|$$

The complexing of an ML receiver grows exponentially with  $\Theta$  and  $n_T$ , which restricts its implementation to small signal constellation and small number of transmit antenna.

### B. ZF (zero-forcing) detector

it is given by

$$\begin{aligned}\hat{\pi} &= \arg \left\{ \left( \sqrt{\frac{P}{n_T}} H \right)^{-1} y \right\} \\ \left( \sqrt{\frac{P}{n_T}} H \right)^{-1} y &= \left( \sqrt{\frac{P}{n_T}} H \right)^{-1} \left( \sqrt{\frac{P}{n_T}} H \underline{\pi} + \underline{n} \right) \\ &= \underline{\pi} + \left( \sqrt{\frac{P}{n_T}} H \right)^{-1} \underline{n}\end{aligned}$$

Hence the spatial interference is completely

```

function [detect] = mapping(xhat)
%first symbol
if (real(xhat(1,1))>0)&&(imag(xhat(1,1))>0)
    detect(1)=0;45 degrees
    detect(2)=1;315 degrees
elseif (real(xhat(1,1))<0)&&(imag(xhat(1,1))>0)
    detect(1)=1;315 degrees
    detect(2)=0;45 degrees
elseif (real(xhat(1,1))<0)&&(imag(xhat(1,1))<0)
    detect(1)=1;225 degrees
    detect(2)=1;135 degrees
else
    detect(1)=0;135 degrees
    detect(2)=0;315 degrees
end

```

removed from the received signal. However ZF results in the noise enhancement that can be seen in the modification of noise covariance matrix.

C. MMSE (minimum mean-square error) detector.

it takes the noise variance into account.

it minimize the mean square error between the detection statistics and the transmitted signal vector

$$\hat{x} = \Phi^{-1} \left( \frac{P}{n_T} H^H H + I \right)^{-1} \sqrt{\frac{P}{n_T}} H^H y \}$$

compared with ZF, MMSE introduces a gain which reduce the input of noise.

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1. according to the question. we simulate a 2-by-2 MIMO system. with QPSK with gray mapping and produce the performance curves of ML, ZF and MMSE.

2. core code :

for system simulation:

for a  $2 \times 2$  MIMO system.

$\underline{x}$  has  $2^{2 \times 2} = 16$  values.

we can use the function to get gray code

## QPSK 部分：

```

if (real(xhat(2,1))>0)&&(imag(xhat(2,1))>0)
detect(3)=0;%45 degrees
detect(4)=0;%45 degrees

elseif (real(xhat(2,1))<0)&&(imag(xhat(2,1))>0)
detect(3)=0;%135 degrees
detect(4)=1;%135 degrees

elseif (real(xhat(2,1))<0)&&(imag(xhat(2,1))<0)
detect(3)=1;%225 degrees
detect(4)=1;%225 degrees

elseif (real(xhat(2,1))>0)&&(imag(xhat(2,1))<0)
detect(3)=1;%315 degrees
detect(4)=0;%315 degrees
end
end

%QPSK modulation
bit = (randn(1, N_Bit)<0.5);%random 0's and 1's
for n=1:length(bit)/2
    I=bit(2*n-1);
    Q=bit(2*n);

    if (I==0)&&(Q==0)
        qpsk(n)=exp(j*pi/4);%45 degrees

    elseif (I==0)&&(Q==1)
        qpsk(n)=exp(j*3*pi/4);%135 degrees

    elseif (I==1)&&(Q==1)
        qpsk(n)=exp(j*5*pi/4);%225 degrees

    elseif (I==1)&&(Q==0)
        qpsk(n)=exp(j*7*pi/4);%315 degrees
    end
end

```

For signal transmit  
and receive.

```

for h=1:number_H
    error_number1=zeros(1, 36);
    error_number2=zeros(1, 36);
    error_number3=zeros(1, 36);

    H = (1/sqrt(2))*(randn(nR, nT)+j*randn(nR, nT));
    Noise = (1/sqrt(2))*(randn(nR, 1)+j*randn(nR, 1));
    for k=1:(N_Symbol/2)

        x=[qpsk(2*k-1);qpsk(2*k)];%transmitted vector
        y=(sqrt(SNR/nT))*H*x+Noise;%received signal

```

For the detector design. we just need  
to write the function carefully:

```

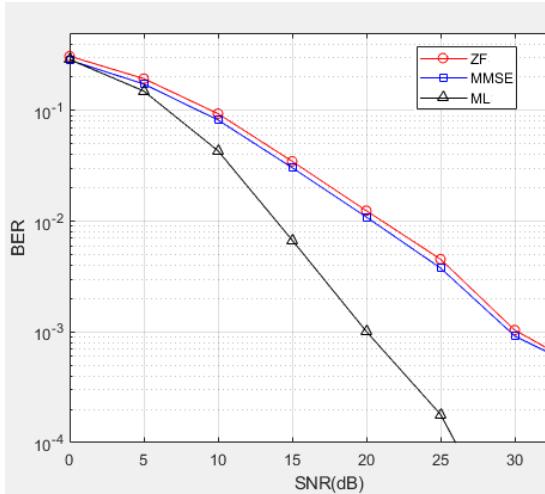
detect1=MLmapping(map, d, e);
bit_original=[bit(4*k-3), bit(4*k-2), bit(4*k-1), bit(4*k)];
error_number1(s)=error_number1(s)+sum(bit_original.^=detect1);%number of errors

xhat1=inv(sqrt(SNR/nT)*H)*y;
detect2=mapping(xhat1);
error_number2(s)=error_number2(s)+sum(bit_original.^=detect2);%number of errors

xhat2=(inv(SNR/nT*(H'+I'))*sqrt(SNR/nT)*(H')*y;
detect3=mapping(xhat2);
error_number3(s)=error_number3(s)+sum(bit_original.^=detect3);%number of errors
end
BER1(s)=BER1(s)+error_number1(s)/N_Bit;
BER2(s)=BER2(s)+error_number2(s)/N_Bit;
BER3(s)=BER3(s)+error_number3(s)/N_Bit;
end
BER1(s)=BER1(s)/number_H;
BER2(s)=BER2(s)/number_H;
BER3(s)=BER3(s)/number_H;

```

then , we semilogy to plot each  
curve , we can get :



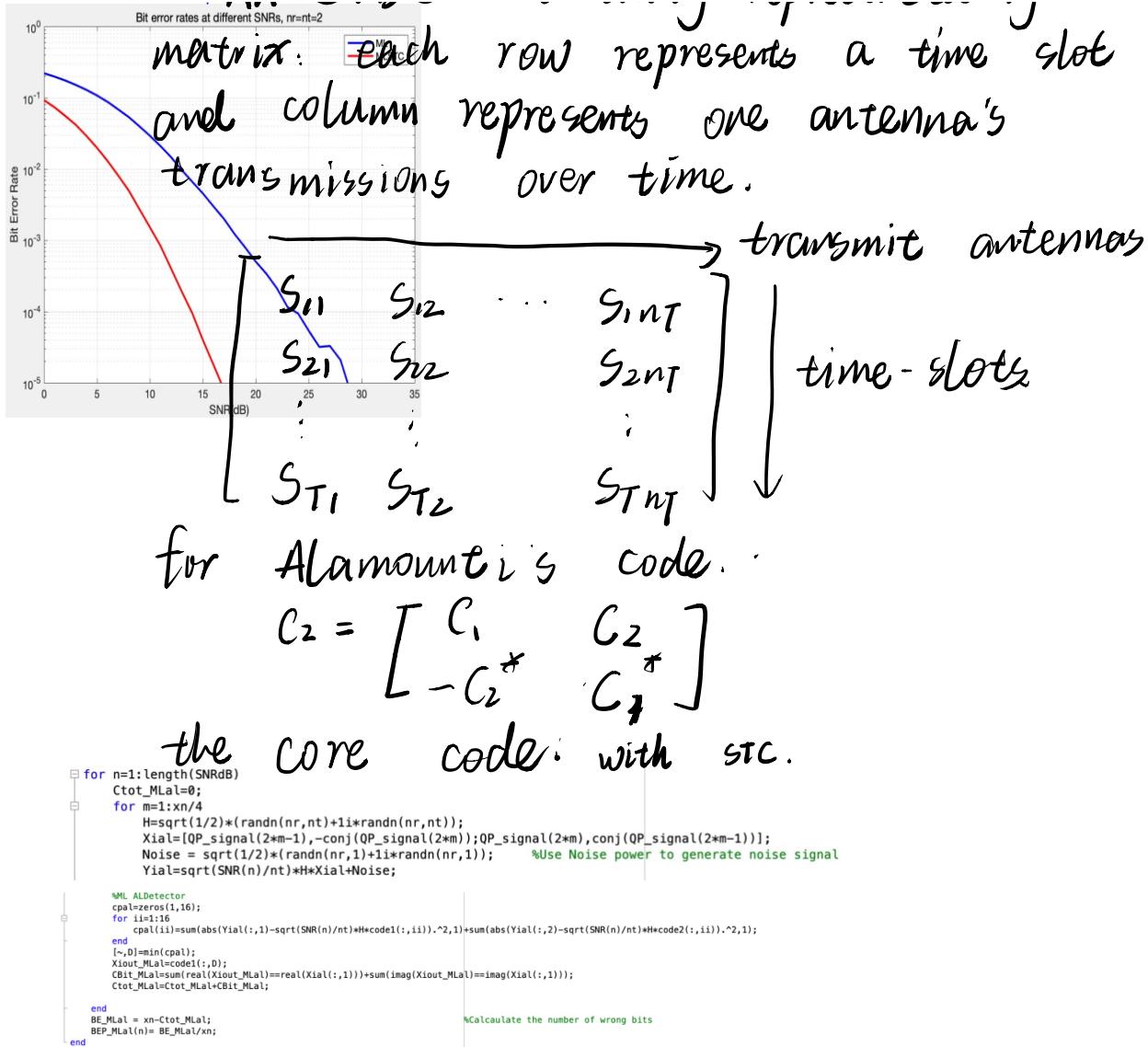
we can see that under the same SNR. ML detector has the lowest Bit error rate, especially when SNR is large.

ZF has the highest BER. and ZF amplifies the noise. but MMSE reduce the impact of noise on detection. MMSE has a better performance.

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according to the question, simulate the Alamouti code with QPSK and gray mapping

1. space-time block coding is the technique used in wireless communications to transmit multiple copies of a data stream across a number of antennas and to exploit the various received versions of the data to improve the reliability of data transfer An STBC is usually represented by a



Hence, we can get the result:

We can see that  
ML with Alamouti code  
have a better  
performance.