# **Computer Networks**

## **Networks** intro

- Definition of Computer Networks
  - o Components:

Distributed systems (applications)

Networks (messages)

Communications (bits)

- Example Networks:
  - car key with car; sensors network withtheir controllers (either one-way or two-ways)
- Design principle:
  - Dumb network & Smart users
    - networks don't store too much info but just pass the info
- Internet 'preferred' protocol stack
  - o Application
    - delivery functionality
  - Transport
    - ensure end-to-end performance
  - Network
    - send packet over multiple links
  - o Physical & Link
    - transmit frames
- Messages in Layers
  - Overview

Layer	What it transports (Protocol Data Unit)	How they connect
Application	Messages/Data	Proxy, gateway
Transport	Segments/Datagrams	
Network	Packets (!!)	Router
Link	Frames (cells, circuits)	Switch, Bridge
Physical	Bits	Hub (repeater)

Messages Encapsulation on Each Layers



• e.g. Ethernet frame's payload contains IP packet(s)

## **Information Transmission - Communication**

- Problems:
  - Attenuation loss of energy
  - Noise gain of energy
  - Delay distortion smearing
  - Frequency cut-offs loss of information
  - Frequency-specific attenuation
- Approaches:
  - Circuit switching
    - Communication priented
    - Pros:

hardware level guarantee fixed (reliable) quality during the communication

Cons:

lots of waste of capacity (no sharing) & explicity resouce allocation  $\Rightarrow$  expensive to scale networks need to store state (info of connection)  $\Rightarrow$  multiple single-points of failure

- Multiplexing
  - Spatial division multiplexing (more wires)
  - Time division multiplexing (take turns)
  - Frequency, Amplitude, Phase multiplexing
- Analog vs. Digital
  - o Digital
    - easy to represent, store and regenerate
  - Analogue
    - represent the natural world
    - Sine wave appears every where ⇒ Fourier transformation
  - ∘ ⇒ Measureing & Creating Sine wave
    - encoding the feature of sine wavee.g. frequency, amplitude and phase
    - use Sine wave as carrier
       (especially in wireless communication, yet constant voltage is easier in wired transmission)
- Encoding of Bits into Signal
  - o Modulation & Demodulation
    - Modulation: turning bits into signals

- Demodulation: turning signals into bits
- o Single Bit Encoding in Modulation
  - Amplitude modulation (AM)
  - Frequency modulation (FM)
  - Phase modulation (PM)

(detect phase shift: need sync  $\Rightarrow$  clock line can be represented by freq or in other forms)

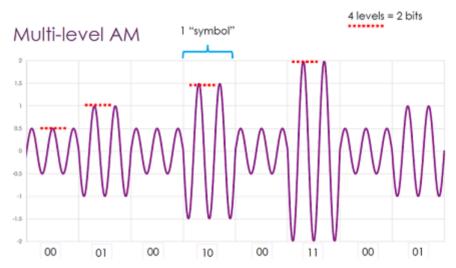
- Symbol Encoding in Modulation
  - Symbol: bit pattern
    - $\Rightarrow$  1 symbol / second > 1 bit / second
  - Multi-level modulation

Multi-level AM = Amplitude Shift Keying (ASK)

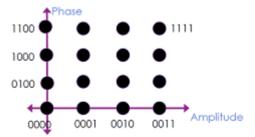
Multi-level FM = Frequency Shift Keying (FSK)

Multi-level PM = Phase Shift Keying (PSK)

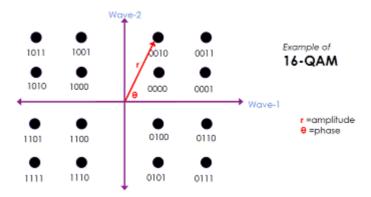
e.g.:



- Phase + Amplitude Modulation:
  - $\Rightarrow$  Constellation diagram:



⇒ Quadrature Amplitude Modulation (QAM):



Other Modulation:

256-QAM CableTV system

4096-OAM Powerline data

65535-QAM ADSL

x-QAM depnding on the needs and techniques available

Note:

phase-amplitute-frequency modulation (on 3 axis) not commonly used

Because: frequency usually used to denote channel (using carriers)

⇒ frequency to avoid interference & harder to change

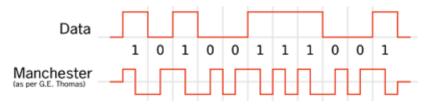
## o Bands

- Baseband: constant voltage
  - 1. Baseband signal: lowpass signal, using constant voltage as carrier
    - ⇒ non-modulated signal
    - ⇒ only non-zero near the origin of frequency spectrum
    - e.g. ASK, OOK (On-off keying)
  - 2. Baseband channel: lowpass channel, typically an unfiltered wire
  - 3. Baseband transimission: <u>transferring bit steam in line coding on typically an unfiltered</u> <u>wire</u>
- Passband: the range of frequencies that can pass through a filter
  - 1. Passband signal: use single frequency as carrier
    - ⇒ a signal with energy only in a passband, up-converted to higher frequency
    - $\Rightarrow$  digital modulation employed
    - ⇒ integrate low-frequency wave (info wave) into a higher-frequency carrier wave
  - 2. Passband channel: channel of range of frequency after bandpass filters employed
  - 3. Passband transmission: (carrier-modulated transmission)
    using passband signal to transfer info, typically in wireless transmission
- Broadband:
  - 1. Broadband signal: use multiple frequency carries across a range
    - $\Rightarrow \mathsf{FSK}$

- Bandwidth: a specific range of frequencies
   can be divided at your choice & capacity of the technology allowed
- Limitation in Transmission Quality:
  - Shannon "Capacity Limit"
    - lowest sampling frequency of twice as the imcoming signal to get a perfect reconstruction
  - Expressing Transmission Quality
    - Signal:Noise Rate (SNR) = Signal Energy : Noise Energy
      - $\Rightarrow$  SNR in deciBel =  $10 * \log_{10}(Signal/Noise) dB$
- Encoding of Bits Sequency into Bits Patterns (regardless of modulation)
  - Key Concepts:
    - Map bits into patterns to reduce repetition
    - Signal each pattern with a transition
  - o Bits Pattern Example: 4b/5b Code:
    - Mapping Table:

Given	Send	Given	Send
0000	11110	0100	01010
0001	01001	1000	10010
0010	10100	1101	11011
0011	10101	1111	11101

- Features:
  - 1. avoid runs of 0, but can have maximal 6 1's in a row...
  - 2. trade bandwidth for reliability  $\Rightarrow$  enable self-checking
- o Transition Example: Manchester Code:
  - Example:



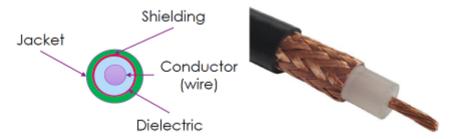
- self-clocking:
  - 1. a sync pattern in the front to denote the start (sync)
  - 2. the receiver can then identify if it is misaligned by half a bit period (prevent phase shift)

## **Physical Layer**

## Copper

- Characteristics
  - o Physical:
    - Soft & bendable around the corner
    - Light; Malleable; Easy to make thin wire

- Easy to add insulation & preotection; Reasonably robust to oxidation
- Social:
  - Cheap, compared to fibber (yet price is increasing)
- o Electrical
  - Shared medium (one voltage over the whole line)
  - Receive (RX) & Transmit (TX) on the wire:
    - 1. Half-duplex each side takes turns to transmit & receive Time Division Multiplexing
    - 2. Full-duplex both ends can transmit & receive in parallel Frequency Division Multiplexing
  - Reference of 'zero' ⇒ cables tend to have a pair of wires
  - Resistance:
    - 1. impedance, inductance (hate frequency change), etc...
    - 2. frequency related resistance: skin effect
      - ⇒ in alternating current, higher frequency, higher resistance, more current close to skin
      - $\Rightarrow$  frequency attenuation
    - 3. Varies from cross-section: thinner wires, bigger resistance
  - Attenuation:
    - 1. loss of energy (in the form of heat, light and etc.)
    - 2. loss of frequency and etc...
- Noise in Signalling:
  - o Random Wire Antenna: straight wires on the ground as an antenna
    - receiver for other signals
    - transmitter of its own signals
    - Electro-magnetic Interference (EMI, 电磁干扰) & Radio-frequency interference (RFI)
    - Coupling with adjacent wires ⇒ cross talk (expecially at near & far end NEXT&FEXT)
  - Solving Antenna Problem
    - Pretection "Coaxical" cables



Pros: well sheilded - protection from noise & security (much less sending out), robust

Cons: single RX/TX, expensive

■ Spatial Division Multiplexing ⇒ more wire in a cable

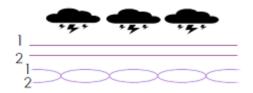
Pros: full duplex, inverse multiplexing - multiple path to share (one-to-many & many-to-one)

Cons:

too many adjacent wires  $\Rightarrow$  cross talk

long straight unsheilded wires ⇒ antennas problems remains

- Differential signalling
- Twisting wires



Assumption: noise source has a direction

- ⇒ twisting to make sure noise added evenly
- ⇒ use the reference line to record the noise and then fileter it out

Example: UTP (unshielded twisted paris - 网线)

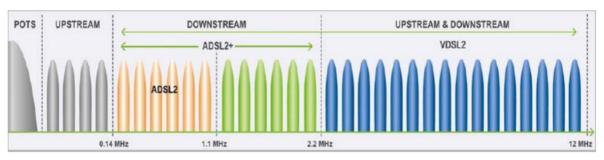
⇒ combin with shielding: STP/FTP ( shielded twisted / foiled twisted pair)

- Skew between Pairs:
  - Different lenghts between multiple pairs can result in un-aligned signal
    - ⇒ affects inverse multiplexing
    - ⇒ have to be in the same length within tolerance
- Resistance (Inpedance) Mismatch
  - Results in signal bouncing back to the sender
- Transmission on Copper
  - o Speed:
    - kHz to MHz, enhanced by different keying & multiplexing technology
  - o Distance:
    - Low data rate (< 1Mb/s) for longer distances (km)
    - High data rate (~100Mbs) for short distances (~500's m) E.g. DSL+
  - o Downside:
    - Propagation delay (speed of electricity in copper = ~3us / km)
      - ⇒ collision of two sender signalling at the same time
- Costs
  - o Deployment
  - Protect Damage
    - easy to have a shared backbone
    - last mile exposed in the real world insects, weathers, stealing, etc...
  - Last Mile Trad-off (last mile also refered as local loops sometimes)
    - cost of exchanges, distance for the final cable, quality of signal throught the wire
       Note: up tp 4+ km from their exchanges
    - scalability
- Existing Last Mile Technology

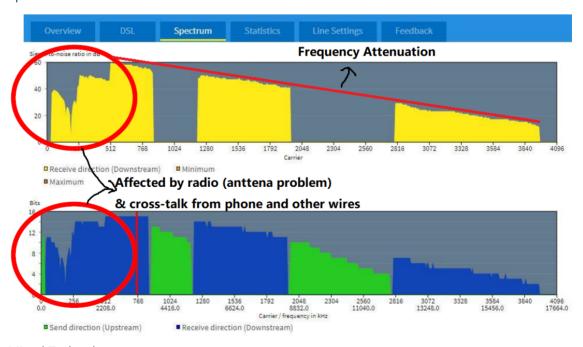
- o DSL based on existing telephone wires
  - evolving from ADSL to VDSL etc...

later, DSL+: 16-bits (65535) QAM, FDM, ...

Assymetric: more on downstream performance



- Pros: using the existing telephone line; co-exit with POTS (plain old telephone service)
- Cons: limited performance; performance decreases over the distance
  - ⇒ may deploy more DSLAM to make average distance shorter
- DSL Example:
  - 1. Computer -> modem (add info onto carrier)
  - 2. modem (s) -> DSLAM (aggregate signal from modems)
  - 3. DSLAM -> switch (decide which LAN it is in)
  - 4. switch -> router / switch (go to outer internet / transfer to another switch)
- o NBN National
  - Spectrum in Real World



- Mixed-Technology
  - 1. NBN FTTx (Fiber to the x)
  - 2. Hybrid Fiber Coax and etc...

### **Fiber**

Characteristics

### o Physical:

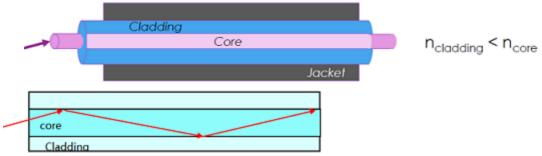
- Light weight, very robust to oxidation and water
- Easy to make thin cable
- Fragile when twisting & bending, hard to connect (need to melt it)
- Good at distance (several km is trivial)

#### Social:

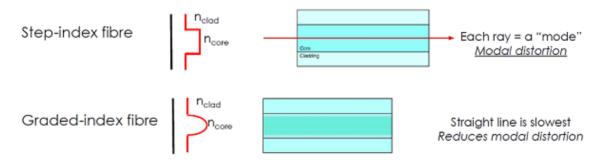
Expensive, compared to copper (yet price is decreasing)
 Note: fiber itself is okay, yet the end-point is expensive (⇒ usually use FTTx)

#### Electrical

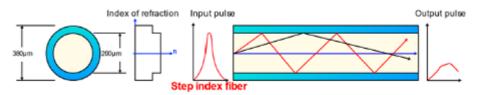
- Robust to electircal interference
- High throughput: much higher frequency (light) signal start at THz
- Noise in Signalling:
  - Oblique Light Leaks
    - use another layer of glasses to reflec the light (with in a 'critical angle'), wrapped with jacket



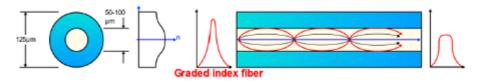
- Modal Distortion (varying distance for light to travel because of reflection)
  - use graded-index (缓变折射率) fiber instead of step-index (阶跃折射率) fiber
    - $\Rightarrow$  different kind of glass at different layer so that...
    - ⇒ speed up the light bouncing in the fiber & slow down the light going straight
    - ⇒ receiver can line up the light more easily



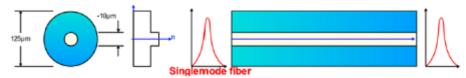
- Multi-mode vs. Single-mode (each ray = a 'mode')
  - 1. multimode fiber (MMF) step-index: more bandwidth, significant modal distortion



2. multimode fiber (MMF) graded-index: a few bandwidth, less modal distortion

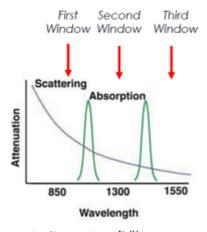


3. singlemode fiber (SMF): less bandwidth, good at travelling on long distance



Note: from 1.  $\rightarrow$  3. the performance increases, so does cost does not mix up different cables  $\Rightarrow$  performance suffers

- Fiber Connectors
  - Factors: dust; reflection at the end point
  - Solution: use curved faces at end point ⇒ focusing the light on one point
- Attenuation
  - Scattering: structures + materials in the fiber
  - Absorption: materials in fiber
  - Can be frequency dependent:



- o Chromatic dispersion (色散):
  - Factors: refraction index varies with wave length; hard to have a pure single wavelength lazer
  - Solution: Soliton pulses
- o Polarization mode dispersion (偏振模色散)
  - Core shape helps
- Setting up Fiber
  - o Multi-core Cable Design
    - Factors
      - 1. individual fibers are fragile  $\Rightarrow$  cable bundles up to 1024
      - 2. costs the same to deploy one or a bundle of fibers
      - 3. people wants their own cable for security..., though one fiber can carry whole internet
- Transmission over Light
  - o Electronic Data to Optical Signal

- Keying in optical signal:
  - E.g. OOK (on-off keying), QPDM (quatrature polarization division multiplexing)
- Pulse an LED: cheap, yet broad wavelength range, no nice pulse ⇒ though used in MMF
- chop a laser: can be small & at a high rate
  - 1. Cut the light using thin pins  $\Rightarrow$  noise at the edge of square wave (pysical effect)
  - 2. use the inveretd wave to cancel out the info wave
  - $\Rightarrow$  wavelength tunable on the fly  $\Rightarrow$  used in SMF

### o Speed

starts at THz, able to carry the whole internet traffic in on fiber (device can't catch up)

### Distance

- Normally...MMF: 1-2 km; SMF: 50-100 km
- Regenerate/repeat: every 50-100 km
   using expensive optics & electronics for OEO interfaces (optical-electronical-optical)
- Amplify: every 50-100 km using cheap electronics OR optics ⇒ amplifies both signal AND noise

#### o Downside:

- Not easily a shared medium ⇒ point-to-point
  - $\Rightarrow$  crosstalk still exists when sharing, in connectors and within fiber
- Can use one fiber for RX & TX
  - ⇒ need optical splitters at both ends; crosstalk effects
- Last Mile with Fiber
  - o Costs
    - existing copper vs. deployment fiber
    - deployment copper vs. deployment fiber
    - maintain copper vs. maintain fiber
  - o (G)PON Passive Optical Network
    - Technology used in the fiber part of the backbone, just before the last mile
    - Comparison with active network
      - 1. traffic from backbone splitted by splitters into cabinet depending on their destinations
      - 2. cabinet starts the last mile, sending only your info to you
    - Passive network
      - 1. traffic from backbone not splitted, sends all traffic on this fiber to all ends of this fiber (BFS)
      - 2. use TDM (time division multiplexing) at all fiber ends, to check if this piece of info is yours
      - 3. potentially RX&TX on the same fiber, using WDM (wavelength division multiplexing)
      - 4. security may suffer, yet business gains
  - General Approaches:
    - Push fiber as near as can afford / achieve

- FTTx (Fiber to the x)
  - ⇒ FTTP/B/C/N: Fiber to the Premises/ Building/ Curb/ Node
  - ⇒ fiber node -> FDU (fiber distribution unit) -> copper cable into house

Note: the position of FDU differes between 'x'

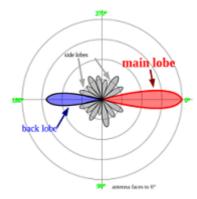
- Combine with copper
  - 1. using DSL
  - 2. HFC (hybrid fiber coax): share coax copper

Note: though coax affords 10 Gb/s, yet is shared

- ⇒ fiber node -> trunk coax -> trunk amplifier -> cable into house
- ⇒ peak speed might influenced

## **Wireless Communication**

- Characteristics
  - Distance
    - can go a very, very long way (satellite transmission)
  - Electronic
    - sensitive to atmospheric conditions and EM interference
  - Unguided transmission
    - on a broadcast & shared medium (free space)
- Noise in Signalling
  - Absorption
    - Gases, dusts
    - Structure & terrains
  - o Reflection, Refraction (折射) & Diffraction (衍射)
    - Temperature difference
    - Turbulance
    - Structure and terrains
      - ⇒ causes multipath reception (multiple delayed refelcted waves interferes)
    - Even varies with time and different wavelengths
  - o Noise
    - Extraneous signal in the free space
- Transmission in Wireless: Improvements
  - Transmiter & Receiver ⇒ Antennas
    - Omnidirectional (Broadcasting) antenna
      - ⇒ broadcasting to all direction, yet poor coverage for directly under the antenna
    - Directional antenna ⇒ more focus



Note: generally, O[n] in size, with n = wavelength

- Clearer Signals
  - More power shout louder
  - Decrease bitrate slow down
- Smarter to Deal with Environment
  - Frequency hopping (⇔ channel changing)
    - 1. detect traffic jam (lost / wrong messages)
    - 2. ask for re-allocation & try re-association (either actively or after the connection is lost)
    - 3. re-enter the session with the AP (access point), using the same credential info (Note: hopefully the APs reserve the same IP and session for a while)
  - Beam-shaping (directional antennas)
- Select the Right Wavelength (Frequency) & Power
  - Long wavelength (low frequency):
    - 1. Go around corner, through walls and waters  $\Rightarrow$  long distance & through obstacles
    - 2. Low data rate as a trad-off
  - Short wavelength (high frequency)
    - 1. high data rate
    - 2. need line of sight (easily blocked)
  - ⇒ Consider requirement of point-to-point vs. area coverage; obstacles; effective distance
- Use the Right (Allowed) Spectrum
  - Spectrum allocation sets the rule of using the shared free space (some are reserved for special use, e.g. military use)
  - Channel allocation with each spectrum
    - E.g. FM radio (85-108 MHz) in Canberra has 0.8 MHz channel spacing
- Covering Large Area with Wireless
  - Repeaters
  - Mixed with lined networks (link wireless to wired)
  - Coverage type
    - 1. fixed vs. mobile client  $\Rightarrow$  directional vs. broadcast
    - 2. point-to-point vs. cell coverage

- APs networks (mobile + cell coverage) ⇒ cell handovers
  - 1. negotiate with current APs to re-association (while the connection is still okay)
  - 2. APs aware the re-association keep the same IP & session
  - 3. enter the same session with credential info
- Spave wireless
  - 1. Forms: satellite to satellite; satellite to/from ground
  - 2. Handing over needed: satellite orbits
  - 3. Potentially high delay: long distance
- Wireless between earth and space (e.g. Google balloon)
  - 1. Pros: stable-ish location with greater coverage
  - 2. Cons: power & maintaining
- Longe range wireless: MIMO (multiple input multiple output, for 5G), MUSA-MIMO

## **Link Layer**

- Focus & Role
  - o Message Frame
    - various length:
      - 1. length specified in the frame
      - 2. start & end of the content denoted
    - targeted messages:
      - 1. destination address
      - 2. source address

### **LANs**

- Definition:
  - o LAN: local area network
  - o WAN: wide area network
  - o PAN: personal area network
  - $\Rightarrow$  Start of any kind comminication
- Design Principles:
  - o Simple
    - no guaranteed message delivery, correction or other specialized fedtures (real-time or etc.)
      - $\Rightarrow$  left to the software
    - focusing on transmitting one message from A to B
  - Efficient
    - multiplexing
- Multiplex in LAN
  - Fair Multiplexing vs. Statistical Multiplexing
    - not everyone talking at the same time
    - no one always spamming don't need all the bandwidth or all the time

- demand on capacity varies with time
- ⇒ Statistical multiplexing reduces capacity waste

(more time / channels / wires for the current users)

- Fair Access to Network
  - rules for trying to send
- Example Desings
  - o Simple Frame: need to be in synchronization

Framelength	Payload (addresses+message)
rrannoiong	r ayroda (dadrossos mossago)

• Frame with Flag: need an escape symbol to distinguish (e.g. the "\" to denote "\n" in C)

Flag+addresses	Payload (message)	Flag
riagradalesses	rayloda (message)	riag

- MAC (Media Access Control) & Sharing
  - o Address Scheme
    - hardwired to the network interface
  - Access Scheme Randomized Access on Shared Media
    - send and then detect
      - 1. send the frame
      - 2. detect collision on the wire
      - 3. wait for acknowledgement
      - 4. on collision or no acknowledgement  $\Rightarrow$  back-off for a random time & re-send

Pros: simple, effective in low traffic networks

Cons: actual performance depends on back-off scheme, not scalable

- Carrier-Sense Multiple Access / Collection Detection (CSMA/CD)
  - 1. sense for carrier till no collision
  - 2. send frame
  - 3. detect potential collision because transmit on wires takes time
    - ⇒ upper limit time for any potential collision to occur (bounded by wire length)
    - ⇒ can have a minimum frame size (need to wait for collision detection anyway)
  - 4. back-off for a random time in collision detected

Pros: good for wired network

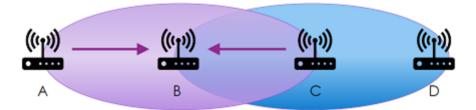
Cons: not working in wireless

- Carrier-Sense Multiple Access / Collection Avoidance (CSMA/CA)
  - 1. sense for carrier till no collision
  - 2. wait for a random time  $\Rightarrow$  reduce the possibility of sending frame at the same time
  - 3. send frame
  - 4. detect collision & re-try on detected

Pros: better for wired network as wait before send

Cons: not working in wireless either

- o Back-off Scheme
  - Limitation: not too short & not too long
  - Ideal back-off time: depends on the number of devices in the LAN
  - Approximating the ideal time: Binary Exponential Back-off (BEB):
    - 1. counting the detected collision in a relatively recent history
    - 2. for the  $n^{\rm th}$  collision, wait for a random number between  $[0,2^n-1]$
- Access Scheme Wireless
  - Problem of wireless environment
    - 1. cannot detect the whole network from a corner
      - ⇒ because of limited coverage of each cell
      - ⇒ different Tx can transmit to one Rx with out noticing interference
      - ⇒ hidden terminals: A, C are hidden from each other and can talk to B at the same time



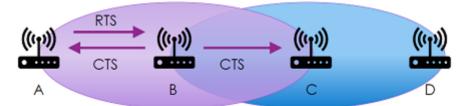
- 2. local Tx (e.g. its own Tx) are much louder than remote Tx
  - ⇒ detect fake collision, thus wasting bandwidth
  - ⇒ exposed terminal: C detects collision because of B talking to A



- Multiple Access Collision Avoidance (MACA) handsahe before yelling
  - 1. sender: request to send (RTS), providing the frame length N
  - 2. anyone hears RTS stay silent for receiver's CTS
  - 3. receiver: clear to send (CTS), providing frame length N
  - 4. sender transmits the frame & everyone hears CTS stay silent for N

Pros: now, the receiver decides the collision instead of the sender itself

⇒ fixing hidden terminals problem: C knows A is sending after CTS



⇒ fixing exposed terminal problem: B, C not influenced by others' CTS



- Access Scheme Contention-free access
  - Token rings
    - 1. generates tokens rings (special frame)
    - 2. pass the token along the rings, under the path-selecting scheme
    - 3. only talk when token at hands

Pros: time multiplexing ⇒ guaranteed no contention

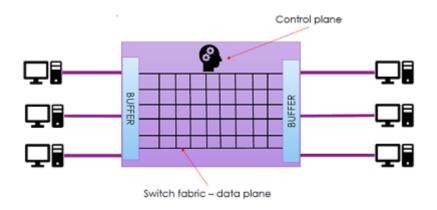
Cons: token may lost & hard to detect and re-generate & not adaptive to topologies change

⇒ fragile to error & not scalable

- Topologies
  - Bus topologies
    - needs repeater if too long
    - too much collision if many devices
    - ⇒ does NOT scale
  - Switch
    - a device sitting in the center to learn the source / destination addresses from traffic
    - makes every link point-to-point: source -> switch -> destination
    - ⇒ more scalable

yet, people may employ policy on switch (slowing down the traffic)

- Different LANs design
  - o General LAN (customer level)
    - bluetooth, 4G, Ethernet standards ...
  - o Carrier-grade LAN (service level guaranteed performance)
    - ATM (Asynchronous Transfer Mode), GPON (Gigabit-capable Passive Optical Networks), ...
  - o Dat-center LAN (specific for high volumn, short distance)
    - FibberChannel, ...
- Switches



- Learn the Address on the Air
  - Recording all source address of incoming message
  - New / Unknown address:
    - 1. broadcast to find the address & record the address  $\Rightarrow$  may suffer if cyclic
    - 2. hope that address show up (send incoming message)
- Cyclic Swtiches Hierarchy
  - Reasons:
    - 1. spaicial multiplexing more wires
    - 2. redundancy
    - 3. short cuts
  - Broadcasting storm: with no global view, leads to recursive broadcasting
  - Spanning tree: disable some path ⇒ reduce to tree architecture
    - 1. everyone think itself as root
    - 2. broadcasting & forward its current info to select a root on set-up (flooding)
    - 3. select the shortest path from root using hop count
    - 4. turn off ports not on the tree

Compared to flooding: maintain the reduced topologies instead of the whole map

- Virtual Lan
  - o Reasons
    - Separation of traffic : logically separated network on the same infrastructure
      - 1. protect confidential info
      - 2. ensure devices in communication are compatible (computer cannot talk to phone)
      - 3. easy re-configure the LAN Structure on the
    - Prioritization of traffic
      - 1. drop frames accordingly when busy
  - o Implementation
    - Tagging the port address into groups
    - Tagging the frames accordingly

### **LAN - Ethernet**

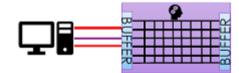
- Advantages:
  - o scalablity:
    - plug-and-play
  - backward compatbility
    - negotiate on connection
- Auto-Negotiation
  - o Capability Negotiation
    - both ends communicate in "fast link pulse", containing requirement of:
      - 1. Speed

- 2. Duplex
- 3. Rx & Tx Detection
- Topologies Change devices connect / disconnect
  - Heatbeat: device sends out a "normal link pulse" to remind the network of me

#### • Fthernet Frame

Preamble				802.1Q tag [opt]	1 / 1 .	Payload	Checksum
7 byte	1 byte	6 byte	6 byte	4 byte	2 byte	42-1500 byte	4 byte

- o Preamble:
  - 1-0 bits sequence
  - wake up the receiver & synchronize
- MAC Addressing
  - originally plan to offer globally unique address
  - some address for sepcial use, e.g. "all ones" for broadcasting
  - have special bit for: multi-cast ⇒ send / receive messages from a group
- o Tag:
  - virtual lan tags
- o Type / Length:
  - different types of frame denoting the purpose
- Bigger Frames
  - Overhead of Ethernet Frame
    - ~30 bytes meta-data / 1500 bytes data  $\Rightarrow$  3~5% bandwidth lost
    - more bandwidth  $\Rightarrow$  more frames  $\Rightarrow$  more read/write  $\Rightarrow$  traffic jam
  - o Jumbo Frames
    - 9000 bytes payload
- Protocol:
  - o Listening all frameson the wire until destination is my address
  - o Can collect all frames transfering on the wire
- Link Aggregation / Trunking



- o Advantages:
  - performance
  - redundancy
- o Restriction:
  - need to use identical link for each port
  - frames order not changed
  - no partial frame (independent interfaces / devices / netwrok cards at other ends)

- o Protocol:
  - on set-up, checking if using aggregation
- o Model for Aggregation: selecting the path for frames
  - Round-robin: using each path in turns
  - Active back-up: use one path till broken
  - Random: randomly choose

### **LAN - Wireless LAN: WLAN**

- Interference
  - o Dealing noise
    - Adapt power: shout louder
    - Adapt rate: slow down e.g. 1b/10b (encoding 1 bit into 10 bits)
  - Statistical Multiplexing and Frequency Hopping (⇔ channel changing)
    - choose the frequency to change to using statistical random method
  - Beam-Forming and Spaical Multiplexing
    - multiple input multiple output (MIMO) ⇒ multiple antennas for beam-forming
- Channels
  - o 2.4GHz
    - most channels overlapped
  - o 5GHz
    - larger spectrum space

Y Mh/s

- channels does NOT overlap
- can bind channels into a wider channel ⇒ higher bandwidth for each channel
- built-in frequecy hopping in the standard

V 116/2

• Frames in WLAN

/\ /VI	D/3	1 1/10/5			
Preamble	Start Frame	PLCP	Header	Payload	Checksum
7/16 byte	1 byte	6 byte	30 byte	0-2312 byte	4 byte

Frame Control	Duration/ Connection	Addr 1	Addr 2	Addr 3	Seq Ctrl	Addr 4
2 byte	2 byte	6 byte	6 byte	6 byte	2 byte	6 byte

- o Preamble
  - wake up the receiver
  - need to negotiate the frequency for EACH frame
    - $\Rightarrow$  to start the negotiation, Preamble is sent under a standard specific speed
- o Frame Control
  - denote the encoding / meaning of the rest of this frame

- 1. control frame: control the communication with AP (acess point)
  - e.g.request to send (RTS), clear to send (CTS), acknowledgement (ACK), ...
- 2. management frame: manage relations with AP (acess point)
- 3. data frame: sending the data
- o Reliability
  - detect error & drop frames
  - detect error & fix frames at receiver
  - detect error & sender sends again (WLAN default, as using acknowledgement)
    - 1. when resending, need to tag the frame as "resending", because acknowledge may lost
    - 2. may delay the performance because of delay
- Association with AP
  - need to know:
    - 1. connection service (service set identifier SSID)
    - 2. APs that accept this SSID
  - beacon / probe-request
    - 1. beacon: AP broadcast
    - 2. probe-request: client broadcast
  - authentication

AP: connect to service database to check the ID-key (instead of storing info in local)

- associate on to AP
  - 1. resource allocation
  - 2. re-associate
  - 3. dis-connect (free resource)

## **Network Layer**

- Focus & Role
  - Message Packets
    - Definition: fragments of message & smallest unit of data in the ne
    - Reasons: use spacial multiplexing more ⇒ more parallel
  - o Traffic Control
    - Optimized routing ⇒ no order guaranteed
    - Prioritization
    - Compared with LAN:
      - 1. LANs focus on similicity, instead of optimization
      - 2. Spanning Tree can NOT guarantee optimal topology
  - Scaling Problems
    - Internet accross the world
    - Compatible to diffrent underlying LANs
  - Compatible for Different LANs structure

- Routing as a layer upon LANs ⇒ network layer
- Adaptive to Change
  - Coping the evolving network topology
- o Simplicity & Best-effort
  - Connection stage stored at ends
  - Minimal service level agreement ⇒ no guarantee but best effort (reliability provided only where it needed)

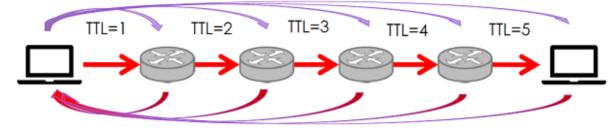
#### Router

- Forwarding
  - Happens within each router, based on its forwarding table
  - Distributed decision making
- Routing
  - Happends on the globale level (in routers network)
    - ⇒ optimizing routing causes each router optimize its forwarding table
  - lacktriangle Focus on packet  $\Rightarrow$  packets usually arrive in different order than that of when it's sent
- Forwarding Table
  - packet forwarding table
    - 1. forward packet based on its destination address
    - 2. mor robust to router failure (find another path)
    - 3. learn / optimize forwarding table on the fly
  - circuit forwarding table
    - 1. forward packet based on the tag on packet
    - 2. storring states & policy in networks ⇒ virtual network
      - $\Rightarrow$  separate traffic, guaranteed performance (bandwidth, path, delay...), prioritized routing
    - 3. overhead of setup / tear down the circuit (resource allocation / cleanup
    - 4. more guaranteed performance
    - 5. more fragile  $\Rightarrow$  not able to recover from nodes failure automatically

### Netwrok

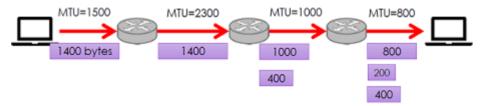
- Routing on Packtes
  - statistical multiplexing sharing links
  - decision made in destributed routers
  - no guarantee on arrived packets' order (or dependency)
- o Connectionless vs. Connetion-oriented
  - $\blacksquare$  Connectionless  $\Rightarrow$  packet forwarding network makes all decisions, in each distributed router
  - Connetion-oriented ⇒ circuit forwarding
- Hosts

- Sending Packets
  - send to local LANs service (switches)
  - switche decides the forwarding direction
    - $\Rightarrow$  router outer internet
    - $\Rightarrow$  local hosts in my LANs
- Hosts Routing Table
  - longest matching prefix + broadcasting address
- Communicating with Link Layer
  - Reason
    - link layer deals with only MAC address
      - $\Rightarrow$  communication across layers (IP  $\Leftrightarrow$  MAC)
    - link layer sends only its frames
      - ⇒ inter-changing of IP packet and link frames, especially address
  - The Address Resolution Protocol
    - source MAC: read from local hardware
    - destination MAC:
      - 1. sender broadcasts LAN frame to call for corresponding IP address
      - 2. receiver replies with its MAC address
    - optimizations
      - 1. caches the MAC address (with time-out)
      - 2. cache passing IP address (when others broadcast & reply)
      - 3. upon connection on LAN, broadcast my IP address (MAC address in frame's address feild)
- Allocation of Address
  - Consideration
    - globally-unique address
    - address aggregation
  - Authorities
    - allocate regional IP addresses blocks to regional internet registries
    - registries allocate IP addresses blocks to ISP
- Internet Control Message Protocol
  - o Aims
    - special packet for router to inform the hosts (usually senders, including routers)
  - Traceroute

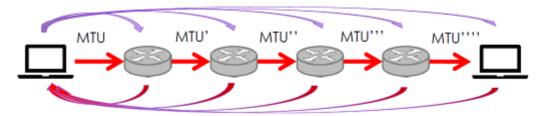


ICMP TL expired (11/0)

- Sending message with increamenting TTL
  - $\Rightarrow$  the  $i^{ ext{th}}$  router sends back with corresponding exceptions (via control messge protocol)
- ⇒ host can find out the path its packet is taking
  - TTL: time to live (in hops count)
- Fragmentation in IP
  - Slicing Packets
    - packet bigger than LAN's payload ⇒ sliced packets

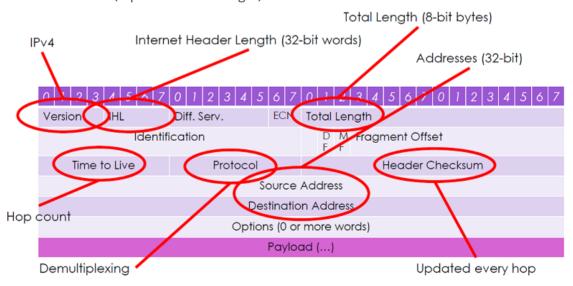


- Realizing the need of slicing:
  - packet size > MTU (maximum trasmission unit)
- Flags to inform the next router:
  - 1. Identification field: key to identify a packet uniquely
  - 2. Fragment offset: the offset of this packet in the original big packet
  - 3. MF: more fragment flag  $\Rightarrow$  more fragment of packets after me
  - 4. DF: don't fragment flag  $\Rightarrow$  no more fragment after me
- Trasmitting sliced packets
  - 1. <u>copy</u> IP Header, including identification each sliced packet belongs to the original packet
  - 2. adjust Length, Checksum & TTL (time to live) feilds of each sliced packet
  - 3. set fragment offset & MF/DF flags
  - 4. receiver re-assemble accordingly
- Potential problem
  - 1. more work for routers
  - 2. more potential internal packet loss
  - 3. security issue (injection within packet)
- $\circ$  Avoiding fragment in IP  $\Rightarrow$  path MTU discovery
  - Using internet control message protocol similar to traceroute



ICMP Destination unreachable (Code 3)
Fragmentation required, and DF flag set (Type 4)
Data = next-hop MTU

- $\Rightarrow$  sender send at the lowest MTU
- ⇒ router focuses on sending packets
- IP multicasting
  - Definition
    - mutilcast to only a group of users, compared to broadcast
  - o Challenge
    - sender may not be able to handle thousands of requests & data streams
  - Approach
    - usres subscribes to the sender, using special message / packet
      - $\Rightarrow$  all routers on the path know the subscription
- Internet Protocol IP
  - o IP v4
    - Protocol overview (top-left -> bottom-right)



Note: checksum needs to be update at every router (hop counts changing)

Fixed Variable

1. total length - 32 bits

Addressing

- 2. prefix denoting a netwrok, containing a range of the address
  - ⇒ fewer entry in forwarding table
- 3. host addresses denoting the subnet under this network (denoted by prefix)
- 4. "/x" x bits for host addresses; (32-x) bits for prefix

### Special addesses:

- 1. private networks, multicasting, broadcasting, experimental, local interface, ...
- 2. convention: sub-net wires, sub-net broadcast, local router, ...

### Classes

- 1. denoted by first few bits of the address
- 2. denoting different function of current packet (broadcastin, ...)

## Forwarding by prefix

1. Prefix:

network address

2. Assumption:

addresses aggregated into ranges

3. Benefits:

aggregation benefit of hierarchical addresssing  $\Rightarrow$  less entries, routing efficiency more flexible for directing specific trafic

## 4. Forward by longest matching prefix

default behavior for shorter (less-specific) prefixes

specialized behavior for longer (more-specific) prefixes

 $\Rightarrow$  allow to route sub-chunks (some specific) of address to other hops / routers choose the one that match the most  $\Rightarrow$  "best-effort service"

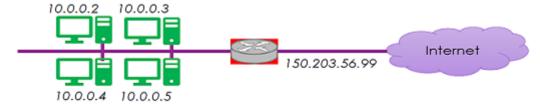
### Addresses exhaust

- 1. problem  $\Rightarrow$  no more available addresses & large amount of wasted addresses
- 2. current solutions

re-allocating smaller chunk of addresses

- ⇒ addresses aggregation damaged
- ⇒ larger forwading table & more updates
- $\Rightarrow$  routing efficiency  $\downarrow$

NAT ( Network Address Translation) - use private address space behind a public IP address



3. future solution: IPv6

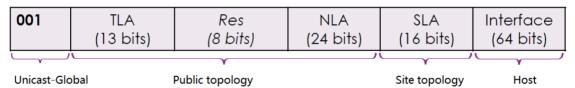
- Potential & exisiting problems
  - 1. designed in a smaller & more trusting world
    - ⇒ lack of security, mobaliity and compatibility concern
  - 2. out of addresses & routing efficiency problem
- o IP v6
  - Protocol overview

0 1 2 3	4 5 6 7 0 1 2 3	4 5 6 7	0 1 2 3 4 5 6 7	0 1 2 3 4 5 6 7		
Version	Traffic class		Flow label			
Payload length			Next Header Hop Limit			
		Source	Address			
		(128	3-bit)			
		Destinatio	n Address			
		(128	3-bit)			
Payload ()						

1. larger address sapce: 128-bits

2.

Addressing



- 1. 3-bit header:
- 2. TLA: top level aggregator global ISP
- 3. Res: reserved
- 4. NLA: next level aggregator site
- 5. SLA: site level aggregator subnet
- 6. Interface: address in local subnet host

Advantage: explicit addresses aggregation

- Transferring to IPv6
  - 1. dual stack: routers run both (2 separate pathways)
  - 2. translate: convert  $IPv4 \leftrightarrow IPv6$
  - 3. tunneling: pack IPv6 packet inside IPv4 packet until a router recognize IPv6 (v4 everywhere)

## **Transport Layer**

- Focus & Role
  - o Message Segment

- Definition & components:
  - 1. functionality & quality (including reliability) for applications
  - 2. host-to-host message
- Main Services
  - Reliability
  - Communication between hosts (on their ports)
- Port and IP addresses
  - IP address for host
  - Port for applications ⇒ port binding:
    - 1. port allocated on memory;
    - 2. client connects to an exposed port;
    - 3. server maintain the concurrency from inside
- Service Types
  - Reliability:
    - 1. reliable: packet loss repaired at transport layer
    - 2. unreliable: reliability offload to applications
  - Communication froms:
    - 1. messages: self-contained command and response
    - 2. byte-stream: generic flow of bytes, chunked into segments

 $\Rightarrow$ 

	Unreliable	Reliable
Messages	UDP (datagrams)	
Byte-stream		TCP (Streams)

- TCP vs. UDP
  - Comparison
    - TCP: many features, able to negotiate
    - UDP: enhanced packet

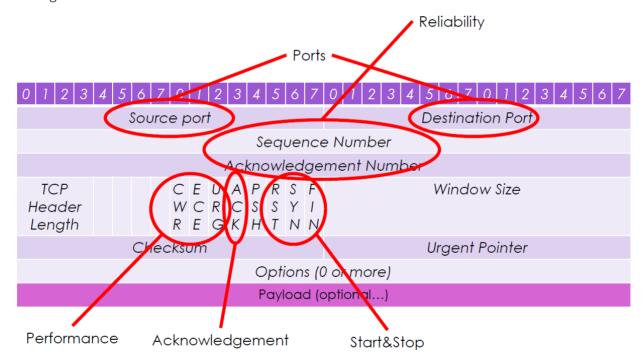
TCP	UDP
Connection-oriented (significant state in transport layer)	Connectionless (minimal state in transport layer)
Delivers BYTES: once, reliably, in order	Delivers MESSAGES: 0-n times, any order
Any number of bytes	Fixed message size
Flow control (sender/receiver negotiate)	Don't care
Congestion control (sender/network negotiate)	Don't care

o Situation for UDP - multicasting

- connectionless
- Replicate segments or packets are fine
- Missing (some) segments or packets are fine
- o Sending Byte-stream TCP
  - Chunks of byte-stream in segments message boundaries not reserved
  - Read / write on buffer
- UDP Segment

0 1 2 3 4 5 6 7 0 1 2 3 4 5 6 7	0 1 2 3 4 5 6 7 0 1 2 3 4 5 6 7					
Source Port	Destination Port					
Length	Checksum					
Payload ()						

- o Ports
  - associate segments with applications / sessions
     note: application can use multiple ports
- TCP Segment



- o Options
  - Maximum segment size
  - Window sacle upon window is full will acknowledgement sent
  - Time stamp
  - Selective acknowledgement advanced acknowledgement
- Reliability
  - Important components: sequency number, acknowledegement
  - Sequence number: byte count in a stream (in  $\mod n$  space)  $\Rightarrow$  can be used as relative time stamp

Note: does NOT start from  $0 \Rightarrow$  security reason

- Acknowledgements: with sliding windows with size w & selective repeat
  - $\Rightarrow$  more parallel
    - 1. sender

allows w segments to be outstanding (no ACK provided) - sliding window a timer for every unACKed segment - re-send after time-out

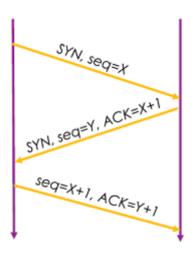
### 2. receiver

buffers many segments ⇒

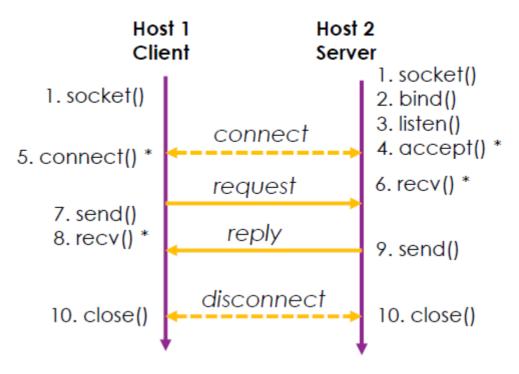
ACK received segments

request missing segments - which is in gap of segments stream & the future

- 3. Pros: no need to suspend on every segment  $\Rightarrow$  more parallel
- o Connection in TCP 3 Way Handshakes
  - Reasons:
    - 1. TCP is full-duplex  $\Rightarrow$  need to connect two independent paths for each direction
    - 2. need to start together ⇒ negotiate synchronization & initial Seq (sequence number)
  - Procedure:



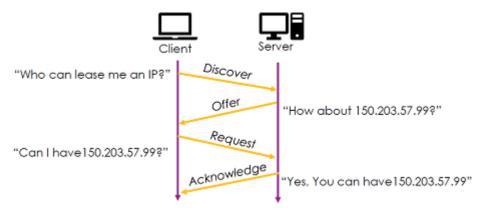
- Connection between Applications
  - Necessary components:
    - source & destination IP addresses
    - source & destination ports
    - protocol
  - Socket API:



- Note: \* = potential blocking calls
- o NAPT (Network Address and Port Translation)
  - NAT: hiding behind a public IP address
  - NAPT: hiding behind a public IP + translate outbound port into host's actual port

## **Application Layer**

- Focus & Goal
  - Build Sessions
    - Sessions: a series of interactions
    - based on TCP reliable byte-stream or UDP unreliable messages, or combination / extension
       ...
  - Presentation of Content
    - Interpret content: interpret message/byte-stream inside TCP/UDP segments' payload
    - Handle Command: handle request & control from both ends
  - o UDP-based Application
    - Short messages ⇒ light server touch ⇒ simple request-response transaction
  - o TCP-based Application
    - Large content change  $\Rightarrow$  longer & complex sessions
- Dynamic Host Configuration Protocol (DHCP) Getting IP Address
  - o Goals:
    - allocate IP address
    - automatic configuration, instead of manual
  - Negotiation procedure



- Need to broadcast to discover
- need to request the IP address after being offered (backward compatibility)
- can directly request the IP address in hand, when it is close to expired
- o DHCP relays (转接):
  - DHCP server in the middle;
  - Relays on router/switches...
  - lacktriangledown Relays forward the request to the server (broadcst ightarrow unicast)
- o Multiple DHCP
  - Reasons: performance, robustness
- o DHCP service with orther services
  - on the default router (to the internet)
  - with DNS service
- Domain Name Service (DNS)
  - o Goal & Reasons
    - Changing IP address
      - 1. IP address expired
      - 2. LANs re-configure
      - 3. physical movement to other places
    - Human readable name
  - o Definition
    - Names for humans
    - Addresses for underlying protocols and applications
    - Resolution finding the right servers (authority) to find the requested IP names

Note: 1 name can have multiple addresses; 1 addresses can have multiple names

- o Design
  - Distributed control
  - Hierarchical namespace delegate to authorities (for them to be responsible for, legally)
  - Automated protocol & handling
- o Namespace
  - Root: '.' (usually dropped)

- Top level domain (TLD):
  - 1. classification com, edu, org, net
  - 2. contry code au, us, jp, cn, ...
- running down the hierarchical to the local host

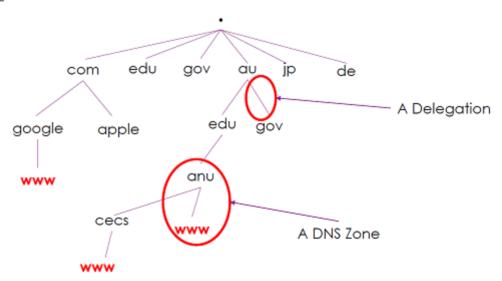
### o Domain

- Delegated to authorities; authorities hold legal responsibility
- Responsibility covers the subtree starting form the delegated point

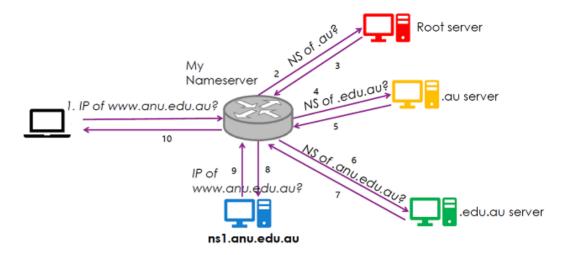
### o Zone

- Shared pieces of DNS database through technology
- Recording the information about:
  - 1. meta data of the the zone
  - 2. further relations (delegations)
  - 3. resource records: addresses and corresponding DNS names, services & other meta data (includes time stamps used for cache)

### o Example



- Resolving the IP address (Resolution)
  - Iterative query to resolve IP address
    - 1. example:



### 2. Improvement - Caching

- ⇒ cache information with an expired time
- $\Rightarrow$  dirrectly knows the authorities / IP address for the next query (within a time) shortcut
- Nameserver, name & IP addresses replication:
  - 1. ask more than one server ⇒ spread the workload & risks
  - 2. more IP  $\Rightarrow$  more hosts, prevent nodes fail-over
  - 3. more names  $\Rightarrow$  less typo

Note: enable prioritization, with other addresses

Security issues

can change the cache in router - man-in-the-middle

- 1. make a query by yourself;
- 2. draft a reply, including a valid source address & guess the ID (enumeration will do);
- 3. (router usually check only destination addr, matching ID, is it answering query)
- More security: signature, public-private keys, ...
  - $\Rightarrow$  validate along the way  $\Rightarrow$  building a trust chain
- lacktriangledown Policy: can change the query on the fly  $\Rightarrow$  enable policy, e.g. content check, DNS polluting
- Dynamic DNS NAPT
  - 1. register a DNS name on DNS server for my host  $\Rightarrow$  server handle the address change
  - 2. host address change: local IP address (private address) change  $\Rightarrow$  router port change
  - 3. message sent to me will find the right port through DNS (DNS will query my router)
- HTTP Protocol Most Common Used Web Application
  - Focus
    - Deliver associated content
    - Linking related content on the web, instead of fetching everything to local
    - Light weight (initially designed) used with UDP as transport layer sometimes
  - o URI vs. URL:
    - URI uniform resource identifiers: identifier, identifying anything
    - lacktriangledown URL uniform resource locator: an example of URI  $\Rightarrow$  location on internet

- o URLs Schemes
  - Various schemes
    - server name in DNS, IP addresses, HTTP protocol, resource on the host, query to server
- o Dynamic & Static Contents
  - Procedure



purple: communication & data between server and client

red & green: interpret & execute command on server side

blue: get input / command and execute program on the local - security concerns

- 1. parse URL & resolve DNS
- 2. connect to the host
- 3. make HTTP request
- 4. receiver contents
- 5. close TCP/UDP connection & render the page
- HTTP Request
  - Basic Command
    - 1. GET: get resource
    - HEAD: get the headers about the resource (meta-data)
       enable backward compatibility
       enable re-direction for performance reasons (e.g. redirect to closer server)
    - 3. POST: append my contribution to the host
  - Feedback
    - 1. 1xx not used currently;
    - 2. 2xx OK (successful); 3xx redirection (no longer in this address)
    - 3. 4xx hosts' problem, e.g. 403 bad request; 5xx server has problem
- States in HTTP
  - Default
    - 1. Stateless server should not hold state (too much each session needs a key)
    - 2. Stand along query
  - Session Key in URL
    - 1. Key: encoded in URL
    - 2. Passing the Key: as part of query  $\Rightarrow$  server interprets it from the query
  - Session Key in Cookies
    - 1. Key in cookies: set & offered by server; held by client

- 2. Passing the Key: include the cookies in the communication with server, if relevant
- 3. Type of Cookies

session cookies - deleted when page closed persisten cookies - static cookies with expire time

## o Efficiency in HTTP

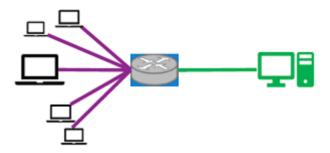
Parallelism

use idle bandwidth & potential distributed servers

Persistence

use one TCP connect for all requests
need only one connection set-up (3-way handshakes)

- Pipeline
   send all requests and wait for all resource from server (full-duplex)
- Caching
  - Browser (local) level
     cache on demand (cache the most popular one)
  - 2. Proxy level proxy cache (⇔ caching proxy) cache on routers on local LAN - closer to client share the cache in the LAN - enable security check & policies



- Network server level Content Distribution Network (CDN)
  - 1. distributed file system over internet DNS redirects client to the best cache
     ⇒ server offload; content closer to clients
  - 2. cache before the request  $\Rightarrow$  as a way of sharing distributed servers

