

# Neural Networks



# Overview

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- **Basics of Neural Network**
- Advanced Features of Neural Network
- Applications I-II
- Summary

# Basics of Neural Network

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- What is a Neural Network
- Neural Network Classifier
- Data Normalization
- Neuron and bias of a neuron
- Single Layer Feed Forward
- Limitation
- Multi Layer Feed Forward
- Back propagation

# Neural Networks

## *What is a Neural Network?*

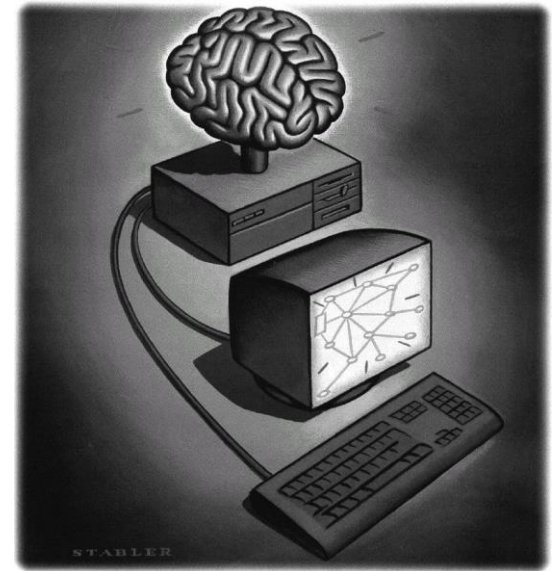
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- Biologically motivated approach to machine learning

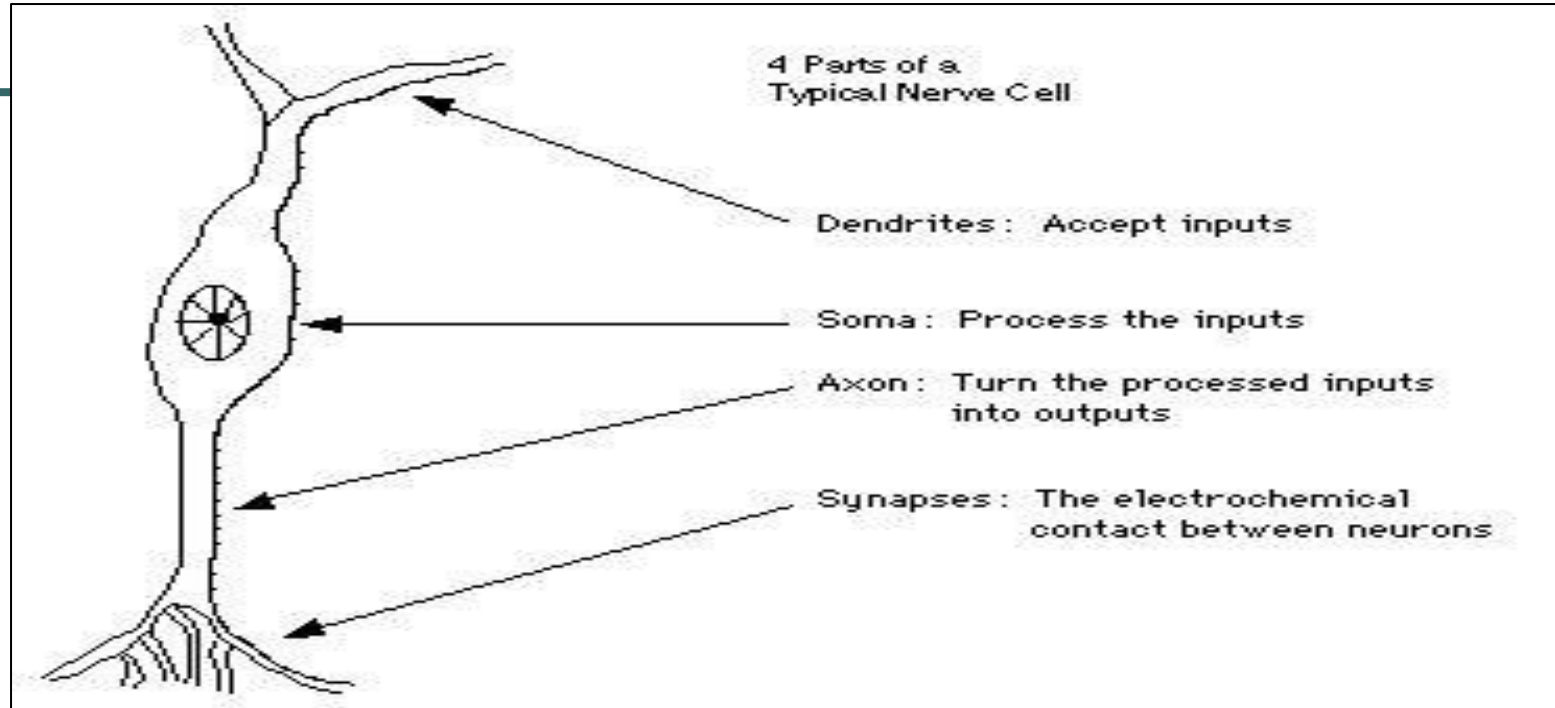
### Similarity with biological network

Fundamental processing elements of a neural network is a neuron

1. Receives inputs from other source
2. Combines them in some way
3. Performs a generally nonlinear operation on the result
4. Outputs the final result



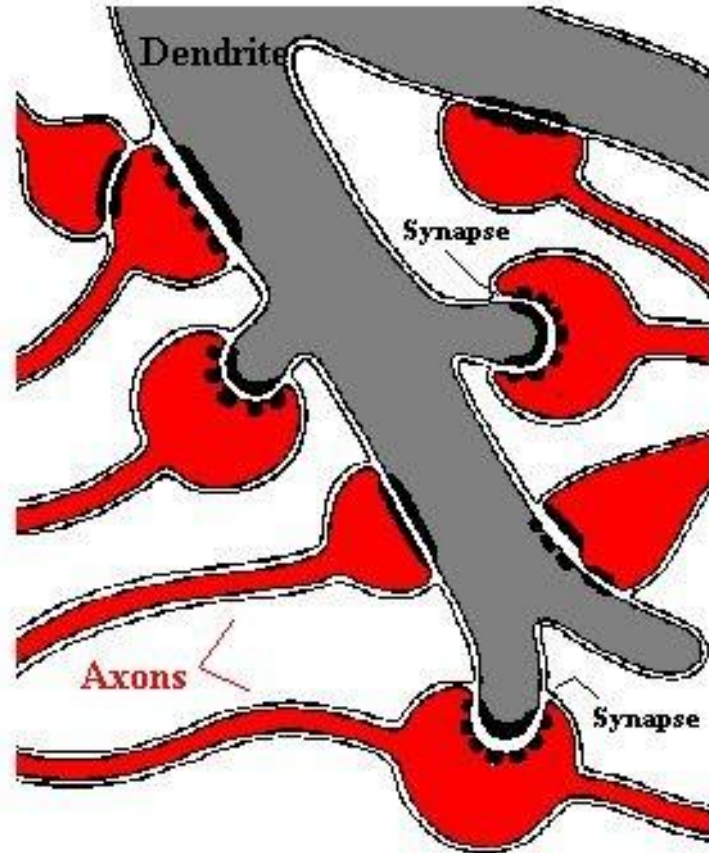
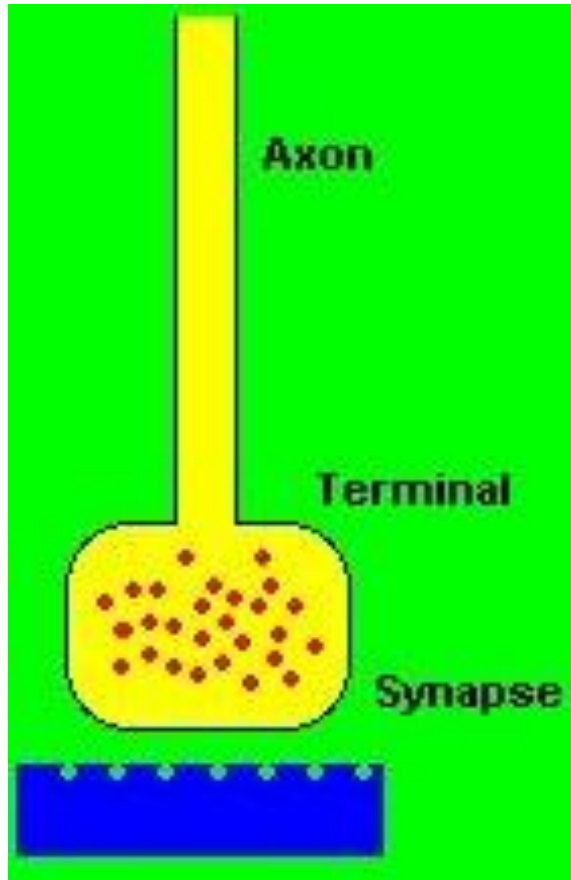
# Similarity with Biological Network



- Fundamental processing element of a neural network is a neuron
- A human brain has 100 billion neurons
- An ant brain has 250,000 neurons

# Synapses, the basis of learning and memory

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# Neural Network

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- **Neural Network** is a set of connected INPUT/OUTPUT UNITS, where each connection has a WEIGHT associated with it.
- **Neural Network** learning is also called CONNECTIONIST learning due to the connections between units.
- It is a case of SUPERVISED, INDUCTIVE or **CLASSIFICATION** learning.

# Neural Network

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- **Neural Network** learns by adjusting the weights so as to be able to correctly classify the training data and hence, after testing phase, to classify unknown data.
- **Neural Network** needs long time for training.
- **Neural Network** has a high tolerance to noisy and incomplete data



# Neural Network Classifier

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- **Input: Classification data**  
**It contains classification attribute**
- Data is divided, as in any classification problem.  
[Training data and Testing data]
- **All data must be normalized.**  
(i.e. all values of attributes in the database are changed to contain values in the interval  $[0,1]$  or  $[-1,1]$ )  
Neural Network can work with data in the range of  $(0,1)$  or  $(-1,1)$
- **Two basic normalization techniques**
  - 1 Max-Min normalization
  - 2 Decimal Scaling normalization

## Data Normalization

[1] Max- Min normalization formula is as follows:

$$v' = \frac{v - \min A}{\max A - \min A} (\text{new\_max } A - \text{new\_min } A) + \text{new\_min } A$$

[minA, maxA , the minimum and maximum values of the attribute A  
max-min normalization maps a value  $v$  of A to  $v'$  in the range  
{new\_minA, new\_maxA} ]

## Example of Max-Min Normalization

### Max- Min normalization formula

$$v' = \frac{v - \min A}{\max A - \min A} (\text{new\_max } A - \text{new\_min } A) + \text{new\_min } A$$

**Example:** We want to normalize data to range of the interval [0,1].

We put: **new\_max A= 1, new\_minA =0.**

Say, max A was 100 and min A was 20 ( That means maximum and minimum values for the attribute ).

Now, if  $v = 40$  ( If for this particular pattern , attribute value is 40 ),  $v'$  will be calculated as ,  $v' = (40-20) \times (1-0) / (100-20) + 0$

$$\Rightarrow v' = 20 \times 1/80$$

$$\Rightarrow v' = 0.4$$

# Decimal Scaling Normalization

## [2]Decimal Scaling Normalization

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Normalization by decimal scaling normalizes by moving the decimal point of values of attribute A.

$$v' = \frac{v}{10^j}$$

Here j is the smallest integer such that  $\max|v'| < 1$ .

Example :

A – values range from -986 to 917.     $\text{Max } |v| = 986$ .

$v = -986$  normalize to  $v' = -986/1000 = -0.986$

# One Neuron as a Network

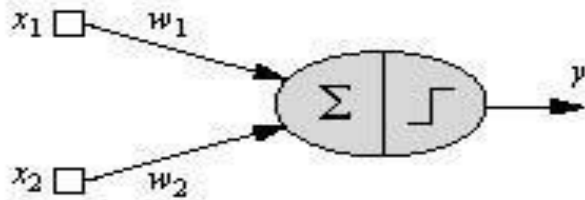


Fig1: an artificial neuron

- Here  $x_1$  and  $x_2$  are normalized attribute value of data.
- $y$  is the output of the neuron , i.e the class label.
- $x_1$  and  $x_2$  values multiplied by weight values  $w_1$  and  $w_2$  are input to the neuron  $x$ .
- Value of  $x_1$  is multiplied by a weight  $w_1$  and values of  $x_2$  is multiplied by a weight  $w_2$ .
- Given that
  - $w_1 = 0.5$  and  $w_2 = 0.5$
  - Say value of  $x_1$  is 0.3 and value of  $x_2$  is 0.8,
  - So, weighted sum is :
- $\text{sum} = w_1 \times x_1 + w_2 \times x_2 = 0.5 \times 0.3 + 0.5 \times 0.8 = 0.55$

# One Neuron as a Network

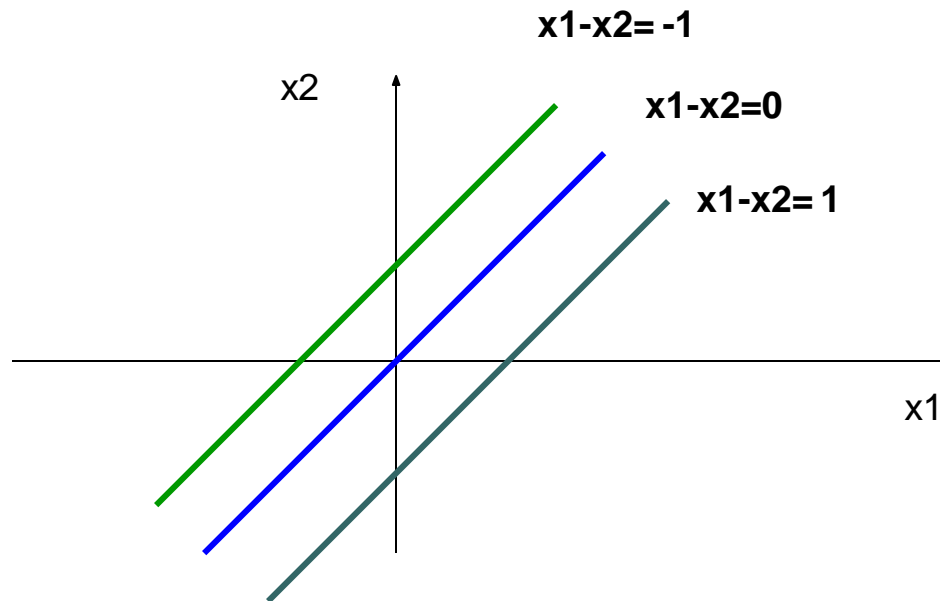
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- The neuron receives the weighted sum as input and calculates the output as a function of input as follows :
- $y = f(x)$  , where  $f(x)$  is defined as
- $f(x) = 0$  { when  $x < 0.5$  }
- $f(x) = 1$  { when  $x \geq 0.5$  }
- For our example,  $x$  ( weighted sum ) is 0.55, so  $y = 1$  ,
- That means corresponding input attribute values are classified in class 1.
- If for another input values ,  $x = 0.45$  , then  $f(x) = 0$ ,
- so we could conclude that input values are classified to class 0.

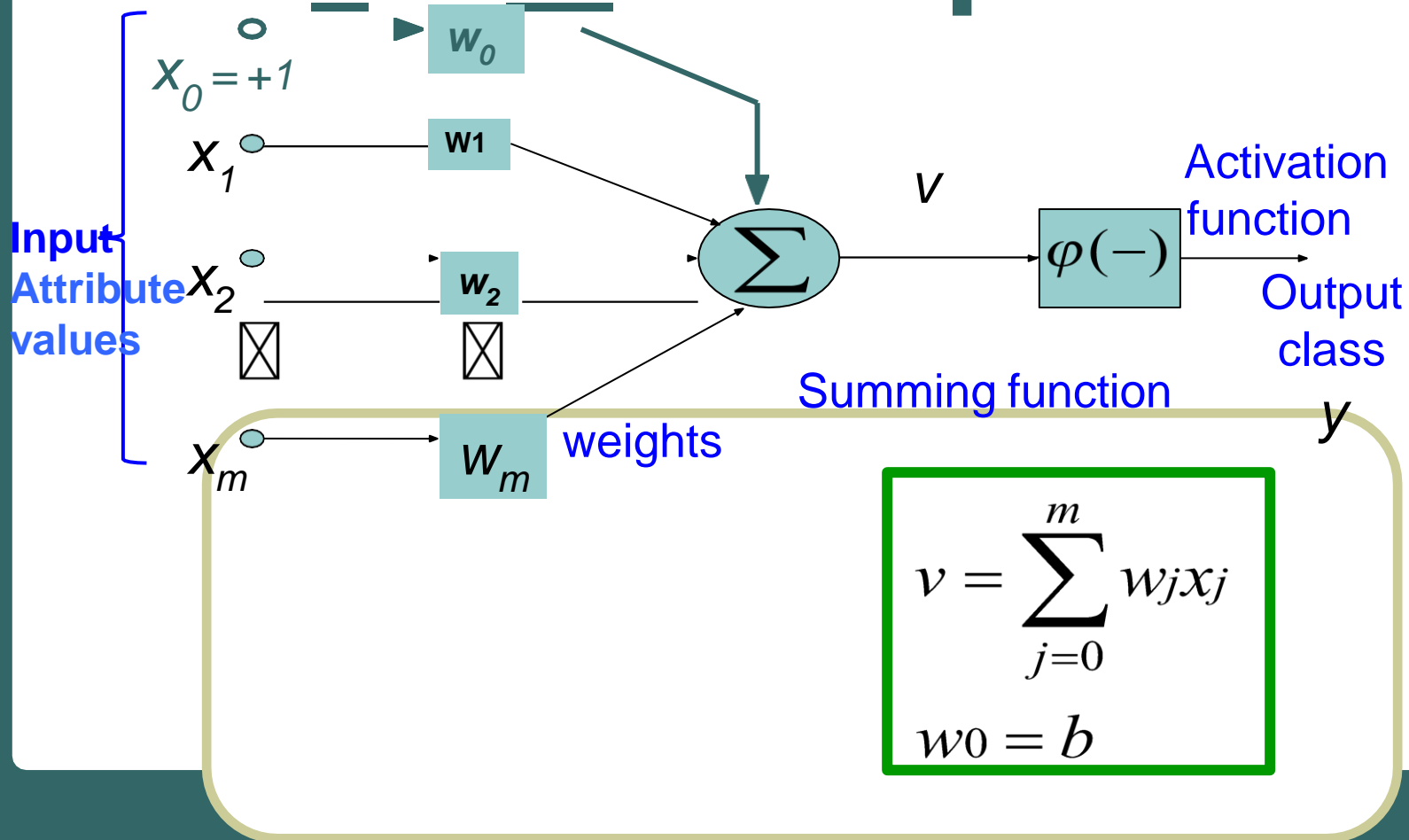
# Bias of a Neuron

- We need the bias value to be added to the weighted sum  $\sum w_i x_i$  so that we can transform it from the origin.

$$v = \sum w_i x_i + b, \text{ here } b \text{ is the bias}$$



# Bias as extra input





# Neuron with Activation

- The neuron is the basic information processing unit of a NN. It consists of:
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1 A set of **links**, describing the neuron inputs, with **weights**  $W_1, W_2, \dots, W_m$

2. An **adder** function (linear combiner) for computing the weighted sum of the inputs (real numbers):

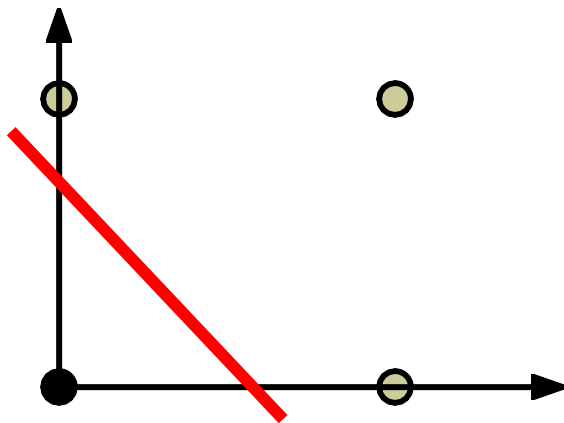
$$u = \sum_{j=1}^m w_j x_j$$

3 **Activation function** : for limiting the amplitude of the neuron output.

$$y = \varphi(u + b)$$

# Why We Need Multi Layer ?

- Linear Separable:

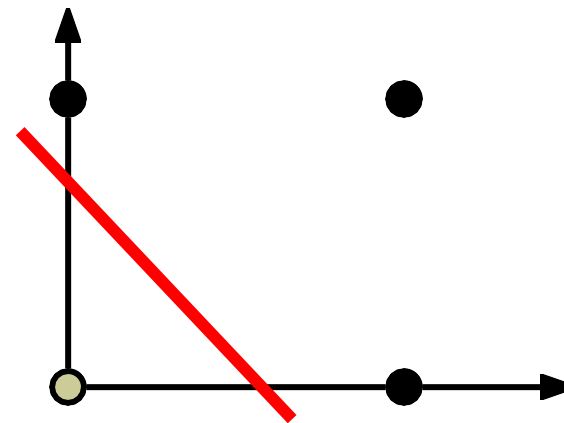


$x \wedge y$

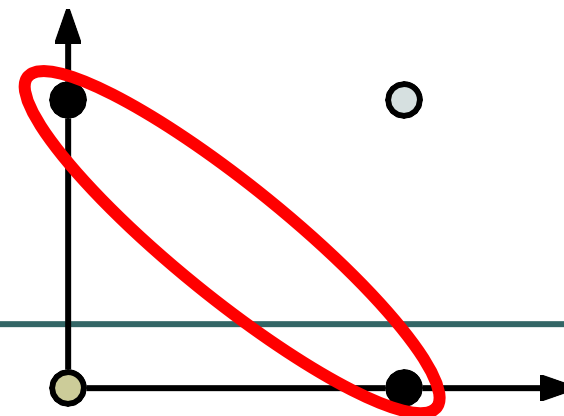
- Linear inseparable:

- Solution?

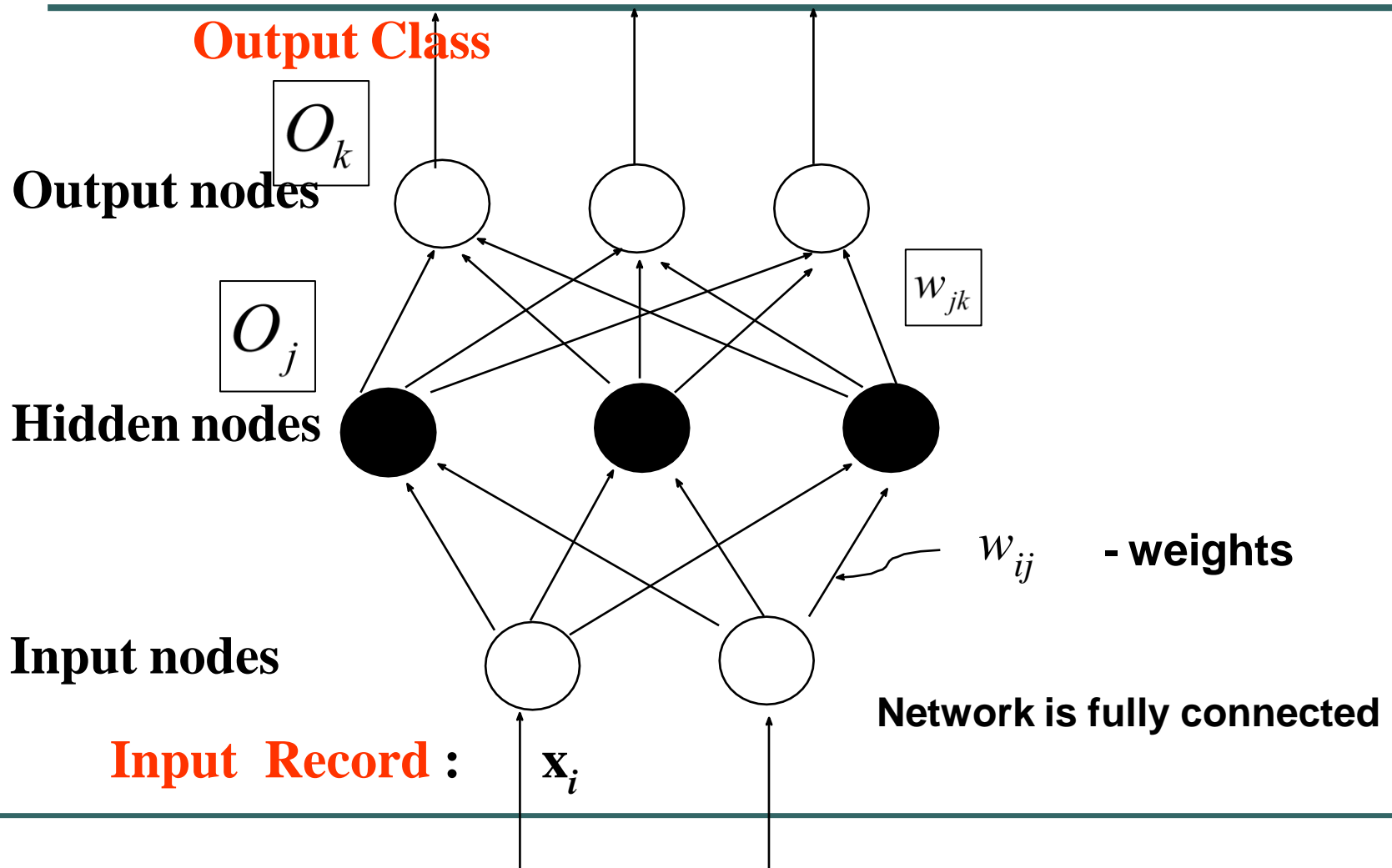
$x \vee y$



$x \vee y$



# A Multilayer Feed-Forward Neural Network



# Neural Network Learning

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- The inputs are fed simultaneously into the input layer.
- The weighted outputs of these units are fed into hidden layer.
- The weighted outputs of the last hidden layer are inputs to units making up the output layer.

# A Multilayer Feed Forward Network

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- The units in the hidden layers and output layer are sometimes referred to as **neurodes**, due to their symbolic biological basis, or as **output units**.
- A network containing two hidden layers is called **a three-layer** neural network, and so on.
- The network is feed-forward in that none of the weights cycles back to an input unit or to an output unit of a previous layer.

# A Multilayered Feed – Forward Network

- **INPUT:** records without class attribute with normalized attributes values.
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- **INPUT VECTOR:**  $X = \{x_1, x_2, \dots, x_n\}$   
where  $n$  is the number of (non class) attributes.
  - **INPUT LAYER** – there are as many nodes as non-class attributes i.e. as the length of the input vector.
  - **HIDDEN LAYER** – the number of nodes in the hidden layer and the number of hidden layers depends on implementation.

# A Multilayered Feed-Forward Network

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- **OUTPUT LAYER** – corresponds to the class attribute.
- There are as many nodes as classes (values of the class attribute).

$$O_k$$

$k = 1, 2, \dots \text{\#classes}$

- Network is **fully connected**, i.e. each unit provides input to each unit in the next forward layer.

# Classification by Back propagation

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- *Back Propagation learns by iteratively processing a set of training data (samples).*
- For each sample, weights are modified to minimize the error between network's classification and actual classification.



# Steps in Back propagation Algorithm

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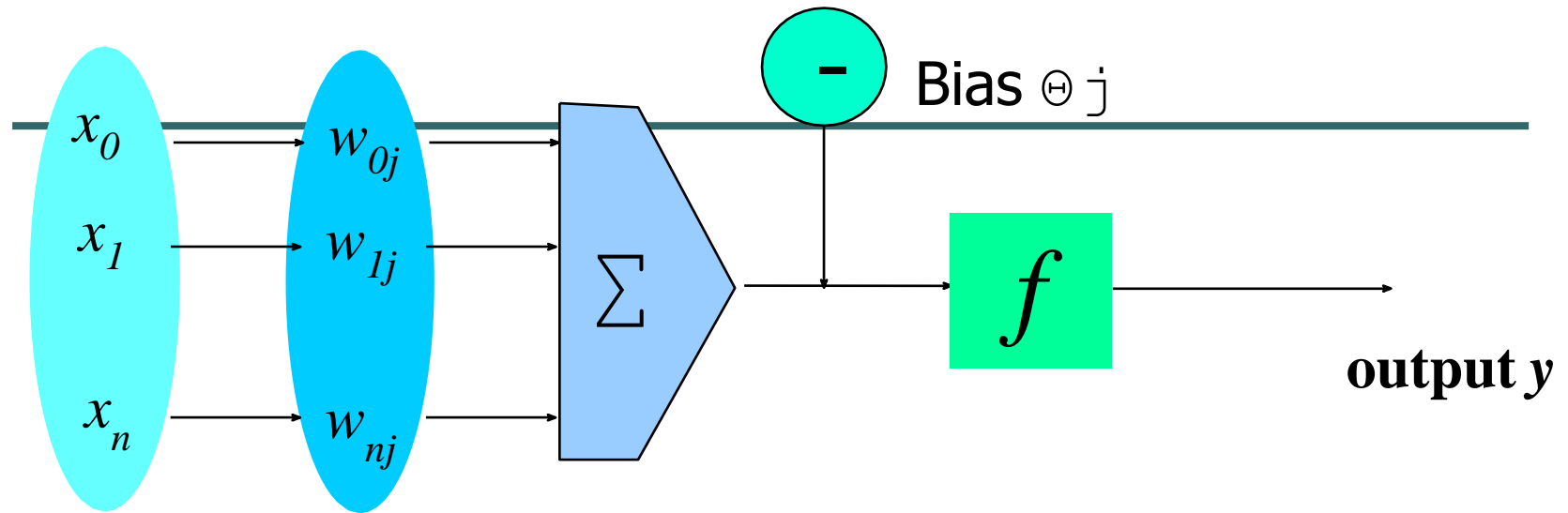
- STEP ONE: initialize the weights and biases.
- The weights in the network are initialized to random numbers from the interval  $[-1,1]$ .
- Each unit has a BIAS associated with it
- The biases are similarly initialized to random numbers from the interval  $[-1,1]$ .
- STEP TWO: feed the training sample.

## Steps in Back propagation Algorithm ( cont..)

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- **STEP THREE:** Propagate the inputs forward; we compute the net input and output of each unit in the hidden and output layers.
- **STEP FOUR:** back propagate the error.
- **STEP FIVE:** update weights and biases to reflect the propagated errors.
- **STEP SIX:** terminating conditions.

# Propagation through Hidden Layer ( One Node )



<b>Input</b>	<b>weight</b>	<b>weighted</b>	<b>Activation</b>
<b>vector <math>x</math></b>	<b>vector <math>w</math></b>	<b>sum</b>	<b>function</b>

- The inputs to unit  $j$  are outputs from the previous layer. These are multiplied by their corresponding weights in order to form a weighted sum, which is added to the bias associated with unit  $j$ .
- A nonlinear activation function  $f$  is applied to the net input.

# Propagate the inputs forward

- For unit j in the input layer, its output is equal to its input, that is,

$$O_j = I_j$$

for input unit j.

- The net input to each unit in the hidden and output layers is computed as follows.
- Given a unit j in a hidden or output layer, the net input is

$$I_j = \sum_i w_{ij} O_i + \theta_j$$

where  $w_{ij}$  is the weight of the connection from unit i in the previous layer to unit j;  $O_i$  is the output of unit i from the previous layer;

$$\theta_j$$

is the bias of the unit

# Back propagate the error

- When reaching the Output layer, the error is computed and propagated backwards.
- For a unit k in the output layer the error is computed by a formula:

$$Err_k = O_k (1 - O_k) (T_k - O_k)$$

Where  $O_k$  – actual output of unit k ( computed by activation function.

$$O_k = \frac{1}{1 + e^{-I_k}}$$

$T_k$  – True output based of known class label; classification of training sample

$O_k(1-O_k)$  – is a Derivative ( rate of change ) of activation function.

# Back propagate the error

- The error is propagated backwards by updating weights and biases to reflect the error of the network classification .
- For a unit  $j$  in the hidden layer the error is computed by a formula:

$$Err_j = O_j(1 - O_j) \sum_k Err_k w_{jk}$$

where  $w_{jk}$  is the weight of the connection from unit  $j$  to unit  $k$  in the next higher layer, and  $Err_k$  is the error of unit  $k$ .

# Update weights and biases

- Weights are updated by the following equations, where  $l$  is a constant between 0.0 and 1.0 reflecting the learning rate, this learning rate is fixed for implementation.

$$\Delta w_{ij} = (l)Err_j O_i$$

$$w_{ij} = w_{ij} + \Delta w_{ij}$$

- Biases are updated by the following equations

$$\Delta \theta_j = (l)Err_j$$

$$\theta_j = \theta_j + \Delta \theta_j$$

# Update weights and biases

- We are updating weights and biases after the presentation of each sample.

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- This is called case updating.
- Epoch --- One iteration through the training set is called an epoch.
- Epoch updating -----
- Alternatively, the weight and bias increments could be accumulated in variables and the weights and biases updated after all of the samples of the training set have been presented.
- Case updating is more accurate



# Terminating Conditions

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- Training stops

- All  $\Delta w_{ij}$  in the previous epoch are below some threshold, or
- The percentage of samples misclassified in the previous epoch is below some threshold, or
- a pre specified number of epochs has expired.
- In practice, several hundreds of thousands of epochs may be required before the weights will converge.

# Backpropagation Formulas

Output vector

$$Err_k = O_k(1 - O_k)(T_k - O_k)$$

Output nodes

$$O_j = \frac{1}{1 + e^{-I_j}}$$

$$Err_j = O_j(1 - O_j) \sum_k Err_k w_{jk}$$

Hidden nodes

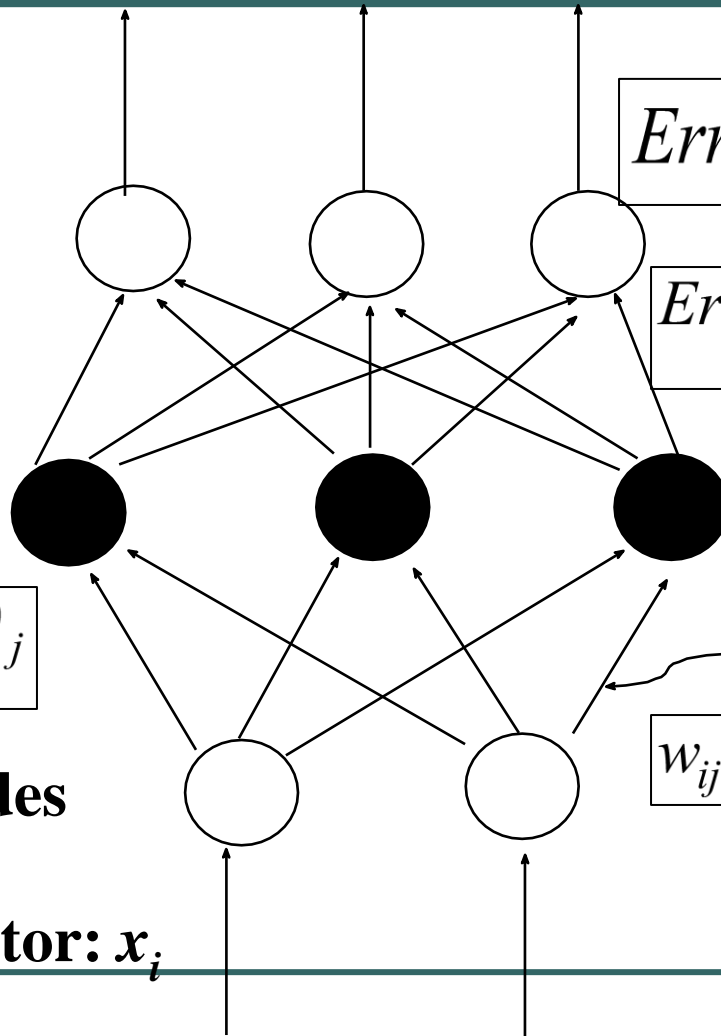
$$I_j = \sum_i w_{ij} O_i + \theta_j$$

$$\theta_j = \theta_j + (l) Err_j$$

Input nodes

$$w_{ij} = w_{ij} + (l) Err_j O_i$$

Input vector:  $x_i$



# Example of Back propagation

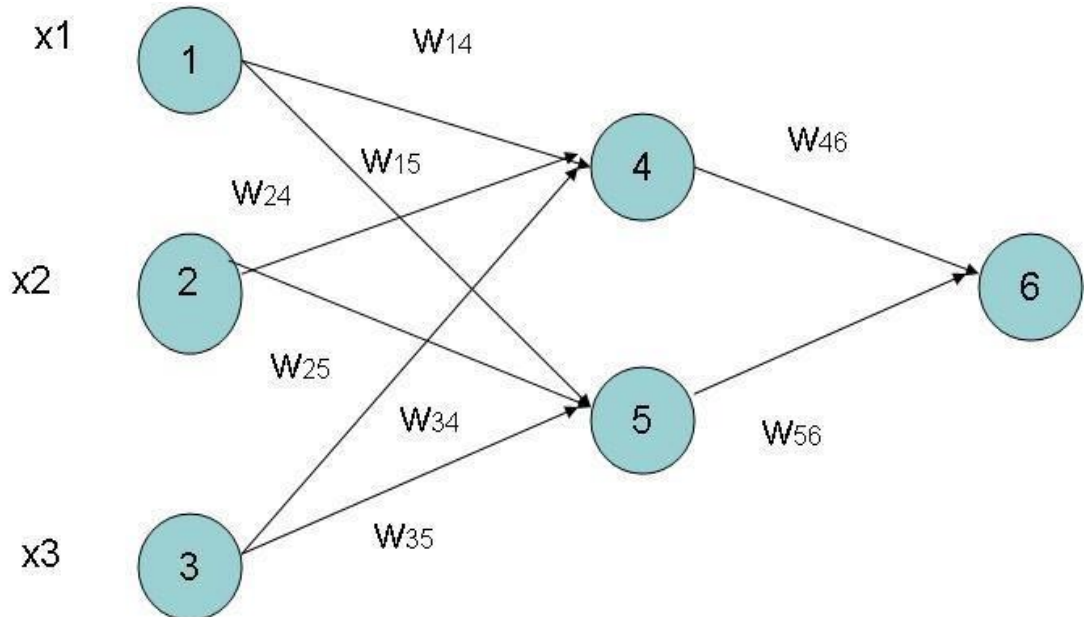
Input = 3, Hidden  
Neuron = 2 Output = 1

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Initialize weights :

Random Numbers  
from -1.0 to 1.0

Initial Input and weight



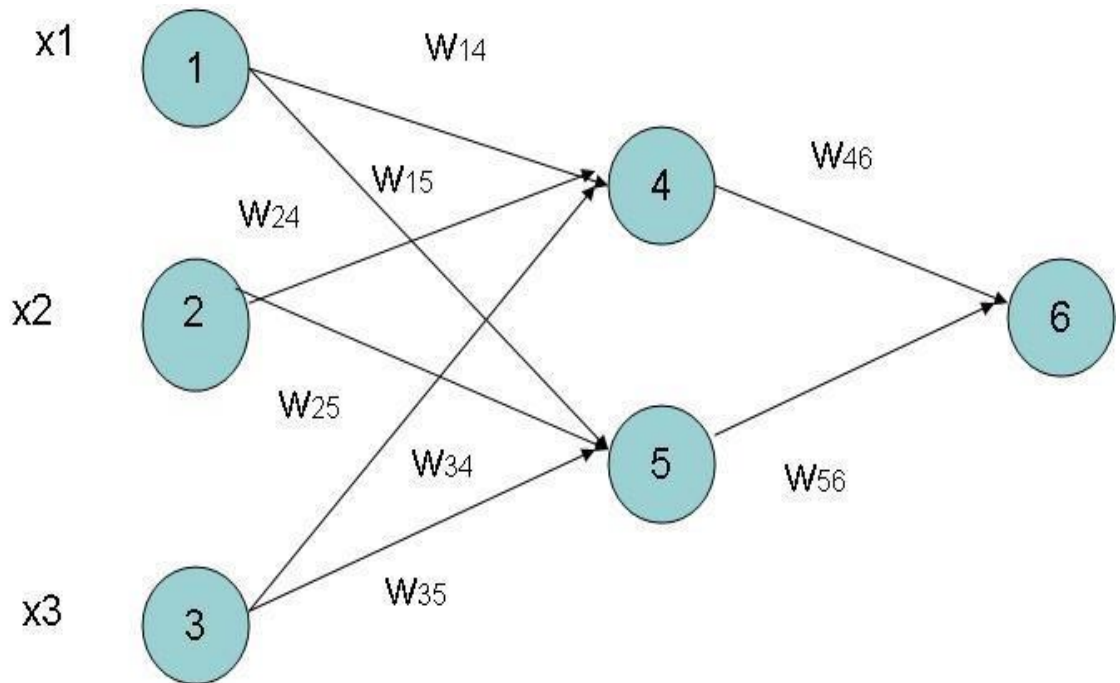
x1	x2	x3	W14	W15	W24	W25	W34	W35	W46	W56
1	0	1	0.2	-0.3	0.4	0.1	-0.5	0.2	-0.3	-0.2

## Example ( cont.. )

**Bias added to Hidden  
+ Output nodes  
Initialize Bias  
Random Values from  
-1.0 to 1.0**

**Bias ( Random )**

<del><math>\theta_4</math></del>	<del><math>\theta_5</math></del>	<del><math>\theta_6</math></del>
-0.4	0.2	0.1



# Net Input and Output Calculation

Unit $j$	Net Input $I_j$	Output $O_j$
4	$0.2 + 0 + 0.5 - 0.4 = -0.7$	$O_j = \frac{1}{1 + e^{0.7}} = 0.332$
5	$-0.3 + 0 + 0.2 + 0.2 = 0.1$	$O_j = \frac{1}{1 + e^{-0.1}} = 0.525$
6	$(-0.3)0.332 - (0.2)(0.525) + 0.1 = -0.105$	$O_j = \frac{1}{1 + e^{0.105}} = 0.475$

# Calculation of Error at Each Node

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Unit j	Error j
6	$0.475(1-0.475)(1-0.475) = 0.1311$ We assume $T_6 = 1$
5	$0.525 \times (1 - 0.525) \times 0.1311 \times (-0.2) = 0.0065$
4	$0.332 \times (1 - 0.332) \times 0.1311 \times (-0.3) = -0.0087$

# Calculation of weights and Bias Updating

**Learning Rate  $\eta = 0.9$**

Weight	New Values
<b>W46</b>	<b><math>-0.3 + 0.9(0.1311)(0.332) = -0.261</math></b>
<b>W56</b>	<b><math>-0.2 + (0.9)(0.1311)(0.525) = -0.138</math></b>
<b>W14</b>	<b><math>0.2 + 0.9(-0.0087)(1) = 0.192</math></b>
<b>W15</b>	<b><math>-0.3 + (0.9)(-0.0065)(1) = -0.306</math></b>
<b>.....similarly</b>	<b>.....similarly</b>
<b><math>\theta_6</math></b>	<b><math>0.1 + (0.9)(0.1311) = 0.218</math></b>
<b>.....similarly</b>	<b>.....similarly</b>

# Network Pruning and Rule Extraction

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- Network pruning
  - Fully connected network will be hard to articulate
  - $N$  input nodes,  $h$  hidden nodes and  $m$  output nodes lead to  $h(m+N)$  weights
  - Pruning: Remove some of the links without affecting classification accuracy of the network



# Applications-I

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- Handwritten Digit Recognition
- Face recognition
- Time series prediction
- Process identification
- Process control
- Optical character recognition

# Application-II

- Forecasting/Market Prediction: finance and banking
- Manufacturing: quality control, fault diagnosis
- Medicine: analysis of electrocardiogram data, RNA & DNA sequencing, drug development without animal testing
- Control: process, robotics



# Remember.....

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- ANNs perform well, generally better with larger number of hidden units
- More hidden units generally produce lower error
- Determining network topology is difficult
- Choosing single learning rate impossible
- Difficult to reduce training time by altering the network topology or learning parameters
- NN(Subset) often produce better results