

# 计算机组成与系统结构

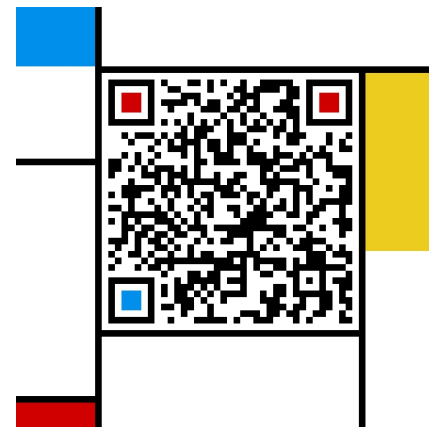
## Computer Organization & System Architecture

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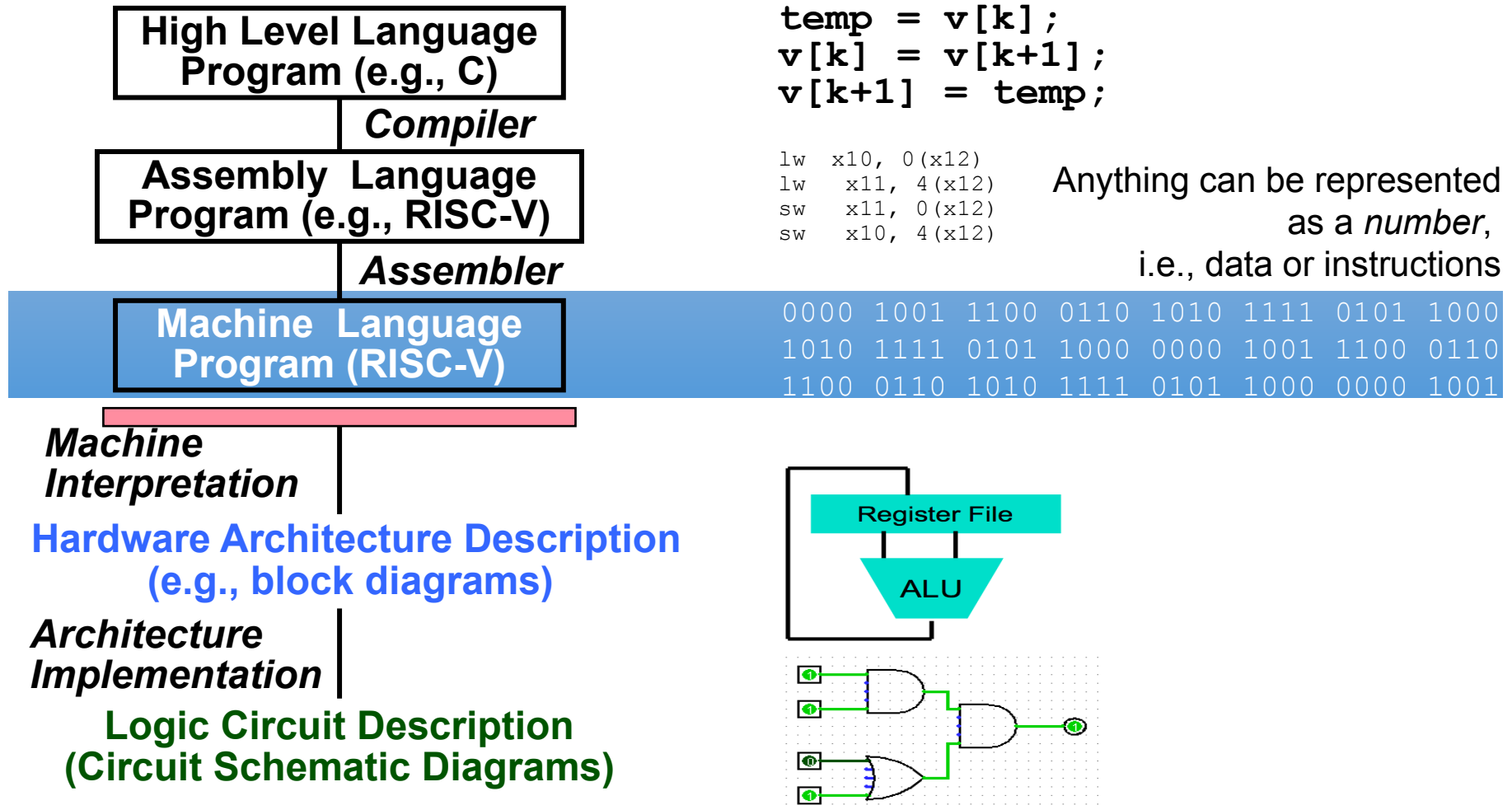
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HP: 17706443800



# Levels of Representation/Interpretation



# Big Idea: Stored-Program Computer

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- Instructions are represented as bit patterns - can think of these as numbers
- Therefore, entire programs can be stored in memory to be read or written just like data
- Can reprogram quickly (seconds), don't have to rewire computer (days)
- Known as the “von Neumann” computers after widely distributed tech report on EDVAC project
  - Wrote-up discussions of Eckert and Mauchly
  - Anticipated earlier by Turing and Zuse

First Draft of a Report on the EDVAC

by

John von Neumann

Contract No. W-670-ORD-4926

Between the

United States Army Ordnance Department and the

University of Pennsylvania

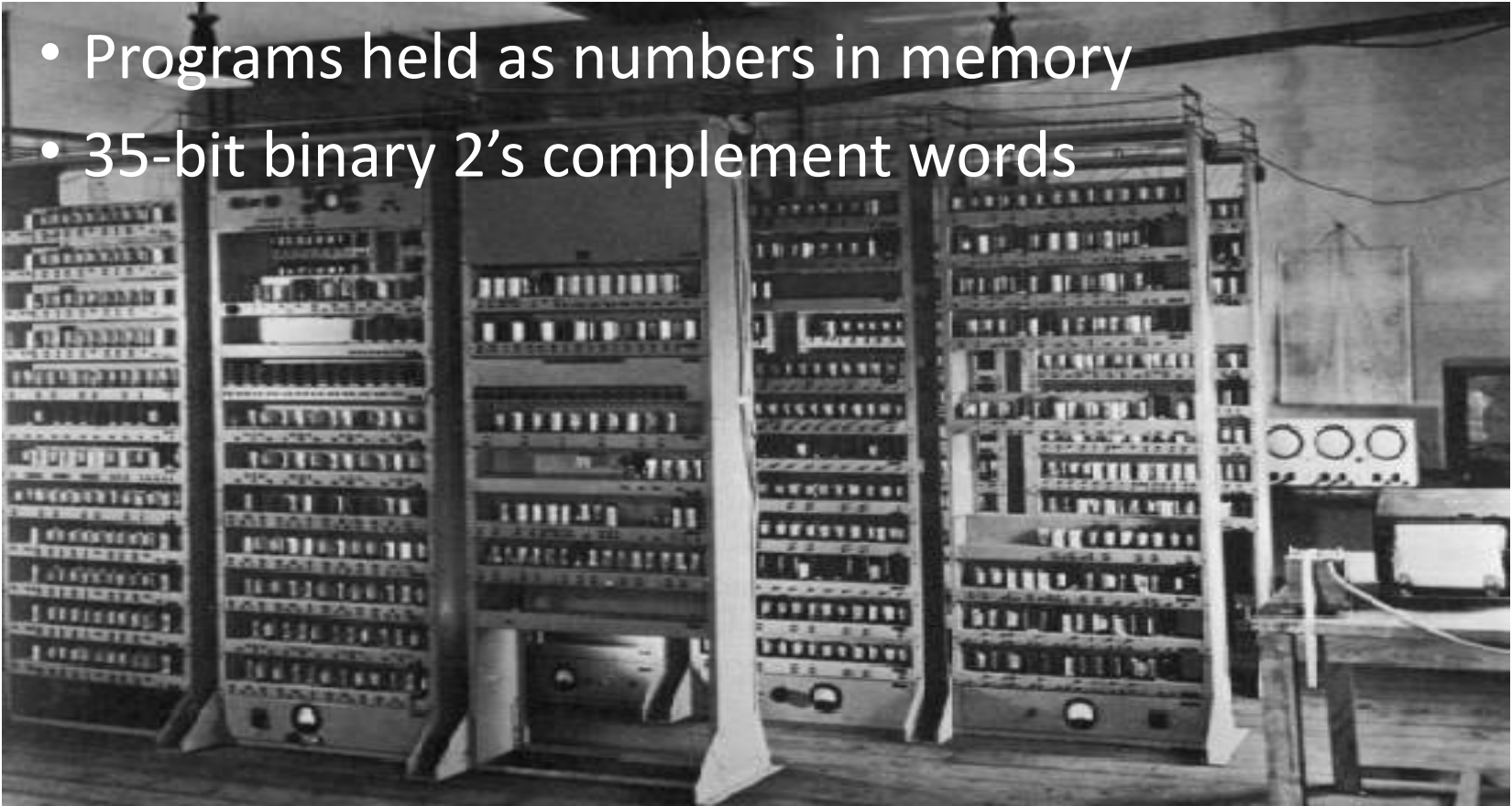
Moore School of Electrical Engineering

# EDSAC (Cambridge, 1949)

## First General Stored-Program Computer

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- Programs held as numbers in memory
- 35-bit binary 2's complement words



# Consequence #1:

## Everything Has a Memory Address

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- Since all instructions and data are stored in memory, everything has a memory address: instructions, data words
  - Both branches and jumps use these
- C pointers are just memory addresses: they can point to anything in memory
  - Unconstrained use of addresses can lead to nasty bugs; avoiding errors up to you in C; limited in Java by language design
- One register keeps address of instruction being executed: “Program Counter” (PC)
  - Basically a pointer to memory
  - Intel calls it Instruction Pointer (a better name)

# Consequence #2: Binary Compatibility

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- Programs are distributed in binary form
  - Programs bound to specific instruction set
  - Different version for phones and PCs
- New machines want to run old programs (“binaries”) as well as programs compiled to new instructions
- Leads to “backward-compatible” instruction set evolving over time
- Selection of Intel 8088 in 1981 for 1st IBM PC is major reason latest PCs still use 80x86 instruction set; could still run program from 1981 PC today

# Instructions as Numbers (1/2)

---

- Most data we work with is in words (32-bit chunks):
  - Each register is a word
  - `lw` and `sw` both access memory one word at a time
- So how do we represent instructions?
  - Remember: Computer only understands 1s and 0s, so assembler string “`add x10,x11,x0`” is meaningless to hardware
  - RISC-V seeks simplicity: since data is in words, make instructions be fixed-size 32-bit words also
    - Same 32-bit instructions used for RV32, RV64, RV128

# Instructions as Numbers (2/2)

---

- One word is 32 bits, so divide instruction word into “fields”
- Each field tells processor something about instruction
- We could define different fields for each instruction, but RISC-V seeks simplicity, so define six basic types of instruction formats:
  - R-format for register-register arithmetic operations
  - I-format for register-immediate arithmetic operations and loads
  - S-format for stores
  - B-format for branches (minor variant of S-format, called SB before)
  - U-format for 20-bit upper immediate instructions
  - J-format for jumps (minor variant of U-format, called UJ before)

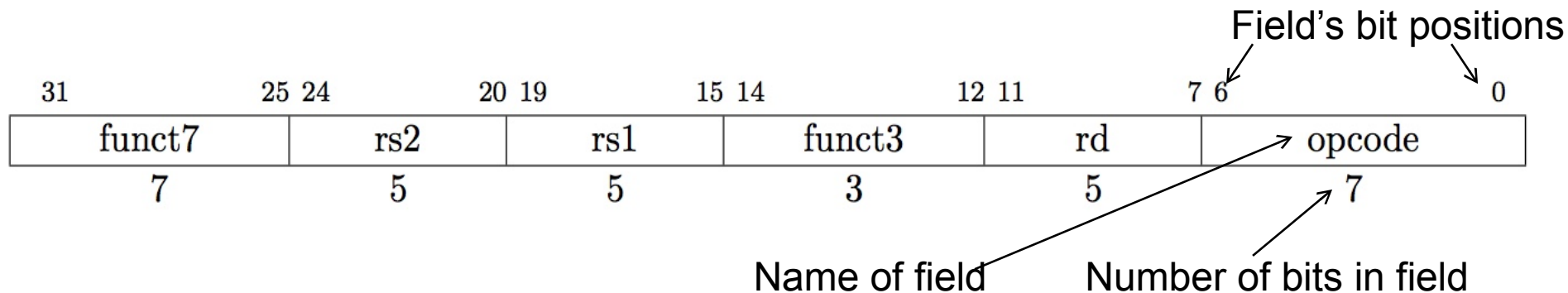


# Summary of RISC-V Instruction Formats

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31	30	25	24	21	20	19	15	14	12	11	8	7	6	0			
funct7				rs2			rs1		funct3		rd			opcode		R-type	
imm[11:0]						rs1		funct3		rd			opcode		I-type		
imm[11:5]				rs2			rs1		funct3		imm[4:0]			opcode		S-type	
imm[12]		imm[10:5]			rs2			rs1		funct3		imm[4:1]		imm[11]		opcode	B-type
imm[31:12]										rd			opcode			U-type	
imm[20]		imm[10:1]				imm[11]		imm[19:12]			rd			opcode		J-type	

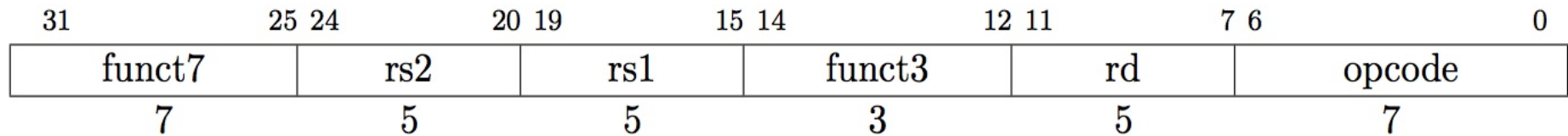
# R-Format Instruction Layout



- 32-bit instruction word divided into six fields of varying numbers of bits each:  $7+5+5+3+5+7 = 32$
- Examples
  - **opcode** is a 7-bit field that lives in bits 6-0 of the instruction
  - **rs2** is a 5-bit field that lives in bits 24-20 of the instruction

# R-Format Instructions opcode/funct fields

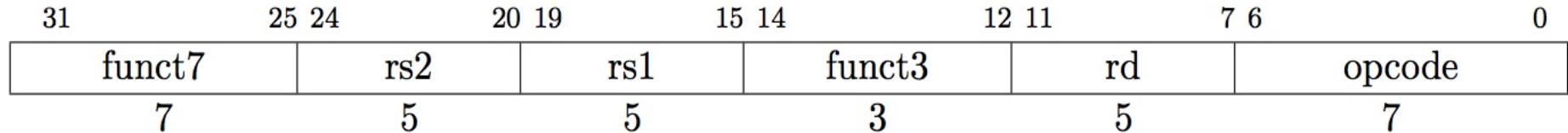
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- **opcode**: partially specifies what instruction it is
  - Note: This field is equal to **0110011**<sub>two</sub> for all R-Format register-register arithmetic instructions
- **funct7+funct3**: combined with **opcode**, these two fields describe what operation to perform
- Question: Why aren't **opcode** and **funct7** and **funct3** a single 17-bit field?
  - We'll answer this later

# R-Format Instructions opcode/funct fields

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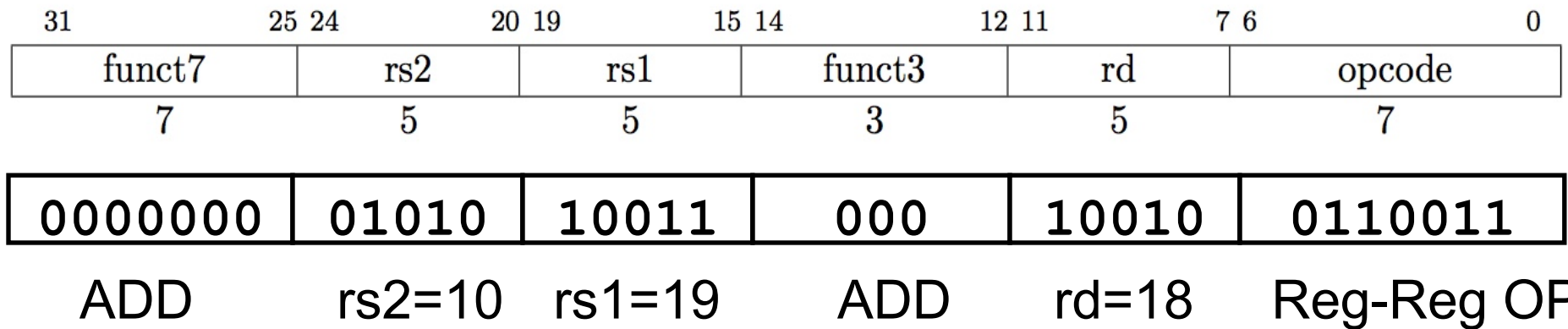


- **rs1** (**S**ource **R**egister #1): specifies register containing first operand
- **rs2** : specifies second register operand
- **rd** (**D**estination **R**egister): specifies register which will receive result of computation
- Each register field holds a 5-bit unsigned integer (0-31) corresponding to a register number (**x0–x31**)

# R-Format Example

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- RISC-V Assembly Instruction:  
**add x18,x19,x10**



# All RV32 R-format instructions

0000000	rs2	rs1	000	rd	0110011	ADD
0100000	rs2	rs1	000	rd	0110011	SUB
0000000	rs2	rs1	001	rd	0110011	SLL
0000000	rs2	rs1	010	rd	0110011	SLT
0000000	rs2	rs1	011	rd	0110011	SLTU
0000000	rs2	rs1	100	rd	0110011	XOR
0000000	rs2	rs1	101	rd	0110011	SRL
0100000	rs2	rs1	101	rd	0110011	SRA
0000000	rs2	rs1	110	rd	0110011	OR
0000000	rs2	rs1	111	rd	0110011	AND

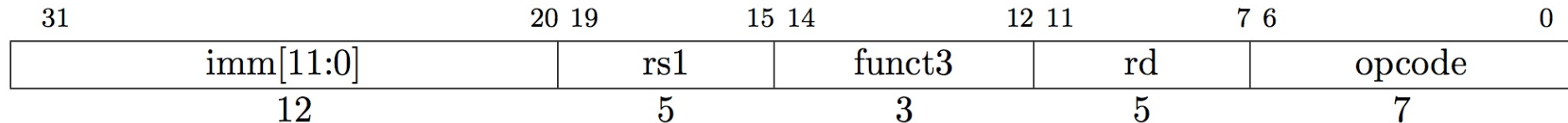
Different encoding in funct7 + funct3 selects different operations

# I-Format Instructions

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- What about instructions with immediates?
  - 5-bit field only represents numbers up to the value 31: immediates may be much larger than this
  - Ideally, RISC-V would have only one instruction format (for simplicity): unfortunately, we need to compromise
- Define new instruction format that is mostly consistent with R-format
  - Notice if instruction has immediate, then uses at most 2 registers (one source, one destination)

# I-Format Instruction Layout



- Only one field is different from R-format, **rs2** and **funct7** replaced by 12-bit signed immediate, **imm[11:0]**
- Remaining fields (**rs1**, **funct3**, **rd**, **opcode**) same as before
- **imm[11:0]** can hold values in range  $[-2048_{\text{ten}}, +2047_{\text{ten}}]$
- Immediate is always sign-extended to 32-bits before use in an arithmetic operation
- We'll later see how to handle **immediates** > 12 bits

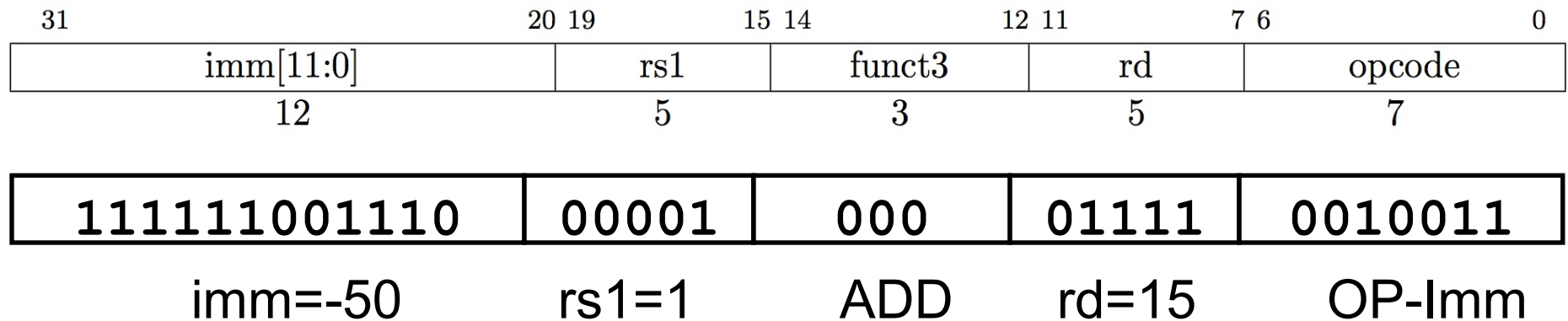


# I-Format Example

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- RISC-V Assembly Instruction:

**addi    x15, x1, -50**



# All RV32 I-format Arithmetic Instructions

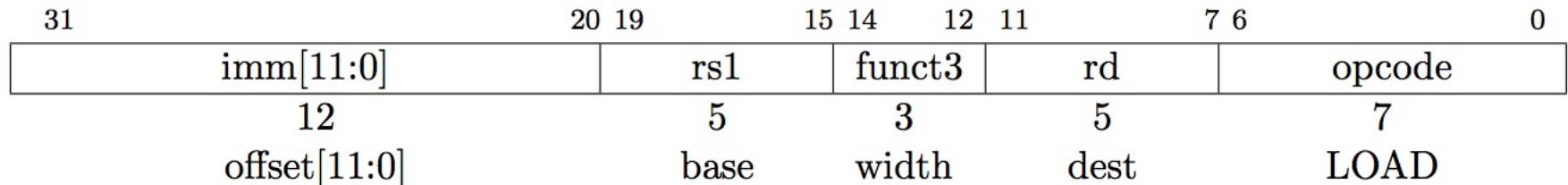
imm[11:0]		rs1	000	rd	0010011	ADDI
imm[11:0]		rs1	010	rd	0010011	SLTI
imm[11:0]		rs1	011	rd	0010011	SLTIU
imm[11:0]		rs1	100	rd	0010011	XORI
imm[11:0]		rs1	110	rd	0010011	ORI
imm[11:0]		rs1	111	rd	0010011	ANDI
0000000	shamt	rs1	001	rd	0010011	SLLI
0000000	shamt	rs1	101	rd	0010011	SRLI
0100000	shamt	rs1	101	rd	0010011	SRAI

One of the higher-order immediate bits is used to distinguish “shift right logical” (SRLI) from “shift right arithmetic” (SRAI)

“Shift-by-immediate” instructions only use lower 5 bits of the immediate value for shift amount (can only shift by 0-31 bit positions)

# Load Instructions are also I-Type

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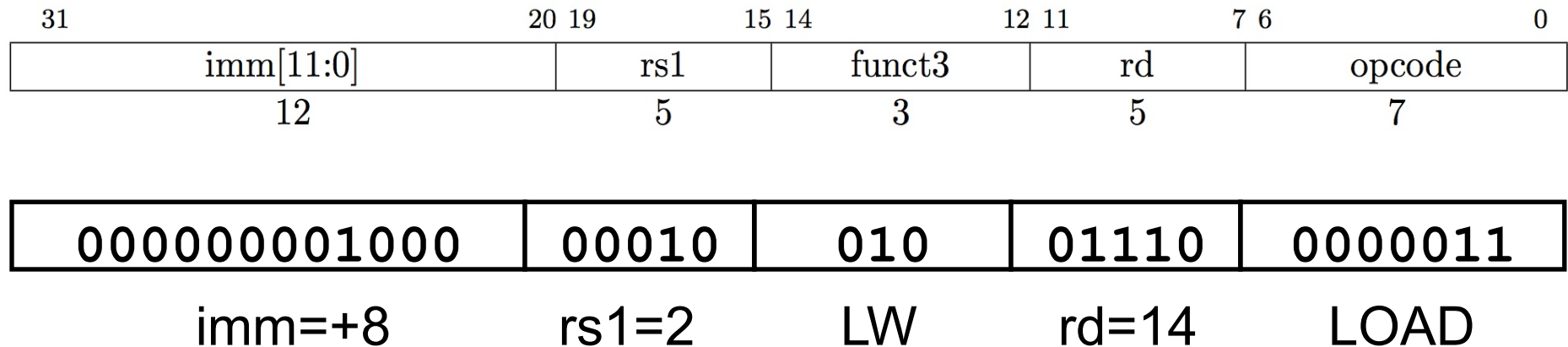


- The 12-bit signed immediate is added to the base address in register **rs1** to form the memory address
  - This is very similar to the add-immediate operation but used to create address not to create final result
- The value loaded from memory is stored in register **rd**

# I-Format Load Example

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- RISC-V Assembly Instruction:  
**lw x14, 8(x2)**



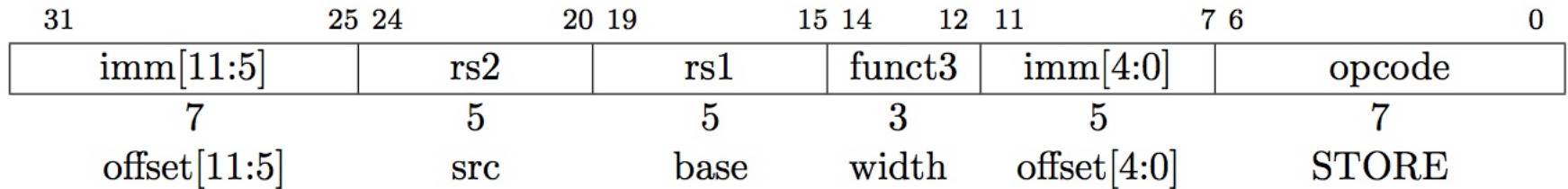
# All RV32 Load Instructions

imm[11:0]	rs1	000	rd	0000011	LB
imm[11:0]	rs1	001	rd	0000011	LH
imm[11:0]	rs1	010	rd	0000011	LW
imm[11:0]	rs1	100	rd	0000011	LBU
imm[11:0]	rs1	101	rd	0000011	LHU

↑  
funct3 field encodes size and signedness of load data

- LBU is “load unsigned byte”
- LH is “load halfword”, which loads 16 bits (2 bytes) and sign-extends to fill destination 32-bit register
- LHU is “load unsigned halfword”, which zero-extends 16 bits to fill destination 32-bit register
- There is no LWU in RV32, because there is no sign/zero extension needed when copying 32 bits from a memory location into a 32-bit register

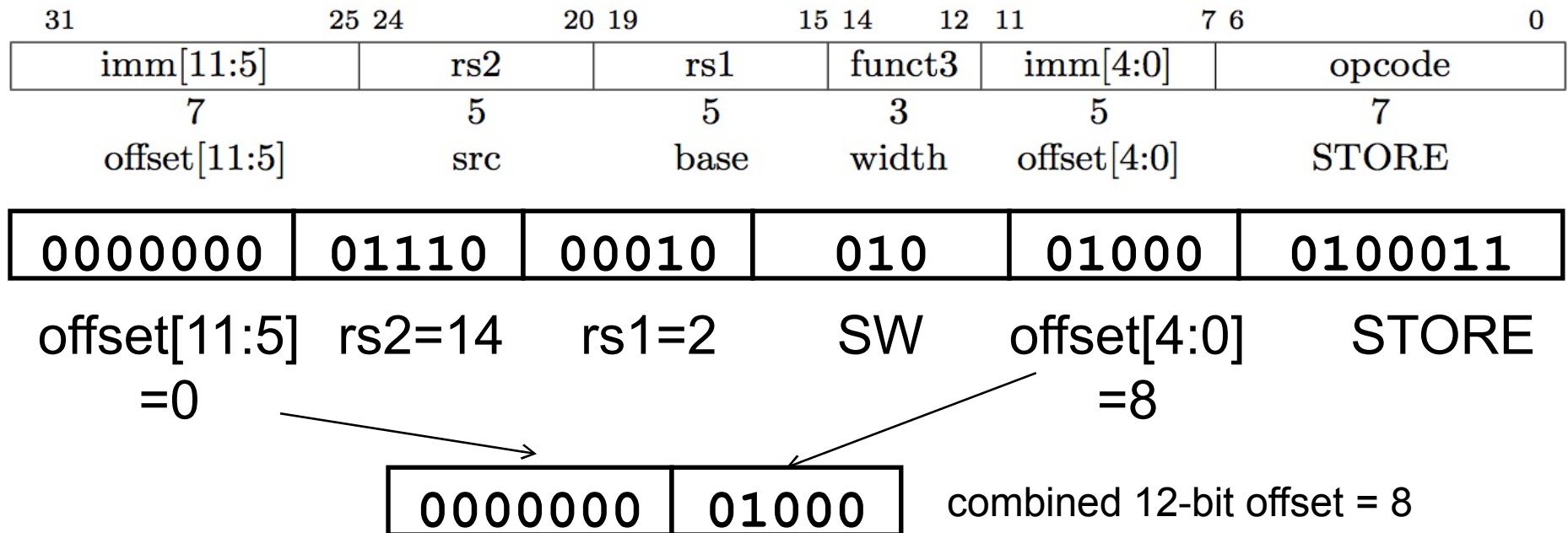
# S-Format Used for Stores



- Store needs to read two registers, rs1 for base memory address, and rs2 for data to be stored, as well as need immediate offset!
- Can't have both rs2 and immediate in same place as other instructions!
- Note that stores don't write a value to the register file, ***no rd!***
- RISC-V design decision is move low 5 bits of immediate to where rd field was in other instructions – keep rs1/rs2 fields in same place
  - register names more critical than immediate bits in hardware design

# S-Format Example

- RISC-V Assembly Instruction:  
**sw x14, 8(x2)**



# All RV32 Store Instructions

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imm[11:5]	rs2	rs1	000	imm[4:0]	0100011	SB
imm[11:5]	rs2	rs1	001	imm[4:0]	0100011	SH
imm[11:5]	rs2	rs1	010	imm[4:0]	0100011	SW



# RISC-V Conditional Branches

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- E.g., `BEQ x1, x2, Label`
- Branches read two registers but don't write a register (similar to stores)
- How to encode label, i.e., where to branch to?

# Branching Instruction Usage

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- Branches typically used for loops (**if-else**, **while**, **for**)
  - Loops are generally small (< 50 instructions)
  - Function calls and unconditional jumps handled with jump instructions (J-Format)
- **Recall:** Instructions stored in a localized area of memory (Code/Text)
  - Largest branch distance limited by size of code
  - Address of current instruction stored in the program counter (PC)

# PC-Relative Addressing

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- **PC-Relative Addressing:** Use the **immediate** field as a two's-complement offset to PC
  - Branches generally change the PC by a small amount
  - Can specify  $\pm 2^{11}$  addresses from the PC
- Why not use byte address offset from PC?

# Scaling Branch Offset

---

- One idea: To improve the reach of a single branch instruction, multiply the offset by four bytes before adding to PC
- This would allow one branch instruction to reach  $\pm 2^{11} \times 32$ -bit instructions either side of PC
- Four times greater reach than using byte offset

# Branch Calculation

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- If we **don't** take the branch:

$PC = PC + 4$  (i.e., next instruction)

- If we **do** take the branch:

$PC = PC + \text{immediate} * 4$

- **Observations:**

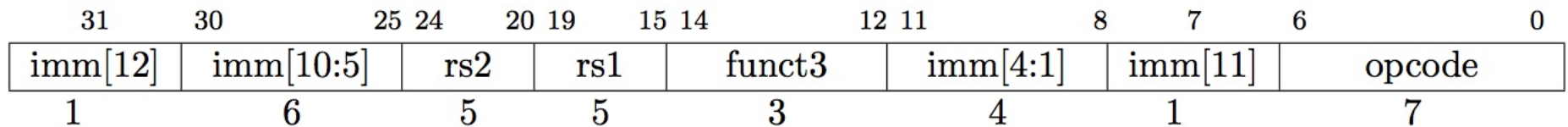
- **immediate** is number of instructions to jump (remember, specifies words) either forward (+) or backwards (–)

# RISC-V Feature, $n \times 16$ -bit instructions

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- Extensions to RISC-V base ISA support 16-bit compressed instructions and also variable-length instructions that are multiples of 16-bits in length
- To enable this, RISC-V scales the branch offset by 2 bytes even when there are no 16-bit instructions
- Reduces branch reach by half and means that  $\frac{1}{2}$  of possible targets will be errors on RISC-V processors that only support 32-bit instructions (as used in this class)
- RISC-V conditional branches can only reach  $\pm 2^{10} \times 32$ -bit instructions either side of PC

# RISC-V B-Format for Branches



- B-format is mostly same as S-Format, with two register sources (rs1/rs2) and a 12-bit immediate
- But now immediate represents values -4096 to +4094 in 2-byte increments
- The 12 immediate bits encode *even* 13-bit signed byte offsets (lowest bit of offset is always zero, so no need to store it)

# Branch Example, determine offset

---

- RISC-V Code:

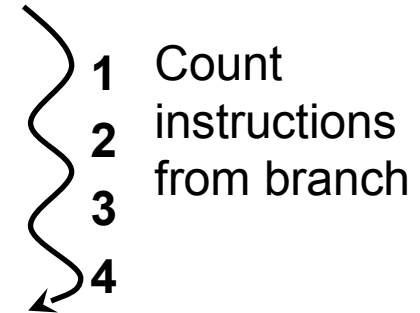
```
Loop: beq x19,x10,End
```

```
    add x18,x18,x10
```

```
    addi x19,x19,-1
```

```
    j    Loop
```

```
End:  # target instruction
```



- Branch offset =  $4 \times 32\text{-bit instructions} = 16 \text{ bytes}$
- (Branch with offset of 0, branches to itself)



# Branch Example, determine offset

- RISC-V Code:

```
Loop: beq    x19, x10, End
      add    x18, x18, x10
      addi   x19, x19, -1
      j      Loop
End: # target instruction
```

offset = 16 bytes = 8x2

???????	01010	10011	000	?????	1100011
imm	rs2=10	rs1=19	BEQ	imm	BRANCH

# RISC-V Immediate Encoding

## Instruction Encodings, inst[31:0]

31	30	25	24	21	20	19	15	14	12	11	8	7	6	0	
funct7				rs2		rs1		funct3		rd		opcode			R-type

imm[11:0]												rs1	funct3	rd	opcode	I-type
-----------	--	--	--	--	--	--	--	--	--	--	--	-----	--------	----	--------	--------

imm[11:5]				rs2		rs1		funct3		imm[4:0]		opcode			S-type
-----------	--	--	--	-----	--	-----	--	--------	--	----------	--	--------	--	--	--------

imm[12]	imm[10:5]			rs2		rs1		funct3		imm[4:1]	imm[11]	opcode			B-type
---------	-----------	--	--	-----	--	-----	--	--------	--	----------	---------	--------	--	--	--------

## 32-bit immediates produced, imm[31:0]

31	30	20	19	12	11	10	5	4	1	0	
— inst[31] —						inst[30:25]	inst[24:21]	inst[20]	I-immediate		

— inst[31] —							inst[30:25]		inst[11:8]		inst[7]	S-immediate
--------------	--	--	--	--	--	--	-------------	--	------------	--	---------	-------------

— inst[31] —							inst[7]	inst[30:25]		inst[11:8]		0	B-immediate
--------------	--	--	--	--	--	--	---------	-------------	--	------------	--	---	-------------

← Upper bits sign-extended from inst[31] always

Only bit 7 of instruction changes role in immediate between S and B

# Branch Example, complete encoding

**beq**    **x19,x10,**    **offset = 16 bytes**

13-bit immediate, imm[12:0], with value 16

0000000010000

imm[0] discarded,  
→ always zero

imm[12]

imm[11]

0	000000	01010	10011	000	1000	0	1100011
---	--------	-------	-------	-----	------	---	---------

imm[10:5]

rs2=10

rs1=19

BEQ

imm[4:1]

BRANCH

# All RISC-V Branch Instructions

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imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ
imm[12 10:5]	rs2	rs1	001	imm[4:1 11]	1100011	BNE
imm[12 10:5]	rs2	rs1	100	imm[4:1 11]	1100011	BLT
imm[12 10:5]	rs2	rs1	101	imm[4:1 11]	1100011	BGE
imm[12 10:5]	rs2	rs1	110	imm[4:1 11]	1100011	BLTU
imm[12 10:5]	rs2	rs1	111	imm[4:1 11]	1100011	BGEU

# Questions on PC-addressing

---

- Does the value in branch immediate field change if we move the code?
  - If moving individual lines of code, then yes
  - If moving all of code, then no
- What do we do if destination is  $> 2^{10}$  instructions away from branch?
  - Other instructions save us

# Questions on PC-addressing

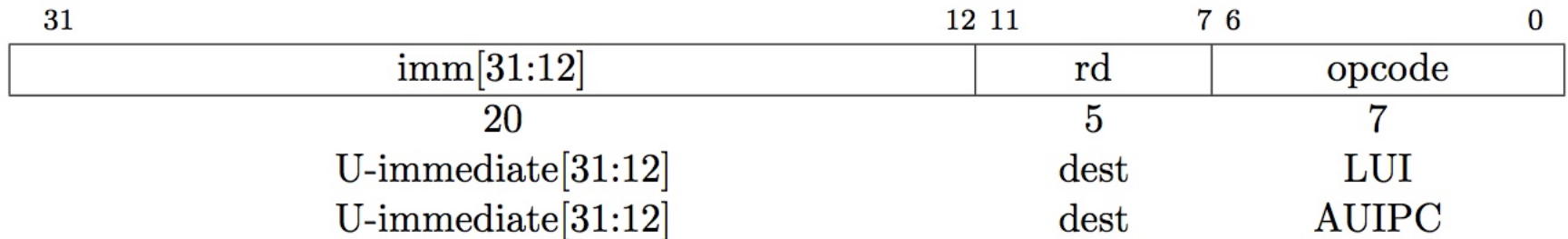
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- Does the value in branch immediate field change if we move the code?
  - If moving individual lines of code, then yes
  - If moving all of code, then no (because PC-relative offsets)
- What do we do if destination is  $> 2^{10}$  instructions away from branch?
  - Other instructions save us

```
beq x10,x0,far          bne x10,x0,next
# next instr           j    far
                      next: # next instr
```

# Questions on PC-addressing

---



- Has 20-bit immediate in upper 20 bits of 32-bit instruction word
- One destination register, rd
- Used for two instructions
  - LUI – Load Upper Immediate
  - AUIPC – Add Upper Immediate to PC

# LUI to create long immediates

---

- LUI writes the upper 20 bits of the destination with the immediate value, and clears the lower 12 bits.
- Together with an ADDI to set low 12 bits, can create any 32-bit value in a register using two instructions (LUI/ADDI).

```
LUI x10, 0x87654      # x10 = 0x87654000
```

```
ADDI x10, x10, 0x321   # x10 = 0x87654321
```



# One Corner Case

---

- How to set 0xDEADBEEF?

```
LUI x10, 0xDEADB      # x10 = 0xDEADB000
```

```
ADDI x10, x10, 0xEEF    # x10 = 0xDEADAEEF
```

- ADDI 12-bit immediate is always sign-extended, if top bit is set, will subtract -1 from upper 20 bits

# Solution

---

- How to set 0xDEADBEEF?

```
LUI x10, 0xDEADB      # x10 = 0xDEADB000
ADDI x10, x10, 0xEEF   # x10 = 0xDEADAEEF
```

- Pre-increment value placed in upper 20 bits, if sign bit will be set on immediate in lower 12 bits.
- Assembler pseudo-op handles all of this:

```
li x10, 0xDEADBEEF # Creates two instructions
```

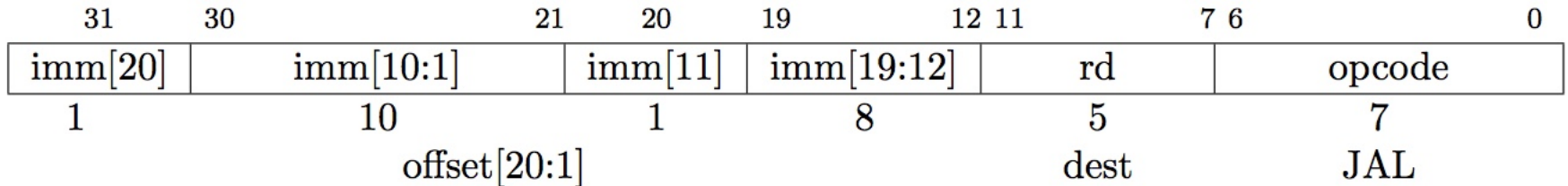
# AUIPC

---

- Adds upper immediate value to PC and places result in destination register
- Used for PC-relative addressing

```
Label: AUIPC x10, 0 # Puts address of label  
in x10
```

# J-Format for Jump Instructions



- **JAL** saves **PC+4** in register **rd** (the return address)
  - Assembler “j” jump is pseudo-instruction, uses **JAL** but sets **rd=x0** to discard return address
- Set **PC = PC + offset** (PC-relative jump)
- Target somewhere within  $\pm 2^{19}$  locations, 2 bytes apart
  - $\pm 2^{18}$  32-bit instructions
- Immediate encoding optimized similarly to branch instruction to reduce hardware cost

# Uses of JAL

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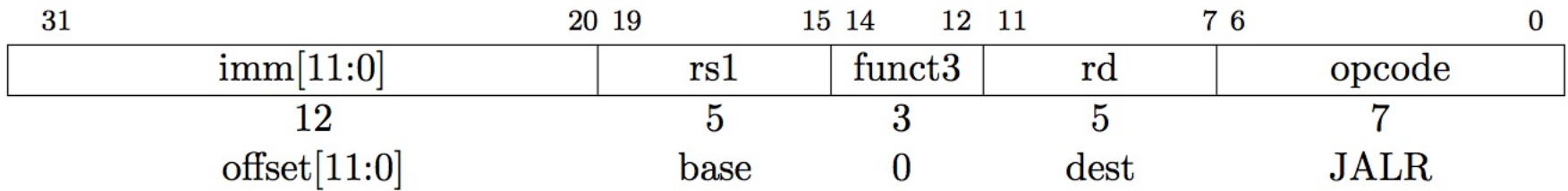
# j pseudo-instruction

j Label = jal x0, Label # Discard return  
address

# Call function within  $2^{18}$  instructions of PC  
jal ra, FuncName

# JALR Instruction (I-Format)

---



- **JALR rd, rs, immediate**
  - Writes PC+4 to rd (return address)
  - Sets PC = rs + immediate
  - Uses same immediates as arithmetic and loads
    - **no** multiplication by 2 bytes

# Uses of JALR

---

```
# ret and jr psuedo-instructions
ret = jr ra = jalr x0, ra, 0
# Call function at any 32-bit absolute
address
lui x1, <hi20bits>
jalr ra, x1, <lo12bits>
# Jump PC-relative with 32-bit offset
auipc x1, <hi20bits>
jalr x0, x1, <lo12bits>
```

# Summary of RISC-V Instruction Formats

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31	30	25	24	21	20	19	15	14	12	11	8	7	6	0			
funct7				rs2			rs1		funct3		rd			opcode		R-type	
imm[11:0]						rs1		funct3		rd			opcode		I-type		
imm[11:5]				rs2			rs1		funct3		imm[4:0]			opcode		S-type	
imm[12]		imm[10:5]			rs2			rs1		funct3		imm[4:1]		imm[11]		opcode	B-type
imm[31:12]										rd			opcode			U-type	
imm[20]		imm[10:1]				imm[11]		imm[19:12]			rd			opcode		J-type	



# Complete RV32I ISA

imm[31:12]					rd	0110111	LUI
imm[31:12]					rd	0010111	AUIPC
imm[20:10:11:19:12]					rd	1101111	JAL
imm[11:0]		rs1	000		rd	1100111	JALR
imm[12:10:5]		rs2	rs1	000	imm[4:1:11]	1100011	BEQ
imm[12:10:5]		rs2	rs1	001	imm[4:1:11]	1100011	BNE
imm[12:10:5]		rs2	rs1	100	imm[4:1:11]	1100011	BLT
imm[12:10:5]		rs2	rs1	101	imm[4:1:11]	1100011	BGE
imm[12:10:5]		rs2	rs1	110	imm[4:1:11]	1100011	BLTU
imm[12:10:5]		rs2	rs1	111	imm[4:1:11]	1100011	BGEU
imm[11:0]		rs1	000		rd	0000011	LB
imm[11:0]		rs1	001		rd	0000011	LH
imm[11:0]		rs1	010		rd	0000011	LW
imm[11:0]		rs1	100		rd	0000011	LBU
imm[11:0]		rs1	101		rd	0000011	LHU
imm[11:5]		rs2	rs1	000	imm[4:0]	0100011	SB
imm[11:5]		rs2	rs1	001	imm[4:0]	0100011	SH
imm[11:5]		rs2	rs1	010	imm[4:0]	0100011	SW
imm[11:0]		rs1	000		rd	0010011	ADDI
imm[11:0]		rs1	010		rd	0010011	SLTI
imm[11:0]		rs1	011		rd	0010011	SLTIU
imm[11:0]		rs1	100		rd	0010011	XORI
imm[11:0]		rs1	110		rd	0010011	ORI
imm[11:0]		rs1	111		rd	0010011	ANDI

0000000		shamt		rs1	001	rd	0010011	SLLI
0000000		shamt		rs1	101	rd	0010011	SRLI
0100000		shamt		rs1	101	rd	0010011	SRAI
0000000		rs2		rs1	000	rd	0110011	ADD
0100000		rs2		rs1	000	rd	0110011	SUB
0000000		rs2		rs1	001	rd	0110011	SLL
0000000		rs2		rs1	010	rd	0110011	SLT
0000000		rs2		rs1	011	rd	0110011	SLTU
0000000		rs2		rs1	100	rd	0110011	XOR
0000000		rs2		rs1	101	rd	0110011	SRL
0100000		rs2		rs1	101	rd	0110011	SRA
0000000		rs2		rs1	110	rd	0110011	OR
0000000		rs2		rs1	111	rd	0110011	AND
0000	pred	succ	00000	000	00000	0001111	FENCE	FENCE.I
0000	0000	0000	00000	001	00000	0001111	FENCE	ECALL
000000000000			00000	000	00000	1110011	EBREAK	
000000000001			00000	000	00000	1110011	EBREAK	
csr			rs1	001	rd	1110011	CSRRW	
csr			rs1	010	rd	1110011	CSRRS	
csr			rs1	011	rd	1110011	CSRRC	
csr			zimm	101	rd	1110011	CSRRWI	
csr			zimm	110	rd	1110011	CSRRSI	
csr			zimm	111	rd	1110011	CSRRCI	