



**FACULTY OF COMPUTER  
SCIENCE AND ENGINEERING**



# RELATIONAL DATABASE MODEL

DATABASES - lectures



# Outline

- Relational Model Concepts
- Relational Model Constraints and Relational Database Schemas
- (E)ER-to-Relational Mapping Algorithm



# Relational Model Concepts

- The relational Model of Data is based on the concept of a **Relation**
- Informally, a **relation** looks like a **table** of values
- A relation typically contains a **set of rows**
- The data elements in each **row** represent certain facts that correspond to a real-world **entity** or **relationship**
- Each **column (attribute)** has a column header that gives an indication of the meaning of the data items in that column



# Relational Model Concepts

- Formal schema (description) of a relation:
  - Denoted by  $R(A_1, A_2, \dots, A_n)$ 
    - $R$  is the name of the relation
    - The attributes of the relation are  $A_1, A_2, \dots, A_n$
  - $A_i$  has a domain (set of values)  $D$ , i.e.  $D = \text{dom}(A_i)$
  - A tuple (row) is an ordered set of values (enclosed in angled brackets ' $\langle \dots \rangle$ ')
    - Each value is derived from an appropriate domain.
  - The number of attributes in the relation defines the degree of the relation



# Example of a relation - STUDENT

Diagram illustrating the structure of the STUDENT relation:

- Relation Name:** STUDENT
- Attributes:** Name, Ssn, Home\_phone, Address, Office\_phone, Age, Gpa
- Tuples:** Benjamin Bayer, Chung-cha Kim, Dick Davidson, Rohan Panchal, Barbara Benson

Name	Ssn	Home_phone	Address	Office_phone	Age	Gpa
Benjamin Bayer	305-61-2435	373-1616	2918 Bluebonnet Lane	NULL	19	3.21
Chung-cha Kim	381-62-1245	375-4409	125 Kirby Road	NULL	18	2.89
Dick Davidson	422-11-2320	NULL	3452 Elgin Road	749-1253	25	3.53
Rohan Panchal	489-22-1100	376-9821	265 Lark Lane	749-6492	28	3.93
Barbara Benson	533-69-1238	839-8461	7384 Fontana Lane	NULL	19	3.25

Domain of  
the Ssn  
attribute

**Figure 5.1**

The attributes and tuples of a relation STUDENT.



# Terms summary

<u>Informal Terms</u>	<u>Formal Terms</u>
Table	Relation
Column Header	Attribute
All possible Column Values	Domain
Row	Tuple
Table Definition	Schema of a Relation
Populated Table	State of the Relation



# Characteristics of Relations

- Relations differ from data files with the following:
  - A relation is defined as a set of tuples, hence tuples are not considered to be ordered
  - In general we can consider the attributes (and their values) of a relation to be ordered.
    - The ordering of attributes in a tuple is not important if a tuple can be considered a set of (<attribute\_name>, <value>) pairs
  - All values are considered **atomic (indivisible)**.



# Relational Integrity Constraints

- Constraints are **conditions** that must hold on **all** valid relation states
- There are three *main types* of constraints in the relational model:
  - **Key** constraints
  - **Entity integrity** constraints
  - **Referential integrity** constraints
- Another implicit constraint is the **domain** constraint
  - Every value in a tuple must be from the *domain of its attribute* (or it could be **null**, if allowed for that attribute)





# Key Constraints (1)

## ➤ Superkey of R:

- Is a set of attributes SK of R with the following condition:
  - No two tuples in any valid relation state  $r(R)$  will have the same value for SK
  - That is, for any distinct tuples  $t_1$  and  $t_2$  in  $r(R)$ ,  $t_1[SK] \neq t_2[SK]$
  - This condition must hold in *any valid state*  $r(R)$

## ➤ Key of R:

- A "minimal" superkey
- That is, a key is a superkey K such that removal of any attribute from K results in a set of attributes that is not a superkey (does not possess the superkey uniqueness property)



# Key Constraints (2)

- If a relation has several **candidate keys**, one is chosen arbitrarily to be the **primary key**.
- The primary key attributes are underlined.

**A rule of thumb is to choose the shortest possible candidate key as a primary key**



# Example

**CAR**

<u>License_number</u>	Engine_serial_number	Make	Model	Year
Texas ABC-739	A69352	Ford	Mustang	02
Florida TVP-347	B43696	Oldsmobile	Cutlass	05
New York MPO-22	X83554	Oldsmobile	Delta	01
California 432-TFY	C43742	Mercedes	190-D	99
California RSK-629	Y82935	Toyota	Camry	04
Texas RSK-629	U028365	Jaguar	XJS	04

The CAR relation with two candidate keys

LicenseNumber is chosen as a primary key



# Relational Database Schema

## ➤ Relational Database Schema:

- A **set S of relation schemas** that belong to the same database + a **set of relational integrity constraints**
- S is the name of the whole **database schema**
- $S = \{R_1, R_2, \dots, R_n\}$ 
  - $R_1, R_2, \dots, R_n$  are the names of the individual **relation schemas** within the database S
- The set of relational integrity constraints includes:
  - Entity integrity
  - Referential integrity



# Example - COMPANY Relational Database Schema

## EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

## DEPARTMENT

Dname	<u>Dnumber</u>	Mgr_ssn	Mgr_start_date
-------	----------------	---------	----------------

## DEPT\_LOCATIONS

<u>Dnumber</u>	<u>Dlocation</u>
----------------	------------------

## PROJECT

Pname	<u>Pnumber</u>	Plocation	Dnum
-------	----------------	-----------	------

## WORKS\_ON

<u>Essn</u>	<u>Pno</u>	Hours
-------------	------------	-------

## DEPENDENT

<u>Essn</u>	<u>Dependent_name</u>	Sex	Bdate	Relationship
-------------	-----------------------	-----	-------	--------------

**Figure 5.5**

Schema diagram for the COMPANY relational database schema.



# Entity Integrity

## ➤ Entity Integrity:

- The *primary key attributes* PK of each relation schema R in S **cannot have null values** in any tuple of  $r(R)$ .
  - This is because primary key values are used to *identify* the individual tuples.
  - $t[PK] \neq \text{null}$  for any tuple  $t$  in  $r(R)$
  - **If PK has several attributes, null is not allowed in any of these attributes**
- Note: Other attributes of R may be constrained to disallow null values, even though they are not members of the primary key.



# Referential Integrity

- A constraint involving **two** relations
  - The previous constraints involve a single relation.
- Used to specify a **relationship** among tuples in two relations:
  - The **referencing relation** and the **referenced relation**.



# Referential Integrity (or foreign key) Constraint

- A set of attributes FK in relation schema  $R_1$  is a **foreign key** of  $R_1$  that **references** relation  $R_2$  if it satisfies the following rules:
  - 1. The attributes in FK have the same domain(s) as the primary key attributes PK of  $R_2$ ; the attributes FK are said to **reference** or **refer to** the relation  $R_2$ .
  - 2. A value of FK in a tuple  $t_1$  of the current state  $r_1(R_1)$  either occurs as a value of PK for some tuple  $t_2$  in the current state  $r_2(R_2)$  or is *NULL*. In the former case, we have  $t_1[\text{FK}] = t_2[\text{PK}]$ , and we say that the tuple  $t_1$  **references** or **refers to** the tuple  $t_2$ .
- In this definition,  $R_1$  is called the **referencing relation** and  $R_2$  is the **referenced relation**.
- If these two conditions hold, a **referential integrity constraint** from  $R_1$  to  $R_2$  is said to hold.





# Example - Referential Integrity Constraints for COMPANY database

## EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

## DEPARTMENT

Dname	<u>Dnumber</u>	Mgr_ssn	Mgr_start_date
-------	----------------	---------	----------------

## DEPT\_LOCATIONS

<u>Dnumber</u>	<u>Dlocation</u>
----------------	------------------

## PROJECT

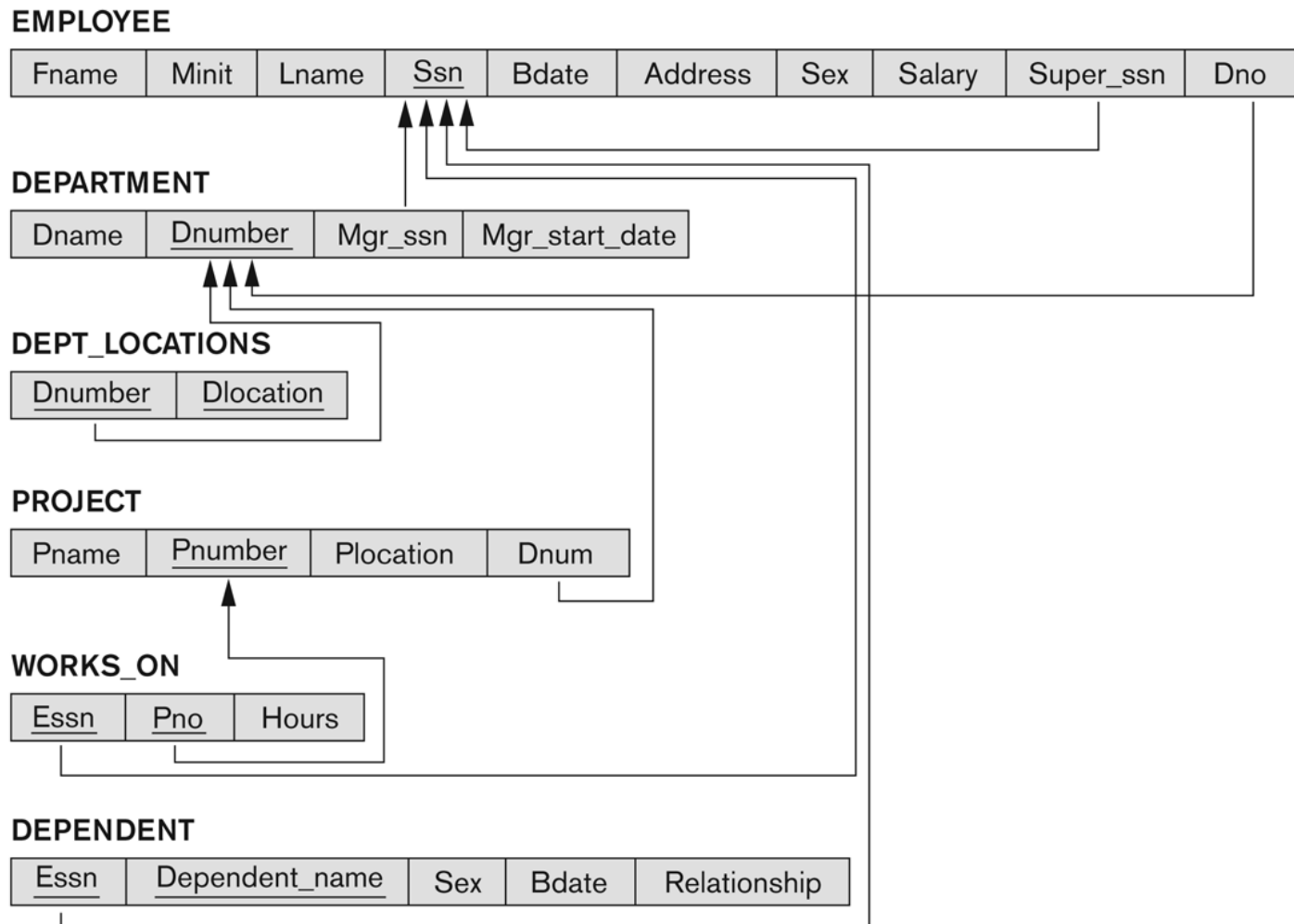
Pname	<u>Pnumber</u>	Plocation	Dnum
-------	----------------	-----------	------

## WORKS\_ON

<u>Essn</u>	<u>Pno</u>	Hours
-------------	------------	-------

## DEPENDENT

<u>Essn</u>	<u>Dependent_name</u>	Sex	Bdate	Relationship
-------------	-----------------------	-----	-------	--------------



# Exercise

- Consider the following relations for a database that keeps track of student enrollment in courses and the books adopted for each course :
  - STUDENT(SSN, Name, Major, Bdate)
  - COURSE(Course#, Cname, Dept)
  - ENROLL(SSN, Course#, Quarter, Grade)
  - BOOK\_ADOPTION(Course#, Quarter, Book\_ISBN)
  - TEXT(Book\_ISBN, Book\_Title, Publisher, Author)
- **Specify the foreign keys for this schema, stating any assumptions you make.**



# Other Types of Constraints

## ➤ Semantic Integrity Constraints:

➤ based on application semantics and cannot be expressed by the model per se

### ➤ **Examples:**

➤ “the salary of an employee cannot be greater than the salary of his manager”

➤ “the max. no. of hours per employee for all projects he or she works on is 56 hrs per week”



# Populated database state

- Each *relation* will have many tuples in its current relation state
- The *relational database state* is a union of all the individual relation states
- Whenever the database is changed, a new state arises
- Basic operations for changing the database:
  - INSERT a new tuple in a relation
  - DELETE an existing tuple from a relation
  - MODIFY an attribute of an existing tuple



# Populated database state for COMPANY

## EMPLOYEE

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	B	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	M	30000	333445555	5
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	M	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	M	38000	333445555	5
Joyce	A	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	M	25000	987654321	4
James	E	Borg	888665555	1937-11-10	450 Stone, Houston, TX	M	55000	NULL	1

## DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date
Research	5	333445555	1988-05-22
Administration	4	987654321	1995-01-01
Headquarters	1	888665555	1981-06-19

## WORKS\_ON

Essn	Pno	Hours
123456789	1	32.5
123456789	2	7.5
666884444	3	40.0
453453453	1	20.0
453453453	2	20.0
333445555	2	10.0
333445555	3	10.0
333445555	10	10.0
333445555	20	10.0
999887777	30	30.0
999887777	10	10.0
987987987	10	35.0
987987987	30	5.0
987654321	30	20.0
987654321	20	15.0
888665555	20	NULL

## DEPENDENT

Essn	Dependent_name	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	M	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	M	1942-02-28	Spouse
123456789	Michael	M	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

## DEPT\_LOCATIONS

Dnumber	Dlocation
1	Houston
4	Stafford
5	Bellaire
5	Sugarland
5	Houston

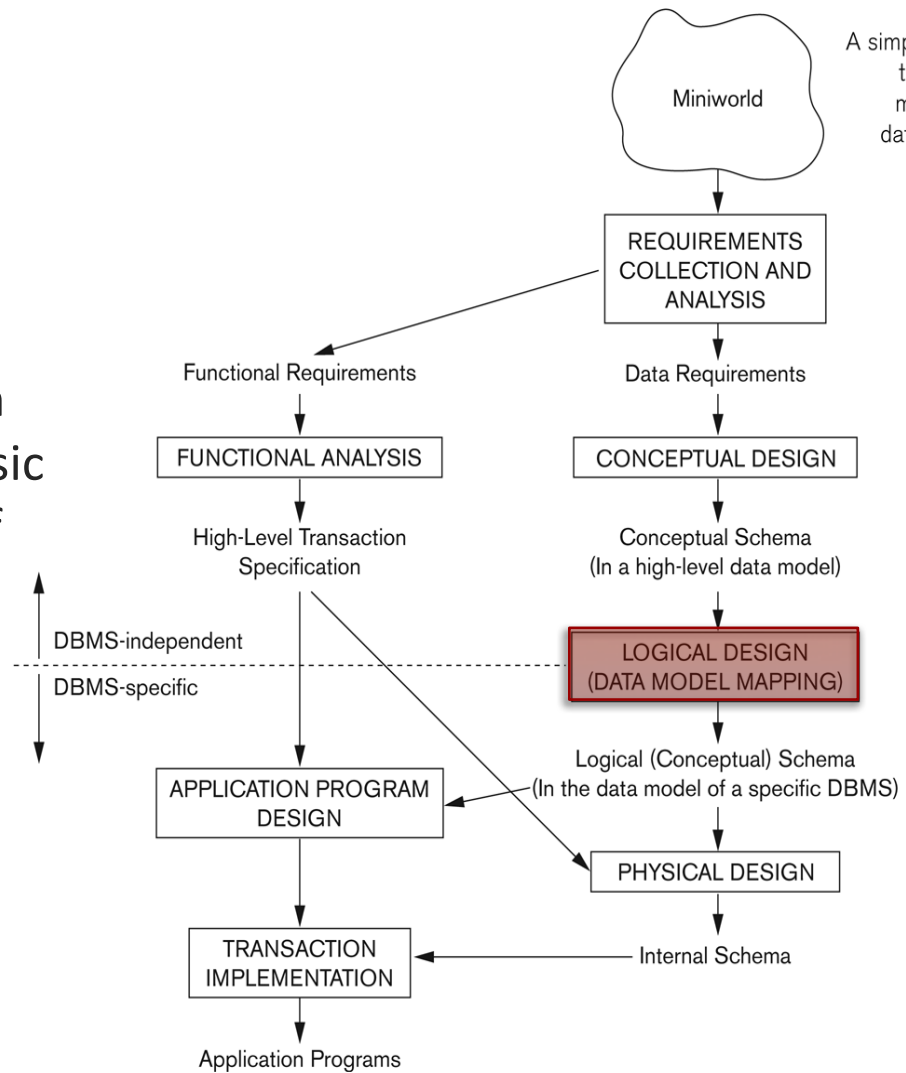
## PROJECT

Pname	Pnumber	Plocation	Dnum
ProductX	1	Bellaire	5
ProductY	2	Sugarland	5
ProductZ	3	Houston	5
Computerization	10	Stafford	4
Reorganization	20	Houston	1
Newbenefits	30	Stafford	4



# ER-to-Relational Mapping Algorithm

- Relational database schema design
  - Based on the conceptual schema
- Algorithm composed of seven steps for transforming the basic ER constructs to constructs of the relational model
- Additional steps for the EER model

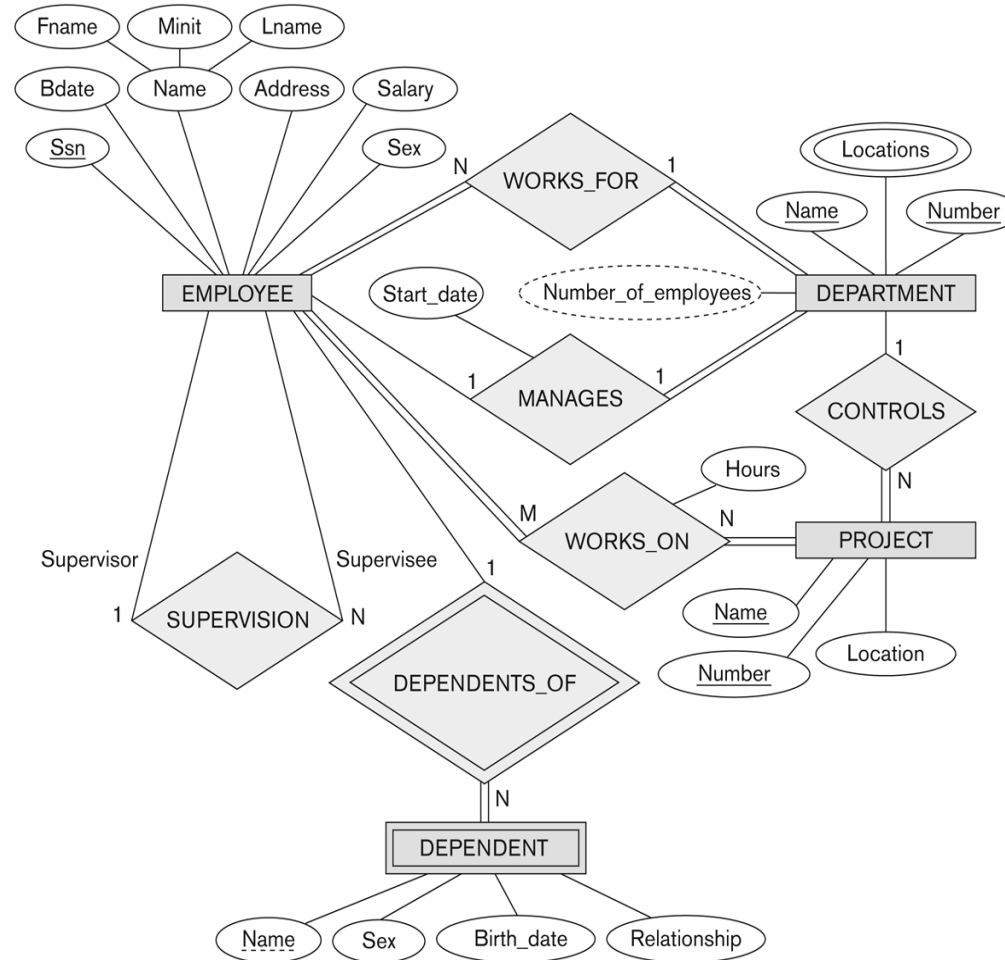


**Figure 3.1**  
A simplified diagram to illustrate the main phases of database design.



# ER-to-Relational Mapping Algorithm

Example



**Figure 3.2**

An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter.



# ER-to-Relational Mapping Algorithm

## ➤ ER-to-Relational Mapping Algorithm

- Step 1: Mapping of Regular Entity Types
- Step 2: Mapping of Weak Entity Types
- Step 3: Mapping of Binary 1:1 Relation Types
- Step 4: Mapping of Binary 1:N Relationship Types.
- Step 5: Mapping of Binary M:N Relationship Types.
- Step 6: Mapping of Multivalued attributes.
- Step 7: Mapping of N-ary Relationship Types.

## ➤ Mapping EER Model Constructs to Relations

- Step 8: Options for Mapping Specialization or Generalization.





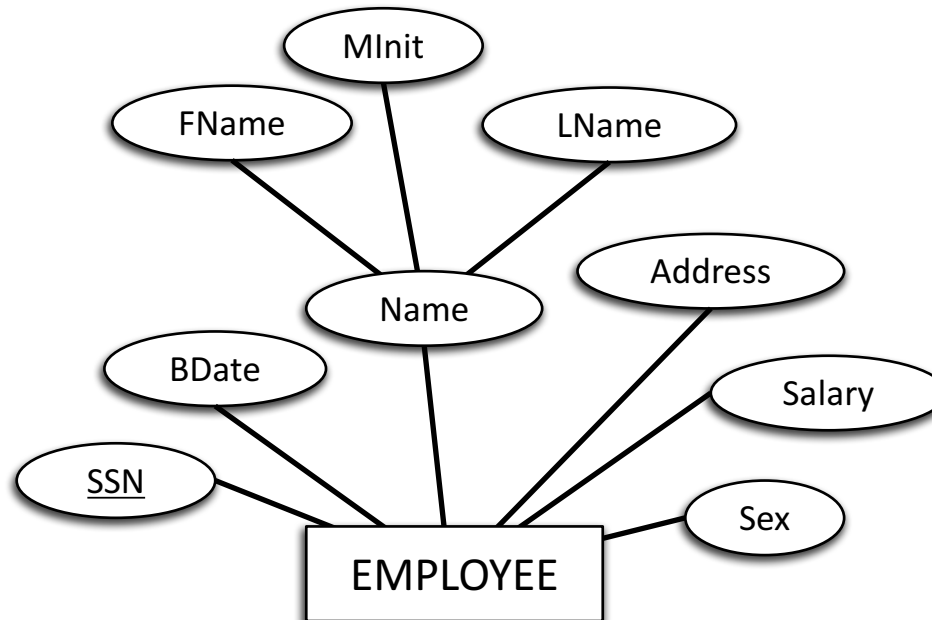
# Mapping of Regular Entity Types

- For each regular (strong) entity type  $E$  in the ER schema, **create a relation**  $R$  that includes all the simple attributes of  $E$ .
- *Composite* attributes are transformed into *a list of simple* attributes
- Choose one of the key attributes of  $E$  as the primary key for  $R$ .
- If the chosen key of  $E$  is composite, the set of simple attributes that form it will together form the primary key of  $R$ .



# Mapping of Regular Entity Types

Example



**EMPLOYEE**

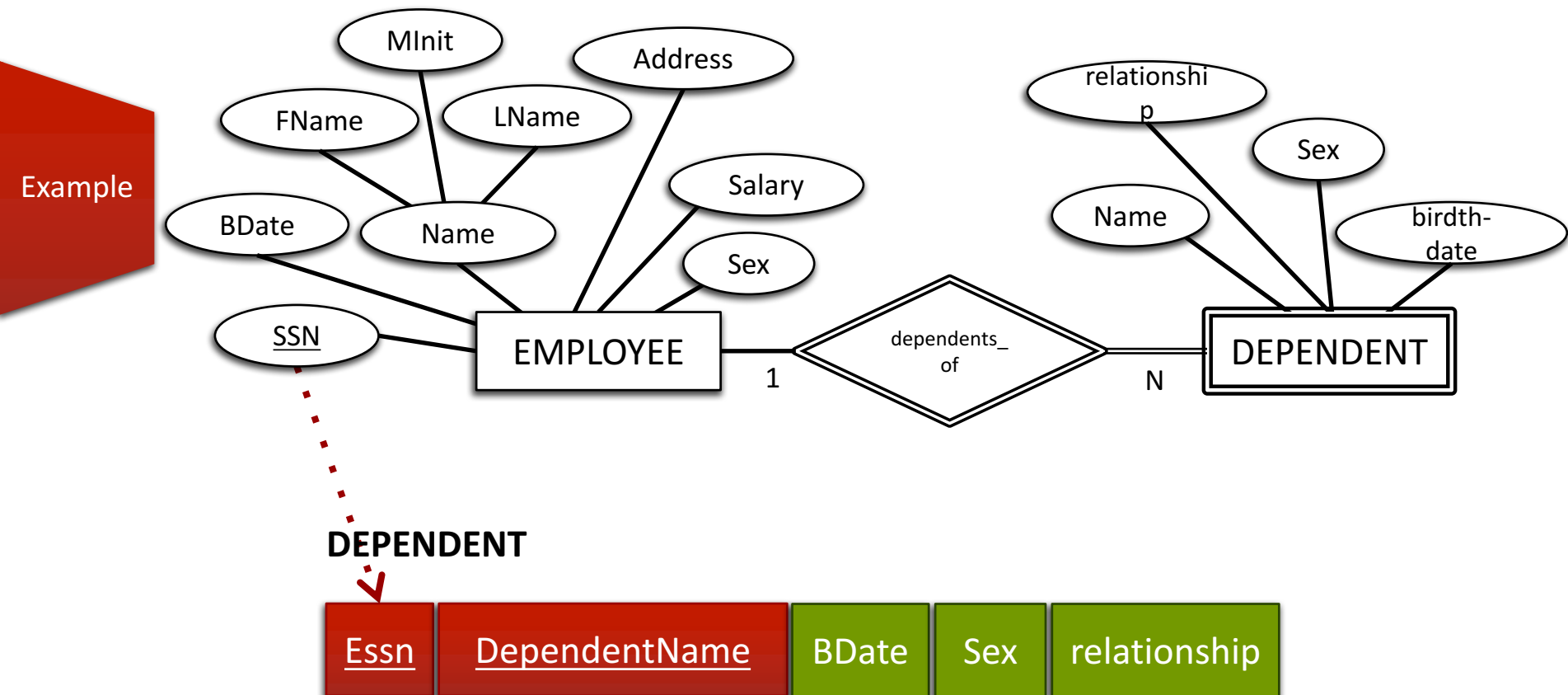


# Mapping of Weak Entity Types

- For each weak entity type W in the ER schema with owner entity type E, **create a relation** R & include all simple attributes (or simple components of composite attributes) of W as attributes of R.
- Also, include as **foreign key** attributes of R the primary key attribute(s) of the relation(s) that correspond to the owner entity type(s).
- The primary key of R is the combination of the primary key(s) of the owner(s) and the partial key of the weak entity type W, if any.



# Mapping of Weak Entity Types

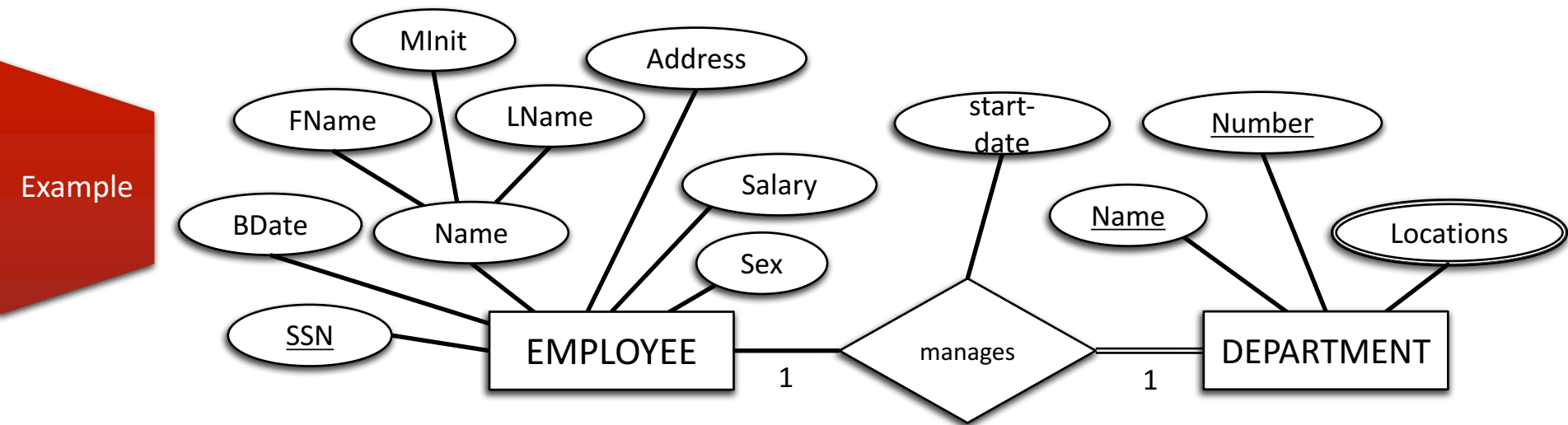


# Mapping of Binary 1:1 Relation Types

- For each binary 1:1 relationship type R in the ER schema, identify the relations S and T that correspond to the entity types participating in R.
- There are three possible approaches:
  1. **Foreign Key approach:** Choose one of the relations (say S) and include **a foreign key in S the primary key of T**. It is better to choose an **entity type with total participation** in R in the role of S.
    - Example: 1:1 relation MANAGES is mapped by choosing the participating entity type DEPARTMENT to serve in the role of S, because its participation in the MANAGES relationship type is total.
  2. **Merged relation option:** An alternate mapping of a 1:1 relationship type is possible by *merging the two entity types and the relationship* into **a single relation**. This may be appropriate **when both participations are total**.
  3. **Cross-reference or relationship relation option:** The third alternative is to **set up a third relation R** for the purpose of cross-referencing the primary keys of the two relations S and T representing the entity types.



# Mapping of Binary 1:1 Relation Types



## DEPARTMENT

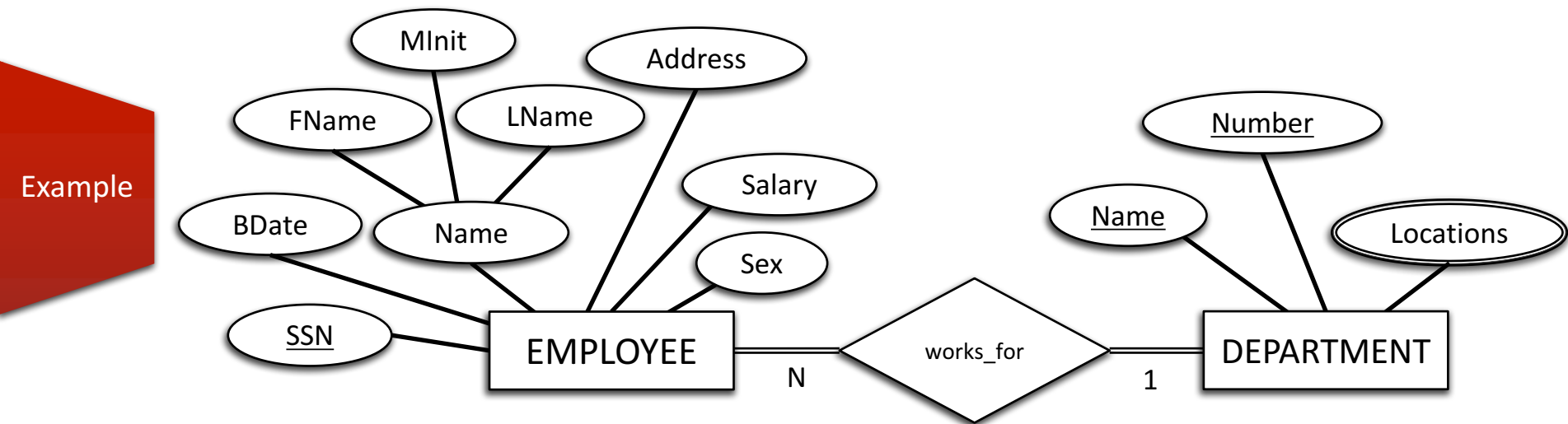


# Mapping of Binary 1:N Relationship Types

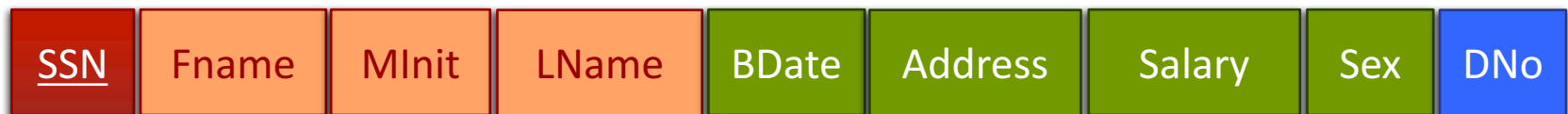
- For each regular binary 1:N relationship type R, identify the relation S that represent the participating **entity type at the N-side of the relationship type**.
- Include as **foreign key in S the primary key of the relation T** that represents the other entity type participating in R.
- Include any simple attributes of the 1:N relation type as attributes of S.
  - This will never occur since we use the rule that no attributes should be assigned to a 1:N relationship types



# Mapping of Binary 1:N Relationship Types



## EMPLOYEE



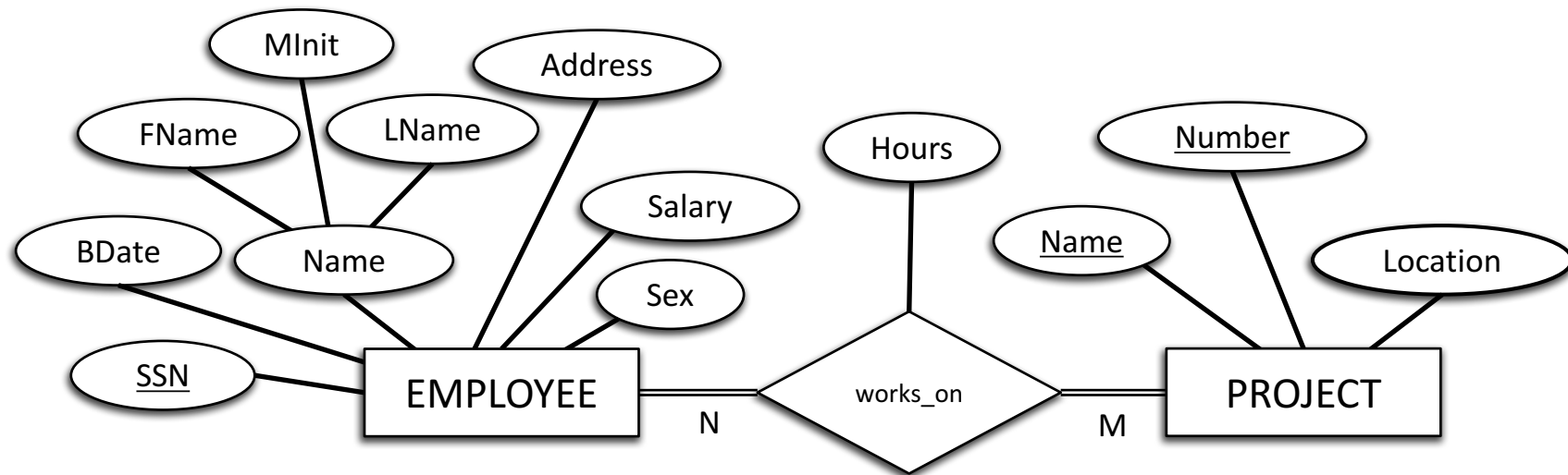


# Mapping of Binary M:N Relationship Types

- For each regular binary M:N relationship type R, **create a new relation** Q to represent R.
- Include as **foreign key** attributes in Q the primary keys of the relations that represent the participating entity types; their combination will form the primary key of Q.
- Also include any simple attributes of the M:N relationship type (or simple components of composite attributes) as attributes of Q.



# Mapping of Binary M:N Relationship Types



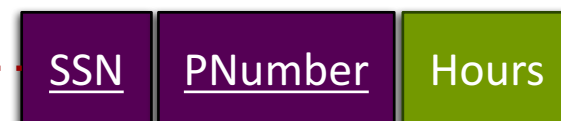
## EMPLOYEE



## PROJECT



## WORKS\_FOR



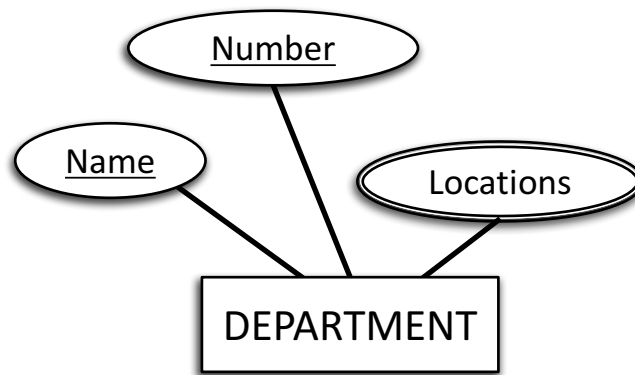
# Mapping of Multivalued attributes

- For each multivalued attribute A, **create a new relation R**.
- This relation R will include an attribute corresponding to A, **plus the primary key attribute K** (as a foreign key in R) of the relation that represents the entity type of relationship type that has A as an attribute.
- The primary key of R is the combination of A and K. If the multivalued attribute is composite, we include its simple components.



# Mapping of Multivalued attributes

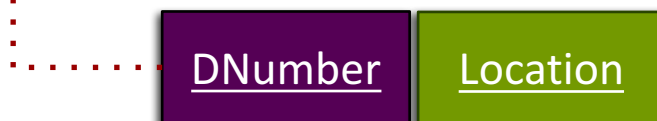
Example



**DEPARTMENT**



**DEPT\_LOCATIONS**



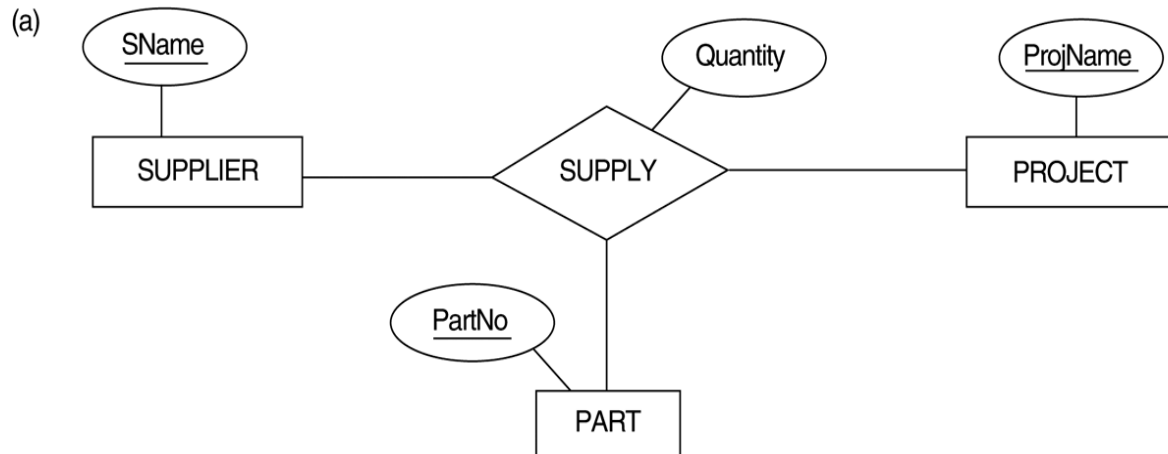
# Mapping of N-ary Relationship Types

- For each n-ary relationship type R, where  $n > 2$ , **create a new relation** S to represent R.
- Include as foreign key attributes in S the primary keys of the relations that represent the participating entity types.
- Also include any simple attributes of the n-ary relationship type (or simple components of composite attributes) as attributes of S.



# Mapping of N-ary Relationship Types

Example



**SUPPLIER**

SName

...

**PROJECT**

ProjName

...

**PART**

PartNo

...

**SUPPLY**

SName

ProjName

PartNo

Quantity



# Summary of Mapping constructs and constraints

*Table 7.1 Correspondence between ER and Relational Models*

## ER Model

Entity type

1:1 or 1:N relationship type

M:N relationship type

$n$ -ary relationship type

Simple attribute

Composite attribute

Multivalued attribute

Value set

Key attribute

## Relational Model

“Entity” relation

Foreign key (or “relationship” relation)

“Relationship” relation and two foreign keys

“Relationship” relation and  $n$  foreign keys

Attribute

Set of simple component attributes

Relation and foreign key

Domain

Primary (or secondary) key



# Mapping EER Model Constructs to Relations

## ➤ Step8: Options for Mapping Specialization or Generalization.

➤ Convert each specialization with  $m$  subclasses  $\{S_1, S_2, \dots, S_m\}$  and generalized superclass  $C$ , where the attributes of  $C$  are  $\{k, a_1, \dots, a_n\}$  and  $k$  is the (primary) key, into relational schemas using one of the four following options:

- Option 8A: Multiple relations-Superclass and subclasses
- Option 8B: Multiple relations-Subclass relations only
- Option 8C: Single relation with one type attribute
- Option 8D: Single relation with multiple type attributes





# Mapping EER Model Constructs to Relations

## ➤ Option 8A: Multiple relations-Superclass and subclasses

- Create a relation  $L$  for  $C$  with attributes  $\text{Attrs}(L) = \{k, a_1, \dots, a_n\}$  and  $\text{PK}(L) = k$ . Create a relation  $L_i$  for each subclass  $S_i$ ,  $1 < i < m$ , with the attributes  $\text{Attrs}(L_i) = \{k\} \cup \{\text{attributes of } S_i\}$  and  $\text{PK}(L_i) = k$ . This option works for any specialization (total or partial, disjoint or over-lapping).

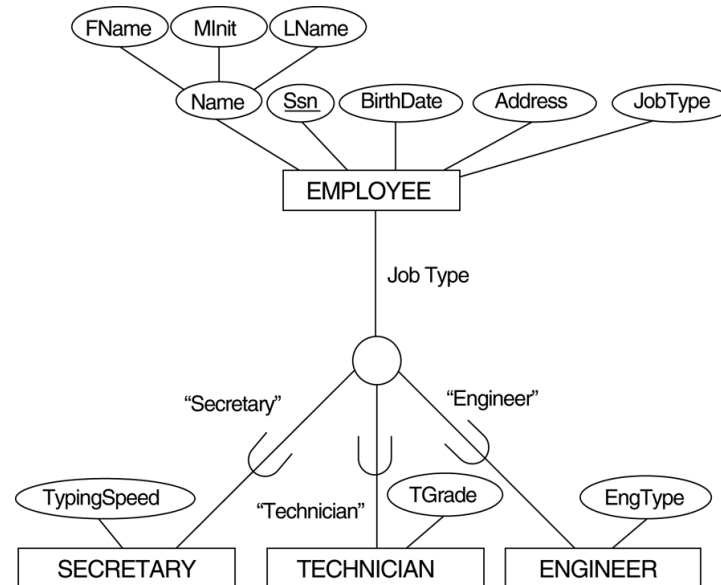
## ➤ Option 8B: Multiple relations-Subclass relations only

- Create a relation  $L_i$  for each subclass  $S_i$ ,  $1 < i < m$ , with the attributes  $\text{Attr}(L_i) = \{\text{attributes of } S_i\} \cup \{k, a_1, \dots, a_n\}$  and  $\text{PK}(L_i) = k$ . This option only works for a specialization whose subclasses are total (every entity in the superclass must belong to (at least) one of the subclasses).



# Mapping EER Model Constructs to Relations

Example



(a) EMPLOYEE

<u>SSN</u>	FName	MInit	LName	BirthDate	Address	JobType
------------	-------	-------	-------	-----------	---------	---------

SECRETARY

<u>SSN</u>	TypingSpeed
------------	-------------

TECHNICIAN

<u>SSN</u>	TGrade
------------	--------

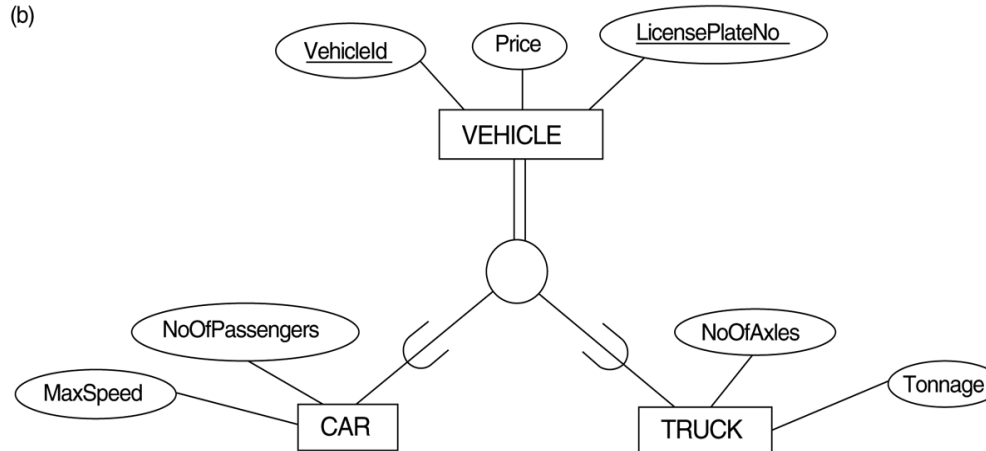
ENGINEER

<u>SSN</u>	EngType
------------	---------



# Mapping EER Model Constructs to Relations

(b)



(b)

CAR

<u>VehicleId</u>	LicensePlateNo	Price	MaxSpeed	NoOfPassengers
------------------	----------------	-------	----------	----------------

TRUCK

<u>VehicleId</u>	LicensePlateNo	Price	NoOfAxles	Tonnage
------------------	----------------	-------	-----------	---------



# Mapping EER Model Constructs to Relations

## ➤ Option 8C: Single relation with one type attribute

- Create a single relation  $L$  with attributes  $\text{Attrs}(L) = \{k, a_1, \dots, a_n\} \cup \{\text{attributes of } S_1\} \cup \dots \cup \{\text{attributes of } S_m\} \cup \{t\}$  and  $\text{PK}(L) = k$ . The attribute  $t$  is called a type (or **discriminating**) attribute that indicates the subclass to which each tuple belongs

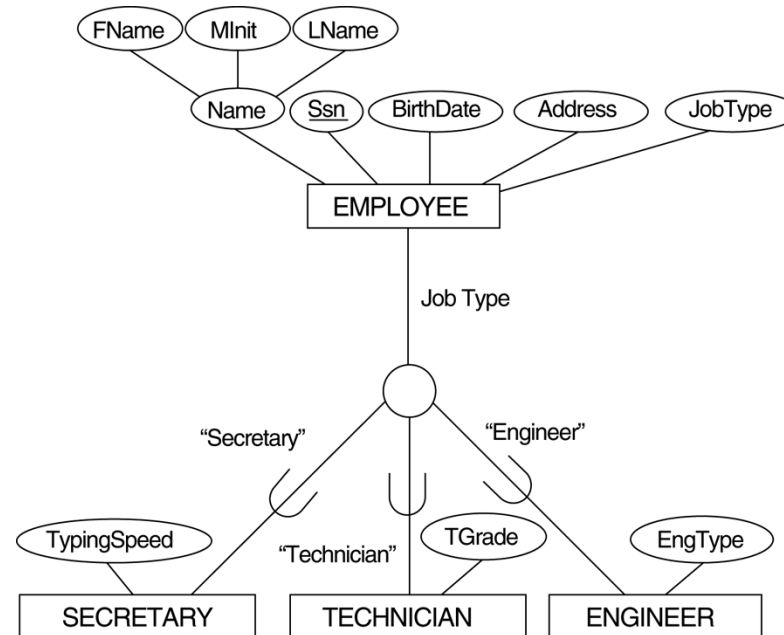
## ➤ Option 8D: Single relation with multiple type attributes

- Create a single relation schema  $L$  with attributes  $\text{Attrs}(L) = \{k, a_1, \dots, a_n\} \cup \{\text{attributes of } S_1\} \cup \dots \cup \{\text{attributes of } S_m\} \cup \{t_1, t_2, \dots, t_m\}$  and  $\text{PK}(L) = k$ . Each  $t_i$ ,  $1 < i < m$ , is a **Boolean type attribute** indicating whether a tuple belongs to the subclass  $S_i$ .



# Mapping EER Model Constructs to Relations

Example



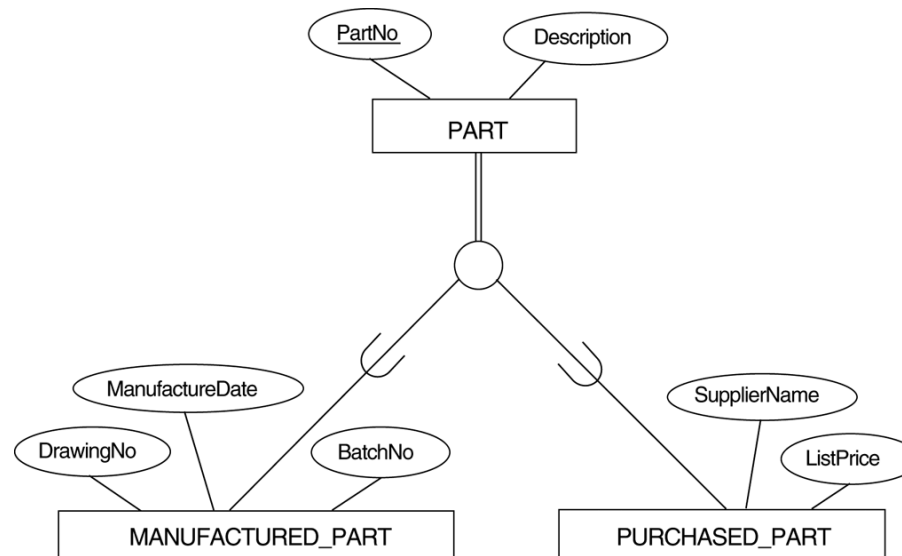
(c) EMPLOYEE

<u>SSN</u>	FName	MInit	LName	BirthDate	Address	JobType	TypingSpeed	TGrade	
------------	-------	-------	-------	-----------	---------	---------	-------------	--------	--



# Mapping EER Model Constructs to Relations

Example



(d) PART

<u>PartNo</u>	Description	MFlag	DrawingNo	ManufactureDate	BatchNo	PFlag	SupplierName	ListPrice
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# Mapping EER Model Constructs to Relations

- Mapping of Shared Subclasses (Multiple Inheritance)
  - A shared subclass, such as STUDENT\_ASSISTANT, is a subclass of several classes, indicating multiple inheritance. These classes must all have the same key attribute; otherwise, the shared subclass would be modeled as a category.
  - We can apply any of the options discussed in Step 8 to a shared subclass, subject to the restriction discussed in Step 8 of the mapping algorithm. Below both 8C and 8D are used for the shared class STUDENT\_ASSISTANT.



# Mapping EER Model Constructs to Relations

## Example

### PERSON

<u>SSN</u>	Name	BirthDate	Sex	Address
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### EMPLOYEE

<u>SSN</u>	Salary	EmployeeType	Position	Rank	PercentTime	RAFlag	TAFlag	Project	
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### ALUMNUS

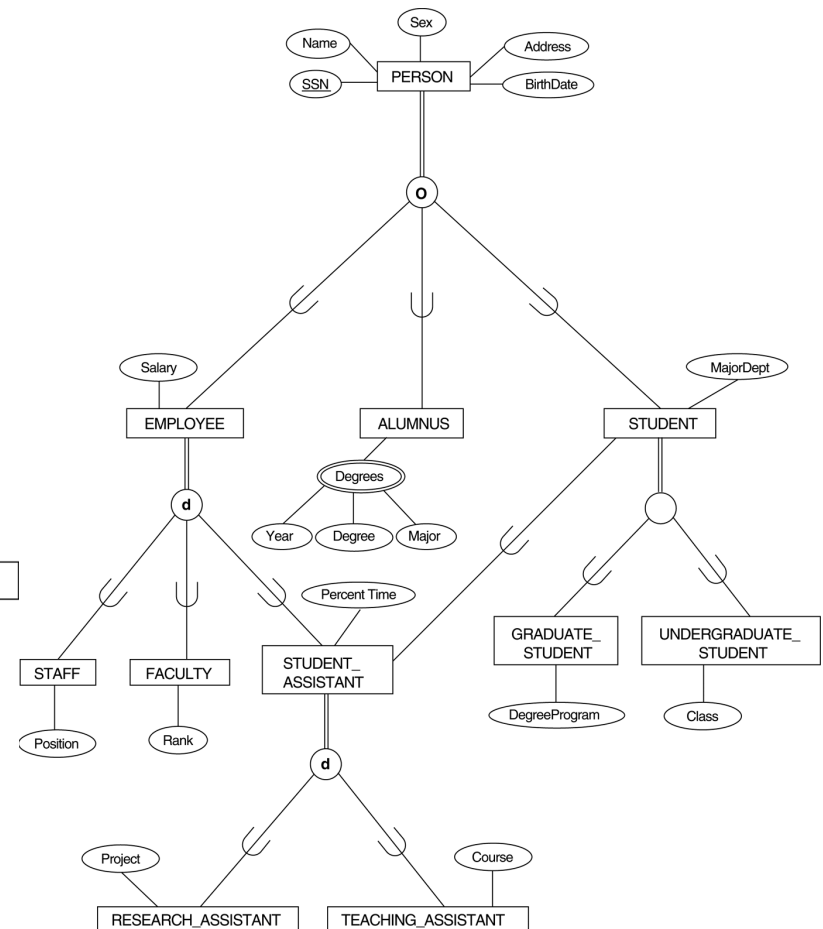
<u>SSN</u>
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### ALUMNUS\_DEGREES

<u>SSN</u>	Year	Degree	
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### STUDENT

<u>SSN</u>	MajorDept	GradFlag	UndergradFlag	DegreeProgram	Class	StudAssistFlag
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# Mapping EER Model Constructs to Relations

## Example

8A

PERSON

<u>SSN</u>	Name	BirthDate	Sex	Address
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EMPLOYEE

<u>SSN</u>	Salary	EmployeeType	Position	Rank	PercentTime	RAFlag	TAFlag	Project	
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ALUMNUS

<u>SSN</u>
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ALUMNUS\_DEGREES

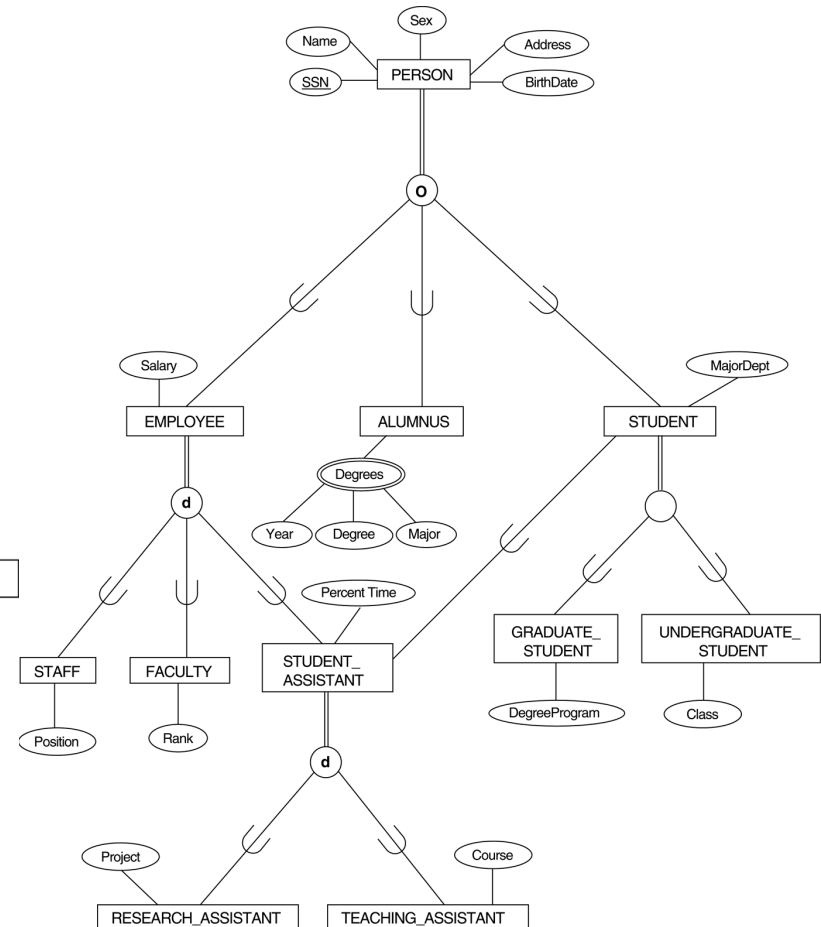
<u>SSN</u>	Year	Degree	
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STUDENT

<u>SSN</u>	MajorDept	GradFlag	UndergradFlag	DegreeProgram	Class	StudAssistFlag
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8C

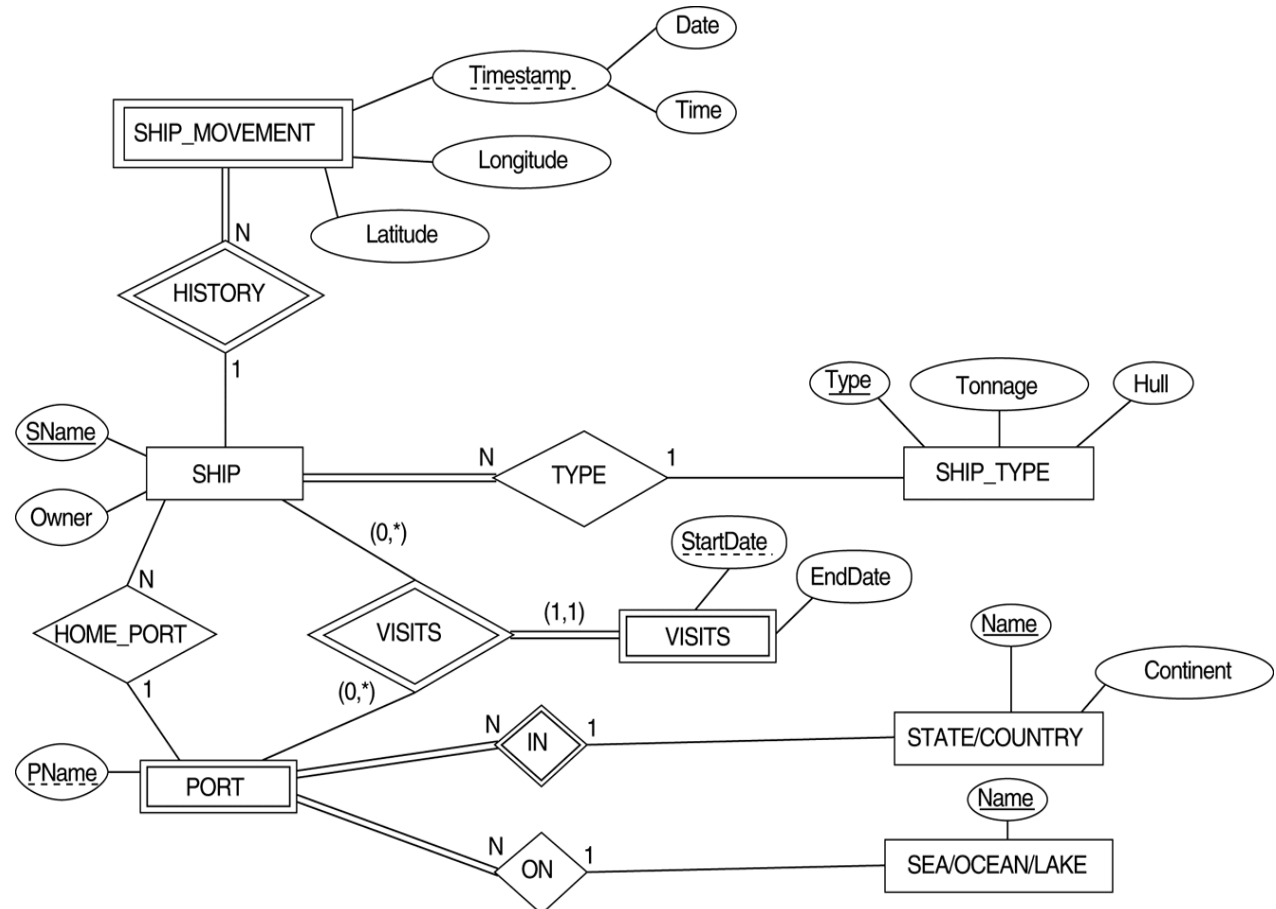
8D



# Mapping Exercise

Create the relational database schema based on the ER diagram!

Be careful of the (min, max) notation used on some relationships in the ER!

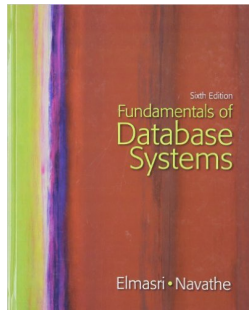


# Summary

- Relational Model Concepts
- Relational Model Constraints and Relational Database Schemas
- **ER-to-Relational Mapping Algorithm**
  - Step 1: Mapping of Regular Entity Types
  - Step 2: Mapping of Weak Entity Types
  - Step 3: Mapping of Binary 1:1 Relation Types
  - Step 4: Mapping of Binary 1:N Relationship Types.
  - Step 5: Mapping of Binary M:N Relationship Types.
  - Step 6: Mapping of Multivalued attributes.
  - Step 7: Mapping of N-ary Relationship Types.
- **Mapping EER Model Constructs to Relations**
  - Step 8: Options for Mapping Specialization or Generalization.



# Bibliography



➤ **Chapter 3**

➤ **Chapter 9**



➤ **Chapter 2**