# **Artificial Intelligence Nanodegree**

## **Voice User Interfaces**

# **Project: Speech Recognition with Neural Networks**

In this notebook, some template code has already been provided for you, and you will need to implement additional functionality to successfully complete this project. You will not need to modify the included code beyond what is requested. Sections that begin with '(IMPLEMENTATION)' in the header indicate that the following blocks of code will require additional functionality which you must provide. Please be sure to read the instructions carefully!

Note: Once you have completed all of the code implementations, you need to finalize your work by exporting the Jupyter Notebook as an HTML document. Before exporting the notebook to html, all of the code cells need to have been run so that reviewers can see the final implementation and output. You can then export the notebook by using the menu above and navigating to \n", "File -> Download as -> HTML (.html). Include the finished document along with this notebook as your submission.

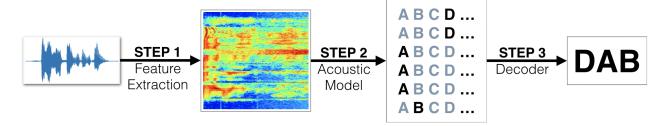
In addition to implementing code, there will be questions that you must answer which relate to the project and your implementation. Each section where you will answer a question is preceded by a 'Question X' header. Carefully read each question and provide thorough answers in the following text boxes that begin with 'Answer:'. Your project submission will be evaluated based on your answers to each of the questions and the implementation you provide.

**Note:** Code and Markdown cells can be executed using the **Shift + Enter** keyboard shortcut. Markdown cells can be edited by double-clicking the cell to enter edit mode.

The rubric contains *optional* "Stand Out Suggestions" for enhancing the project beyond the minimum requirements. If you decide to pursue the "Stand Out Suggestions", you should include the code in this Jupyter notebook.

## Introduction

In this notebook, you will build a deep neural network that functions as part of an end-to-end automatic speech recognition (ASR) pipeline! Your completed pipeline will accept raw audio as input and return a predicted transcription of the spoken language. The full pipeline is summarized in the figure below.



- STEP 1 is a pre-processing step that converts raw audio to one of two feature representations that are commonly used for ASR.
- STEP 2 is an acoustic model which accepts audio features as input and returns a probability distribution over all potential transcriptions. After learning about the basic types of neural networks that are often used for acoustic modeling, you will engage in your own investigations, to design your own acoustic model!
- STEP 3 in the pipeline takes the output from the acoustic model and returns a predicted transcription.

Feel free to use the links below to navigate the notebook:

- The Data
- STEP 1: Acoustic Features for Speech Recognition
- STEP 2: Deep Neural Networks for Acoustic Modeling
  - Model 0: RNN
  - Model 1: RNN + TimeDistributed Dense
  - Model 2: CNN + RNN + TimeDistributed Dense
  - Model 3: Deeper RNN + TimeDistributed Dense
  - Model 4: Bidirectional RNN + TimeDistributed Dense
  - Models 5+
  - Compare the Models
  - Final Model
- STEP 3: Obtain Predictions

## The Data

We begin by investigating the dataset that will be used to train and evaluate your pipeline. LibriSpeech (http://www.danielpovey.com/files/2015 icassp librispeech.pdf) is a large corpus of English-read speech, designed for training and evaluating models for ASR. The dataset contains 1000 hours of speech derived from audiobooks. We will work with a small subset in this project, since larger-scale data would take a long while to train. However, after completing this project, if you are interested in exploring further, you are encouraged to work with more of the data that is provided online (http://www.openslr.org/12/).

In the code cells below, you will use the vis\_train\_features module to visualize a training example. The supplied argument index=0 tells the module to extract the first example in the training set. (You are welcome to change index=0 to point to a different training example, if you like, but please **DO NOT** amend any other code in the cell.) The returned variables are:

- vis text transcribed text (label) for the training example.
- vis\_raw\_audio raw audio waveform for the training example.
- vis mfcc feature mel-frequency cepstral coefficients (MFCCs) for the training example.

• vis spectrogram feature - spectrogram for the training example.

• vis audio path - the file path to the training example.

```
In [1]: from data_generator import vis_train_features

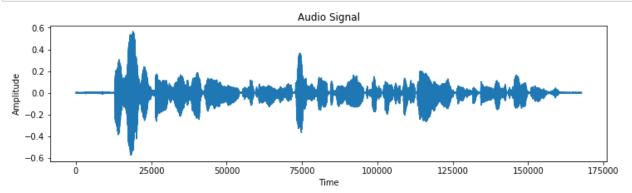
# extract label and audio features for a single training example
vis_text, vis_raw_audio, vis_mfcc_feature, vis_spectrogram_feature, vis_audi
```

There are 2136 total training examples.

The following code cell visualizes the audio waveform for your chosen example, along with the corresponding transcript. You also have the option to play the audio in the notebook!

```
In [2]: from IPython.display import Markdown, display
    from data_generator import vis_train_features, plot_raw_audio
    from IPython.display import Audio
    %matplotlib inline

# plot audio signal
    plot_raw_audio(vis_raw_audio)
    # print length of audio signal
    display(Markdown('**Shape of Audio Signal** : ' + str(vis_raw_audio.shape)))
# print transcript corresponding to audio clip
    display(Markdown('**Transcript** : ' + str(vis_text)))
# play the audio file
Audio(vis_audio_path)
```



<IPython.core.display.Markdown object>

<IPython.core.display.Markdown object>

Out[2]:

0:00

## **STEP 1: Acoustic Features for Speech Recognition**

For this project, you won't use the raw audio waveform as input to your model. Instead, we provide code that first performs a pre-processing step to convert the raw audio to a feature representation that has historically proven successful for ASR models. Your acoustic model will accept the feature representation as input.

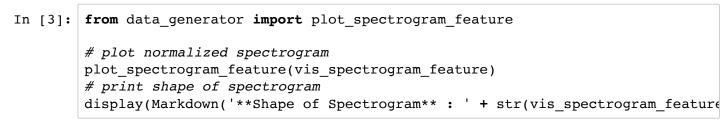
In this project, you will explore two possible feature representations. *After completing the project*, if you'd like to read more about deep learning architectures that can accept raw audio input, you are encouraged to explore this <u>research paper</u>

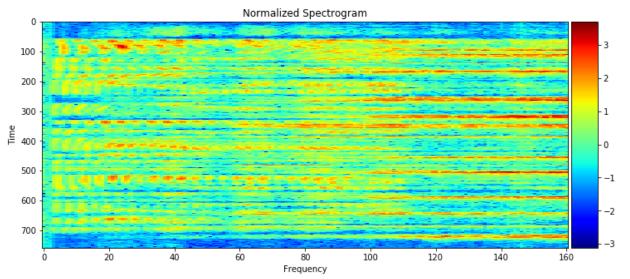
(https://pdfs.semanticscholar.org/a566/cd4a8623d661a4931814d9dffc72ecbf63c4.pdf).

## **Spectrograms**

The first option for an audio feature representation is the <a href="mailto:spectrogram">spectrogram</a> (<a href="https://www.youtube.com/watch?v=FatxGN3vAM">https://www.youtube.com/watch?v=FatxGN3vAM</a>). In order to complete this project, you will **not** need to dig deeply into the details of how a spectrogram is calculated; but, if you are curious, the code for calculating the spectrogram was borrowed from <a href="mailto:this:epsitory">this:repository</a> (<a href="https://github.com/baidu-research/ba-dls-deepspeech">https://github.com/baidu-research/ba-dls-deepspeech</a>). The implementation appears in the <a href="mailto:utils.py">utils.py</a> file in your repository.

The code that we give you returns the spectrogram as a 2D tensor, where the first (*vertical*) dimension indexes time, and the second (*horizontal*) dimension indexes frequency. To speed the convergence of your algorithm, we have also normalized the spectrogram. (You can see this quickly in the visualization below by noting that the mean value hovers around zero, and most entries in the tensor assume values close to zero.)





<IPython.core.display.Markdown object>

## **Mel-Frequency Cepstral Coefficients (MFCCs)**

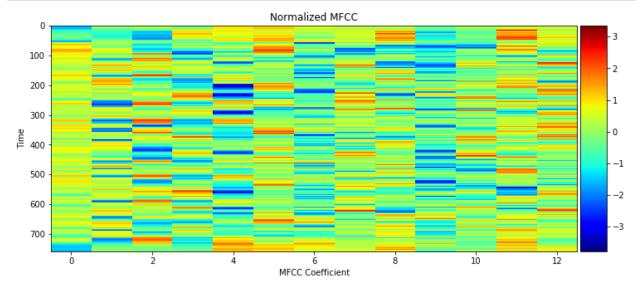
The second option for an audio feature representation is <u>MFCCs (https://en.wikipedia.org/wiki/Melfrequency\_cepstrum)</u>. You do **not** need to dig deeply into the details of how MFCCs are calculated, but if you would like more information, you are welcome to peruse the <u>documentation</u>

(https://github.com/jameslyons/python\_speech\_features) of the python\_speech\_features Python package. Just as with the spectrogram features, the MFCCs are normalized in the supplied code.

The main idea behind MFCC features is the same as spectrogram features: at each time window, the MFCC feature yields a feature vector that characterizes the sound within the window. Note that the MFCC feature is much lower-dimensional than the spectrogram feature, which could help an acoustic model to avoid overfitting to the training dataset.

```
In [4]: from data_generator import plot_mfcc_feature

# plot normalized MFCC
plot_mfcc_feature(vis_mfcc_feature)
# print shape of MFCC
display(Markdown('**Shape of MFCC**: ' + str(vis_mfcc_feature.shape)))
```



<IPython.core.display.Markdown object>

When you construct your pipeline, you will be able to choose to use either spectrogram or MFCC features. If you would like to see different implementations that make use of MFCCs and/or spectrograms, please check out the links below:

- This repository (https://github.com/baidu-research/ba-dls-deepspeech) uses spectrograms.
- This repository (https://github.com/mozilla/DeepSpeech) uses MFCCs.
- This <u>repository (https://github.com/buriburisuri/speech-to-text-wavenet)</u> also uses MFCCs.
- This <u>repository</u> (https://github.com/pannous/tensorflow-speech- <u>recognition/blob/master/speech\_data.py</u>) experiments with raw audio, spectrograms, and MFCCs as features.

# **STEP 2: Deep Neural Networks for Acoustic Modeling**

In this section, you will experiment with various neural network architectures for acoustic modeling.

You will begin by training five relatively simple architectures. **Model 0** is provided for you. You will write code to implement **Models 1**, **2**, **3**, and **4**. If you would like to experiment further, you are welcome to create and train more models under the **Models 5+** heading.

All models will be specified in the sample\_models.py file. After importing the sample\_models module, you will train your architectures in the notebook.

After experimenting with the five simple architectures, you will have the opportunity to compare their performance. Based on your findings, you will construct a deeper architecture that is designed to outperform all of the shallow models.

For your convenience, we have designed the notebook so that each model can be specified and trained on separate occasions. That is, say you decide to take a break from the notebook after training **Model 1**. Then, you need not re-execute all prior code cells in the notebook before training **Model 2**. You need only re-execute the code cell below, that is marked with **RUN THIS CODE CELL IF YOU ARE RESUMING THE NOTEBOOK AFTER A BREAK**, before transitioning to the code cells corresponding to **Model 2**.

The autoreload extension is already loaded. To reload it, use: %reload ext autoreload

# import function for training acoustic model

from train utils import train model

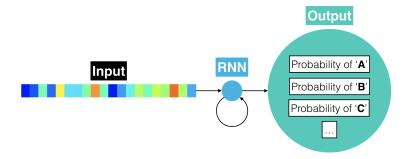
### Model 0: RNN

Given their effectiveness in modeling sequential data, the first acoustic model you will use is an RNN. As shown in the figure below, the RNN we supply to you will take the time sequence of audio features as input.

vui\_notebook

At each time step, the speaker pronounces one of 28 possible characters, including each of the 26 letters in the English alphabet, along with a space character (" "), and an apostrophe (').

The output of the RNN at each time step is a vector of probabilities with 29 entries, where the i-th entry encodes the probability that the i-th character is spoken in the time sequence. (The extra 29th character is an empty "character" used to pad training examples within batches containing uneven lengths.) If you would like to peek under the hood at how characters are mapped to indices in the probability vector, look at the char\_map.py file in the repository. The figure below shows an equivalent, rolled depiction of the RNN that shows the output layer in greater detail.



The model has already been specified for you in Keras. To import it, you need only run the code cell below.

In [6]: model\_0 = simple\_rnn\_model(input\_dim=161) # change to 13 if you would like to

Layer (type)	Output Shape	Param #
the_input (InputLayer)	(None, None, 161)	0
rnn (GRU)	(None, None, 29)	16617
softmax (Activation)	(None, None, 29)	0

Total params: 16,617 Trainable params: 16,617 Non-trainable params: 0

None

As explored in the lesson, you will train the acoustic model with the <u>CTC loss</u> (<a href="http://www.cs.toronto.edu/~graves/icml">http://www.cs.toronto.edu/~graves/icml</a> 2006.pdf) criterion. Custom loss functions take a bit of hacking in Keras, and so we have implemented the CTC loss function for you, so that you can focus

on trying out as many deep learning architectures as possible:). If you'd like to peek at the implementation details, look at the add\_ctc\_loss function within the train\_utils.py file in the repository.

To train your architecture, you will use the train\_model function within the train\_utils module; it has already been imported in one of the above code cells. The train\_model function takes three required arguments:

- input to softmax a Keras model instance.
- pickle\_path the name of the pickle file where the loss history will be saved.
- save model path the name of the HDF5 file where the model will be saved.

If we have already supplied values for input\_to\_softmax, pickle\_path, and save\_model\_path, please **DO NOT** modify these values.

There are several **optional** arguments that allow you to have more control over the training process. You are welcome to, but not required to, supply your own values for these arguments.

- minibatch\_size the size of the minibatches that are generated while training the model (default: 20).
- spectrogram Boolean value dictating whether spectrogram (True) or MFCC (False) features are used for training (default: True).
- mfcc\_dim the size of the feature dimension to use when generating MFCC features (default: 13).
- optimizer the Keras optimizer used to train the model (default: SGD(1r=0.02, decay=1e-6, momentum=0.9, nesterov=True, clipnorm=5)).
- epochs the number of epochs to use to train the model (default: 20). If you choose to modify this parameter, make sure that it is at least 20.
- verbose controls the verbosity of the training output in the model.fit\_generator method (default: 1).
- sort\_by\_duration Boolean value dictating whether the training and validation sets are sorted by (increasing) duration before the start of the first epoch (default: False).

The train\_model function defaults to using spectrogram features; if you choose to use these features, note that the acoustic model in simple\_rnn\_model should have input\_dim=161.

Otherwise, if you choose to use MFCC features, the acoustic model should have input\_dim=13.

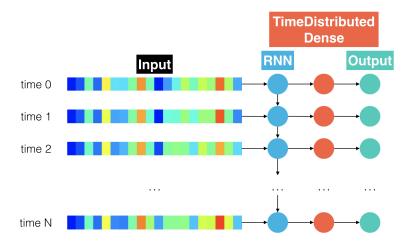
We have chosen to use GRU units in the supplied RNN. If you would like to experiment with LSTM or SimpleRNN cells, feel free to do so here. If you change the GRU units to SimpleRNN cells in simple\_rnn\_model, you may notice that the loss quickly becomes undefined (nan) - you are strongly encouraged to check this for yourself! This is due to the exploding gradients problem (http://www.wildml.com/2015/10/recurrent-neural-networks-tutorial-part-3-backpropagation-through-time-and-vanishing-gradients/). We have already implemented gradient clipping (https://arxiv.org/pdf/1211.5063.pdf) in your optimizer to help you avoid this issue.

**IMPORTANT NOTE:** If you notice that your gradient has exploded in any of the models below, feel free to explore more with gradient clipping (the clipnorm argument in your optimizer) or swap out any SimpleRNN cells for LSTM or GRU cells. You can also try restarting the kernel to restart the training process.

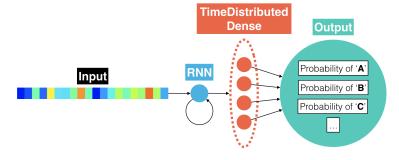
```
In [7]: train_model(input_to_softmax=model_0,
      pickle path='model 0.pickle',
      save_model_path='model_0.h5',
      spectrogram=True) # change to False if you would like to use MF(
  Epoch 1/20
  ss: 728.9571
  Epoch 2/20
  ss: 724.5152
  Epoch 3/20
  ss: 731.0220
  Epoch 4/20
  ss: 722.2856
  Epoch 5/20
  ss: 722.4322
  Epoch 6/20
  ss: 728.0798
  Epoch 7/20
  ss: 718.4225
  Epoch 8/20
  ss: 722.5540
  Epoch 9/20
  ss: 731.8051
  Epoch 10/20
  ss: 724.4694
  Epoch 11/20
  ss: 725.6004
  Epoch 12/20
  ss: 725.0794
  Epoch 13/20
  ss: 722.3306
  Epoch 14/20
  ss: 722.8860
  Epoch 15/20
  ss: 737.3120
  Epoch 16/20
  ss: 717.7959
  Epoch 17/20
  ss: 726.1091
  Epoch 18/20
```

## (IMPLEMENTATION) Model 1: RNN + TimeDistributed Dense

Read about the <u>TimeDistributed (https://keras.io/layers/wrappers/)</u> wrapper and the <u>BatchNormalization (https://keras.io/layers/normalization/)</u> layer in the Keras documentation. For your next architecture, you will add <u>batch normalization (https://arxiv.org/pdf/1510.01378.pdf)</u> to the recurrent layer to reduce training times. The <u>TimeDistributed</u> layer will be used to find more complex patterns in the dataset. The unrolled snapshot of the architecture is depicted below.



The next figure shows an equivalent, rolled depiction of the RNN that shows the (TimeDistrbuted) dense and output layers in greater detail.



Use your research to complete the rnn\_model function within the sample\_models.py file. The function should specify an architecture that satisfies the following requirements:

- The first layer of the neural network should be an RNN (SimpleRNN, LSTM, or GRU) that takes
  the time sequence of audio features as input. We have added GRU units for you, but feel free to
  change GRU to SimpleRNN or LSTM, if you like!
- Whereas the architecture in simple\_rnn\_model treated the RNN output as the final layer of the model, you will use the output of your RNN as a hidden layer. Use TimeDistributed to

apply a Dense layer to each of the time steps in the RNN output. Ensure that each Dense layer has output dim units.

Use the code cell below to load your model into the model\_1 variable. Use a value for input\_dim that matches your chosen audio features, and feel free to change the values for units and activation to tweak the behavior of your recurrent layer.

Layer (type)	Output Shape		Param #
the_input (InputLayer)	(None, None,	161)	0
rnn (GRU)	(None, None,	200)	217200
bn_rnn (BatchNormalization)	(None, None,	200)	800
time_distributed_2 (TimeDist	(None, None,	29)	5829
softmax (Activation)	(None, None,	29)	0

Total params: 223,829 Trainable params: 223,429 Non-trainable params: 400

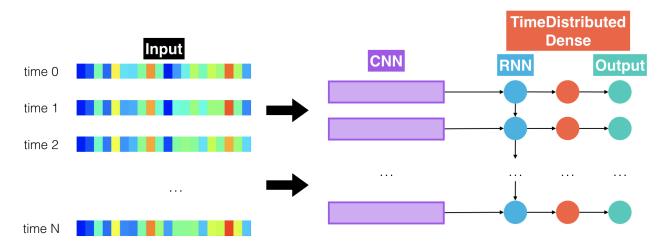
None

Please execute the code cell below to train the neural network you specified in <code>input\_to\_softmax</code>. After the model has finished training, the model is <code>saved</code> (<a href="https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model">https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model</a>) in the HDF5 file <code>model\_1.h5</code>. The loss history is <code>saved</code> (<a href="https://wiki.python.org/moin/UsingPickle">https://wiki.python.org/moin/UsingPickle</a>) in <code>model\_1.pickle</code>. You are welcome to tweak any of the optional parameters while calling the <code>train\_model</code> function, but this is not required.

```
In [7]: train_model(input_to_softmax=model_1,
      pickle path='model 1.pickle',
      save_model_path='model_1.h5',
      spectrogram=True) # change to False if you would like to use MF(
  Epoch 1/20
  ss: 236.98
  Epoch 2/20
  ss: 180.555
  Epoch 3/20
  ss: 166.224
  Epoch 4/20
  ss: 160.73
  Epoch 5/20
  ss: 153.20
  Epoch 6/20
  ss: 145.53
  Epoch 7/20
  ss: 154.22
  Epoch 8/20
  ss: 149.59
  Epoch 9/20
  ss: 139.51
  Epoch 10/20
  ss: 138.01
  Epoch 11/20
  ss: 138.244
  Epoch 12/20
  ss: 135.73
  Epoch 13/20
  ss: 133.033
  Epoch 14/20
  ss: 138.246
  Epoch 15/20
  ss: 132.37
  Epoch 16/20
  ss: 131.195
  Epoch 17/20
  ss: 129.711
  Epoch 18/20
```

### (IMPLEMENTATION) Model 2: CNN + RNN + TimeDistributed Dense

The architecture in cnn\_rnn\_model adds an additional level of complexity, by introducing a <u>1D</u> convolution layer (https://keras.io/layers/convolutional/#conv1d).



This layer incorporates many arguments that can be (optionally) tuned when calling the cnn\_rnn\_model module. We provide sample starting parameters, which you might find useful if you choose to use spectrogram audio features.

If you instead want to use MFCC features, these arguments will have to be tuned. Note that the current architecture only supports values of 'same' or 'valid' for the conv\_border\_mode argument.

When tuning the parameters, be careful not to choose settings that make the convolutional layer overly small. If the temporal length of the CNN layer is shorter than the length of the transcribed text label, your code will throw an error.

Before running the code cell below, you must modify the cnn\_rnn\_model function in sample\_models.py. Please add batch normalization to the recurrent layer, and provide the same TimeDistributed layer as before.

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	161)	0
convld (ConvlD)	(None,	None,	200)	354400
bn_conv_1d (BatchNormalizati	(None,	None,	200)	800
rnn (SimpleRNN)	(None,	None,	200)	80200
bn_rnn_1d (BatchNormalizatio	(None,	None,	200)	800
time_distributed_1 (TimeDist	(None,	None,	29)	5829
softmax (Activation)	(None,	None,	29)	0
Total params: 442,029				

Total params: 442,029
Trainable params: 441,229
Non-trainable params: 800

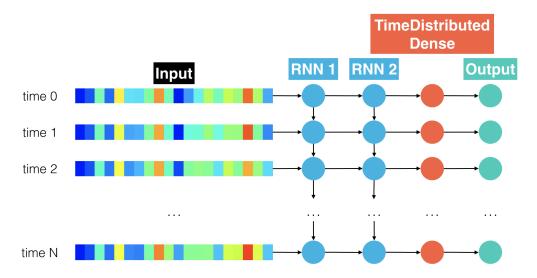
None

Please execute the code cell below to train the neural network you specified in input\_to\_softmax. After the model has finished training, the model is <a href="mailto:saved">saved</a> (<a href="https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model">https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model</a>) in the HDF5 file model\_2.h5. The loss history is <a href="mailto:saved">saved</a> (<a href="https://wiki.python.org/moin/UsingPickle">https://wiki.python.org/moin/UsingPickle</a>) in model\_2.pickle. You are welcome to tweak any of the optional parameters while calling the <a href="mailto:train\_model">train\_model</a> function, but this is not required.

```
In [3]: train_model(input_to_softmax=model_2,
        pickle path='model 2.pickle',
        save_model_path='model_2.h5',
        spectrogram=True) # change to False if you would like to use MF(
   Epoch 1/20
   s: 214.8872
   Epoch 2/20
   s: 172.5518
   Epoch 3/20
   s: 152.5108
   Epoch 4/20
   s: 142.9356
   Epoch 5/20
   s: 141.4671
   Epoch 6/20
   s: 137.2023
   Epoch 7/20
   s: 135.1740
   Epoch 8/20
   s: 133.1203
   Epoch 9/20
   s: 133.6384
   Epoch 10/20
   s: 133.4340
   Epoch 11/20
   s: 131.7588
   Epoch 12/20
   s: 130.6749
   Epoch 13/20
   106/106 [=============== ] - 65s - loss: 99.3475 - val los
   s: 133.0664
   Epoch 14/20
   106/106 [================ ] - 65s - loss: 97.1476 - val los
   s: 133.2393
   Epoch 15/20
   106/106 [================ ] - 65s - loss: 94.6840 - val los
   s: 133.7173
   Epoch 16/20
   s: 134.4975
   Epoch 17/20
   s: 135.9271
   Epoch 18/20
```

# (IMPLEMENTATION) Model 3: Deeper RNN + TimeDistributed Dense

Review the code in rnn\_mode1, which makes use of a single recurrent layer. Now, specify an architecture in deep\_rnn\_mode1 that utilizes a variable number recur\_layers of recurrent layers. The figure below shows the architecture that should be returned if recur\_layers=2. In the figure, the output sequence of the first recurrent layer is used as input for the next recurrent layer.



Feel free to change the supplied values of units to whatever you think performs best. You can change the value of recur\_layers, as long as your final value is greater than 1. (As a quick check that you have implemented the additional functionality in deep\_rnn\_model correctly, make sure that the architecture that you specify here is identical to rnn\_model if recur\_layers=1.)

In [2]: | model\_3 = deep\_rnn\_model(input\_dim=161, # change to 13 if you would like to units=200, recur\_layers=2)

Layer (type)	Output	Shape		Param #
the_input (InputLayer)			161)	0
rnn_0 (GRU)	(None,	None,	200)	217200
bn_conv_0 (BatchNormalizatio	(None,	None,	200)	800
rnn_1 (GRU)	(None,	None,	200)	240600
bn_conv_1 (BatchNormalizatio	(None,	None,	200)	800
time_distributed_1 (TimeDist	(None,	None,	29)	5829
softmax (Activation)	(None,	None,	29)	0
Total params: 465,229 Trainable params: 464,429	=====	=====	=======	=======

Non-trainable params: 800

None

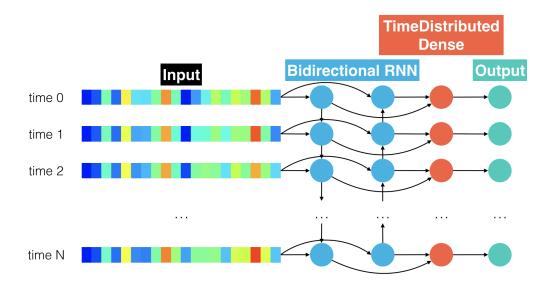
Please execute the code cell below to train the neural network you specified in input\_to\_softmax. After the model has finished training, the model is saved (https://keras.io/getting-started/fag/#how-can-i-save-a-keras-model) in the HDF5 file model 3.h5. The loss history is <u>saved (https://wiki.python.org/moin/UsingPickle)</u> in model 3.pickle. You are welcome to tweak any of the optional parameters while calling the train model function, but this is not required.

```
In [ ]: train_model(input_to_softmax=model_3,
      pickle path='model 3.pickle',
      save_model_path='model_3.h5',
      spectrogram=True) # change to False if you would like to use MF(
  Epoch 1/20
  ss: 221.6263
  Epoch 2/20
  ss: 215.0356
  Epoch 3/20
  ss: 211.2943
  Epoch 4/20
  ss: 186.0663
  Epoch 5/20
  ss: 169.3367
  Epoch 6/20
  ss: 169.6269
  Epoch 7/20
  ss: 160.7676
  Epoch 8/20
  ss: 163.2195
  Epoch 10/20
  ss: 160.1324
  Epoch 11/20
  ss: 158.7377
  Epoch 12/20
  ss: 157.7377
  Epoch 13/20
  ss: 157.7869
  Epoch 14/20
  ss: 157.3738
  Epoch 15/20
  ss: 159.4514
  Epoch 16/20
  ss: 162.6638
  Epoch 17/20
  ss: 158.2996
  Epoch 18/20
  s: 164.2844
  Epoch 19/20
```

# (IMPLEMENTATION) Model 4: Bidirectional RNN + TimeDistributed Dense

Read about the <u>Bidirectional (https://keras.io/layers/wrappers/)</u> wrapper in the Keras documentation. For your next architecture, you will specify an architecture that uses a single bidirectional RNN layer, before a (TimeDistributed) dense layer. The added value of a bidirectional RNN is described well in this paper (http://www.cs.toronto.edu/~hinton/absps/DRNN speech.pdf).

One shortcoming of conventional RNNs is that they are only able to make use of previous context. In speech recognition, where whole utterances are transcribed at once, there is no reason not to exploit future context as well. Bidirectional RNNs (BRNNs) do this by processing the data in both directions with two separate hidden layers which are then fed forwards to the same output layer.



Before running the code cell below, you must complete the bidirectional\_rnn\_model function in sample\_models.py. Feel free to use SimpleRNN, LSTM, or GRU units. When specifying the Bidirectional wrapper, use merge mode='concat'.

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	161)	0
bidirectional_1 (Bidirection	(None,	None,	400)	434400
time_distributed_1 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	(None,	None,	29)	0
Total params: 446,029 Trainable params: 446,029 Non-trainable params: 0				

None

Please execute the code cell below to train the neural network you specified in input\_to\_softmax. After the model has finished training, the model is <a href="mailto:saved">saved</a> (<a href="https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model">https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model</a> in the HDF5 file model\_4.h5. The loss history is <a href="mailto:saved">saved</a> (<a href="https://wiki.python.org/moin/UsingPickle">https://wiki.python.org/moin/UsingPickle</a> in model\_4.pickle. You are welcome to tweak any of the optional parameters while calling the <a href="mailto:train\_model">train\_model</a> function, but this is not required.

```
In [ ]: train_model(input_to_softmax=model_4,
      pickle path='model 4.pickle',
      save_model_path='model_4.h5',
      spectrogram=True) # change to False if you would like to use MF(
  Epoch 1/20
  ss: 261.3208
  Epoch 2/20
  ss: 205.2616
  Epoch 3/20
  ss: 191.6162
  Epoch 4/20
  ss: 182.8804
  Epoch 5/20
  ss: 174.7791
  Epoch 6/20
  ss: 168.3547
  Epoch 7/20
  ss: 161.5345
  Epoch 8/20
  ss: 159.6401
  Epoch 9/20
  ss: 153.2879
  Epoch 10/20
  ss: 152.5278
  Epoch 11/20
  ss: 146.8699
  Epoch 12/20
  ss: 143.7871
  Epoch 13/20
  ss: 138.9272
  Epoch 15/20
  ss: 142.0759
  Epoch 16/20
  ss: 136.8988
  Epoch 17/20
  ss: 140.1772
  Epoch 18/20
  ss: 139.2015
  Epoch 19/20
```

## (OPTIONAL IMPLEMENTATION) Models 5+

If you would like to try out more architectures than the ones above, please use the code cell below. Please continue to follow the same convention for saving the models; for the *i*-th sample model, please save the loss at **model i.pickle** and saving the trained model at **model i.h5**.

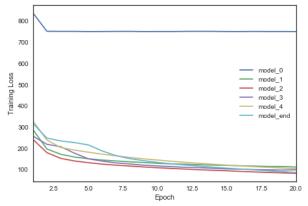
```
Layer (type)
                            Output Shape
                                                     Param #
_____
                                                    _____
                            (None, None, 161)
                                                     0
the input (InputLayer)
rnn (GRU)
                            (None, None, 200)
                                                     217200
bn rnn (BatchNormalization) (None, None, 200)
                                                     800
dropout 1 (Dropout)
                            (None, None, 200)
time distributed 2 (TimeDist (None, None, 29)
                                                     5829
softmax (Activation)
                            (None, None, 29)
Total params: 223,829
Trainable params: 223,429
Non-trainable params: 400
```

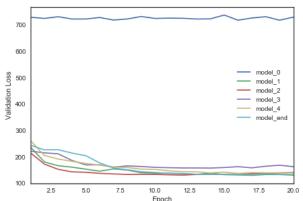
None

## Compare the Models

Execute the code cell below to evaluate the performance of the drafted deep learning models. The training and validation loss are plotted for each model.

```
from glob import glob
In [4]:
        import numpy as np
        import _pickle as pickle
        import seaborn as sns
        import matplotlib.pyplot as plt
        %matplotlib inline
        sns.set_style(style='white')
        # obtain the paths for the saved model history
        all_pickles = sorted(glob("results/*.pickle"))
        # extract the name of each model
        model names = [item[8:-7] for item in all pickles]
        # extract the loss history for each model
        valid_loss = [pickle.load( open( i, "rb" ) )['val_loss'] for i in all_pickle
        train_loss = [pickle.load( open( i, "rb" ) )['loss'] for i in all_pickles]
        # save the number of epochs used to train each model
        num epochs = [len(valid loss[i]) for i in range(len(valid loss))]
        fig = plt.figure(figsize=(16,5))
        # plot the training loss vs. epoch for each model
        ax1 = fig.add_subplot(121)
        for i in range(len(all_pickles)):
            ax1.plot(np.linspace(1, num_epochs[i], num_epochs[i]),
                    train loss[i], label=model names[i])
        # clean up the plot
        ax1.legend()
        ax1.set xlim([1, max(num epochs)])
        plt.xlabel('Epoch')
        plt.ylabel('Training Loss')
        # plot the validation loss vs. epoch for each model
        ax2 = fig.add subplot(122)
        for i in range(len(all pickles)):
            ax2.plot(np.linspace(1, num_epochs[i], num_epochs[i]),
                    valid loss[i], label=model names[i])
        # clean up the plot
        ax2.legend()
        ax2.set xlim([1, max(num epochs)])
        plt.xlabel('Epoch')
        plt.ylabel('Validation Loss')
        plt.show()
```





**Question 1:** Use the plot above to analyze the performance of each of the attempted architectures. Which performs best? Provide an explanation regarding why you think some models perform better than others.

#### Answer:

Below table shows the comparasion between each model

Model-Name	# of	parameters	Architecure	
Training Lo	oss	Validation	Loss   Category	
Model 0:	I	16,617	RNN	ı
750.43	İ	729.49	Under-fit	'
Model 1:	ı	222 020	DMM   MimoDigtwibuted	
Model 1:	Ι.		RNN + TimeDistributed	ı
112.4438		130.42	Good-fit	
Model 2:	1	442.029	CNN + RNN + TimeDistributed	ı
	' I		'	ı
82.5561	ļ	140.48	Over-fit	
Model 3:	1	465,229	RNN + RNN + TimeDistributed	ı
99.1015	İ	162.493	Over-fit	•
Model 4:		446 <b>,</b> 029	Bi-RNN + TimeDistributed	
104.75	- 1	138.9442	Good-fit	
Model f:		1,170,429	Bi-RNN + Bi-RNN + TimeDistributed	1
86.9044	·	132.73	Over-fit	•
00.0011	ı	102.75	1 0.01 110	

### Conclusion:

Model 1 is the best performing model in terms of validation loss.

Model 2 has the lowest tarining loss.

- 1. Model 0 is underfitting because both its training loss and its calidation loss are so high.
- 2. Model 1 is a good fit because its validation loss is relatively close to its training loss.
- 3. Model 2 is overfitting because its validation loss is much larger than its training loss.
- 4. Model 3 is overfitting because its validation loss is much larger than its training loss.
- 5. Model 4 is a good fit because its validation loss is relatively close to its training loss.
- 6. Final model is overfitting because its validation loss is much larger than its training loss.

### Limitation/Suggestion:

- 1. The training data is relatively small, so the conclusion might not be statistically significant.
- We should use WER(World-Error-Rate) to compare the performance of different models, because both the training loss and validation loss cannot be directly transfer the final user perceived correctness.
- 3. Suggested by this notebook, we should include a language model to decrase the final WER.

## (IMPLEMENTATION) Final Model

Now that you've tried out many sample models, use what you've learned to draft your own architecture! While your final acoustic model should not be identical to any of the architectures explored above, you are welcome to merely combine the explored layers above into a deeper architecture. It is **NOT** necessary to include new layer types that were not explored in the notebook.

However, if you would like some ideas for even more layer types, check out these ideas for some additional, optional extensions to your model:

- If you notice your model is overfitting to the training dataset, consider adding dropout! To add dropout to recurrent layers (https://faroit.github.io/keras-docs/1.0.2/layers/recurrent/), pay special attention to the dropout\_W and dropout\_U arguments. This paper (http://arxiv.org/abs/1512.05287) may also provide some interesting theoretical background.
- If you choose to include a convolutional layer in your model, you may get better results by working with **dilated convolutions**. If you choose to use dilated convolutions, make sure that you are able to accurately calculate the length of the acoustic model's output in the model.output\_length lambda function. You can read more about dilated convolutions in Google's WaveNet paper (https://arxiv.org/abs/1609.03499). For an example of a speech-to-text system that makes use of dilated convolutions, check out this GitHub repository (https://github.com/buriburisuri/speech-to-text-wavenet). You can work with dilated convolutions in Keras (https://keras.io/layers/convolutional/) by paying special attention to the padding argument when you specify a convolutional layer.
- If your model makes use of convolutional layers, why not also experiment with adding **max pooling**? Check out <u>this paper (https://arxiv.org/pdf/1701.02720.pdf)</u> for example architecture that makes use of max pooling in an acoustic model.
- So far, you have experimented with a single bidirectional RNN layer. Consider stacking the bidirectional layers, to produce a <u>deep bidirectional RNN</u> (<a href="https://www.cs.toronto.edu/~graves/asru\_2013.pdf">https://www.cs.toronto.edu/~graves/asru\_2013.pdf</a>)!

All models that you specify in this repository should have output\_length defined as an attribute. This attribute is a lambda function that maps the (temporal) length of the input acoustic features to the (temporal) length of the output softmax layer. This function is used in the computation of CTC loss; to see this, look at the add\_ctc\_loss function in train\_utils.py. To see where the output\_length attribute is defined for the models in the code, take a look at the sample models.py file. You will notice this line of code within most models:

```
model.output_length = lambda x: x
```

The acoustic model that incorporates a convolutional layer (cnn\_rnn\_model) has a line that is a bit different:

In the case of models that use purely recurrent layers, the lambda function is the identity function, as the recurrent layers do not modify the (temporal) length of their input tensors. However, convolutional layers are more complicated and require a specialized function (cnn\_output\_length in sample models.py) to determine the temporal length of their output.

You will have to add the output\_length attribute to your final model before running the code cell below. Feel free to use the cnn\_output\_length function, if it suits your model.

In [2]: # specify the model
 model\_end = final\_model(input\_dim=161,units=200, recur\_layers=2)

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	161)	0
bidirectional_1 (Bidirection	(None,	None,	400)	434400
bn_conv_0 (BatchNormalizatio	(None,	None,	400)	1600
bidirectional_2 (Bidirection	(None,	None,	400)	721200
bn_conv_1 (BatchNormalizatio	(None,	None,	400)	1600
time_distributed_1 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	(None,	None,	29)	0
Total params: 1,170,429 Trainable params: 1,168,829 Non-trainable params: 1,600				

None

Please execute the code cell below to train the neural network you specified in input\_to\_softmax. After the model has finished training, the model is <a href="mailto:saved">saved</a> (<a href="https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model">https://keras.io/getting-started/faq/#how-can-i-save-a-keras-model</a> in the HDF5 file model\_end.h5. The loss history is <a href="mailto:saved">saved</a> (<a href="https://wiki.python.org/moin/UsingPickle">https://wiki.python.org/moin/UsingPickle</a> in model\_end.pickle. You are welcome to tweak any of the optional parameters while calling the train\_model function, but this is not required.

```
In [3]: train_model(input_to_softmax=model_end,
      pickle path='model end.pickle',
      save_model_path='model_end.h5',
      spectrogram=True) # change to False if you would like to use MF(
  Epoch 1/20
  oss: 243.9566
  Epoch 2/20
  oss: 226.2877
  Epoch 3/20
  oss: 226.9678
  Epoch 4/20
  oss: 214.1289
  Epoch 5/20
  oss: 204.1930
  Epoch 6/20
  oss: 176.7203
  Epoch 7/20
  oss: 157.6658
  Epoch 8/20
  oss: 151.2566
  Epoch 9/20
  oss: 143.3087
  Epoch 10/20
  ss: 140.7913
  Epoch 11/20
  ss: 136.8459
  Epoch 12/20
  ss: 137.1908
  Epoch 13/20
  ss: 133.8050
  Epoch 14/20
  ss: 133.0900
  Epoch 15/20
  ss: 133.7958
  Epoch 16/20
  ss: 133.0760
  Epoch 17/20
  s: 131.6617
  Epoch 18/20
```

Question 2: Describe your final model architecture and your reasoning at each step.

### **Answer:**

- 1. I choose to use Bi-directional RNN because it seems promising in the ASR, because we want to take previous context into account.
- 2. For each of the Bi-directional RNN layer, I add a batch-normalization layer to speed up the training process.
- 3. I add the TimeDistributed layer at the end to better extract complex pattern.
- 4. I can add drop-out layer to solve the over-fit problem, because the val\_loss goes up from epoch 17 to 18.

## **STEP 3: Obtain Predictions**

We have written a function for you to decode the predictions of your acoustic model. To use the function, please execute the code cell below.

```
In [3]: import numpy as np
        from data generator import AudioGenerator
        from keras import backend as K
        from utils import int_sequence_to_text
        from IPython.display import Audio
        def get_predictions(index, partition, input_to_softmax, model_path):
            """ Print a model's decoded predictions
            Params:
                index (int): The example you would like to visualize
                partition (str): One of 'train' or 'validation'
                input to softmax (Model): The acoustic model
                model path (str): Path to saved acoustic model's weights
            # load the train and test data
            data_gen = AudioGenerator()
            data_gen.load_train_data()
            data gen.load validation data()
            # obtain the true transcription and the audio features
            if partition == 'validation':
                transcr = data_gen.valid_texts[index]
                audio path = data gen.valid audio paths[index]
                data point = data gen.normalize(data gen.featurize(audio path))
            elif partition == 'train':
                transcr = data_gen.train_texts[index]
                audio path = data gen.train audio paths[index]
                data point = data gen.normalize(data gen.featurize(audio path))
            else:
                raise Exception('Invalid partition! Must be "train" or "validation'
            # obtain and decode the acoustic model's predictions
            input to softmax.load weights(model path)
            prediction = input to softmax.predict(np.expand dims(data point, axis=0)
            output length = [input to softmax.output length(data point.shape[0])]
            pred ints = (K.eval(K.ctc decode(
                        prediction, output length)[0][0])+1).flatten().tolist()
            # play the audio file, and display the true and predicted transcriptions
            print('-'*80)
            Audio(audio path)
            print('True transcription:\n' + '\n' + transcr)
            print('-'*80)
            print('Predicted transcription:\n' + '\n' + ''.join(int sequence to text
            print('-'*80)
```

Use the code cell below to obtain the transcription predicted by your final model for the first example in the training dataset.

```
In [4]: | get_predictions(index=0,
                         partition='train',
                         input_to_softmax=final_model(input_dim=161,units=200, recur_
                         model_path='results/model_end.h5')
```

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	 161)	0
bidirectional_1 (Bidirection	(None,	None,	400)	434400
bn_conv_0 (BatchNormalizatio	(None,	None,	400)	1600
bidirectional_2 (Bidirection	(None,	None,	400)	721200
bn_conv_1 (BatchNormalizatio	(None,	None,	400)	1600
time_distributed_1 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	(None,	None,	29)	0
Total params: 1,170,429 Trainable params: 1,168,829 Non-trainable params: 1,600				
None		1		

True transcription:

mister quilter is the apostle of the middle classes and we are glad to we lcome his gospel

Predicted transcription:

mis ter queltar asappostlil to widal casisann war glad wel omm his gospl

Use the next code cell to visualize the model's prediction for the first example in the validation dataset.

```
In [5]:
```

Layer (type)	Output	Shape		Param #
the_input (InputLayer)	(None,	None,	161)	0
bidirectional_3 (Bidirection	(None,	None,	400)	434400
bn_conv_0 (BatchNormalizatio	(None,	None,	400)	1600
bidirectional_4 (Bidirection	(None,	None,	400)	721200
bn_conv_1 (BatchNormalizatio	(None,	None,	400)	1600
time_distributed_2 (TimeDist	(None,	None,	29)	11629
softmax (Activation)	(None,	None,	29)	0
Total params: 1,170,429 Trainable params: 1,168,829 Non-trainable params: 1,600				
None				
True transcription:				
stuff it into you his belly o	counsel	led hi	n	
Predicted transcription:				
stoffodin tu his batly capcot	tim			

One standard way to improve the results of the decoder is to incorporate a language model. We won't pursue this in the notebook, but you are welcome to do so as an *optional extension*.

If you are interested in creating models that provide improved transcriptions, you are encouraged to download <u>more data (http://www.openslr.org/12/)</u> and train bigger, deeper models. But beware - the model will likely take a long while to train. For instance, training this <u>state-of-the-art</u> (<a href="https://arxiv.org/pdf/1512.02595v1.pdf">https://arxiv.org/pdf/1512.02595v1.pdf</a>) model would take 3-6 weeks on a single GPU!