

# Synchronous and asynchronous model: Leader election in a ring

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Equipe Math Et Net

# Synchronous Distributed Algorithm

**Definition:** In a synchronous distributed algorithm, nodes operate in **synchronous rounds** (they have access to a **global clock**). They execute:

- (1) **local computation**
- (2) **send messages** to other processes
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**Definition:** For synchronous distributed algorithm, the **time complexity** is the **number of rounds** until the algorithm **terminates**.

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30 func player2(name string, table chan *Ball) {
31     for {
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# Leader Election

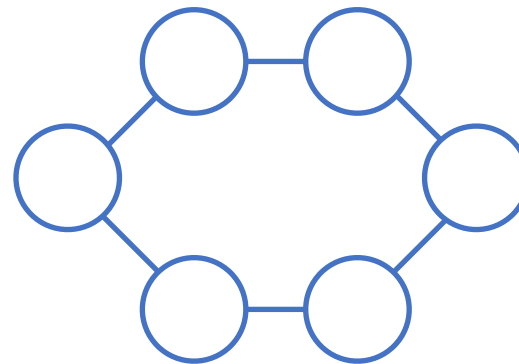
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We will study the Leader Election on ring topology



Each processor has a set of elected states (« *I'm a leader* ») and a set of non-elected states (« *I'm a follower* »). Once a process enters in an elected/non-elected state, it cannot exit that state

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**For every admissible execution**

**Liveness property: At some point, every processor is in an elected state or in a non-elected state**

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**Lemma:** If all the processes start in the same state, then for every  $k > 0$ , for every deterministic algorithm on an anonymous ring, each node is in the same state at each step  $k$ .

**Proof:**

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**Lemma:** If all the processes start in the same state, then for every  $k > 0$ , for every deterministic algorithm on an anonymous ring, each node is in the same state at each step  $k$ .

**Proof:** Let us study the synchronous case. Let  $x_i^n$  being the state of processor  $i$  at time  $n$ . Let  $y_i^n$  being the message sent to processor  $i + 1$  at instant  $n$ . We assume that the algorithm has the following update rule:

$$x_n^i = f^n(x_{n-1}^i, y_n^{i-1 \bmod I-1})$$

$$y_n^i = g^n(x_n^i)$$

With  $x_i^0 = x_0$  for all  $i$ . Note that by simply using a induction argument you will be able to finish the proof.

# A first impossibility theorem

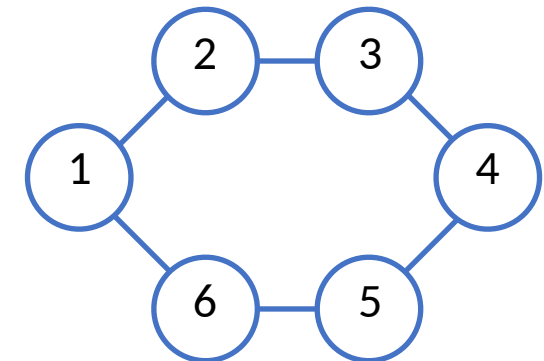
**Theorem:** If all the processes are the same and start in the same state, deterministic leader election in anonymous ring is impossible

**Proof:** Use the previous lemma. Note that if one node decides to be a leader, then every node will do the same. Moreover, note that it is true for uniform and non-uniform algorithm.

**Our assumptions are too strong. We need to relax one of them**

**Non-anonymous system:** nodes do have a unique ID.

**Uniform algorithm:** Number of nodes is not known to the algorithm or the nodes.



# Asynchronous Ring

## Algorithm (Clockwise)

For each node  $i$

$s_i := i$

**Upon i receive no message:** send  $s_i$  to  $j' = i + 1 \bmod I$

**Upon i receive a message  $x_j$  from  $j := i - 1 \bmod I$**

**(Case 1)**  $x_j > s_i$ :

- $s_i = x_j$ , « I'm not the leader », Send  $s_i$  to  $j' = i + 1 \bmod I$ .

**(Case 2)**  $x_j < s_i$ :

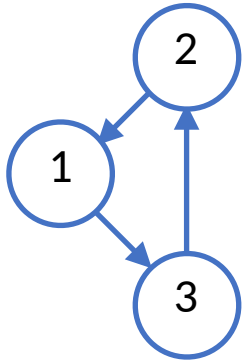
- « I don't know if I'm the leader », Discard the message  $x_j$

**(Case 3)**  $x_j = s_i$ :

- « I'm the leader » Send  $\langle s_i, \text{terminate} \rangle$  to  $j' = i + 1 \bmod I$ , terminate.

**Upon receiving  $\langle x_j, \text{terminate} \rangle$  :**  $s_i = x_j$ , send  $\langle s_i, \text{terminate} \rangle$ , terminate.

# Example



**Execution 1:**  $[1,2,3]$ ,  $send_{13}(1)$ ,  $[1,2,3]$ ,  $send_{32}(3)$ ,  $[1,3,3]$ ,  $send_{21}(3)$ ,  $[3,3,3]$ ,  $send_{13}(3)$ ,  $[3,3,<\text{I'm the leader, Terminate}>]$ , etc...

**Associated Trace:**  $send_{13}(1)$   $send_{32}(3)$   $send_{21}(3)$   $send_{13}(3)$ , etc...

**Execution 2:**  $[1,2,3]$ ,  $send_{13}(1)$ ,  $[1,2,3]$ ,  $send_{21}(2)$ ,  $[2,2,3]$ ,  $send_{32}(3)$ ,  $[2,3,3]$ ,  $send_{21}(2)$ ,  $[2,3,3]$ ,  $send_{21}(3)$ ,  $[3,3,3]$ ,  $send_{13}(3)$ ,  $[3,3,<\text{I'm the leader, Terminate}>]$ , etc...

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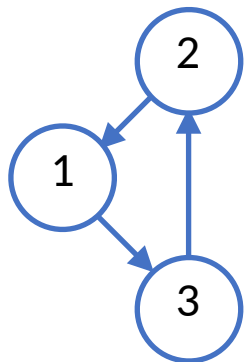
## Execution Fragment

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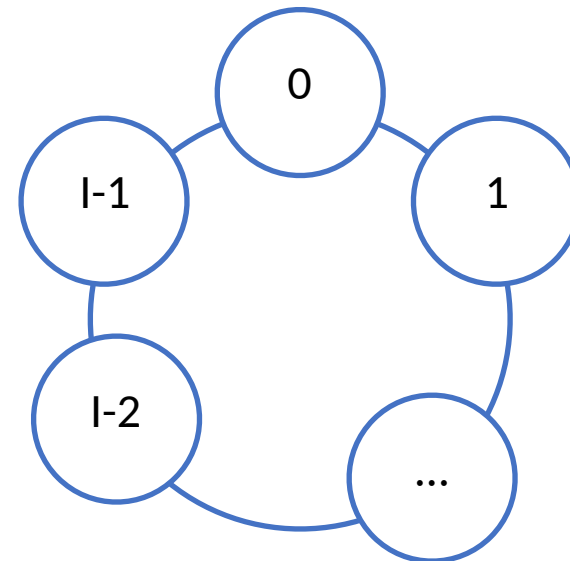
# Complexity analysis

**Definition:** The **message complexity** of an algorithm is the number of messages exchanged until the algorithm completes his task.

**Theorem:** The algorithm is solving the leader election problem (liveness and safety properties are satisfied). The message complexity is  $O(I^2)$ .

**Proof:** First point can be proven by noticing that the process with the higher index will reject all the messages except the ones coming with his Id.

**Exercise (Message complexity):**



# Synchronous algorithm

Assumptions:

- Ids are positive integers (for instance, 10, 20, 21)
- $I$  is known to all processors
- We assume that every node starts at the same time.
- The node with the minimum identifier becomes the leader.

## Algorithm (Timeslice algorithm)

Inputs: Each phase is composed of  $I$  time steps.

**If a process  $i$  exists with UID  $v_i$ , then if round  $(v_i-1)I + 1$  is reached without  $i$  have previously received a non-null message, the  $i$  elects itself leader and circulates a token with its UID around the ring.**

**Exercise 1: Specify the algorithm using the state machine notation.**

**Exercise 2: What is the time and communication complexity?**

# Acknowledgements

This course is mainly based on: [http://ac.informatik.uni-freiburg.de/teaching/ss\\_15/netalg/LectureNotes/chapter3.pdf](http://ac.informatik.uni-freiburg.de/teaching/ss_15/netalg/LectureNotes/chapter3.pdf)

To know more about leader elections problems (lower bound message complexity, leader election in a general network)

[https://ocw.mit.edu/courses/electrical-engineering-and-computer-science/6-852j-distributed-algorithms-fall-2009/lecture-notes/MIT6\\_852JF09\\_lec02.pdf](https://ocw.mit.edu/courses/electrical-engineering-and-computer-science/6-852j-distributed-algorithms-fall-2009/lecture-notes/MIT6_852JF09_lec02.pdf)

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