Scattering theory for time-dependant central potentials

Lochlan Eastwood Supervisor: Jesse Gell-Redman

Introduction

The central aim of scattering theory is to describe how a wavefront is scattered off a potential in $\mathbb{R}^{n+1}_{x,t}$.

Mathematically, this means studying the asymptotics of solutions to partial differential equations (PDE's) as $t \to \pm \infty$.

In doing so, we get information about how an incoming wave φ^p (p: past) scatters to an outgoing wave φ^f (f: future), and encode this information in a 'scattering map' S.

Here, our PDE-of-choice is the Klein-Gordon equation (KGE), a model for particles travelling with relativistic energies.

This poster aims to understand understand how S depends on incoming waves when a perturbing potential V is introduced. We do this by studying the *phase shifts* of S.

Work of this kind is novel, and here we focus on how to extend the well-documented results for a time-independent, spherically symmetric (called *central*) potential [1], to the lesser known case of a time-dependent one.

Background

Klein-Gordon equation

The Klein-Gordon equation is

$$(D_t^2 - \Delta_x - m^2)u(x,t) = 0,$$

where $D_t=-i\partial_t, \Delta_x=\sum_{i=1}^n D_{x_i}^2$ is the 'positive' Laplacian on \mathbb{R}^n_x and m>0.

We perturb this equation by adding in a smooth potential $V \in C^{\infty}(\mathbb{R}^{n+1}_{x,t})$:

$$(\underbrace{D_t^2 - \Delta_x - V - m^2}_{=:P_V})u(x,t) = 0.$$

Here we consider the case of a time-dependent central potential V(r,t), that has compact support in the region $\mathbb{R} \times [-\mathcal{T}, \mathcal{T}]$, for some \mathcal{T} .

The scattering map S

Given incoming waves $\varphi_{\pm}^p \in C_c^{\infty}(\mathbb{R}^{n+1})$, \exists a unique u solving $P_V u = 0$, which encodes information about how these two waves scatter off V. This encoding comes from the asymptotics of u as $t \to \pm \infty$:

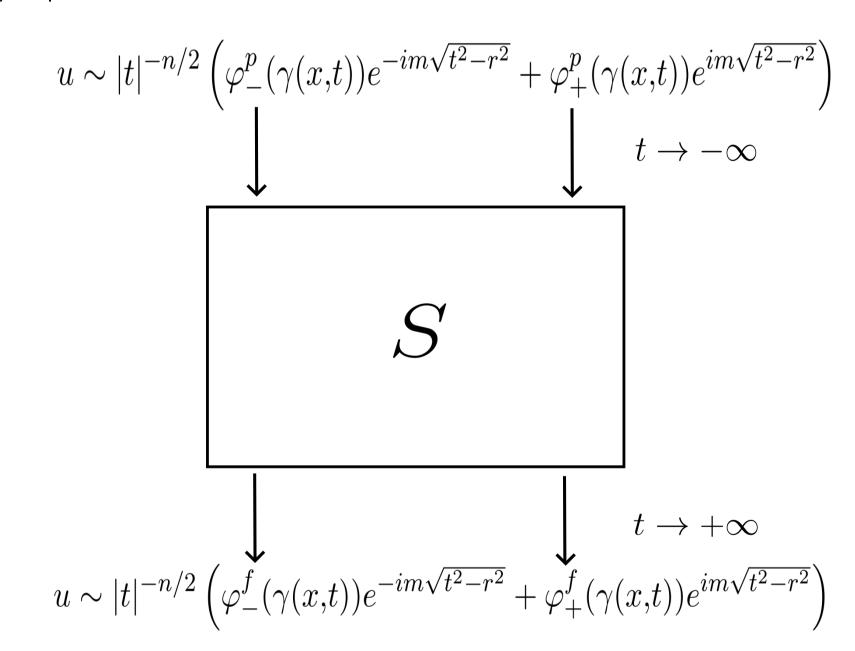


Figure 1. Link between the asymptotics of u as $t \to \pm \infty$, and S.

Where $\gamma(x,t)$ is a smooth function for |x|<|t| (see 'The light cone').

As in the figure, the scattering map ${\cal S}$ is defined by

$$\begin{pmatrix} \varphi_+^p \\ \varphi_-^p \end{pmatrix} \mapsto \begin{pmatrix} \varphi_+^f \\ \varphi_-^f \end{pmatrix}$$

Simplifying things: spherical harmonics

To take advantage of the spherical symmetry of V, we use spherical harmonics. For a given l, write

$$u(t,x) = v(r,t)Y_l^{\kappa}(\theta),$$

where Y_l^{κ} is a function on the sphere \mathbb{S}^{n-1} . This separation of variables helps us deal with the Laplacian in the KGE—the only x-dependant operator—since we can write the Laplacian in spherical coordinates as

$$\Delta_x = \text{function in } r \text{ of } \partial_r + \frac{1}{r^2} \Delta_{\mathbb{S}^{n-1}}.$$

The Y_l^κ are eignenvectors of the operator $\Delta_{\mathbb{S}^{n-1}}$, hence pull through $P_V u$, leaving us to solve the much simpler PDE

$$P_V v(r,t) = 0.$$

The free case

We show that in the free case $(V \equiv 0)$, S = I.

Let φ^p_{\pm} be incoming waves

write $u = v(r, t)Y_l^{\kappa}(\theta)$, substitute into $P_0u = 0$ and take the Fourier transform in time

$$(\tau^2 + \partial_r^2 + \frac{n-2}{r} - \frac{l(l+n-2)}{r^2} - m^2)\hat{v}(r,\tau) = 0$$

write
$$w(r,\tau)r^{-(n-2)/2}=\hat{v}(r,\tau), E=\tau^2-m^2$$
 to get

$$\left(-\partial_r^2 - \frac{1}{r}\partial_r + \frac{(l + (n-2)/2)^2}{r^2} - E\right)w = 0.$$

This PDE has solutions which are the *Hankel functions*, $H^{(1)}, H^{(2)}$, defined in terms of Bessel functions [2]. We can then make the ansatz

$$w = \varphi_-^p H^{(1)}(r,\tau) + \varphi_+^p H^{(2)}(r,\tau)$$

take inverse Fourier transform:

$$v = \int_{\mathbb{R}} e^{it\tau} r^{-(n-2)/2} w d\tau. \tag{\dagger}$$

The asymptotics of the Hankel functions [2] and the method of stationary phase [3] gives us the asymptotics to this integral. Namely, as $t \to \pm \infty$

$$\begin{split} v \sim &|t|^{-1/2} r^{-(n-1)/2} \\ &\times \left(\varphi_-^p(\gamma(r,|t|)) e^{-im\sqrt{t^2-r^2}} + \varphi_+^p(\gamma(r,|t|)) e^{im\sqrt{t^2-r^2}} \right). \end{split}$$
 Since these asymptotics are equal for $t \to \pm \infty$, $S = I$.

Interpretation: When no potential is present, the waves have nothing to scatter off.

Open question and motivation

Consider the following logical sequence for a timedependent central potential.

it's known S is unitary and decomposes as S=I+A, hence A is normal $(A^*A=AA^*)$

Conjecture:
$$A$$
 is compact, $(*)$ if true

A is diagonalisable, i.e., $\exists \text{ basis } \{\varphi_j, \psi_j\}_{j=1}^\infty \text{ s.t. } A(\varphi_j, \psi_j) = \mu_j(\varphi_j, \psi_j)$ that diagonalises A

$$S(\varphi_j,\psi_j)=(1+\mu_j)(\varphi_j,\psi_j)$$
 i.e., φ_j are eigenvectors of S

$$S$$
 unitary gives $|1 + \mu_i| = 1$, hence $1 + \mu_i = e^{i\theta_i}$.

These θ_j are called *phase-shifts*, and their name is derived from the following important corollary, implied by their existence:

Corollary: Given incoming data (basis vectors) $\varphi_j(x), \psi_j(x), \exists u_{j,free}, u_j \text{ s.t. } P_0 u_{j,free} = 0 \text{ and } P_V u_j = 0,$ where as $t \to -\infty$:

$$u_j, u_{j,free} \sim t^{-n/2} \left(\varphi_j(\gamma(x,t)) e^{im\sqrt{t^2 - r^2}} + \psi_j(\gamma(x,t)) e^{-im\sqrt{t^2 - r^2}} \right)$$

and as $t \to +\infty$,

$$u_j \sim t^{-n/2} e^{i\theta_j} \left(\varphi_j(\gamma(x,t)) e^{im\sqrt{t^2 - r^2}} + \psi_j(\gamma(x,t)) e^{-im\sqrt{t^2 - r^2}} \right)$$

The incoming data φ_j, ψ_j undergo a phase shift when scattered by V.

Open question: Do phase shifts exist for a time-dependent central potential?

Answering this requires proof of conjecture (*).

Motivation: The existence of phase shifts is also the first step in demonstrating there is a meaningful connection between V and S — that under V, S depends continuously on its incoming data.

The conjecture - forward propagators

To prove conjecture (\star) , and thus demonstrate the existence of phase shifts of S, we use forward propagators.

Definition: P_V has an associated operator called the *forward propagator* G_{for} , s.t. $u = G_{for}(e)$ solves $P_V u = 0$, and when $\sup e \subseteq \{t \ge T_0\}$ we have $s \sup G_{for}(e) \subseteq \{t \ge T_0\}$, for some T_0 (see figure 2 below).

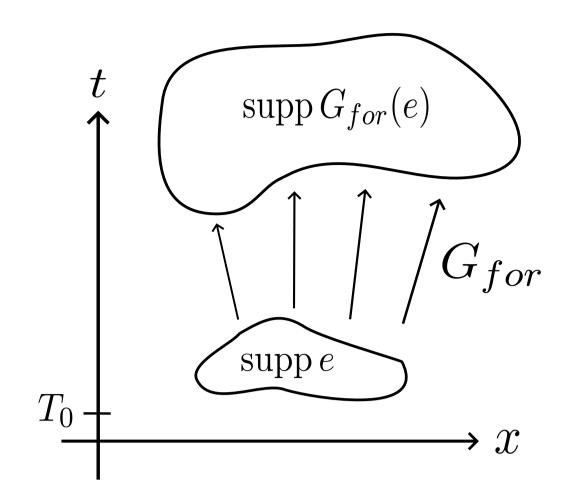


Figure 2. G_{for} propagating e forward in time.

How to use G_{for}

Let $u_{approx}=\chi_{-\infty}u_{free}(x,t)$, where $\chi_{-\infty}$ is a cut-off function to $-\infty$ ($\chi\equiv 1$ for t small enough, and $t\equiv 1$ for t large enough) and u_{free} has incoming data φ^p_\pm .

Then $e=P_Vu_{approx}$ is supported in $\{t\geq T_0\}$ for some T_0 , and $u=u_{approx}-G_{for}e$ solves $P_Vu=0$ and has incoming data φ_+^p .

Showing A is compact

We need to understand the asymptotics of u as $t \to +\infty$, which we do by proving estimates for G_{for} , such as

$$||G_{for}e||_X \le C ||e||_Y$$

in appropriate spaces X,Y. This involves calculating weighted energy estimates:

$$E_t = \int t^{-p} \left(|Lv|^2 + |\partial_r v|^2 + |mv|^2 + |v/r|^2 \right) dt dx \quad (1)$$

for different operators L, such as $L = \partial_t$. Here t^{-p} is the weighting.

These estimates for $||G_{for}e||$ tell us how under control $G_{for}e$ is, and can allow one to conclude that A is compact. They should be a focus for future research.

The light cone

Many of our results rely on $\gamma(r,t)$ being smooth. However, $\gamma(r,t)$ often looks like

$$\frac{tm}{\sqrt{t^2-r^2}},$$

which is problematic when r/t = 1.

To rectify this, we work in **timelike infinity**, a region where $|r/t| < 1 - \epsilon$ (inside of the two cones in figure 3 below).

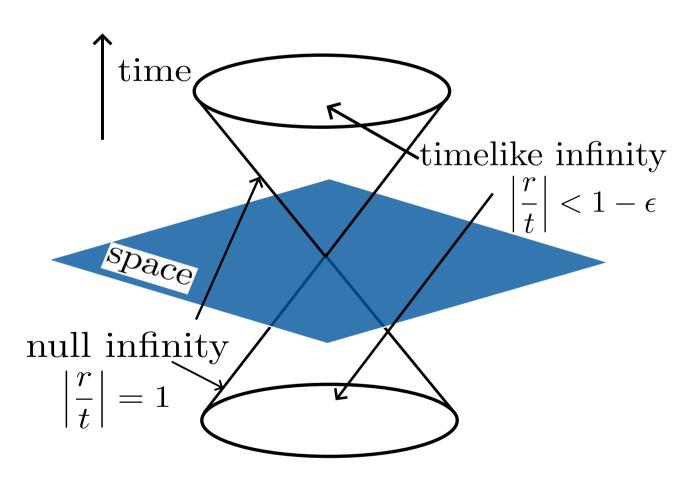


Figure 3. Diagram of a *lightcone*, with regions of timelike and null infinity.

Acknowledgements

Thanks to my supervisor Jesse for your support throughout the project. Thanks also to the School of Maths & Stats for this opportunity, and to Wei, Brian and Roy.

References

- [1] S. Dyatlov and M. Zworski. *Mathematical Theory of Scattering Resonances*. Graduate Studies in Mathematics. American Mathematical Society, 2019. ISBN 9781470443665. URL https://bookstore.ams.org/gsm-200/.
- [2] I.A. Stegun M. Abramowitz. Handbook of Mathematical Functions with Formulas, Graphs, and Mathematical Tables, chapter 9, pages 358–423. Applied mathematics series. U.S. Government Printing Office, 1964. URL https://books.google.com.au/books?id=mlAs1jYzI_QC.
- [3] M. Zworski. Semiclassical Analysis. Graduate studies in mathematics. American Mathematical Society, 2012. ISBN 9780821883204. URL https://books.google.com.au/books?id=3Z0CAQAAQBAJ.