# Yue Gao

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## RESEARCH INTERESTS

Adversarial Machine Learning (adversarial robustness, black-box evasion attacks and defenses) **System Security** (machine learning systems, web-based applications and services)

#### **EDUCATION**

## **University of Wisconsin-Madison**

Madison, WI

Ph.D. Candidate in Computer Science (advised by Prof. Kassem Fawaz)

Sep 2018 – Jan 2024 (expected)

- Thesis: Characterizing the Limitations of Defenses in Adversarial Machine Learning
- Courses: Introduction to Information Security, Applied Cryptography, Advanced Operating Systems.

# **Shanghai University**

Shanghai, China

B.S. in Computer Science and Technology (GPA 3.99/4.00, Ranked 1/292)

Sep 2014 – Jul 2018

- Thesis: A Deep Neural Network based Image Compression Method
- Courses: Operating Systems, Computer Network, Assembly Language, Software Engineering.

#### WORK EXPERIENCE

# Research Assistant @ University of Wisconsin-Madison

Madison, WI

Advised by Prof. Kassem Fawaz

Nov 2018 - Present

- Investigated the weaknesses of evasion attacks and defenses from the perspective of a border ML system.
- Improved the security analysis of ML-based and web-based systems in black-box settings.

#### Research Intern @ Microsoft Research

Redmond, WA

Mentored by Dr. Jay Stokes and Dr. Emre Kiciman

Jun 2021 – Sep 2021

- Proposed a research project on defenses against imperceptible textual backdoor attacks on language models.
- Discovered blind spots in state-of-the-art attacks and defenses, and published stronger defenses at MILCOM.
- Successfully reduced the attack success rate from 100% to 12%, even at a challenging poisoning rate of 10%.

# Research and Development Intern @ TuCodec

Shanghai, China

Mentored by Dr. Chunlei Cai

Jan 2018 – Jul 2018

- Secured 1st place as a primary contributor in the CVPR 2018 Challenge on Learned Image Compression.
- Improved the average runtime efficiency of DNN-based compression from 1 min to 4 secs per 4K-res image.
- Independently developed DNN-based desktop apps on Ubuntu, MacOS, Windows using C++, Python, and Qt.
- Developed secure ML systems and prevented model stealing with both frontend and backend security measures.
- Scaled image compression DNN models with Docker, Kubernetes, and architecture-level optimizations.

# **PUBLICATIONS**

#### Conference

# [1] On the Limitations of Stochastic Pre-processing Defenses

Yue Gao, Ilia Shumailov, Kassem Fawaz, and Nicolas Papernot
Proceedings of the 36th Conference on Neural Information Processing Systems (NeurIPS), 2022

[2] Rethinking Image-Scaling Attacks: The Interplay Between Vulnerabilities in Machine Learning Systems *Yue Gao*, Ilia Shumailov, and Kassem Fawaz

Proceedings of the 39th International Conference on Machine Learning (ICML), 2022 Oral Presentation (Top 2%)

[3] Experimental Security Analysis of the App Model in Business Collaboration Platforms

Yunang Chen\*, Yue Gao\*, Nick Ceccio, Rahul Chatterjee, Kassem Fawaz, and Earlence Fernandes 31st USENIX Security Symposium (USENIX Security), 2022

Bug Bounty (\$1500)

[4] I Know Your Triggers: Defending Against Textual Backdoor Attacks With Benign Backdoor Augmentation Yue Gao, Jack W. Stokes, Manoj Prasad, Andrew Marshall, Kassem Fawaz, and Emre Kiciman IEEE Military Communications Conference (MILCOM), 2022

#### Workshop

# [1] Variational Autoencoder for Low Bit-rate Image Compression

Lei Zhou\*, Chunlei Cai\*, Yue Gao, Sanbao Su, and Junmin Wu Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR) Workshops, 2018 Winner of the first Challenge on Learned Image Compression

## **Preprints**

# [1] SEA: Shareable and Explainable Attribution for Query-based Black-box Attacks

Yue Gao, Ilia Shumailov, and Kassem Fawaz arXiv, 2023

# [2] Analyzing Accuracy Loss in Randomized Smoothing Defenses

Yue Gao\*, Harrison Rosenberg\*, Kassem Fawaz, Somesh Jha, and Justin Hsu arXiv, 2020

## **SELECTED PROJECTS**

# Security of Real-World Machine Learning Systems

Sep 2020 – May 2022

- Investigated the security of a real-world ML pipeline exposed to diverse security threats, e.g., dependencies.
- Revealed threats amplified by 9x and broke state-of-the-art defenses by jointly exploiting multiple vulnerabilities.
- Formally proved the non-robustness of randomization-based defenses beyond demonstrating empirical attacks.

## Adversarially Robust Multimodal Object Detection (Collaborative)

Jun 2019 – Present

- Led a 9-member cross-university team to 1st and 2nd place in grant competitions from DARPA.
- Performed red teaming and broke over 10 internal defenses proposed by team members prior to submission.
- Proposed self-supervised and diffusion-based methods to enforce robust modality across RGB and Depth.
- Successfully reduced the disappearance rate from 62% to 9% even under the red-team evaluation from MITRE.
- Developed initial code bases and eval pipelines for team members from varying technical backgrounds.
- Contributed plug-and-play modules to the official upstream evaluation team and received acknowledgment.

# Shareable and Explainable Attribution for Black-box Attacks on ML systems

Jan 2023 – Aug 2023

- Characterized the attack's progression for forensic purposes and human-explainable intelligence sharing.
- Fingerprinted and attributed zero-day attacks on their first and second occurrence, respectively.
- Discovered specific minor implementation bugs in popular ML attack toolkits like ART.

# **Security Analysis of Business Collaboration Platforms**

Mar 2021 - Dec 2021

- Analyzed the permission model of third-party apps in Slack and Microsoft Teams with only closed-source access.
- Exploited OAuth designs to bypass access control and user privacy, and received bug bounty for medium severity.
- Demonstrated POC attacks of eavesdropping on private chats, spoofing video calls, and unauthorized code merging.

## **SELECTED HONORS & AWARDS**

Slack Bug Bounty: Medium Severity, \$1500	2022
Top 10% Reviewers Award: NeurIPS	2022
CVPR Competition Winner: Challenge on Learned Image Compression	2018
National Scholarship: China	2017
Top 100 Elite Collegiate Award: China Computer Federation	2017
Scholarship for Exceptional Leadership: Shanghai University	2017
City Scholarship: Shanghai	2016
Outstanding Student Award: Shanghai University	2016
Outstanding Volunteer Award: ACM ICPC Asia Regional Contest	2016
Scholarship for Exceptional Innovation: Shanghai University	2016
Scholarship for Exceptional Academic Achievements: Shanghai University	2015 - 2018
Bronze Prize for Programming Contest: ACM ICPC Asia East-Continent Final Contest	2015
Bronze Prize for Programming Contest: ACM ICPC Asia Shanghai Regional Contest	2015

# PROFESSIONAL ACTIVITIES

Reviewer: Neur	rIPS and ICML	2022 – 2024
External Revie	wer: USENIX Security Symposium	2021 - 202
	wer: IEEE Symposium on Security and Privacy	2021 – 202
	wer: ACM Conference on Computer and Communications Security	201
Team Leader: (	Collegiate ICPC Team at Shanghai University	2016 – 201
TALKS		
1. <b>The Vulneral</b> <i>ML Red Team,</i>	oilities of Preprocessing in Adversarial Machine Learning  Google	Oct 2023
	pilities of Preprocessing in Adversarial Machine Learning g Scientist Seminar, RIKEN AIP	Apr 2023
	ations of Stochastic Pre-processing Defenses Southern California (virtual)	Oct 2022
4. <b>The Interpla</b> <i>University of I</i>	y Between Vulnerabilities in Machine Learning Systems Michigan	Sep 2022
5. <b>Experimenta</b> <i>USENIX Secur</i>	l Security Analysis of the App Model in Business Collaboration Platforms ity 2022	Aug 2022
6. <b>The Interpla</b> <i>ICML 2022</i>	y Between Vulnerabilities in Machine Learning Systems	Jun 2022
TEACHING AND	Mentoring	
•	tant: CS 368 (C++ for Java Programmers), University of Wisconsin–Madison	Fall 201
	: Advanced Algorithms & Data Structures, Shanghai University	2015 – 201
•	ner: Undergraduate Programming Contests, Shanghai University	2015 – 201
TECHNICAL SKI	r: Undergraduate Computer Science Coursework, Shanghai University	2015 – 201'
Python	Research (2018 – present), System Optimization (2018), Backend Development (20 Research (2019 – present), Distributed Training (2020 – 2022).	16 – 2017).
Docker C / C++ Security TensorFlow	Research (2018 – present), Computing Cluster (2017 – 2018), Model Deployment (2 Linux Kernel (2019), Encryption (2019), Software Development (2017 - 2018), ICPO CTF (2015 – 2017, with IDA Pro, Burp Suite, and nmap).  Research (2017 – 2020), Service Deployment (2018).  Backend Development (2016).	
ARTICLES AND	Media Coverage	
CleverHans, Ca	an stochastic pre-processing defenses protect your models?	2022
CIC ( CIII CIII)		
	Experimental Security Analysis of the App Model in Business Collaboration Platform	ıs 202