

# Yue Gao

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## RESEARCH INTERESTS

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**Trustworthy Machine Learning** (adversarial robustness, black-box evasion attacks and defenses)

**System Security** (machine learning systems, web-based applications and services)

## EDUCATION

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**University of Wisconsin–Madison**

Madison, WI

*Ph.D. Candidate in Computer Science (advised by Prof. Kassem Fawaz)*

*Sep 2018 – May 2024 (expected)*

- Thesis: *Characterizing the Limitations of Defenses in Adversarial Machine Learning*

**Shanghai University**

Shanghai, China

*B.S. in Computer Science and Technology (GPA 3.99/4.00, Ranked 1/292)*

*Sep 2014 – Jul 2018*

- Thesis: *A Deep Neural Network based Image Compression Method*

## WORK EXPERIENCE

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**ML Security Research Intern @ Microsoft Research**

Redmond, WA

*Mentored by Dr. Jay Stokes and Dr. Emre Kiciman*

*Jun 2021 – Sep 2021*

- Initiated a research project on defenses against imperceptible textual backdoor attacks on language models.
- Proposed a defense strategy leveraging the limitation of imperceptible backdoors (published at MILCOM).
- Achieved a reduction in attack success rate from 100% to 12% at a challenging poisoning rate of 10%.

**ML Research and Development Intern @ TuCodec**

Shanghai, China

*Mentored by Dr. Chunlei Cai*

*Jan 2018 – Jul 2018*

- Achieved 1st place in the CVPR 2018 Challenge on Learned Image Compression as a primary contributor.
- Improved the average runtime efficiency of DNN-based compression from 1 min to 4 secs per 4K-res image.
- Independently developed DNN-based apps on Ubuntu, MacOS, Windows, and self-hosted cloud services.

## PUBLICATIONS

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### Conference

- [1] On the Limitations of Stochastic Pre-processing Defenses

**Yue Gao**, Ilia Shumailov, Kassem Fawaz, and Nicolas Papernot

*Proceedings of the 36th Conference on Neural Information Processing Systems (NeurIPS), 2022*

- [2] Rethinking Image-Scaling Attacks: The Interplay Between Vulnerabilities in Machine Learning Systems

**Yue Gao**, Ilia Shumailov, and Kassem Fawaz

*Proceedings of the 39th International Conference on Machine Learning (ICML), 2022*

*Oral Presentation (Top 2%)*

- [3] Experimental Security Analysis of the App Model in Business Collaboration Platforms

Yunang Chen\*, **Yue Gao**\*, Nick Ceccio, Rahul Chatterjee, Kassem Fawaz, and Earlene Fernandes

*31st USENIX Security Symposium (USENIX Security), 2022*

*Bug Bounty (\$1500)*

- [4] I Know Your Triggers: Defending Against Textual Backdoor Attacks With Benign Backdoor Augmentation

**Yue Gao**, Jack W. Stokes, Manoj Prasad, Andrew Marshall, Kassem Fawaz, and Emre Kiciman

*IEEE Military Communications Conference (MILCOM), 2022*

### Workshop

- [1] Variational Autoencoder for Low Bit-rate Image Compression

Lei Zhou\*, Chunlei Cai\*, **Yue Gao**, Sanbao Su, and Junmin Wu

*Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR) Workshops, 2018*

*Winner of the first Challenge on Learned Image Compression*

## Preprints

- [1] SEA: Shareable and Explainable Attribution for Query-based Black-box Attacks  
**Yue Gao**, Ilia Shumailov, and Kassem Fawaz  
*arXiv*, 2023
- [2] Analyzing Accuracy Loss in Randomized Smoothing Defenses  
**Yue Gao\***, Harrison Rosenberg\*, Kassem Fawaz, Somesh Jha, and Justin Hsu  
*arXiv*, 2020

## SELECTED PROJECTS

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### **Shareable and Explainable Attribution for Black-box Attacks on ML systems** *Jan 2023 – Aug 2023*

- Characterized the attack’s progression for forensic purposes and human-explainable intelligence sharing.
- Fingerprinted and attributed zero-day attacks on their first and second occurrence, respectively.
- Discovered specific minor implementation bugs in popular ML attack toolkits.

### **The Role of Randomization in Adversarial Robustness** *Feb 2022 – May 2022*

- Characterized the limitations of using randomization to defend ML models.
- Established theoretical and empirical results for the non-robustness of randomization-based defenses.

### **Trustworthy Machine Learning in Real-World Systems** *Sep 2020 – Jan 2021*

- Investigated the robustness of real-world ML pipelines exposed to diverse security threats.
- Revealed 9x amplified threats and broke state-of-the-art defenses by exploiting multiple vulnerabilities jointly.

### **Defending against Evasion Attacks in Multimodal Scenarios (Collaborative)** *Since 2019 (semiannual)*

- Led a 9-member team to 1st and 2nd place in competitions for robust multimodal object detection.
- Proposed a defense strategy using diffusion-based modality reconstruction (from RGB to Depth).
- Achieved a reduction of disappearance rate from 62% to 9% under strong adaptive attacks.
- Contributed plug-and-play modules to the official upstream evaluation team and received acknowledgment.
- Developed initial code bases and eval pipelines for team members from varying technical backgrounds.

## SELECTED HONORS & AWARDS

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<b>Slack Bug Bounty:</b> Medium Severity, \$1500	2022
<b>Top 10% Reviewers Award:</b> NeurIPS	2022
<b>CVPR Competition Winner:</b> Challenge on Learned Image Compression	2018
<b>National Scholarship:</b> China	2017
<b>Top 100 Elite Collegiate Award:</b> China Computer Federation	2017
<b>Scholarship for Exceptional Leadership:</b> Shanghai University	2017
<b>City Scholarship:</b> Shanghai	2016
<b>Outstanding Student Award:</b> Shanghai University	2016
<b>Outstanding Volunteer Award:</b> ACM ICPC Asia Regional Contest	2016
<b>Scholarship for Exceptional Innovation:</b> Shanghai University	2016
<b>Scholarship for Exceptional Academic Achievements:</b> Shanghai University	2015 – 2018
<b>Bronze Prize for Programming Contest:</b> ACM ICPC Asia East-Continent Final Contest	2015
<b>Bronze Prize for Programming Contest:</b> ACM ICPC Asia Shanghai Regional Contest	2015

## PROFESSIONAL ACTIVITIES

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<b>Reviewer:</b> NeurIPS and ICML	2022 – 2023
<b>External Reviewer:</b> USENIX Security Symposium	2021 – 2022
<b>External Reviewer:</b> IEEE Symposium on Security and Privacy	2021 – 2022
<b>External Reviewer:</b> ACM Conference on Computer and Communications Security	2019
<b>Team Leader:</b> Collegiate ICPC Team at Shanghai University	2016 – 2017

## TALKS

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1. **The Vulnerabilities of Preprocessing in Adversarial Machine Learning** Apr 2023  
*TrustML Young Scientist Seminar, RIKEN AIP*
2. **On the Limitations of Stochastic Pre-processing Defenses** Oct 2022  
*University of Southern California (virtual)*
3. **The Interplay Between Vulnerabilities in Machine Learning Systems** Sep 2022  
*University of Michigan*
4. **Experimental Security Analysis of the App Model in Business Collaboration Platforms** Aug 2022  
*USENIX Security 2022*
5. **The Interplay Between Vulnerabilities in Machine Learning Systems** Jun 2022  
*ICML 2022*

## TEACHING AND MENTORING

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<b>Teaching Assistant:</b> CS 368 (C++ for Java Programmers), University of Wisconsin–Madison	Fall 2018
<b>Guest Lecturer:</b> Advanced Algorithms & Data Structures, Shanghai University	2015 – 2017
<b>Problem Designer:</b> Undergraduate Programming Contests, Shanghai University	2015 – 2017
<b>Student Mentor:</b> Undergraduate Computer Science Coursework, Shanghai University	2015 – 2017

## TECHNICAL SKILLS

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<b>Python</b>	Research (2018 – present), System Optimization (2018), Backend Development (2016 – 2017).
<b>PyTorch</b>	Research (2019 – present), Distributed Training (2020 – 2022).
<b>Docker</b>	Research (2018 – present), Computing Cluster (2017 – 2018).
<b>C / C++</b>	Linux Kernel (2019), ML System (2018), Programming Contest (2014 – 2018).
<b>TensorFlow</b>	Service Deployment (2018).
<b>Java EE</b>	Backend Development (2016).

## ARTICLES AND MEDIA COVERAGE

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<b>CleverHans.</b> Can stochastic pre-processing defenses protect your models?	2022
<b>USENIX login.</b> Experimental Security Analysis of the App Model in Business Collaboration Platforms	2022
<b>Wired.</b> Slack's and Teams' Lax App Security Raises Alarms	2022