# Yue Gao

#### RESEARCH INTERESTS

**Adversarial Machine Learning** (adversarial robustness, black-box evasion attacks and defenses) **System Security** (machine learning systems, web-based applications and services)

**EDUCATION** 

## **University of Wisconsin-Madison**

Madison, WI

Ph.D. Candidate in Computer Science (advised by Prof. Kassem Fawaz)

Sep 2018 – Jan 2024 (expected)

- Thesis: Characterizing the Limitations of Defenses in Adversarial Machine Learning
- Courses: Introduction to Information Security, Applied Cryptography, Advanced Operating Systems.

Shanghai University
B.S. in Computer Science and Technology (GPA 3.99/4.00, Ranked 1/292)

Shanghai, China Sep 2014 – Jul 2018

• Thesis: A Deep Neural Network based Image Compression Method

• Courses: Operating Systems, Computer Network, Assembly Language, Software Engineering.

#### WORK EXPERIENCE

## Research Assistant @ University of Wisconsin-Madison

Madison, WI

Advised by Prof. Kassem Fawaz

Nov 2018 - Present

- Investigated the weaknesses of evasion attacks and defenses from the perspective of a border ML system.
- Improved the security analysis of ML-based and web-based systems in black-box settings.

#### Research Intern @ Microsoft Research

Redmond, WA

Mentored by Dr. Jay Stokes and Dr. Emre Kiciman

Jun 2021 – Sep 2021

- Proposed a research project on defenses against imperceptible textual backdoor attacks on language models.
- Discovered blind spots in state-of-the-art attacks and defenses, and published stronger defenses at MILCOM.
- Successfully reduced the attack success rate from 100% to 12%, even at a challenging poisoning rate of 10%.

## Research and Development Intern @ TuCodec

Shanghai, China

Mentored by Dr. Chunlei Cai

Jan 2018 - Jul 2018

- Secured 1st place as a primary contributor in the CVPR 2018 Challenge on Learned Image Compression.
- Improved the average runtime efficiency of DNN-based compression from 1 min to 4 secs per 4K-res image.
- Independently developed DNN-based desktop apps on Ubuntu, MacOS, Windows using C++, Python, and Qt.
- Developed secure ML systems and prevented model stealing with both frontend and backend security measures.
- Scaled image compression DNN models with Docker, Kubernetes, and architecture-level optimizations.

#### **PUBLICATIONS**

## Conference

## [1] On the Limitations of Stochastic Pre-processing Defenses

Yue Gao, Ilia Shumailov, Kassem Fawaz, and Nicolas Papernot
Proceedings of the 36th Conference on Neural Information Processing Systems (NeurIPS), 2022

[2] Rethinking Image-Scaling Attacks: The Interplay Between Vulnerabilities in Machine Learning Systems

Yue Gao, Ilia Shumailov, and Kassem Fawaz

Proceedings of the 39th International Conference on Machine Learning (ICML), 2022 Oral Presentation (Top 2%)

[3] Experimental Security Analysis of the App Model in Business Collaboration Platforms

Yunang Chen\*, *Yue Gao*\*, Nick Ceccio, Rahul Chatterjee, Kassem Fawaz, and Earlence Fernandes 31st USENIX Security Symposium (USENIX Security), 2022

Bug Bounty (\$1500)

[4] I Know Your Triggers: Defending Against Textual Backdoor Attacks With Benign Backdoor Augmentation

Yue Gao, Jack W. Stokes, Manoj Prasad, Andrew Marshall, Kassem Fawaz, and Emre Kiciman *IEEE Military Communications Conference (MILCOM)*, 2022

1

#### Workshop

## [1] Variational Autoencoder for Low Bit-rate Image Compression

Lei Zhou\*, Chunlei Cai\*, Yue Gao, Sanbao Su, and Junmin Wu Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR) Workshops, 2018 Winner of the first Challenge on Learned Image Compression

## **Preprints**

## [1] SEA: Shareable and Explainable Attribution for Query-based Black-box Attacks

Yue Gao, Ilia Shumailov, and Kassem Fawaz arXiv, 2023

## [2] Analyzing Accuracy Loss in Randomized Smoothing Defenses

Yue Gao\*, Harrison Rosenberg\*, Kassem Fawaz, Somesh Jha, and Justin Hsu arXiv, 2020

#### **SELECTED PROJECTS**

## Security of Real-World Machine Learning Systems

Sep 2020 – May 2022

- Investigated the security of a real-world ML pipeline exposed to diverse security threats, e.g., dependencies.
- Revealed threats amplified by 9x and broke state-of-the-art defenses by jointly exploiting multiple vulnerabilities.
- Formally proved the non-robustness of randomization-based defenses beyond demonstrating empirical attacks.

#### **Adversarially Robust Multimodal Object Detection (Collaborative)**

June 2019 – Present

- Led a 9-member cross-university team to 1st and 2nd place in grant competitions from DARPA.
- Performed red teaming and broke over 10 internal defenses proposed by team members prior to submission.
- Proposed self-supervised and diffusion-based methods to enforce robust modality across RGB and Depth.
- Successfully reduced the disappearance rate from 62% to 9% even under the red-team evaluation from MITRE.
- Developed initial code bases and eval pipelines for team members from varying technical backgrounds.
- Contributed plug-and-play modules to the official upstream evaluation team and received acknowledgment.

## Shareable and Explainable Attribution for Black-box Attacks on ML systems

Jan 2023 – Aug 2023

- Characterized the attack's progression for forensic purposes and human-explainable intelligence sharing.
- Fingerprinted and attributed zero-day attacks on their first and second occurrence, respectively.
- Discovered specific minor implementation bugs in popular ML attack toolkits like ART.

## **Security Analysis of Business Collaboration Platforms**

Mar 2021 – Dec 2021

- Analyzed the permission model of third-party apps in Slack and Microsoft Teams with only closed-source access.
- Exploited OAuth designs to bypass access control and user privacy, and received bug bounty for medium severity.
- Demonstrated POC attacks of eavesdropping on private chats, spoofing video calls, and unauthorized code merging.

## **SELECTED HONORS & AWARDS**

| Slack Bug Bounty: Medium Severity, \$1500  | 2022        |
|--|-------------|
| Top 10% Reviewers Award: NeurIPS   | 2022        |
| CVPR Competition Winner: Challenge on Learned Image Compression                  | 2018        |
| National Scholarship: China  | 2017        |
| Top 100 Elite Collegiate Award: China Computer Federation                        | 2017        |
| Scholarship for Exceptional Leadership: Shanghai University                      | 2017        |
| City Scholarship: Shanghai   | 2016        |
| Outstanding Student Award: Shanghai University                                   | 2016        |
| Outstanding Volunteer Award: ACM ICPC Asia Regional Contest                      | 2016        |
| Scholarship for Exceptional Innovation: Shanghai University                      | 2016        |
| Scholarship for Exceptional Academic Achievements: Shanghai University           | 2015 - 2018 |
| Bronze Prize for Programming Contest: ACM ICPC Asia East-Continent Final Contest | 2015        |
| Bronze Prize for Programming Contest: ACM ICPC Asia Shanghai Regional Contest    | 2015        |

#### PROFESSIONAL ACTIVITIES Reviewer: NeurIPS and ICML 2022 - 2024External Reviewer: USENIX Security Symposium 2021 - 2022External Reviewer: IEEE Symposium on Security and Privacy 2021 - 2022External Reviewer: ACM Conference on Computer and Communications Security 2019 Team Leader: Collegiate ICPC Team at Shanghai University 2016 - 2017**TALKS** 1. The Vulnerabilities of Preprocessing in Adversarial Machine Learning Apr 2023 TrustML Young Scientist Seminar, RIKEN AIP 2. On the Limitations of Stochastic Pre-processing Defenses Oct 2022 University of Southern California (virtual) 3. The Interplay Between Vulnerabilities in Machine Learning Systems Sep 2022 University of Michigan 4. Experimental Security Analysis of the App Model in Business Collaboration Platforms Aug 2022 USENIX Security 2022 5. The Interplay Between Vulnerabilities in Machine Learning Systems Jun 2022 ICML 2022 TEACHING AND MENTORING **Teaching Assistant**: CS 368 (C++ for Java Programmers), University of Wisconsin–Madison Fall 2018 Guest Lecturer: Advanced Algorithms & Data Structures, Shanghai University 2015 - 2017Problem Designer: Undergraduate Programming Contests, Shanghai University 2015 - 2017Student Mentor: Undergraduate Computer Science Coursework, Shanghai University 2015 - 2017TECHNICAL SKILLS **Python** Research (2018 – present), System Optimization (2018), Backend Development (2016 – 2017). Research (2019 – present), Distributed Training (2020 – 2022). **PvTorch** Docker Research (2018 – present), Computing Cluster (2017 – 2018), Model Deployment (2017 – 2018). C/C++Linux Kernel (2019), Encryption (2019), Software Development (2017 - 2018), ICPC (2014 – 2018).

## ADTICLES AND MEDIA COVEDAGE

Security TensorFlow

Java EE

| ARTICLES AND MEDIA COVERAGE   |      |
|---|------|
| CleverHans. Can stochastic pre-processing defenses protect your models?                           | 2022 |
| USENIX login. Experimental Security Analysis of the App Model in Business Collaboration Platforms | 2022 |
| Wired. Slack's and Teams' Lax App Security Raises Alarms  | 2022 |

CTF (2015 – 2017, with IDA Pro, Burp Suite, and nmap).

Research (2017 – 2020), Service Deployment (2018).

Backend Development (2016).