# Yue Gao

#### RESEARCH INTERESTS

**Trustworthy Machine Learning** (adversarial robustness, black-box evasion attacks and defenses) **System Security** (machine learning systems, web-based applications and services)

#### **EDUCATION**

#### University of Wisconsin-Madison

Madison, WI

Ph.D. Candidate in Computer Science

Sep 2018 - May 2024 (expected)

• Advisor: Prof. Kassem Fawaz

• Thesis: Characterizing the Limitations of Defenses in Adversarial Machine Learning

# Shanghai University

Shanghai, China

B.S. in Computer Science and Technology

Sep 2014 - Jul 2018

• Major GPA: 3.99/4.00 (ranked 1/292)

Advisor: Prof. Xiaodong Yue

• Thesis: A Deep Neural Network based Image Compression Method

#### WORK EXPERIENCE

#### Research Assistant @ University of Wisconsin-Madison

Madison, WI

Advised by Prof. Kassem Fawaz

Nov 2018 - present

- Explore the weaknesses of evasion attacks and defenses for ML-based systems.
- Improve the security analysis of ML-based and web-based systems in black-box settings.

## Research Intern @ Microsoft Research

Redmond, WA

Mentored by Dr. Jay Stokes and Dr. Emre Kiciman

Jun 2021 - Sep 2021

- Explore data-centric solutions for backdoor attacks on language models with domain knowledge.
- Design auditing frameworks for the continual update of backdoor-free language models.

#### Research and Development Intern @ TuCodec

Shanghai, China

Mentored by Dr. Chunlei Cai

Jan 2018 - Jul 2018

- Optimize learning-based image compression algorithms.
- Develop DNN-based applications on mainstream operating systems and deploy them to cloud services.
- Winner of the CVPR 2018 Challenge on Learned Image Compression.

#### SELECTED PROJECTS

#### Shareable and Explainable Attribution for Black-box Attacks on ML systems

Jan 2023 - Aug 2023

- Characterize the attack's progression for forensic purposes and human-explainable intelligence sharing.
- Fingerprint and attribute zero-day attacks on their first and second occurrence, respectively.
- Discover specific minor implementation bugs in popular ML attack toolkits.

# The Role of Randomization in Adversarial Robustness

Feb 2022 – May 2022

- Characterize the limitations of using randomization to defend ML models.
- Theoretically explain the source of robustness for randomized defenses against evasion attacks.

#### Trustworthy Machine Learning in Real-World Systems

Sep 2020 - Jan 2021

- Explore the security of ML systems under threats from multiple components.
- Demonstrate amplified threats from the interplay between multiple vulnerabilities.

#### Defending against Evasion Attacks in Multimodal Scenarios (Collaborative)

Since 2019 (semiannual)

- Improve adversarial robustness by enforcing physical constraints.
- Design defenses for multimodal tasks (e.g., remote sensing satellites and autonomous driving).
- Develop a usable code base for team members with varying tracks and technical backgrounds.

#### Conference

- [1] On the Limitations of Stochastic Pre-processing Defenses

  Yue Gao, Ilia Shumailov, Kassem Fawaz, and Nicolas Papernot

  Proceedings of the 36th Conference on Neural Information Processing Systems (NeurIPS), 2022
- [2] Rethinking Image-Scaling Attacks: The Interplay Between Vulnerabilities in Machine Learning Systems Yue Gao, Ilia Shumailov, and Kassem Fawaz

  Proceedings of the 39th International Conference on Machine Learning (ICML), 2022

  Oral Presentation (Top 2%)
- [3] Experimental Security Analysis of the App Model in Business Collaboration Platforms Yunang Chen\*, **Yue Gao**\*, Nick Ceccio, Rahul Chatterjee, Kassem Fawaz, and Earlence Fernandes 31st USENIX Security Symposium (USENIX Security), 2022

  Bug Bounty (\$1500)
- [4] I Know Your Triggers: Defending Against Textual Backdoor Attacks With Benign Backdoor Augmentation **Yue Gao**, Jack W. Stokes, Manoj Prasad, Andrew Marshall, Kassem Fawaz, and Emre Kiciman *IEEE Military Communications Conference (MILCOM)*, 2022

#### Workshop

[1] Variational Autoencoder for Low Bit-rate Image Compression Lei Zhou\*, Chunlei Cai\*, **Yue Gao**, Sanbao Su, and Junmin Wu Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition (CVPR) Workshops, 2018 Winner of the first Challenge on Learned Image Compression

#### **Preprints**

- [1] SEA: Shareable and Explainable Attribution for Query-based Black-box Attacks **Yue Gao**, Ilia Shumailov, and Kassem Fawaz *arXiv*, 2023
- [2] Analyzing Accuracy Loss in Randomized Smoothing Defenses **Yue Gao\***, Harrison Rosenberg\*, Kassem Fawaz, Somesh Jha, and Justin Hsu *arXiv*, 2020

#### **SELECTED HONORS & AWARDS**

Slack Bug Bounty: Medium Severity, \$1500	2022
Top 10% Reviewers Award: NeurIPS	2022
CVPR Competition Winner: Challenge on Learned Image Compression	2018
National Scholarship: China	2017
Top 100 Elite Collegiate Award: China Computer Federation	2017
Scholarship for Exceptional Leadership: Shanghai University	2017
City Scholarship: Shanghai	2016
Outstanding Student Award: Shanghai University	2016
Outstanding Volunteer Award: ACM ICPC Asia Regional Contest	2016
Scholarship for Exceptional Innovation: Shanghai University	2016
Scholarship for Exceptional Academic Achievements: Shanghai University	2015 - 2018
Bronze Prize for Programming Contest: ACM ICPC Asia East-Continent Final Contest	2015
Bronze Prize for Programming Contest: ACM ICPC Asia Shanghai Regional Contest	2015

#### PROFESSIONAL ACTIVITIES

Reviewer: NeurIPS and ICML	2022 - 2023
External Reviewer: USENIX Security Symposium	2021 - 2022
External Reviewer: IEEE Symposium on Security and Privacy	2021 - 2022
External Reviewer: ACM Conference on Computer and Communications Security	2019
Team Leader: Collegiate ICPC Team at Shanghai University	2016 - 2017

# TALKS

1. The Vulner	abilities of Preprocessing in Adversarial Machine Learning	Apr 2023
TrustML You	ıng Scientist Seminar, RIKEN AIP	
	itations of Stochastic Pre-processing Defenses	Oct 2022
	f Southern California (virtual)	
-	ay Between Vulnerabilities in Machine Learning Systems	Sep 2022
University o		
4. Experimen USENIX Sec	tal Security Analysis of the App Model in Business Collaboration Platforms urity 2022	Aug 2022
5. The Interp	ay Between Vulnerabilities in Machine Learning Systems	Jun 2022
ICML 2022		
TEACHING AN	ID MENTORING	
•	sistant: CS 368 (C++ for Java Programmers), University of Wisconsin–Madison	Fall 2018
	rer: Advanced Algorithms & Data Structures, Shanghai University	2015 – 2017
	signer: Undergraduate Programming Contests, Shanghai University	2015 – 2017
Student Me	ntor: Undergraduate Computer Science Coursework, Shanghai University	2015 – 2017
TECHNICAL S	KILLS	
Python	Research (2018 – present), System Optimization (2018), Backend Development (2	016 – 2017).
PyTorch	Research (2019 – present), Distributed Training (2020 – 2022).	
Docker	Research (2018 – present), Computing Cluster (2017 – 2018).	
C/C++	Linux Kernel (2019), ML System (2018), Programming Contest (2014 – 2018).	
TensorFlow	Service Deployment (2018).	
Java EE	Backend Development (2016).	
ARTICLES ANI	D Media Coverage	
CleverHans	Can stochastic pre-processing defenses protect your models?	2022
USENIX logi	in. Experimental Security Analysis of the App Model in Business Collaboration Platfo	orms 2022
	c's and Teams' Lax App Security Raises Alarms	2022