# Algorithm & Complexity

## Complexity Classes

$$1 \prec \log \log n \prec \log n \prec \sqrt{n} \prec 2^{\log n} \prec n \prec \log(n!)$$
$$= n \log n \prec n^2 \prec 2^n \prec 4^n \prec n! \prec (2n)! \prec 2^{n^2} \prec 2^{2^n}$$

Sort Algorithms					
Algorithm	Best Case	Average Case	Worst Case	Space	
Linear Search	$\Omega(1)$	O(n)	O(n)	O(1)	
Binary Search	$\Omega(1)$	$O(\log n)$	$O(\log n)$	O(1)	
Selection Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	O(1)	
Bubble Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	O(1)	
Insertion Sort	$\Omega(n)$	$O(n^2)$	$O(n^2)$	O(1)	
Merge Sort	$\Theta(n \log n)$	$\Theta(n \log n)$	$\Theta(n \log n)$	O(n)	
Quick Sort	$O(n \log n)$	$O(n \log n)$	$O(n^2)$	$O(\log n)$	

#### Master Theroem

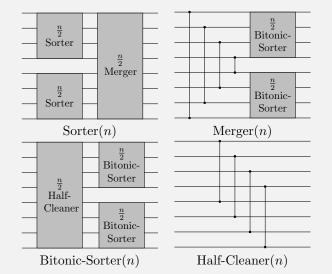
$$T(n) = aT\left(\left\lceil\frac{n}{b}\right\rceil\right) + O(n^d)$$
 
$$T(n) = \begin{cases} O(n^d), & \text{if } d > \log_b a, \\ O(n^d \log n), & \text{if } d = \log_b a, \\ O(n^{\log_b a}), & \text{if } d < \log_b a. \end{cases}$$

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$G(n^{\log_b a}) \qquad \exists \epsilon > 0 : f(n) = 0$$

$$T(n) = \begin{cases} \Theta(n^{\log_b a}), & \exists \epsilon > 0 : f(n) = O(n^{\log_b a} - \epsilon) \\ \Theta(n^{\log_b a} \lg n), & f(n) = \Theta(n^{\log_b a}) \\ \Theta(f(n)), & \exists \epsilon > 0 : f(n) = \Omega(n^{\log_b a + \epsilon}) \land \\ \exists c < 1 : af\left(\frac{n}{b}\right) \le cf(n) \end{cases}$$

## Sorting Network



## Greedy Algorithm

## Algorithm 1: Interval Scheduling

- 1 Sort jobs by finish times so that  $f_1 \leq f_2 \leq \cdots \leq f_n$ ;
- 2  $A \leftarrow \varnothing$ ;
- $\mathbf{3}$  for j=1 to n do
- 4 | if job j is compatible with A then
- $5 \qquad | \qquad A \leftarrow A \cup \{j\};$
- 6 return A;

## **Algorithm 2:** Interval Partitioning

- 1 Sort intervals by starting time so that
  - $s_1 \leq s_2 \leq \cdots \leq s_n;$
- $2 d \leftarrow 0;$
- $\mathbf{3}$  for j=1 to n do
- if lecture j is compatible with some classroom k then
- $\mathbf{5}$  schedule lecture j in classroom k;
- 6 els
- 7 allocate a new classroom d+1;
- schedule lecture j in classroom d+1;
- 9  $d \leftarrow d+1$ ;

#### 10 return A;

#### **Algorithm 3:** Minimize Lateness

- 1 Sort n jobs by deadline so that
  - $d_1 \le d_2 \le \dots \le d_n;$
- 2  $t \leftarrow 0$ ;
- $\mathbf{3}$  for j=1 to n do
- 4 Assign job j to interval  $[t, t + t_j]$ ;
- $s_j \leftarrow t, f_j \leftarrow t + t_j;$
- 6  $t \leftarrow t + t_i$ ;
- 7 return interval  $[s_i, f_i]$ ;

### Matroid

## Independent System $(S, \mathbf{C})$

$$A \subset B, B \in \mathbf{C} \Rightarrow A \in \mathbf{C}$$

Matriod  $(S, \mathbf{C})$ 

$$\begin{cases} (S, \mathbf{C}) \text{ is an independent system,} \\ A, B \in \mathbf{C} \land |A| < |B| \Rightarrow \exists x \in B \backslash A : A \cup \{x\} \in \mathbf{C} \end{cases}$$

Maximal Independent subset  $I \not\exists x \notin I : I \cup \{x\}$  is independent.

 $u(F) = \min\{|I||I \text{ is a maximal independent subset of } F\}$ 

 $v(F) = \max\{|I||I \text{ is an independent subset of } F\}$ 

**Matroid Theorem** an independent system  $(S, \mathbf{C})$  is a matroid  $\Leftrightarrow \forall F \subseteq S, u(F) = v(F)$ .

**Corollary** All maximal independent subsets in a matriod have the same size.

Weighted Independent System  $(S, \mathbf{C})$  with a nonnegative function  $c: S \to \mathbb{R}^+$ .

#### Greedy-MAX

An independent system  $(S, \mathbf{C})$  with cost function c, solving a maximization problem as:

$$\max c(I)$$
 subject to  $I \in \mathbf{C}$ 

## Algorithm 4: Greedy-MAX

- 1 Sort all elements in S into ordering  $c(x_1) \ge c(x_2) \ge \cdots \ge c(x_n);$
- $\mathbf{2} \ A \leftarrow \varnothing;$
- $\mathbf{3}$  for i=1 to n do
- if  $A \cup \{x_i\} \in \mathbf{C}$  then
- $A \leftarrow A \cup \{x\};$
- 6 return A;

$$1 \le \frac{c(A^*)}{c(A_G)} \le \max_{F \subseteq S} \frac{v(F)}{u(F)}$$

Corollary If  $(S, \mathbf{C}, c)$  is a weighted matroid, then Greedy-MAX algorithm performs the optimal solution.

## Strongly Connected Components

# Algorithm 5: Kosaraju Algorithm

- 1 Run DFS on  $G^R$ , and get the reversed visiting
- 2 Run DFS on G within the visiting order;

#### Dynamic Programming

# Optimal Substructure Overlapping subproblems

$$OPT(i, w) = \begin{cases} 0, & j = 0, \\ OPT(i - 1, w), & w_i > w \\ \max\{OPT(i - 1, w), \\ v_i + OPT(i - 1, w - w_i)\}, & \text{else.} \end{cases}$$

#### Algorithm 6: Knapsack Algorithm

**Input:**  $n, W, w_1, \cdots, w_n, v_1, \cdots, v_n$ 

Output: Optimal value of knapsack with W

- 1 for  $w \leftarrow 0$  to W do
- **2** |  $M[0, w] \leftarrow 0;$
- 3 for  $i \leftarrow 1$  to n do

$$\begin{array}{c|cccc} \mathbf{4} & & \mathbf{for} \ w \leftarrow 1 \ to \ W \ \mathbf{do} \\ \mathbf{5} & & \mathbf{if} \ w_i > w \ \mathbf{then} \\ \mathbf{6} & & & M[i,w] \leftarrow M[i-1,w]; \\ \mathbf{7} & & \mathbf{else} \\ \mathbf{8} & & & M[i,w] \leftarrow \max\{M[i-1,w],v_i + M[i-1,w-w_i]\}; \end{array}$$

9 return M[n, W];

Running time is  $\Theta(nW)$ : "pseudo-polynomial".

#### Linear Programming

#### **Primal Form**

#### **Dual Form**

$$\begin{aligned} \max \sum_{j=1}^n c_j x_j & \max \sum_{i=1}^n b_i y_i \\ s.t. \sum_{j=1}^n a_{ij} x_j &\leq b_i, \quad \forall i & s.t. \sum_{i=1}^m a_{ij} y_i &\leq v_j, \quad \forall j \\ x_j &\geq 0, & \forall j & y_i &\geq 0, & \forall i \\ \mathbf{Weak-Feasible} & \sum_{j=1}^n c_j x_j &\leq \sum_{i=1}^m b_i y_i \\ \mathbf{Strong-Optimal} & \sum_{j=1}^n c_j x_j &= \sum_{i=1}^m b_i y_i \\ \mathbf{Simple Method} & \mathbf{Simple Method} & \end{aligned}$$

Simple Method

**Step 1.** Converting LP into slack form.

**Step 2.** Setting all non-basic variables to 0.

**Step 3.** Selecting non-basic with tightest contraints.

**Step 4.** Exchange a nonbasic and a basic variable.

**Step 5.** Repeat from 2 to 4 until coefficients < 0.

# Amortized Analysis

Aggregate Analysis sum up all the cost of ops to perform amortized analysis.

Accounting Method amortized cost is used to pay for later use. The blance never goes negative.

$$\sum_{i=1}^{n} c_i \le \sum_{i=1}^{n} \hat{c_i}, \quad \forall n$$

Method with potential Potential function  $\Phi, \Phi(D_i) \ge \Phi(D_0)$ 

$$\hat{c}_i = c_i + \Phi(D_i) - \Phi(D_{i-1})$$

$$\sum_{i=1}^n \hat{c}_i = \sum_{i=1}^n c_i + \Phi(D_n) - \Phi(D_0) \ge \sum_{i=1}^n c_i$$

#### Network Flow

# **Algorithm 7:** Augment(f, c, P)

- 1  $\delta \Leftarrow$  bottleneck capacity of augmenting path
- 2 foreach  $e \in P$  do
- if  $e \in E$  then
- $f(e) \leftarrow f(e) + \delta;$
- $f(e^R) \leftarrow f(e^R) \delta;$
- $\tau$  return f;

# Algorithm 8: Ford-Fulkerson Algorithm

**Input:** G = (V, E), c, s, t

- 1 foreach  $e \in E$  do
- $f(e) \leftarrow 0;$
- **3**  $G_f \leftarrow \text{residual graph};$
- 4 while there exists augmenting path P do
- $f \leftarrow \text{Augment}(f, c, P);$
- $\mathbf{6}$  update  $G_f$ ;
- 7 return f;

Also min-cut. O(nmC).

#### Single Shortest Path

#### Algorithm 9: Dijkstra's Algorithm

```
1 d[s] \leftarrow 0;
2 foreach v \in V - \{s\} do
 a \mid d[v] \leftarrow \infty;
4 S \leftarrow \emptyset;
5 Q \leftarrow V // priority queue, keyed on d;
6 while Q \neq \emptyset do
        u \leftarrow \text{Extract-min } Q;
 7
        S \leftarrow S \cup \{u\};
 8
        for
each v \in Adj[u] do
 9
10
             if d[v] > d[u] + w(u, v) then
              d[v] \leftarrow d[u] + w(u, v)
11
```

# Algorithm 10: Bellman-Ford's Algorithm

```
1 \ d[s] \leftarrow 0;
 2 foreach v \in V - \{s\} do
 \mathbf{3} \quad \left[ \quad d[v] \leftarrow \infty; \right.
 4 for i \leftarrow 1 to |V| - 1 do
        foreach edge(u, v) \in E do
            if d[v] > d[u] + w(u, v) then
 6
              d[v] \leftarrow d[u] + w(u, v)
 s foreach edge(u,v) \in E do
        if d[v] > d[u] + w(u, v) then return \exists a
          negative-weight cycle;
        else d[v] = \mu(s, v);
10
```

#### All Pairs Shortest Path

### Algorithm 11: Floyd-Warshall's Algorithm

**Input:** Directed Graph G = (V, E),  $V \in \{1, 2, \cdots, n\}$ , edge-weight function  $w: E \to \mathcal{R}$ 

**Output:**  $n \times n$  matrix of shortest-path weights  $\mu(i,j)$  for all  $i,j \in V$ 

#### Algorithm 12: Johnson's Algorithm

- 1 Find function  $h: V \to \mathcal{R}$  such that  $w_h(u,v) \geq 0, \forall (u,v) \in E$  to solve the difference constraints or determine that a negative-weight cycle exists. O(VE);
- **2** Run Dijkstra using  $w_h$  from each vertex  $u \in V$ to compute  $\mu_h(u, v), \forall v \in V$ .  $O(VE + V^2 \log V);$
- **3** For each pair (u,v) of vertices to compute  $\mu(u,v) = \mu_h(u,v) - h(u) + h(v).$   $O(v^2);$

## Minimum Spanning Tree

## Algorithm 13: Kruskal's Algorithm

- $1 A \leftarrow \varnothing$ :
- 2 foreach  $v \in V$  do
- $\mathbf{3}$  Make-Set(v);
- 4 sort the edges of E into nondecreasing order by weight w;
- **5 foreach**  $(u, v) \in E$ , taken in nondecreasing order by weight do
- if  $Find\text{-}Set(u) \neq Find\text{-}Set(v)$  then
- $A \leftarrow A \cup (u,v);$
- Union(u, v);
- 9 return A;

# **Algorithm 14:** Prim's Algorithm

Input: Connected, undirected graph G = (V, E) with weight function  $w: E \to \mathcal{R}$ 

Output: A spanning tree T (connects the vertices) of minimum weight  $w(T) = \sum_{(u,v)\in T} w(u,v)$ 

- 1  $Q \leftarrow V$ ;
- $\mathbf{2} \ key[v] \leftarrow \infty, \forall v \in V;$
- **3**  $key[s] \leftarrow 0$ , for arbitrary  $s \in V$ ;
- 4 while  $Q \neq \emptyset$  do
- $u \leftarrow \text{Extract-min}(Q);$ 6
  - foreach  $v \in Adj[u]$  do
- if  $v \in Q$  and w(v) < key[v] then 7  $key[v] \leftarrow w(u,v);$
- $\Pi[v] \leftarrow u;$
- 10 return  $\{v, \Pi[v]\}$  forms MST;

Single Shortest Path Algorithms				
Circumstance	Algorithm	Time Complexity		
Unweighted	BFS	O(V+E)		
Non-negative Edge Weights	Dijkstra	$O(E + V \log V)$		
General	Bellman-Fold	O(VE)		
Directed Acyclic Graph (DAG)	Topological sort +1 and Bellman-Ford	O(V+E)		

All Pair Shortest Path Algorithms				
Circumstance	Algorithm	Time Complexity		
Unweighted	$ V  \times BFS$	O(VE)		
Non-negative Edge Weights	$ V  \times \text{Dijkstra}$	$O(VE + V^2 \log V)$		
General	$ V  \times \text{Bellman}$	$O(V^2E)$		
(baseline)	Fold	` ′		
General	Floyd-Warshall	$O(V^3)$		
General	Johnson	$O(VE + V^2 \log V)$		

#### Turing Machine

**Language System.** If  $f: \{0,1\}^* \to \{0,1\}^*$  is computable in time T(n) by a TM M using alphabet set  $\Gamma$ , then it is computable in time  $4 \log |\Gamma| T(n)$  by a TM  $\tilde{M}$  using the alphabet  $\{0,1,\square,\triangleright\}$ .

**Multi-Tape.** If  $f: \{0,1\}^* \to \{0,1\}^*$  is computable in time T(n) by a TM M using k tapes, then it is computable in time  $5kT(n)^2$  by a single-tape TM  $\tilde{M}$ . **Bidirectional Tape.** If  $f: \{0,1\}^* \to \{0,1\}^*$  is computable in time T(n) by a bidirectional TM M, then it is computable in time 4T(n) by a TM  $\tilde{M}$  with one-directional tape.

**TM-Computable.** M TM-Computes f if,

$$\forall a_1, \cdots, a_n, b \in \mathbb{N},$$
  
$$M(a_1, \cdots, a_n) \downarrow b \text{ iff } f(a_1, \cdots, a_n) = b$$

**Other Domains.** A function  $f: D \to D$  extends a numeric function  $f^*: \mathbb{N} \to \mathbb{N}$ . We say that f is computable if  $f^*$  is computable.

$$f^* = \alpha \circ f \circ \alpha^{-1}$$

where  $\alpha:D\to\mathbb{N}$  is an effective injection.

#### NP Reduction

$$P \subseteq NP \subseteq EXP$$

**Reduction.** Problem X polynomial reduces to problem Y if arbitrary instances of problem X can be solved using:

- Polynomial number of standard computational steps
- Polynomial number of calls to oracle that solves problem  ${\cal Y}$

$$X \leq_P Y$$

