



# Chapter 3: Data Modeling Using the Entity-Relationship (ER) Model

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CS-6360 Database Design

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## Chapter 3 Outline

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- 3.1 – Using High-Level Conceptual Data Models for Database Design
- 3.2 – A Sample Database Application
- 3.3 – Entity Types, Entity Sets, Attributes, and Keys
- 3.4 – Relationship Types, Relationship Sets, Roles, and Structural Constraints
- 3.5 – Weak Entity Types

## Chapter 3 Outline

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- 3.6 – Refining the ER Design for the COMPANY Database
- 3.7 – ER Diagrams, Naming Conventions, and Design Issues
- 3.8 – Example of Other Notation: UML Class Diagrams
- 3.9 – Relationship Types of Degree Higher than Two

## **3.1 – Using High-Level Conceptual Data Models for Database Design**

# Data Modeling Using the Entity-Relationship (ER) Model

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- Entity-Relationship (ER) model
  - Popular high-level conceptual data model
- ER diagrams
  - Diagrammatic notation associated with the ER model
- Unified Modeling Language (UML)

# Using High-Level Conceptual Models

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- Requirements collection and analysis
  - Database designers interview prospective database users to understand and document data requirements. Result:
  - Data requirements
  - Functional requirements of the application

# Using High-Level Conceptual Models

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- Conceptual schema
  - Conceptual design
  - Description of data requirements
  - Includes detailed descriptions of the entity types, relationships, and constraints
  - Transformed from high-level data model into implementation data model

# Logical and Physical Design

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- Logical design or data model mapping
  - Result is a database schema in implementation data model of DBMS
- Physical design phase
  - Internal storage structures, file organizations, indexes, access paths, and physical design parameters for the database files specified



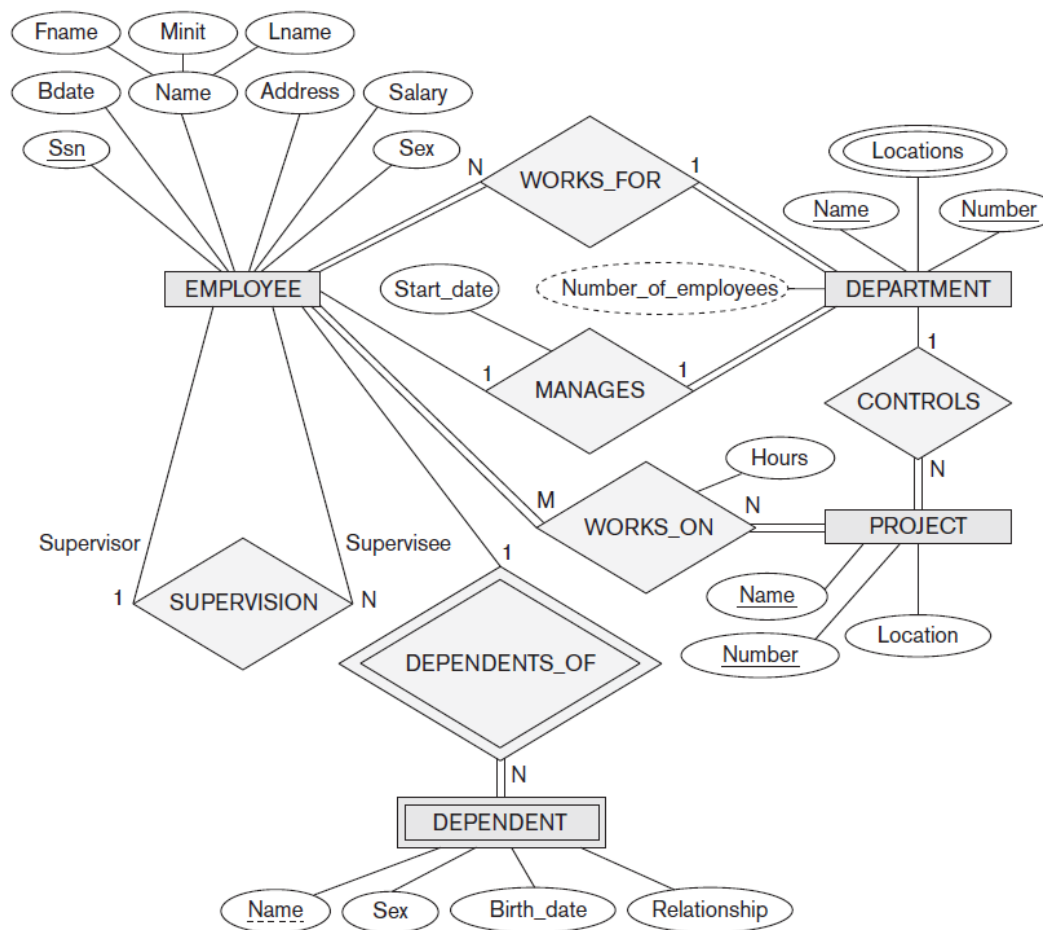
## **3.2 – A Sample Database Application**

# A Sample Database Application

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- COMPANY
  - Employees, departments, and projects
  - Company is organized into departments
  - Department controls a number of projects
  - Employee: store each employee's name, Social Security number, address, salary, sex (gender), and birth date
  - Keep track of the dependents of each employee



**Figure 7.2**  
An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter and is summarized in Figure 7.14.

### **3.3 – Entity Types, Entity Sets, Attributes, and Keys**

# Entity Types, Entity Sets, Attributes, and Keys

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- ER model describes data as:
  - Entities
  - Relationships
  - Attributes

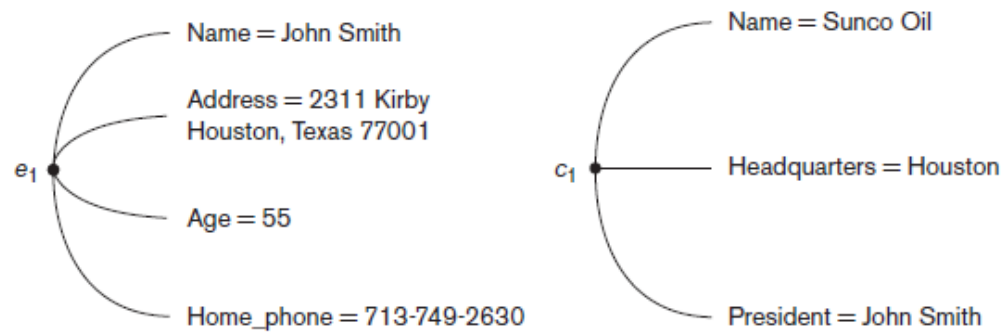
# Entities and Attributes

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- **Entity**
  - Thing in real world with independent existence
- **Attributes**
  - Particular properties that describe entity

# Entities and Attributes

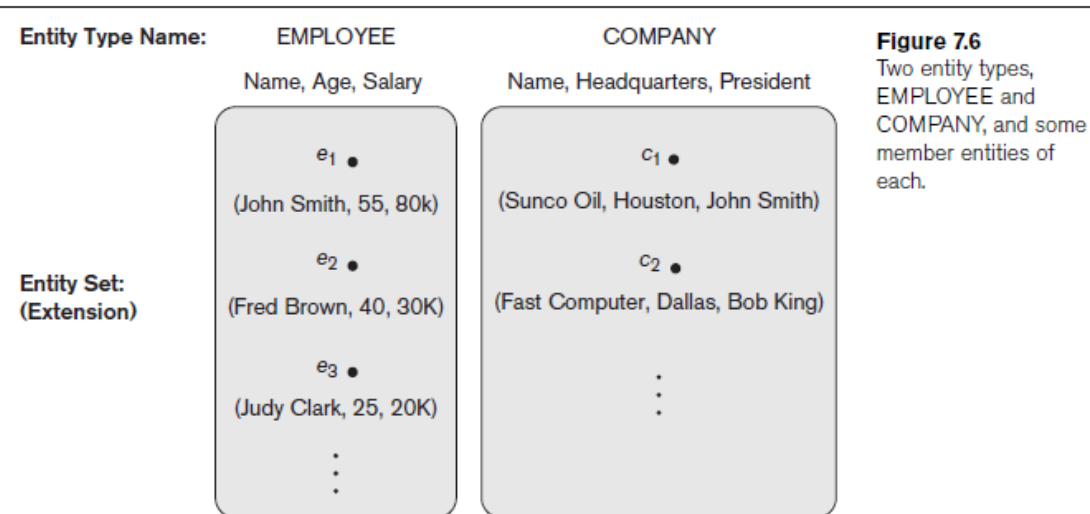


**Figure 7.3**  
Two entities,  
EMPLOYEE  $e_1$ , and  
COMPANY  $c_1$ , and  
their attributes.

# Entity Types, Entity Sets, Keys, and Value Sets



- Entity type
  - Collection (or set) of entities that have the same attributes





# Types of Attributes

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- Several types of attributes occur in the ER model
  - Simple versus composite
  - Single-valued versus multivalued
  - Stored versus derived

# Single-Valued versus Multivalued Attributes

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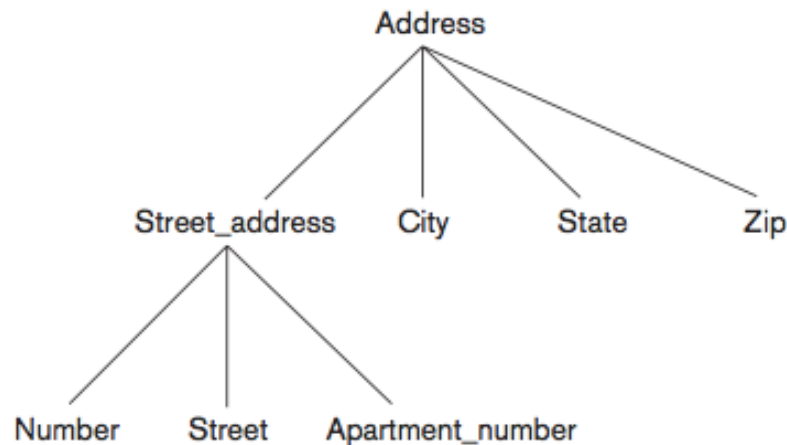


- Most attributes have a single value for a particular entity; such attributes are called single-valued
  - For example, Age is a single-valued attribute of a person
- An attribute can have a set of values for the same entity
  - A multivalued attribute may have lower and upper bounds to constrain the number of values allowed for each individual entity

# Composite versus Simple (Atomic) Attributes



- Composite attributes can be divided into smaller subparts, which represent more basic attributes with independent meanings
- Attributes that are not divisible are called simple or atomic attributes.



## Stored versus Derived Attributes

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- Two (or more) attribute values are related
  - e.g. Age and Birth\_date
  - Birth\_date may be a stored attribute, and
  - Age can be derived from Birth\_date
- Can Age be a multi-valued attribute?
- Can Age be a composite attribute?

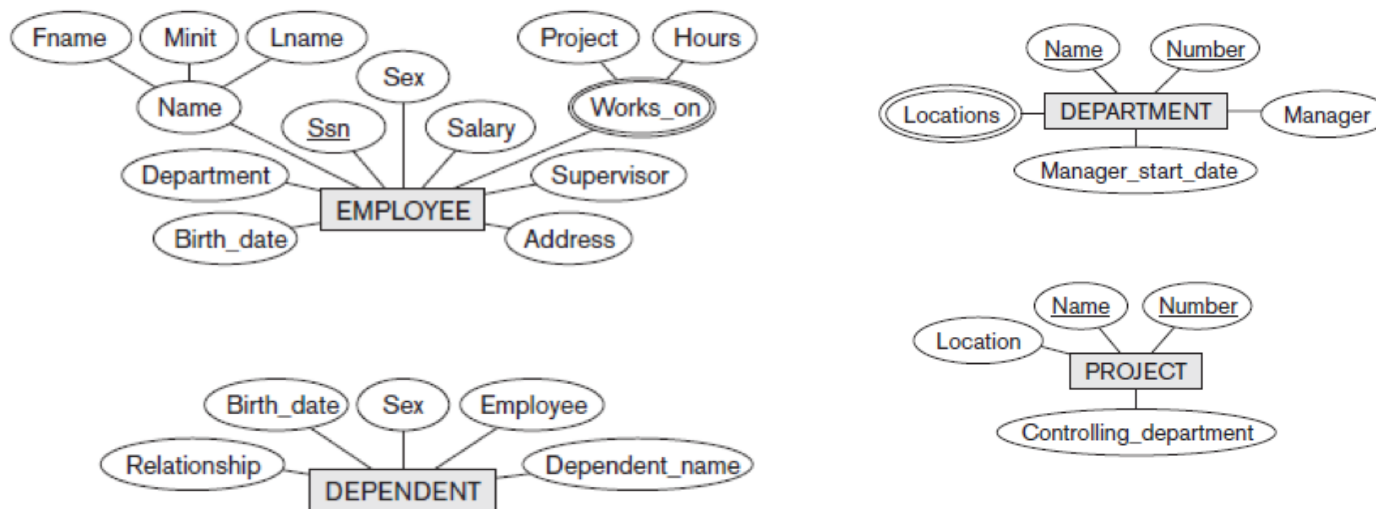
# Attribute Constraints

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- Key or uniqueness constraint
  - Attributes whose values are distinct for each individual entity in entity set
  - Key attribute
    - Uniqueness property must hold for every entity set of the entity type
- Value sets (or domain of values)
  - Specifies set of values that may be assigned to that attribute for each individual entity

# Initial Conceptual Design of the COMPANY



## **3.4 – Relationship Types, Relationship Sets, Roles, and Structural Constraints**

# Relationship Types, Relationship Sets, Roles, and

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- Relationship
  - When an attribute of one entity type refers to another entity type
  - Represent references as relationships not attributes



# Relationship Types, Sets, and Instances

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- Relationship type  $R$  among  $n$  entity types  $E_1, E_2, \dots, E_n$ 
  - Defines a set of associations among entities from these entity types
- Relationship instances  $r_i$ 
  - Each  $r_i$  associates  $n$  individual entities  $(e_1, e_2, \dots, e_n)$
  - Each entity  $e_j$  in  $r_i$  is a member of entity set  $E_j$

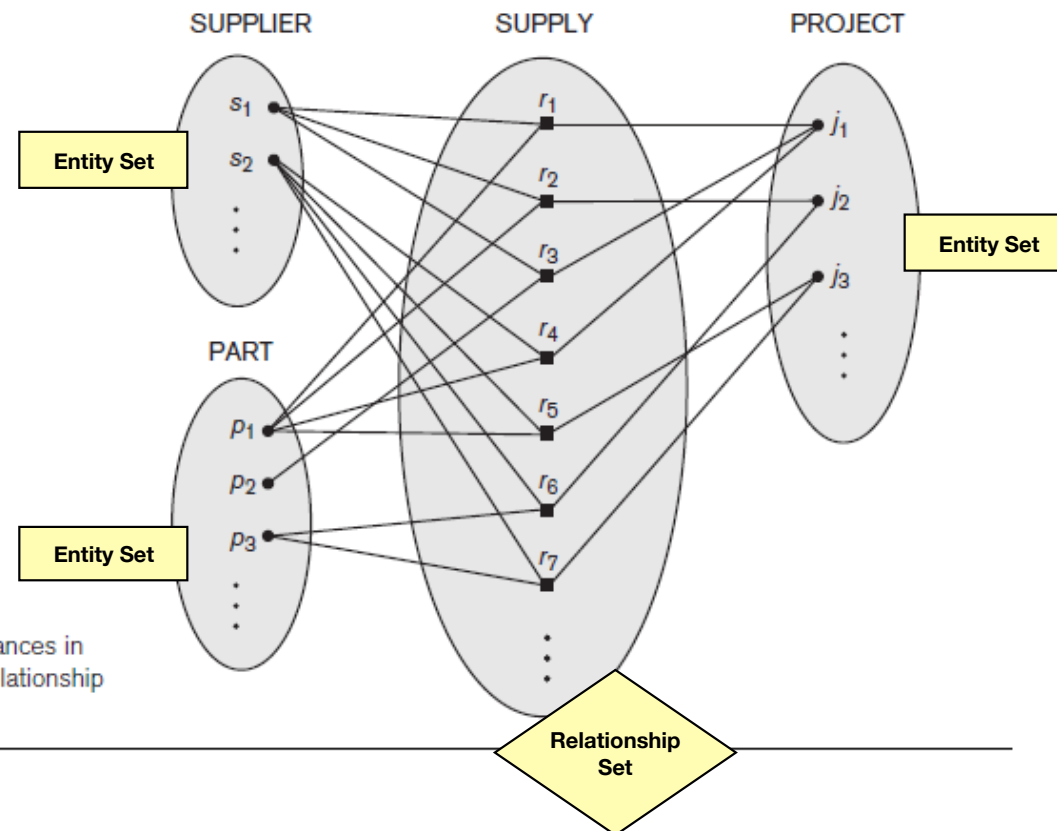
# Relationship Degree

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- Degree of a relationship type
  - Number of participating entity types
  - Binary, ternary,  $n$ -ary
- Relationships as attributes
  - Possible to think of a binary relationship type in terms of attributes (useful in certain scenarios, e.g. works\_on)

# “Supply” Relationship



**Figure 7.10**  
Some relationship instances in  
the SUPPLY ternary relationship  
set.

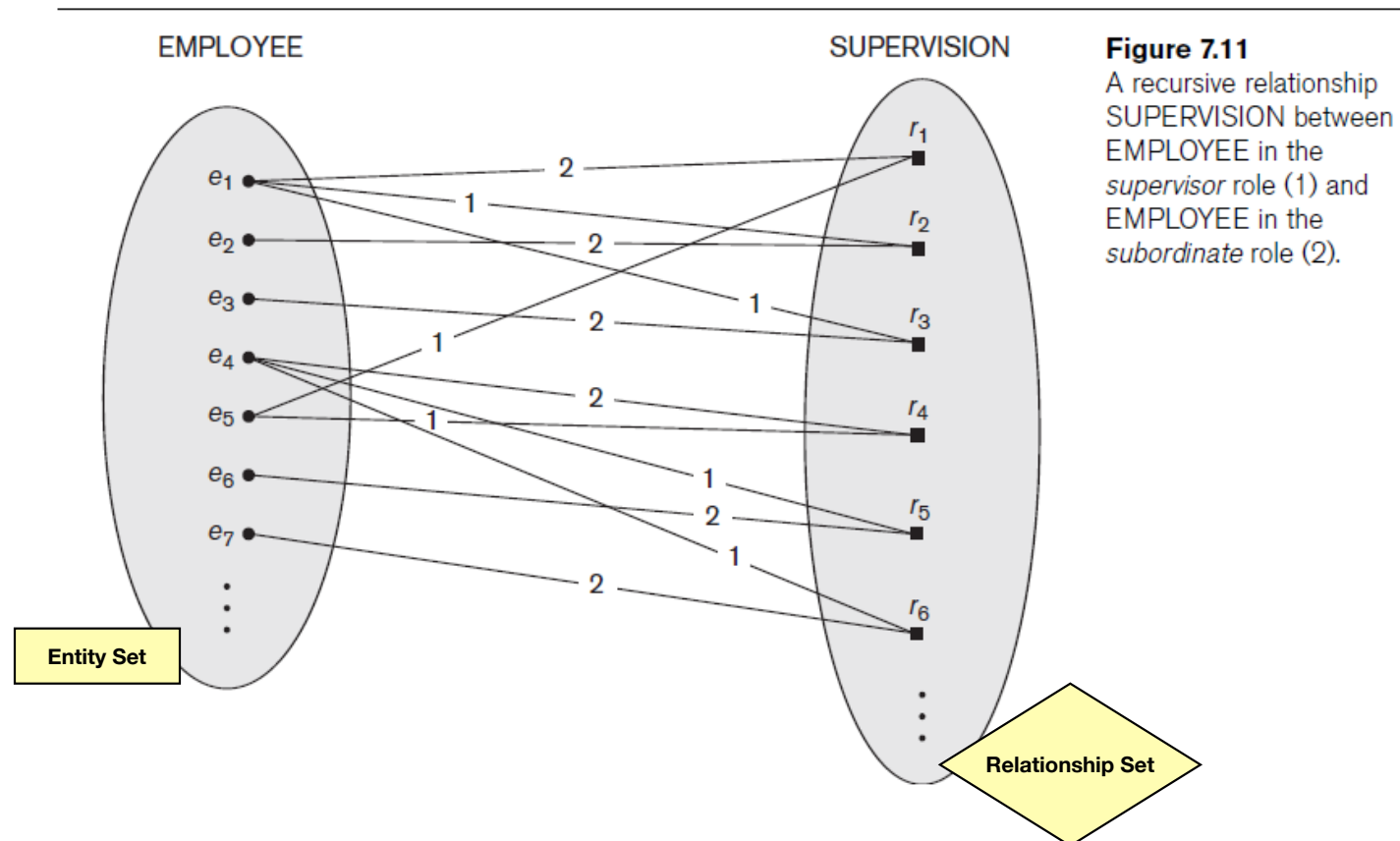
# Role Names and Recursive Relationships

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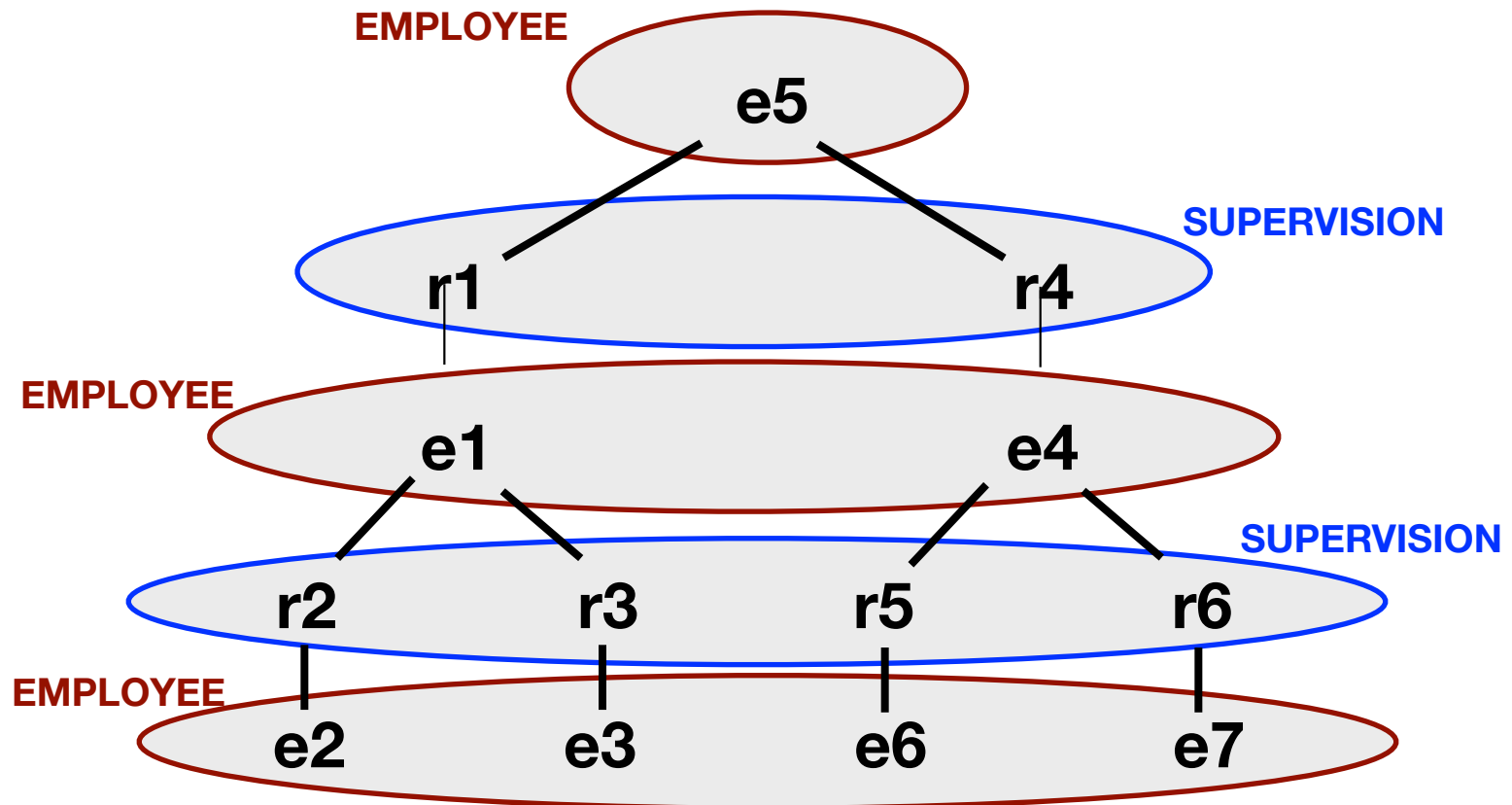


- Role names
  - Role name signifies role that a participating entity plays in each relationship instance
- Recursive relationships
  - Same entity type participates more than once in a relationship type in different roles
  - Must specify role name

# Recursive Relationship SUPERVISION



# Recursive Relationship SUPERVISION



# Constraints on Binary Relationship Types

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- Cardinality ratio for a binary relationship
  - Specifies maximum number of relationship instances in which that entity can participate
- Participation constraint
  - Specifies whether existence of entity depends on its being related to another entity
  - Types: total and partial

## Attributes of Relationship Types

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- Relationships (like entities) can also have attributes
- Attributes of 1:1 or 1:N relationship types can be migrated to one entity type
- For a 1:N relationship type
  - Relationship attribute can be migrated only to entity type on N-side of relationship
- For M:N relationship types
  - Some attributes may be determined by combination of participating entities
  - Must be specified as relationship attributes



## **3.5 – Weak Entity Types**

## Weak Entity Types



- Weak Entities do not have key attributes of their own
  - Identified by being related to specific entities from another entity type. This does not mean they don't have a key, but the “parent” entity's key is part of it.
- Weak Entities have an identifying relationship
  - Relates a weak entity type to its owner
- Always has a total participation constraint
- If the “parent” entity is deleted, all related weak entities are deleted too

## **3.6 – Refining the ER Design for the COMPANY Database**

## Refining the ER Design for the COMPANY Database


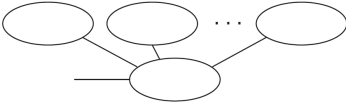

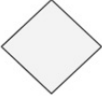





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- Change attributes that represent relationships into relationship types
- Determine cardinality ratio and participation constraint of each relationship type


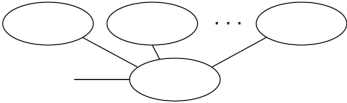


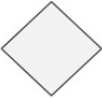
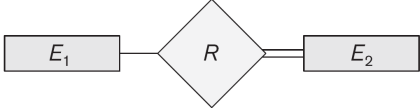

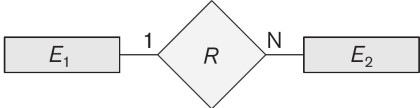



## **3.7 – ER Diagrams, Naming Conventions, and Design Issues**

# Summary of ER Diagram Notation




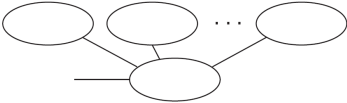


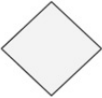
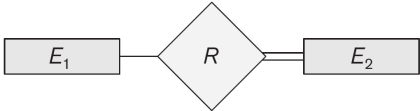





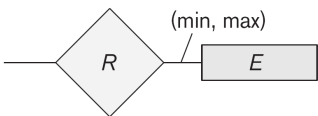
Symbol	Meaning	
	Entity	
	Weak Entity	
	Relationship	
	Identifying Relationship	
	Attribute	Composite Attribute
	Key Attribute	Derived Attribute
	Multivalued Attribute	

# Summary of ER Diagram Notation

Symbol	Meaning	
	Entity	
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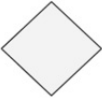




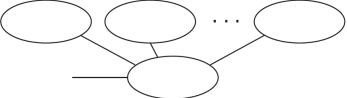

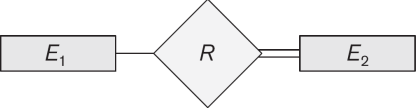

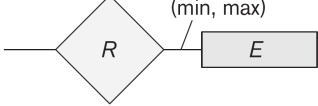
# Summary of ER Diagram Notation



Symbol	Meaning		
	Entity		Composite Attribute
	Weak Entity		Derived Attribute
	Relationship		Total Participation of $E_2$ in $R$
	Identifying Relationship		Cardinality Ratio 1: N for $E_1:E_2$ in $R$
	Attribute		Key Attribute
	Multivalued Attribute		Structural Constraint (min, max) on Participation of $E$ in $R$



# Summary of ER Diagram Notation

Symbol	Meaning	
	Entity	
	Weak Entity	
	Relationship	
	Identifying Relationship	
	Attribute	
	Key Attribute	
	Multivalued Attribute	
		 Composite Attribute  Derived Attribute
		 Total Participation of $E_2$ in $R$  Cardinality Ratio 1: N for $E_1:E_2$ in $R$
		 Structural Constraint (min, max) on Participation of $E$ in $R$

# Proper Naming of Schema Constructs

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- Choose names that convey meanings attached to different constructs in schema
- Nouns give rise to entity type names
- Verbs indicate names of relationship types
- Choose binary relationship names to make ER diagram readable from left to right and from top to bottom

# Design Choices for ER Conceptual Design

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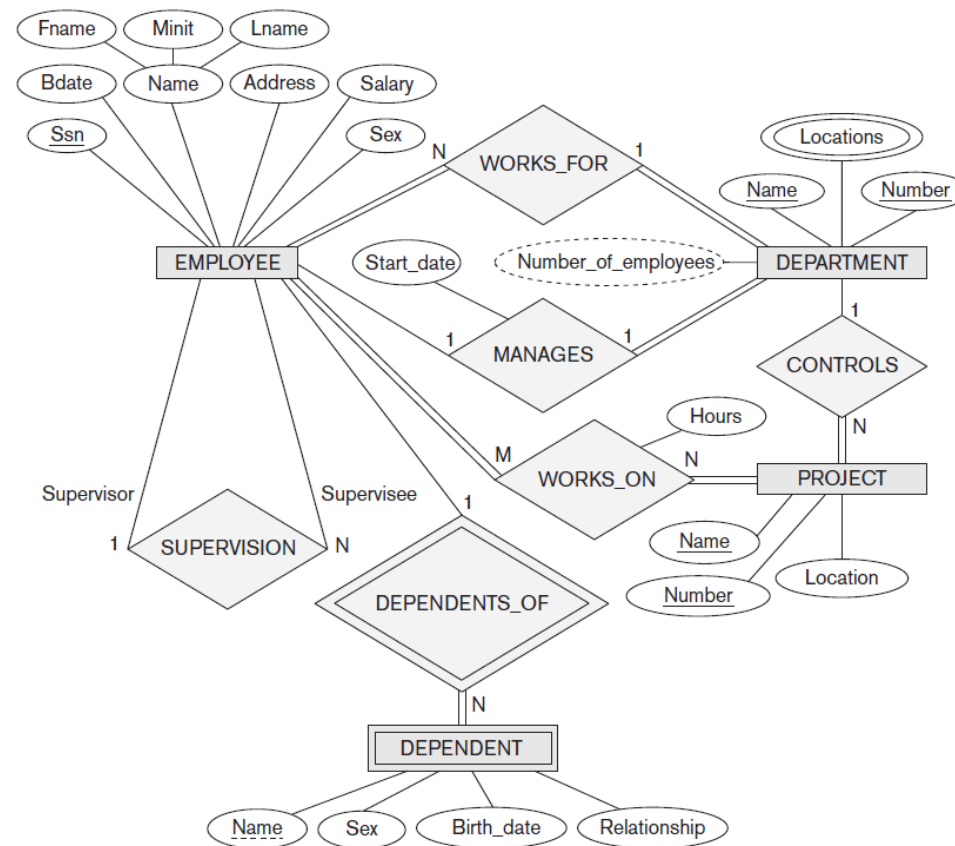
- Model concept first as an attribute
  - Refined into a relationship if attribute is a reference to another entity type
- Attribute that exists in several entity types may be elevated to an independent entity type
  - Can also be applied in the inverse

## (min, max) Alternative Notation for



- Specifies structural constraints on relationships
- Replaces cardinality ratio (1:1, 1:N, M:N) and single/double line notation for participation constraint
- Cardinality + Participation and (min,max) are two mutually exclusive options for encoding this info
  - *Mixing or combining these in a homework or exam will be considered incorrect*
- Associate a pair of integer numbers (min, max) with each participation of an entity type E in a relationship type R, where  $0 \leq \min \leq \max$  and  $\max \geq 1$

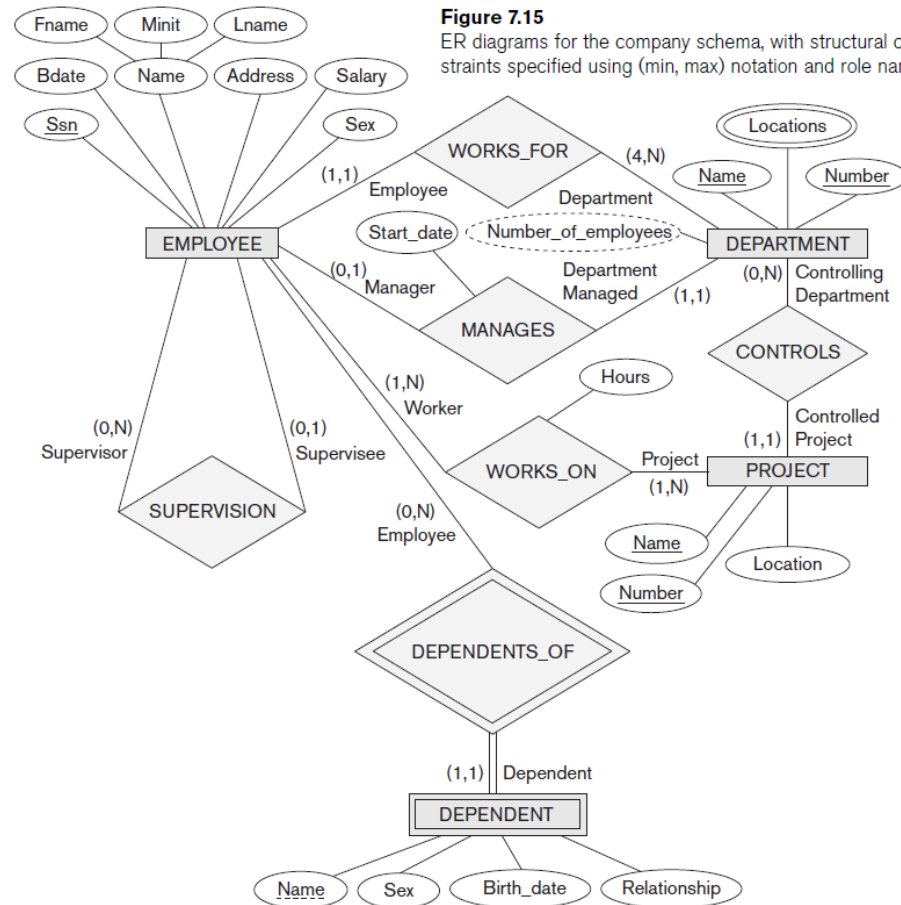
# ER Diagram for Company Schema



**Figure 7.2**

An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter and is summarized in Figure 7.14.

# ER Diagram for Company Schema



## Example of Other Notation:

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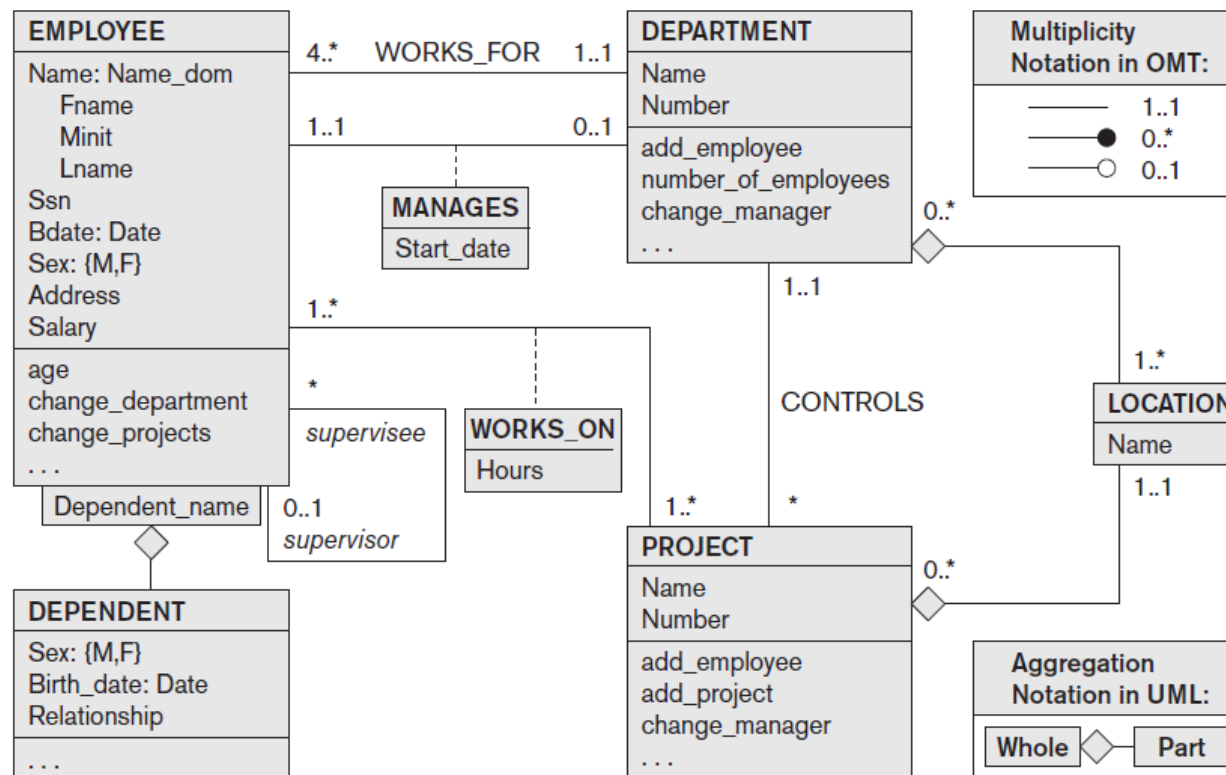
- UML methodology
  - Used extensively in software design
  - Many types of diagrams for various software design purposes
- UML class diagrams
  - Entity in ER corresponds to an object in UML

# UML



**Figure 7.16**

The COMPANY conceptual schema  
in UML class diagram notation.





## Example of Other Notation:

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- Class includes three sections:
  - Top section gives the class name
  - Middle section includes the attributes;
  - Last section includes operations that can be applied to individual objects

## Example of Other Notation:

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- Associations: relationship types
- Relationship instances: links
- Binary association
  - Represented as a line connecting participating classes
  - May optionally have a name
- Link attribute
  - Placed in a box connected to the association's line by a dashed line

## Example of Other Notation:

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- Multiplicities: min..max, asterisk (\*) indicates no maximum limit on participation
- Types of relationships: association and aggregation
- Distinguish between unidirectional and bidirectional associations
- Model weak entities using qualified association

## **3.9 – Relationship Types of Degree Higher than Two**

## Relationship Types of Degree



- Degree of a relationship type
  - Number of participating entity types
- Binary
  - Relationship type of degree two
- Ternary
  - Relationship type of degree three

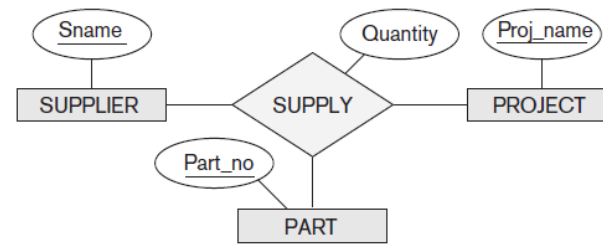
## Choosing between Binary and Ternary (or Higher-

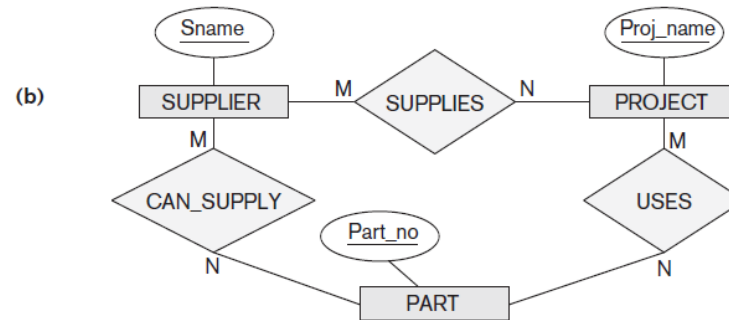
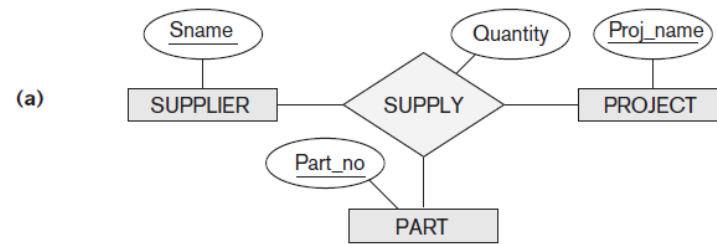
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- Some database design tools permit only binary relationships
  - Ternary relationship must be represented as a weak entity type
  - No partial key and three identifying relationships
- Represent ternary relationship as a regular entity type
  - By introducing an artificial or surrogate key

(a)



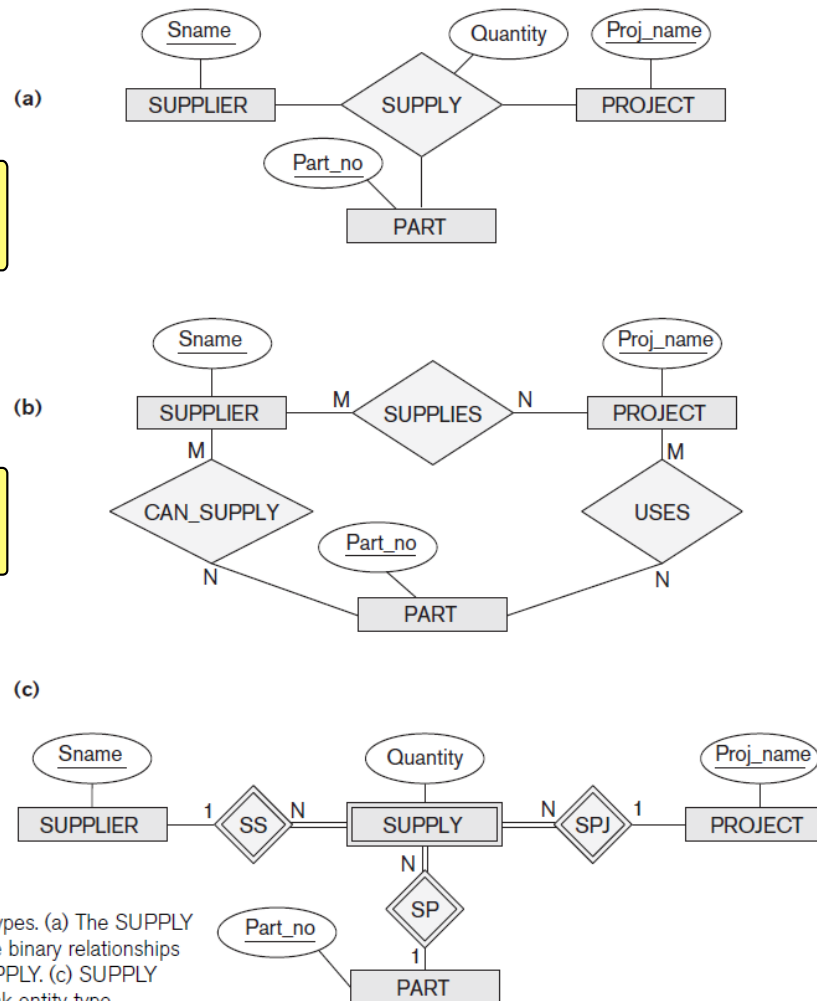




Some toolkits cannot directly represent  $n$ -ary relationships

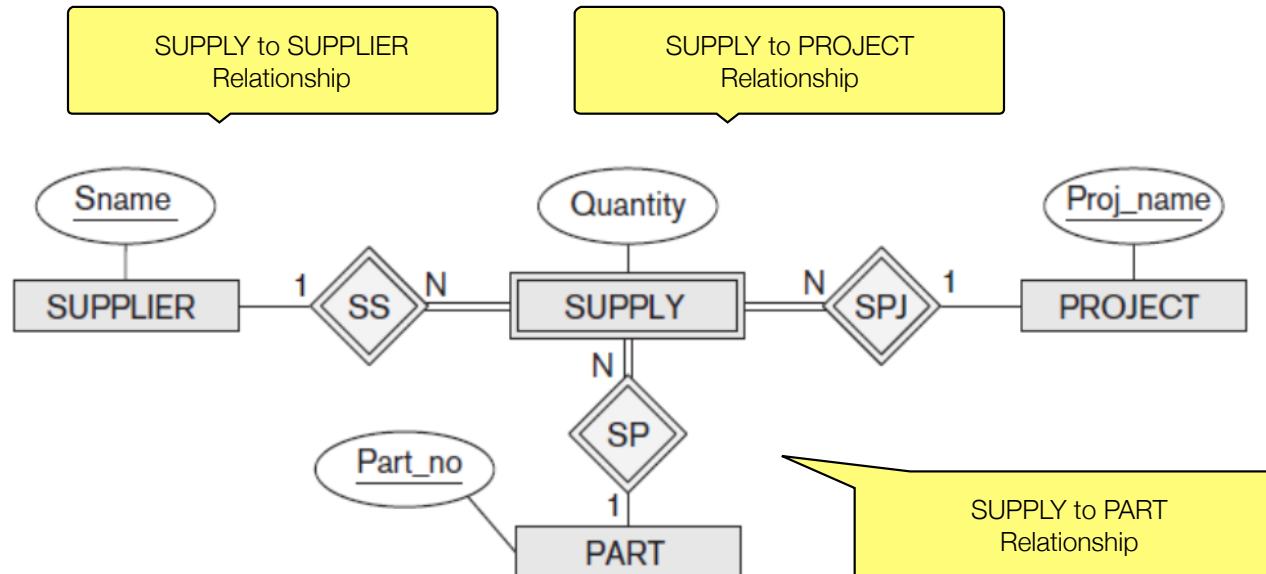
(b) is NOT equivalent to (a)

(c) IS equivalent to (a)

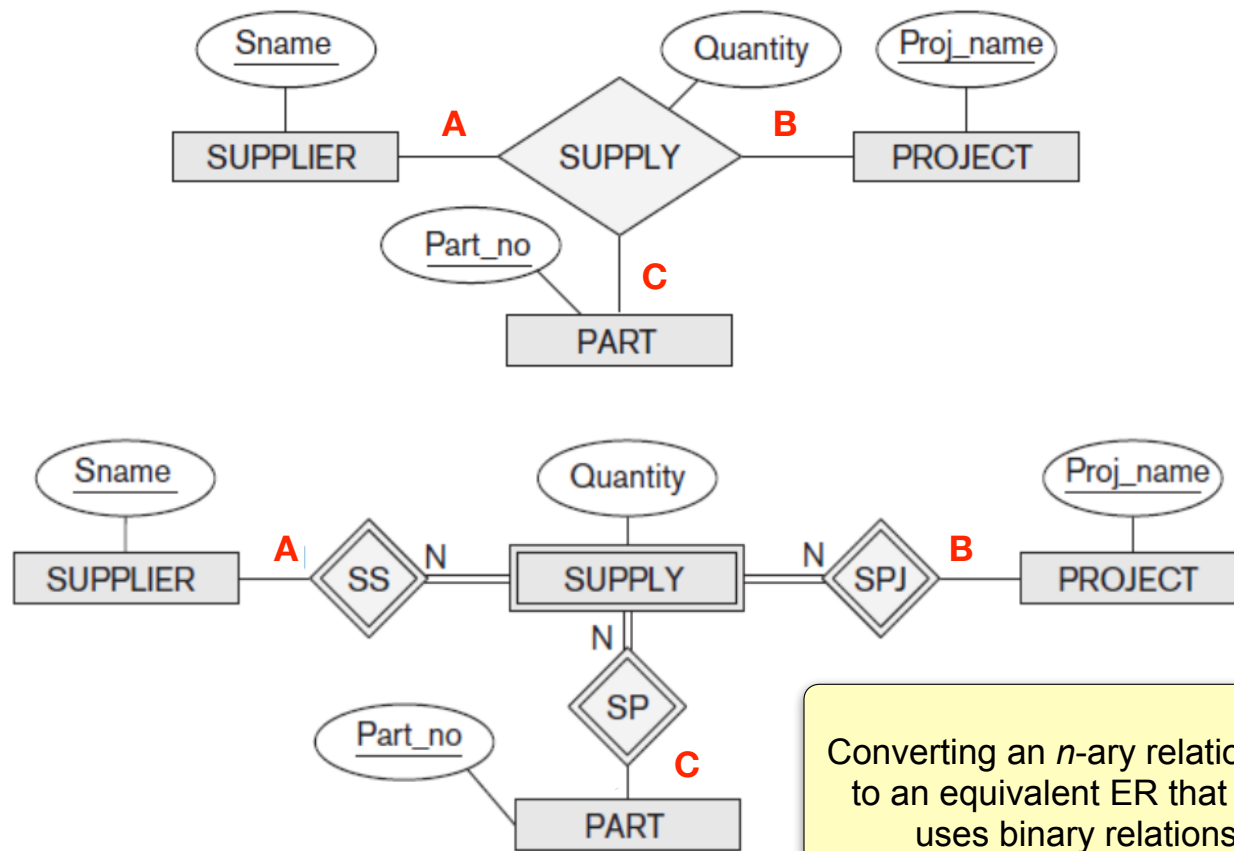


**Figure 7.17**  
Ternary relationship types. (a) The SUPPLY relationship. (b) Three binary relationships not equivalent to SUPPLY. (c) SUPPLY represented as a weak entity type.

## *n*-ary to Multiple Binary

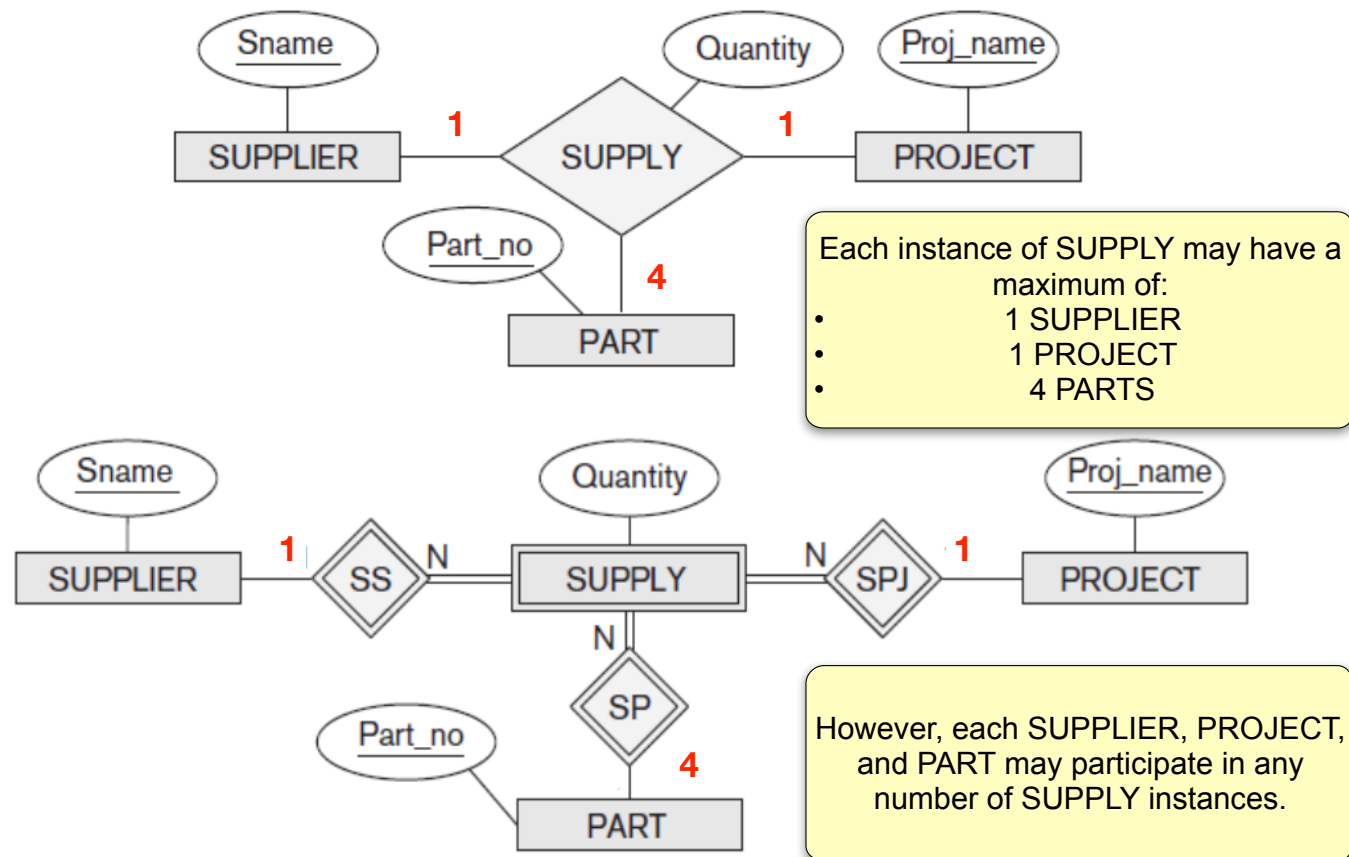


# Cardinality Mapping



Converting an  $n$ -ary relationship to an equivalent ER that only uses binary relations

# Cardinality Mapping



## Constraints on Ternary (or Higher-Degree)

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- Notations for specifying structural constraints on n-ary relationships
  - Should both be used if it is important to fully specify structural constraints