# NL GOV Assurance profile for OAuth 2.0 v1.0



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This document is an adaptation of the <u>International Government Assurance Profile (iGov) for OAuth 2.0 - Draft 03' (hereinafter: the iGov-profile)</u> of the OpenID Foundation. This does not indicate an endorsement by the OpenID Foundation. In as far as the iGov-profile is incorporated in this document, the <u>OpenID Copyright License</u> applies.

# **Abstract**

The OAuth 2.0 protocol framework defines a mechanism to allow a resource owner to delegate access to a protected resource for a client application.

This specification profiles the OAuth 2.0 protocol framework to increase baseline security, provide greater interoperability, and structure deployments in a manner specifically applicable, but not limited to consumer-to-government deployments in the Netherlands.

This is the definitive version of the standard. Edits resulting from consultations have been applied.

Het OBDO heeft op advies van het Forum Standaardisatie deze versie vastgesteld.

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# Dutch government Assurance profile for OAuth 2.0

This profile is based upon the international government assurance profile for OAuth 2.0 (iGov) [GOV.OAuth2] as published by the OpenID Foundation (<a href="https://openid.net/foundation/">https://openid.net/foundation/</a>). It should be considered a fork of this profile as the iGov profile is geared more towards the American situtation and in the Netherlands we have to deal with an European Union context.

We have added the chapter <u>Usecases</u> to illustrate the specific usecase the iGov-NL profile is aimed at. Starting with chapter <u>Introduction</u> we follow the structure of the iGov profile. Where we do not use content from iGov we use <u>strikethrough</u> to indicate it is not part of iGov-NL. Where we have added more specific requirements for the Dutch situation this is indicated with **iGov-NL** tags.

Usecases

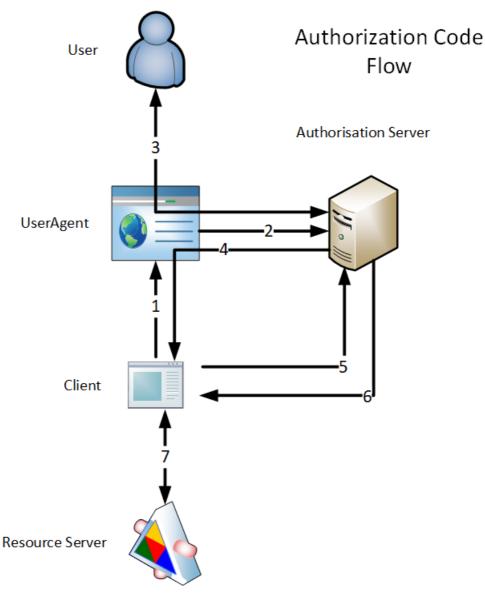


Figure 1 Use case Authorization code flow

# Introduction

In this use case a (public/governmental) service is offered via an API. The service will be consumed by the User using a client, that can be any arbitrary, non-trusted application. For provisioning the service, the service provider requires an identifier of the User. The identifier of the User can be either an arbitrary (self-registered) identifier or a formal identifier (citizen number or other restricted, registered ID). Upon service provisioning, the service uses the identifier of the User for access control within the service.

# Context

# **Resource Server**

The service is provided by a public/governmental organization. Assumed is the Resource Server is known (by the Authorization Server) prior to actual authorization of the User. A Resource Server is assumed to posses a means for identification of the Resource Server and/or encrypted information, optionally by using a PKI certificate. Furthermore, a Resource Server is assumed to be provided over HTTP using TLS, other protocols are out of scope for this profile.

# **Authorization Server**

An Authorization Server is available, operated by either an independent trusted third-party or the service provider itself. Only a single Authorization Server is in use. The Authorization Server is trusted by the Resource Server. The Authorization Server can identify and authorize the User. In case the User has no direct relationship to the Authorization Server, it can forward the User to an IDP trusted by both the Authorization Server as well as the User. Alternatively, the Authorization Server can otherwise identify and authorize the User and is trusted by that User.

#### Client

The User uses a client, which can be any arbitrary application decided upon by the User. Assumed is that the User trusts this client for interaction with the service. The authorization server has at least low trust in the client when the client is either public or semi-confidential. Assumptions is that the Client is aware of the specifications of the API and authorization is required. The Client is either using a user-agent, typically a browser, or the relevant parts are integrated into the Client application.

Note: Web-applications by default use the system-browser on a User's device as user-agent. Typically a native application ("mobile app") either starts a system browser as user-agent or uses anin-app browser. See RFC 8252 for more information on implementation of native applications.

# Flow for authorization

A Client wishes to send a request to an API, on behalf of the User. The API requires to have a trusted identification and *authorization* of the User, before providing the Service. A Client has pre-registered with the Authorization Endpoint and has been assigned a client id.

The normal flow, that is without any error handling, is described below.

## Step 1. Authorization initiation

As the client does not yet have a (valid) access token for this Service, it's first step is to obtain one. Therefor it sends an Authorization Request to the Authorization Server's Authorization Endpoint. It does so by redirecting / initiating the user-agent with the Authorization Request to the Authorization Endpoint. The Authorization request holds further details, as specified in this profile.

# **Step 2. Authorization Request**

The user-agent sends the Authorization request to the Authorization Endpoint. The Authorization Server receives and validates the request.

# Step 3. User Authorization and consent

The Authorization Server identifies the Resource Owner (often, but not necessarily, the User) and obtains authorization and consent from the Resource Owner for using the client to access the Service. The method and means for identification, as well as how to obtain authorization and consent from the Resource Owner for the request, are implementation specific and explicitly left out of scope of this profile. Note that if the User and Resource Owner are one and the same, the Autorization Server will have to authenticate the User in order to reliably identify the User as Resource Owner before obtaining the authorization and consent.

## **Step 4. Authorization Grant**

Note: applicable to the Authorization Code Flow only. The Authorization Server redirects the user-agent back to

the Client, with a Authorization Response. This Authorization Response holds an Authorization Grant and is send to the redirect uri endpoint from the Authorization request.

# Step 5. Access Token Request

Note: applicable to the Authorization Code Flow only. The Client receives the Authorization Response from the user-agent. Using the Authorization Grant from the response, the client sends a Token Request to the Authorization Server's token Endpoint. It does so using the Client authentication as pre-registered. The Authorization Server receives and validates the Token Request.

# Step 6. Access Token Response

The Authorization Server responds to the client with an Access Token Response. This response contains an Access Token, specific to the requested authorization. The client receives and validates the Access Token and can use the Access Token to send requests to the Service API.

# Step 7. Resource interaction

The Client can now send (a) request(s) to the Service, on behalf of its User. It does so by sending requests to the Resource Server, along with the Access Token. The Resource Server uses the Access Token for its access control decision and any customization of the service or data for the User, if applicable. The Resource Server responds based on these decisions to the Client. The Client can inform an interact with the User based on the information received from the Resource Server. The contents and protocol of the Resource Request and Resource Response are out of scope of this profile.

# 1. Introduction

This document profiles the OAuth 2.0 web authorization framework for use in the context of securing web-facing application programming interfaces (APIs), particularly Representational State Transfer (RESTful) APIs. The OAuth 2.0 specifications accommodate a wide range of implementations with varying security and usability considerations, across different types of software clients. The OAuth 2.0 client, protected resource, and authorization server profiles defined in this document serve two purposes:

- 1. Define a mandatory baseline set of security controls suitable for a wide range of government use cases, while maintaining reasonable ease of implementation and functionality
- 2. Identify optional, advanced security controls for sensitive use cases where increased risk justifies more stringent controls.

This OAuth profile is intended to be shared broadly, and has been greatly influenced by the [HEART OAuth2]. Profile][HEART.OAuth2]. derived from the [iGov OAuth2 profile] [GOV.OAuth2].

# 1.1 Requirements Notation and Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [rfc2119].

All uses of [JSON Web Signature (JWS)] [fc7515] and [JSON Web Encryption (JWE)] [fc7516] data structures in this specification utilize the JWS Compact Serialization or the JWE Compact Serialization; the JWS JSON Serialization and the JWE JSON Serialization are not used.

# 1.2 Terminology

This specification uses the terms "Access Token", "Authorization Code", "Authorization Endpoint", "Authorization Grant", "Authorization Server", "Client", "Client Authentication", "Client Identifier", "Client Secret", "Grant Type", "Protected Resource", "Redirection URI", "Refresh Token", "Resource Owner", "Resource Server", "Response Type", and "Token Endpoint" defined by [OAuth 2.0] [rfc6749], the terms "Claim Name", "Claim Value", and "JSON Web Token (JWT)" defined by [JSON Web Token (JWT)] [rfc7519], and the terms defined by [OpenID Connect Core 1.0] [OpenID.Core].

# 1.3 Conformance

This specification defines requirements for the following components:

- OAuth 2.0 clients.
- OAuth 2.0 authorization servers.
- OAuth 2.0 protected resources.

The specification also defines features for interaction between these components:

- Client to authorization server.
- Protected resource to authorization server.

#### iGov-NL

This profile is based upon the international government assurance profile for OAuth 2.0 (iGov) [GOV.OAuth2] as published by the OpenID Foundation (https://openid.net/foundation/). It should be considered a fork of this profile as the iGov profile is geared more towards the American situtation and in the Netherlands we have to deal with an European Union context.

# /iGov-NL

When an iGoviGov-NL-compliant component is interacting with otheriGoviGov-NL-compliant components, in any valid combination, all components *MUST* fully conform to the features and requirements of this specification. All interaction with non-iGoviGov-NL components is outside the scope of this specification.

An iGoviGov-NL-compliant OAuth 2.0 authorization server *MUST* support all features as described in this specification. A general-purpose authorization server *MAY* support additional features for use with noniGoviGov-NL clients and protected resources.

An iGoviGov-NL-compliant OAuth 2.0 client MUST use all functions as described in this specification. A general-purpose client library MAY support additional features for use with non-iGov authorization servers and protected resources.

An iGoviGov-NL-compliant OAuth 2.0 protected resource *MUST* use all functions as described in this specification. A general-purpose protected resource library *MAY* support additional features for use with non-iGoviGov-NL authorization servers and clients.

# 2. Client Profiles

# 2.1 Client Types

The following profile descriptions give patterns of deployment for use in different types of client applications based on the OAuth grant type. Additional grant types, such as assertions, chained tokens, or other mechanisms, are out of scope of this profile and must be covered separately by appropriate profile documents.

# 2.1.1 Full Client with User Delegation

This client type applies to clients that act on behalf of a particular resource owner and require delegation of that user's authority to access the protected resource. Furthermore, these clients are capable of interacting with a separate web browser application to facilitate the resource owner's interaction with the authentication endpoint of the authorization server.

These clients *MUST* use the authorization code flow of OAuth 2 by sending the resource owner to the authorization endpoint to obtain authorization. The user *MUST* authenticate to the authorization endpoint. The user's web browser is then redirected back to a URI hosted by the client service, from which the client can obtain an authorization code passed as a query parameter. The client then presents that authorization code along with its own credentials (private\_key\_jwt) to the authorization server's token endpoint to obtain an access token.

These clients MUST be associated with a unique public key, as described inSection 2.2.

This client type MAY request and be issued a refresh token if the security parameters of the access request allow for it.

# 2.1.2 Native Client with User Delegation

This client type applies to clients that act on behalf of a particular resource owner, such as an app on a mobile platform, and require delegation of that user's authority to access the protected resource. Furthermore, these clients are capable of interacting with a separate web browser application to facilitate the resource owner's interaction with the authentication endpoint of the authorization server. In particular, this client type runs natively on the resource owner's device, often leading to many identical instances of a piece of software operating in different environments and running simultaneously for different end users.

These clients *MUST* use the authorization code flow of OAuth 2 by sending the resource owner to the authorization endpoint to obtain authorization. The user *MUST* authenticate to the authorization endpoint. The user is then redirected back to a URI hosted by the client, from which the client can obtain an authorization code passed as a query parameter. The client then presents that authorization code along to the authorization server's token endpoint to obtain an access token.

Native clients MUST either:

- · use dynamic client registration to obtain a separate client id for each instance, or
- act as a public client by using a common client id and use [PKCE]RFC7636] to protect calls to the token endpoint.

Native applications using dynamic registration *SHOULD* generate a unique public and private key pair on the device and register that public key value with the authorization server. Alternatively, an authorization server *MAY* issue a public and private key pair to the client as part of the registration process. In such cases, the authorization server *MUST* discard its copy of the private key. Client credentials *MUST NOT* be shared among instances of client software.

Dynamically registered native applications MAY use PKCE.

Native applications not registering a separate public key for each instance are considered Public Clients, and *MUST* use [PKCE][[#rfc7636]] with the S256 code challenge mechanism. Public Clients do not authenticate with the Token Endpoint in any other way.

# 2.1.3 Direct Access Client

# iGov-NL

Direct Access Clients are out of scope in this version of iGov-NL.

#### /iGov-NL

This client type MUST NOT request or be issued a refresh token.

This profile applies to clients that connect directly to protected resources and do not act on behalf of a particular resource owner, such as those clients that facilitate bulk transfers.

These clients use the client credentials flow of OAuth 2 by sending a request to the token endpoint with the client's credentials and obtaining an access token in the response. Since this profile does not involve an authenticated user, this flow is appropriate only for trusted applications, such as those that would traditionally use a developer key. For example, a partner system that performs bulk data transfers between two systems would be considered a direct access client.

# 2.2 Client Registration

All clients *MUST* register with the authorization server. For client software that may be installed on multiple client instances, such as native applications or web application software, each client instance *MAY* receive a unique client identifier from the authorization server. Clients that share client identifiers are considered public clients.

Client registration *MAY* be completed by either static configuration (out-of-band, through an administrator, etc...) or dynamically.

# 2.2.1 Redirect URI

Clients using the authorization code grant type *MUST* register their full redirect URIs. The Authorization Server *MUST* validate the redirect URI given by the client at the authorization endpoint using strict string comparison.

A client *MUST* protect the values passed back to its redirect URI by ensuring that the redirect URI is one of the following:

- Hosted on a website with Transport Layer Security (TLS) protection (a Hypertext Transfer Protocol Secure (HTTPS) URI)
- Hosted on a client-specific non-remote-protocol URI scheme (e.g., myapp://)
- Hosted on the local domain of the client (e.g., http://localhost/).

Clients *MUST NOT* allow the redirecting to the local domain.

Clients SHOULD NOT have multiple redirect URIs on different domains.

Clients *MUST NOT* forward values passed back to their redirect URIs to other arbitrary or user-provided URIs (a practice known as an "open redirector").

# 2.3 Connection to the Authorization Server

# 2.3.1 Requests to the Authorization Endpoint

Full clients and browser-embedded clients making a request to the authorization endpoint MUST use an unpredictable value for the state parameter with at least 128 bits of entropy. Clients MUST validate the value of the state parameter upon return to the redirect URI and MUST ensure that the state value is securely tied to the user's current session (e.g., by relating the state value to a session identifier issued by the client software to the browser).

Clients *MUST* include their full redirect URI in the authorization request. To prevent open redirection and other injection attacks, the authorization server *MUST* match the entire redirect URI using a direct string comparison

against registered values and MUST reject requests with an invalid or missing redirect URI.

## iGov-NL

Native clients *MUST* apply PKCE, as per RFC7636. As code\_verifier the S256 method *MUST* be applied. Effectively this means that a Native Client *MUST* include a cryptographic random code\_challenge of at least 128 bits of entropy and the code\_challenge\_method with the value S256.

Request fields:

# client id

Mandatory. MUST have the value as obtained during registration.

#### scope

Optional.

#### response\_type

Mandatory. *MUST* have value code for the Authorization Code Flow.

#### redirect uri

Mandatory. MUST be an absolute HTTPS URL, pre-registered with the Authorization Server.

#### state

Mandatory, see above. Do not use the SessionID secure cookie for this.

## code\_challenge

In case of using a native app as user-agent mandatory. (Eg. an UUID [fc4122])

#### code\_challenge\_method

In case code\_challenge is used with a native app, mandatory. MUST use the value S256.

## /iGov-NL

The following is a sample response from a web-based client to the end user's browser for the purpose of redirecting the end user to the authorization server's authorization endpoint:

```
HTTP/1.2 302 Found
Cache-Control: no-cache
Connection: close
Content-Type: text/plain; charset=UTF-8
Date: Wed, 07 Jan 2015 20:24:15 GMT
Location: https://idp-p.example.com/authorize?client_id=55f9f559-2496-49d4-b6c3-351a58
6b7484&nonce=cd567ed4d958042f721a7cdca557c30d&response_type=code&scope=openid+email&redirect_uhttps%3A%2F%2Fclient.example.org%2Fcb
Status: 302 Found
```

This causes the browser to send the following (non-normative) request to the authorization endpoint (inline wraps for display purposes only):

```
GET /authorize?
    client_id=55f9f559-2496-49d4-b6c3-351a586b7484
    &nonce=cd567ed4d958042f721a7cdca557c30d
    &response_type=code
    &scope=openid+email
    &redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb HTTP/1.1
Host: idp-p.example.com
```

# 2.3.2 Response from the Authorization Endpoint

# iGov-NL

Response parameters

#### code

Mandatory. *MUST* be a cryptographic random value, using an unpredictable value with at least 128 bits of entropy.

#### state

Mandatory. *MUST* be a verbatim copy of the value of the state parameter in the Authorization Request.

/iGov-NL

# 2.3.3 Requests to the Token Endpoint

Full clients, native clients with dynamically registered keys, and direct access clients as defined above MUST authenticate to the authorization server's token endpoint using a JWT assertion as defined by the [JWT Profile for OAuth 2.0 Client Authentication and Authorization Grants][rfc7523] using only the private\_key\_jwt method defined in [OpenID Connect Core] [OpenID.Core] . The assertion MUST use the claims as follows:

#### iss

the client ID of the client creating the token

# sub

the client ID of the client creating the token

#### aud

the URL of the authorization server's token endpoint

#### iat

the time that the token was created by the client

#### exp

the expiration time, after which the token MUST be considered invalid

jti

a unique identifier generated by the client for this authentication. This identifier MUST contain at least 128 bits of entropy and MUST NOT be re-used by any subsequent authentication token.

The following sample claim set illustrates the use of the required claims for a client authentication JWT as defined in this profile; additional claims *MAY* be included in the claim set.

```
{
    "iss": "55f9f559-2496-49d4-b6c3-351a586b7484",
    "sub": "55f9f559-2496-49d4-b6c3-351a586b7484",
    "aud": "https://idp-p.example.com/token",
    "iat": 1418698788,
    "exp": 1418698848,
    "jti": "1418698788/107c4da5194df463e52b56865c5af34e5595"
}
```

The JWT assertion *MUST* be signed by the client using the client's private key. See<u>Section 2.2</u> for mechanisms by which the client can make its public key known to the server. The authorization server *MUST* support the RS256 signature method (the Rivest, Shamir, and Adleman (RSA) signature algorithm with a 256-bit hash) and *MAY* use other asymmetric signature methods listed in the JSON Web Algorithms ( [JWA] [fc7518] ) specification.

## iGov-NL

In addition to above signing methods, the Authorization server SHOULD support PS256 signing algorithm [RFC7518] for the signing of the private\_key\_jwt.

Effectively, the Token Request has the following content:

## grant type

Mandatory. MUST contain the value authorization code

Mandatory. *MUST* be the value obtained from the Authorization Response.

#### scope

Optional. *MUST* be less or same as the requested scope.

#### redirect uri

Mandatory. MUST be an absolute HTTPS URL, pre-registered with the Authorization Server.

#### client id

Mandatory. MUST have the value as obtained during registration.

## client\_assertion\_type

Mandatory. *MUST* have the value urn:ietf:params:oauth:client-assertion-type:jwt-bearer, properly encoded.

# client assertion

Mandatory. *MUST* have the above specified signed JWT as contents.

#### /iGov-NL

The following sample JWT contains the above claims and has been signed using the RS256 JWS algorithm and the client's own private key (with line breaks for display purposes only):

eyJ0eXAi0iJKV1QiLCJhbGci0iJSUzI1NiJ9.ew0KICAgImlzcyI6ICI1NWY5ZjU10S0yNDk2LTQ5Z DQtYjZjMy0zNTFhNTg2Yjc00DQiLA0KICAgInN1YiI6ICI1NWY5ZjU10S0yNDk2LTQ5ZDQtYjZjMy0 zNTFhNTg2Yjc00DQiLA0KICAgImF1ZCI6ICJodHRwczovL2lkcC1wLmV4YW1wbGUuY29tL3Rva2VuI iwNCiAgICJpYXQi0iAxNDE4Njk4Nzg4LA0KICAgImV4cCI6IDE0MTg20Tg4NDgsDQogICAianRpIjo gIjE0MTg20Tg30DgvMTA3YzRkYTUx0TRkZjQ2M2U1MmI1Njg2NWM1YWYzNGU1NTk1Ig0KfQ.t-\_gX8 JQGq3G20Ec2kUCQ8zVj7pqff87Sua5nktLIHj28l5on05VpsL4sRHIGOvrpo7X06jgtPWy3iLXv3-N Lyo1TWHbtErQEGpmf7nKiNxVCXlGYJXSDJB6shP30fvdUc24urPJNUGBEDptIgT7-Lhf6BbwQNlMQu bNe0PRFDqQoLWqe7UxuI06dKX3SEQRMqcxYSIAfP7CQZ4WLuKXb6oEbaqz6gL4l6p83G7wKGDeLET0 THszt-ZjKR38v4F\_MnSrx8e0iIqgZwurW0RtetEWvyn0CJXk-p166T7qZR45xuCxg0otXY603et4n7 7GtgspMg0EKj3b\_WpCiuNEwQ

This is sent in the request to the token endpoint as in the following example:

```
POST /token HTTP/1.1
Content-Type: application/x-www-form-urlencoded
User-Agent: Rack::OAuth2 (1.0.8.7) (2.5.3.2, ruby 2.1.3 (2014-09-19))
Accept: */*
Date: Tue, 16 Dec 2014 02:59:48 GMT
Content-Length: 884
Host: idp-p.example.com
grant type=authorization code
&code=sedaFh
&scope=openid+email
&client id=55f9f559-2496-49d4-b6c3-351a586b7484
&redirect uri=https%3A%2F%2Fclient.example.org%2Fcb
&client assertion type=urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-type%3Ajwt-bearer
&client assertion=eyJ0eXAi0iJKV1QiLCJhbGci0iJSUzI1NiJ9.ew0KICAgImlzcyI6ICI1NWY
5ZjU10S0yNDk2LTQ5ZDQtYjZjMy0zNTFhNTg2Yjc00DQiLA0KICAgInN1YiI6ICI1NWY5ZjU10S0yN
Dk2LTQ5ZDQtYjZjMy0zNTFhNTg2Yjc00DQiLA0KICAgImF1ZCI6ICJodHRwczovL2lkcC1wLmV4YW1
wbGUuY29tL3Rva2VuIiwNCiAgICJpYXQi0iAxNDE4Njk4Nzg4LA0KICAgImV4cCI6IDE0MTg2OTg4N
DqsDQoqICAianRpIjoqIjE0MTq20Tq30DqvMTA3YzRkYTUx0TRkZjQ2M2U1MmI1Njq2NWM1YWYzNGU
1NTk1Ig0KfQ.t-_gX8JQGq3G20Ec2kUCQ8zVj7pqff87Sua5nktLIHj28l5on05VpsL4sRHIGOvrpo
7X06jgtPWy3iLXv3-NLyo1TWHbtErQEGpmf7nKiNxVCXlGYJXSDJB6shP30fvdUc24urPJNUGBEDpt
IgT7-Lhf6BbwQNlMQubNeOPRFDqQoLWqe7UxuI06dKX3SEQRMqcxYSIAfP7CQZ4WLuKXb6oEbaqz6g
L4l6p83G7wKGDeLETOTHszt-ZjKR38v4F MnSrx8e0iIqgZwurW0RtetEWvyn0CJXk-p166T7qZR45
xuCxgOotXY6O3et4n77GtgspMgOEKj3b WpCiuNEwQ
```

Clients using the authorization code grant type or direct access clients using the client credentials grant type *MUST* have a public and private key pair for use in authentication to the token endpoint. These clients *MUST* register their public keys in their client registration metadata by either sending the public key directly in the jwks field or by registering a jwks\_uri that *MUST* be reachable by the authorization server. It is *RECOMMENDED* that clients use a jwks\_uri if possible as this allows for key rotation more easily. This applies to both dynamic and static (out-of-band) client registration.

The jwks field or the content available from the jwks\_uri of a client MUST contain a public key in [JSON Web Key Set (JWK Set)] [rfc7517] format. The authorization server MUST validate the content of the client's registered jwks\_uri document and verify that it contains a JWK Set. The following example is of a 2048-bit RSA key:

#### iGov-NL

In case the Authorization Server, Resource Server and client are not operated under responsibility of the same organisation, each party *MUST* use PKIoverheid certificates with OIN. The PKIoverheid certificate*MUST* be included either as a x5c or as x5u parameter, as per [rfc7517] §4.6 and 4.7. Parties *SHOULD* at least support the inclusion of the certificate as x5c parameter, for maximum interoperability. Parties *MAY* agree to use x5u, for instance for communication within specific environments.

#### /iGov-NL

For reference, the corresponding public/private key pair for this public key is the following (in JWK format):

```
{
    "alg": "RS256",
    "d": "PjIX4i2NsBQu0VIw74ZDjqthYsoFvaoah9GP-cPrai5s5VUIlLoadEAdGbBrss
    _6dR58x_pRlPHWh04vLQsFBuwQNc9SN306TAaai9Jg5TlCi6V0d406lUoTYpMR0cxFIU-xF
MwII--_0ZRgmAxiYiAXQj7TKMKvgSvV07-9-YdhMwHoD-UrJkfnZckMKSs0BoAbjReTski3
IV9f1wVJ53_pmr9NBpiZeHYmmG_1QDSbBuY35Zummut4QShF-fey2gSALdp9h9hRklp1fsT
ZtH2lwpvm0cjwDkSDv-z0-4Pt8Nu0yqNVPFahR0BPlsMVxc_zjPck8ltblalBHPo6AQ",
    "e": "AQAB",
    "n": "kAMYD62n_f2rUcR4awJX4uccDt0zcXRssq_mDch5-ifcShx9aTtTVza23PTn3K
aKrsBXwWcfioXR6zQn5eYdZQVGNBf0R4rxF5i7t3hfb4WkS50EK1gBYk2l09NSrQ-xG9QsU
sAnN6RHksXqsd0qv-nxjLexDfIJlgbcCN9h6TB-C66ZXv7PVhl19gIYVifSU7liHkLe0l0f
w7jUI6rHLHf4d96_neR1HrNIK_xssr99Xpv1EM_ubxpktX0T925-qej9fMEpzzQ5HLmcNt1
H2_VQ_WwlJ0Ln9vRn-H48FDj7TxlIT74XdTZgTv31w_GRPA0fyxEw_ZUmxhz5Z-gTlQ",
    "kty": "RSA",
    "kid": "oauth-client"
}
```

Note that the second example contains both the public and private keys, while the first example contains the public key only.

#### 2.4.1 Requests to the Protected Resource

Clients SHOULD send bearer tokens passed in the Authentication header as defined by  $\underline{\texttt{fc6750}}$ ]. Clients MAY use the form-parameter  $\underline{\texttt{or query-parameter}}$  methods in  $\underline{\texttt{[rfc6750]}}$ . Authorized requests MUST be made over TLS, and clients MUST validate the protected resource server's certificate.

An example of an OAuth-protected call to the OpenID Connect UserInfo endpoint, sending the token in the Authorization header, follows:

GET /userinfo HTTP/1.1

Authorization: Bearer eyJhbGci0iJSUzI1NiJ9.eyJleHAi0jE0MTg3MDI0MTIsImF1ZCI6WyJjMWJj0D RlNC00N2VlLTRiNjQtYmI1Mi01Y2RhNmM4MWY30DgiXSwiaXNzIjoiaHR0cHM6XC9cL2lkcC1wLmV4YW1wbGU uY29tXC8iLCJqdGki0iJkM2Y3YjQ4Zi1iYzgxLTQwZWMtYTE0MC05NzRhZjc0YzRkZTMiLCJpYXQi0jE0MTg2 OTg4MTJ9.iHMz\_tzZ90\_b0QZS-AXtQtvclZ7M4uDAs1WxCFxpgBfBanolW37X8h1ECrUJexbXMD6rrj\_uuWEq PD738oWRo0r0noKJAgbF1GhXPAYnN5pZRygWSD1a6RcmN85SxUig0H0e7drmdmRkPQgbl2wMhu-6h20qw-ize 4dKmykN9UX\_2drXrooSxpRZqFVYX8PkCvCCBuFy20-HPRov\_SwtJMk5qjUWMyn2I4Nu2s-R20aCA-7T5dunr0 iWCkLQnVnaXMfA22RlRiU87nl21zappYb1\_EHF9ePyq3Q353cDUY7vje8m2kKXYTgc\_bUAYuW-W3SMSw5UlKa HtSZ6PQICOA

Accept: text/plain, application/json, application/\*+json, \*/\*

Host: idp-p.example.com
Connection: Keep-Alive

User-Agent: Apache-HttpClient/4.2.3 (java 1.5)

# 3. Authorization Server Profile

All servers *MUST* conform to applicable recommendations found in the Security Considerations sections of [rfc6749] and those found in the "OAuth Threat Model Document" [fc6819] .

The authorization server MUST protect all communications to and from its OAuth endpoints using TLS.

# 3.1 Connections with clients

## 3.1.1 Grant types

The authorization server *MUST* support the authorization\_code , and *MAY* support the client\_credentials grant types as described in <u>Section 2</u>. The authorization server *MUST* limit each registered client (identified by a client ID) to a single grant type only, since a single piece of software will be functioning at runtime in only one of the modes described in <u>Section 2</u>. Clients that have multiple modes of operation *MUST* have a separate client ID for each mode.

#### 3.1.2 Client authentication

The authorization server *MUST* enforce client authentication as described above for the authorization code<del>and client credentials grant types</del>. Public client cannot authenticate to the authorization server.

The authorization server MUST validate all redirect URIs for authorization code and implicit grant types.

## 3.1.3 Dynamic Registration

Dynamic Registration allows for authorized Clients to on-board programatically without administrative

intervention. This is particularly important in ecosystems with many potential Clients, including Mobile Apps acting as independent Clients. Authorization servers *MUST* support dynamic client registration, and clients *MAY* register using the [Dynamic Client Registration Protocol] [rfc7591] for authorization code grant types. Clients *MUST NOT* dynamically register for the client credentials grant type Authorization servers *MAY* limit the scopes available to dynamically registered clients.

Authorization servers *MAY* protect their Dynamic Registration endpoints by requiring clients to present credentials that the authorization server would recognize as authorized participants. Authorization servers *MAY* accept signed software statements as described in [RFC7591] [rfc7591] issued to client software developers from a trusted registration entity. The software statement can be used to tie together many instances of the same client software that will be run, dynamically registered, and authorized separately at runtime. The software statement *MUST* include the following client metadata parameters:

#### redirect uris

array of redirect URIs used by the client; subject to the requirements listed in [Section 2.2.1](#redirect-uri)

#### grant\_types

grant type used by the client; must be "authorization\_code" or "client\_credentials"

#### jwks uri or jwks

client's public key in JWK Set format; if jwks\_uri is used it*MUST* be reachable by the Authorization Server and point to the client's public key set

#### client name

human-readable name of the client

#### client uri

URL of a web page containing further information about the client

#### iGov-NL

In this version of iGov-NL we follow iGov for the requirement that the Authorization serversMUST support dynamic client registration. However depending on how the future authentication architecture of the Dutch government develops in regards to OAuth we may revisit this in a future revision. The current requirement fits an architecture where there is a limited number of widely used authorization servers. However if in practice we start seeing a very large number of authorization servers with limited use this requirement can become a reccomendation in a future version of this profile. For these authorization servers with limited use we consider mandatory support for dynamic client registration a large burden.

# /iGov-NL

# 3.1.4 Client Approval

When prompting the end user with an interactive approval page, the authorization server MUST indicate to the user:

- Whether the client was dynamically registered, or else statically registered by a trusted administrator, or a public client.
- Whether the client is associated with a software statement, and in which case provide information about the trusted issuer of the software statement.
- What kind of access the client is requesting, including scope, protected resources (if applicable beyond scopes), and access duration.

For example, for native clients a message indicating a new App installation has been registered as a client can help users determin if this is the expected behaviour. This signal helps users protect themselves fro potentially rogue clients.

The authorization server *MUST* provide an [OpenID Connect service discovery] [OpenID.Discovery] endpoint listing the components relevant to the OAuth protocol:

## issuer

REQUIRED. The fully qualified issuer URL of the server

# authorization\_endpoint

REQUIRED. The fully qualified URL of the server's authorization endpoint defined by [OAuth 2.0] [c6749]

# token\_endpoint

REQUIRED. The fully qualified URL of the server's token endpoint defined by [OAuth 2.0] [FC6749]

# introspection\_endpoint

*OPTIONAL*. The fully qualified URL of the server's introspection endpoint defined by [OAuth Token Introspection] [rfc7662]

# revocation\_endpoint

*OPTIONAL*. The fully qualified URL of the server's revocation endpoint defined by [OAuth 2.0 Token Revocation] [rfc7009]

## jwks\_uri

REQUIRED. The fully qualified URI of the server's public key in [JWK Set] [c7517] format

If the authorization server is also an OpenID Connect Provider, it *MUST* provide a discovery endpoint meeting the requirements listed in Section 3.6 of the iGov OpenID Connect profile.

The following example shows the JSON document found at a discovery endpoint for an authorization server:

```
"request parameter supported": true,
  "registration endpoint": "https://idp-p.example.com/register",
  "userinfo signing alg values supported": [
   "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
 ],
  "token_endpoint": "https://idp-p.example.com/token",
  "request_uri_parameter_supported": false,
  "request_object_encryption_enc_values_supported": [
    "A192CBC-HS384", "A192GCM", "A256CBC+HS512",
    "A128CBC+HS256", "A256CBC-HS512",
    "A128CBC-HS256", "A128GCM", "A256GCM"
  ],
  "token endpoint auth methods supported": [
   "private key jwt",
 ],
  "jwks_uri": "https://idp-p.example.com/jwk",
  "authorization endpoint": "https://idp-p.example.com/authorize",
  "require_request_uri_registration": false,
  "introspection endpoint": "https://idp-p.example.com/introspect",
  "request object encryption alg values supported": [
    "RSA-0AEP", ?RSA1 5", "RSA-0AEP-256"
 ],
  "service documentation": "https://idp-p.example.com/about",
  "response_types_supported": [
    "code", "token"
 ],
  "token endpoint auth signing alg values supported": [
    "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
  ],
  "revocation endpoint": "https://idp-p.example.com/revoke",
  "request object signing alg values supported": [
    "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
 ],
  "grant_types_supported": [
   "authorization code",
    "implicit",
    "urn:ietf:params:oauth:grant-type:jwt-bearer",
   "client credentials",
   "urn:ietf:params:oauth:grant type:redelegate"
 ],
  "scopes supported": [
    "profile", "openid", "email", "address", "phone", "offline_access"
 ],
  "op tos uri": "https://idp-p.example.com/about",
  "issuer": "https://idp-p.example.com/",
  "op policy uri": "https://idp-p.example.com/about"
}
```

Clients and protected resources *SHOULD* cache this discovery information. It is *RECOMMENDED* that servers provide cache information through HTTP headers and make the cache valid for at least one week.

The server MUST provide its public key in JWK Set format. The keyMUST contain the following fields:

## kid

The key ID of the key pair used to sign this token

# kty

The key type

# alg

The default algorithm used for this key

The following is an example of a 2048-bit RSA public key:

Clients and protected resources *SHOULD* cache this key. It is *RECOMMENDED* that servers provide cache information through HTTP headers and make the cache valid for at least one week.

#### iGov-NL

iGov requires that the authorization server provides an OpenIDConnect service discovery endpoint. Recently OAuth 2.0 Authorization Server Metadata [rfc8414] has been finalized, this provide the same functionality in a more generic way and could replace this requirement in a future version of the iGov-NL profile.

/iGov-NL

# 3.1.6 Revocation

Token revocation allows a client to signal to an authorization server that a given token will no longer be used.

An authorization server *MUST* revoke the token if the client requesting the revocation is the client to which the token was issued, the client has permission to revoke tokens, and the token is revocable.

A client MUST immediately discard the token and not use it again after revoking it.

#### 3.1.7 PKCE

An authorization server *MUST* support the Proof Key for Code Exchange ([PKCE] <u>ffc7636</u>] ) extension to the authorization code flow, including support for the S256 code challenge method. The authorization server *MUST NOT* allow an <del>iGov</del>iGov-NL client to use the plain code challenge method.

# 3.1.8 Redirect URIs

The authorization server *MUST* compare a client's registered redirect URIs with the redirect URI presented during an authorization request using an exact string match.

# 3.1.9 RefreshTokens

Authorization Servers MAY issue refresh tokens to clients under the following context:

Clients *MUST* be registered with the Authorization Server.

Clients *MUST* present a valid client\_id. Confidential clients *MUST* present a signed client\_assertion with their associated keypair.

Clients using the Direct Credentials method *MUST NOT* be issued refresh\_tokens. These clients *MUST* present their client credentials with a new access\_token request and the desired scope.

# 3.1.10 Token Response

#### iGov-NL

The Token Response has the following contents:

#### access token

Mandatory. Structured access token a.k.a. a JWT Bearer token. The JWT*MUST* be signed.

## token type

Mandatory. The type for a JWT Bearer token is Bearer, as per [rfc6750]

#### refresh token

Under this profile, refresh tokens are supported.

#### expires\_in

Optional. Lifetime of the access token, in seconds.

#### scope

Optional. Scope(s) of the access (token) granted, multiple scopes are separated by whitespace. The scope *MAY* be omitted if it is identical to the scope requested.

For best practices on token lifetime see section Token Lifetimes. /iGov-NL

# 3.2 Connections with protected resources

Unlike the core OAuth protocol, the iGoviGov-NL profile intends to allow compliant protected resources to connect to compliant authorization servers.

## 3.2.1 JWT Bearer Tokens

In order to facilitate interoperability with multiple protected resources, alliGoviGov-NL-compliant authorization servers issue cryptographically signed tokens in the JSON Web Token (JWT) format. The information carried in the JWT is intended to allow a protected resource to quickly test the integrity of the token without additional network calls, and to allow the protected resource to determine which authorization server issued the token. When combined with discovery, this information is sufficient to programmatically locate the token introspection service, which is in turn used for conveying additional security information about the token.

The server *MUST* issue tokens as JWTs with, at minimum, the following claims:

## iss

The issuer URL of the server that issued the token

#### azp

The client id of the client to whom this token was issued

# exp

The expiration time (integer number of seconds since from 1970-01-01T00:00:00Z UTC), after which the token *MUST* be considered invalid

įti

A unique JWT Token ID value with at least 128 bits of entropy. This value MUST NOT be re-used in another token. Clients MUST check for reuse of jti values and reject all tokens issued with duplicate jti values.

The server MAY issue tokens with additional fields, including the following as defined here:

#### sub

The identifier of the end-user that authorized this client, or the client id of a client acting on its own behalf (such as a bulk transfer). Since this information could potentially leak private user information, it should be used only when needed. End-user identifiers *SHOULD* be pairwise anonymous identifiers unless the audiance requires otherwise.

#### iGov-NL

In iGov-NL the sub claim MUST be present.

#### /iGov-NL

#### aud

The audience of the token, an array containing the identifier(s) of protected resource(s) for which the token is valid, if this information is known. The aud claim may contain multiple values if the token is valid for multiple protected resources. Note that at runtime, the authorization server may not know the identifiers of all possible protected resources at which a token may be used.

The following sample claim set illustrates the use of the required claims for an access token as defined in this profile; additional claims *MAY* be included in the claim set:

```
{
   "exp": 1418702388,
   "azp": "55f9f559-2496-49d4-b6c3-351a586b7484",
   "iss": "https://idp-p.example.com/",
   "jti": "2402f87c-b6ce-45c4-95b0-7a3f2904997f",
   "iat": 1418698788
}
```

The access tokens *MUST* be signed with [JWS] [fc7515]. The authorization server *MUST* support the RS256 signature method for tokens and *MAY* use other asymmetric signing methods as defined in the [IANA JSON Web Signatures and Encryption Algorithms registry] [JWS.JWE.Algs]. The JWS header *MUST* contain the following fields:

# iGov-NL

In addition to above signing methods, the Authorization server SHOULD support PS256 signing algorithm [RFC7518] for the signing of the JWT Bearer Tokens.

# /iGov-NL

# kid

The key ID of the key pair used to sign this token

This example access token has been signed with the server's private key using RS256:

```
eyJhbGci0iJSUzI1NiJ9.ew0KICAgImV4cCI6IDE0MTg3MDIz0DgsDQogICAiYXpwIjo
gIjU1ZjlmNTU5LTI00TYtNDlkNC1iNmMzLTM1MWE10DZiNzQ4NCIsDQogICAiaXNzIjo
gImh0dHBz0i8vaWRwLXAuZXhhbXBsZS5jb20vIiwNCiAgICJqdGki0iAiMjQwMmY4N2M
tYjZjZS00NWM0LTk1YjAtN2EzZjI5MDQ50TdmIiwNCiAgICJpYXQi0iAxNDE4Njk4Nzg
4LA0KICAgImtpZCI6ICJyc2ExIg0KfQ.iB6Ix8Xeg-L-nMStgE1X75w7zgXabzw7znWU
EC0sXpHfnYYqb-CET9Ah5IQyXIDZ20qEyN98UydgsTpi01YJDDcZV4f4DgY0ZdG3yBW3
XqwUQwbgF7Gwza9Z4AdhjHjzQx-lChXAyfL1xz0SBDkVbJdDjtXbvaSIyfF7ueWF3M1C
M70-GXuRY4iucKbuytz9e7eW4Egkk4Aagl3iTk9-l5V-tvL6dYu8IlR93GKsaKE8bng0
EZ04xcnq8s4V5Yykuc_NARBJENiKTJM8w3wh7xWP2gvMp39Y0XnuCOLyIx-J1ttX83xm
pXDaLyyY-4HT9XHT9V73fKF8rLWJu9grrA
```

Refresh tokens *SHOULD* be signed with [JWS] [rfc7515] using the same private key and contain the same set of claims as the access tokens.

The authorization server MAY encrypt access tokens and refresh tokens using [JWE] [fc7516] . Encrypted

access tokens *MUST* be encrypted using the public key of the protected resource. Encrypted refresh tokens *MUST* be encrypted using the authorization server's public key.

## iGov-NL

How to select or obtain the key to be used for encryption of an access token is out of scope of this profile. A early draft of "Resource Indicators for OAuth 2.0" exist and could be used. This draft describes usage of the resource parameter to indicate the applicable resource server.

In case the Authorization Server, Resource Server and client are not operated under responsibility of the same organisation, each party *MUST* use PKIoverheid certificates with OIN for encryption.

/iGov-NL

# 3.2.2 Introspection

Token introspection allows a protected resource to query the authorization server for metadata about a token. The protected resource makes a request like the following to the token introspection endpoint:

```
POST /introspect HTTP/1.1
User-Agent: Faraday v0.9.0
Content-Type: application/x-www-form-urlencoded
Accept-Encoding: gzip;q=1.0,deflate;q=0.6,identity;q=0.3
Accept: */*
Connection: close
Host: as-va.example.com
Content-Length: 1412
client assertion=eyJ0eXAi0iJKV1QiLCJhbGci0iJSUzI1NiJ9.eyJpc3M
iOiJhMmMzNjkxOSOwMWZmLTQ4MTAtYTqyOSOOMDBmYWQzNTczNTEiLCJzdWIi
OiJhMmMzNjkxOSOwMWZmLTQ4MTAtYTgyOSOOMDBmYWQzNTczNTEiLCJhdWQiO
iJodHRwczovL2FzLXZhLmV4YW1wbGUuY29tL3Rva2VuIiwiaWF0IjoxNDE4Nj
k40DE0LCJleHAi0jE0MTg20Tg4NzQsImp0aSI6IjE0MTg20Tg4MTQvZmNmNDQ
20GY2MDVjNjE1NjliOWYyNGY50DJlMTZhZWY20TU4In0.md7mFdNBaGhiJfE
pFkAAWA5S-JBvDw9Dk7p00JEWcL08JGgDFoi9UDbg3sHeA5DrrCYGC zw7fCG
c9ovpfMB7W6YN53hGU19LtzzFN3tv9FNRq4KIzhK15pns9jckKtui3HZ25L B
-BnxHe7xNo3kA1M-p51uYYIM0hw1SRi2pfwBKG508WntybLjuJ0R3X97zvqHn
2Q7xdVyKlInyNPA8gIZK0HVssXxH0I6yRrAqvdMn sneDTWPrqVpaR c7rt8D
dd7drf\_nTD1QxESVhYqKTax5Qfd-aq8gZz8gJCzS0yyfQh6DmdhmwgrSCCRC6
BUQkeFNvjMVEYHQ9fr0NA
&client assertion type=urn%3Aietf%3Aparams%3Aoauth%3Aclient-assertion-type%3Ajwt-bearer
&client_id=a2c36919-01ff-4810-a829-400fad357351
&token=eyJhbGci0iJSUzI1NiJ9.eyJleHAi0jE0MTq3MDI0MTQsImF1ZCI6W
yJlNzFmYjcyYS05NzRmLTQwMDEtYmNiNy1lNjdjMmJjMDAzN2YiXSwiaXNzIj
oiaHROcHM6XC9cL2FzLXZhLmV4YW1wbGUuY29tXC8iLCJqdGkiOiIyMWIxNTk
2ZC04NWQzLTQzN2MtYWQ4My1iM2YyY2UyNDcyNDQiLCJpYXQi0jE0MTg20Tg4
MTR9.FXDtEzDLbTHzFNroW7w27RLk5m0wprFfFH7h4bdFw5fR3pwiqejKmdfA
bJvN3_yfAokBv06we5RARJUbdjmFFfRRW23cMbpGQCIk7Nq4L012X_1J4Iew0
QXXMLTyWQQ BcBMjcW3MtPrY1AoOcfB0JPx1k2jwRkYtyVTLWlff6S5gK-ciY
f3b0bAdjoQEHd IvssIPH3xuBJkmtkrTlfWR0Q0pdpeyVePkMSI28XZvDaGnx
A4j7QI5loZYeyzGR9h70xQLVzqwwl1P0-F 0JaDFMJF01yl4IexfpoZZsB3Hh
F2vFdL6D_lLeHRy-H2g20zF59eMIsM_Ccs4G47862w
```

The client assertion parameter is structured as described in Section 2.3.3.

The server responds to an introspection request with a JSON object representing the token containing the following fields as defined in the token introspection specification:

# active

Boolean value indicating whether or not this token is currently active at this authorization server. Tokens that have been revoked, have expired, or were not issued by this authorization server are considered non-active.

#### scope

Space-separated list of OAuth 2.0 scope values represented as a single string.

#### exp

Timestamp of when this token expires (integer number of seconds since from 1970-01-01T00:00:00Z UTC)

#### sub

An opaque string that uniquely identifies the user who authorized this token at this authorization server (if applicable). This string *MAY* be diversified per client.

#### client id

An opaque string that uniquely identifies the OAuth 2.0 client that requested this token

The following example is a response from the introspection endpoint:

```
HTTP/1.1 200 OK
Date: Tue, 16 Dec 2014 03:00:14 GMT
Access-Control-Allow-Origin: *
Content-Type: application/json; charset=ISO-8859-1
Content-Language: en-US
Content-Length: 266
Connection: close
{
    "active": true,
    "scope": "file search visa",
    "exp": 1418702414,
    "sub": "{sub\u003d6WZQPpnQxV, iss\u003dhttps://idp-p.example.com/}",
    "client_id": "e71fb72a-974f-4001-bcb7-e67c2bc0037f",
    "token_type": "Bearer"
}
```

The authorization server MUST require authentication for both the revocation and introspection endpoints as described in <u>Section 2.3.2</u>. Protected resources calling the introspection endpoint MUST use credentials distinct from any other OAuth client registered at the server.

A protected resource *MAY* cache the response from the introspection endpoint for a period of time no greater than half the lifetime of the token. A protected resource *MUST NOT* accept a token that is not active according to the response from the introspection endpoint.

# 3.3 Response to Authorization Requests

The following data will be sent as an Authorization Response to the Authorization Code Flow as desribed above. The authentication response is sent via HTTP redirect to the redirect URI specified in the request.

The following fields *MUST* be included in the response:

#### state

*REQUIRED*. The value of the state parameter passed in in the authentication request. This value *MUST* match exactly.

#### code

*REQUIRED*. The authorization code, a random string issued by the IdP to be used in the request to the token endpoint.

PKCE parameters *MUST* be associated with the "code" as per Section 4.4 of [Proof Key for Code Exchange by OAuth Public Clients (PKCE)] [rfc7636]

The following is an example response:

https://client.example.org/cb? state=2ca3359dfbfd0 &code=g0IFJ1hV6Rb1sxUdFhZGACWwR1sMhYbJJcQbVJN0wHA

# 3.4 Token Lifetimes

This profile provides *RECOMMENDED* lifetimes for different types of tokens issued to different types of clients. Specific applications *MAY* issue tokens with different lifetimes. Any active token*MAY* be revoked at any time.

For clients using the authorization code grant type, access tokens SHOULD have a valid lifetime no greater than one hour, and refresh tokens (if issued) SHOULD have a valid lifetime no greater than twenty-four hours.

For public clients access tokens SHOULD have a valid lifetime no greater than fifteen minutes.

For clients using the client credentials grant type, access tokensSHOULD have a valid lifetime no greater than six hours.

# 3.5 Scopes

Scopes define individual pieces of authority that can be requested by clients, granted by resource owners, and enforced by protected resources. Specific scope values will be highly dependent on the specific types of resources being protected in a given interface. OpenID Connect, for example, defines scope values to enable access to different attributes of user profiles.

Authorization servers *SHOULD* define and document default scope values that will be used if an authorization request does not specify a requested set of scopes.

To facilitate general use across a wide variety of protected resources, authorization servers SHOULD allow for the use of arbitrary scope values at runtime, such as allowing clients or protected resources to use arbitrary scope strings upon registration. Authorization servers MAY restrict certain scopes from use by dynamically registered systems or public clients.

# 4. Protected Resource Profile

# 4.1 Protecting Resources

Protected Resources grant access to clients if they present a validaccess\_token with the appropriate scope. Resource servers trust the authorization server to authenticate the end user and client appropriately for the importance, risk, and value level of the protected resource scope.

Protected resources that require a higher end-user authentication trust level to access certain resources *MUST* associate those resources with a unique scope.

Clients wishing access to these higher level resources *MUST* include the higher level scope in their authorization request to the authorization server.

Authorization servers *MUST* authenticate the end-user with the appropriate trust level before providing an authorization\_code or associated access\_token to the client.

Authorization servers *MUST* only grant access to higher level scope resources to clients that have permission to request these scope levels. Client authorization requests containing scopes that are outside their permisson *MUST* be rejected.

Authorization servers *MAY* set the expiry time (exp) of access\_tokens associated with higher level resources to be shorter than access tokens for less sensitive resources.

Authorization servers MAY allow a refresh\_token issued at a higher level to be used to obtain an access\_token for a lower level resource scope with an extended expiry time. The client MUST request both the higher level scope and lower level scope in the original authorization request. This allows clients to continue accessing lower level resources after the higher level resource access has expired -- without requiring an additional user authentication/authorization.

For example: a resource server has resources classified as "public" and "sensitive". "Sensitive" resources require the user to perform a two-factor authentication, and those access grants are short-lived: 15 minutes. For a client to obtain access to both "public" and "sensitive" resources, it makes an authorization request to the authorization server with scope=public+sensitive. The authorization server authenticates the end-user as required to meet the required trust level (two-factor authentication or some approved equivalent) and issues an access\_token for the "public" and "sensitive" scopes with an expiry time of 15mins, and a refresh\_token for the "public" scope with an expiry time of 24 hrs. The client can access both "public" and "sensitive" resources for 15mins with the access\_token. When the access\_token expires, the client will NOT be able to access "public" or "sensitive" resources any longer as the access\_token has expired, and must obtain a new access\_token. The client makes a access grant request (as described in [OAuth 2.0] [rfc6749] section 6) with the refresh\_token, and the reduced scope of just "public". The token endpoint validates the refresh\_token, and issues a new access\_token with the "sensitive" scope would be rejected, and require the client to get the end-user to re-authenticate/authorize the "sensitive" scope request.

In this manner, protected resources and authorization servers work together to meet risk tolerance levels for sensitive resources and end-user authentication.

# iGov-NL

# 4.1.1 Example

## 4.1.1.1 Request

```
GET /resource HTTP/1.1
Authorization: Bearer 4f626847-91b1-3417-a91e-c5627f377ae1
Accept: text/plain, application/json, application/*+json, */*
Host: resource.com
Connection: Keep-Alive
User-Agent: Apache-HttpClient/4.2.3 (java 1.5)
```

# 4.1.1.2 Response:

```
HTTP/1.1 200 OK
Content-Type: application/json
{
    "sub": "248289761001",
    "name": "Jane Doe",
    "given_name": "Jane",
    "family_name": "Doe",
    "preferred_username": "j.doe",
    "email": "janedoe@example.com",
    "picture": "http://example.com/janedoe/me.jpg"
}
```

# 4.2 Connections with Clients

A protected resource MUST accept bearer tokens passed in the authorization header as described in [fc6750]. A protected resource MAY also accept bearer tokens passed in the form parameter requery parameter methods.

### iGov-NL

A Protected Resource under this profile *MUST NOT* accept access tokens passed using the query parameter method.

A Protected Resource under this profile *SHOULD* verify if the client is the Authorized party (azp) when client authentication is used. See section Advanced OAuth Security Options as well.

#### /iGov-NL

Protected resources *MUST* define and document which scopes are required for access to the resource.

# 4.3 Connections with Authorization Servers

Protected resources *MUST* interpret access tokens using either JWT, token introspection, or a combination of the two.

The protected resource *MUST* check the aud (audience) claim, if it exists in the token, to ensure that it includes the protected resource's identifier. The protected resource *MUST* ensure that the rights associated with the token are sufficient to grant access to the resource. For example, this can be accomplished by querying the scopes and acr associated with the token from the authorization server's token introspection endpoint.

A protected resource *MUST* limit which authorization servers it will accept valid tokens from. A resource server *MAY* accomplish this using a whitelist of trusted servers, a dynamic policy engine, or other means.

# 5. Advanced OAuth Security Options

The preceding portions of this OAuth profile provide a level of security adequate for a wide range of use cases, while still maintaining relative ease of implementation and usability for developers, system administrators, and end users. The following are some additional security measures that can be employed for use cases where elevated risks justify the use of additional controls at the expense of implementation effort and usability. This section also addresses future security capabilities, currently in the early draft stages, being added to the OAuth standard suite.

# 5.1 Proof of Possession Tokens

OAuth proof of possession tokens are currently defined in a set of drafts under active development in the Internet Engineering Task Force (IETF) OAuth Working Group. While a bearer token can be used by anyone in possession of the token, a proof of possession token is bound to a particular symmetric or asymmetric key issued to, or already possessed by, the client. The association of the key to the token is also communicated to the protected resource; a variety of mechanisms for doing this are outlined in the draft [OAuth 2.0 Proof-of-Possession (PoP) Security Architecture] [I-D.ietf-oauth-pop-architecture] . When the client presents the token to the protected resource, it is also required to demonstrate possession of the corresponding key (e.g., by creating a cryptographic hash or signature of the request).

Proof of Possession tokens are somewhat analogous to the Security Assertion Markup Language's (SAML's) Holder-of-Key mechanism for binding assertions to user identities. Proof of possession could prevent a number

of attacks on OAuth that entail the interception of access tokens by unauthorized parties. The attacker would need to obtain the legitimate client's cryptographic key along with the access token to gain access to protected resources. Additionally, portions of the HTTP request could be protected by the same signature used in presentation of the token. Proof of possession tokens may not provide all of the same protections as PKI authentication, but they are far less challenging to implement on a distributed scale.

#### iGov-NL

Proof of possession can be implemented using various methods. An example of such an implementation is using TLS with mutual authentication, where the client is using a PKloverheid certificate. The authorized party (azp) can then be verified with the client certificate to match the authorized party. As an alternative, the authorization server can include a cnf parameter in the JWT by the authorization server, see [fc7800]. The key referenced in cnf can be validated using a form of client authentication, e.g. using an private\_key\_jwt.

#### /iGov-NL

# 6. Security Considerations

All transactions MUST be protected in transit by TLS as described in BCP195].

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In addition to the Best Current Practice for TLS, it is highly RECOMMENDED for all conforming implementations to incorporate the TLS guidelines from the Dutch NCSC into their implementations. If these guidelines are applied:

- For back-channel communication, the guidelines categorized as "good" MUST be applied.
- For front-channel communication, the guidelines for "good" *MUST* be applied and the guidelines for "sufficient" *MAY* be applied, depending on target audience and support requirements.
- Guidelines categorized as "insufficient" MUST NOT be applied and those categorized as "phase out" SHOULD NOT be used.

# /iGov-NL

Authorization Servers SHOULD take into account device postures when dealing with native apps if possible. Device postures include characteristics such as a user's lock screen setting, or if the app has 'root access' (meaning the device OS may be compromised to gain additional privilages not intended by the vendor), or if there is a device attestation for the app for its validity. Specific policies or capabilities are outside the scope of this specification.

All clients *MUST* conform to applicable recommendations found in the Security Considerations sections of [rfc6749] and those found in the [OAuth 2.0 Threat Model and Security Considerations document] [fc6819].

# A. References

# A.1 Informative references

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<u>↑</u>