# **OpenID NLGov**

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### **Abstract**

The OpenID Connect protocol defines an identity federation system that allows a Relying Party to request and receive authentication and profile information about an End-User.

This specification profiles the OpenID Connect protocol to increase baseline security, provide greater interoperability, and structure deployments in a manner specifically applicable to (but not limited to) government and public service domains in The Netherlands.

This profile builds on top of, and inherits all properties of, the NL GOV Assurance profile for OAuth 2.0 [*OAuth2.NLGov*].

## Status of This Document

This is a draft that could be altered, removed or replaced by other documents. It is not a recommendation approved by TO.

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## § 1. Introduction

Government regulations for permitting users (citizens and non-citizens) online access to government resources vary greatly from country to country. There is a strong desire to leverage federated authentication and identity services for public access to government resources online to enable the development of safe and innovative applications for e-government services, increase overall account security, reduce cost, and provide reliable identity assurances from established and trusted sources when applicable.

OpenID Connect is a protocol enabling such federated identity and authentication protocol. OpenID Connect supports a variety of Use Cases and offers a range of features and (security) options. This specification aims to define an OpenID Connect profile that provides Dutch governments with a foundation for securing federated access to public services online when applying OpenID Connect.

## § 1.1 Requirements Notation and Conventions

The key words "*MUST*", "*MUST NOT*", "*REQUIRED*", "*SHALL*", "*SHALL NOT*", "*SHOULD*", "*SHOULD NOT*", "*RECOMMENDED*", "*NOT RECOMMENDED*", "*MAY*", and "*OPTIONAL*" in this document are to be interpreted as described in [*RFC2119*].

All uses of "JSON Web Signature (JWS)" [RFC7515] and "JSON Web Encryption (JWE)" [RFC7516] data structures in this specification utilize the JWS Compact Serialization or the JWE Compact Serialization; the JWS JSON Serialization and the JWE JSON Serialization are not used.

## § 1.2 Terminology

This specification uses the following terms:

- "Access Token", "Authorization Code", "Authorization Endpoint", "Authorization Grant",
   "Authorization Server", "Client", "Client Authentication", "Client Identifier", "Client Secret",
   "Grant Type", "Protected Resource", "Redirection <u>URI</u>", "Refresh Token", "Resource Server",
   "Response Type", and "Token Endpoint" defined by 'OAuth 2.0' [*RFC6749*];
- "Claim Name", "Claim Value", and "<u>JSON</u> Web Token (<u>JWT</u>)" defined by '<u>JSON</u> Web Token (<u>JWT</u>)' [*RFC7519*];
- "Introspection Endpoint" defined by [RFC7662];
- "Revocation Endpoint" defined by [*RFC7009*];
- "Browser-based application" defined by [OAuth2.Browser-Based-Apps];
- "Native app", "Hybrid app", "External user-agent", "Embedded user-agent", "In-app browser tab", "Web-view", "Claimed 'https' scheme <u>URI</u>", "Private-use <u>URI</u> scheme" defined by 'OAuth 2.0 for Native Apps' [*RFC8252*];
- "User-agent" defined by 'Hypertext Transfer Protocol' [RFC2616]; and
- the terms defined by 'OpenID Connect Core 1.0' [OpenID.Core].

In addition to the above terminology, this profile defines the following terms:

• "Representation", "Representation Relationship", "eIDAS".

Definitions for these terms as well as for the abbreviations used throughout this specification are listed in the Glossary.

#### § 1.3 Conformance

As well as sections marked as non-normative, all authoring guidelines, diagrams, examples, and notes in this specification are non-normative. Everything else in this specification is normative.

This profile is based upon the 'International Government Assurance Profile (iGov) for OpenID Connect 1.0' [*OpenID.iGov*] as published by the <u>OpenID Foundation</u>. It should be considered a

fork of this profile, as the iGov profile is geared more towards a United States context and this NL GOV profile towards a Dutch context with European Union regulations applicable.

This specification defines requirements for the following components:

- OpenID Connect 1.0 Relying Parties (also known as OpenID Clients, or RP)
- OpenID Connect 1.0 Identity Providers (also known as OpenID Providers, IdP or OP)

The specification also defines features for interaction between these components:

• Relying Party to Identity Provider

When an NL GOV-compliant component is interacting with other NL GOV-compliant components, in any valid combination, all components *MUST* fully conform to the features and requirements of this specification. All interaction with non-NL GOV components is outside the scope of this specification.

An NL GOV-compliant OpenID Connect Identity Provider *MUST* support all features as described in this specification. A general-purpose Identity Provider *MAY* support additional features for use with non-NL GOV Clients.

An NL GOV-compliant OpenID Connect Identity Provider *MAY* also provide NL GOV-compliant OAuth 2.0 Authorization Server functionality. In such cases, the Authorization Server *MUST* fully implement the NL GOV Assurance profile for OAuth 2.0 [*OAuth2.NLGov*]. If an NL GOV-compliant OpenID Connect Identity Provider does not provide NL GOV-compliant OAuth 2.0 Authorization Server services, all features related to interaction between the Authorization Server and protected resource are *OPTIONAL*.

An NL GOV-compliant OpenID Connect Client *MUST* support all required functionality described in this specification. A general-purpose Client library *MAY* support additional features for use with non-NL GOV OpenID Connect Identity Providers.

Note that the original concept of the [*OpenID.NLGov*] profile was published on logius.gitlab.io as version 1.0 in February 2021 with the title "NL GOV Assurance profile for OpenID Connect 1.0".

## § 2. Use Case & context

This profile supports several Use Cases or partial aspects thereof. Design choices within this profile have been made with these Use Cases under consideration.

The generic Use Case is an End-User with the intention to consume an online service of a Service Provider. As the service requires authentication, this triggers the authentication process.

Authentication is provided in a federated manner. In other words, a Client system is relying upon another system, the OpenID Provider, for authentication. Either a shared central OpenID Provider or a (distributed) network of OpenID Providers, a.k.a. a federation or scheme is being used. The ecosystem supported by the OpenID Provider can either be a single organization (intraorganizational) or multiple organizations (inter-organizational), through either bilateral or multilateral agreements. In case a federation or scheme is being used, an Identity Broker may be applicable. Although this profile allows for usage in a federation, no explicit support for federations is *currently* included.

The service is offered by a (semi-)governmental or public Service Provider. The Use Cases therefore explicitly covers Citizen-to-Government as well as Business-to-Government contexts. Note that business-to-government is not strictly limited to businesses, these may be other governmental organisations (inter-organizational) or internal service consumers (intra-organisational). This profile is not limited to these contexts, nor intended to exclude Business-to-Consumer and Business-to-Business contexts, but additional considerations may be applicable in those contexts.

The Service Provider or OpenID Client requests either an identifier, attributes or both of an authenticated End-User from the OpenID Provider. As target End-User audiences are diverse, multiple types of identifiers can be supported. Supported Use Cases therefore span both identifiale and attribute-based authentication.

From an architectual standpoint, the Use Case can utilize a Client in the form of a hosted web-application, a mobile/native application or a browser based single-page-application (SPA). See Section 4.1 Client Types for more details.

## § 2.1 Representation

This profile supports several Use Cases for Representation Relationships, which apply when an End-User intends to consume an online service on behalf of a Natural or Juridical Person (the service consumer), where authentication and authorization is required. The End-User in these Use Cases is a Natural Person, representing the service consumer through a Representation Relationship. The relationship has to be formalized and may be either a direct relationship, either voluntarily or on legal grounds, or a chain of Representation Relationships. The formalization of these relationships is out of scope of this profile.

Example Representation Use Cases include voluntary authorization, representative assigned by court order (guardian, administrator), statutory signatory (director, president), limited authorized

signatory, etc.

#### § 2.2 Misc

The OpenID Connect specification [*OpenID.Core*] supports self-issued OpenID Connect Providers. However, as this profile centers around (semi-)governmental and public domain Use Cases where assurance on identity verification is virtually always required, self-issued OpenID Providers *MUST NOT* be accepted by OpenID Clients under this profile.

As the Dutch identity eco-system supports multiple OpenID Providers, Identity Brokers are in common use. Brokers relieve OpenID Clients of managing multiple connections to OpenID Providers, but every additional step introduces security risks and concern with regards to privacy. Among the privacy concerns is the forming of so-called privacy hotspots, points were data collection can be concentrated. To mitigate such risks, end-to-end security is considered throughout this profile. Controls such as signing, to assure integrity, and encryption, to strengthen confidentiality, are encouraged to increase overall end-to-end security.

Note that future versions of this profile may support use cases where Service Intermediation is applicable.

### § 3. Flow

OpenID Connect Core specifies three paths via which authentication can be performed: the *Authorization Code Flow*, the *Implicit Flow* and the *Hybrid Flow*. The flows determine how the ID Token and Access Token are returned to the Client.

This profile requires that authentication is performed using the Authorization Code Flow, in where all tokens are returned from the Token Endpoint.

The Implicit Flow and Hybrid Flow allow tokens to be obtained from the Authorization Endpoint, and thereby omitting the Token endpoint. This makes them vulnerable to token leakage and token replay and makes it impossible to cryptographically bind tokens to a certain Client.

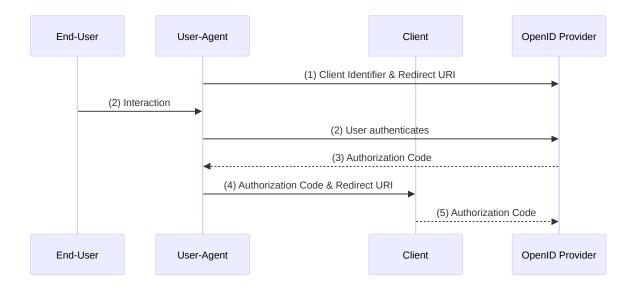
Therefore, the Implicit Flow and Hybrid flow *MUST NOT* be used. Also, the <u>IETF</u> OAuth Working Group is removing support for the Implicit Flow from the OAuth 2.1 specification [*OAuth2.1*] for the same reasons.

#### § 3.1 Authorization Code Flow

The Authorization Code Flow returns an Authorization Code to the Client, which can then exchange it for an ID Token and an Access Token directly. The flow comprises the following steps:

- 1. The Client sends an Authorization Request containing the desired request parameters to the OpenID Provider.
- 2. The OpenID Provider authenticates the End-User.
- 3. The OpenID Provider sends the End-User back to the Client with an Authorization Code.
- 4. The Client requests a response using the Authorization Code at the Token Endpoint.
- 5. The Client receives a response that contains an ID Token and Access Token in the response body.
- 6. The Client validates the ID token and retrieves Claims and Subject Identifier(s) of the authenticated End-User.

The flow described by these steps is illustrated as follows:



## § 4. OpenID Client profile

## § 4.1 Client Types

OAuth 2.0 defines two Client Types (*confidential* and *public* Clients) and three Client Profiles (*Web Applications*, *Browser / User-Agent based Applications*, and *Native Applications*).

This profile includes specific design considerations related to security and platform capabilities for these different Client Types and Profiles.

**Note:** The iGov and NL GOV Assurance profiles for OAuth 2.0 use a slightly different segregation of Client Types: *Full Clients* and *Native Clients* act on behalf of a End-User and *Direct Access Clients* act on behalf of themselves (e.g. those Clients that facilitate bulk transfers). *Direct Access Clients* are out of scope for this profile; *Full Clients* and *Native Clients* are treated as *Web applications* and *Native applications* respectively. This profile follows the OAuth 2.0 specification [*RFC6749*] instead, as it allows for better provisioning of specific security considerations specific to the different Client types and it aligns better to the Security Best Practices for the different Client profiles.

The following design considerations apply to all Clients:

- Clients *MUST* use 'Proof Key for Code Exchange' [*RFC7636*] to protect calls to the Token Endpoint.
- Clients *SHOULD* restrict its Client-Side script (e.g. JavaScript) execution to a set of statically hosted scripts via a 'Content Security Policy' [*CSP*].
- Clients *SHOULD* use 'Subresource Integrity' [*SRI*] to verify that any dependencies they include (e.g. via a Content Delivery Network) are not unexpectedly manipulated.

#### § 4.1.1 Web Applications

Web applications are applications that run on a web server and are consumed through the useragent ("browser") by the End-User. Web applications are capable of securely authenticating themselves and of maintaining the confidentiality of secrets (e.g. Client credentials and tokens) and are therefore considered *confidential* Clients (OAuth 2.0 [*RFC6749*], Section 2.1).

#### § 4.1.2 Browser-based Applications

Browser-based applications are applications that are dynamically downloaded and executed in a web browser that are also sometimes referred to as *user-agent-based applications* or *single-page applications*. Browser-based applications are considered to be not capable of maintaining the confidentiality of secrets, as they may be vulnerable to several types of attacks, including Cross-Site Scripting (XSS), Cross Site Request Forgery (CSRF) and OAuth token theft. Browser-based applications are considered *public* Clients (OAuth 2.0 [*RFC6749*], Section 2.1).

• Browser-based applications *SHOULD* follow the best practices specified in [*OAuth2.Browser-Based-Apps*].

#### § 4.1.3 Native and Hybrid Applications

*Native applications* are applications installed and executed on the device used by the End-User (i.e. desktop applications, native mobile applications). Native applications can sufficiently protect dynamically issued secrets, but are not capable of maintaining the confidentiality of secrets that are statically included as part of an app distribution. Therefore, Native applications are considered *public* Clients, except when they are provisioned per-instance secrets via mechanisms like Dynamic Client Registration (OAuth 2.0 [*RFC6749*], Section 2.1).

*Hybrid applications* are applications implemented using web-based technology but distributed as a native app; these are considered equivalent to native applications for the purpose of this profile.

- Native applications *MUST* follow the best practices as specified in OAuth 2.0 for Native Apps [*RFC8252*].
- The use of *confidential* Native applications (which are provisioned per-instance secrets) is *RECOMMENDED* over *public* Native applications, as *confidential* Clients provide better means to perform secure Client Authentication.
- Native applications *MUST* use an external user-agent or "in-app browser tab" to make authorization requests; an "embedded user-agent" or "web-view" components *MUST NOT* be used for this purpose. See 'OAuth 2.0 for Native apps' [*RFC8252*] for more information on the "in-app browser tab" feature and support on various platforms.

## § 4.2 Authorization Endpoint

#### § 4.2.1 Authentication Request

The following describes the supported OpenID Connect Authorization Code Flow parameters for use with a NL Gov compatible OpenID Provider. Some of these requirements are inherited as specified in Section 2.1.1 of [OAuth2.NLGov].

**Request Parameters:** 

client id

• *REQUIRED*. Valid OAuth 2.0 Client Identifier. *MUST* have the value as obtained during registration. Identical as in [*OAuth2.NLGov*].

#### response\_type

• *REQUIRED*. *MUST* have value code for the Authorization Code Flow. Identical as in [*OAuth2.NLGov*].

#### scope

• *REQUIRED*. Indicates the access privileges being requested. *MUST* contain at least the value openid and *SHOULD* contain a specific scope for which access is requested.

#### redirect uri

• *REQUIRED*. Indicates a valid endpoint where the Client will receive the authentication response. *MUST* be an absolute HTTPS URL unless the Client is a native application operating on a desktop device. In case of a native application on a desktop, this *MAY* be an absolute HTTP URL with the literal loopback IP address and port number the Client is listening on as hostname. *MUST NOT* use localhost for loopback addresses, see [*RFC8252*] Sections 7.3 and 8.3. *MUST* exactly match one of the Redirection URI values for the Client pre-registered at the OpenID Provider, except for the port URI component on loopback addresses for native applications on desktops. Inter-app redirect URIs for Native applications on mobile devices *MUST* use Claimed https Scheme URI Redirection, as specified in Section 7.2 of [*RFC8252*].

#### state

 REQUIRED. Unguessable random string generated by the Client, used to protect against Cross-Site Request Forgery (CSRF, XSRF) attacks. Must contain at least 128 bits of cryptographic random to avoid guessing. Returned to the Client in the Authentication Response. Identical as in [OAuth2.NLGov].

#### nonce

• *REQUIRED*. Unguessable random string generated by the Client, used to associate a Client session with an ID Token and to protect against replay attacks. Must contain at least 128 bits of cryptographic random to avoid guessing. Returned to the Client in the ID Token. See also [*OpenID.Core*], Section 15.5.2 for implementation notes.

#### acr\_values

 OPTIONAL. Lists the acceptable LoAs for this authentication. Under this profile, acr\_values takes precedence over vtr. See also <u>Section 5.2.3</u>. Identical as in [OpenID.Core]. OPTIONAL. MUST be set to a value as described in Section 6.1 of Vectors of Trust
 [RFC8485]. MUST NOT be used when acr\_values is set or when the acr Claim is
 requested via the claims parameter. See also <u>Section 5.2.4</u>.

#### claims

• *OPTIONAL*. This parameter is used to request specific Claims. The value is a <u>JSON</u> object listing the requested Claims, as specified in section 5.5 of [*OpenID.Core*].

#### code challenge

• *REQUIRED*. Code challenge as in PKCE [*RFC7636*].

#### code\_challenge\_method

• *REQUIRED*. *MUST* use the value of S256.

#### **EXAMPLE 1**

A sample request may look like:

```
https://idp-p.example.com/authorize?
client_id=55f9f559-2496-49d4-b6c3-351a586b7484
&nonce=cd567ed4d958042f721a7cdca557c30d
&response_type=code
&scope=openid+email
&redirect_uri=https%3A%2F%2Fclient.example.org%2Fcb
&state=481e9c0c52e751a120fd90f7f4b5a637
&acr_values=http%3a%2f%2feidas.europa.eu%2fLoA%2fsubstantial
&code_challenge=E9Melhoa20wvFrEMTJguCHaoeK1t8URWbuGJSstw-cM
&code_challenge_method=S256
```

#### § 4.2.2 Request Objects

Clients *MAY* optionally send requests to the Authorization Endpoint using the request or request\_uri parameter as defined by OpenID Connect [*OpenID.Core*], section 6. Passing a Request Object by reference using the request\_uri is preferred because of browser limits and network latency.

Request Objects *MUST* be signed by the Client's registered key. Request Objects *MAY* be encrypted to the OpenID Provider's public key. When sending Request Objects by reference, Clients *MUST* 

pre-register request\_uri values with the OpenID Provider at registration and *MUST* only use pre-registered values for request uri.

#### § 4.2.3 Authentication Response Validation

All Clients *MUST* validate the following in received Authentication Responses:

state

• The state response parameter *MUST* be present and *MUST* equal the state request parameter sent in the Authentication Request.

This in line with OpenID Connect Core ([*OpenID.Core*], Section 3.1.2.7), which equals to OAuth 2.0 ([*RFC6749*], Section 4.1.2 and 10.12). Verifying the state returned in the Authorization Response is part of <u>CSRF</u> mitigation measures and will help prevent attacks with late or stale responses, among others.

### § 4.3 Token Endpoint

#### § 4.3.1 Client Authentication

Confidential Clients, as defined in <u>Section 4.1</u>, *MUST* authenticate to the OpenID Provider using either:

- a <u>JWT</u> assertion as defined by the '<u>JWT</u> Profile for OAuth 2.0 Client Authentication and Authorization Grants' [*RFC7523*] using only the private\_key\_jwt method defined in [*OpenID.Core*]; or
- mutually authenticated TLS, as specified in [RFC8705]. In case of a mutual TLS connection (mTLS) between the Client and the server, the <u>JWT</u> assertion SHOULD be omitted and the client\_id parameter MUST be included.

Public Clients *MAY* authenticate to the OpenID Provider. However, the OpenID Provider *MUST NOT* rely on public Client Authentication for the purpose of identifying the Client.

Clients *MUST NOT* use more than one authentication method in each request.

#### § 4.3.2 Token Request

The following describes the supported parameters for the Token Request. Some of these requirements are inherited as specified in Section 2.3.1 of [*OAuth2.NLGov*].

The following parameters are specified:

```
grant_type
```

• REQUIRED. MUST contain the value authorization\_code. Identical as in [OAuth2.NLGov].

code

• *REQUIRED*. The value of the code parameter returned in the Authorization Response. Clients *MUST NOT* use the same authorization code more than once. Identical as in [*OAuth2.NLGov*].

client assertion

REQUIRED, in case private\_key\_jwt is used for Client Authentication. The value of the signed Client Authentication <u>JWT</u> generated as described in [OAuth2.NLGov]. The OpenID Client MUST generate a new assertion <u>JWT</u> for each call to the Token Endpoint.

client\_assertion\_type

 REQUIRED, in case client\_assertion is present. MUST be set to urn:ietf:params:oauth:client-assertion-type:jwt-bearer.

client\_id

• REQUIRED, in case mutually authenticated TLS is used for Client Authentication.

code\_verifier

• *REQUIRED*. Code verifier as in <u>PKCE</u> [*RFC7636*].

#### § 4.3.3 Token Response Validation

All Clients *MUST* validate the following in received Token Responses:

- Follow the Token Response validation rules in [*RFC6749*], Sections 5.1 and 10.12.
- Validate the Access Token according to [*OpenID.Core*], Section 3.1.3.8.

• Validate the ID Token according to [*OpenID.Core*], Section 3.1.3.7, as well as the below mentioned requirements for validating the ID Token.

This in line with [*OpenID.Core*], Section 3.1.3.5.

#### § 4.3.4 ID Tokens

All Clients *MUST* validate the signature of an ID Token before accepting it. Validation can be done using the public key of the issuing server, which is published in <u>JSON</u> Web Key (JWK) format. ID Tokens *MAY* be encrypted using the appropriate key of the requesting Client.

Clients *MUST* verify the following in received ID tokens:

iss

• The issuer Claim is the Uniform Resource Locater (URL) of the expected Issuer. Identical as in [*OpenID.iGov*].

aud

• The audience Claim contains the Client ID of the Client. Identical as in [OpenID.iGov].

nonce

• The nonce parameter in the ID Token *MUST* equal the nonce request parameter sent in the Authentication Request. This is in line with [*OpenID.Core*], Section 3.1.3.7.

exp, iat, nbf

• The expiration, issued at, and not before timestamps for the token are within acceptable ranges. These Claims are formatted as Unix Time Stamps (number of seconds since 1970-01-01T00:00:00Z UTC). Values for iat and nbf *MUST* lie in the past and exp *MUST* lie in the future; the acceptable range for how far away iat is in the past is specific to the Client. This is in line with [*OpenID.iGov*].

acr

• The Level of Assurance received in the acr Claim is at least the Level of Assurance requested. See also <u>Section 5.2.3</u>. This is in line with [*OpenID.Core*], Section 3.1.3.7.

authorization details

 OPTIONAL. The claim authorization\_details contains a <u>JSON</u> array of <u>JSON</u> objects representing the rights of the access token. Each <u>JSON</u> object contains the data to specify the authorization requirements for a certain type of resource. This can be used to solve representation. See *OAuth 2.0 Rich Authorization Requests*.

act, may\_act

• *OPTIONAL*. The act claim identifies the immediate actor (e.g., an application or service acting on behalf of a user), while the may\_act claim specifies which principals the tokenholder is authorized to act on behalf of, enabling clear representation and delegation chains. See §4.1 and §4.4 of *OAuth 2.0 Token Exchange*.

### § 4.4 Discovery

All Clients *SHOULD* use OpenID Provider discovery to avoid manual configuration and risk of mistakes.

Clients *SHOULD* acquire OpenID Provider metadata using either 'OpenID Connect Discovery 1.0' ([*OpenID.Discovery*] Section 4) or 'OAuth 2.0 Authorization Server Metadata' ([*RFC8414*] Section 3) via one of the Discovery endpoints provided by the OpenID Provider. See also Section <u>5.4</u>.

Clients *SHOULD NOT* use OpenID Provider Issuer Discover using WebFinger (as described in [*OpenID.Core*], Section 2) to avoid privacy issues such as leaking information to unknown locations.

Clients *SHOULD* follow caching directives provided by the OpenID Provider via HTTP headers [*RFC7234*] for the OpenID Provider's Discovery and jwks endpoints. This to avoid having to unnecessarily re-retrieve these documents while getting fresh updates of these documents when they have changed.

Clients *SHOULD* support signed\_metadata as specified in [*RFC8414*] Section 2.1. In case signed metadata is available, this *MUST* be used over non-signed metadata and the signature *MUST* be verified prior to further utilizing any contents.

Clients *MUST* use the public keys obtained from the jwks endpoint to validate the signature on tokens or to encrypt Request Objects to the OpenID Provider.

## § 4.5 Registration

All Clients *MUST* register with the OpenID Provider.

Native Clients *MUST* either be provisioned a unique per-instance Client identifier or be registered as *public* Clients by using a common Client identifier; browser-based Clients *MUST* be registered

as *public* Clients.

Clients *SHOULD* use Dynamic Registration as per [*RFC7591*] to reduce manual labor and the risks of configuration errors. Dynamic Client Registration Management Protocol [*RFC7592*] *MAY* be used by Clients.

In case a native Client is using per-instance registration, the Client *MUST* use Dynamic Registration.

## § 5. OpenID Provider profile

For OpenID Providers the following items are applicable:

- OpenID Providers *MUST* implement all *Mandatory to Implement Features for All OpenID Providers* (Section 15.1) and all *Mandatory to Implement Features for Dynamic OpenID Providers* (Section 15.2) of [*OpenID.Core*]. Note that these Mandatory to Implement features include required support for the Hybrid Flow for authentication (Response Types id\_token and id\_token token). This profile deviates from this requirement, as this profile specifically forbids the use of the Hybrid Flow (see also <a href="Chapter 3">Chapter 3</a>).
- OpenID Providers *MUST* support and require the use of 'Proof Key for Code Exchange' ([*RFC7636*]) using only the S256 verification method and a code verifier with at least 43 and at most 128 cryptographically random characters to allow Clients to protect calls to the Token Endpoint.
- OpenID Providers *MUST* apply the necessary 'Cross-Origin Resource Sharing' ([*CORS*]) headers to allow browsers to protect requests to its endpoints and *SHOULD NOT* use wildcard origins.
- OpenID Providers that support Web Applications *SHOULD* follow the best practices specified in [*OAuth2.Browser-Based-Apps*].
- OpenID Providers that support Native Applications *MUST* follow the best practices specified in OAuth 2.0 for Native Apps [*RFC8252*].

### § 5.1 Authorization Endpoint of the Provider profile

#### **§** 5.1.1 Request Objects of the Provider profile

OpenID Providers *MUST* accept requests containing a Request Object signed by the Client's private key. OpenID Providers *MUST* validate the signature on such requests against the Client's registered public key. OpenID Providers *MUST* accept Request Objects encrypted to the OpenID Provider's public key.

OpenID Providers *SHOULD* accept Request Objects by reference using the request\_uri parameter. The Request Object can be either hosted by the Client or pushed to the OpenID Provider prior to the Authentication Request. OpenID Providers *MUST* verify that the request\_uri parameter exactly matches one of the request\_uri values for the Client pre-registered at the OpenID Provider, with the matching performed as described in Section 6.2.1 of [*RFC*3986] (Simple String Comparison).

Using Request Objects allows for Clients to create a request that is protected from tampering through the browser, allowing for a higher security and privacy mode of operation for Clients and applications that require it. Clients are not required to use Request Objects, but OpenID Providers are required to support requests using them.

Note that when a Request Object is used (either passed by value or by reference), the Client *MAY* send the parameters included in the Request Object duplicated in the query parameters as well for backwards compatibility (so that the request is a valid OAuth 2.0 Authorization Request). However, the OpenID Provider *MUST* only consider the parameters included in the Request Object and ignore the duplicated query parameters.

## § 5.2 Token Endpoint of the Provider profile

#### § 5.2.1 Token Request Validation

OpenID Providers *MUST* validate all incoming Token Requests according to [*OpenID.Core*], Section 3.1.3.2.

In addition, OpenID Providers *MUST* validate the code\_verifier value against the code\_challenge and code\_challenge\_method values specified by the Client in the Authorization Request according to [*RFC7636*], Section 4.6.

#### **§ 5.2.2 ID Tokens of the Provider profile**

All ID Tokens *MUST* be signed by the OpenID Provider's private signature key. ID Tokens *MAY* be encrypted using the appropriate key of the requesting Client.

The ID Token *MUST* expire and *SHOULD* have an active lifetime no longer than five minutes. Since the ID Token is consumed by the Client and not presented to remote systems, it is *RECOMMENDED* that expiration times are kept as short as possible.

The Token Response includes an Access Token (which can be used to make a UserInfo request) and ID Token (a signed and optionally encrypted <u>JSON</u> Web Token). This profile imposes the following requirements on the Claims used in ID Tokens:

iss

• *REQUIRED*. The issuer field is the Uniform Resource Locator (URL) of the expected Issuer. Identical as in [*OpenID.iGov*].

aud

• *REQUIRED*. The audience field contains the Client ID of the Client. Identical as in [*OpenID.iGov*].

sub

• *REQUIRED*. The identifier of the authenticated End-User, also known as the subject. OpenID Providers *MUST* support a pairwise identifier in accordance with the OpenID Connect specification [*OpenID.Core*], section 8.1. See <u>Pairwise Identifiers</u> on when it may be useful to relax this requirement. Identical as in [*OpenID.iGov*].

acr

• *OPTIONAL*. The <u>LoA</u> the End-User was authenticated at. *MUST* be at least the requested Level of Assurance value requested by the Client (either via the acr\_values or claims parameters) or - if none was requested - a Level of Assurance established through prior agreement. See also <u>Section 5.2.3</u>. As <u>eIDAS</u> is leading in most scenarios targeted by this profile, using the acr Claim to express the Level of Assurance is preferred over Vectors of Trust (vot).

nonce

• *REQUIRED*. *MUST* contain the nonce value that was provided in the Authentication Request. Identical as in [*OpenID.iGov*].

jti

• *REQUIRED*. A unique identifier for the token, which can be used to prevent reuse of the token. The value of jti *MUST* uniquely identify the ID Token between sender and receiver for at least 12 months.

auth time

REQUIRED if max\_age was specified in the request or when auth\_time was requested as
an Essential Claim. Otherwise auth\_time is OPTIONAL and SHOULD be included if the
OpenID Provider can assert an End-User's authentication intent was demonstrated. For
example, a login event where the End-User took some action to authenticate. See also Section
15.1 of [OpenID.Core].

exp, iat, nbf

• *REQUIRED*. The expiration, issued at, and not before timestamps indicate when the token expires, was issued and becomes valid, respectively. The expiration time for ID Tokens is specific to the OpenID Provider. In line with [*OpenID.iGov*].

authorization\_details

OPTIONAL. The claim authorization\_details contains a <u>ISON</u> array of <u>ISON</u> objects representing the rights of the access token. Each <u>ISON</u> object contains the data to specify the authorization requirements for a certain type of resource. This can be used to solve representation. See <u>OAuth 2.0 Rich Authorization Requests</u>.

act, may\_act

• *OPTIONAL*. The act claim identifies the immediate actor (e.g., an application or service acting on behalf of a user), while the may\_act claim specifies which principals the tokenholder is authorized to act on behalf of, enabling clear representation and delegation chains. See §4.1 and §4.4 of *OAuth 2.0 Token Exchange*.

vot

• *OPTIONAL*. The vector value as specified in Vectors of Trust. *MUST NOT* be included when acr is included. See also <u>Section 5.2.4</u>.

vtm

 REQUIRED if vot is provided. The trustmark <u>URI</u> as specified in Vectors of Trust. See also <u>Section 5.2.4</u>. Other Claims *MAY* be included. See Claims Request below on how such Claims *SHOULD* be requested by the Client to be provided by the OpenID Provider.

#### § 5.2.3 Pairwise Identifiers

Pairwise Subject Identifiers specified in OpenID Connect Core [*OpenID.Core*] Section 8 help protect an End-User's privacy by allowing an OpenID Provider to represent a single End-User with a different Subject Identifier (sub) for every Client the End-User connects to. This technique can help mitigate correlation of an End-User between multiple Clients and therewith tracking of End-Users between different sites and applications.

Use of pairwise identifiers does not prevent Clients from correlating data based on other identifying attributes such as names, phone numbers, email addresses, document numbers, or other attributes. However, since not all transactions require access to these attributes, but a Subject Identifier is always required, a pairwise identifier will aid in protecting the privacy of End-Users as they navigate the system.

OpenID Providers *MUST* support pairwise identifiers for cases where correlation of End-User's activities across Clients is not appropriate. OpenID Providers *MAY* support public identifiers for frameworks where public identifiers are required, or for cases where public identifiers are shared as attributes and the framework does not have a requirement for subject anonymity.

Burgerservicenummers (<u>BSN</u>), Rechtspersonen en Samenwerkingsverbanden Identificatienummers (<u>RSIN</u>) and Kamer van Koophandel (<u>KvK</u>) nummers are considered public sectoral identifiers and therefore *MUST NOT* be used as Subject Identifiers in case correlation of End-User's activities across Clients is not appropriate. In such cases, the use of Polymorphic Pseudonyms or Polymorphic Identities is preferred.

Note that BSNs *MUST* only be used by Relying Parties for Services eligible for using the <u>BSN</u> according to Dutch Law and that the <u>BSN</u>, or token containing it, *SHOULD* be encrypted.

#### § 5.2.4 Authentication Context

Whereas the iGov Assurance Profile for OpenID Connect [*OpenID.iGov*] recommends the use of Vectors of Trust (vot) to determine the amount of trust to be placed in digital transactions, using Authentication Context Class References (acr) instead is *RECOMMENDED* by this profile, due to their better alignment to the Levels of Assurance (<u>LoA</u>) defined by the <u>eIDAS</u> standards that are used in the European Union.

OpenID Providers *SHOULD* use <u>eIDAS</u> Level of Assurance (<u>LoA</u>) values for the acr Claim, but *MAY* use different values if <u>eIDAS</u> is not applicable. The <u>eIDAS</u> Level of Assurance values are defined as URIs in [*eIDAS.SAML*], Section 3.2.

OpenID Providers *MUST* provide a Level of Assurance as acr value that is at least the requested Level of Assurance value requested by the Client (either via the acr\_values or claims parameters) or - if none was requested - a Level of Assurance established through prior agreement.

OpenID Providers *MUST NOT* provide Authentication Methods References (amr), but *MUST* use Authentication Context Class References (acr) instead.

Clients *MAY* send an vtr (Vectors of Trust Request) parameter. If both the vtr and acr\_values are in the request, the acr\_values *MUST* take precedence and the vtr *MUST* be ignored.

**Note:** Risk Based Authentication (RBA) should be an integral part of the LoA framework that is used by an OpenID Provider (the Identity Provider), such that the risk criteria for the resulting authentication are at least sufficient to meet the applicable LoA. That is, an OpenID Provider *MAY* apply RBA to require authentication methods with enhanced security or ease towards more user friendly methods when allowed by evaluated risk for an authentication, as long as the trust framework requirements are met. Selection of and criteria for any LoA framework are, however, situation specific and beyond the scope of this profile.

#### § 5.2.5 Vectors of Trust

OpenID Providers *MAY* provide vot (Vectors of Trust) and vtm (Vector Trust Mark) values in ID Tokens only if the acr Claim is not requested by the Client (either via the acr\_values or claims parameters). More information on Vectors of Trust is provided in [*RFC8485*].

#### § 5.2.6 Access Tokens

This profile requires an Access Token to be in <u>JWT</u> form. This is in line with the underlying NL GOV Assurance profile for OAuth 2.0 [*OAuth2.NLGov*].

Using a <u>JWT</u> formatted Access Token allows any OpenID Client to consume and verify a token without the need for introspection, thus reducing the dependency on an interaction with an external endpoint. As a result this may reduce load and availability requirements on the OpenID Provider. Furthermore, it provides a more uniform format over Access Token, ID Token, UserInfo response and Introspection response.

Note that ID Tokens and UserInfo responses are primarily intended for the Client. The Access Token is primarily intended for consumption by a Resource Server. The Introspection response is intended for the requestor of an Introspection, which can be either a Client or Resource Server. The Resource Server is typically not considered as an actor in OpenID Connect, but OpenID Providers will often act as Authorization Servers. In the case of Service Intermediation this is applicable by definition. This profile does not directly place any constraints on the placement of Claims in various tokens or response messages. Claims may be placed in any of the four tokens/response messages, unless explicitly specified otherwise. This allows for maximum flexibility and interoperability.

#### § 5.2.7 Refresh Tokens

OpenID Providers *MAY* issue Refresh Tokens to Clients; when used, Refresh Tokens *MUST* be one-time-use or sender-constrained.

OpenID Providers *MAY* cryptographically bind Refresh Tokens to the specific Client instance (see also [*OAuth2.1*], Section 6.1); other methods to create sender-constrained Refresh Tokens *MAY* be applied as well.

For security reasons, Refresh Tokens that are not sender-constrained *MUST* be one-time-use, i.e. with every Access Token refresh response the OpenID Provider can issue a new Refresh Token and *MUST* invalidate the previous Refresh Token (see also [*RFC6819*], Section 5.2.2.3 and [*OAuth2.1*], Section 6.1).

Refresh Tokens *MUST* expire if the Client has been inactive for some time, i.e., the Refresh Token has not been used to obtain fresh Access Tokens for some time. The expiration time is at the discretion of the OpenID Provider, but *MUST NOT* exceed a maximum of 6 hours, preferably shorter.

For public Clients, no cryptographic key or Client Authentication method for binding Refresh Tokens to a specific Client is available. Public Clients therefore *MUST* use one-time-use Refresh Tokens with a limited validity, if applied.

## § 5.3 UserInfo Endpoint

OpenID Providers *MUST* support the UserInfo Endpoint and, at a minimum, the sub (subject) Claim. It is expected that the sub Claim will remain pseudonymous in Use Cases where obtaining personal information is not needed.

Support for a UserInfo Endpoint is important for maximum Client implementation interoperability even if no additional End-User information is returned. Clients are not required to call the UserInfo Endpoint, but *SHOULD NOT* receive an error if they do.

#### EXAMPLE 2

In an example transaction, the Client sends a request to the UserInfo Endpoint like the following:

```
GET /userinfo HTTP/1.1
Authorization: Bearer eyJhbGciOiJSUzI1NiJ9.eyJleHAiOjEOMTg3MDIOMTIsIm
  F1ZCI6WyJjMWJjODRlNC00N2VlLTRiNjQtYmI1Mi01Y2RhNmM4MWY30DgiXSwiaXNzIj
  iaHR0cHM6XC9cL2lkcC1wLmV4YW1wbGUuY29tXC8iLCJqdGki0iJkM2Y3YjQ4Zi1iYzg
  LTQwZWMtYTE0MC05NzRhZjc0YzRkZTMiLCJpYXQi0jE0MTg20Tg4MTJ9i.HMz tzZ90
  0QZS-AXtQtvclZ7M4uDAs1WxCFxpqBfBanolW37X8h1ECrUJexbXMD6rrj uuWEqPD73
  oWRoOrOnoKJAgbF1GhXPAYnN5pZRygWSD1a6RcmN85SxUigOH0e7drmdmRkPQgbl2wMh
  -6h20gw-ize4dKmykN9UX 2drXrooSxpRZgFVYX8PkCvCCBuFy20-HPRov SwtJMk5gj
  WMyn2I4Nu2s-R20aCA-7T5dunr0iWCkLQnVnaXMfA22RlRiU87nl21zappYb1 EHF9eP
  q3Q353cDUY7vje8m2kKXYTgc bUAYuW-W3SMSw5UlKaHtSZ6PQICoA
Accept: application/json
Host: idp-p.example.com
Connection: Keep-Alive
And receives a document in response like the following:
HTTP/1.1 200 0K
Date: Mon, 16 Dec 2019 03:00:12 GMT
Access-Control-Allow-Origin: *
Content-Type: application/json
Content-Language: en-US
Content-Length: 333
Connection: close
{
   "sub": "6WZQPpnQxV",
   "iss": "https://idp-p.example.com",
   "given_name": "Stephen",
   "family name": "Emeritus",
}
```

OpenID Providers *MUST* support the generation of <u>JWT</u> encoded responses from the UserInfo Endpoint. Responding with unsigned <u>JSON</u> objects when neither signing nor encryption are requested by the Client as part of the userinfo\_signed\_response\_alg and userinfo\_encrypted\_response\_alg Client metadata parameters registered as part of Client

Registration is *OPTIONAL*. Signed responses *MUST* be signed by the OpenID Provider's signing key, and encrypted responses *MUST* be encrypted with the authorized Client's public key. Please refer to <u>Algorithms</u> for more information on cryptographic algorithms and keys.

## § 5.4 Discovery

The OpenID Connect Discovery [*OpenID.Discovery*] standard provides a standard, programmatic way for Clients to obtain configuration details for communicating with OpenID Providers. Discovery is an important part of building scalable federation ecosystems.

OpenID Providers under this profile *MUST* publish their server metadata to help minimize configuration errors and support automation for scalable deployments.

- Exposing a Discovery endpoint does NOT inherently put the OpenID Provider at risk to attack. Endpoints and parameters specified in the Discovery document *SHOULD* be considered public information regardless of the existence of the Discovery document.
- Access to the Discovery document *MAY* be protected with existing web authentication
  methods if required by the OpenID Provider. Credentials for the Discovery document are then
  managed by the OpenID Provider. Support for these authentication methods is outside the
  scope of this profile.
- Endpoints described in the Discovery document *MUST* be secured in accordance with this profile and *MAY* have additional controls the Provider wishes to support.

#### § 5.4.1 Discovery endpoint

All OpenID Providers are uniquely identified by a URL known as the issuer and MUST make a Discovery document in <u>ISON</u> format available at the path formed by concatenating /.well-known/openid-configuration to the issuer and SHOULD also make this Discovery document available at the path formed by concatenating /.well-known/oauth-authorization-server to the issuer. OpenID Providers MAY also publish their Discovery documents on other locations. All paths on which the Discovery document is published MUST use the https scheme.

Note that for privacy considerations, only direct requests to the server metadata document *SHOULD* be used. The WebFinger method to locate the relevant OpenID Provider and its metadata, as described in [*OpenID.Discovery*] section 2, *MUST NOT* be supported.

### § 5.4.2 Discovery document

This profile imposes the following requirements upon the Discovery document:

issuer

• *REQUIRED*. The fully qualified Issuer URL of the OpenID Provider as defined by [*RFC8414*].

authorization endpoint

• *REQUIRED*. The fully qualified URL of the OpenID Provider's Authorization Endpoint as defined by [*RFC6749*].

token endpoint

• *REQUIRED*. The fully qualified URL of the OpenID Provider's Token Endpoint as defined by [*RFC6749*].

userinfo\_endpoint

• *RECOMMENDED*. The fully qualified URL of the OpenID Provider's Userinfo Endpoint as defined by [*OpenID.Core*].

registration endpoint

• *RECOMMENDED*. The fully qualified URL of the OpenID Provider's Dynamic Registration endpoint [*RFC7591*].

introspection endpoint

 OPTIONAL. The fully qualified URL of the OpenID Provider's Introspection Endpoint as defined by 'OAuth 2.0 Token Introspection' [RFC7662].

revocation\_endpoint

• *OPTIONAL*. The fully qualified URL of the OpenID Provider's Revocation Endpoint as defined by 'OAuth 2.0 Token Revocation' [*RFC7009*].

jwks\_uri

• *REQUIRED*. The fully qualified URL of the OpenID Provider's public keys in JWK Set format. These keys can be used by Clients to verify signatures on tokens and responses from the OpenID Provider and for encrypting requests to the OpenID Provider.

scopes\_supported

• *REQUIRED*. The list of scopes the OpenID Provider supports as defined by [*RFC8414*].

response types supported

• *REQUIRED*. <u>ISON</u> array containing the list of OAuth 2.0 response\_type values that the OpenID Provider supports. In the context of this profile, the value *MUST* Be ['code'].

grant types supported

 REQUIRED. <u>ISON</u> array containing the list of OAuth 2.0 grant\_type values that the OpenID Provider supports. In the context of this profile, the value *MUST* be ['authorization\_code'].

claims\_parameter\_supported

• *OPTIONAL*. Boolean value specifying whether the OpenID Provider supports the use of the claims parameter, as defined by [*OpenID.Discovery*].

claims\_supported

• *REQUIRED*. <u>JSON</u> array containing the list of Claims available in the supported scopes as defined by [*OpenID*.*Discovery*]. See <u>Claims Supported</u>.

claim\_types\_supported

• *OPTIONAL*. <u>JSON</u> array containing the list of Claim types that the OpenID Provider supports. *REQUIRED* when aggregated or distributed Claims are used. If omitted, the OpenID Provider only supports normal Claims. Identical to [*OpenID*.*Discovery*].

acr\_values\_supported

• *OPTIONAL*. <u>ISON</u> array containing the list of supported Levels of Assurances, as defined by [*OpenID*.*Discovery*]. See <u>Authentication Context</u>.

subject types supported

• *REQUIRED*. <u>JSON</u> array containing the list of Subject Identifier types that this OpenID Provider supports. Valid types include pairwise and public.

token endpoint auth methods supported

REQUIRED. JSON array containing the list of Client Authentication methods that this
OpenID Provider supports. With respect to this profile, the allowed values are
private\_key\_jwt, tls\_client\_auth, or both.

id token signing alg values supported

• *REQUIRED*. <u>ISON</u> array containing the list of <u>IWS</u> signing algorithms (alg values) supported by the OpenID Provider for the ID Token to encode the Claims in a <u>IWT</u>. For more information, refer to <u>Algorithms</u>.

id\_token\_encryption\_alg\_values\_supported

OPTIONAL. <u>ISON</u> array containing the list of <u>IWE</u> encryption algorithms (alg values) supported by the OpenID Provider for the ID Token to encrypt the Content Encryption Key (<u>CEK</u>). *REQUIRED* when the OpenID Provider supports encryption of ID Tokens. For more information, refer to <u>Algorithms</u>.

id\_token\_encryption\_enc\_values\_supported

OPTIONAL. <u>ISON</u> array containing the list of <u>IWE</u> encryption algorithms (enc values) supported by the OpenID Provider for the ID Token to encrypt the Claims in a <u>IWT</u> using the <u>CEK</u>. *REQUIRED* when the OpenID Provider supports encryption of ID Tokens. For more information, refer to <u>Algorithms</u>.

userinfo signing alg values supported

REQUIRED. <u>ISON</u> array containing the list of <u>JWS</u> signing algorithms (alg values) supported by the UserInfo Endpoint to encode the Claims in a <u>JWT</u>. For more information, refer to Algorithms.

userinfo encryption alg values supported

• *OPTIONAL*. <u>ISON</u> array containing the list of <u>JWE</u> encryption algorithms (alg values) supported by the OpenID Provider for the UserInfo Endpoint to encrypt the Content Encryption Key (<u>CEK</u>). *REQUIRED* when the OpenID Provider supports encryption of UserInfo responses. For more information, refer to <u>Algorithms</u>.

userinfo\_encryption\_enc\_values\_supported

OPTIONAL. <u>ISON</u> array containing the list of <u>IWE</u> encryption algorithms (enc values) supported by the OpenID Provider for the UserInfo Endpoint to encrypt the Claims in a <u>IWT</u> using the <u>CEK</u>. <u>REQUIRED</u> when the OpenID Provider supports encryption of UserInfo responses. For more information, refer to <u>Algorithms</u>.

request\_object\_signing\_alg\_values\_supported

REQUIRED. <u>ISON</u> array containing the list of <u>IWS</u> signing algorithms (alg values) supported by the OpenID Provider for Request Objects. These algorithms are applicable for Request Objects passed by value and passed by reference. For more information, refer to <u>Algorithms</u>.

request object encryption alg values supported

OPTIONAL. <u>ISON</u> array containing the list of <u>IWE</u> encryption algorithms (alg values) supported by the OpenID Provider for Request Objects to encrypt the Content Encryption Key (<u>CEK</u>). *REQUIRED* when the OpenID Provider supports encryption of UserInfo responses. For more information, refer to <u>Algorithms</u>.

request\_object\_encryption\_enc\_values\_supported

OPTIONAL. <u>ISON</u> array containing the list of <u>IWE</u> encryption algorithms (enc values) supported by the OpenID Provider for Request Objects to encrypt the Claims in a <u>IWT</u> using the <u>CEK</u>. <u>REQUIRED</u> when the OpenID Provider supports encryption of UserInfo responses. For more information, refer to <u>Algorithms</u>.

request\_uri\_parameter\_supported

• *OPTIONAL*. Boolean value which specifies whether the OpenID Provider accepts Request Objects passed by reference using the request\_uri parameter. As per [*OpenID.Core*], the default value is true.

require\_request\_uri\_registration

REQUIRED and MUST have Boolean value true if the OpenID Provider accepts Request
 Objects passed by reference using the request\_uri parameter. OPTIONAL otherwise. This
 parameter indicates that request\_uri values used by the Client to send Request Objects by
 reference must always be pre-registered.

#### signed metadata

• *RECOMMENDED*. A <u>IWT</u>, signed using <u>IWS</u>, containing metadata values about the OpenID Provider as claims, as specified in [*RFC8414*], Section 2.1.

#### EXAMPLE 3

The following example shows the <u>ISON</u> document found at a discovery endpoint for an OpenID Provider:

```
{
  "request parameter supported": true,
  "id token encryption alg values supported": [
    "RSA-0AEP", "RSA-0AEP-256"
  ],
  "registration endpoint": "https://idp-p.example.com/register",
  "userinfo signing alg values supported": [
    "RS256", "RS384", "RS512"
  ],
  "token endpoint": "https://idp-p.example.com/token",
  "request uri parameter supported": false,
  "request object encryption enc values supported": [
    "A192CBC-HS384", "A192GCM", "A256CBC+HS512",
    "A128CBC+HS256", "A256CBC-HS512",
    "A128CBC-HS256", "A128GCM", "A256GCM"
  "token endpoint auth methods supported": [
    "private key jwt"
  "userinfo encryption alg values supported": [
    "RSA-0AEP", "RSA-0AEP-256"
  "subject_types_supported": [
    "public", "pairwise"
  "id token encryption enc values supported": [
    "A192CBC-HS384", "A192GCM", "A256CBC+HS512",
    "A128CBC+HS256", "A256CBC-HS512", "A128CBC-HS256",
    "A128GCM", "A256GCM"
  ],
  "claims parameter supported": false,
  "jwks uri": "https://idp-p.example.com/jwk",
  "id token signing alg values supported": [
    "RS256", "RS384", "RS512"
  ],
  "authorization_endpoint": "https://idp-p.example.com/authorize",
  "require request uri registration": false,
  "introspection_endpoint": "https://idp-p.example.com/introspect",
  "request object encryption alg values supported": [
    "RSA-0AEP", "RSA-0AEP-256"
  "service_documentation": "https://idp-p.example.com/about",
```

```
"response_types_supported": [
    "code", "token"
  "token endpoint auth signing alg values supported": [
    "RS256", "RS384", "RS512"
  "revocation endpoint": "https://idp-p.example.com/revoke",
  "request_object_signing_alg_values_supported": [
    "HS256", "HS384", "HS512", "RS256", "RS384", "RS512"
  "claim types supported": [
    "normal"
  ],
  "grant types supported": [
    "authorization code",
    "urn:ietf:params:oauth:grant-type:token-exchange"
  "scopes supported": [
    "profile", "openid", "doc"
  "userinfo endpoint": "https://idp-p.example.com/userinfo",
  "userinfo encryption enc values supported": [
    "A192CBC-HS384", "A192GCM", "A256CBC+HS512", "A128CBC+HS256",
    "A256CBC-HS512", "A128CBC-HS256", "A128GCM", "A256GCM"
  ],
  "op tos uri": "https://idp-p.example.com/about",
  "issuer": "https://idp-p.example.com/",
  "op_policy_uri": "https://idp-p.example.com/about",
  "claims supported": [
    "sub", "name", "vot", "acr"
  ],
  "acr values supported": [
    "http://eidas.europa.eu/LoA/substantial",
    "http://eidas.europa.eu/LoA/high"
  ]
}
```

### § 5.4.3 Caching

It is *RECOMMENDED* that OpenID Providers provide caching directives through HTTP headers for the Discovery endpoint and the jwks\_uri endpoint and make the cache valid for at least one week. OpenID Providers *SHOULD* document their change procedure. In order to support

automated transitions to configuration updates, OpenID Providers *SHOULD* only make non-breaking changes and retain backward compatibility when possible. It is *RECOMMENDED* that OpenID Providers monitor usage of outdated configuration options used by any OpenID Client and actively work with their administrators to update configurations. The above on caching and changes *MUST* be applied to the jwks\_uri containing the OpenID Provider's key set as well.

### § 5.4.4 Public keys

The OpenID Provider *MUST* provide its public keys in JWK Set format, such as the following example JWK Set containing a PKIoverheid certificate chain and its 2048-bit RSA key (example certificates abbreviated):

## EXAMPLE 4 { "keys": [ { "alg": "RS256", "e": "AQAB", "n": "o80vbR0ZfMhjZWfgwPUGNkcIeUcweFyzB2S2T-hje83I0Vct8gVg9Fx vHPK1ReEW3-p7-A8GNcLAuFP 8jPhiL6LyJC3F10aV9KPQFF-w6Eq6V tpEqYSfzvFeqNiPtpMWd7C43EDwjQ-GrXMVCLrBYxZC-P1ShyxVB0ze R 5MTC0JGiDTecr 2YT6o 3aE2SIJu4iNPgGh9MnyxdBo0Uf0TmrqEI abguXA1-V8iUihwfI8qjf3EujkYi7qXXelIo4 qipQYNjr4DBNl E0 RIOkDU-27mb6esswnP2WgHZQPsk779fTcNDBIcYgyLujlcUATEg fCaPDNp00J6AbY6w", "kty": "RSA", "kid": "rsa-PKIo", "x5c": [ "MIIE3jCCA8agAwIBAgICAwEwDQYJKoZIhvcNAQEFBQAwYzELMAkGA 1UEBhMCVVMxITAfBgNVBAoTGFRoZSBHbyBEYWRkeSBHcm91cCwgSW5 jLjExMC8GA1UECxMoR2[...]TVSzGh601mawGhId/dQb8vxRMDsxux N89txJx90jxUUAiKEngHUuHgDTMBgLdElrRhjZkAzVvb3du6/KFUJh eqwNTrZEjYx8WnM25sgVjOuH0aBsXBTWVU+4=", "MIIE+zCCBGSgAwIBAgICAQ0wDQYJKoZIhvcNAQEFBQAwgbsxJDAiB gNVBAcTG1ZhbGlDZXJ0IFZhbGlkYXRpb24gTmV0d29yazEXMBUGA1U EChMOVmFsaUNlcnQsIE[...]luYAzBgNVBAsTLFZhbGlDZXJ0IENsY XNzIDIgUG9saWN5IFZhbGlkYXRpb24gQXV0aG9yaXR5MSEwHwYDVQQ DExhodHRw0jZXRn453HWkrugp++85j09VZw==", "MIIC5zCCAlACAQEwDQYJKoZIhvcNAQEFBQAwgbsxJDAiBgNVBAcTG 1ZhbGlDZXJ0IFZhbGlkYXRpb24gTmV0d29yazEXMBUGA1UEChM0VmF saUNlcnQsIEluYy4xNT[...]AzBgNVBAsTLFZhbGlDZXJ0IENsYXNz IDIgUG9saWN5IFZhbGlkYXRpb24gQXV0aMtsq2azSiGM5bUMMj4Qss xsodyamEwCW/POuZ6lcg5Ktz885hZo+L7tdEy8W9ViH0Pd" ], "use": "sig", } 1 }

In case PKIoverheid certificates are used, the certificate and entire certificate chain up until the root certificate *MUST* be included as either an x5c or as x5u parameter, according to [*RFC7517*] Sections 4.6 and 4.7. Parties *SHOULD* support the inclusion of the certificate chain as x5c parameter, for maximum interoperability. Parties *MAY* agree to use x5u, for instance for communication within specific environments.

The OpenID Provider *SHOULD* utilize the approaches described in [*OpenID.Core*], Sections 10.1.1 (signing keys) and 10.2.1 (encryption keys), to facilitate rotation of public keys.

Please refer to <u>Algorithms</u> for more information on eligible cryptographic methods and keys that can be used by OpenID Providers.

### § 5.5 Dynamic Registration

If the OpenID Provider is acting as an NL-Gov OAuth Authorization Server [*OAuth2.NLGov*], then Dynamic Registration *MUST* be supported in accordance with Section 3.1.3 of that specification.

Dynamic Registration *MUST* also be supported in combination with per-instance provisioning of secrets when registering Native Applications as confidential Clients.

In other cases, particularly when dealing with Browser-based applications or Native Apps, Dynamic Registration *SHOULD* be supported in accordance with the NL GOV Assurance profile for OAuth 2.0 [*OAuth2.NLGov*].

This profile imposes the following requirements upon the Client Registration request:

#### Initial access tokens

• In cases where the OpenID Provider limits the parties that are allowed to register Clients using Dynamic Registration (i.e. when open registration is not applicable), the use of an initial access token in the form of an OAuth2 Bearer token using the Authorization HTTP header [RFC6750] is REQUIRED for making Client Registration requests. In cases where open registration is applicable, the use of an initial access token is OPTIONAL.

#### redirect\_uris

- *REQUIRED*. Array of Redirection <u>URI</u> values used by the Client. *MUST* be absolute HTTPS URLs. One of these registered Redirection <u>URI</u> values *MUST* exactly match the redirect\_uri parameter value used in each Authorization Request.
- The only exception is when the Client is a Native Application operating on a desktop device and is exclusively registered as such. In such cases:
- the redirect\_uri *MAY* contain absolute HTTP URLs with the literal loopback IP addresses and port numbers the Client is listening on as hostnames. *MUST NOT* use localhost as hostname for the loopback address, see [*RFC8252*] Sections 7.3 and 8.3; and
- even though the port number is part of the registered redirect\_uri, the OpenID Provider
   *MUST* allow any port to be specified in the Authorization Request for loopback IP redirect
   URIs.

### jwks\_uri*or* jwks

• Clients *SHOULD* reference their <u>JSON</u> Web Key (JWK) Set via the <code>jwks\_uri</code> parameter rather than passing their JWK Set document by value using the <code>jwks</code> parameter, as it allows for easier key rotation. Also, the <code>jwks</code> and <code>jwks\_uri</code> parameters *MUST NOT* both be present in the same request.

#### subject\_type

• For cases where correlation of End-User's activities across Clients is not appropriate, the subject\_type parameter *MUST* be set to pairwise. In other cases, the use of pairwise is *RECOMMENDED* unless the use of public identifiers is required.

#### request uris

Array of request\_uri values that are pre-registered by the Client for use at the OpenID
Provider. Clients that make Authentication Requests using the request\_uri parameter,
MUST only do so via pre-registered request uri values.

Section 2 of [*OpenID.Dynamic-Registration*] lists all Client Metadata values that are used by OpenID Connect. Note that additional parameters are defined in OAuth 2.0 Dynamic Client Registration Protocol ([*RFC7591*]) can be relevant as well and *MAY* be used.

### **EXAMPLE 5**

An example of a Client registration request:

```
POST /connect/register HTTP/1.1
Content-Type: application/json
Accept: application/json
Host: server.example.com
Authorization: Bearer eyJhbGciOiJSUzI1NiJ9.eyJ ...
{
  "application_type": "web",
  "redirect uris":
    ["https://client.example.org/callback",
    "https://client.example.org/callback2"],
  "client_name": "My Example",
  "subject type": "pairwise",
  "sector identifier uri":
    "https://other.example.net/file of redirect uris.json",
  "token endpoint auth method": "client secret basic",
  "jwks uri": "https://client.example.org/my public keys.jwks",
  "userinfo encrypted response alg": "RSA1 5",
  "userinfo encrypted response enc": "A128CBC-HS256",
  "contacts": ["mary@example.org"],
}
```

Please refer to <u>Algorithms</u> for more information on eligible cryptographic methods and keys that can be used when registering a Client.

## § 6. User Info

The availability, quality and reliability of an individual's identity attributes will vary greatly across jurisdictions and Provider systems. The following recommendations ensure maximum cross-jurisdictional interoperability, while setting Client expectations on the type of data they may acquire.

### § 6.1 Claim Interoperability

As per Section 5.1.2 of [*OpenID.Core*], Claim names *SHOULD* be collision-resistant. It is *RECOMMENDED* to use domain name based URIs as attribute names.

[*OpenID.Core*] Section 5.1 specifies a list of standard Claims. In a Dutch governmental context, attribute Claims are commonly registered in the <u>BRP</u> (*Basis Registratie Personen*, the Dutch citizen registry), as defined in [*LO.GBA*]. Note that some of the standard Claims of OpenID Connect do not map directly or correctly with <u>BRP</u> attributes. <u>BRP</u> attributes *SHOULD* be preferred over OpenID Connect claims for attributes. Additionally, usage of, or interoperability with, the ISA<sup>2</sup> core vocabularies is *RECOMMENDED*.

### § 6.2 Claims Supported

Discovery requires including the claims\_supported field, which defines the Claims a Client MAY expect to receive for the supported scopes. OpenID Providers MUST return Claims on a best effort basis. However, an OpenID Provider asserting it can provide an End-User Claim does not imply that this data is available for all its End-Users: Clients MUST be prepared to receive partial data. OpenID Providers MAY return Claims outside of the claims\_supported list, but they MUST still ensure that the extra Claims to not violate the privacy policies set out by the trust framework the Provider supports. The OpenID Provider MUST ensure to comply with applicable privacy legislation (e.g. informed consent as per GDPR) at all times.

Note that when Representation is supported, the OpenID Provider *MUST* include represents in the list of supported Claims and *MAY* include nested Claims inside the represents Claim.

# § 6.3 Scope Profiles

In the interests of data minimization balanced with the requirement to successfully identify the individual signing in to a service, the default OpenID Connect scope profiles to request Claims ([*OpenID.Core*] Section <u>5.4</u>) may not be appropriate.

Matching of the identity assertion based on Claims to a local identifier or account related to the individual identity at a Level of Assurance is a requirement where the government in question is not able to provide a single identifier for all citizens based on an authoritative register of citizens.

The requirement for matching is also of importance where a cross-border or cross-jurisdiction authentication is required and therefore the availability of a single identifier (e.g. social security number) cannot be guaranteed for the individual wishing to authenticate.

However, in the Netherlands the <u>BSN</u> is, as a common identifier for citizens, available to <u>BSN</u>-eligible organizations. Nationwide interoperable pseudonyms per OpenID Client for non-<u>BSN</u>-eligible organizations exist as well.

The default profile scope of OpenID Connect is very wide, which is undesired from a privacy perspective. As such, the profile scope *SHOULD NOT* be used.

Note that the doc profile described in the iGov profile for OpenID Connect [*OpenID.iGov*] is not in common use in the Netherlands and therefore not included in this profile.

### § 6.4 Claims Request

OpenID Core Section 5.5 [*OpenID.Core*] defines a method for a Client to request specific Claims in the UserInfo object or ID Token. OpenID Providers *MUST* support this claims parameter in the interest of data minimization - that is, the Provider only returns information on the subject the Client specifically asks for, and does not volunteer additional information about the subject.

Clients requesting the profile scope *MAY* provide a claims request parameter. If the Claims request is omitted, the OpenID Provider *SHOULD* provide a default Claims set that it has available for the subject, in accordance with any policies set out by the trust framework the Provider supports.

**Note:** Clients *SHOULD NOT* request the profile scope, as described in the previous section.

# § 6.5 Claims Response

Response to a UserInfo request *MUST* match the scope and Claims requested to avoid having a OpenID Provider over-expose an End-User's identity information. OpenID Providers *MUST NOT* provide any personal identifiable information without applicable consent.

Claims responses *MAY* also make use of the aggregated and/or distributed Claims structure to refer to the original source of the subject's Claims.

### § 6.6 Claims Metadata

Claims Metadata (such as locale or the confidence level the OpenID Provider has in the Claim for the End-User) can be expressed as attributes within the UserInfo object. These types of Claims are best described by the trust framework the Clients and OpenID Providers operate within. It is up to the Client to assess the level of confidence provided by the OpenID Provider or the trust framework, per Claim.

In order to provide a source, including integrity and optionally confidentiality, an OpenID Provider *SHOULD* be able to provide aggregated or support distributed Claims. The signee of such aggregated or distributed Claims implies the source and can support in assessing the level confidence or quality of the Claim.

For identity assurance there is a standardised extension in <u>OpenID Connect for Identity Assurance</u> <u>1.0</u>. It allows for use under different regulations, such as <u>eIDAS</u>. Below is an example of how to apply <u>eIDAS</u> within the NLGov profile.

#### § 6.6.1 Identity assurance on <u>eIDAS</u> level

The verified\_claims attribute is part of the <u>OpenID Connect for Identity Assurance 1.0</u> to convey verified identity information about the user. This includes attributes like name, date of birth, or national identification number, which have been verified by the OpenID Provider (<u>OP</u>) according to a specific assurance level. In the context of <u>eIDAS</u>, the verified\_claims attribute would be used to provide additional verified identity information, ensuring that the claims meet the required <u>eIDAS LoA</u>. For example, if the acr value indicates a "high" <u>LoA</u>, the verified\_claims would include identity attributes that have been verified to that high assurance level.

#### **EXAMPLE 6**

The following example shows the use of the verified\_claims attribute with eIDAS LoA:

```
{
  "iss": "https://idp-p.example.com/",
  "sub": "248289761001",
  "acr": "http://eidas.europa.eu/LoA/high",
  "verified claims": {
    "verification": {
      "trust framework": "eidas",
      "assurance level": "high",
    },
    "claims": {
      "given_name": "Jan",
      "family_name": "Wandelaar",
      "birthdate": "1985-01-01",
      "place_of_birth": {
        "country": "NL",
        "locality": "Delft"
      },
      "nationalities": [
        "NL"
      ]
    }
  }
}
```

In this example we have:

- iss: The issuer of the token, which is the OpenID Provider (OP).
- sub: The subject identifier, uniquely identifying the user.
- verified claims: Contains verified identity information, structured as follows:
- verification: Describes the verification process:
- trust\_framework: Indicates the trust framework used (in this case <u>eIDAS</u>). This anwsers the question of *which rules* are in play.
- assurance\_level: Matches the acr value, confirming the LoA.
- claims: Contains the verified identity attributes (e.g., name, birthdate, nationality).

Clients can request a specific <u>LoA</u> using the acr\_values parameter in the authentication request. The <u>OP</u> must ensure that the provided acr value meets or exceeds the requested <u>LoA</u>. If the client also sends a vtr (*Vectors of Trust Request*) parameter, the acr\_values take precedence, and the

vtr is ignored. This ensures compatibility with the <u>eIDAS LoA</u> framework. The verified\_claims attribute would then be populated with identity information that aligns with the resulting acr value.

### § 7. Considerations

### § 7.1 Privacy considerations

Data minimization is an essential concept in trust frameworks and federations exchanging End-User identity information for government applications. The design of this profile takes into consideration mechanisms to protect the End-User's government identity information and activity from unintentional exposure.

Pairwise Subject identifiers *MUST* be supported by the OpenID Providers for frameworks where subjects should not be traceable or linkable across Clients by their Subject ID. This prevents situations where an End-User may inadvertently be assigned a universal government identifier.

Request Claims using the claim parameter *MUST* be supported by OpenID Providers to ensure that only the data the Client explicitly requests is provided in the UserInfo response or ID Token. This prevents situations where a Client may only require a partial set of Claims, but receives (and is therefore exposed to) a full set of Claims. For example, if a Client only needs an identifier and the persons legal age, the OpenID Provider *MUST NOT* send the Client the full user name and birth date. Similarly, broad attribute requests through the scope parameter, such as profile *SHOULD NOT* be used.

All Clients *MUST* apply the concept of data minimization. As a result, a Client *MUST NOT* request any more identifiers, attributes or other Claims than strictly necessary. Additionally, Clients *SHOULD* ensure they minimize the scope and audience they request, use and forward. This principle applies to both to usage at the Client as well as forwarded Access Tokens in a Service Intermediation scenario. Token Exchange [*RFC8693*] *SHOULD* be used to request Access Tokens with a minimal scope and audience.

NOTE: Token Exchange in Assurance profile for OAuth 2.0

Token Exchange [*RFC8693*] will be included in the upcoming release of the <u>NL GOV</u> <u>Assurance profile for OAuth 2.0</u>. See the section in the latest draft: https://logiusstandaarden.github.io/OAuth-NL-profiel/#grant-types

Note that per-instance registration of Native Clients can increase the risk of Client -- and thus End-User -- observability and traceability. This because the client\_id is unique, can be linked to an individual and may be observed. The client\_id SHOULD be considered and treated as sensitive data in case per-instance registration is applied. Although the client\_id will be protected by TLS, it may be exposed at the Client itself or the OpenID Provider or elsewhere. As mitigating measure, implementations MAY use encrypted request objects and tokens. OpenID Providers SHOULD assign unpredictable Client Identifiers in case of per-instance registration for Native Clients, in order to mitigate guessing and (cross Client and cross audience) linkability of Client Identifiers.

In order to provide end-to-end security and privacy, identifiers and attributes *SHOULD* be encrypted from the providing source to the ultimate intended recipient. This can be accomplished by either encrypting entire response messages and tokens or by using aggregated or distributed Claims (see Section 5.6.2 of [*OpenID.Core*]). Applying end-to-end encryption is strongly *RECOMMENDED* for both the <u>BSN</u> (*Burgerservicenummer*, the Dutch citizen ID) and sensitive attributes.

Despite the mechanisms enforced by this profile, the operational circumstances may allow these controls to be relaxed in a specific context. For example, if a bilateral agreement between two agencies legally entitles usage of citizen identifiers, then the Pairwise Pseudonymous Identifier requirement may be relaxed. In cases where all Clients are entitled to process Claims associated to a subject at an OpenID Provider, the Claims request requirement may be relaxed.

The reasons for relaxing the controls that support data minimization are outside the scope of this profile.

# § 7.2 Security considerations

Implementations of this profile or any form of access to a service, *MUST* make a risk assessment or security classification for that service and the information disclosed. It is strongly *RECOMMENDED* to follow the guide 'Assurance level for digital service provision' [*SG.LoA*]. Particularly when implementing for higher levels of assurance (e.g. <u>eIDAS</u> "high" or "substantial"), requirements specified as *SHOULD* (NOT) or (NOT) *RECOMMENDED* in this profile are more pertinent to implement accordingly. In line with the scope of the "Assurance level for digital service provision" guide, information and services classified as "state secret" (Dutch: "*staatsgeheim*") are out of scope for implementations under this profile.

An OpenID Provider *MUST* use a distinct Client Identifier (client\_id) and registration for each unique Client. This in particular applies to public Clients, these registrations *MUST NOT* be shared with confidential Clients, even if they are operated by the same organisation. Distinct registrations *MAY* be applied to different versions of (native and browser-based public) Clients as well. This will

allow a form of support for version management, noting that this can not be considered a very reliable method from a security point of view.

Refresh Tokens *SHOULD* only be applied and enabled when a functional need exists. Support for Refresh Tokens *SHOULD* therefore be disabled by default. Refresh Tokens for confidential Clients *MUST* be sender-constrained by the issuing OpenID Provider. How the <u>OP</u> accomplishes this is implementation specific, suggestions can be found in [*OAuth2.1*], Section 6.1. Using Refresh Tokens in combination with public Clients *SHOULD* be avoided when possible. If a specific scenario does call for usage of Refresh Tokens with public Clients, Refresh Tokens *MUST* rotate on each use with a limited valid lifetime.

All transactions *MUST* be protected in transit by TLS as described in BCP195 [*RFC7525*]. In addition, all compliant implementations *MUST* apply the IT Security Guidelines for TLS by the Dutch NCSC [*SG.TLS*]. Implementations *SHOULD* only implement settings and options indicated as "good", *SHOULD NOT* use any settings with a status "phase out" and *MUST NOT* use any setting with a status "insufficient" in these security guidelines or future updates thereof.

Implementations *MUST* implement 'HTTP Strict Transport Security', as specified in [*RFC6797*].

All Clients *MUST* conform to applicable recommendations found in the 'Security Considerations' sections of [*RFC6749*] and those found in 'OAuth 2.0 Threat Model and Security Considerations' [*RFC6819*]. For all Tokens, the 'JSON Web Token Best Current Practices' [*RFC8725*] *SHOULD* be applied.

All Clients *MUST* apply cross-site request forgery (CSRF) counter measures. Clients can leverage the OpenID Connect nonce and OAuth2 state parameters to do so. A Client *MUST* utilize one or more of these parameters to verify an Authentication Response matches with the Authentication Request sent. After first use, the Client *SHOULD* invalidate the parameter so it can be used only once (see [*OAuth2.Security*], Section 4.2.4).

In case Clients are relying on and communicating with multiple OpenID Providers (and/or OAuth2 Authorization Servers), Clients *MUST* implement countermeasures to prevent mix-up attacks. Clients *SHOULD* at least use distinct redirect URIs for each OpenID Provider / Authorization Server, or alternatively validate the issuer (iss) in the response (ID Token) matches the initiating Authentication Request (see [*RFC8252*], Section 8.10 and [*OAuth2.Security*], Section 2.1 and 4.4.2).

#### § 7.2.1 Algorithms

Security of OpenID Connect and OAuth 2.0 is significantly based on the application of cryptography. Herein the choice of algorithms is important for both security as well as interoperability. This section lists relevant choices of algorithms for all messages and tokens.

For signing of messages and tokens, implementations:

- *MUST* support RS256.
- SHOULD support PS256; usage of PS256 is RECOMMENDED over RS256.
- *MAY* support other algorithms, provided they are at least equally secure as RS256.
- *MUST NOT* support algorithms that are less secure than RS256.

For asymmetric encryption, in particular encryption of content encryption keys, implementations:

- *MUST* support RSA-0AEP.
- SHOULD support RSA-0AEP-256.
- *MAY* support other algorithms, provided they are at least equally secure as RSA-OAEP.
- *MUST NOT* support algorithms that are less secure than RSA-0AEP.

For symmetric encryption, implementations:

- MUST support A256GCM.
- *MAY* support other algorithms, provided they are at least equally secure as A256GCM.
- *MUST NOT* support algorithms that are less secure than A256GCM.

In addition to proper selection and configuration of algorithms, implementations *MUST* ensure to use a cryptographically secure (pseudo)random generator. Administrators and implementations *MUST* apply industry best practices for key management of cryptographic keys. This includes best practices for selection of applicable key length as applicable for the relevant algorithm(s) selected.

# § 7.3 Future updates

This profile was created using published, finalized specifications and standards as basis. Some relevant new documents are under development at the time of writing. As this profile does not use any draft documents as basis, these cannot be included. However, we want to attend readers to these developments and for them to take into account that future updates to this profile may incorporate the resulting standards and specifications. Furthermore we would like encourage readers to follow relevant developments.

#### **§** 7.3.1 Service Intermediation

One functionality that is widely used in the (semi-)governmental sector but is not included in the initial version of this profile specification is *Service Intermediation*. This scenario is sometimes also referred to as identity propagation. Examples of Service Intermediation scenario's include portals, API aggregators and Clients with enhanched or automated assistence for consuming services.

Service Intermediation is applicable when the Service Provider does not directly interact with the End-User, but delegates this responsibility to a Service Intermediary. The Service Intermediary therefore interacts with the OpenID Provider for End-User authentication, with the service offered by the Service Provider in scope of the Authentication Request. The Service Provider can now rely on a token from the OpenID Provider received via the Service Intermediary. Note that there is interaction with OAuth2, the Service Provider acts as Resource Server.

Such a Service Intermediary can intermediate a single service offered by a single Service Provider (e.g. an accounting app (service) that has an option to submit a tax declaration) or it can aggregate multiple Services offered by multiple Service Providers using intermediation (e.g. an app that aggregates your health information stored at several health organisations).

It is anticipated that support for Service Intermediation will be added in a later version of this profile; when it will, the following should be considered:

- Service Intermediaries should be able to obtain Claims and subject identifiers for different intermediated Services via different interactions with the OpenID Provider, with End-User consent but without the need of complete re-authentication.
- Service Intermediaries are generally not allowed to access Claims and subject identifiers. Hence, the use of pairwise and encrypted subject identifiers and Claims is usually required.
- Service Providers control which Service Intermediaries they support, specifically when
  confidential information is involved. Hence, Client Registration with the OpenID Provider
  must be established such that Service Intermediaries can only intermediate (and request
  Claims and subject identifiers for) Services that they are authorized for. A potential solution
  direction could be the use of Proof-of-Possession Key Semantics, as described in [RFC7800].

#### § 7.3.2 Federations

This profile acknowledges that federations are widely in use, in particular among (semi-)governmental and public domain organisations. However, no specific support or

requirements for federations are included in this version of this profile. The OpenID Foundation is currently drafting a specification for explicit support of federations using OpenID Connect. Future updates to this profile may adopt such federation specifications once finalized. See <u>Federation at the OpenID Foundation</u>.

#### § 7.3.3 Other features

The following overview lists <u>RFC</u> and <u>BCP</u> documents being drafted by the OAuth 2.0 working group of the Internet Engineering Task Force (<u>IETF</u>) and work-in-progress by the OpenID Foundation. Future updates to this profile are likely to seek usage of and interoperability with these specifications once finalized.

#### [OAuth2.JWT]

An <u>RFC</u> for Access Tokens in <u>JWT</u> format is being drafted in the OAuth 2.0 working group at <u>IETF</u>.

#### [OAuth2.JAR]

• An <u>RFC</u> for Secured (signed and/or encrypted) Authorization Requests is being drafted in the OAuth 2.0 working group at <u>IETF</u>. Most of the practices described in this <u>RFC</u> are already part of the OpenID Connect Core specification.

#### [OAuth2.RAR]

• An <u>RFC</u> that introduces a request parameter authorization\_details, which allows for more expressive Authentication Requests than those possible with the scope parameter, is being drafted in the OAuth 2.0 working group at <u>IETF</u>.

#### [OAuth2.PAR]

An <u>RFC</u> that introduces an endpoint to which Clients can push Authorization Requests via a
direct POST request to an Authorizaton Server, prior to forwarding the End-User with a
request\_uri referencing the request to the Authorization Server, is being drafted in the
OAuth 2.0 working group at <u>IETF</u>. The practices described in this <u>RFC</u> are already part of the
OpenID Connect Core specification.

#### [OAuth2.Security]

 A Best Current Practice document that extends the OAuth 2.0 Security Threat Model and provides security recommendations to address security challenges in OAuth 2.0 is being drafted in the OAuth 2.0 working group at <u>IETF</u>.

#### [OAuth2.Browser-Based-Apps]

• A Best Current Practice document that details security considerations and best practices to be taken into account when implementing browser-based applications that use OAuth 2.0 is being drafted in the OAuth 2.0 working group at IETF.

#### [*OAuth2.1*]

• An effort to consolidate and simplify OAuth 2.0 by adding and removing functionality of the core OAuth 2.0 specification and by incorporating several RFCs and BCPs that were built upon OAuth 2.0.

#### [*OpenID*.*Federation*]

 Work by the OpenID Foundation to support federations of OpenID Providers and relying Service Providers, by publishing aggregated metadata in a specified format.

# § A. Glossary

The following terms that are specific to this profile or its functional context are used throughout this specification:

#### Representation

The action of one party acting on behalf of another party through delegated authority, which was given voluntary or based on legal grounds. Both parties can either be natural or juridical persons.

#### Representation Relationship

When one party represents another party, both parties have a Representation Relationship. Typically a Representation Relationship needs to be formally documented in order to be useable in automated processes, resulting in a statement or registration of the Representation Relationship.

#### eIDAS

<u>eIDAS</u> (Electronic Identification, Authentication and Trust Services) is an <u>EU</u> regulation on electronic identification and trust services for electronic transactions in the European Union.

### **§** A.1 Notices

The technology described in this specification was made available from contributions from various sources, including members of the OpenID Foundation (OIDF), the Internet Engineering Task Force (IETF) and others.

### § A.2 Acknowledgements

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### § B. Conformance

As well as sections marked as non-normative, all authoring guidelines, diagrams, examples, and notes in this specification are non-normative. Everything else in this specification is normative.

The key words *MAY*, *MUST*, *MUST NOT*, *NOT RECOMMENDED*, *OPTIONAL*, *RECOMMENDED*, *REQUIRED*, *SHALL*, *SHALL NOT*, *SHOULD*, and *SHOULD NOT* in this document are to be interpreted as described in <u>BCP 14</u> [*RFC2119*] [*RFC8174*] when, and only when, they appear in all capitals, as shown here.

# § C. Index

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# **S** C.2 Terms defined by reference

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