# **Database System**

LESSON 01: INTRODUCTION

September 2019

### Contents

The Need for Databases

**Data Models** 

Relational Databases

**Database Design** 

Storage Manager

**Query Processing** 

**Transaction Manager** 

# Database Management System (DBMS)

#### DBMS contains information about a particular enterprise

- Collection of interrelated data
- Set of programs to access the data
- An environment that is both convenient and efficient to use

#### Database Applications:

- Banking: transactions
- Airlines: reservations, schedules
- Universities: registration, grades
- Sales: customers, products, purchases
- Online retailers: order tracking, customized recommendations
- Manufacturing: production, inventory, orders, supply chain
- Human resources: employee records, salaries, tax deductions

Databases can be very large.

Databases touch all aspects of our lives

# Drawbacks of using file systems to store data

### Data redundancy and inconsistency

Multiple file formats, duplication of information in different files

#### Difficulty in accessing data

Need to write a new program to carry out each new task

#### Data isolation

Multiple files and formats

#### Integrity problems

- Integrity constraints (e.g., account balance > 0) become "buried" in program code rather than being stated explicitly
- Hard to add new constraints or change existing ones

### Drawbacks of using file systems to store data (Cont.)

#### Atomicity of updates

- Failures may leave database in an inconsistent state with partial updates carried out
  - Example: Transfer of funds from one account to another should either complete or not happen at all

#### Concurrent access by multiple users

- Concurrent access needed for performance
- Uncontrolled concurrent accesses can lead to inconsistencies
  - > Example: Two people reading a balance (say 100) and updating it by withdrawing money (say 50 each) at the same time

#### Security problems

Hard to provide user access to some, but not all, data

#### Database systems offer solutions to all the above problems

#### Levels of Abstraction

Physical level: describes how a record (e.g., instructor) is stored.

Logical level: describes data stored in database, and the relationships among the data.

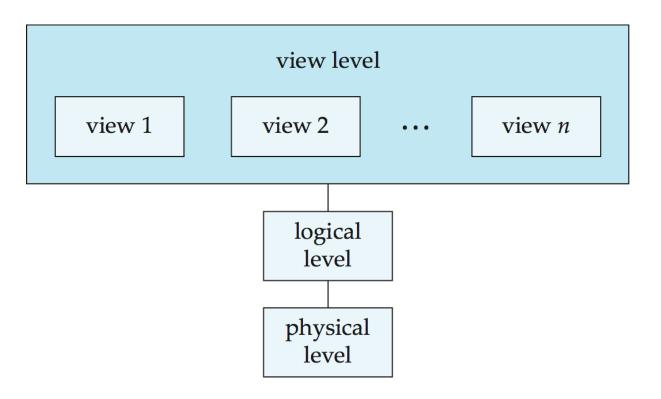
```
type instructor = record

ID : string;
    name : string;
    dept_name : string;
    salary : integer;
end;
```

**View level:** application programs hide details of data types. Views can also hide information (such as an employee's salary) for security purposes.

### View of Data

An architecture for a database system



### Instances and Schemas

Similar to types and variables in programming languages **Logical Schema** – the overall logical structure of the database

- Example: The database consists of information about a set of customers and accounts in a bank and the relationship between them
  - Analogous to type information of a variable in a program

Physical schema— the overall physical structure of the database Instance — the actual content of the database at a particular point in time

Analogous to the value of a variable

Physical Data Independence – the ability to modify the physical schema without changing the logical schema

- Applications depend on the logical schema
- In general, the interfaces between the various levels and components should be well defined so that changes in some parts do not seriously influence others.

### **Data Models**

A collection of tools for describing

- Data
- Data relationships
- Data semantics
- Data constraints

Relational model

Entity-Relationship data model (mainly for database design)

Object-based data models (Object-oriented and Object-relational)

Semistructured data model (XML)

#### Other older models:

- Network model
- Hierarchical model

### Relational Model

All the data is stored in various tables.

Example of tabular data in the relational model

| ID    | пате       | dept_name  | salary |        |
|-------|------------|------------|--------|--------|
| 22222 | Einstein   | Physics    | 95000  | . Rows |
| 12121 | Wu         | Finance    | 90000  |        |
| 32343 | El Said    | History    | 60000  | /      |
| 45565 | Katz       | Comp. Sci. | 75000  | /      |
| 98345 | Kim        | Elec. Eng. | 80000  |        |
| 76766 | Crick      | Biology    | 72000  |        |
| 10101 | Srinivasan | Comp. Sci. | 65000  |        |
| 58583 | Califieri  | History    | 62000  |        |
| 83821 | Brandt     | Comp. Sci. | 92000  |        |
| 15151 | Mozart     | Music      | 40000  |        |
| 33456 | Gold       | Physics    | 87000  | ↓      |
| 76543 | Singh      | Finance    | 80000  |        |

Columns

(a) The *instructor* table

# Data Definition Language (DDL)

Specification notation for defining the database schema

```
Example: create table instructor (

ID char(5),

name varchar(20),

dept_name varchar(20),

salary numeric(8,2))
```

DDL compiler generates a set of table templates stored in a *data dictionary*Data dictionary contains metadata (i.e., data about data)

- Database schema
- Integrity constraints
  - > Primary key (ID uniquely identifies instructors)
- Authorization
  - Who can access what

# Data Manipulation Language (DML)

Language for accessing and manipulating the data organized by the appropriate data model

DML also known as query language

#### Two classes of languages

- Pure used for proving properties about computational power and for optimization
  - > Relational Algebra
  - > Tuple relational calculus
  - Domain relational calculus
- Commercial used in commercial systems
  - SQL is the most widely used commercial language

### SQL

The most widely used commercial language

To be able to compute complex functions SQL is usually embedded in some higher-level language

Application programs generally access databases through one of

- Language extensions to allow embedded SQL
- Application program interface (e.g., ODBC/JDBC) which allow SQL queries to be sent to a database

### Database Design

#### The process of designing the general structure of the database:

- Logical Design Deciding on the database schema. Database design requires that we find a "good" collection of relation schemas.
  - > Business decision What attributes should we record in the database?
  - > Computer Science decision What relation schemas should we have and how should the attributes be distributed among the various relation schemas?
- Physical Design Deciding on the physical layout of the database

# Database Design (Cont.)

Is there any problem with this relation?

| ID    | пате       | salary | dept_name  | building | budget |
|-------|------------|--------|------------|----------|--------|
| 22222 | Einstein   | 95000  | Physics    | Watson   | 70000  |
| 12121 | Wu         | 90000  | Finance    | Painter  | 120000 |
| 32343 | El Said    | 60000  | History    | Painter  | 50000  |
| 45565 | Katz       | 75000  | Comp. Sci. | Taylor   | 100000 |
| 98345 | Kim        | 80000  | Elec. Eng. | Taylor   | 85000  |
| 76766 | Crick      | 72000  | Biology    | Watson   | 90000  |
| 10101 | Srinivasan | 65000  | Comp. Sci. | Taylor   | 100000 |
| 58583 | Califieri  | 62000  | History    | Painter  | 50000  |
| 83821 | Brandt     | 92000  | Comp. Sci  | Taylor   | 100000 |
| 15151 | Mozart     | 40000  | Music      | Packard  | 80000  |
| 33456 | Gold       | 87000  | Physics    | Watson   | 70000  |
| 76543 | Singh      | 80000  | Finance    | Painter  | 120000 |

# **Design Approaches**

Need to come up with a methodology to ensure that each of the relations in the database is "good"

#### Two ways of doing so:

- Entity Relationship Model
  - Models an enterprise as a collection of entities and relationships
  - > Represented diagrammatically by an entity-relationship diagram:
- Normalization Theory
  - Formalize what designs are bad, and test for them

# Database Engine

Storage manager
Query processing
Transaction manager

### Storage Management

**Storage manager** is a program module that provides the interface between the low-level data stored in the database and the application programs and queries submitted to the system.

The storage manager is responsible to the following tasks:

- Interaction with the OS file manager
- Efficient storing, retrieving and updating of data

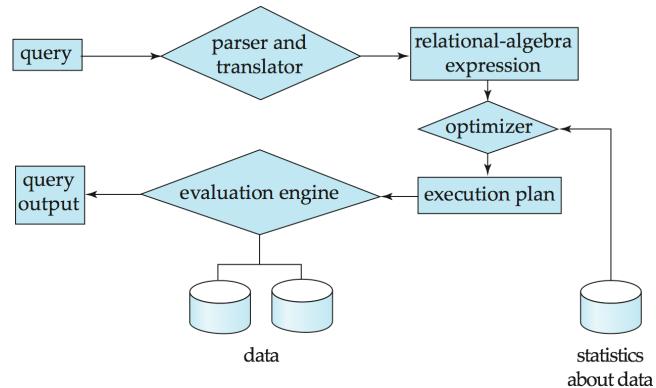
#### Issues:

- Storage access
- File organization
- Indexing and hashing

# **Query Processing**

Parsing and translation

- 2. Optimization
- 3. Evaluation



# Query Processing (Cont.)

Alternative ways of evaluating a given query

- Equivalent expressions
- Different algorithms for each operation

Cost difference between a good and a bad way of evaluating a query can be enormous

Need to estimate the cost of operations

- Depends critically on statistical information about relations which the database must maintain
- Need to estimate statistics for intermediate results to compute cost of complex expressions

### **Transaction Management**

What if the system fails?

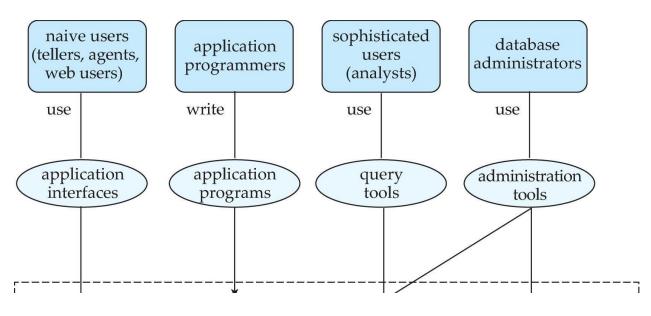
What if more than one user is concurrently updating the same data?

A **transaction** is a collection of operations that performs a single logical function in a database application

**Transaction-management component** ensures that the database remains in a consistent (correct) state despite system failures (e.g., power failures and operating system crashes) and transaction failures.

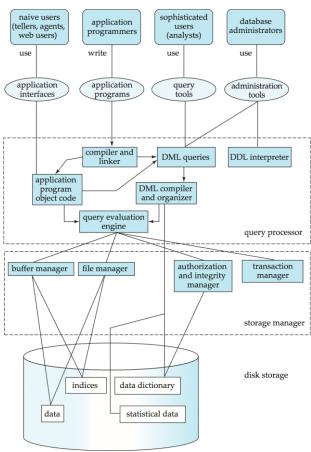
Concurrency-control manager controls the interaction among the concurrent transactions, to ensure the consistency of the database.

### **Database Users and Administrators**



### **Database**

### Database System Internals



### **Database Architecture**

The architecture of a database systems is greatly influenced by the underlying computer system on which the database is running:

- Centralized
- Client-server
- Parallel (multi-processor)
- Distributed

### History of Database Systems

#### 1950s and early 1960s:

- Data processing using magnetic tapes for storage
  - Tapes provided only sequential access
- Punched cards for input

#### Late 1960s and 1970s:

- Hard disks allowed direct access to data
- Network and hierarchical data models in widespread use
- Ted Codd defines the relational data model
  - > Would win the ACM Turing Award for this work
  - > IBM Research begins System R prototype
  - > UC Berkeley begins Ingres prototype
- High-performance (for the era) transaction processing

# History (cont.)

#### 1980s:

- Research relational prototypes evolve into commercial systems
  - SQL becomes industrial standard
- Parallel and distributed database systems
- Object-oriented database systems

#### 1990s:

- Large decision support and data-mining applications
- Large multi-terabyte data warehouses
- Emergence of Web commerce

#### Early 2000s:

- XML and XQuery standards
- Automated database administration

#### Later 2000s:

- Giant data storage systems
  - Google BigTable, Yahoo PNuts, Amazon, ...