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02



DTD DECLARATION

DTD DEFINITION





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NAMESPACES

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XML SCHEMA









OI.DTD DECLARATION











DTD DECLARATION (Document Type Definition)

- Set of rules used to validate an XML document, specifying
 - what can be used (elements, attributes, entities and notations) to build the XML document.
 - how can it be used (order, nesting...)
- Their use is not mandatory, but it is advisable.
- They can be given both internally and externally.
- Use of the <!DOCTYPE> tag.





DTD DECLARATION



Internal DTD

- Definition within the same XML document.
 - In the prologue, just after the first line.
- Works both for standalone="yes" and standalone="no" (default).



DTD DECLARATION



External DTD

- Definition in a separate file with .dtd extension
- The <!DOCTYPE> tag in the XML document just links to the DTD file.
- Two types of reference:
 - through a private identifier (SYSTEM)
 - through a public identifier (PUBLIC)

```
<!DOCTYPE rootName PUBLIC
   "publicIdent" "filePath">
```

Only works for standalone="no" (default).

```
<!--file.dtd-->
<!ELEMENT rootName (childName1, childName2)>
<!ELEMENT childName1 (#PCDATA)>
<!ELEMENT childName2 (#PCDATA)>
```







02.



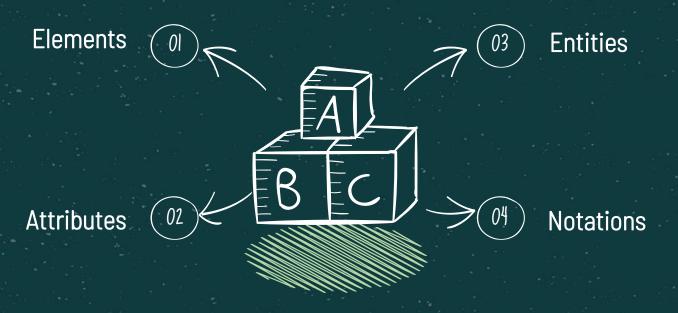








A DTD is built by defining:



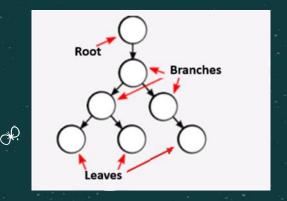




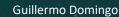


Elements

- <!ELEMENT> tag
- Indicates conditions subject to the appearance of an element in the XML document.
- For the file to be valid, all elements must
 - have their type declared
 - conform to their declared type











Elements

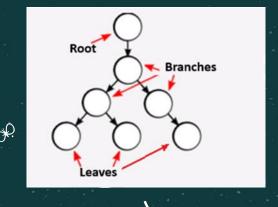
<!ELEMENT elementName contentType>

- Accepted content types:
 - ANY: element can contain anything.

 Wildcard for W.I.P. that should not be in the final version.
 - EMPTY: element must be empty to validate.
 - (#PCDATA): element contains a string.
 - <, &,]]> forbidden.
 - Cannot have children.
 - (elementName): contains another element thus named.













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Elements

Cardinality

	NOTATION	MEANING	EXAMPLE
	nothing	only one element	ELEMENT notice (from)
	?	zero or one	ELEMENT notice (from?)
23	+	one or more	ELEMENT notice (message+)
	*	zero or more	ELEMENT notice (message*)
3	(name1, name2,)	there must be all	ELEMENT notice (from, to, message)
œ	(name1 name2)	there must be one	ELEMENT notice (#PCDATA to message)*
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exercise

```
<!DOCTYPE cv [
  <!ELEMENT cv (name, address,
    phone, fax?, email+, languages)>
  <!ELEMENT name (#PCDATA)>
  <!ELEMENT address (#PCDATA)>
  <!ELEMENT phone (#PCDATA)>
  <!ELEMENT fax (#PCDATA)>
  <!ELEMENT email (#PCDATA)>
  <!ELEMENT languages (language*)>
  <!ELEMENT language (#PCDATA)>
```

Build an XML file validated by this DTD.







Attributes

- <!ATTLIST> tag
- Indicates conditions subject to the appearance of the attributes of an element in the XML file.
- For the file to be valid, all attributes must:
 - have their type declared
 - conform to their declared type





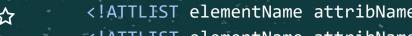


Attributes

• Syntax, option 1: All attributes within the same <!ATTLIST> tag

<!ATTLIST elementName attribName1 attribType value attribName2 attribType value...>

• Syntax, option 2: Separated <!ATTLIST> tags for each attribute



<!ATTLIST elementName attribName1 attribType value> <!ATTLIST elementName attribName2 attribType value>









Attributes

Accepted types:

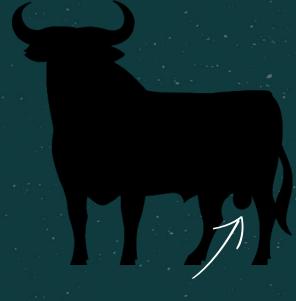
CDATA NMTOKEN

an enumerated list NMTOKENS

ID ENTITY

DREF ENTITIES

: IDREFS NOTATION









Attributes : types

CDATA: any character string (not tags).

<!ATTLIST person name CDATA #REQUIRED>

an enumerated list: can only take the indicated values.

<!ATTLIST payment type (cash|card) "cash">

 ID: unique identifier (an element can only have one ID and two elements cannot have the same ID).

<!ATTLIST product code ID #REQUIRED>



Guillermo Domingo







Attributes : types

IDREF: reference to the ID of another existing element.

```
<!ATTLIST employee
  idEmployee ID #REQUIRED
  idBoss IDREF #IMPLIED>
```

• IDREFS: like IDREF, but validates a list of existing, single blank space-separated ID.

```
<!ATTLIST employee
  idEmployee ID #REQUIRED
  idCollaborators IDREFS #IMPLIED>
```









Attributes : types

• ENTITIY: an entity declared within the current DTD.

(Example in slide 25)

 ENTITIES: validates a list of existing, single blank space-separated ENTITY.









Attributes : types

• NMTOKEN: any valid name, without any spaces inside (ignores any before or after).

<!ATTLIST river birthCountry NMTOKEN #REQUIRED>

 NMTOKENS: validates a list of existing, single blank space-separated NMTOKEN.

<!ATTLIST river passCountries NMTOKENS #REQUIRED>

NOTATION: notation defined in the current DTD.

(Example in <u>slide 29</u>)







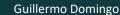
Attributes

- Possible values:
 - "value": will be the default value of the attribute.
 - #REQUIRED: mandatory attribute.
 - #IMPLIED: optional attribute.
 - #FIXED "value": the attribute will always have the same value.

<!ATTLIST message sender CDATA #FIXED "IES Serpis">











example

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE cinema [
 <!ELEMENT cinema (films, directors)>
 <!ELEMENT films (film)*>
 <!ELEMENT film (#PCDATA)>
 <!ATTLIST film filmcode ID #REQUIRED>
 <!ELEMENT directors (director)*>
 <!ELEMENT director (#PCDATA)>
 <!ATTLIST director filmography IDREFS #REQUIRED>
<cinema>
 <films>
    <film filmcode="F1">Aliens</film>
    <film filmcode="F2">Gran Torino</film>
    <film filmcode="F3">The Terminator</film>
    <film filmcode="F4">Titanic</film>
 </films>
 <directors>
    <director filmography="F2">Clint Eastwood</director>
    <director filmography="F1 F3 F4">James Cameron</director>
 </directors>
</cinema>
```

Cinema XML file

validated by internal DTD





Exercise: cooking recipe

Write the DTD that validates an XML document containing a cooking recipe with the following structure:



- The main element is recipes, which is a collection of recipe.
- A recipe consists of its name (free text), a list with one or more ingredients and a text with the preparation instructions (free text).
- An ingredient contains free text.
- Recipes have an attribute telling the number of servings.

- Recipes have an attribute to indicate difficulty (high, medium or low; default is medium).
- Ingredients have an optional attribute with the quantity of the ingredient.
- Ingredients have an optional attribute to indicate the measuring **unit** in which the quantity is expressed.







Entities

- <!ENTITY> tag.
- Like constants in programming:
 - they are given a name.
 - they are assigned an invariable value.
- Example: internal character entity
 - <!ENTITY copy "©">
 - Can be summoned later as ©
 - exception as an attribute value: attribName="copy"
 - Can be generalized to any string.











Entities

- Can be internal (within XML document) or external.
- External entities can be:
 - public (PUBLIC) or private (SYSTEM).
 - parsed or not parsed.
 - parsed: linked file contains plain text.
 - not parsed: skipped by the XML parser; parsing done by final application.
 - Uses NDATA and notation.









tities: examples

```
<!--internal-->
<!ENTITY insti "IES Serpis">
<!--external private parsed-->
<!ENTITY country SYSTEM "country.xml">
<!--external private not parsed->
<!DOCTYPE image [
 <!ELEMENT image EMPTY>
  <!ATTLIST image source ENTITY #REQUIRED>
  <!ENTITY logo SYSTEM "logo.gif" NDATA gif>
 <!NOTATION gif SYSTEM "image/gif">
<image source="logo"/>
```





entities: examples

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE files [
  <!ELEMENT files (file)>
  <!ELEMENT file (name, town, province, country)>
  <!ELEMENT name (#PCDATA)>
  <!ELEMENT town (#PCDATA)>
  <!ELEMENT province (#PCDATA)>
  <!ELEMENT country (#PCDATA)>
  <!ENTITY townName "Valencia">
  <!ENTITY provinceName SYSTEM "prov.txt">
  <!ENTITY country SYSTEM "country.xml">
  <!ENTITY insti "IES Serpis">
1>
<files>
  <file>
   <name>&insti;</name>
   <town>&townName;</town>
   ovince>&provinceName;
   &country;
  </file>
</files>
```









- Most applications block external entities because they pose a security risk (XXE injection attack).
- For this reason, web browsers block and do not display them.
 - A non-blocking application must be used to check that it works, such as <u>XML Notepad</u>.

```
-<file>
-<file>
<name>IES Serpis</name>
<town>Valencia</town>

</file>
</files>
```

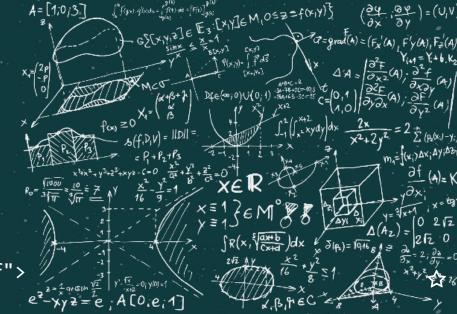




Votations

- Identify the format of non-XML entities (also attributes).
 - often, <u>MIME types</u>.
 - public (PUBLIC) or private (SYSTEM).

<!NOTATION gif SYSTEM "image/gif">







```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE animals [
  <!ELEMENT animals (animal)*>
  <!ELEMENT animal (name)>
  <!ELEMENT name (#PCDATA)>
  <!NOTATION png SYSTEM "image/png">
  <!ATTLIST animal
      image CDATA #IMPLIED
      imgType NOTATION (png) #IMPLIED> ]>
<animals>
  <animal image="dog.png" imgType="png">
    <name>Dog</name>
  </animal>
</animals>
```

Check slide 25 for an example on entities.





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PRÁCTICA











03.









- A namespace is a set of unique names.
- They arise from the need to differentiate distinct elements with the same name when code coming from several files is combined into one.

Distinct elements with the same name

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- Declared using the **xmlns** attribute.
 - Value: the namespace identifier (actually, a URL to its definition).
- It can be done in two ways:
 - inline (directly in the element).
 - in the root node of the document, using prefixes.

```
<root>
  <pen xmlns="URL1">
    <material>plastic</material>
    <brand>BIC
    <ink color>blue</ink color>
  </pen>
  <pen xmlns="URL2">
    <animals>
      <rooster>Cocky</rooster>
      <hen>Sugar</hen>
      <hen>Candy</hen>
    </animals>
  </pen>
</root>
```





- Declared using the xmlns attribute.
 - Value: the namespace identifier (actually, a URL to its definition).
- It can be done in two ways:
 - 1) inline (directly in the element).
 - 2) in the root node of the document, using prefixes.
 - The prefix must be used with the element you need to differentiate and all its children.
 - Also, in their closing tags.







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04.XML SCHEMA











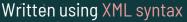
XML SCHEMA

- Defines types
- Allows to validate XML

- Compared to DTD, is:
- More powerful and accurate
- More current
- More complex



XML Schema Definition (XSD)



- Can be checked if well-formed.
- Can be manipulated via XML DOM.
- Can be transformed using XSLT.

.xsd files

• Always external









In addition to everything that DTD allows, XML Schema...

- allows to define data types (integer, date...).
 - Even create more.
- Allows to add restrictions:
 - Number ranges (maximum, minimum).
 - Patterns for strings.
 - More accurate cardinality.









Please pay attention to the color code used in the examples to differentiate between:

- XML file
- XSD file

Structure of the XSD document

- First line: the same as any other XML document.
- Root node: always < somePrefix:schema>
 - Has attributes; at least, one defining the namespace:

<xs:schema xmlns:xs=http://www.w3.org/2001/XMLSchema>

- This namespace is always the same.
- All of the XML Schema elements and data types are defined there.
- The remaining elements and attributes are declared between the root node opening and closing tags.

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema
    xmlns:xs="http://www.w3.org/2001/XMLSchema">

<!--Remaining elements and attributes-->
</xs:schema>
```



XML Schema instance

- This is what validated XML documents are called in this context.
- The link with the XSD must be done within the root node opening tag.
- For XSD in a local file:

<rootNode xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xsi:noNamespaceSchemaLocation="path_to_xsd_file">

- This namespace is always the same.
- It contains all the attributes needed for the link.

```
<?xml version="1.0" encoding="UTF-8"?>
<message
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:noNamespaceSchemaLocation="xsd/message.xsd">
    <from>Luisa</from>
    <to>Carla</to>
    <body>I'm on my way</body>
</message>
```





example

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
  <xs:element name="message">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="from" type="xs:string"/>
        <xs:element name="to" type="xs:string"/>
        <xs:element name="body" type="xs:string"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```



The xs: prefix was used in all XSD code examples



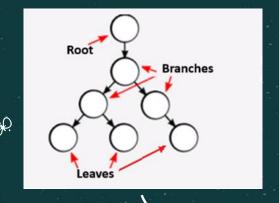


Elements

- <xs:element> tag.
- Simple elements: can only contain "text".
 - neither other elements nor attributes.
- Complex elements: do contain other elements and/or attributes.
 - Can be:
 - empty (with attributes).
 - container only for other elements.
 - container only for text (with attributes).
 - mixed (container for text and other elements).



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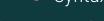




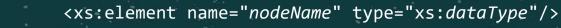




- Only contain "text".
- The data type of this text must be specified.
- Syntax:



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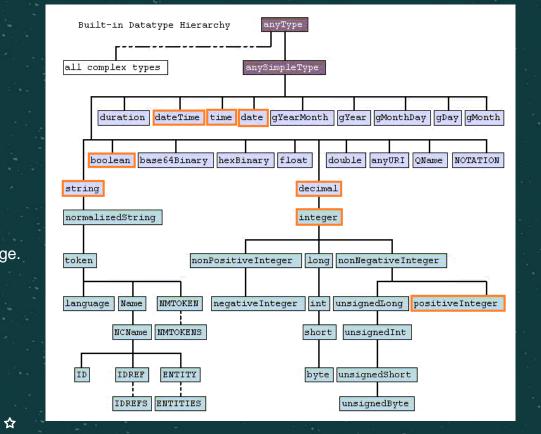






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XML SCHEMA



The most common data type are outlined in orange.









Simple elements

XML SCHEMA

- Other attributes of <xs:element>:
 - default="value": indicates a default value.
 - fixed="value": indicates a fixed value.
 - minOccurs, maxOccurs: minimum and maximum number of occurrences.
 - default "1".
 - unlimited with "unbounded".
 - ref: reference to a global element (defined separately)
 - incompatible with name, type and <xs:simpleType>













simple elements

```
<!--instance fragment-->
<surname>Bru</surname>
<age>17</age>
<birthDate>2007-12-05</birthDate>
```

```
<!-schema fragment-->
<xs:element name="surname" type="xs:string"/>
<xs:element name="age" type="xs:positiveInteger"/>
<xs:element name="birthDate" type="xs:date"/>
```







Attributes

- <xs:attribute> tag.
- Have no order.
- Syntax:

<xs:attribute name="attributeName" type="xs:dataType"/>

- Other attributes of <xs:attribute>:
 - default
 - fixed
 - use: obligation. required optional (default)
 - ref: reference to global attribute (defined separately)
 - incompatible with name, type and <xs:simpleType>

<xs:attribute name="color" type="xs:string" default="blue" use="required"/>











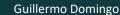
Restrictions



- Define acceptable values for elements and attributes.
 - Reduce the original domain.
- To use them, several auxiliary tags must be entered.



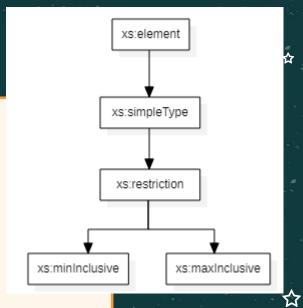




Data type not here!

restrictions: example

```
<!--schema fragment-->
<xs:element name="age">
  <xs:simpleType>
    <xs:restriction base="xs:integer">
      <xs:minInclusive value="0"/>
      <xs:maxInclusive value="120"/>
   </xs:restriction>
  </xs:simpleType>
</xs:element>
```



Element with a limited age between 0 and 120 (inclusive).

The restriction reduces the domain of the given basic type.



Restrictions

minInclusive	: Value must be greater than or equals to this value
maxInclusive	Value must be lower than or equals to this value
minExclusive	Value must be greater than this value
maxExclusive	Value must be lower than this value
totalDigits	Maximum number of digits
fractionDigits	Maximum number of decimal places
length	Exact length of a string or list
minLength	Minimum length of a string or list
maxLength	Maximum length of a string or list
enumeration	Accepted value (in an enumerated list)
pattern	String pattern (RegEx)
\Diamond	











XML SCHEMA restrictions: example 2

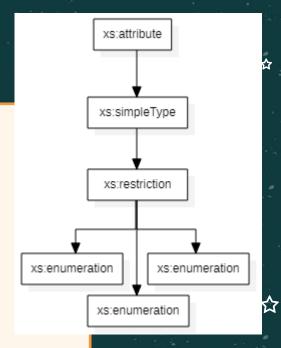
<!--schema fragment-->

<xs:simpleType>

</xs:element>

<xs:attribute name="color">

The restriction reduces the domain of the given basic type.





Local vs. global declaration

Two ways to declare elements and attributes:

- a) LOCAL: directly in the element or attribute (inline)
- **b)** GLOBAL: with reference
 - ...to an existing element or attribute, using the ref attribute
 - ...to an existing <xs:complexType>, using the type attribute
 - used to refer complex elements (example in slide 58).
 - Makes reading and reutilization easier (the latter, only complex types).











local declaration

```
<!--instance fragment->
<vegetable code="A9">potato</vegetable>
```



global declaration with ref

```
<!--instance fragment->
<vegetable code="A9">potato</vegetable>
```

```
<!--schema fragment-->
<xs:element name="vegetable">
  <xs:complexType mixed="true"
</pre>
    <xs:attribute ref="code"/>
  </r></xs:complexType>
</xs:element>
<xs:attribute name="code">
  <xs:simpleType>
    <xs:restriction base="xs:string">
      <xs:pattern value="[A-Z][0-9]"/>
    </xs:restriction>
  </xs:simpleType>
</xs:attribute>
```





Complex elements

XML SCHEMA

- Contain other elements and/or attributes.
- Can be:
 - empty (with attributes).
 - container only for other elements.
 - container only for text (with attributes).
 - mixed (text + other elements).
- Content defined within an <xs:complexType> element.











empty complex element

```
<!--instance fragment-->
<vegetable code="A9"/>
```

```
xs: element
       xs: complexType
xs: attribute
                    xs: attribute
```

```
<!--schema fragment-->
<xs:element name="vegetable">
 <xs:complexType>
    <xs:attribute name="code" type="xs:string"/>
 </xs:complexType>
</xs:element>
```







Complex elements

XML SCHEMA

Containers only for other elements:

- These elements are children of one of these three nodes:
 - <xs:choice>: only one of the elements must appear.
 - <xs:all>: all the elements must appear, no matter the order.
 - <xs:sequence>: all the elements must appear, in order.
- The root node of the instance is of this type.







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XML SCHEMA container element of elements

```
<!--instance fragment-->
fessor glasses="true">
    <name>Guillermo</name>
    <surname>Domingo</surname>
```

```
<!--schema fragment (LOCAL)-->
<xs:element name="professor">

<xs:complexType>

<xs:sequence>

<xs:element name="name" type="xs:string"/>

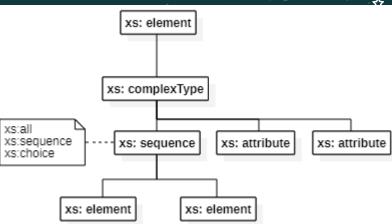
<xs:element name="surname" type="xs:string"/>

</xs:sequence>

<xs:attribute name="glasses" type="xs:boolean"/>

</xs:complexType>

</xs:element>
```





XML SCHEMA container element of elements

```
<!--instance fragment-->
ofessor glasses="true">
 <name>Guillermo</name>
 <surname>Domingo</surname>
</professor>
```

<!--schema fragment (GLOBAL)-->

<xs:sequence>

</xs:sequence>

</xs:complexType>

```
xs: complexType
                                         xs:all
                                         xs:sequence
                                                                   xs: attribute
                                                       xs: sequence
                                         xs:choice
                                                xs: element
                                                               xs: element
<xs:element name="professor" type="personinfo"/>
<xs:element name="student" type="personinfo"/>
<xs:element name="gardener" type="personinfo"/>
<xs:complexType name="personinfo">
    <xs:element name="name" type="xs:string"/>
    <xs:element name="surname" type="xs:string"/>
  <xs:attribute name="glasses" type="xs:boolean"/>
```

xs: elemen



xs: attribute

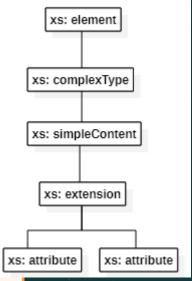
XML SCHEMA complex element containing only text

```
<!--instance fragment-->
<shoe_size country="Spain">43</shoe_size>
```

```
The amount of auxiliary
tags required makes it
convenient to use global
declaration to improve
readability.
```

And the attribute has no restrictions...

```
<!--schema fragment (LOCAL)-->
<xs:element name="shoe_size">
 <xs:complexType>
    <xs:simpleContent>
      <xs:extension base="xs:positiveInteger">
        <xs:attribute name="country" type="xs:string"/>
      </xs:extension>
    </xs:simpleContent>
 </xs:complexType>
</xs:element>
```





Complex elements

Mixed complex elements

XML SCHEMA

- Are elements that contain text and other elements and attributes.
- When defining them, one needs to indicate the mixed="true" attribute within the <xs:complexType> tag.









mixed complex element

```
<!--instance fragment-->
<letter>
 Dear Mr. <name>Pepe Pérez</name>.
 Your order number <orderNo>1032</orderNo>
 will ship on <shipDate>2024-10-31</shipDate>.
</letter>
```

```
xs: element
                  xs: complexType
xs:all
xs:sequence
                    xs: sequence
                                      xs: attribute
                                                       xs: attribute
xs:choice
          xs: element
                                xs: element
```

```
<!--schema fragment (LOCAL)-->
<xs:element name="letter">
  <xs:complexType mixed="true">
    <xs:sequence>
      <xs:element name="name" type="xs:string"/>
      <xs:element name="orderNo"</pre>
          type="xs:positiveInteger"/>
      <xs:element name="shipDate" type="xs:date"/>
    </xs:sequence>
 </xs:complexType>
</xs:element>
```



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XML SCHEMA



EXTENSION

- Simple and complex types declared globally can be extended (by adding elements and attributes).
- This is what the <xs:extension> tag is for.
- The parent of this tag is:
 - <xs:simpleContent> when a simple type is extending.
- <xs:complexContent> when a complex
 Guillermo Domintappe is extending.

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In this example, the complex "personinfo" type (from slide 58) is extended ♣

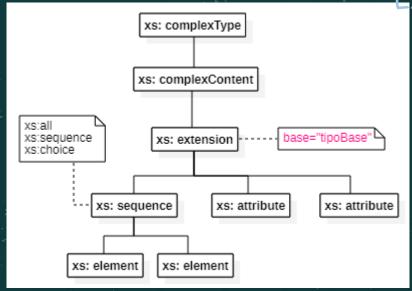
complex type extension

```
<!--continued from the code on the left-->
<xs:complexType name="personInfoExt">
  <xs:complexContent>
    <xs:extension base="personinfo">
      <xs:sequence>
        <xs:element name="address"</pre>
            tvpe="xs:string"/>
        <xs:element name="phone"</pre>
            type="xs:positiveInteger"/>
      </xs:sequence>
      <xs:attribute name="contAssessment"</pre>
          type="xs:boolean"/>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
```



EXTENSION

The parent of <xs:extension> here is <xs:complexContent> because personinfo (the base for this extension) is a complex type.



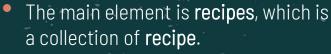


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Exercise: cooking recipe again

Write the XSD that validates an XML document containing a cooking recipe with the following structure. Use the appropriate data types:



- A recipe consists of its name (free text), a list with one or more ingredients and a text with the preparation instructions (free text).
- An **ingredient** contains free text.
- Recipes have an attribute telling the number of servings.

- Recipes have an attribute to indicate difficulty (high, medium or low; default is medium).
- Ingredients have an optional attribute with the quantity of the ingredient.
- Ingredients have an optional attribute to indicate the measuring **unit** in which the quantity is expressed.





公

PRÁCTICA 3.2







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 $\sqrt{123}$





Do you have any questions?



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