### **Problem 1**

# Usage

In order to use the **BTree** implementation you have to install <code>numpy</code>. You can install it using the command <code>pip install numpy</code> or using the line <code>pip install -r requirements.txt</code> that will download and install the same version of the library used in development phase.

To test the data structure we have provided a script <u>test.py</u> that tests all the functionalities of a BTree by calling the standard methods of the ADT **MutableMapping**.

## Solution description

#### Data structure description and analysis of I/O complexity

We have chosen to optimize the I/O complexity instead of the computational one, since the BTrees are used to achieve low I/O complexity. In order to do that, our choice was to implement the **Node** of the BTree in such a way that every single node can be stored in just one block of memory.

Therefore, we have chosen to use a contiguous sorted array to store the items into the Node. Doing this we are sure that for each operation (Search, Insert and Delete) we have O(log(n)/log(B)) (where n is the number of the items in the tree and B is the maximum number of items that fit into a memory block) block transfers because every Node is stored in a single block even into the internal memory.

This second constraint is important for the I/O complexity. In fact if you use another data structure for the items, instead of a contiguous array, you can still have a Node that fits into a block of internal memory, but you could lose this property in external memory, because of **paging**.

In order to have a Node stored in a contiguous part of the memory we have encapsulated the Node into a numpy object of type <a href="mailto:numpy.dtype">numpy.dtype</a>. Using a self-made dtype you can create a type that is similiar to a

**C struct**. In fact, the Node is a structure that contains a numpy.array of a dtype (key, value)

(**elements**), a numpy.array of pointers that contains the references to the children of the node (**children**), an attribute size that keeps the logic size of the Node (**size**) that is the number of items into the node) and the reference to the parent of the node (**parent**).

After having done this you can be sure that the Node is **C\_CONTIGUOUS** checking the flag of the numpy object npobject.flags("C\_CONTIGUOUS"). If this value is True, it means that the array is stored as a contiguous block of memory.

#### Compute the order

Now, when you want to use a BTree you can choose the types of the key and the value, for example you can use a BTree(int, int) or a BTree("U16", float64). Accordingly when we initialize the BTree we need to compute the order **d** based on the types that the user passes.

The **BLOCK\_DIMENSION** can be changed into the file <u>btree.py</u>.

This computation is made by the formula:

Remaining  $dim = Block \ dim - node \ dim - size \ dim$ 

$$Order = (Remaining \ dim + pair \ dim) \ // \ (pair \ dim + node \ dim)$$

Where *size\_dim* is the dimension in memory of the variable size, *node\_dim* is the dimension in memory of a reference (that depends on the python version that you are using) and *pair\_dim* is the dimension in memory of a pair **(key,value)** of the type passed by the user.

### **Computational complexity**

Since a sorted array was used to implement a node, the time complexity for the main operations is:

- 1. Add element : O((log(d) + d) \* (log(n)/log(d 1))) where log(d) is the time needed for the research in a node (f(b)). (d) is the time needed to handle an overflow g(b) because whe need to do d insert operations. (log(n)/log(d-1)) is the height of the tree.
- 2. Remove element : O((log(d) + d) \* (log(n)/log(d-1))) the only term that is different is g(b) that is the time to handle an underflow that depends if it is handled with a fusion O(d) or a transfer O(1).
- 3. Search an element : O(log(d)\*(log(n)/log(d-1)))
- *n* is the number of elements of the tree
- d the maximum number of children of a node
- log(d) the time needed to search an item in a node
- log(n)/log(d) is the height of the BTree