## Deep Learning

## Problem Sheet 3 —

- 1. (a) What is a pooling layer in a Convolutional Neural Network? What is the difference between Max pooling and Average pooling?
  - (b) What are the stride and padding parameters in a convolutional layer?
  - (c) A CNN architecture is described in the table below and takes as input an image and produces a 10-dimensional probability vector and is trained using cross-entropy loss. The architecture consists of max pooling layers as well as convolutional layers.

| layer           | 0         | 1     | 2    | 3      | 4    | 5      | 6       | 7    |
|-----------------|-----------|-------|------|--------|------|--------|---------|------|
| type            | input     | conv  | pool | conv   | pool | conv   | conv    | loss |
| num. filters    | -         | 5x5x1 | 2x2  | 5x5x20 | 2x2  | 4x4x50 | 1x1x500 | -    |
| stride          | -         | 1     | 2    | 1      | 2    | 1      | -       | -    |
| padding         | -         | 0     | 0    | 0      | 0    | 0      | 0       | -    |
| data shape      | 1x28x28x1 |       |      |        |      |        |         |      |
| receptive field | 1         |       |      |        |      |        |         |      |

The input is a  $1 \times 28 \times 28 \times 1$  tensor representing  $batch \times width \times height \times channels$ . Calculate the data shape and receptive field for each layer.

- (d) Standard precision numbers take up 4 bytes per number. Half precision takes only 2 bytes per number. What are the advantages and disadvantages of using half precision?
- (e) Many CNN training problems are compounded by a lack of available training data. Describe some data augmentation techniques to artificially increase the amount of training data. Some of these techniques are performed at training time on the mini-batch before the forward pass. Suggest why?

(a) In a classification problem with three classes, a model will output a  $1 \times 3$  vector, c, that predicts how strongly the input belongs to a class. However, we want a probability vector,  $p \in \mathbb{R}^{3\times 1}$ . Such a probability vector must sum to 1 and each element must be positive. To ensure this a Softmax function is used which looks like:

Given 
$$c = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$$
 (1)
$$p_i = \frac{e^{c_i}}{\sum_{k=1}^3 e^{c_k}} \forall i, k \in 1, 2, 3$$
 (2)

$$p_i = \frac{e^{c_i}}{\sum_{k=1}^3 e^{c_k}} \forall i, k \in 1, 2, 3$$
 (2)

Verify whether p fulfils the necessary criteria of all elements being non-negative and summing up to 1.

- (b) Compute the derivative  $\frac{\partial p_i}{\partial c_j}$ . Consider the two scenarios when i=j and  $i\neq j$ .
- (c) Suppose that an image, I is corrupted by white (Gaussian) noise  $n \sim N(0, \sigma^2)$ giving us the result Y = I + n. One approach to denoising the image is to take N snapshots of an object from the same view, yielding multiple images and then taking the average of all the images. Here, each image is given by  $Y_i = I + n_i \forall i \in 1, ..., N$ . Taking the average of the N noisy images yields the denoised image  $Y_d = \frac{1}{N} \sum_N Y_N$ . Derive the mean,  $\mu_d$ , and variance,  $\sigma_d^2$ , of the denoised image  $Y_d$ .
- (d) Given an image:

| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
|---|---|---|----|----|----|----|----|
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |
| 3 | 4 | 8 | 10 | 22 | 45 | 50 | 65 |

And the horizontal and vertical  $3 \times 3$  Prewitt filter kernels:

$$h_x = \begin{pmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{pmatrix}$$

$$h_y = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{pmatrix}$$

Assuming zero padding, compute the gradient magnitude of the second row after convolving with both kernels.

- (e) Repeat the previous question but now use reflection (mirrored) padding.
- 3. (a) What is the difference between invariance and equivariance? Is convolution either of these?
  - (b) What is a 2D separable filter? Are all 2D filters separable?
  - (c) Is the following 2D filter separable?

$$F = \begin{pmatrix} 2 & 3 \\ 1 & 1 \end{pmatrix}$$

If so separate it, otherwise explain why it is not separable.

- (d) A CNN has four consecutive  $3 \times 3$  convolutional layers with stride 1 and no pooling. How large is the support of a neuron in the fourth layer?
- (e) Why are skip connections used in deep CNN architectures such as ResNet?
- (f) Calculate the (big O) computational complexity of applying both separable and non-separable  $K \times K$  Gaussian filters to an  $N \times N$  image.