

## Projet 7

# Développez une preuve de concept



Stanford Dogs Dataset

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# github.com/Loridan/openclassrooms-iml-projects



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

présentation

**une recherche avancée dans le domaine du deep learning a mis en lumière un sujet encore inexploré au cours de cette formation**

**des réseaux de neurones sont utilisés dans le but d'améliorer la résolution spatiale d'une image, c'est à dire le niveau de détails d'une image pour une dimension donnée**



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

présentation

**la super-résolution à image unique est ce process qui restaure une image "high-resolution" (HR) à partir d'une image "low-resolution" (LR)**



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

présentation

**l'association qui a fait appel à nous pour le projet précédent  
pourrait avoir besoin d'utiliser ses photos de chiens, mais  
certaines sont de mauvaises qualités visuelles après  
recadrement**



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

problème

**pouvons nous améliorer la qualité des photos de chiens ?**



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

recherches avancées

**l'état de l'art du domaine est complexe, mais l'utilisation d'une structure particulière émerge à la lecture des récents papiers**

**ce sont les "Generative Adversarial Networks" (GANs)**



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**c'est l'adversaire qui perd**

recherches avancées



- [1] **TWIST-GAN**
- [2] **ESRGAN**
- [3] **SRGAN**

Apr 2021  
Sep 2018  
May 2017



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

recherches avancées

**L'article [1] le plus récent est le plus complexe et ne dispose pas d'implémentation**



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

recherches avancées

**nous allons donc privilégier l'étude de l'article [3] plus accessible et dont une implémentation du modèle SRGAN précurseur aux autres modèles a déjà été réalisée par un tiers**



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parfois on ne gagne pas,  
**c'est l'adversaire qui perd**

recherches avancées

**L'étude de ce papier ainsi que d'autres sources vont nous permettre de comprendre le fonctionnement de l'algorithme, et ainsi de réaliser une démonstration de faisabilité (POC)**



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# recherches avancées

# lecture de la publication



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## Photo-Realistic Single Image Super-Resolution Using a Generative Adversarial Network

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### Abstract

*Despite the breakthroughs in accuracy and speed of single image super-resolution using faster and deeper convolutional neural networks, one central problem remains largely unsolved: how do we recover the finer texture details when we super-resolve at large upscaling factors? The behavior of optimization-based super-resolution methods is principally driven by the choice of the objective function. Recent work has largely focused on minimizing the mean squared reconstruction error. The resulting estimates have high peak signal-to-noise ratios, but they are often lacking high-frequency details and are perceptually unsatisfying in the sense that they fail to match the fidelity expected at the higher resolution. In this paper, we present SRGAN, a generative adversarial network (GAN) for image super-resolution (SR). To our knowledge, it is the first framework capable of inferring photo-realistic natural images for 4× upscaling factors. To achieve this, we propose a perceptual loss function which consists of an adversarial loss and a content loss. The adversarial loss pushes our solution to the natural image manifold using a discriminator network that is trained to differentiate between the super-resolved images and original photo-realistic images. In addition, we use a content loss motivated by perceptual similarity instead of similarity in pixel space. Our deep residual network is able to recover photo-realistic textures from heavily downsampled images on public benchmarks. An extensive mean-opinion-score (MOS) test shows hugely significant gains in perceptual quality using SRGAN. The MOS scores obtained with SRGAN are closer to those of the original high-resolution images than to those obtained with any state-of-the-art method.*

### 1. Introduction

The highly challenging task of estimating a high-resolution (HR) image from its low-resolution (LR) counterpart is referred to as super-resolution (SR). SR received substantial attention from within the computer vision research community and has a wide range of applications [63, 71, 43].

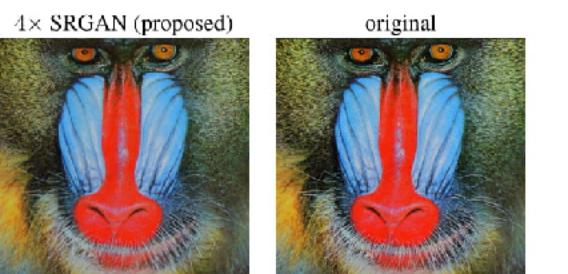


Figure 1: Super-resolved image (left) is almost indistinguishable from original (right). [4× upscaling]

The ill-posed nature of the underdetermined SR problem is particularly pronounced for high upscaling factors, for which texture detail in the reconstructed SR images is typically absent. The optimization target of supervised SR algorithms is commonly the minimization of the mean squared error (MSE) between the recovered HR image and the ground truth. This is convenient as minimizing MSE also maximizes the peak signal-to-noise ratio (PSNR), which is a common measure used to evaluate and compare SR algorithms [61]. However, the ability of MSE (and PSNR) to capture perceptually relevant differences, such as high texture detail, is very limited as they are defined based on pixel-wise image differences [60, 58, 26]. This is illustrated in Figure 2, where highest PSNR does not necessarily reflect the perceptually better SR result. The

- **le SRGAN est un réseau adverse génératif capable de restaurer des images hautes résolutions réalistes pour un agrandissement de facteur x4**
- **le critère d'optimisation des algorithmes de super résolution est généralement de minimiser une fonction de perte, l'erreur quadratique moyenne MSE entre les images restaurées (SR) et les images en haute résolution réelles (HR)**



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Figure 2: From left to right: bicubic interpolation, deep residual network optimized for MSE, deep residual generative adversarial network optimized for a loss more sensitive to human perception, original HR image. Corresponding PSNR and SSIM are shown in brackets. [4× upscaling]

perceptual difference between the super-resolved and original image means that the recovered image is not photo-realistic as defined by Ferwerda [16].

In this work we propose a super-resolution generative adversarial network (SRGAN) for which we employ a deep residual network (ResNet) with skip-connection and diverge from MSE as the sole optimization target. Different from previous works, we define a novel perceptual loss using high-level feature maps of the VGG network [49, 33, 5] combined with a discriminator that encourages solutions perceptually hard to distinguish from the HR reference images. An example photo-realistic image that was super-resolved with a 4× upscaling factor is shown in Figure 1.

### 1.1. Related work

#### 1.1.1 Image super-resolution

Recent overview articles on image SR include Nasrollahi and Moeslund [43] or Yang et al. [61]. Here we will focus on single image super-resolution (SISR) and will not further discuss approaches that recover HR images from multiple images [4, 15].

Prediction-based methods were among the first methods to tackle SISR. While these filtering approaches, e.g. linear, bicubic or Lanczos [14] filtering, can be very fast, they oversimplify the SISR problem and usually yield solutions with overly smooth textures. Methods that put particularly focus on edge-preservation have been proposed [1, 39].

More powerful approaches aim to establish a complex mapping between low- and high-resolution image information and usually rely on training data. Many methods that are based on example-pairs rely on LR training patches for

which the corresponding HR counterparts are known. Early work was presented by Freeman et al. [18, 17]. Related approaches to the SR problem originate in compressed sensing [62, 12, 69]. In Glasner et al. [21] the authors exploit patch redundancies across scales within the image to drive the SR. This paradigm of self-similarity is also employed in Huang et al. [31], where self dictionaries are extended by further allowing for small transformations and shape variations. Gu et al. [25] proposed a convolutional sparse coding approach that improves consistency by processing the whole image rather than overlapping patches.

To reconstruct realistic texture detail while avoiding edge artifacts, Tai et al. [52] combine an edge-directed SR algorithm based on a gradient profile prior [50] with the benefits of learning-based detail synthesis. Zhang et al. [70] propose a multi-scale dictionary to capture redundancies of similar image patches at different scales. To super-resolve landmark images, Yue et al. [67] retrieve correlating HR images with similar content from the web and propose a structure-aware matching criterion for alignment.

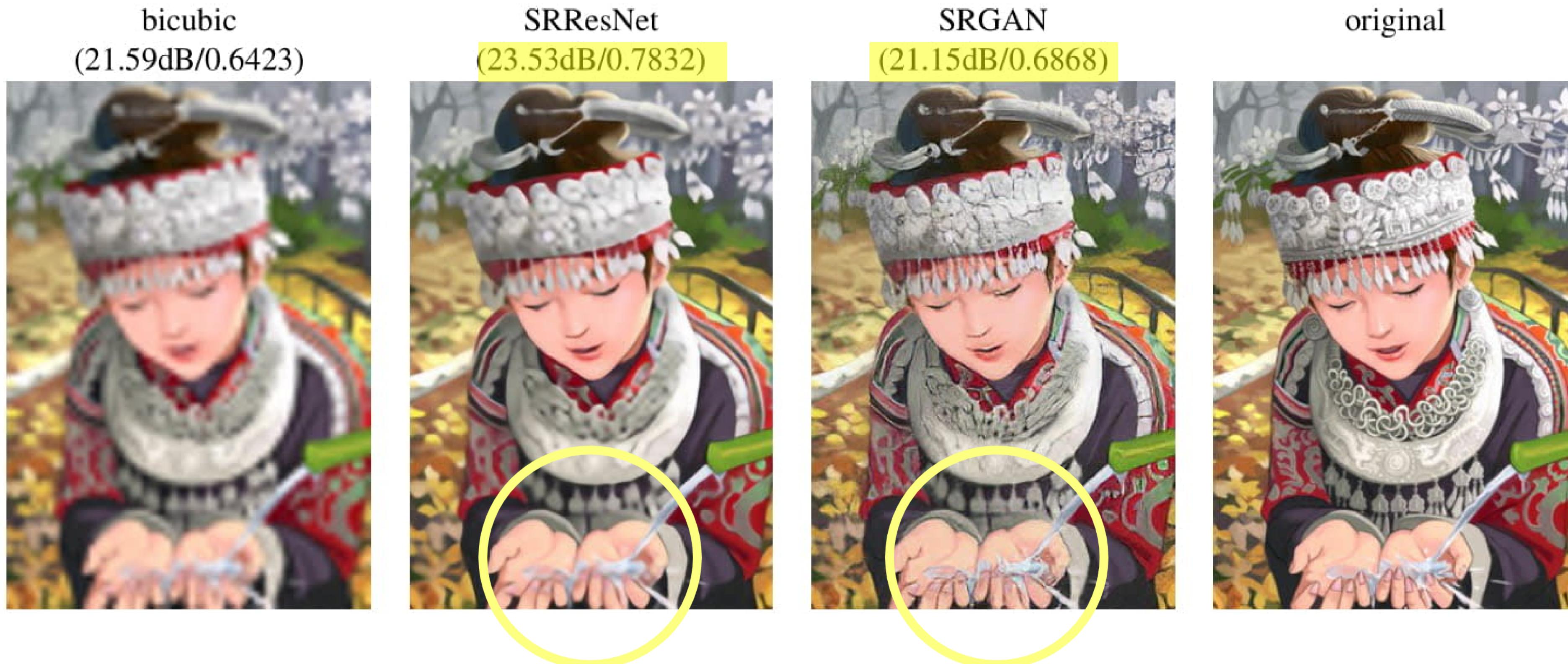
Neighborhood embedding approaches upsample a LR image patch by finding similar LR training patches in a low dimensional manifold and combining their corresponding HR patches for reconstruction [54, 55]. In Kim and Kwon [35] the authors emphasize the tendency of neighborhood approaches to overfit and formulate a more general map of example pairs using kernel ridge regression. The regression problem can also be solved with Gaussian process regression [27], trees [46] or Random Forests [47]. In Dai et al. [6] a multitude of patch-specific regressors is learned and the most appropriate regressors selected during testing.

Recently convolutional neural network (CNN) based SR

- **le SRGAN est constitué d'un réseau neuronal résiduel pour générer des images**
- **le critère d'optimisation est différent d'une MSE**
- **la mise en place d'un nouveau critère d'optimisation, une fonction de perte dite de perception utilisant les cartes de caractéristiques d'un réseau VGG**
- **on se concentre ici uniquement sur la super résolution à image unique**



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algorithms have shown excellent performance. In Wang et al. [59] the authors encode a sparse representation prior into their feed-forward network architecture based on the learned iterative shrinkage and thresholding algorithm (LISTA) [23]. Dong et al. [9, 10] used bicubic interpolation to upscale an input image and trained a three layer deep fully convolutional network end-to-end to achieve state-of-the-art SR performance. Subsequently, it was shown that enabling the network to learn the upscaling filters directly can further increase performance both in terms of accuracy and speed [11, 48, 57]. With their deeply-recursive convolutional network (DRCN), Kim et al. [34] presented a highly performant architecture that allows for long-range pixel dependencies while keeping the number of model parameters small. Of particular relevance for our paper are the works by Johnson et al. [33] and Bruna et al. [5], who rely on a loss function closer to perceptual similarity to recover visually more convincing HR images.

#### 1.1.2 Design of convolutional neural networks

The state of the art for many computer vision problems is meanwhile set by specifically designed CNN architectures following the success of the work by Krizhevsky et al. [37].

It was shown that deeper network architectures can be difficult to train but have the potential to substantially increase the network's accuracy as they allow modeling mappings of very high complexity [49, 51]. To efficiently train these deeper network architectures, batch-normalization [32] is often used to counteract the internal co-variate shift. Deeper network architectures have also been shown to increase performance for SISR, e.g. Kim et al. [34] formulate a recursive CNN and present state-of-the-art results. Another powerful design choice that eases the training of deep CNNs is the recently introduced concept of residual blocks [29] and skip-connections [30, 34]. Skip-connections relieve the network architecture of modeling the identity mapping that is trivial in nature, however, potentially non-trivial to represent with convolutional kernels.

In the context of SISR, it was also shown that learning upscaling filters is beneficial in terms of accuracy and speed [11, 48, 57]. This is an improvement over Dong et al. [10] where bicubic interpolation is employed to upscale the LR observation before feeding the image to the CNN.

#### 1.1.3 Loss functions

Pixel-wise loss functions such as MSE struggle to handle the uncertainty inherent in recovering lost high-frequency details such as texture: minimizing MSE encourages finding pixel-wise averages of plausible solutions which are typically overly-smooth and thus have poor perceptual quality [42, 33, 13, 5]. Reconstructions of varying perceptual

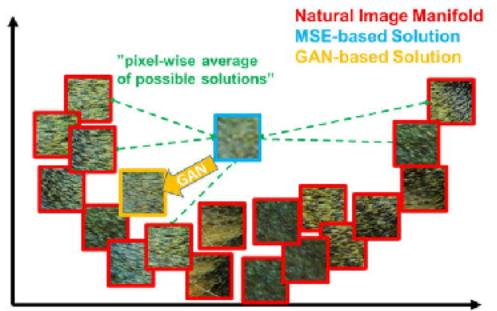


Figure 3: Illustration of patches from the natural image manifold (red) and super-resolved patches obtained with MSE (blue) and GAN (orange). The MSE-based solution appears overly smooth due to the pixel-wise average of possible solutions in the pixel space, while GAN drives the reconstruction towards the natural image manifold producing perceptually more convincing solutions.

quality are exemplified with corresponding PSNR in Figure 2. We illustrate the problem of minimizing MSE in Figure 3 where multiple potential solutions with high texture details are averaged to create a smooth reconstruction.

In Mathieu et al. [42] and Denton et al. [7] the authors tackled this problem by employing generative adversarial networks (GANs) [22] for the application of image generation. Yu and Peralki [66] augment pixel-wise MSE loss with a discriminator loss to train a network that super-resolves face images with large upscaling factors ( $8\times$ ). GANs were also used for unsupervised representation learning in Radford et al. [44]. The idea of using GANs to learn a mapping from one manifold to another is described by Li and Wand [38] for style transfer and Yeh et al. [64] for inpainting. Bruna et al. [5] minimize the squared error in the feature spaces of VGG19 [49] and scattering networks.

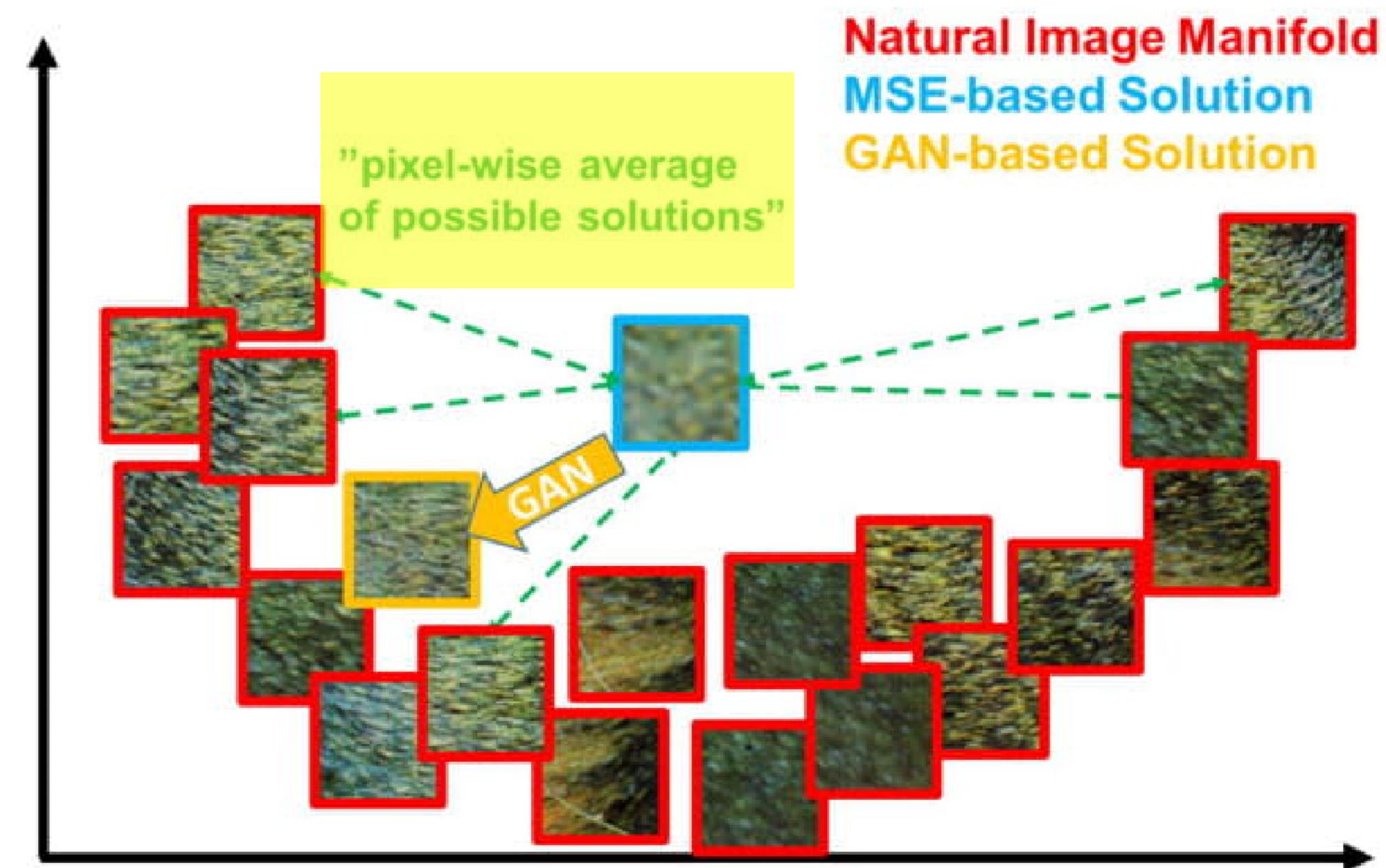
Dosovitskiy and Brox [13] use loss functions based on Euclidean distances computed in the feature space of neural networks in combination with adversarial training. It is shown that the proposed loss allows visually superior image generation and can be used to solve the ill-posed inverse problem of decoding nonlinear feature representations. Similar to this work, Johnson et al. [33] and Bruna et al. [5] propose the use of features extracted from a pre-trained VGG network instead of low-level pixel-wise error measures. Specifically the authors formulate a loss function based on the euclidean distance between feature maps extracted from the VGG19 [49] network. Perceptually more convincing results were obtained for both super-resolution and artistic style-transfer [19, 20]. Recently, Li and Wand [38] also investigated the effect of comparing and blending patches in pixel or VGG feature space.

- **la fonction de perte MSE a du mal à restaurer les détails de hautes fréquences, on génère des images floues et donc de qualité visuelle faible**

- **l'explication de ce fait résulte du moyennage des potentielles solutions de reconstruction de l'image**
- **l'emploi d'un GAN va permettre de surpasser ce problème**



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## 1.2. Contribution

GANs provide a powerful framework for generating plausible-looking natural images with high perceptual quality. The GAN procedure encourages the reconstructions to move towards regions of the search space with high probability of containing photo-realistic images and thus closer to the natural image manifold as shown in Figure 3.

In this paper we describe the first very deep ResNet [29, 30] architecture using the concept of GANs to form a perceptual loss function for photo-realistic SISR. Our main contributions are:

- We set a new state of the art for image SR with high upscaling factors ( $4\times$ ) as measured by PSNR and structural similarity (SSIM) with our 16 blocks deep ResNet (SRResNet) optimized for MSE.
- We propose SRGAN which is a GAN-based network optimized for a new perceptual loss. Here we replace the MSE-based content loss with a loss calculated on feature maps of the VGG network [49], which are more invariant to changes in pixel space [38].
- We confirm with an extensive mean opinion score (MOS) test on images from three public benchmark datasets that SRGAN is the new state of the art, by a large margin, for the estimation of photo-realistic SR images with high upscaling factors ( $4\times$ ).

We describe the network architecture and the perceptual loss in Section 2. A quantitative evaluation on public benchmark datasets as well as visual illustrations are provided in Section 3. The paper concludes with a discussion in Section 4 and concluding remarks in Section 5.

## 2. Method

In SISR the aim is to estimate a high-resolution, super-resolved image  $I^{SR}$  from a low-resolution input image  $I^{LR}$ . Here  $I^{LR}$  is the low-resolution version of its high-resolution counterpart  $I^{HR}$ . The high-resolution images are only available during training. In training,  $I^{LR}$  is obtained by applying a Gaussian filter to  $I^{HR}$  followed by a downsampling operation with downsampling factor  $r$ . For an image with  $C$  color channels, we describe  $I^{HR}$  by a real-valued tensor of size  $W \times H \times C$  and  $I^{HR}, I^{SR}$  by  $rW \times rH \times C$  respectively.

Our ultimate goal is to train a generating function  $G$  that estimates for a given LR input image its corresponding HR counterpart. To achieve this, we train a generator network as a feed-forward CNN  $G_{\theta_G}$  parametrized by  $\theta_G$ . Here  $\theta_G = \{W_{1:L}; b_{1:L}\}$  denotes the weights and biases of a  $L$ -layer deep network and is obtained by optimizing a SR-specific loss function  $l^{SR}$ . For training images  $I_n^{HR}, n = 1, \dots, N$

with corresponding  $I_n^{LR}, n = 1, \dots, N$ , we solve:

$$\hat{\theta}_G = \arg \min_{\theta_G} \frac{1}{N} \sum_{n=1}^N l^{SR}(G_{\theta_G}(I_n^{LR}), I_n^{HR}) \quad (1)$$

In this work we will specifically design a perceptual loss  $l^{SR}$  as a weighted combination of several loss components that model distinct desirable characteristics of the recovered SR image. The individual loss functions are described in more detail in Section 2.2.

### 2.1. Adversarial network architecture

Following Goodfellow et al. [22] we further define a discriminator network  $D_{\theta_D}$ , which we optimize in an alternating manner along with  $G_{\theta_G}$  to solve the adversarial min-max problem:

$$\begin{aligned} \min_{\theta_G} \max_{\theta_D} & \mathbb{E}_{I^{HR} \sim p_{HR}(I^{HR})} [\log D_{\theta_D}(I^{HR})] + \\ & \mathbb{E}_{I^{LR} \sim p_{LR}(I^{LR})} [\log(1 - D_{\theta_D}(G_{\theta_G}(I^{LR})))] \end{aligned} \quad (2)$$

The general idea behind this formulation is that it allows one to train a generative model  $G$  with the goal of fooling a differentiable discriminator  $D$  that is trained to distinguish super-resolved images from real images. With this approach our generator can learn to create solutions that are highly similar to real images and thus difficult to classify by  $D$ . This encourages perceptually superior solutions residing in the subspace, the manifold, of natural images. This is in contrast to SR solutions obtained by minimizing pixel-wise error measurements, such as the MSE.

At the core of our very deep generator network  $G$ , which is illustrated in Figure 4 are  $B$  residual blocks with identical layout. Inspired by Johnson et al. [33] we employ the block layout proposed by Gross and Wilber [24]. Specifically, we use two convolutional layers with small  $3 \times 3$  kernels and 64 feature maps followed by batch-normalization layers [32] and ParametricReLU [28] as the activation function. We increase the resolution of the input image with two trained sub-pixel convolution layers as proposed by Shi et al. [48].

To discriminate real HR images from generated SR samples we train a discriminator network. The architecture is shown in Figure 4. We follow the architectural guidelines summarized by Radford et al. [44] and use LeakyReLU activation ( $\alpha = 0.2$ ) and avoid max-pooling throughout the network. The discriminator network is trained to solve the maximization problem in Equation 2. It contains eight convolutional layers with an increasing number of  $3 \times 3$  filter kernels, increasing by a factor of 2 from 64 to 512 kernels as in the VGG network [49]. Strided convolutions are used to reduce the image resolution each time the number of features is doubled. The resulting 512 feature maps are followed by two dense layers and a final sigmoid activation function to obtain a probability for sample classification.

- **le GAN va pousser la reconstruction vers une solution spécifique et ainsi obtenir un rendu plus proche d'une image réelle, supprimant l'effet de flou**

- **ce qui est confirmé par la note moyenne d'opinion (humain)**
- **le jeu d'entraînement est obtenu par une technique de réduction des images réelles HR en images LR**
- **le GAN va consister à entraîner un réseau générateur  $G$  de paramètre  $\theta_G = \{W, b\}$  et un réseau discriminateur  $D$  de paramètre  $\theta_D = \{W, b\}$  de façon alternative**



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$$\hat{\theta}_G = \arg \min_{\theta_G} \frac{1}{N} \sum_{n=1}^N l^{SR}(G_{\theta_G}(I_n^{LR}), I_n^{HR}) \quad (1)$$

- **on cherche à trouver les paramètres du générateur permettant d'obtenir le minimum de la fonction de perte de perception  $l$  sur l'ensemble  $N$  d'images générées SR et images réelles HR**

$$\begin{aligned} & \min_{\theta_G} \max_{\theta_D} \mathbb{E}_{I^{HR} \sim p_{\text{train}}(I^{HR})} [\log D_{\theta_D}(I^{HR})] + \\ & \quad \mathbb{E}_{I^{LR} \sim p_G(I^{LR})} [\log(1 - D_{\theta_D}(G_{\theta_G}(I^{LR})))] \end{aligned} \quad (2)$$

- **on cherche à générer les images les plus réalistes possible en haute résolution à partir du générateur mais aussi à différencier les images générées des images réelles grâce au discriminateur, l'optimisation va se réaliser en même temps sur les deux réseaux, ils sont adversaires dans un minimax problem**



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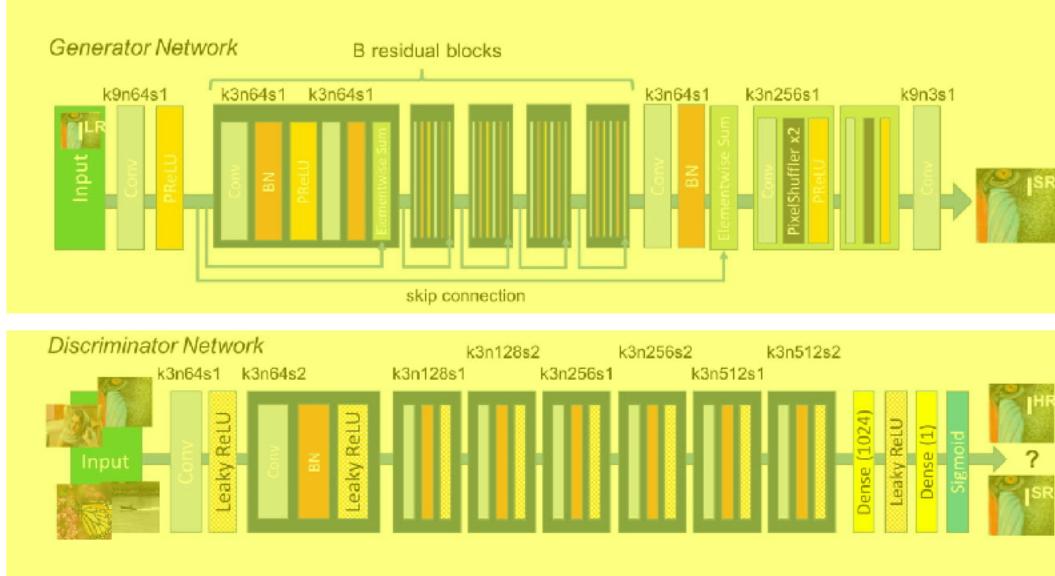


Figure 4: Architecture of Generator and Discriminator Network with corresponding kernel size (k), number of feature maps (n) and stride (s) indicated for each convolutional layer.

## 2.2. Perceptual loss function

The definition of our perceptual loss function  $I^{SR}$  is critical for the performance of our generator network. While  $I^{SR}$  is commonly modeled based on the MSE [10, 48], we improve on Johnson et al. [33] and Bruna et al. [5] and design a loss function that assesses a solution with respect to perceptually relevant characteristics. We formulate the perceptual loss as the weighted sum of a content loss ( $I_X^{SR}$ ) and an adversarial loss component as:

$$I^{SR} = \underbrace{I_X^{SR}}_{\text{content loss}} + \underbrace{10^{-3}I_{Gen}^{SR}}_{\text{adversarial loss}} \quad (3)$$

In the following we describe possible choices for the content loss  $I_X^{SR}$  and the adversarial loss  $I_{Gen}^{SR}$ .

### 2.2.1 Content loss

The pixel-wise MSE loss is calculated as:

$$I_{MSE}^{SR} = \frac{1}{r^2 W H} \sum_{x=1}^{rW} \sum_{y=1}^{rH} (I_{x,y}^{HR} - G_{\theta_G}(I^{LR})_{x,y})^2 \quad (4)$$

This is the most widely used optimization target for image SR on which many state-of-the-art approaches rely [10, 48]. However, while achieving particularly high PSNR, solutions of MSE optimization problems often lack high-

frequency content which results in perceptually unsatisfying solutions with overly smooth textures (c.f. Figure 2).

Instead of relying on pixel-wise losses we build on the ideas of Gatys et al. [19], Bruna et al. [5] and Johnson et al. [33] and use a loss function that is closer to perceptual similarity. We define the VGG loss based on the ReLU activation layers of the pre-trained 19 layer VGG network described in Simonyan and Zisserman [49]. With  $\phi_{i,j}$  we indicate the feature map obtained by the j-th convolution (after activation) before the i-th maxpooling layer within the VGG19 network, which we consider given. We then define the VGG loss as the euclidean distance between the feature representations of a reconstructed image  $G_{\theta_G}(I^{LR})$  and the reference image  $I^{HR}$ :

$$I_{VGG/i,j}^{SR} = \frac{1}{W_{i,j} H_{i,j}} \sum_{x=1}^{W_{i,j}} \sum_{y=1}^{H_{i,j}} (\phi_{i,j}(I^{HR})_{x,y} - \phi_{i,j}(G_{\theta_G}(I^{LR}))_{x,y})^2 \quad (5)$$

Here  $W_{i,j}$  and  $H_{i,j}$  describe the dimensions of the respective feature maps within the VGG network.

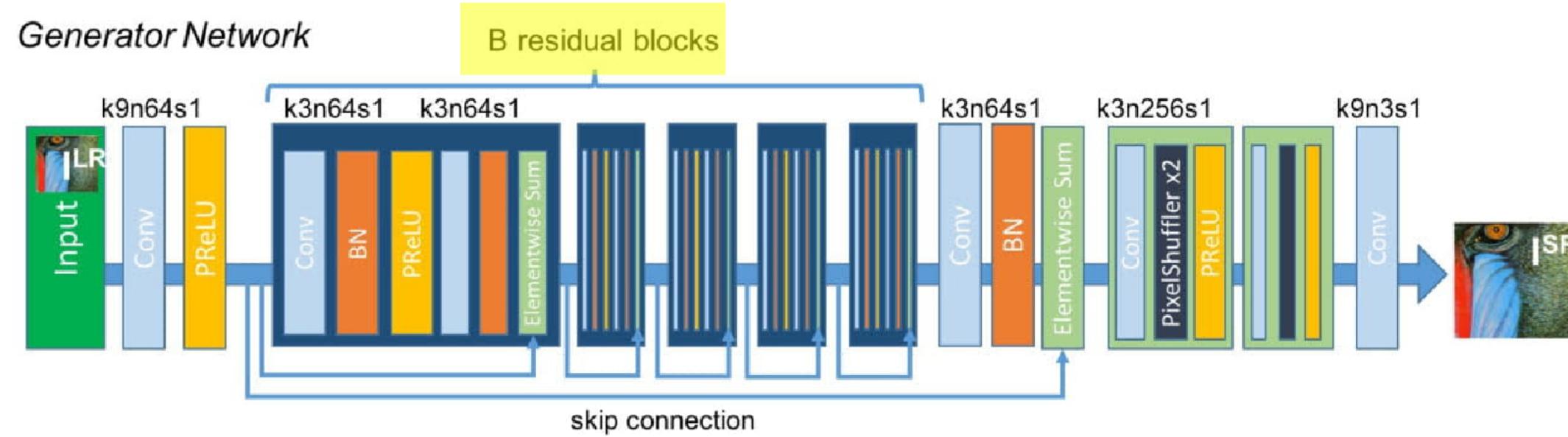
### 2.2.2 Adversarial loss

In addition to the content losses described so far, we also add the generative component of our GAN to the perceptual loss. This encourages our network to favor solutions that reside on the manifold of natural images, by trying to

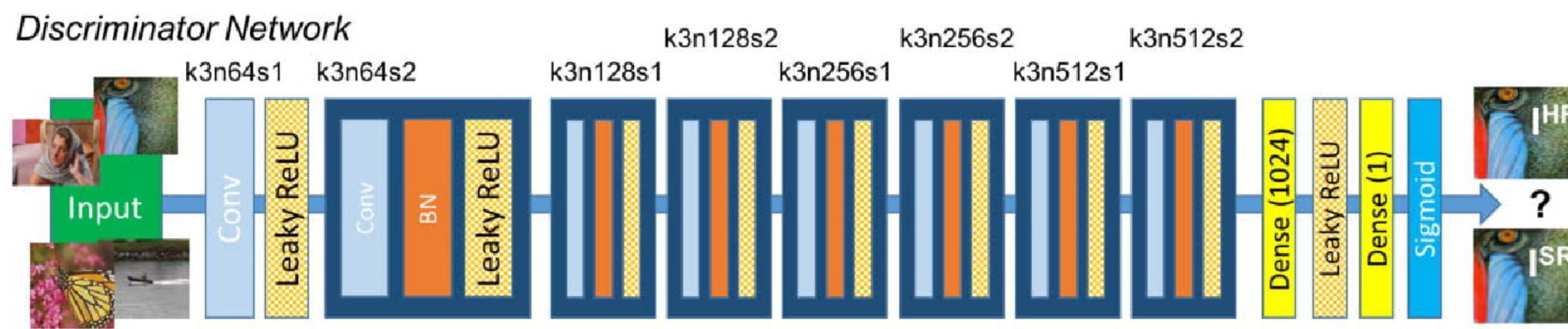
- **la fonction de perte de perception est constituée d'une perte sur le contenu et d'une perte adverse**
- **Au lieu d'utiliser la fonction de perte MSE la plus utilisée, on définit la perte sur le contenu à l'aide d'un réseau VGG19 comme la distance entre les cartes de caractéristiques de convolution entre les images générées et les images réelles.**



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- un générateur qui est un réseau de neurones résiduels (ResNet) de  $B = 16$  blocks



- un discriminateur qui est un réseau de neurones convolutionnels similaire à un réseau VGG avec une fonction d'activation sigmoid en sortie



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fool the discriminator network. The generative loss  $l_{Gen}^{SR}$  is defined based on the probabilities of the discriminator  $D_{\theta_D}(G_{\theta_G}(I^{LR}))$  over all training samples as:

$$l_{Gen}^{SR} = \sum_{n=1}^N -\log D_{\theta_D}(G_{\theta_G}(I^{LR})) \quad (6)$$

Here,  $D_{\theta_D}(G_{\theta_G}(I^{LR}))$  is the probability that the reconstructed image  $G_{\theta_G}(I^{LR})$  is a natural HR image. For better gradient behavior we minimize  $-\log D_{\theta_D}(G_{\theta_G}(I^{LR}))$  instead of  $\log[1 - D_{\theta_D}(G_{\theta_G}(I^{LR}))]$  [22].

### 3. Experiments

#### 3.1. Data and similarity measures

We perform experiments on three widely used benchmark datasets **Set5** [3], **Set14** [69] and **BSD100**, the testing set of **BSD300** [41]. All experiments are performed with a scale factor of  $4\times$  between low- and high-resolution images. This corresponds to a  $16\times$  reduction in image pixels. For fair comparison, all reported PSNR [dB] and SSIM [58] measures were calculated on the y-channel of center-cropped, removal of a 4-pixel wide strip from each border, images using the daala package<sup>1</sup>. Super-resolved images for the reference methods, including nearest neighbor, bicubic, SRCNN [9] and SelfExSR [31], were obtained from online material supplementary to Huang et al.<sup>2</sup> [31] and for DRCN from Kim et al.<sup>3</sup> [34]. Results obtained with SRResNet (for losses:  $l_{MSE}^{SR}$  and  $l_{VGG/2,2}^{SR}$ ) and the SRGAN variants are available online<sup>4</sup>. Statistical tests were performed as paired two-sided Wilcoxon signed-rank tests and significance determined at  $p < 0.05$ .

The reader may also be interested in an independently developed GAN-based solution on GitHub<sup>5</sup>. However it only provides experimental results on a limited set of faces, which is a more constrained and easier task.

#### 3.2. Training details and parameters

We trained all networks on a NVIDIA Tesla M40 GPU using a random sample of 350 thousand images from the ImageNet database [45]. These images are distinct from the testing images. We obtained the LR images by downsampling the HR images (BGR,  $C = 3$ ) using bicubic kernel with downsampling factor  $r = 4$ . For each mini-batch we crop 16 random  $96 \times 96$  HR sub images of distinct training images. Note that we can apply the generator model to images of arbitrary size as it is fully

convolutional. We scaled the range of the LR input images to  $[0, 1]$  and for the HR images to  $[-1, 1]$ . The MSE loss was thus calculated on images of intensity range  $[-1, 1]$ . VGG feature maps were also rescaled by a factor of  $\frac{1}{12.75}$  to obtain VGG losses of a scale that is comparable to the MSE loss. This is equivalent to multiplying Equation 5 with a rescaling factor of  $\approx 0.006$ . For optimization we use Adam [36] with  $\beta_1 = 0.9$ . The SRResNet networks were trained with a learning rate of  $10^{-4}$  and  $10^6$  update iterations. We employed the trained MSE-based SRResNet network as initialization for the generator when training the actual GAN to avoid undesired local optima. All SRGAN variants were trained with  $10^7$  update iterations at a learning rate of  $10^{-4}$  and another  $10^2$  iterations at a lower rate of  $10^{-5}$ . We alternate updates to the generator and discriminator network, which is equivalent to  $k = 1$  as used in Goodfellow et al. [22]. Our generator network has 16 identical ( $B = 16$ ) residual blocks. During test time we turn batch-normalization update off to obtain an output that deterministically depends only on the input [32]. Our implementation is based on Theano [53] and Lasagne [8].

#### 3.3. Mean opinion score (MOS) testing

We have performed a MOS test to quantify the ability of different approaches to reconstruct perceptually convincing images. Specifically, we asked 26 raters to assign an integral score from 1 (bad quality) to 5 (excellent quality) to the super-resolved images. The raters rated 12 versions of each image on **Set5**, **Set14** and **BSD100**: nearest neighbor (NN), bicubic, SRCNN [9], SelExSR [31], DRCN [34], ESPCN [48], SRResNet-MSE, SRResNet-VGG22\* (\*not rated on **BSD100**), SRGAN-MSE\*, SRGAN-VGG22\*, SRGAN-VGG54 and the original HR image. Each rater thus rated 1128 instances (12 versions of 19 images plus 9 versions of 100 images) that were presented in a randomized fashion. The raters were calibrated on the NN (score 1) and HR (5) versions of 20 images from the **BSD300** training set. In a pilot study we assessed the calibration procedure and the test-retest reliability of 26 raters on a subset of 10 images from **BSD100** by adding a method's images twice to a larger test set. We found good reliability and no significant differences between the ratings of the identical images. Raters very consistently rated NN interpolated test images as 1 and the original HR images as 5 (c.f. Figure 5).

The experimental results of the conducted MOS tests are summarized in Table 1, Table 2 and Figure 5.

#### 3.4. Investigation of content loss

We investigated the effect of different content loss choices in the perceptual loss for the GAN-based networks. Specifically we investigate  $l_{SR}^{SR} = l_X^{SR} + 10^{-3}l_{Gen}^{SR}$  for the following content losses  $l_X^{SR}$ :

<sup>1</sup><https://github.com/xiph/dav1d> (commit: 8d03668)  
<sup>2</sup><https://github.com/jhuanggg/664/SelfExSR>  
<sup>3</sup><https://cv.snu.ac.kr/research/DRCN/>  
<sup>4</sup>[https://twitter.com/\\_lucievlid0111/dcdckmfvk7v7jhetog](https://twitter.com/_lucievlid0111/dcdckmfvk7v7jhetog)  
<sup>5</sup><https://github.com/david-cpu/srez>

- **un entraînement réalisé sur 350 000 images aléatoires depuis la base de données ImageNet**
- **Une réduction par interpolation bicubique**
- **un entraînement par minibatch = 16 sur des bouts d'images du jeu d'entraînement de taille 96\*96 pixels**
- **le générateur est pré-entraîné avec une fonction de perte MSE sur le jeu d'entraînement et sert ainsi d'initialisation des poids du générateur pour l'entraînement du GAN.**



Stanford Dogs Dataset

Table 1: Performance of different loss functions for SRResNet and the adversarial networks on Set5 and Set14 benchmark data. MOS score significantly higher ( $p < 0.05$ ) than with other losses in that category\*. [4× upscaling]

	SRResNet-MSE		SRGAN			
	MSE	VGG22	MSE	VGG22	VGG54	
<b>Set5</b>	PSNR	32.05	30.51	30.64	29.84	29.40
	SSIM	0.9019	0.8803	0.8701	0.8468	0.8472
	MOS	3.37	3.46	3.77	3.78	3.58
<b>Set14</b>	PSNR	28.49	27.19	26.92	26.44	26.02
	SSIM	0.8184	0.7807	0.7611	0.7518	0.7397
	MOS	2.98	3.15*	3.43	3.57	3.72*

- SRGAN-MSE:  $l_{MSE}^{SR}$ , to investigate the adversarial network with the standard MSE as content loss.
- SRGAN-VGG22:  $l_{VGG/2,2}^{SR}$  with  $\phi_{2,2}$ , a loss defined on feature maps representing lower-level features [68].
- SRGAN-VGG54:  $l_{VGG/5,4}^{SR}$  with  $\phi_{5,4}$ , a loss defined on feature maps of higher level features from deeper network layers with more potential to focus on the content of the images [68, 65, 40]. We refer to this network as **SRGAN** in the following.

We also evaluate the performance of the generator network without adversarial component for the two losses  $l_{MSE}^{SR}$  (SRResNet-MSE) and  $l_{VGG/2,2}^{SR}$  (SRResNet-VGG22). We refer to SRResNet-MSE as **SRResNet**. Note, when training SRResNet-VGG22 we added an additional total variation loss with weight  $2 \times 10^{-8}$  to  $l_{VGG/2,2}^{SR}$  [2, 33]. Quantitative results are summarized in Table 1 and visual examples provided in Figure 6. Even combined with the adversarial loss, MSE provides solutions with the highest PSNR values that are, however, perceptually rather smooth and less convincing than results achieved with a loss component more sensitive to visual perception. This is caused by competition between the MSE-based content loss and the adversarial loss. We further attribute minor reconstruction artifacts, which we observed in a minority of SRGAN-MSE-based reconstructions, to those competing objectives. We could not determine a significantly best loss function for SRResNet or SRGAN with respect to MOS score on **Set5**. However, SRGAN-VGG54 significantly outperformed other SRGAN and SRResNet variants on **Set14** in terms of MOS. We observed a trend that using the higher level VGG feature maps  $\phi_{5,4}$  yields better texture detail when compared to  $\phi_{2,2}$  (cf. Figure 6). Further examples of perceptual improvements through SRGAN over SRResNet are provided in the supplementary material.

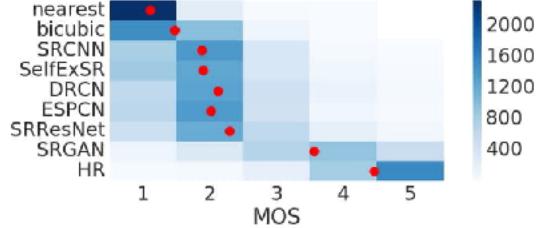


Figure 5: Color-coded distribution of MOS scores on **BSD100**. For each method 2600 samples (100 images × 26 raters) were assessed. Mean shown as red marker, where the bins are centered around value  $i$ . [4× upscaling]

### 3.5. Performance of the final networks

We compare the performance of **SRResNet** and **SRGAN** to NN, bicubic interpolation, and four state-of-the-art methods. Quantitative results are summarized in Table 2 and confirm that **SRResNet** (in terms of PSNR/SSIM) sets a new state of the art on three benchmark datasets. Please note that we used a publicly available framework for evaluation (cf. Section 3.1), reported values might thus slightly deviate from those reported in the original papers.

We further obtained MOS ratings for **SRGAN** and all reference methods on **BSD100**. Examples of images super-resolved with **SRResNet** and **SRGAN** are depicted in the supplementary material. [The results shown in Table 2 confirm that **SRGAN** outperforms all reference methods by a large margin and sets a new state of the art for photo-realistic image SR. All differences in MOS (cf. Table 2) are highly significant on **BSD100**, except SRCNN vs. SelfExSR. The distribution of all collected MOS ratings is summarized in Figure 5.

### 4. Discussion and future work

We confirmed the superior perceptual performance of SRGAN using MOS testing. We have further shown that standard quantitative measures such as PSNR and SSIM fail to capture and accurately assess image quality with respect to the human visual system [56]. The focus of this work was the perceptual quality of super-resolved images rather than computational efficiency. The presented model is, in contrast to Shi et al. [48], not optimized for video SR in real-time. However, preliminary experiments on the network architecture suggest that shallower networks have the potential to provide very efficient alternatives at a small reduction of qualitative performance. In contrast to Dong et al. [10], we found deeper network architectures to be beneficial. We speculate that the ResNet design has a substantial impact on the performance of deeper networks. We found that even deeper networks ( $B > 16$ ) can further

- **I'évaluation des performances montre sur différents jeux d'entraînement, que le modèle SRGAN avec sa combinaison de fonction de perte de contenu sur VGG et fonction de perte adverse surpassé les autres en devenant l'état de l'art**



Stanford Dogs Dataset

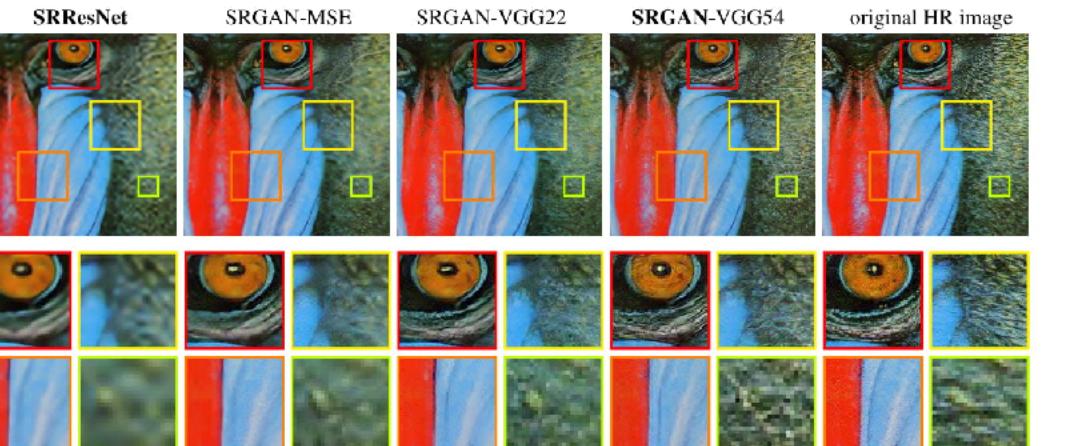


Figure 6: **SRResNet** (left: a,b), **SRGAN-MSE** (middle left: c,d), **SRGAN-VGG22** (middle: e,f) and **SRGAN-VGG54** (middle right: g,h) reconstruction results and corresponding reference HR image (right: i,j). [4× upscaling]

Table 2: Comparison of NN, bicubic, SRCNN [9], SelfExSR [31], DRCN [34], ESPCN [48], **SRResNet**, **SRGAN-VGG54** and the original HR on benchmark data. Highest measures (PSNR [dB], SSIM, MOS) in bold. [4× upscaling]

Set5	nearest	bicubic	SRCNN	SelfExSR	DRCN	ESPCN	<b>SRResNet</b>	<b>SRGAN</b>	HR
PSNR	26.26	28.43	30.07	30.33	31.52	30.76	<b>32.05</b>	29.40	∞
SSIM	0.7552	0.8211	0.8627	0.872	0.8938	0.8784	<b>0.9019</b>	0.8472	1
MOS	1.28	1.97	2.57	2.65	3.26	2.89	3.37	<b>3.58</b>	4.32
Set14									
PSNR	24.64	25.99	27.18	27.45	28.02	27.66	<b>28.49</b>	26.02	∞
SSIM	0.7100	0.7486	0.7861	0.7972	0.8074	0.8004	<b>0.8184</b>	0.7397	1
MOS	1.20	1.80	2.26	2.34	2.84	2.52	2.98	<b>3.72</b>	4.32
BSD100									
PSNR	25.02	25.94	26.68	26.83	27.21	27.02	<b>27.58</b>	25.16	∞
SSIM	0.6606	0.6935	0.7291	0.7387	0.7493	0.7442	<b>0.7620</b>	0.6688	1
MOS	1.11	1.47	1.87	1.89	2.12	2.01	2.29	<b>3.56</b>	4.46

increase the performance of **SRResNet**, however, come at the cost of longer training and testing times (*c.f.* supplementary material). We further found SRGAN variants of deeper networks are increasingly difficult to train due to the appearance of high-frequency artifacts.

Of particular importance when aiming for photo-realistic solutions to the SR problem is the choice of the content loss as illustrated in Figure 6. In this work, we found  $L_{VGG54}^R$  to yield the perceptually most convincing results, which we attribute to the potential of deeper network layers to represent features of higher abstraction [68, 65, 40] away from pixel space. We speculate that feature maps of these deeper layers focus purely on the content while leaving the adversarial loss focusing on texture details which are the main difference between the super-resolved images without

the adversarial loss and photo-realistic images. We also note that the ideal loss function depends on the application. For example, approaches that hallucinate finer detail might be less suited for medical applications or surveillance. The perceptually convincing reconstruction of text or structured scenes [31] is challenging and part of future work. The development of content loss functions that describe image spatial content, but more invariant to changes in pixel space will further improve photo-realistic image SR results.

## 5. Conclusion

We have described a deep residual network **SRResNet** that sets a new state of the art on public benchmark datasets when evaluated with the widely used PSNR measure. We have highlighted some limitations of this PSNR-

focused image super-resolution and introduced **SRGAN**, which augments the content loss function with an adversarial loss by training a GAN. Using extensive MOS testing, we have confirmed that **SRGAN** reconstructions for large upscaling factors (4×) are, by a considerable margin, more photo-realistic than reconstructions obtained with state-of-the-art reference methods.

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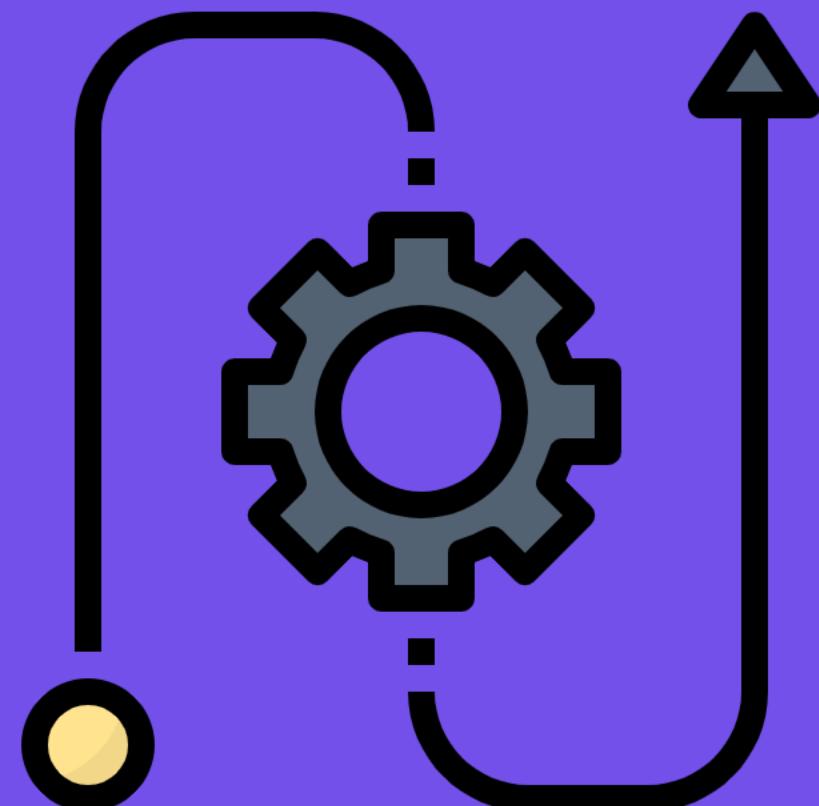
## **références en lien avec le sujet / papier**

<https://github.com/krasserm/super-resolution>  
<https://sh-tsang.medium.com/review-srgan-srresnet-photo-realistic-super-resolution-gan-super-resolution-96a6fa19490>  
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MIT 6.S191: Deep Generative Modeling <https://youtu.be/BUNIOTo1IVw>  
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**Stanford Dogs Dataset**

# la science des données expérimentation



- 1 Collecter des données
- 2 Préparer et explorer les données
- 3 Modéliser
- 4 Comprendre



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**lien d'accès au dataset**

<http://vision.stanford.edu/aditya86/ImageNetDogs/>

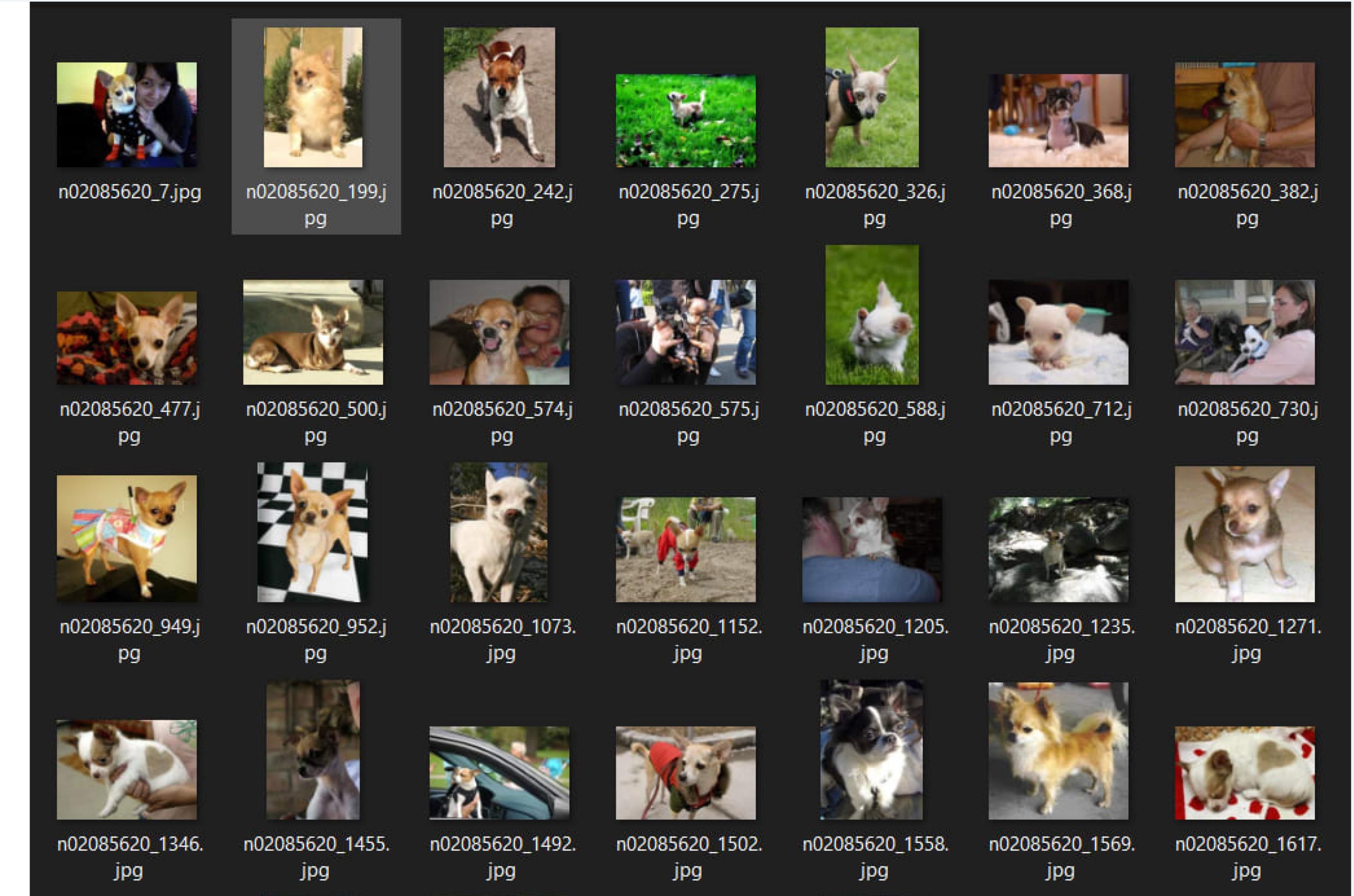
**120 dossiers d'images**

nombre de races : 120

nombre d'images : 20 580

- n02085620-Chihuahua
- n02085782-Japanese\_spaniel
- n02085936-Maltese\_dog
- n02086079-Pekinese
- n02086240-Shih-Tzu
- n02086646-Blenheim\_spaniel
- n02086910-papillon
- n02087046-toy\_terrier
- n02087394-Rhodesian\_ridgeback
- n02088094-Afghan\_hound
- n02088238-basset
- n02088364-beagle
- n02088466-bloodhound
- n02088632-bluetick
- n02089078-black-and-tan\_coonhound
- n02089867-Walker\_hound
- n02089973-English\_foxhound
- n02096294-Australian\_terrier
- n02096437-Dandie\_Dinmont
- n02096585-Boston\_bull
- n02097047-miniature\_schnauzer
- n02097130-giant\_schnauzer
- n02097209-standard\_schnauzer
- n02097298-Scotch\_terrier
- n02097474-Tibetan\_terrier
- n02097658-silky\_terrier
- n02098105-soft-coated\_wheaten\_terrier
- n02098286-West\_Highland\_white\_terrier
- n02098413-Lhasa
- n02099267-flat-coated\_retriever
- n02099429-curly-coated\_retriever
- n02099601-golden\_retriever
- n02099712-Labrador\_retriever
- n02099849-Chesapeake\_Bay\_retriever



**n02085620-Chihuahua****Stanford Dogs Dataset**



## preprocessing / séparation du jeu de données

- les images sont stockées dans le dossier `.stfdogs20580` du répertoire racine du projet
- le dataset est téléchargé et préparé automatiquement grâce à une fonction implémentée par mes soins durant la phase de création du dataset de l'objet de classe `STFDOGS20580`
- la préparation consiste à rassembler **N** images provenant du dataset en un jeu d'entraînement et un jeu de validation, chaque jeu devant avoir la version HR et la version LR
- la diminution de dimension (downsampling) est réalisée par interpolation bicubique à l'aide de la librairie OpenCV, d'un facteur 4 (= /16 pour une image 2d)



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## preprocessing / séparation du jeu de données

```
Entrée [6]: train_ds = stfdogs20580_train.dataset(batch_size=16, random_transform=True)
valid_ds = stfdogs20580_valid.dataset(batch_size=16, random_transform=True, repeat_count=1)
```

Déplacement des données dans dossier HR & shuffle & formatage  
1000/1000 [=====] - 7s 7ms/step

Downsampling des données dans dossier LR  
1000/1000 [=====] - 16s 16ms/step

Séparation des données HR (train,valid):  
1000/1000 [=====] - 0s 432us/step

Séparation des données LR (train,valid):  
1000/1000 [=====] - 0s 463us/step

Creation du cache : .stfdogs20580/caches\STFDOGS20580\_train\_LR\_bicubic.cache ...

Creation du cache : .stfdogs20580/caches\STFDOGS20580\_train\_HR.cache ...

Creation du cache : .stfdogs20580/caches\STFDOGS20580\_valid\_LR\_bicubic.cache ...

Creation du cache : .stfdogs20580/caches\STFDOGS20580\_valid\_HR.cache ...



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## pré-entraînement du générateur sur MSE loss

```
Entrée [9]: pre_trainer = SrganGeneratorTrainer(model=generator(), checkpoint_dir=f'.ckpt/pre_generator')
pre_trainer.train(train_ds,
                  valid_ds.take(100),
                  steps=20000,
                  evaluate_every=1000,
                  save_best_only=False)

pre_trainer.model.save_weights(weights_file('pre_generator.h5'))
```

1000/20000: loss\_train = 695.528, loss\_val = 455.302155, psnr\_val = 22.832457 (99.92s)  
2000/20000: loss\_train = 471.605, loss\_val = 451.819458, psnr\_val = 23.171009 (92.54s)  
3000/20000: loss\_train = 439.607, loss\_val = 406.658142, psnr\_val = 23.792353 (92.14s)  
4000/20000: loss\_train = 427.463, loss\_val = 389.210876, psnr\_val = 24.158199 (92.76s)  
5000/20000: loss\_train = 414.197, loss\_val = 394.336487, psnr\_val = 24.186033 (92.69s)  
6000/20000: loss\_train = 400.656, loss\_val = 385.960175, psnr\_val = 23.474201 (92.33s)  
7000/20000: loss\_train = 396.774, loss\_val = 396.300751, psnr\_val = 24.632648 (92.65s)  
8000/20000: loss\_train = 390.889, loss\_val = 391.532104, psnr\_val = 24.041616 (92.92s)  
9000/20000: loss\_train = 391.229, loss\_val = 379.361420, psnr\_val = 24.671635 (92.87s)  
10000/20000: loss\_train = 386.358, loss\_val = 367.339905, psnr\_val = 24.556221 (92.63s)  
11000/20000: loss\_train = 381.680, loss\_val = 366.331238, psnr\_val = 25.380821 (92.70s)  
12000/20000: loss\_train = 380.388, loss\_val = 374.230377, psnr\_val = 24.895226 (92.87s)  
13000/20000: loss\_train = 380.089, loss\_val = 375.147003, psnr\_val = 24.793724 (92.67s)  
14000/20000: loss\_train = 375.905, loss\_val = 365.611511, psnr\_val = 25.389008 (92.99s)  
15000/20000: loss\_train = 376.517, loss\_val = 367.176575, psnr\_val = 25.069117 (92.71s)  
16000/20000: loss\_train = 375.429, loss\_val = 355.473328, psnr\_val = 24.747904 (93.35s)  
17000/20000: loss\_train = 370.777, loss\_val = 385.038513, psnr\_val = 24.078451 (92.77s)  
18000/20000: loss\_train = 369.196, loss\_val = 353.026093, psnr\_val = 24.819422 (93.05s)  
19000/20000: loss train = 368.123. loss val = 360.204041. psnr val = 26.084274 (92.94s)





## entraînement du générateur sur perception et discriminateur entropie croisée binaire

```
Entrée [10]: gan_generator = generator()
gan_generator.load_weights(weights_file('pre_generator.h5'))

gan_trainer = SrganTrainer(generator=gan_generator, discriminator=discriminator())
gan_trainer.train(train_ds,
                  steps=5000)
4050/5000, perceptual loss = 0.3276, discriminator loss = 0.2267
4100/5000, perceptual loss = 0.3371, discriminator loss = 0.3225
4150/5000, perceptual loss = 0.3145, discriminator loss = 0.0181
4200/5000, perceptual loss = 0.3320, discriminator loss = 0.1885
4250/5000, perceptual loss = 0.3208, discriminator loss = 0.0950
4300/5000, perceptual loss = 0.3415, discriminator loss = 0.0836
4350/5000, perceptual loss = 0.3392, discriminator loss = 0.1850
4400/5000, perceptual loss = 0.3131, discriminator loss = 0.2113
4450/5000, perceptual loss = 0.3118, discriminator loss = 0.1370
4500/5000, perceptual loss = 0.3289, discriminator loss = 0.2936
4550/5000, perceptual loss = 0.3318, discriminator loss = 0.0800
4600/5000, perceptual loss = 0.3271, discriminator loss = 0.1643
4650/5000, perceptual loss = 0.3284, discriminator loss = 0.1447
4700/5000, perceptual loss = 0.3018, discriminator loss = 0.5600
4750/5000, perceptual loss = 0.3063, discriminator loss = 0.0362
```



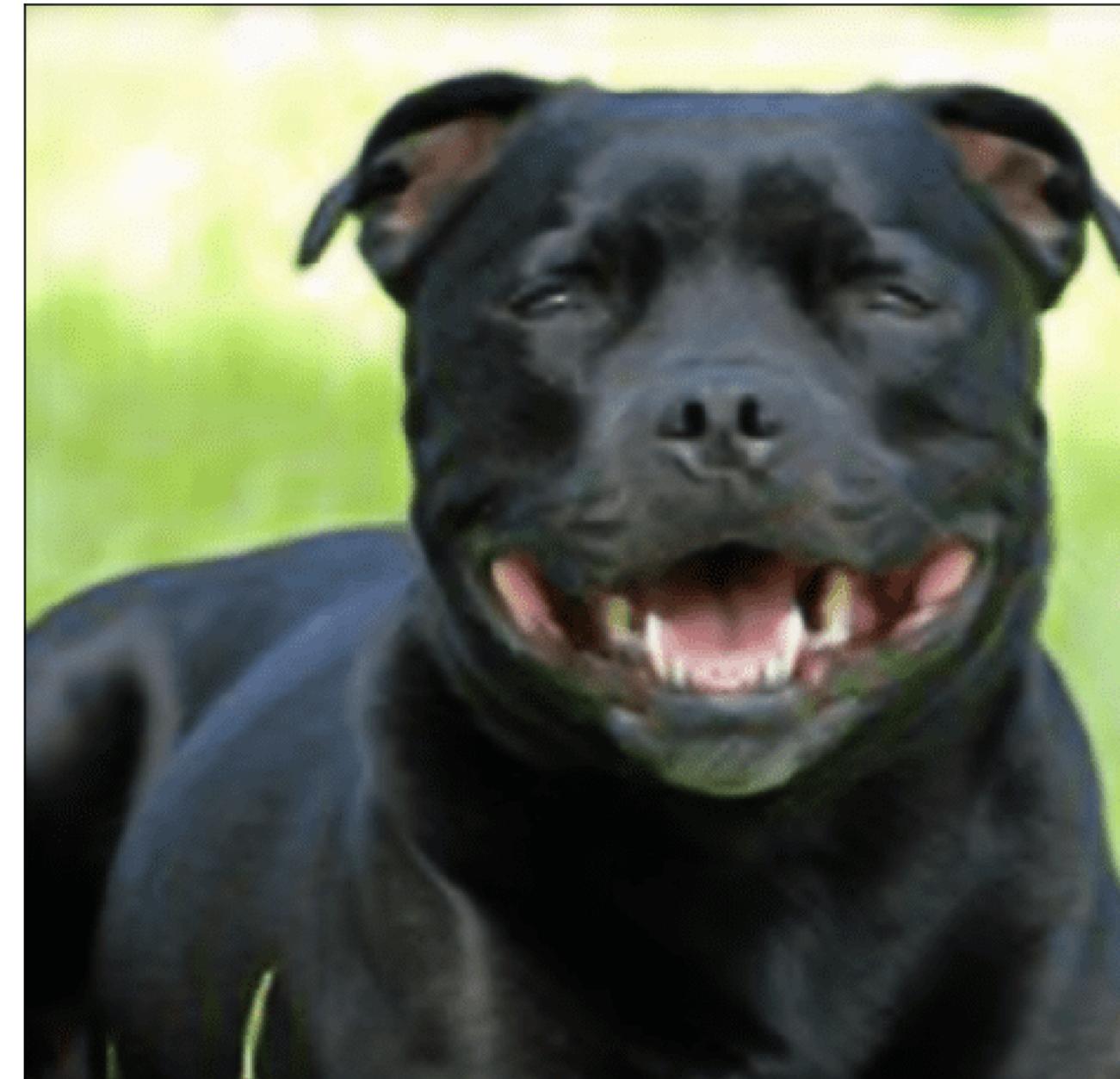


démonstration de faisabilité  
dog 1

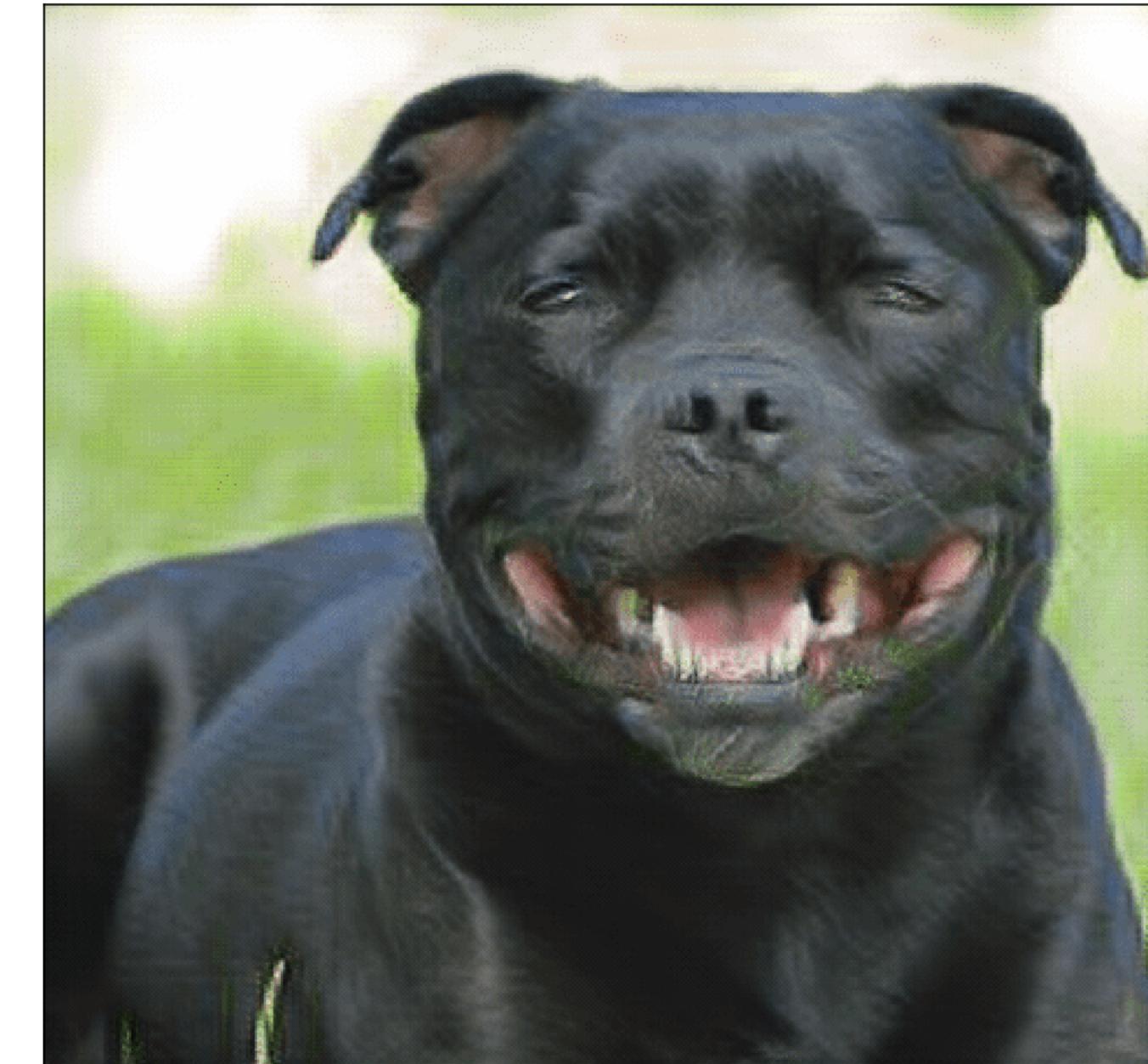
LR



SR (PRE)



SR (GAN)



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démonstration de faisabilité  
dog 2

LR



SR (PRE)



SR (GAN)



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## conclusion

Nous avons réussi à réaliser une démonstration de faisabilité après la lecture d'un article de recherche sur la super résolution

L'implémentation du SRGAN fonctionne mais de nombreuses optimisations sont possibles en modifiant les hyper paramètres des modèles, en réalisant un entraînement complet et une augmentation du nombre d'images sélectionnées pour l'entraînement, et bien plus encore



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## Projet 7

Thank you !

