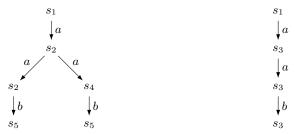
## CS 228 Spring 2021 Assignment

- 1. Consider languages  $L_1 = b(a^*bb)^*$  and  $L_2 = b(a^+bb)^*$ . Can you give a FO formula  $\varphi$  for either of these languages? When you can, give the formula. When you cannot, give an intuitive reason why.
- 2. Let us define a new kind of automaton inspired from logic, called  $\forall \exists$  automaton as follows: A  $\forall \exists$  automaton is a finite state automaton  $(Q, \Sigma, \Delta, S, F)$  where the set of states Q is partitioned into two sets  $Q_{\forall}$  and  $Q_{\exists}$ . Let there be n states in Q. The transitions coming out of a  $Q_{\exists}$  state are called "or" transitions, and the transitions coming out of a  $Q_{\forall}$  state are called "and" transitions.

An "or" transition has the form  $\Delta(q, a) = q_{i_1} \vee \ldots \vee q_{i_j}, q \in Q_{\exists}$ , while, an "and" transition has the form  $\Delta(q, a) = q_{j_1} \wedge \ldots \vee q_{j_l}, q \in Q_{\forall}$ .

For example, let  $Q = \{s_1, s_2, s_3, s_4, s_5\}$ ,  $S = \{s_1\}$ ,  $F = \{s_5\}$ , with transitions  $\Delta(s_1, a) = s_2 \vee s_3$ ,  $\Delta(s_2, a) = s_2 \wedge s_4$ ,  $\Delta(s_2, b) = s_5$ ,  $\Delta(s_4, a) = s_4$ ,  $\Delta(s_4, b) = s_5$ ,  $\Delta(s_3, a) = s_3$ ,  $\Delta(s_3, b) = s_3$ . The following are two run trees of the word aab:



Note that each time an "and" transition  $\delta(q,a)=q_{i_1}\wedge\ldots\wedge q_{i_j}$  is used, we spawn j threads, and maintain all the states  $q_{i_1},\ldots,q_{i_j}$ . All these threads then evolve in parallel. A word w is accepted by a run tree if a final state is encountered in all the threads when you finish reading w. An "or" transition is the usual non-deterministic choice you have, you can pick any one of the choices. In the above example, the first run tree is accepting, while the second is not. A word is accepted if it has at least one accepting run tree.

Compare the expressiveness of  $\forall \exists$  automata and NFA. That is, given a  $\forall \exists$  automaton A, does there exist a NFA B such that L(A) = L(B)? Conversely, given a NFA A, does there exist a  $\forall \exists$  automaton B such that L(A) = L(B)?

3. A certain logician, Prof.Calculus is interested in specifying properties of systems, by observing them over intervals of time [b,e], where  $b,e \in \mathbb{N}$ . He assumed that his systems are observable at all discrete points of time  $0,1,2,\ldots,j$ , where  $j\in\mathbb{N}$ . Let  $Var=\{p_1,p_2,\ldots,p_n\}$  be the underlying set of propositional variables that are needed to model the system under consideration. Each variable  $p_i$  can take a value 0 or 1 at all the observable points. For example, if Prof.Calculus wants to observe a system over an interval [0,3], with  $Var=\{p_1,p_2\}$  he must know the values of variables in Var at the time points 0,1,2,3. This gives a behaviour  $\sigma$  of the system in the interval [0,3]. A possible behaviour  $\sigma$  could be this:

$$p_1 : 0 \ 1 \ 0 \ 1$$
  
 $p_2 : 1 \ 0 \ 1 \ 1$ 

 $\sigma$  says that  $p_2$  is true at the first point, both  $p_1, p_2$  are true at the last point, and at all points other than the last,  $p_1, p_2$  toggle. Let  $|\sigma|$  denote the length of behaviour  $\sigma$ , and let  $\sigma(i)$  denote its behaviour at point i,  $0 \le i \le |\sigma| - 1$ , and let  $dom(\sigma) = \{0, 1, \ldots, |\sigma| - 1\}$ . In the above case,  $|\sigma| = 4, \sigma(1) = (p_1 = 1, p_2 = 0)$ , and  $dom(\sigma) = \{0, 1, 2, 3\}$ .

Let small letters  $p, q, \ldots$  denote propositional variables, and  $P, Q, \ldots$  denote boolean combinations of propositional variables. Prof.Calculus designed a logic (lets call it  $\mathcal{CL}$  as short form for Calculus Logic) which has the following syntax: For  $c \in \mathbb{N}$  and  $\sim \in \{>, =\}$ ,

$$\varphi: \lceil P \rceil^0 \mid \lceil \lceil P \rceil \mid \varphi \wedge \varphi \mid \neg \varphi \mid \varphi. \varphi \mid len \sim c \mid \int P \sim c$$

For a chosen behaviour  $\sigma$ , an interval  $[b,e] \in dom(\sigma) \times dom(\sigma)$ , with  $b \leq e$ , we inductively define the satisfaction of a formula  $\varphi$  in the logic of Calculus denoted  $\sigma$ ,  $[b,e] \models \varphi$  as follows:

$$\begin{split} &\sigma, [i,i] \models p \text{ iff } \sigma(i)(p) = 1 \\ &\sigma, [b,e] \models \lceil P \rceil^0 \text{ iff } b = e \text{ and } \sigma, b \models P \\ &\sigma, [b,e] \models \lceil \lceil P \rceil \text{ iff } b < e \text{ and } \sigma, i \models P \text{ for all } b \leq i < e \\ &\sigma, [b,e] \models \neg \varphi \text{ iff } \sigma, [b,e] \nvDash \varphi \\ &\sigma, [b,e] \models \varphi_1 \land \varphi_2 \text{ iff } \sigma, [b,e] \models \varphi_1 \text{ and } \sigma, [b,e] \models \varphi_2 \\ &\sigma, [b,e] \models \varphi_1.\varphi_2 \text{ iff for some } m, b \leq m \leq e, \sigma, [b,m] \models \varphi_1 \text{ and } \sigma, [m,e] \models \varphi_2 \end{split}$$

 $len \sim c$  and  $\int P \sim c$  are called measurements. len stands for the length of the interval chosen.  $\int P$  is the number of times P holds good in a chosen interval [b,e], and this number is compared with c in evaluation of  $\int P \sim c$ . For a given behaviour  $\sigma$  over [b,e], define

$$\int_{b}^{e} P = \sum_{i=b}^{e-1} x_i$$

where  $x_i = 1$  if  $\sigma, i \models P$ , and  $x_i = 0$  otherwise.

$$\sigma, [b, e] \models len \sim c \text{ iff } e - b \sim c$$
  
$$\sigma, [b, e] \models \int P \sim c \text{ iff } \int_b^e P \sim c$$

In our example, we thus have  $\sigma$ ,  $[0,3] \models (len = 3)$ ,  $\sigma$ ,  $[0,3] \models (\int p_2 = 2)$ . A formula  $\varphi \in \mathcal{CL}$  is satisfiable iff one can find a behaviour  $\sigma$  of some finite length such that  $\sigma$ ,  $[0, |\sigma| - 1] \models \varphi$ .

- (a) Write the property "the interval has even length" in  $\mathcal{CL}$ . Justify.
- (b) Construct a finite state automaton (DFA/NFA) that accepts all behaviours  $\sigma$  which satisfy the formula  $(\lceil p \rceil^0, \lceil \lceil \neg q \rceil, \lceil q \rceil^0) \to (\lceil \lceil r \rceil, \lceil r \rceil^0)$ . Explain your construction.