

# CS 228 : Logic in Computer Science

S. Krishna

# Recap

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- ▶ Signature  $\tau$ : set containing relations and constants
- ▶ Each relation in  $\tau$  has an arity
- ▶ A FO formula is written over some signature  $\tau$ ; that is, it uses the relations and constants from  $\tau$ . It also uses variables denoted  $x_i$ , boolean connectives and quantifiers  $\forall, \exists$ .
- ▶ A relation  $R$  of arity  $k$  is used in the formula as  $R(t_1, \dots, t_k)$  where  $t_i$ 's are variables or constants
- ▶ Equality  $t_1 = t_2$  is available irrespective of  $\tau$
- ▶ To make sense out of a formula, we need structures

# Recap

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- ▶ A structure of signature  $\tau$  consists of a universe, and assigns meanings to all the entities of  $\tau$
- ▶ So, if  $R$  is a  $k$ -ary relational symbol of  $\tau$ , the structure specifies which  $k$ -tuples of elements from the universe are legitimate relations for  $R$
- ▶ If  $c$  is a constant in  $\tau$ , the structure also maps  $c$  to some fixed element of the universe
- ▶ So, structures of  $\tau$  **give life** to  $\tau$
- ▶ Structures also tell you the set of values your variables  $x_i$  can assume : these are the elements from the universe
- ▶ A structure in PL will just consist of the universe  $\{0, 1\}$ , since there is no signature. All variables assume values from this boolean universe.

# Satisfiability in PL and FO

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- ▶ The satisfiability of a PL formula depends on the existence of an assignment satisfying it; likewise, the satisfiability of a FO formula  $\varphi$  over signature  $\tau$  depends on the existence of a structure  $\mathcal{A}$  of  $\tau$  such that  $\varphi$  is true on  $\mathcal{A}$ .

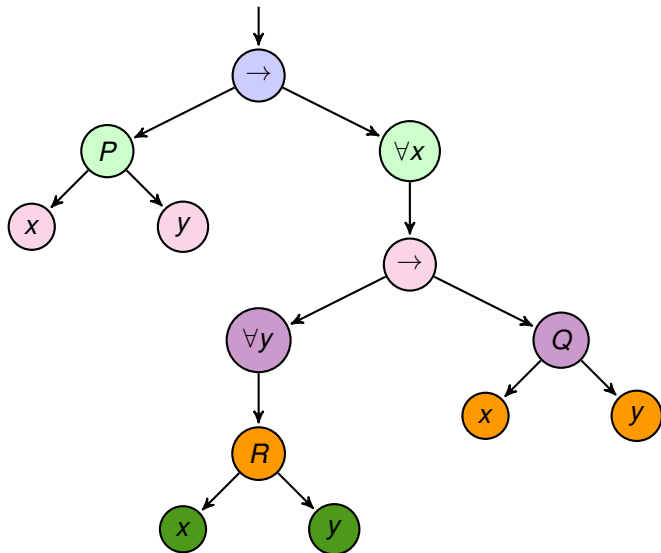
# Free and Bound Variables

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- ▶ For a wff  $\varphi = \forall x\psi$ ,  $\psi$  is said to be the **scope** of the quantifier  $x$
- ▶ Every occurrence of  $x$  in  $\forall x\psi$  is **bound**
- ▶ Any occurrence of  $x$  which is not bound is called **free**
- ▶  $\varphi = P(x, y) \rightarrow \forall x((\forall yR(x, y)) \rightarrow Q(x, y))$ 
  - ▶  $y$  is free in  $Q(x, y)$  and bound in  $R(x, y)$ ,
  - ▶  $x$  is free in  $P(x, y)$ , and bound in  $Q(x, y), R(x, y)$
- ▶ Given  $\varphi$ , denote by  $\varphi(x_1, \dots, x_n)$ , that  $x_1, \dots, x_n$  are the free variables of  $\varphi$ , also  $\text{free}(\varphi)$
- ▶ A **sentence** is a formula  $\varphi$  **none** of whose variables are **free**

$$P(x, y) \rightarrow \forall x((\forall y R(x, y)) \rightarrow Q(x, y))$$

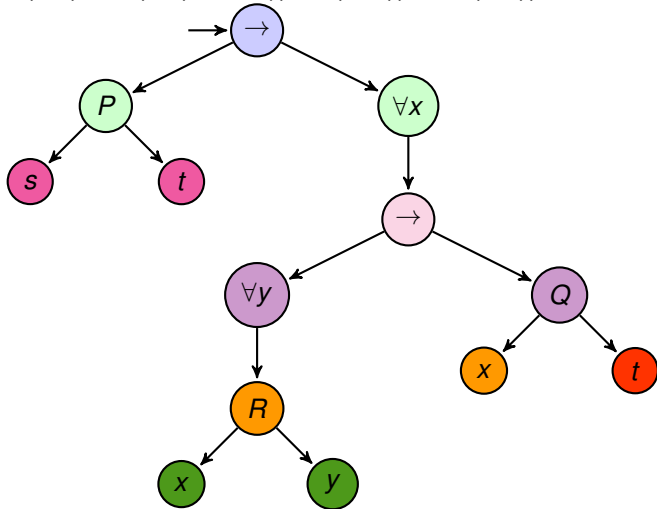
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$$P(x, y) \rightarrow \forall x((\forall y R(x, y)) \rightarrow Q(x, y))$$

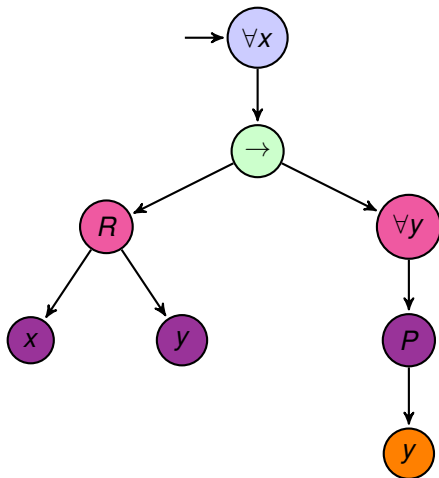

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$$\varphi(s, t) = P(s, t) \rightarrow \forall x((\forall y R(x, y)) \rightarrow Q(x, t))$$



$$\forall x(R(x, y) \rightarrow \forall yP(y))$$

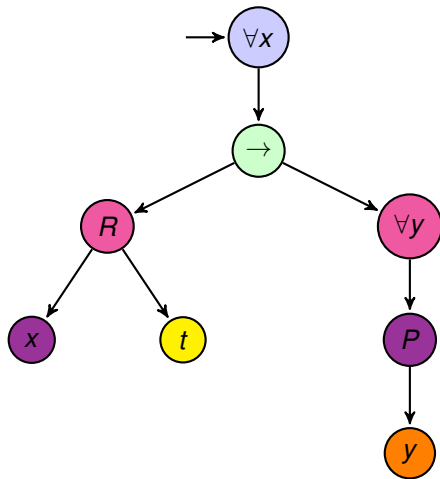
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$$\forall x(R(x, y) \rightarrow \forall yP(y))$$


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$$\varphi(t) = \forall x(R(x, t) \rightarrow \forall yP(y))$$

# Assignments on $\tau$ -structures

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## Assignments

For a  $\tau$ -structure  $\mathcal{A}$ , an assignment over  $\mathcal{A}$  is a function  $\alpha : \mathcal{V} \rightarrow u(\mathcal{A})$  that assigns every variable  $x \in \mathcal{V}$  a value  $\alpha(x) \in u(\mathcal{A})$ . If  $t$  is a constant symbol  $c$ , then  $\alpha(t)$  is  $c^{\mathcal{A}}$

# Assignments

## Binding on a Variable

For an assignment  $\alpha$  over  $\mathcal{A}$ ,  $\alpha[x \mapsto a]$  is the assignment

$$\alpha[x \mapsto a](y) = \begin{cases} \alpha(y), & y \neq x, \\ a, & y = x \end{cases}$$

Let  $u(\mathcal{A}) = \{a, b, c, d\}$ , and consider assignment  $\alpha : \{x, y, z\} \rightarrow u(\mathcal{A})$  defined by  $\alpha(x) = d, \alpha(y) = b, \alpha(z) = c$ . Then,

- ▶  $\alpha[x \mapsto a]$  is the assignment  $\alpha'$  where  $\alpha'(x) = a, \alpha'(y) = \alpha(y), \alpha'(z) = \alpha(z)$ .
- ▶  $\alpha[x \mapsto c]$  is the assignment  $\alpha''$  where  $\alpha''(x) = c, \alpha''(y) = \alpha(y), \alpha''(z) = \alpha(z)$ .

# Satisfaction

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We define the relation  $\mathcal{A} \models_{\alpha} \varphi$  (read as  $\varphi$  is true in  $\mathcal{A}$  under the assignment  $\alpha$ ) inductively:

- ▶  $\mathcal{A} \not\models_{\alpha} \perp$
- ▶  $\mathcal{A} \models_{\alpha} t_1 = t_2$  iff  $\alpha(t_1) = \alpha(t_2)$
- ▶  $\mathcal{A} \models_{\alpha} R(t_1, \dots, t_k)$  iff  $(\alpha(t_1), \dots, \alpha(t_k)) \in R^{\mathcal{A}}$
- ▶  $\mathcal{A} \models_{\alpha} (\varphi \rightarrow \psi)$  iff  $\mathcal{A} \not\models_{\alpha} \varphi$  or  $\mathcal{A} \models_{\alpha} \psi$
- ▶  $\mathcal{A} \models_{\alpha} (\forall x)\varphi$  iff for every  $a \in u(\mathcal{A})$ ,  $\mathcal{A} \models_{\alpha[x \mapsto a]} \varphi$
- ▶  $\mathcal{A} \models_{\alpha} (\exists x)\varphi$  iff there is some  $a \in u(\mathcal{A})$ ,  $\mathcal{A} \models_{\alpha[x \mapsto a]} \varphi$

Last two cases,  $\alpha$  has no effect on the value of  $x$ . Thus, assignments matter **only** to free variables.

# Example of Satisfaction

$$\mathcal{G} = (\{1, 2, 3\}, E^{\mathcal{G}} = \{(1, 2), (2, 1), (2, 3), (3, 2)\})$$

- ▶ For any assignment  $\alpha$ ,  $\mathcal{G} \models_{\alpha} \forall x \forall y (E(x, y) \rightarrow E(y, x))$  iff  
for all  $a \in \{1, 2, 3\}$ ,  $\mathcal{G} \models_{\alpha[x \mapsto a]} \forall y (E(x, y) \rightarrow E(y, x))$  iff  
for every  $a, b \in \{1, 2, 3\}$ ,  $\mathcal{G} \models_{\alpha[x \mapsto a, y \mapsto b]} (E(x, y) \rightarrow E(y, x))$ 
  - ▶ for  $\alpha_1 : \alpha_1(x) = 1, \alpha_1(y) = 1, \mathcal{G} \models_{\alpha_1} (E(x, y) \rightarrow E(y, x))$ ,
  - ▶ for  $\alpha_2 : \alpha_2(x) = 1, \alpha_2(y) = 2, \mathcal{G} \models_{\alpha_2} (E(x, y) \rightarrow E(y, x))$ ,
  - ▶ for  $\alpha_3 : \alpha_3(x) = 1, \alpha_3(y) = 3, \mathcal{G} \models_{\alpha_3} (E(x, y) \rightarrow E(y, x))$ ,
  - ▶ for  $\alpha_4 : \alpha_4(x) = 2, \alpha_4(y) = 1, \mathcal{G} \models_{\alpha_4} (E(x, y) \rightarrow E(y, x))$ ,
  - ▶  $\vdots$
  - ▶ for  $\alpha_9 : \alpha_9(x) = 3, \alpha_9(y) = 3, \mathcal{G} \models_{\alpha_9} (E(x, y) \rightarrow E(y, x))$
- ▶ There is an assignment  $\alpha$  which satisfies  
 $\mathcal{G} \models_{\alpha} \exists x (E(x, y) \wedge E(x, z) \wedge y \neq z)$   
 $\alpha(y) = 1, \alpha(z) = 3$ , and consider  $\alpha[x \mapsto 2]$ .
- ▶ Check this:  $\mathcal{G} \not\models \exists x \forall y E(x, y)$ ,  $\mathcal{G} \models \forall x \exists y E(x, y)$

# Example of Satisfaction

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- ▶  $\mathcal{W} = abaaa$  or,  
 $\mathcal{W} = (\{0, \dots, 4\}, <^{\mathcal{W}}, S^{\mathcal{W}}, Q_a^{\mathcal{W}} = \{0, 2, 3, 4\}, Q_b^{\mathcal{W}} = \{1\})$ 
  - ▶ There is an assignment  $\alpha$  for which  
 $\mathcal{W} \models_{\alpha} (Q_a(x) \wedge Q_a(y) \wedge S(x, y))$   
One possibility :  $\alpha(x) = 2, \alpha(y) = 3$
  - ▶ There is no assignment  $\alpha$  which satisfies  
 $\exists x \exists y (Q_b(x) \wedge Q_b(y) \wedge x \neq y)$
  - ▶ Prove or disprove :  $\mathcal{W} \models \exists x \forall y [Q_b(x) \wedge x < y \wedge Q_a(y)]$
  - ▶ Prove or disprove :  $\mathcal{W} \models \exists x \forall y [Q_b(x) \wedge x < y \Rightarrow Q_a(y)]$

# Satisfiability, Validity, Equivalence and Equisatisfiability

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- ▶ A formula  $\varphi$  over a signature  $\tau$  is said to be **satisfiable** iff for some  $\tau$ -structure  $\mathcal{A}$  and assignment  $\alpha$ ,  $\mathcal{A} \models_{\alpha} \varphi$
- ▶ A formula  $\varphi$  over a signature  $\tau$  is said to be **valid** iff for every  $\tau$ -structure  $\mathcal{A}$  and assignment  $\alpha$ ,  $\mathcal{A} \models_{\alpha} \varphi$
- ▶ Formulae  $\varphi(x_1, \dots, x_n)$  and  $\psi(x_1, \dots, x_n)$  are **equivalent** denoted  $\varphi \equiv \psi$  iff for every  $\mathcal{A}$  and  $\alpha$ ,  $\mathcal{A} \models_{\alpha} \varphi$  iff  $\mathcal{A} \models_{\alpha} \psi$
- ▶ Consider  $\varphi_1(x) = \forall y R(x, y)$  and  $\varphi_2 = \exists x \forall y R(x, y)$ .
- ▶ It is clear that whenever  $\varphi_2$  is satisfiable on  $\mathcal{A}$ ,  $\mathcal{A} \models_{\alpha[x \mapsto a]} \forall y R(x, y)$ , for some  $a \in u(\mathcal{A})$ . Then one can find the assignment  $\alpha$  such that  $\mathcal{A} \models_{\alpha} \varphi_1(x)$ ,  $\alpha(x) = a$ .
- ▶ Likewise, if  $\mathcal{A} \models_{\alpha} \varphi_1(x)$ , then  $\mathcal{A} \models_{\alpha'[x \mapsto \alpha(x)]} \varphi_2$ , and  $\alpha'(y)$  can be defined as  $\alpha(y)$ .
- ▶ Thus,  $\varphi_1(x), \varphi_2$  agree on satisfiability : equisatisfiable.

# True or False?

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For a formula  $\varphi$  and assignments  $\alpha_1$  and  $\alpha_2$  such that for every  $x \in \text{free}(\varphi)$ ,  $\alpha_1(x) = \alpha_2(x)$ ,  $\mathcal{A} \models_{\alpha_1} \varphi$  iff  $\mathcal{A} \models_{\alpha_2} \varphi$

- ▶ For example,  $\varphi(y) = \forall x(R(x, y) \rightarrow \forall zP(z))$
- ▶ Consider two assignments  $\alpha_1, \alpha_2$  such that  $\alpha_1(y) = \alpha_2(y) = \alpha(\text{say})$
- ▶ Evaluate for all  $a, b \in u(\mathcal{A})$ ,  $R(a, \alpha) \rightarrow P(b)$
- ▶  $\mathcal{A} \models_{\alpha_1} \varphi$  iff  $\mathcal{A} \models_{\alpha_2} \varphi$



# True or False?

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For a sentence  $\varphi$ , and any two assignments  $\alpha_1$  and  $\alpha_2$ ,  $\mathcal{A} \models_{\alpha_1} \varphi$  iff  $\mathcal{A} \models_{\alpha_2} \varphi$

No free variables!

# Check SAT

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- ▶  $\varphi = \exists x[(\forall y E(x, y)) \wedge \forall z[(\forall y E(z, y)) \rightarrow z = x]]$ . Does  $\varphi$  evaluate to true under some graph structure?
- ▶  $\psi = \exists x[Q_a(x) \wedge \forall y[(y < x \wedge Q_b(y)) \rightarrow (z < x \wedge y < z \wedge Q_a(z))]]$ . Does  $\psi$  evaluate to true under some word structure?