

A decorative blue crosshair consisting of a vertical line and a horizontal line intersecting in the upper-left quadrant of the slide.

CS 228 : Logic in Computer Science

Krishna. S

Recap

- ▶ Given FO formula φ , build an automaton A_φ preserving the language
- ▶ Satisfiability of FO reduces to non-emptiness of underlying automaton
- ▶ Starting today : non FO-definability

FO Definability

Let φ be a FO formula. Define the **quantifier rank** of φ denoted $c(\varphi)$

- ▶ If φ is atomic ($x = y, x < y, S(x, y), Q_a(x)$) then $c(\varphi) = 0$
- ▶ $c(\neg\varphi) = c(\varphi)$
- ▶ $c(\varphi \wedge \psi) = \max(c(\varphi), c(\psi))$
- ▶ $c(\exists\varphi) = c(\varphi) + 1$
- ▶ Quantifier free formulae written in DNF : $C_1 \vee C_2 \vee \dots \vee C_n$
- ▶ Formulae of quantifier rank $c + 1$ written as a disjunction of the conjunction of formulae, each formula of the form $\exists x\varphi, \neg\exists x\varphi$ or φ , with $c(\varphi) \leq c$. Eliminate repeated disjuncts/conjuncts

Number of FO formulae of rank c

Let \mathcal{V} be a finite set of first order variables. Fix a finite signature τ . Let there be m atomic formulae over τ having variables from \mathcal{V} .

- ▶ If \mathcal{V} has 2 variables x, y , and τ has $Q_a, S, <$.
- ▶ Atomic formulae : $\{Q_a(x), Q_a(y), S(x, y), x < y\}$
- ▶ $G = \{Q_a(x), \neg Q_a(x), Q_a(y), \neg Q_a(y), S(x, y), \neg S(x, y), x < y, \neg(x < y)\}$
- ▶ Each subset of G is a possible conjunct C_i .
- ▶ All possible disjuncts using each C_i : formulae in DNF of rank 0

Number of FO formulae of rank c

Let \mathcal{V} be a finite set of first order variables. Fix a finite signature τ . Let there be m atomic formulae over τ having variables from \mathcal{V} .

- ▶ $2m$ atomic/negated atomic formulae
- ▶ Number of conjunctions C_i possible $\leq 2^{2m}$
- ▶ Number of formulae in DNF $\leq 2^{2^{2m}}$ ($c = 0$)

Rank 1

Let there be p formulae φ of rank 0.

- ▶ $2p$ formulae of the form $\exists x\varphi, \neg\exists x\varphi$
- ▶ 2^{2p} conjunctions of rank 1
- ▶ Conjoining any one of the p formulae of rank 0 gives all conjuncts of rank 1 : $p2^{2p}$ more
- ▶ Possible conjuncts of rank 1 is $q = (p + 1)2^{2p}$
- ▶ Possible disjuncts of these : 2^q

Number of FO formulae of rank c

Let \mathcal{V} be a finite set of first order variables, and let $c \geq 0$. There are finitely many FO formulae in DNF with rank c over \mathcal{V} .

Some Notation

Given a word $w = a_1 \dots a_n$, and a finite set of variables \mathcal{V} , define a \mathcal{V} -enriched-word with respect to w as

- ▶ $(a_1, U_1)(a_2, U_2) \dots (a_n, U_n)$ where
- ▶ $\bigcup_i U_i = \mathcal{V}$
- ▶ $U_i \cap U_j = \emptyset$

- ▶ A \mathcal{V} -enriched-word is over the alphabet $\Sigma \times 2^{\mathcal{V}}$
- ▶ $(a, \{x\})(b, \{y, z\})(c, \emptyset)(d, \{u, v\})$ is a $\{x, y, z, u, v\}$ -enriched word with respect to the word $abcd$.
- ▶ We will refer to \mathcal{V} -enriched-word structures as \mathcal{V} -structures from here on

Notational Semantics

Given a \mathcal{V} -structure $w = (a_1, S_1) \dots (a_n, S_n)$,

- ▶ $w \models Q_a(x)$ iff there exists j such that $a_j = a$ and $x \in S_j$
 - ▶ $(a, \{y\})(b, \{u, v\})(a, \{x\}) \models Q_a(x)$
- ▶ $w \models (x = y)$ iff there exists j such that $x, y \in S_j$
 - ▶ $(a, \{x\})(b, \{y, z\})(c, \emptyset) \not\models (x = y)$
- ▶ $w \models x < y$ iff there exists $i < j$ such that $x \in S_i, y \in S_j$
 - ▶ $(a, \{x\})(b, \{y, z\})(c, \emptyset) \models x < y$
- ▶ $w \models \exists x Q_a(x)$ iff there exists i such that $(a_1, S_1) \dots (a_i, S_i \cup \{x\}) \dots (a_n, S_n) \models Q_a(x)$
 - ▶ $(b, \{y, z\})(a, \{u\})(c, \emptyset) \models \exists x Q_a(x)$ since
 $(b, \{y, z\})(a, \{x, u\})(c, \emptyset) \models Q_a(x)$

Notational Semantics

- ▶ $(a, \emptyset)(a, \emptyset)(b, \emptyset) \models \forall x \exists y (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])$ iff
- ▶ $(a, \emptyset)(a, \emptyset)(b, \emptyset) \models \neg \exists x \neg [\exists y (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])]$ iff
- ▶ $(a, \emptyset)(a, \emptyset)(b, \emptyset) \not\models \exists x \neg [\exists y (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])]$ iff
 - ▶ $(a, \{x\})(a, \emptyset)(b, \emptyset) \not\models \neg [\exists y (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])]$ and
 - ▶ $(a, \emptyset)(a, \{x\})(b, \emptyset) \not\models \neg [\exists y (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])]$ and
 - ▶ $(a, \emptyset)(a, \emptyset)(b, \{x\}) \not\models \neg [\exists y (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])]$
- ▶ $(a, \{x\})(a, \emptyset)(b, \emptyset) \models \exists y (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])$ iff
- ▶ $(a, \{x\})(a, \emptyset)(b, \{y\}) \models (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])$

Similarly, $(a, \emptyset)(a, \{x\})(b, \{y\}) \models (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])$ and
 $(a, \emptyset)(a, \emptyset)(b, \{x, y\}) \models (Q_a(x) \rightarrow [(x < y) \wedge Q_b(y)])$