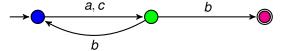
**CS 228 : Logic in Computer Science** 

S. Krishna

## Recap: Idea for SAT checking

Given FO formula φ over an alphabet Σ, construct an edge labeled graph Gφ: a graph whose edges are labeled by Σ.



- Each path in the graph gives rise to a word over  $\Sigma$ , obtained by reading off the labels on the edges
- $G_{\omega}$  has some special kinds of vertices
  - ► There is a unique vertex called the start vertex (blue vertex)
  - There are some vertices called good vertices (magenta vertex)
- ▶ Read off words on paths from the start vertex to any final vertex and call this set of words  $L(G_{\varphi})$
- ▶ Ensure that  $G_{\varphi}$  is constructed such that  $L(\varphi) = L(G_{\varphi})$ .

## Languages, Machines and Logic

A language  $L \subseteq \Sigma^*$  is called regular iff there exists some DFA A such that L = L(A).

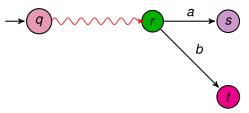
A language  $L \subseteq \Sigma^*$  is called FO-definable iff there exists an FO formula  $\varphi$  such that  $L = L(\varphi)$ .

What we plan to show: L is FO-definable  $\Rightarrow L$  is regular. Note that the converse is not true.

### **Deterministic Finite Automata**

- Every state on every symbol goes to a unique state
  - $\delta: Q \times \Sigma \to Q$  is a transition function
- ▶ Given a string  $w \in \Sigma^*$  and a state  $q \in Q$ , iteratively apply  $\delta$ 
  - $\mathbf{w} = aab$
  - $\delta(q, a) = q_1, \, \delta(\delta(q, a), a) = \delta(q_1, a) = q_2,$  $\delta(\delta(\delta(q, a), a), b) = \delta(\delta(q_1, a), b) = \delta(q_2, b) = q_3$
  - $\hat{\delta}: Q \times \Sigma^* \to Q$  extension of  $\delta$  to strings
    - $\hat{\delta}(q,\epsilon) = q$
    - $\hat{\delta}(q, wa) = \delta(\hat{\delta}(q, w), a)$

### **DFA: Transition Function on Words**

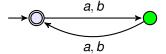


- $\hat{\delta}(q, wa) = s = \delta(\hat{\delta}(q, w), a) = \delta(r, a)$
- $\hat{\delta}(q, wb) = t = \delta(\hat{\delta}(q, w), b) = \delta(r, b)$

## **DFA Acceptance**

- $w \in \Sigma^*$  is accepted iff  $\hat{\delta}(q_0, w) \in F$
- $w \in \Sigma^*$  is rejected iff  $\hat{\delta}(q_0, w) \notin F$
- ▶ Any string  $w \in \Sigma^*$  is either accepted or rejected by a DFA A
- $L(A) = \{ w \in \Sigma^* \mid \hat{\delta}(q_0, w) \in F \}$
- $ightharpoonup \Sigma^* = L(A) \cup \overline{L(A)}$

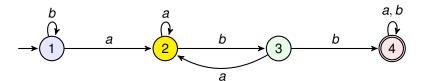
#### Closer Look: DFA



- ▶ Blue state :  $\epsilon$ , ab, ba, bb, aa, . . .
- ▶ Green state : a, b, aaa, aba, baa, bbb, bba, bab, . . .
- ightharpoonup All words in  $\Sigma^*$  reach a unique state from the initial state
- Words reaching a final state are accepted; all others are rejected

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#### Closer Look: DFA

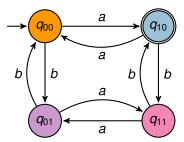


- ▶ state 1 : b\*
- state 2: b\*a, b\*aa\*, b\*aa\*(ba)\*
- state 3 : b\* ab, b\* aa\* b, b\* aa\* (ba)\* b
- state 4 : b\* abbΣ\*, b\* aa\* bbΣ\*, b\* aa\*(ba)\* bbΣ\*
- ightharpoonup All words in  $\Sigma^*$  reach a unique state from the initial state
- Words reaching a final state are accepted; all others are rejected

#### **Closer Look: DFA**

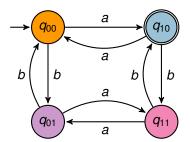
- Each state is a bucket holding infinitely many words
- Thus we have good and bad buckets
- ▶ The buckets partition  $\Sigma^*$
- Good buckets determine the language accepted by the DFA
- Words that land in bad buckets are not accepted by the DFA

# **Language Acceptance: Proof**



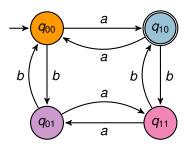
▶  $L = \{w \in \{a, b\}^* \mid |w|_a \text{ is odd and } |w|_b \text{ is even}\}$ 

## **Language Acceptance : Proof**



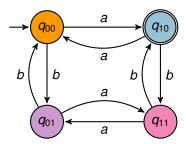
- ▶  $L = \{w \in \{a, b\}^* \mid |w|_a \text{ is odd and } |w|_b \text{ is even}\}$
- ▶ Show that for any  $w \in \Sigma^*$ ,
  - $\hat{\delta}(q_{00}, w) = q_{ij}$  with  $i, j \in \{0, 1\}$ , parity of i same as  $|w|_a$  and parity of j same as  $|w|_b$

## **Language Acceptance : Proof**



- ► Prove by induction on |w|
- ▶ Base case : For  $|w| = \epsilon$ ,  $\hat{\delta}(q_{00}, \epsilon) = q_{00}$
- ▶ Assume the claim for  $x \in \Sigma^*$ , and show it for  $xc, c \in \{a, b\}$ .

## **Language Acceptance : Proof**

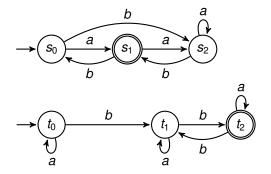


- ► Case Analysis : If  $|x|_a$  odd and  $|x|_b$  even, then i = 1, j = 0
  - $\delta(q_{10}, a) = q_{00}, \delta(q_{10}, b) = q_{11}$
  - ▶  $|xa|_a$  is even and  $|xa|_b$  is even
  - ▶  $|xb|_a$  is odd and  $|xb|_b$  is odd
- Other Cases : Similar
- $\hat{\delta}(q_{00}, x) = q_{10}$  iff  $|x|_a$  odd and  $|x|_b$  even

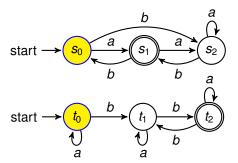
### Closure Properties : DFA

# **Closure under Complementation**

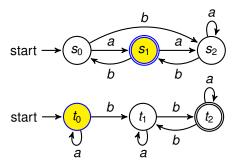
- ▶ If *L* is regular, so is  $\overline{L}$ 
  - ▶ Let  $A = (Q, q_0, \Sigma, \delta, F)$  be the DFA such that L = L(A)
  - For every  $w \in L$ ,  $\hat{\delta}(q_0, w) = f$  for some  $f \in F$
  - ► For every  $w \notin L$ ,  $\hat{\delta}(q_0, w) = q$  for some  $q \notin F$
  - ▶ Construct  $\overline{A} = (Q, q_0, \Sigma, \delta, Q F)$ 
    - $w \in L(\overline{A})$  iff  $\hat{\delta}(q_0, w) \in Q F$  iff  $w \notin L(A)$
    - $L(\overline{A}) = \overline{L(A)}$



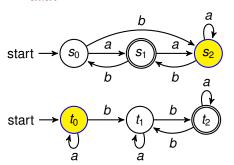
#### aaab



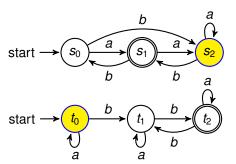
#### aaab



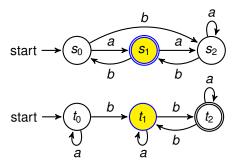
#### ► aaab



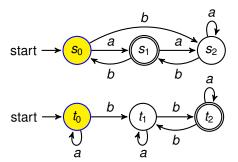
#### ► aaab



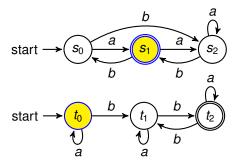
#### ▶ aaab



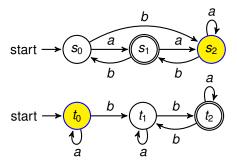
#### aabba



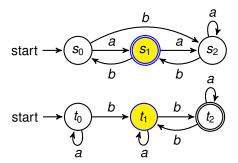
#### aabba



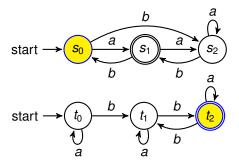
#### ▶ aabba



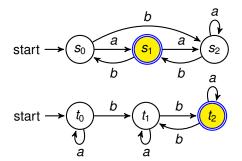
#### ▶ aabba



#### ▶ aabba



#### aabba



- $A_1 = (Q_1, \Sigma, \delta_1, q_0, F_1)$
- ▶  $A_2 = (Q_2, \Sigma, \delta_2, s_0, F_2)$
- $A = (Q_1 \times Q_2, \Sigma, \delta, (q_0, s_0), F),$ 
  - $\delta((q, s), a) = (\delta_1(q, a), \delta_2(s, a))$
  - $F = F_1 \times F_2$
- ▶ Show that for all  $x \in \Sigma^*$ ,  $\hat{\delta}((p,q),x) = (\hat{\delta}_1(p,x), \hat{\delta}_2(q,x))$

$$x \in L(A)$$
 iff  $\hat{\delta}((q_0, s_0), x) \in F$  iff  $(\hat{\delta_1}(q_0, x), \hat{\delta_2}(s_0, x)) \in F_1 \times F_2$  iff  $\hat{\delta_1}(q_0, x) \in F_1$  and  $\hat{\delta_2}(s_0, x) \in F_2$  iff  $x \in L(A_1)$  and  $x \in L(A_2)$ 

### **Closure under Union**

- $All A_1 = (Q_1, \Sigma, \delta_1, q_0, F_1)$
- ▶  $A_2 = (Q_2, \Sigma, \delta_2, s_0, F_2)$
- $A = (Q_1 \times Q_2, \Sigma, \delta, (q_0, s_0), F),$ 
  - $\delta((q,s),a) = (\delta_1(q,a),\delta_2(s,a))$
  - $F = (F_1 \times Q_2) \cup (Q_1 \times F_2)$
- ▶ Show that for all  $x \in \Sigma^*$ ,  $\hat{\delta}((p,q),x) = (\hat{\delta_1}(p,x), \hat{\delta_2}(q,x))$

$$x \in L(A)$$
 iff  $x \in L(A_1)$  or  $x \in L(A_2)$