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Metric compactification of infinite Sierpiński carpet graphs



Daniele D'Angeli^a, Alfredo Donno^{b,*}

- ^a Institut für Diskrete Mathematik, Technische Universität Graz, Steyrergasse 30, 8010 Graz, Austria
- ^b Università degli Studi Niccolò Cusano Via Don Carlo Gnocchi, 3 00166 Roma, Italy

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ABSTRACT

We associate, with every infinite word over a finite alphabet, an increasing sequence of rooted finite graphs, which provide a discrete approximation of the famous Sierpiński carpet fractal. Each of these sequences converges, in the Gromov–Hausdorff topology, to an infinite rooted graph. We give an explicit description of the metric compactification of each of these limit graphs. In particular, we are able to classify Busemann and non-Busemann points of the metric boundary. It turns out that, with respect to the uniform Bernoulli measure on the set of words indexing the graphs, for almost all the infinite graphs, the boundary consists of four Busemann points and countably many non-Busemann points.

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1. Introduction

The Sierpiński carpet fractal was introduced by W. Sierpiński in 1916 [17], and it can be considered a generalization of the Cantor set in dimension 2. Like the well-known Sierpiński gasket, the carpet has a self-similar structure: roughly speaking, this means that it is composed of 8 smaller copies, with a scaling factor 3, that look exactly the same as it. The Sierpiński gasket is a finitely ramified fractal (that is, it can be disconnected by removing a finite number of points), whereas the carpet is an infinitely ramified fractal. Both the structures have been largely studied in the literature, from different points of view. In particular, the study of critical phenomena and physical models – the Ising model [2,8,11,12,19], the dimer model [9], the percolation model [16] – on the Sierpiński carpet and on the Sierpiński gasket has been the focus of several works in the last decades.

In [5], we have introduced an uncountable family of infinite rooted graphs, obtained as limit (in the Gromov–Hausdorff topology) of increasing sequences of rooted finite graphs, indexed by infinite words over a finite alphabet. Such sequences represent a finite discrete approximation of the classical Sierpiński carpet. In [6], we have investigated the Wiener index of these finite graphs. For every word $w \in Y \times X^{\infty}$, with $Y = \{a, b, c, d\}$ and $X = \{0, 1, 2, 3, 4, 5, 6, 7\}$, the infinite limit graph associated with w is denoted Γ_w . We have studied in [5] the isomorphism properties of these limit graphs, regarded as unrooted graphs, proving that there exist uncountably many classes of isomorphism. The construction of the graphs $\{\Gamma_w\}_{w \in Y \times X^{\infty}}$ is recalled in Section 3.

The aim of the present paper is to study the metric compactification of the graphs $\{\Gamma_w\}_{w\in Y\times X^\infty}$. More precisely, our graphs are locally finite connected graphs, with a countable vertex set. This ensures that, when endowed with the standard geodesic distance, they are proper, complete, and locally compact metric spaces. These properties allow to apply to our

E-mail addresses: dangeli@math.tugraz.at (D. D'Angeli), alfredo.donno@unicusano.it (A. Donno).

^{*} Corresponding author.

graphs the theory of metric compactification developed in [15] and whose basic ideas are recalled in Section 2. For each of our graphs, the compactification is a space within which the vertex set, regarded as a metric space, embeds as an open and dense subspace. The points of the metric boundary are then defined as equivalence classes of horofunctions, where two horofunctions are equivalent if they differ by a constant.

We will make large use of the characterization of the boundary points as limits of weakly-geodesic rays. A point of the boundary is said to be a Busemann point if it is the limit of almost-geodesic rays, which represent a special class of weakly-geodesic rays. In our computations, the base point of the horofunctions is represented by the root of the infinite graph. Moreover, the self-similar structure of the graphs allows us to give a complete description of the horofunctions, and so an explicit description of the metric boundary (Section 4).

The study of horofunctions is a classical topic in the setting of C^* -algebras and Cayley graphs of groups, in particular in connection with the investigation of the Cayley compactification and the boundary of a group [10,15]. We want to mention here the paper [18], where the study of Busemann points is applied to the context of the metric boundary of Cayley graphs. See also the recent paper [14], where the authors study the horofunction boundary of the Lamplighter group, with respect to the word metric obtained from the generating set arising from viewing the Lamplighter group as a group generated by a finite automaton. Observe that the present paper follows the paper [4], where the same problems of isomorphism and horofunction classification are studied for a sequence of graphs approximating the Sierpiński gasket. On the other hand, the fact that the Sierpiński carpet is not finitely ramified makes much harder our study for the carpet graphs $\{\Gamma_w\}_{w\in Y\times X^\infty}$ than in the gasket case. It is worth mentioning that the isomorphism problem has been studied also in [7], where the limits (in the Gromov–Hausdorff topology) of the sequences of rooted Schreier graphs, associated with the action of the self-similar Basilica group on the rooted binary tree, have been investigated.

Our main result is given in Theorem 4.1, where we provide an explicit description of the metric boundary of each graph Γ_w , for every $w \in Y \times X^\infty$; in particular, we are able to distinguish between Busemann and non-Busemann points. It also follows (see Corollary 4.4) that there exist uncountably many non-isomorphic graphs whose boundaries are isomorphic, and that the metric boundary always contains countably many non-Busemann points. Finally, we endow the set $Y \times X^\infty$ with the uniform Bernoulli measure, and we show (see Corollary 4.5) that, with probability 1, the boundary $\partial \Gamma_w$ consists of four Busemann points and countably many non-Busemann points.

2. Metric compactification

In this section we recall the basic definition of metric compactification of a metric space (X, d). We will mainly refer to the theory developed by Rieffel in [15].

Let (X, d) be a metric space, and let $C_b(X)$ be the commutative algebra of continuous bounded functions on X, with respect to the supremum norm. Let us fix a base point $z_0 \in X$. For each $y \in X$, the function φ_y on X is defined by

$$\varphi_{v}(x) = d(x, z_0) - d(x, y), \quad \forall x \in X.$$

It is easy to check that $\varphi_y \in C_b(X)$. Let H_d be the linear span in $C_b(X)$ of the set $\{\varphi_y : y \in X\}$: observe that H_d does not depend on the particular choice of the base point. It can be easily seen that $\|\varphi_y - \varphi_z\|_{\infty} = d(y, z)$, so that the map $y \mapsto \varphi_y$ is an isometry from (X, d) into $C_b(X)$. As the second space is complete, this isometry naturally extends to the completion of X.

If (X, d) is a locally compact complete metric space, then it is possible to construct a compactification of X within which X is open and dense, to which each function φ_y extends as a continuous function. This is the maximal ideal space \overline{X}^d of the norm-closed subalgebra $\mathcal{G}(X, d)$ of $C_b(X)$ generated by the closed subalgebra $C_\infty(X)$ of functions vanishing at infinity, by the constant functions, and by H_d .

Definition 2.1. The space \overline{X}^d is the metric compactification of X. The metric boundary of X is the set $\overline{X}^d \setminus X$ and it is denoted by $\partial_d X$.

The construction above is strictly related to the construction developed by Gromov in [13]. Let (X, d) be a geodesic locally compact complete metric space, and let C(X) denote the vector space of all continuous functions on X, endowed with the topology of uniform convergence on the compact subsets of X. Let $C_*(X)$ denote the quotient of C(X) modulo the subspace of constant functions and, for every $f \in C(X)$, let \overline{f} denote its image in $C_*(X)$.

For each $y \in X$, we put $\psi_y(x) = d(x, y)$. This defines an embedding ι of X into $C_*(X)$. Let cl(X) be the closure of $\iota(X)$ in $C_*(X)$. Then it can be seen that cl(X) is compact, and that $\iota(X)$ is open in cl(X), so that $cl(X) \setminus X$ is a boundary at infinity for X (see, for instance, [1, Chapter II.1] or [3, Part II.8]).

It is possible to show that there exists a homeomorphism between $\partial_d X$ and $cl(X) \setminus X$, defined by the map $u \mapsto \overline{g}_u$, with $g_u(x) = \lim_i (d(y_i, x) - d(y_i, z_0))$, where $z_0 \in X$ is a fixed base point and $\{y_i\}_{i \in I}$ is a net of elements of X converging to u.

Definition 2.2. For every $u \in \partial_d X$, the function g_u is said the horofunction defined by u.

The following definitions establish an explicit relationship between the metric boundary $\partial_d X$ and geodesic rays, or generalized geodesic rays, in X.

Definition 2.3 ([15]). Let (X, d) be a metric space, and let T be an unbounded subset of \mathbb{R}^+ containing 0. Let $\gamma: T \to X$. Then

- 1. γ is a geodesic ray if $d(\gamma(t), \gamma(s)) = |t s|$, for all $t, s \in T$;
- 2. γ is an almost-geodesic ray if, for every $\varepsilon > 0$, there exists an integer N such that, for every $t, s \in T$ with $t \ge s \ge N$, one has:

$$|d(\gamma(t), \gamma(s)) + d(\gamma(s), \gamma(0)) - t| < \varepsilon;$$

3. γ is a weakly-geodesic ray if, for every $y \in X$ and every $\varepsilon > 0$, there exists an integer N such that, for every $s, t \ge N$, one has:

$$|d(\gamma(t), \gamma(0)) - t| < \varepsilon$$
 and $|d(\gamma(t), y) - d(\gamma(s), y) - (t - s)| < \varepsilon$.

Observe that any geodesic ray is an almost-geodesic ray; moreover, any almost-geodesic ray is a weakly-geodesic ray. The following crucial theorem holds.

Theorem 2.1 ([15]). Let (X, d) be a locally compact complete metric space, and let γ be a weakly-geodesic ray in X. Then the limit $\lim_{t\to +\infty} f(\gamma(t))$ exists for every $f\in \mathcal{G}(X,d)$, and it defines an element of $\partial_d X$. Conversely, if (X,d) is proper and if its topology has a countable base, then every point of $\partial_d X$ is determined by a weakly-geodesic ray.

The previous theorem leads to the following fundamental definition.

Definition 2.4. A point of $\partial_d X$ defined by an almost-geodesic ray γ is called a Busemann point of $\partial_d X$.

It is quite interesting in general to establish if the metric boundary $\partial_d X$ of a metric space (X,d) contains non-Busemann points. We will develop such investigation in Section 4, for an uncountable family of metric spaces given by the infinite Sierpiński carpet graphs defined in Section 3. In fact, the construction described above can be applied to graphs G=(V,E) satisfying some natural conditions, namely of being locally finite connected graph, with a countable vertex set. Recall that V is a metric space when it is endowed with the standard geodesic distance d, where for every $x,y \in V$ the distance d(x,y) is defined as the length of a minimal path from x to y. Such a metric induces the discrete topology on V, so that every function on V is continuous, and (V,d) is a proper, complete and locally compact space. A fundamental property which holds in the graph setting is that, if $u \in \partial_d V$ is a Busemann point, that is, it is the limit of an almost-geodesic ray γ , then there exists a geodesic ray γ' converging to u [18]. As a consequence, this fact ensures that, in order to show that a point of $\partial_d V$ is not a Busemann point, it is sufficient to show that it is not the limit of any geodesic ray (this characterization will be used in the proof of Proposition 4.2).

3. Carpet graphs

Let us start by fixing two finite alphabets $X = \{0, 1, ..., 7\}$ and $Y = \{a, b, c, d\}$. For each $n \ge 1$, let $X^n = \{x_1x_2...x_n : x_i \in X\}$ be the set of words of length n over the alphabet X, and let $X^\infty = \{x_1x_2....x_i \in X\}$ be the set of infinite words over the alphabet X. The set $Y \times X^\infty$ can be equipped with the direct product topology. A basis of open sets is the collection of all cylindrical sets of type $C_{yu} = \{yuX^\infty : y \in Y, u \in X^n, \text{ for some } n\}$. The space $Y \times X^\infty$ is totally disconnected and homeomorphic to the Cantor set. The cylindrical sets generate a σ -algebra of Borel subsets of $Y \times X^\infty$. We will denote by m the uniform Bernoulli measure on $Y \times X^\infty$.

Let C_4 denote the cyclic graph of length 4, whose vertices will be denoted by a, b, c, d. We choose an embedding of this graph into the plane in such a way that a is the left vertex of the bottom edge, and b, c, d correspond to the other vertices by following the anticlockwise order (Fig. 1).

Take an infinite word $w = yx_1x_2... \in Y \times X^{\infty}$. We denote by w_n the prefix $yx_1...x_{n-1}$ of length n of w.

Definition 3.1. The infinite Sierpiński carpet graph Γ_w is the rooted graph inductively constructed as follows:

Step 1 The graph Γ_w^1 is the cyclic graph C_4 rooted at the vertex y.

Step $n \to n+1$ Take 8 copies of Γ_w^n and glue them together on the model graph $\overline{\Gamma}$, in such a way that these copies occupy the positions indexed by $0, 1, \ldots, 7$ in $\overline{\Gamma}$ (Fig. 1). Note that each copy shares at most one (extremal) side with any other copy. As a root for the new rooted graph Γ_w^{n+1} , we choose the root of the copy of Γ_w^n occupying the position indexed by the letter x_n . We identify the root of Γ_w^{n+1} with the finite word $w_{n+1} = yx_1 \dots x_n$.

Limit Γ_w is the infinite rooted graph obtained as the limit of the sequence of finite rooted graphs $\{\Gamma_w^n\}_{n\geq 1}$, whose root is naturally identified with the infinite word w.

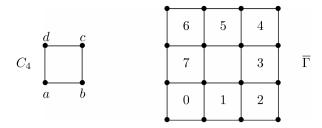


Fig. 1. The cyclic graph C_4 and the model graph $\overline{\Gamma}$.

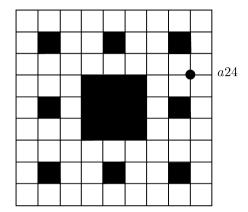


Fig. 2. The rooted graph Γ_w^3 , with $w = a24 \dots$

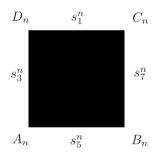


Fig. 3. The hole H_n .

The limit in the previous definition means that, for each r > 0, there exists $n_0 \in \mathbb{N}$ such that the ball $B_{\Gamma_w}(w, r)$ of radius r rooted at w in Γ_w is isomorphic to the ball $B_{\Gamma_w^n}(w_n, r)$ of radius r rooted at w_n in Γ_w^n , for every $n \ge n_0$ (Gromov–Hausdorff topology).

Observe that, for all $v, w \in Y \times X^{\infty}$, the graph Γ_v^n is isomorphic to Γ_w^n as an unrooted graph. When we will refer to this unrooted graph, we will use the notation Γ_n . One can check that the number of vertices of Γ_n is $\frac{11}{70}8^n + \frac{8}{15}3^n + \frac{8}{7}$, for every $n \ge 1$.

Example 3.1. Let $w = a24... \in Y \times X^{\infty}$. In Fig. 2 we have represented the finite graph Γ_w^3 ; its root is identified with the vertex $w_3 = a24$.

Note that, for each $n \geq 2$, the graph Γ_n contains a central square, that we will call the *hole* of level n, denoted by H_n , which does not contain any vertex of Γ_n in its interior part. It is not difficult to check, by induction, that the number of the vertices of the boundary of H_n is given by $4 \cdot 3^{n-2}$, and that each side of the hole consists of exactly $3^{n-2} + 1$ vertices. Moreover, due to the recursive construction of the graph Γ_n , one has that Γ_n contains a hole of level n, as well as 8 holes isomorphic to H_{n-1} , and more generally S_n^k holes isomorphic to S_n^k , for every $1 \leq k \leq n$. For each $1 \leq k \leq n$, we will denote by $1 \leq k \leq n$ to denote the vertices of the hole $1 \leq k \leq n$, ordered counterclockwise starting from the left vertex on the bottom side (see Fig. 3).

It is worth mentioning that two distinct finite words v_n and w_n may correspond to the same vertex of Γ_n , as shown in the following example.

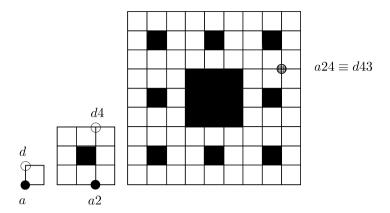


Fig. 4. Construction of the rooted graphs Γ_u^3 and Γ_w^3 , with $u=a24\ldots$ and $w=d43\ldots$

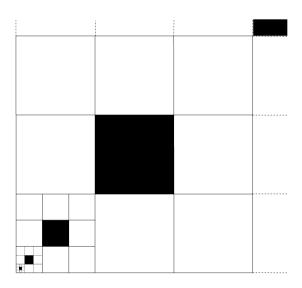


Fig. 5. A finite part of the unrooted graph Γ_{a0}^{∞} .

Example 3.2. Let $u=a24\ldots$ and $w=d43\ldots$ be two infinite words in $Y\times X^\infty$. Then the graphs Γ_u^3 and Γ_w^3 are isomorphic as rooted graphs, even if the graphs Γ_u^1 , Γ_w^1 and Γ_u^2 , Γ_w^2 are not isomorphic as rooted graphs (see Fig. 4).

We say that two infinite words $v, w \in Y \times X^{\infty}$ are *cofinal* if they differ only for a finite number of letters. Cofinality is clearly an equivalence relation, that we will denote by \sim . Given $v, w \in Y \times X^{\infty}$, if there exists $n_0 \in \mathbb{N}$ such that v_n and w_n correspond to the same vertex of the finite graph Γ_n for every $n \geq n_0$, then it must be $v = v_{n_0}u$ and $w = w_{n_0}u$, for some $u \in X^{\infty}$; that is, v and v must be cofinal. On the other hand, it is not difficult to check that all the vertices belonging to the same infinite graph Γ_w , with $v \in Y \times X^{\infty}$, are cofinal with v. In [5] we gave the following results.

Theorem 3.1. Let $v = yx_1x_2 \dots, w = y'x_1'x_2' \dots \in Y \times X^{\infty}$ and let G be the subgroup of Sym(X) generated by the permutations $\{(1357), (04)(13)(57)\}$, isomorphic to the dihedral group of 8 elements. Then the graphs Γ_v and Γ_w are isomorphic, as unrooted graphs, if and only if there exists $\sigma \in G$ such that

$$x'_1 x'_2 \ldots \sim \sigma(x_1 x_2 \ldots) := \sigma(x_1) \sigma(x_2) \ldots$$

Corollary 3.1. There exist uncountably many classes of isomorphism of graphs Γ_w , $w \in Y \times X^{\infty}$, regarded as unrooted graphs.

Example 3.3. In Fig. 5, we have represented a finite part of the unrooted graph Γ_{a0}^{∞} , where we have highlighted, by using black squares, the holes H_n , $n=2,\ldots,5$ obtained in the first steps of the recursive construction of the infinite graph. We will use this kind of representation in the sequel of the paper.

4. The metric compactification of the Sierpiński carpet graphs

Let $w = yx_1x_2... \in Y \times X^{\infty}$, with $Y = \{a, b, c, d\}$ and $X = \{0, 1, 2, 3, 4, 5, 6, 7\}$, and let us denote by Γ_w , as usual, the associated infinite carpet graph. In the sequel of this section, we will largely make use of an embedding of such a graph into the 2-dimensional lattice \mathbb{Z}^2 , which can be regarded as the Cayley graph of the Abelian group $\mathbb{Z}^2 = \{\mathbf{v} = (x, y) : x, y \in \mathbb{Z}\}$ with respect to the symmetric generating set $\{\pm \mathbf{e}_1 = \pm (1, 0), \pm \mathbf{e}_2 = \pm (0, 1)\}$. More precisely, this embedding is performed in such a way that the root w of Γ_w coincides with the point (0,0) of the lattice, and each horizontal edge of Γ_w coincides with an edge of \mathbb{Z}^2 connecting two vertices of types **v** and **v** \pm **e**₁, whereas each vertical edge of Γ_w coincides with an edge of \mathbb{Z}^2 connecting two vertices of types **v** and **v** \pm **e**₂.

4.1. Infinite growth and obstructions

In this subsection, we describe what are the "directions" in which the graph Γ_w can grow, and where the holes are settled with respect to the root w in the recursive construction of the graph. We start with the following basic definitions, concerning the topological structure of an infinite carpet graph, that will be fundamental for the investigation and the classification of the metric boundary of the graphs $\{\Gamma_w\}_{w\in Y\times X^\infty}$. By using the embedding introduced above, we say that:

- 1. the graph Γ_w has infinite growth in the direction d_1 (resp. d_3 , d_5 , d_7) if its vertex set contains an (unbounded) subsequence
- of the vertex sequence $\{k\mathbf{e}_2\}_{k\in\mathbb{N}}$ (resp. $\{-k\mathbf{e}_1\}_{k\in\mathbb{N}}$, $\{-k\mathbf{e}_2\}_{k\in\mathbb{N}}$, $\{k\mathbf{e}_1\}_{k\in\mathbb{N}}$); 2. the graph Γ_w has diagonal infinite growth in the direction $d_{7,1}$ (resp. $d_{1,3}$, $d_{3,5}$, $d_{5,7}$) if its vertex set contains a sequence of vertices of type $\{a_k\mathbf{e}_1+b_k\mathbf{e}_2\}$ (resp. $\{-a_k\mathbf{e}_1+b_k\mathbf{e}_2\}$, $\{-a_k\mathbf{e}_1-b_k\mathbf{e}_2\}$, $\{a_k\mathbf{e}_1-b_k\mathbf{e}_2\}$), with $a_k,b_k\in\mathbb{N}$, and $a_k,b_k\to+\infty$
- 3. the graph Γ_w has an *obstruction* in the direction d_1 (resp. d_3 , d_5 , d_7) if there exists an infinite subset $M \subseteq \mathbb{N}$ and an increasing sequence $\{h_m\}_{m\in\mathbb{M}}\subseteq\mathbb{N}$ such that the vertex $h_m\mathbf{e}_2$ (resp. $-h_m\mathbf{e}_1$, $-h_m\mathbf{e}_2$, $h_m\mathbf{e}_1$) belongs to the side s_1^m (resp. s_3^m , s_5^m , s_7^m) of a hole isomorphic to H_m in Γ_w , for every $m \in M$.

Remark 4.1. It follows from the definition that, if the graph Γ_w has an obstruction in the direction d_i , then it has infinite growth in the direction d_i , as well as diagonal infinite growth in the directions $d_{i-2,i}$ and $d_{i,i+2}$. Notice that the inverse implication is not true (for instance, the graph $\Gamma_{a0\infty}$ in Fig. 5 has infinite growth in the directions d_1 and d_7 , diagonal infinite growth in the direction $d_{7,1}$, but no obstruction).

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Now, for every i = 0, 1, \dots, 7, we define:
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$$N_i = \left| \{ i \in \mathbb{N} : x_i = i \} \right|.$$

Keeping these definitions in our mind, we are able to prove the following proposition.

Proposition 4.1. Let $w = yx_1x_2... \in Y \times X^{\infty}$, with $X = \{0, 1, 2, 3, 4, 5, 6, 7\}$ and $Y = \{a, b, c, d\}$.

- 1. Let i=1,3,5,7 and suppose $N_i=+\infty$. Then the graph Γ_w has infinite growth in the three directions d_i , with $j\neq i+4 \mod 8$. Moreover, Γ_w has an obstruction in the direction d_i .
- 2. Let i=0,2,4,8 and suppose $N_i=+\infty$. Then the graph Γ_w has infinite growth in the two directions d_{i+1} and d_{i-1} , where $i \pm 1$ must be taken modulo 8.
- 3. Let i=1,3,5,7. Then the graph Γ_w has diagonal infinite growth in the direction $d_{i,i+2}$ (i+2) must be taken modulo 8) if at least one among N_i , N_{i+1} , N_{i+2} is infinite.

Proof. 1. Suppose $N_7 = +\infty$ (the other cases can be discussed similarly). Notice that if $x_m = 7$, then, at the (m+1)th step of the recursive construction of Γ_w , the root of the finite graph Γ_w^m must be placed in the position 7 of the model graph $\overline{\Gamma}$. This implies that in Γ_w^{m+1} there is a hole isomorphic to H_{m+1} on the right of the root w. As the number of letters of w which are equal to 7 is infinite, there must exist an unbounded set $M \subseteq \mathbb{N}$ and an increasing sequence $\{h_m\}_{m \in M}$ of natural numbers such that $h_m \mathbf{e}_1$ belongs to the side s_7^m of a hole isomorphic to H_m in Γ_w , for each $m \in M$, so that Γ_w has an obstruction in the direction d_7 .

This also implies that Γ_w has infinite growth in the direction d_7 . On the other hand, in the recursive construction of the finite graphs Γ_w^m , the new biggest hole H_m appears on the right of w, and this ensures the existence of an unbounded sequence of vertices of type $\{k\mathbf{e}_2\}_{k\in\mathbb{N}}$ in Γ_w , giving the infinite growth in the direction d_1 . Analogously, the infinite growth in the direction d_5 can be proved.

- 2. Suppose $N_0 = +\infty$ (the other cases can be treated similarly). Notice that if $x_m = 0$, then, at the (m + 1)th step of the recursive construction of Γ_w , the root of the finite graph Γ_w^m must be placed in the position 0 of $\overline{\Gamma}$. Since this happens for infinitely many indices, we are sure that there exist an unbounded sequence of vertices of type $\{k\mathbf{e}_2\}_{k\in\mathbb{N}}$ and an unbounded sequence of vertices of type $\{\mathbf{ke}_1\}_{k\in\mathbb{N}}$ in Γ_w . This implies that the graph Γ_w has infinite growth in the directions
- 3. Consider the case of the direction $d_{1,3}$ (the other cases can be treated similarly). It follows from what we said above that if $N_1=+\infty$ (or $N_2=+\infty$, or $N_3=+\infty$) then the graph Γ_w has infinite growth both in the directions d_1 and d_3 , what easily implies the existence of a sequence of vertices of type $\{-a_k\mathbf{e}_1 + b_k\mathbf{e}_2 : a_k, b_k \in \mathbb{N}; a_k, b_k \to +\infty \text{ as } k \to +\infty\}.$ The claim follows. \Box

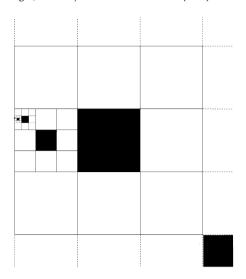


Fig. 6. A finite part of the rooted graph $\Gamma_{a(67)^{\infty}}$.

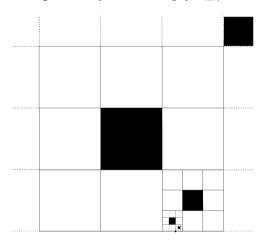


Fig. 7. A finite part of the rooted graph $\Gamma_{a(02)^{\infty}}$.

Example 4.1. The graph $\Gamma_{a(67)^{\infty}}$ has infinite growth in the directions d_1 , d_5 , d_7 ; it has an obstruction in the direction d_7 ; finally, it has diagonal infinite growth in the directions $d_{7,1}$ and $d_{5,7}$ (see Fig. 6). On the other hand, the graph $\Gamma_{a(02)^{\infty}}$ has infinite growth in the directions d_1 , d_3 , d_7 , but it has no obstruction; it has diagonal infinite growth in the directions $d_{1,3}$ and $d_{7,1}$ (see Fig. 7).

4.2. Classification of the metric boundary

In the present subsection, we give the main results of the paper, consisting of the explicit classification of the metric boundary $\partial \Gamma_w$ of the graph Γ_w , for every $w \in Y \times X^{\infty}$.

We start by discussing the case of an infinite graph Γ_w with obstruction. In order to simplify the notation we can suppose, without loss of generality, that the word w is such that the graph Γ_w has an obstruction in the direction d_7 . From the definition of obstruction, we know that there exist an infinite subset $M \subseteq \mathbb{N}$ and an increasing sequence $\{h_m\}_{m \in M} \subseteq \mathbb{N}$ such that the vertex $h_m \mathbf{e}_1$ belongs to the side s_7^m of a hole isomorphic to H_m in Γ_w , for every $m \in M$. We will show in Lemma 4.1 that it is possible to construct a sequence of vertices $\{z_m\}_{m \in M}$, each belonging to the side s_7^m of the hole isomorphic to H_m , such that the length of a minimal path from w to z_m passing through the vertex C_m , is equal to the length of a minimal path from w to w to w passing through the vertex w can be straightforwardly extended to the three other possible cases of obstruction.

In what follows, we denote by $\overline{d}(w, z_m)$ the length of a minimal path connecting w and z_m through the vertex C_m . Similarly, we denote by $\underline{d}(w, z_m)$ the length of a minimal path connecting w and z_m through the vertex B_m . Notice that, if d is the geodesic distance in Γ_w , one has, by definition:

$$\overline{d}(w, z_m) = d(w, C_m) + d(C_m, z_m) \quad \text{and} \quad d(w, z_m) = d(w, B_m) + d(B_m, z_m). \tag{1}$$

Lemma 4.1. With the above notations, there exists a sequence $\{z_m\}_{m\in M}$, with $z_m\in s_7^m$ for every $m\in M$, such that:

$$\overline{d}(w, z_m) = d(w, z_m) = d(w, z_m).$$

Proof. Notice that the term $d(w, C_m)$ in (1) can be expressed as the sum of a horizontal and a vertical contribution. More precisely, if in the embedding of Γ_w into \mathbb{Z}^2 the vertex C_m has the representation $C_m(c_1(m), c_2(m))$, then $d(w, C_m) = c_1(m) + c_2(m)$ since, by construction, it must be $c_1(m), c_2(m) > 0$, for m large enough. In fact, the distance between w and C_m in the graph coincides with the distance between w and w are granted as vertices of \mathbb{Z}^2 , by virtue of the embedding defined above. Now let $B_m(b_1(m), b_2(m))$. Note that it must be $b_1(m) > 0$, $b_2(m) < 0$, for m large enough. We omit in what follows the dependence of the coordinates on m.

Put $z := c_1 = b_1$ and observe that $c_2 - b_2 = 3^{m-2}$, which is exactly the length of the side of H_m . Let z_m be the vertex (z, z_2) . Note that it must be $z_2 \in \{b_2, b_2 + 1, \dots, b_2 + 3^{m-2} = c_2\}$, since the vertex z_m belongs to the side s_7^m . For our purpose, it is enough to choose z_2 such that $z_2 - b_2 = c_2$. In fact

$$\overline{d}(w, z_m) = d(w, C_m) + d(C_m, z_m)
= (c_1 + c_2) + (c_2 - z_2) = b_1 + z_2 - b_2 - b_2
= b_1 - b_2 + (z_2 - b_2)
= d(w, B_m) + d(B_m, z_m) = d(w, z_m). \quad \Box$$

From now on, we denote by $\{z_m\}_{m\in M}$ the sequence obtained in the previous lemma, which will be said to be *antipodal* to the root w in the direction d_7 .

Remark 4.2. We stress once more the fact that the argument used in the proof of Lemma 4.1 can be applied also to a graph Γ_w with an obstruction in the direction d_i , with $i \neq 7$, proving that there exists an antipodal sequence to w in the direction d_i of the obstruction of Γ_w .

In the following proposition, we show that the antipodal sequences of vertices in Γ_w correspond to points of $\partial \Gamma_w$ which are not-Busemann. We will use again the embedding of Γ_w in \mathbb{Z}^2 . We need the following lemma.

Lemma 4.2. The sequence $\{z_m\}_{m\in M}$ forms a weakly-geodesic ray in Γ_w and so it defines an element of $\partial \Gamma_w$.

Proof. Put $d_m = d(w, z_m)$, for each $m \in M$. Let $T = \{0\} \cup \{d_m : m \in M\}$. Define the map $\gamma : T \to \Gamma_w$ as $\gamma(0) = w$ and $\gamma(d_m) = z_m$, for each $m \in M$. Then this mapping defines a weakly-geodesic ray in Γ_w . In order to prove that, take an arbitrary vertex $y \in \Gamma_w$; put $z_m(z_1(m), z_2(m))$ and $y(y_1, y_2)$. Let us consider the case $y_2 \ge 0$. By construction, we have $d(\gamma(d_m), \gamma(0)) - d_m = 0$ and

$$d(\gamma(d_m), y) = d(z_m, y) = (c_2(m) - y_2) + (c_1(m) - y_1) + (c_2(m) - z_2(m)),$$

and similarly for $d(\gamma(d_n), y)$. So it is straightforward to check that, for every $n \ge m$ large enough, one has:

$$d(\gamma(d_n), y) - d(\gamma(d_m), y) - (d_n - d_m) = 0,$$

since

$$d_m = c_2(m) - z_2(m) + c_1(m) + c_2(m)$$
 $d_n = c_2(n) - z_2(n) + c_1(n) + c_2(n)$.

The case $y_2 < 0$ is analogous, but in this case the geodesic connecting y to z_m and z_n passes through B_m and B_n , respectively. This concludes the proof, as the Property (3) of Definition 2.3 is satisfied.

Proposition 4.2. The boundary point determined by the antipodal sequence $\{z_m\}_{m\in M}$ is not a Busemann point.

Proof. First of all, notice that there exist at least two vertices \mathbf{v}_1 , \mathbf{v}_2 in Γ_w such that the shortest paths from \mathbf{v}_1 to z_m and from \mathbf{v}_2 to z_m do not intersect, for every m (in the case of obstruction in the direction d_7 one can choose $\mathbf{v}_1(0, 1)$ and $\mathbf{v}_2(0, -1)$, for example). Fix \mathbf{v}_1 as the base point. Let $\gamma: \{0\} \cup M \to \Gamma_w$ be the weakly-geodesic ray such that $\gamma(m) = z_m$ and $\gamma(0) = \mathbf{v}_1$.

If the boundary point determined by the antipodal sequence $\{z_m\}_{m\in M}$ is a Busemann point, then there exists a geodesic ray $\theta: \{0\} \cup M \to \Gamma_w$, with $\theta(0) = \mathbf{v}_1$, such that

$$\lim_{m\to +\infty} \varphi_v(\gamma(m)) = \lim_{m\to +\infty} \varphi_v(\theta(m)),$$

for any $v \in \Gamma_w$.

Since the values taken by φ_v are integer, then, for any m sufficiently large, one has

$$\lim_{m \to +\infty} \varphi_v(\gamma(m)) = \lim_{m \to +\infty} \varphi_v(\theta(m)) = \varphi_v(\gamma(m)) = \varphi_v(\theta(m)) := f.$$

From the definition of the function φ_v and of weakly-geodesic and geodesic rays, we get, by choosing $v = \mathbf{v}_2$ and m large enough:

$$d(\gamma(m), \mathbf{v}_2) = d(\theta(m), \mathbf{v}_2) = m - f. \tag{2}$$

Moreover, for m' < m large enough and with the choice $v = \theta(m')$, one gets:

$$\varphi_{\theta(m')}(\gamma(m)) = \varphi_{\theta(m')}(\theta(m)) = m - (m - m') = m'.$$

This implies $d(\gamma(m), \theta(m')) = d(\gamma(m), \mathbf{v}_1) - \varphi_{\theta(m')}(\gamma(m)) = m - m'$ and then

$$d(\gamma(m), \mathbf{v}_1) = d(\theta(m'), \gamma(m)) + \varphi_{\theta(m')}(\gamma(m))$$

= $d(\theta(m'), \gamma(m)) + m'$
= $d(\theta(m'), \gamma(m)) + d(\theta(m'), \mathbf{v}_1).$

On the other hand, we have by (2)

$$d(\gamma(m), \mathbf{v}_2) = m - f = (m - m') + (m' - f)$$

= $d(\gamma(m), \theta(m')) + d(\theta(m'), \mathbf{v}_2).$

This is impossible, because this would imply that there exist geodesic rays from \mathbf{v}_1 to z_m and from \mathbf{v}_2 to z_m both containing the common vertex $\theta(m')$. Absurd. \Box

The following propositions are given again for the special case of the obstruction in the direction d_7 , but can be easily generalized.

Proposition 4.3. Let Γ_w be an infinite carpet graph with an obstruction in the direction d_7 . Then the sequences $\{B_m\}_{m\in M}$ and $\{C_m\}_{m\in M}$ define distinct Busemann boundary points.

Proof. Because of the obstruction the vertices $B_m(b_1(m), b_2(m))$ and $C_m(c_1(m), c_2(m))$ are such that the sequences $b_1(m), b_2(m), c_1(m)$ and $c_2(m)$ are unbounded. It is not difficult to check that, for every vertex $v \in \Gamma_w$ and for all $m \ge n$ large enough, there exists a geodesic ray from v to B_n (resp. from v to C_n) which can be extended to a geodesic ray from v to B_m (resp. from v to C_m). This implies that the sequence $\{B_m\}_{m \in M}$ (resp. $\{C_m\}_{m \in M}$) gives rise to a Busemann boundary point. In order to show that these two boundary points are distinct, let us choose v to be the vertex adjacent to w with coordinates (0, 1), after the embedding into \mathbb{Z}^2 . Then, it is straightforward to verify that $-1 = \varphi_v(B_m) \neq \varphi_v(C_m) = 1$, and this completes the proof. \square

Proposition 4.4. Let w be such that Γ_w has an obstruction in the direction d_7 . Let $\{z_m(z_1(m), z_2(m))\}_{m \in M}$ be the sequence which is antipodal to w in the direction d_7 , and let $\{f_m(f_1(m), f_2(m))\}_{m \in M}$ (resp. $\{g_m(g_1(m), g_2(m))\}_{m \in M}$) be another sequence of vertices in s_7^m such that

$$\lim_{m \to +\infty} (f_2(m) - z_2(m)) = +\infty \qquad (resp. \lim_{m \to +\infty} (z_2(m) - g_2(m)) = +\infty).$$

Then the sequences $\{f_m\}_{m\in M}$ (resp. $\{g_m\}_{m\in M}$) and $\{C_m\}_{m\in M}$ (resp. $\{B_m\}_{m\in M}$) yield the same boundary point.

Proof. Let T=M and $\gamma(m)=f_m, \gamma'(m)=C_m$, for each $m\in M$. The claim follows if we prove that, for every $v\in \Gamma_w$, the values $\varphi_v(\gamma'(m))$ and $\varphi_v(\gamma'(m))$ eventually coincide. The proof for the sequences $\{g_m\}_{m\in M}$ and $\{B_m\}_{m\in M}$ is analogous and left to the reader. Notice that, if eventually $\overline{d}(w,f_m)=d(w,f_m)$ holds, then the claim easily follows by observing that

$$\begin{split} \varphi_{v}(\gamma(m)) &= d(\gamma(m), w) - d(\gamma(m), v) \\ &= (d(w, C_{m}) + d(C_{m}, f_{m})) - (d(v, C_{m}) + d(C_{m}, f_{m})) \\ &= d(\gamma'(m), w) - d(\gamma'(m), v) \\ &= \varphi_{v}(\gamma'(m)). \end{split}$$

By playing with the triangular inequalities, we have, for every vertex v:

$$\overline{d}(v, f_m) \leq d(v, w) + \overline{d}(w, f_m),$$

and

$$d(v, f_m) \geq -d(v, w) + d(w, f_m).$$

From this and the fact that $d(w, z_m) = \overline{d}(w, z_m)$, we get

$$\underline{d}(v, f_m) - \overline{d}(v, f_m) \ge \underline{d}(w, f_m) - \overline{d}(w, f_m) - 2d(v, w)
= \underline{d}(w, z_m) + d(z_m, f_m) - \overline{d}(w, z_m) + d(z_m, f_m) - 2d(v, w)
= 2d(z_m, f_m) - 2d(v, w) > 0$$

for m large enough, since $d(z_m, f_m) = f_2(m) - z_2(m) \to +\infty$ as $m \to +\infty$. This means $\overline{d}(v, f_m) = d(v, f_m)$ and concludes the proof. \Box

By using a similar argument as in the proof of Lemma 4.2, it is possible to show that the sequences $\{f_m\}_{m\in M}$ and $\{g_m\}_{m\in M}$, described in the Proposition 4.4, form weakly-geodesic rays in Γ_m .

Corollary 4.1. Let $\{z_m\}_{m\in M}$. $\{f_m\}_{m\in M}$ and $\{g_m\}_{m\in M}$ be sequences of vertices of Γ_w as in Proposition 4.4. Then the corresponding boundary points are distinct.

Proof. Since, by Proposition 4.4, the sequences $\{f_m\}_{m\in M}$ and $\{g_m\}_{m\in M}$ are equivalent to the sequences $\{C_m\}_{m\in M}$ and $\{B_m\}_{m\in M}$, respectively, it is enough to show the claim for $\{z_m\}_{m\in M}$, $\{C_m\}_{m\in M}$ and $\{B_m\}_{m\in M}$. Let z,f and g be the corresponding boundary points, respectively. Then it follows from Proposition 4.3 that $f\neq g$. Now let $\mathbf{v}'=(0,-1)$. Then it is easy to check that $-1=\varphi_{\mathbf{v}'}(C_m)\neq \varphi_{\mathbf{v}'}(z_m)=1$, so that $z\neq f$. Analogously, one can prove that $z\neq g$ and we have proved the claim. \square

Remark 4.3. It follows from Propositions 4.3 and 4.4 that the sequences $\{f_m\}_{m\in M}$ and $\{g_m\}_{m\in M}$ determine Busemann points of $\partial \Gamma_w$. On the other hand, we will prove in Proposition 4.5 that, if the sequence $\{f_m\}_{m\in M}$ (resp. $\{g_m\}_{m\in M}$) is opportunely chosen, it is not an almost-geodesic sequence, even if the corresponding boundary point is Busemann.

Proposition 4.5. Let $\{f_m\}_{m\in M}$ (resp. $\{g_m\}_{m\in M}$) as before, with the further property that $d(C_m, f_m) \to +\infty$ (resp. $d(B_m, g_m) \to +\infty$) as $m \to +\infty$. Then $\gamma: T \subseteq \mathbb{N} \to \Gamma_w$ such that $\gamma(t_i) = f_i$ defines no almost-geodesic, for every choice of the t_i 's.

Proof. We give the proof only in the case of the sequence $\{f_m\}_{m\in M}$. The proof for the sequence $\{g_m\}_{m\in M}$ is analogous and left to the reader. For every $j\geq i$ large enough, we put $L:=|d(\gamma(t_i),\gamma(t_j))+d(\gamma(t_i),w)-t_j|$. Then, by performing explicit computations, one can check that:

$$L = |d(f_i, f_j) + d(f_i, w) - t_j| = |2c_2(j) - f_2(j) + 2c_2(i) - 2f_2(i) + f_1(j) - t_j|.$$

Since $|2c_2(i) - 2f_2(i)| \to +\infty$ as $i \to +\infty$, the value of L cannot be uniformly bounded for every i. On the other hand, it should be $L < \varepsilon$ in order to have an almost-geodesic ray. \Box

By collecting the previous results, we deduce the following corollary for a graph Γ_w with an obstruction in the direction d_i , for some $i \in \{1, 3, 5, 7\}$.

Corollary 4.2. If Γ_w has an obstruction in the direction d_i , then $\partial \Gamma_w$ contains countably many non-Busemann point $\{\zeta_k^{(i)}\}_{k \in \mathbb{Z}}$ given by the sequences at bounded distance from the corresponding antipodal sequence.

Proof. Suppose that the obstruction is in direction d_7 (the other cases are analogous). The fact that the sequences at bounded distance from $\{z_m\}_{m\in M}$ define non-Busemann points follows from the proof of Proposition 4.2. Let $\{z_m^{(k)}\}_{m\in M}$ be the sequence obtained from $\{z_m\}_{m\in M}$ by shifting the y-coordinate by an integer number k: i.e., with the above notations, $z_1^{(k)}(m) = z_1(m)$ and $z_2^{(k)}(m) = z_2(m) + k$. The sequence $\{z_m^{(k)}\}_{m\in M}$ is not equivalent to the sequence $\{B_m\}_{m\in M}$ and $\{C_m\}_{m\in M}$, as can be easily deduced by applying a similar argument as in the proof of Corollary 4.1. Moreover, for any $k \neq 0$, $\{z_m\}_{m\in M}$ and $\{z_m^{(k)}\}_{m\in M}$ give rise to distinct boundary points. To prove that, it is enough to compare the values of $\varphi_{v_k}(z_m) = k$ and $\varphi_{v_k}(z_m^{(k)}) = -k$, where $v_k = (0, -k)$ after the standard embedding into \mathbb{Z}^2 . Similarly, one can prove that the sequences $\{z_m^{(k)}\}_{m\in M}$ and $\{z_m^{(k)}\}_{m\in M}$, with $k \neq k$, correspond to distinct boundary points. \square

The following proposition describes Busemann points associated with diagonal infinite directions in Γ_w , and it can be considered a natural extension of Proposition 4.3. We give the assert and the proof in the particular case of diagonal infinite growth in the direction $d_{7,1}$. However, it can be easily generalized to the other diagonal infinite directions.

Proposition 4.6. Let Γ_w have diagonal infinite growth in the direction $d_{7,1}$. Then all the unbounded sequences consisting of vertices of type $\{a_k\mathbf{e}_1+b_k\mathbf{e}_2:a_k,b_k\in\mathbb{N};a_k,b_k\to+\infty\text{ as }k\to+\infty\}$ give rise to the same Busemann boundary point in $\partial\Gamma_w$. In particular, if Γ_w has an obstruction in the direction d_7 , then $\partial\Gamma_w$ contains two Busemann points corresponding to any unbounded sequence of vertices of type $\{t_k\mathbf{e}_1+s_k\mathbf{e}_2:t_k,s_k\in\mathbb{N};t_k,s_k\to+\infty\text{ as }k\to+\infty\}$ and $\{p_k\mathbf{e}_1-q_k\mathbf{e}_2:p_k,q_k\in\mathbb{N};p_k,q_k\to+\infty\text{ as }k\to+\infty\}$.

Proof. The proof follows by observing that a geodesic path from w to $a_k \mathbf{e}_1 + b_k \mathbf{e}_2$, for k large enough, can be seen as a geodesic path in \mathbb{Z}^2 after the usual embedding of Γ_w . The uniqueness can be proven by considering vertices $a_k \mathbf{e}_1 + b_k \mathbf{e}_2$ and $a_h \mathbf{e}_1 + b_h \mathbf{e}_2$ with k, h large enough, and by checking that, for every choice of $v(v_1, v_2) \in \Gamma_w$, one has:

$$\varphi_v(a_k\mathbf{e}_1 + b_k\mathbf{e}_2) = \varphi_v(a_h\mathbf{e}_1 + b_h\mathbf{e}_2) = v_1 + v_2.$$

The second claim follows from the fact that, if Γ_w has an obstruction in the direction d_7 , then it has diagonal infinite growth in the directions $d_{5,7}$ and $d_{7,1}$, as we have already observed in Remark 4.1. \Box

For every diagonal direction $d_{i,i+2}$, we will refer to the Busemann points of Proposition 4.6 as the (unique) *diagonal* Busemann points $\beta_{i,i+2}$ in the direction $d_{i,i+2}$, where the sum i+2 must be taken modulo 8, as usual.

Remark 4.4. It is worth mentioning here that, if Γ_w has an obstruction in direction d_1 and d_7 , then all the sequences of vertices $\{v_n(x_n,y_n)\}_{n\in\mathbb{N}}$ in Γ_w with $x_n,y_n\to+\infty$ give rise to the same point of $\partial\Gamma_w$. For example, if M, M' are the subsets of \mathbb{N} corresponding to the obstructions d_1 and d_7 , respectively, then $\{C_m\}_{m\in M}$ and $\{C_{m'}\}_{m'\in M'}$ yield the same (Busemann) boundary point in $\partial\Gamma_w$. The same argument works for any pair of obstructions in the directions d_i and $d_{i+2 \mod 8}$. The proof of this claim works as in Proposition 4.6.

In the next proposition, we investigate the case where the graph Γ_w has infinite growth, without obstruction, in some direction d_i , with i = 1, 3, 5, 7. It turns out that, in this situation, there exist both Busemann and non-Busemann boundary points.

Proposition 4.7. Let Γ_w have infinite growth but no obstruction in the direction d_i , for some $i \in \{1, 3, 5, 7\}$. Then there exist countably many Busemann points $\{\xi_k^{(i)}\}_{k \in S'}$ and countably many non-Busemann points $\{\eta_k^{(i)}\}_{k \in S''}$ in $\partial \Gamma_w$, where S' and S'' are left (or right, or bi)-infinite subsets of \mathbb{Z} .

Proof. We can suppose, without loss of generality, that i=7. The other cases are analogous. Consider the usual embedding of Γ_w into \mathbb{Z}^2 . Since the graph Γ_w is infinite in the direction d_7 , but it has no obstruction in such direction, the size of the holes intersected by the sequence $\{\mathbf{v}+m\mathbf{e}_1\}_{m\in\mathbb{N}}$ is bounded, for every $\mathbf{v}\in\mathbb{Z}^2$. Now let $\mathbf{v}_k(0,k)$ be a vertex of Γ_w . Observe that k varies in a set S, where $S=\mathbb{Z}$ if Γ_w has infinite growth also in the directions d_1 and d_5 , whereas S is a left-infinite (or right-infinite) subset of \mathbb{Z} if Γ_w has infinite growth only in one direction between d_1 and d_5 . Notice that S can be partitioned into two infinite subsets S' and S'' such that the geodesic ray consisting of the vertices $\{\mathbf{v}_k+m\mathbf{e}_1\}_{m\in\mathbb{N}}$ is contained in Γ_w , for each $k\in S'$, and the sequence $\{\mathbf{v}_k+m\mathbf{e}_1\}_{m\in\mathbb{N}}$ is not eventually contained in Γ_w , for each $k\in S''$.

In the first case, the geodesic ray gives rise to Busemann boundary points. It is a standard argument to show that there exists $v \in \Gamma_w$ such that $\lim_m \varphi_v(\mathbf{v}_k + m\mathbf{e}_1) \neq \lim_m \varphi_v(\mathbf{v}_{k'} + m\mathbf{e}_1)$ for $k \neq k'$, so that we get all distinct boundary points.

In the second case, the sequence of vertices intersects an infinite sequence of holes whose size is bounded. Let s be the maximal size of a hole in such a sequence, and let $\{H_t\}_{t\in\mathbb{N}}$ be the subsequence consisting of all the holes of size s, so that any side of each H_t has length 3^{s-2} . Let $A_t(a_1(t), a_2(t)), B_t(b_1(t), b_2(t)), C_t(c_1(t), c_2(t)), D_t(d_1(t), d_2(t))$ denote, as usual, the corner vertices of such holes, for every $t \in \mathbb{N}$, and define the vertex $z_t(b_1(t), b_2(t) + h)$, with $0 \le h \le 3^{s-2}$.

Notice that in this case there exist two vertices $\mathbf{u}(u_1, u_2)$ and $\mathbf{u}'(u_1', u_2')$ such that the shortest paths from \mathbf{u} to z_t and from \mathbf{u}' to z_t do not intersect (to do this, it suffices to choose $u_2 > c_2(t)$ and $u_2' < b_2(t)$).

We can now proceed as in the proof of Proposition 4.2 and deduce that the limit point of the sequence $\{z_t\}_{t\in\mathbb{N}}$ is not Busemann. Finally, it is a standard argument to show that the non-Busemann points obtained in this second case are all distinct. \Box

Corollary 4.3. Let Γ_w be a graph with an obstruction in the direction d_i , and no obstruction in the direction d_{i+2} (resp. d_{i-2}). Then the boundary points $\zeta_k^{(i)}$, $\xi_h^{(i+2)}$, $\eta_h^{(i+2)}$, and $\beta_{i,i+2}$ (resp. $\zeta_k^{(i)}$, $\xi_h^{(i-2)}$, $\eta_h^{(i-2)}$, and $\beta_{i-2,i}$) are distinct, for every choice of k and k.

Now we have all ingredients to state our main result, which is a classification theorem of the metric boundary of the graph Γ_w , for every $w \in Y \times X^{\infty}$.

Theorem 4.1. For every $w \in Y \times X^{\infty}$, the boundary $\partial \Gamma_w$ consists of Busemann and non-Busemann points. More precisely, the following possibilities can occur.

- 1. Suppose that there exists a constant K > 0 such that $N_h \le K$ for every $h \in \{1, 3, 5, 7\}$, and let $\emptyset \ne I \subseteq \{0, 2, 4, 6\}$ such that $N_i = +\infty$, for each $i \in I$. There are countably many Busemann points and countably many non-Busemann points for each of the infinite directions d_i , $j = i \pm 1$, $i \in I$. There is a unique Busemann point for every direction $d_{i-1,i+1}$.
- 2. Let $\emptyset \neq I \subseteq \{1, 3, 5, 7\}$ be the subset of indices such that $N_i = +\infty$, for every $i \in I$. Similarly, let $J \subseteq \{0, 2, 4, 6\}$ be the subset of indices such that $N_j = +\infty$, for every $j \in J$. There are countably many non-Busemann points for every direction d_i , $i \in I$. There is a unique Busemann point for each of the directions $d_{i-2,j}$ and $d_{i,i+2}$. For any $i \in I$ and $j \in J$, there exist countably many Busemann points and countably many non-Busemann points for each of the infinite directions d_{i-2} , d_{i+2} , d_{j-1} and d_{j+1} , provided that such indices i-2, i+2, j-1, j+1 are not in I (the indices are considered without repetition).
- **Proof.** (1) If N_h is bounded for every $h \in \{1, 3, 5, 7\}$, then the graph Γ_w has no obstruction. In this case, we get countably many Busemann points $\xi_k^{(j)}$ and countably many non-Busemann points $\eta_k^{(j)}$, for $j = i \pm 1$ and $i \in I$, according to Proposition 4.7. Moreover, one has the diagonal Busemann point $\beta_{i-1,i+1}$ for every direction $d_{i-1,i+1}$, according to Proposition 4.6.
- (2) In this case, we have obstruction in the direction d_i , $i \in I$. This gives countably many non-Busemann points $\zeta_k^{(i)}$, according to Corollary 4.2. For every $i \in I$, one has two distinct diagonal Busemann points $\beta_{i-2,i}$ and $\beta_{i,i+2}$, according to Proposition 4.6. Moreover, for every $i \in I$, one has countably many Busemann points $\xi_k^{(h)}$ and countably many non-Busemann points $\eta_k^{(h)}$, for $h \in \{i-2, i+2\} \setminus I$, according to Proposition 4.7. Finally, for every $j \in J$, one has countably many Busemann points $\xi_k^{(h)}$ and countably many non-Busemann points $\eta_k^{(h)}$, for $h \in \{j-1, j+1\} \setminus I$, according to Proposition 4.7. Then the statement follows from Corollary 4.3. \square

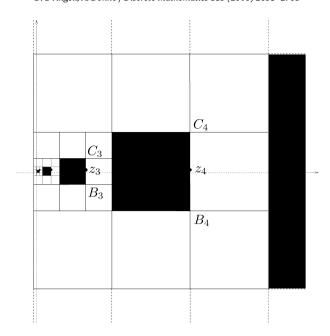


Fig. 8. A finite part of the unrooted graph Γ_{b7}^{∞} .

From Theorem 3.1, Corollary 3.1 and Theorem 4.1 we get the following corollary.

Corollary 4.4. 1. There are uncountably many non-isomorphic graphs Γ_w whose boundaries $\partial \Gamma_w$ are isomorphic. 2. For every $w \in Y \times X^{\infty}$, the boundary $\partial \Gamma_w$ contains countably many non-Busemann points.

We want to investigate now the boundary compactification of the graphs Γ_w from the measure theoretic point of view. In other words, we want to answer the following question: "How does $\partial \Gamma_w$ look like generically?"Recall that the measure space in this setting is the space of infinite sequences in $Y \times X^{\infty}$, endowed with the uniform Bernoulli measure m. From Theorem 4.1, we can deduce the following corollary.

Corollary 4.5. Let $\partial \Gamma_w$ be the metric boundary of the graph Γ_w , $w \in Y \times X^{\infty}$. Then with probability 1, with respect to the uniform Bernoulli measure m on $Y \times X^{\infty}$, the boundary $\partial \Gamma_w$ consists of four Busemann points and countably many non-Busemann points.

Proof. The boundary $\partial \Gamma_w$ consists of four Busemann points and countably many non-Busemann points if and only if $w \in Y \times W$, where W is the set of words in X^{∞} with the property that $N_i = +\infty$ for every $i \in X$ (Theorem 4.1). A standard argument from elementary probability theory shows that $m(Y \times W) = 1$. Then the claim follows. \square

Example 4.2. Consider the infinite word $w = b7^{\infty} \in Y \times X^{\infty}$, so that we have $N_7 = \infty$, and $N_i = 0$ for each $i \neq 7$. Then, according to Proposition 4.1, the infinite graph Γ_w has:

- infinite growth in the directions d_1 , d_5 , and d_7 ;
- an obstruction in the direction *d*₇;
- infinite diagonal growth in the directions $d_{7,1}$ and $d_{5,7}$.

Let $M = \mathbb{N}$. Then, for each $m \in M$, there exists in Γ_w a hole on the right of the root w, isomorphic to H_{m+1} , whose vertices have coordinates:

$$A_{m}\left(3^{m-1}-1,-\frac{3^{m-1}-1}{2}\right) \qquad B_{m}\left(2\cdot 3^{m-1}-1,-\frac{3^{m-1}-1}{2}\right)$$

$$C_{m}\left(2\cdot 3^{m-1}-1,\frac{3^{m-1}+1}{2}\right) \qquad D_{m}\left(3^{m-1}-1,\frac{3^{m-1}+1}{2}\right).$$

The sequence $\{z_m\}_{m\in M}$ of Lemma 4.1 consists of the points z_m $(2 \cdot 3^{m-1} - 1, 1)$, for all $m \in M$. Observe that the length of each side of the hole is 3^{m-1} . In particular, we have $d(w, z_m) = 3^m - 1$. As examples of sequences $\{f_m\}_{m\in M}$ and $\{g_m\}_{m\in M}$ (see Proposition 4.4) we can choose the vertices

$$f_m\left(2\cdot 3^{m-1}-1,\frac{3^{m-2}+1}{2}\right)$$
 $g_m\left(2\cdot 3^{m-1}-1,\frac{1-3^{m-2}}{2}\right),$

which represent points of the side s_7^{m+1} of the hole isomorphic to H_{m+1} , sited at two thirds or one third of the total length of the side s_7^{m+1} , respectively. With these choices, it is easy to check that one has:

$$f_2(m) - z_2(m) = z_2(m) - g_2(m) = \frac{1 + 3^{m-2}}{2} \to +\infty.$$

The boundary consists of: countably many non-Busemann points $\zeta_k^{(7)}$, $\eta_k^{(1)}$, and $\eta_k^{(5)}$; two diagonal Busemann points $\beta_{5,7}$ and $\beta_{7,1}$; countably many Busemann points $\xi_k^{(1)}$ and $\xi_k^{(5)}$ (see Fig. 8).

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