

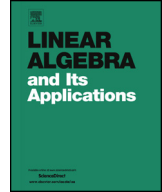


ELSEVIER

Contents lists available at ScienceDirect

# Linear Algebra and its Applications

[www.elsevier.com/locate/laa](http://www.elsevier.com/locate/laa)



## Lattices from equiangular tight frames



Albrecht Böttcher<sup>a,\*</sup>, Lenny Fukshansky<sup>b</sup>,  
Stephan Ramon Garcia<sup>c</sup>, Hiren Maharaj<sup>c</sup>, Deanna Needell<sup>b</sup>

<sup>a</sup> Fakultät für Mathematik, TU Chemnitz, 09107 Chemnitz, Germany

<sup>b</sup> Department of Mathematics, Claremont McKenna College, 850 Columbia Ave, Claremont, CA 91711, USA

<sup>c</sup> Department of Mathematics, Pomona College, 610 N. College Ave, Claremont, CA 91711, USA

### ARTICLE INFO

#### Article history:

Received 20 July 2016

Accepted 7 September 2016

Available online 13 September 2016

Submitted by R. Brualdi

#### MSC:

primary 15B35

secondary 05B30, 11H06, 42C15, 52C07

#### Keywords:

Lattice

Equiangular lines

Tight frame

Conference matrix

### ABSTRACT

We consider the set of all linear combinations with integer coefficients of the vectors of a unit equiangular tight  $(k, n)$  frame and are interested in the question whether this set is a lattice, that is, a discrete additive subgroup of the  $k$ -dimensional Euclidean space. We show that this is not the case if the cosine of the angle of the frame is irrational. We also prove that the set is a lattice for  $n = k + 1$  and that there are infinitely many  $k$  such that a lattice emerges for  $n = 2k$ . We dispose of all cases in dimensions  $k$  at most 9. In particular, we show that a  $(7, 28)$  frame generates a strongly eutactic lattice and give an alternative proof of Roland Bacher's recent observation that this lattice is perfect.

© 2016 Elsevier Inc. All rights reserved.

\* Corresponding author.

E-mail addresses: [aboettch@mathematik.tu-chemnitz.de](mailto:aboettch@mathematik.tu-chemnitz.de) (A. Böttcher), [lenny@cmc.edu](mailto:lenny@cmc.edu) (L. Fukshansky), [stephan.garcia@pomona.edu](mailto:stephan.garcia@pomona.edu) (S.R. Garcia), [hirenmaharaj@gmail.com](mailto:hirenmaharaj@gmail.com) (H. Maharaj), [dneedell@cmc.edu](mailto:dneedell@cmc.edu) (D. Needell).

## 1. Introduction

Let  $2 \leq k < n$  and let  $G$  be a real  $k \times n$  matrix. Denote the columns of  $G$  by  $f_1, \dots, f_n$ . These columns or  $G$  itself are called a unit equiangular tight  $(k, n)$  frame if  $GG' = \gamma I$  with  $\gamma = n/k$  (tightness) and  $G'G = I + (1/\alpha)C$  with  $\alpha = \sqrt{k(n-1)/(n-k)}$  and a matrix  $C$  whose diagonal entries are zero and the other entries of which are  $\pm 1$  (property of being equiangular unit vectors). Define  $\Lambda(G) = \text{span}_{\mathbf{Z}}\{f_1, \dots, f_n\}$ . Our investigation is motivated by the following question.

*When is  $\Lambda(G)$  a lattice, that is, a discrete additive subgroup of  $\mathbf{R}^k$ ? In case it is a lattice, what are its geometric properties?*

After having posed the question in its most concise form, some comments are in order. By  $\mathbf{R}^k$  we understand the column-wise written Euclidean  $\mathbf{R}^k$  with the usual scalar product  $(\cdot, \cdot)$ . The condition  $G'G = I + (1/\alpha)C$  with  $C$  as above means that  $\|f_j\| = 1$  for all  $j$  and that  $|(f_i, f_j)| = 1/\alpha$  for  $i \neq j$ . In other words, the vectors  $f_j$  are all unit vectors and each pair of them makes the angle  $\varphi$  or  $\pi - \phi$  such that  $|\cos \varphi| = |\cos(\pi - \phi)| = 1/\alpha$ . The equality  $GG' = \gamma I$  is equivalent to the requirement that  $\|G'x\|^2 = \gamma \|x\|^2$  for all  $x$  in  $\mathbf{R}^k$ , which in turn is the same as saying that  $\sum_{j=1}^n (f_j, x)^2 = \gamma \|x\|^2$  for all  $x \in \mathbf{R}^k$ . It is well known since [23,24] that the two equalities  $G'G = I + (1/\alpha)C$  with  $C$  as above and  $GG' = \gamma I$  necessarily imply that  $\gamma = n/k$  and  $\alpha = \sqrt{k(n-1)/(n-k)}$ .

The set  $\Lambda(G)$  is always a group. It is the discreteness requirement that may prevent  $\Lambda(G)$  from being a lattice. Consider, for example, the unit equiangular tight  $(3, 6)$  frame  $G$  that is induced by the 6 upper vertices of a regular icosahedron. As shown in [24], with  $p = (1 + \sqrt{5})/2$ , this frame is given by the columns of the matrix

$$G = \frac{1}{\sqrt{1+p^2}} \begin{pmatrix} 0 & 0 & 1 & -1 & p & p \\ 1 & -1 & p & p & 0 & 0 \\ p & p & 0 & 0 & 1 & -1 \end{pmatrix}.$$

By Dirichlet's approximation theorem, for which see, e.g., p. 17 of [21], there are integers  $x_n, y_n$  such that  $y_n \rightarrow \infty$  and

$$\left| \frac{x_n}{y_n} + p \right| \leq \frac{1}{y_n^2}.$$

In particular,  $x_n + py_n \rightarrow 0$  as  $n \rightarrow \infty$ . The linear combination of the columns of  $G$  with the coefficients  $x_n + y_n, y_n - x_n, y_n, y_n, x_n, -x_n$ , equals

$$\frac{1}{\sqrt{1+p^2}} \begin{pmatrix} 0 \\ 2(x_n + y_n p) \\ 2(y_n p + x_n) \end{pmatrix},$$

which tends to zero as  $n \rightarrow \infty$ . Consequently,  $\Lambda(G)$  is not a discrete subgroup of  $\mathbf{R}^3$  and thus it is not a lattice. Note that in this case  $\alpha$  is  $\sqrt{5}$  and thus irrational. We will show in [Proposition 2.1](#) that  $\Lambda(G)$  is never a lattice if  $\alpha = \sqrt{k(n-1)/(n-k)}$  is irrational.

Equiangular tight frames (ETFs) possess many properties similar to orthonormal bases, yet may also be highly overcomplete, making them very attractive in many applications. For this reason there has been a recent surge of work addressing the construction and analysis of these frames. They appear in many practical applications, such as error correcting codes [\[12,23\]](#), wireless communications [\[22,23\]](#), security [\[15\]](#), and sparse approximation [\[9,18,25,26\]](#).

In sparse approximation for example, the incoherence (small  $1/\alpha$ , the absolute value of pairwise inner products of vectors) of ETFs allows them to be used as sensing operators. Viewing an ETF as a matrix whose columns consist of the frame vectors, samples of a signal are acquired via inner products between the signal and the rows of this (typically highly underdetermined) matrix. Under the assumption that the signal vector is sparse (has a small number of nonzero coordinates), the signal can be accurately reconstructed from this compressed representation. However, in many applications there is more known about the signal than it simply being sparse. For example, in error correcting codes [\[5\]](#) and communications applications like MIMO [\[17\]](#) and cognitive radio [\[1\]](#), the signal vectors may come from some lattice. However, there has been very little rigorous mathematical developments on the intersection between arbitrary lattice-valued signals and sparse approximation (see e.g. [\[10\]](#) and references therein).

In this work, we attempt to take the first step toward a rigorous analysis of properties of equiangular tight frames and associated lattices. We are especially interested in the following questions. When does the integer span of an ETF form a lattice? Does this lattice have a basis of minimal vectors? Is the generating frame contained among the minimal vectors of this lattice? We also study further geometric properties of the resulting lattices, such as eutaxy and perfection. Our hope is that this investigation will contribute not only to the understanding of ETFs in general, but also to their explicit use in applications with lattice-valued signals. For example, if the integer span of an ETF is a lattice, then the image of that ETF viewed as a sensing matrix restricted to integer-valued signals forms a discrete set. In some sense this is analogous to the well-known Johnson–Lindenstrauss lemma [\[3\]](#), and may be used to provide reconstruction guarantees for ETF sampled signals. More concretely, if the lattice constructed from the ETF is such that its minimal vectors are the frame vectors themselves, this guarantees a minimum separation between sample vectors in its image. These types of properties are essential for sparse reconstruction and can be leveraged to design new sampling mechanisms and reconstruction guarantees. On the other hand, it is also useful to know when such properties are impossible. We leave a detailed analysis and link to applications as future work, and focus here on the mathematical underpinnings to the questions raised above.

## 2. Main results

Let  $\mathcal{L}$  be a lattice in  $\mathbf{R}^k$ , and let  $V = \text{span}_{\mathbf{R}} \mathcal{L}$  be the subspace of  $\mathbf{R}^k$  that it spans. Then the rank of  $\mathcal{L}$ , denoted by  $\text{rk}(\mathcal{L})$ , is defined to be the dimension of  $V$ . We say that  $\mathcal{L}$  has full rank if  $V = \mathbf{R}^k$ . The minimal distance of a lattice  $\mathcal{L} \subset \mathbf{R}^k$  is defined as  $d(\mathcal{L}) = \min\{\|x\| : x \in \mathcal{L} \setminus \{0\}\}$ . (Note that if  $\mathcal{L}$  is only required to be a subgroup of  $\mathbf{R}^k$ , then  $\mathcal{L}$  is a lattice if and only if  $\inf\{\|x\| : x \in \mathcal{L} \setminus \{0\}\} > 0$ .) The set of minimal vectors,  $S(\mathcal{L})$ , is the set of all  $x \in \mathcal{L}$  with  $\|x\| = d(\mathcal{L})$ . The lattice  $\mathcal{L}$  is called well-rounded if  $\mathbf{R}^k = \text{span}_{\mathbf{R}} S(\mathcal{L})$ , and we say that it is generated by its minimal vectors if  $\mathcal{L} = \text{span}_{\mathbf{Z}} S(\mathcal{L})$ . It follows from a well-known theorem of van der Waerden [27] (see also §§2.2–2.3 of [19]) that the second condition is strictly stronger than the first when  $\text{rk}(\mathcal{L}) \geq 5$ . Furthermore, Conway and Sloane [7] demonstrated that when  $\text{rk}(\mathcal{L}) \geq 11$  the lattice  $\mathcal{L}$  may be generated by minimal vectors, but not contain a basis of minimal vectors, i.e., while  $\mathcal{L} = \text{span}_{\mathbf{Z}} S(\mathcal{L})$  there may not exist  $\mathbf{R}$ -linearly independent vectors  $f_1, \dots, f_{\text{rk}(\mathcal{L})} \in S(\mathcal{L})$  such that  $\text{span}_{\mathbf{Z}}\{f_1, \dots, f_{\text{rk}(\mathcal{L})}\} = \mathcal{L}$ ; Martinet and Schürmann [14] further showed that this is possible when  $\text{rk}(\mathcal{L}) \geq 10$ , but cannot happen when  $\text{rk}(\mathcal{L}) \leq 9$ .

A finite subset  $\{q_1, \dots, q_m\}$  of the unit sphere  $\Sigma_{k-1}$  in  $\mathbf{R}^k$  is called a spherical  $t$ -design for a positive integer  $t$  if for every real polynomial  $p$  of degree  $\leq t$  in  $k$  variables,

$$\int_{\Sigma_{k-1}} p(x) \, d\sigma(x) = \frac{1}{m} \sum_{i=1}^m p(q_i),$$

where  $d\sigma$  denotes the unit normalized surface measure on the sphere  $\Sigma_{k-1}$ . A full rank lattice in  $\mathbf{R}^k$  is called strongly eutactic if its set of minimal vectors (normalized to lie on  $\Sigma_{k-1}$ ) forms a spherical 2-design. We finally define the notion of a perfect lattice. Recall that we write vectors  $x$  in  $\mathbf{R}^k$  as column vectors. A full rank lattice  $\mathcal{L}$  in  $\mathbf{R}^k$  is called perfect if the set of symmetric  $k \times k$  matrices  $\{xx' : x \in S(\mathcal{L})\}$  spans all real symmetric  $k \times k$  matrices as an  $\mathbf{R}$ -vector space.

Two lattices  $\mathcal{L}$  and  $\mathcal{M}$  in  $\mathbf{R}^k$  are called similar if  $\mathcal{L} = aU\mathcal{M}$  for some  $a \in \mathbf{R}$  and some orthogonal  $k \times k$  matrix  $U$ . Conditions such as well-roundedness, generation by minimal vectors, existence of bases of minimal vectors, strong eutaxy, and perfection are preserved on similarity classes of lattices. Furthermore, there are only finitely many strongly eutactic and only finitely many perfect similarity classes of lattices in  $\mathbf{R}^k$  for each  $k \geq 1$ .

Given a full rank lattice  $\mathcal{L} \subset \mathbf{R}^k$ , it is possible to associate a sphere packing to it by taking spheres of radius  $d(\mathcal{L})/2$  centered at every point of  $\mathcal{L}$ . It is clear that no two such spheres will intersect in their interiors. Such sphere packings are usually called lattice packings. One convenient way of thinking of a lattice packing is as follows. The Voronoi cell of  $\mathcal{L}$  is defined to be

$$\mathcal{V}(\mathcal{L}) := \{x \in \mathbf{R}^k : \|x\| \leq \|x - y\| \, \forall \, y \in \mathcal{L}\}.$$

Then  $\mathbf{R}^k$  is tiled with translates of the Voronoi cell by points of the lattice, and spheres in the packing associated to  $\mathcal{L}$  are precisely the spheres inscribed in these translated Voronoi cells. A compact measurable subset of  $\mathbf{R}^k$  is called a fundamental domain for a lattice  $\mathcal{L}$  if it is a complete set of coset representatives in the quotient group  $\mathbf{R}^k/\mathcal{L}$ . All fundamental domains of the same lattice have the same volume, and the Voronoi cell of a lattice is an important example of a fundamental domain.

A central problem of lattice theory is to find a lattice in each dimension  $k \geq 1$  that maximizes the density of the associated lattice packing. There is an easy formula for the packing density of a lattice. A lattice  $\mathcal{L}$  in  $\mathbf{R}^k$  can be written as  $\mathcal{L} = B\mathbf{Z}^k$ , where  $B$  is a basis matrix of  $\mathcal{L}$ , i.e., the columns of  $B$  form a basis for  $\mathcal{L}$ . The determinant of  $\mathcal{L}$  is then defined to be  $\det \mathcal{L} := \sqrt{\det(B'B)}$ , which is an invariant of the lattice, since any two basis matrices of  $\mathcal{L}$  are related by a integer linear transformation with determinant  $\pm 1$ . The significance of the determinant is given by the fact that it is equal to the volumes of the fundamental domains. It is then easy to observe that the density of the lattice packing associated to  $\mathcal{L}$  is the volume of one sphere divided by the volume of the translated Voronoi cell that it is inscribed into, that is,

$$\delta(\mathcal{L}) := \frac{\omega_k d(\mathcal{L})^k}{2^k \det \mathcal{L}}, \quad (1)$$

where  $\omega_k$  is the volume of the unit ball in  $\mathbf{R}^k$ . In fact, this packing density function  $\delta$  is defined on similarity classes of lattices in a given dimension, and a great deal of attention in lattice theory is devoted to studying its properties. There is a natural quotient metric topology on the space of all full rank lattices in  $\mathbf{R}^k$ , given by identifying this space with  $\mathrm{GL}_k(\mathbf{R})/\mathrm{GL}_k(\mathbf{Z})$ : indeed, every  $A \in \mathrm{GL}_k(\mathbf{R})$  is a basis matrix of some lattice, and  $A, B \in \mathrm{GL}_k(\mathbf{R})$  are basis matrices for the same lattice if and only if  $A = UB$  for some  $U \in \mathrm{GL}_k(\mathbf{Z})$ . A lattice is called extreme if it is a local maximum of the packing density function in its dimension: this is a particularly important class of lattices that are actively studied. A classical result of Voronoi states that perfect strongly eutactic lattices are extreme (see, for instance, Theorem 4 of [20]); on the other hand, if a lattice is strongly eutactic, but not perfect, then it is a local minimum of the packing density function (see Theorem 9.4.1 of [13]). Good sources for further information about lattice theory, the sphere packing problem, and the related arithmetic theory of quadratic forms are Martinet's book [13], the well-known book by Conway and Sloane [8], and the more recent book by A. Schürmann [19].

We now return to our construction  $\Lambda(G)$  from unit equiangular frames and describe our results. It is well known that unit equiangular tight  $(k, k+1)$  frames exist for all  $k \geq 2$ . According to [24], except for the  $(k, k+1)$ -case, the only unit equiangular tight  $(k, n)$  frames with  $k \leq 9$  are

$$(3, 6), (5, 10), (6, 16), (7, 14), (7, 28), (9, 18) \quad (2)$$

frames. Our first result says the following.

**Proposition 2.1.** *If  $\Lambda(G)$  is a lattice, then  $\alpha = \sqrt{k(n-1)/(n-k)}$  must be a rational number.*

Thus, since  $\alpha = 1/\sqrt{5}$  for the  $(3, 6)$  frame,  $\alpha = 1/\sqrt{13}$  for the  $(7, 14)$  frame, and  $\alpha = 1/\sqrt{17}$  for the  $(9, 18)$  frame, these three frames do not generate lattices. (The fact that the  $(3, 6)$  frame does not induce a lattice was proved in Section 1 by a different argument.) We will show that there are unit equiangular tight  $(5, 10)$ ,  $(6, 16)$ , and  $(7, 28)$  frames which generate lattices. Moreover, we will prove the following results.

**Theorem 2.2.** (a) *For every  $k \geq 2$ , there are unit equiangular tight  $(k, k+1)$  frames  $G$  such that  $\Lambda(G)$  is a full rank lattice. The lattice  $\Lambda(G)$  has a basis of minimal vectors, it is non-perfect and strongly eutactic, and hence it is a local minimum of the packing density function in dimension  $k$ .*

(b) *There are infinitely many  $k$  for which there exist unit equiangular tight  $(k, 2k)$  frames  $G$  such that  $\Lambda(G)$  is a full rank lattice.*

(c) *There is a unit equiangular tight  $(7, 28)$  frame  $G$  for which  $\Lambda(G)$  has a basis of minimal vectors, is a perfect strongly eutactic lattice, and hence extreme.*

**Remark 2.3.** We explicitly construct the lattices of Theorem 2.2. We show that those of parts (a) and (c) and those with  $k \leq 13$  of part (b) have the property that the set of minimal vectors consists precisely of  $\pm$  the generating frame vectors. The well known result of Gerzon (see, for instance, Theorem C of [24]) asserts that for a  $(k, n)$  equiangular tight frame necessarily  $n \leq k(k+1)/2$ . On the other hand,  $k(k+1)/2$  is the minimal number of ( $\pm$  pairs of) minimal vectors necessary (but not sufficient) for a lattice in  $\mathbf{R}^k$  to be perfect. Since only very few equiangular tight frames achieve equality in Gerzon's bound, it is likely quite rare for perfect lattices to be generated by equiangular tight frames. Perfection is a necessary condition for extremality, and hence it is unreasonable to expect to obtain extreme lattices often in this way. The only such example we have discovered is the lattice from the  $(7, 28)$  frame in part (c) of our Theorem 2.2, perfection of which has also previously been discussed in [2].

The strong eutaxy of our lattice constructions in Theorem 2.2(a), (c) is established directly with the use of the following result.

**Proposition 2.4.** *Suppose that  $\Lambda(G)$  is a lattice and  $S(\Lambda(G)) = \{\pm f_1, \dots, \pm f_n\}$ . Then  $\Lambda(G)$  is strongly eutactic.*

**Proof.** A spanning set  $\{g_1, \dots, g_m\}$  for  $\mathbf{R}^k$  is called a Parseval frame if  $\|x\|^2 = \sum_{j=1}^m (g_j, x)^2$  for all  $x \in \mathbf{R}^k$ . Further,  $\{g_1, \dots, g_m\}$  is a spherical 2-design if and only if

$$\left\{ \sqrt{k/m} g_1, \dots, \sqrt{k/m} g_m \right\}$$

is a Parseval frame and  $\sum_{i=1}^m g_i = 0$  (see [12] for details, especially Proposition 1.2).

**Table 1**  
Summary of a part of our results.

$(k, n)$	cosine $\frac{1}{\alpha}$	Volume of a fundamental domain	$S(\Lambda) = \{\pm f_1, \dots, \pm f_n\}$ ? Basis of minimal vectors?
$(k+1, k)$	$\frac{1}{k}$	$\frac{1}{\sqrt{k+1}} \left(1 + \frac{1}{k}\right)^{k/2}$	Yes, Yes
$(3, 6)$	$\frac{1}{\sqrt{5}} = 0.4472$	no lattice	
$(5, 10)$	$\frac{1}{3}$	$\frac{4}{9} = 0.4444$	Yes, Yes
$(6, 16)$	$\frac{1}{3}$	$\frac{2^3}{3^3} = 0.2963$	Yes, Yes
$(7, 14)$	$\frac{1}{\sqrt{13}} = 0.2774$	no lattice	
$(7, 28)$	$\frac{1}{3}$	$\frac{2^3}{3^{7/2}} = 0.1711$	Yes, Yes, and perfect
$(9, 18)$	$\frac{1}{\sqrt{17}} = 0.2425$	no lattice	
$(13, 26)$	$\frac{1}{5}$	$\frac{2^6}{5^{9/2}} = 0.0458$	Yes, Yes
$(25, 50)$	$\frac{1}{7}$	$\frac{2^{11} \cdot 3 \cdot 5 \cdot 11^2}{7^{23/2}} = 0.00071052$	?, ?

Now let  $G = (f_1 \dots f_n)$  be a unit equiangular tight  $(k, n)$  frame, and assume that  $\Lambda(G)$  is a lattice such that  $S(\Lambda(G)) = \{\pm f_1, \dots, \pm f_n\}$ . We then have

$$\begin{aligned} \|x\|^2 &= \frac{k}{2n} \sum_{j=1}^n ((x, f_j)^2 + (x, -f_j)^2) \\ &= \sum_{j=1}^n \left( \left( x, \sqrt{\frac{k}{2n}} f_j \right)^2 + \left( x, -\sqrt{\frac{k}{2n}} f_j \right)^2 \right), \end{aligned}$$

for every  $x \in \mathbf{R}^k$ . Hence  $\{\pm \sqrt{k/2n} f_1, \dots, \pm \sqrt{k/2n} f_n\}$  is a Parseval frame, and therefore  $S(\Lambda(G))$  is a spherical 2-design.  $\square$

A summary of a part of our results is given in [Table 1](#).

### 3. Rationality of the cosine of the frame

Suppose  $G$  is a unit tight  $(k, n)$  frame. Then  $GG' = \gamma I$  and hence  $G$  has rank  $k$ . Let  $G_0$  be the  $k \times k$  matrix formed by arbitrarily chosen  $k$  linearly independent columns of  $G$  and denote by  $G_1$  the  $k \times (n - k)$  matrix constituted by the remaining columns. We may without loss of generality assume that  $G = (G_0 \ G_1)$ . We emphasize that  $G_0$  is invertible. Recall that  $\Lambda(G)$  is called a full-rank lattice if  $\text{span}_{\mathbf{R}}\{f_1, \dots, f_n\}$  is all of  $\mathbf{R}^k$ . Note that in the following proposition we do not require equiangularity.

**Proposition 3.1.** *Let  $G = (G_0 \ G_1)$  be a unit tight  $(k, n)$  frame. Then the following are equivalent.*

- (i)  $\Lambda(G)$  is a lattice.
- (ii)  $\Lambda(G)$  is a full rank lattice.
- (iii) There exist  $\beta \in \mathbf{Z} \setminus \{0\}$  and  $X \in \mathbf{Z}^{k \times (n-k)}$  such that  $G_0^{-1}G_1 = (1/\beta)X$ .

If (iii) holds with  $\beta = 1$ , then  $G_0$  is a basis matrix for  $\Lambda(G)$ .

**Proof.** Since  $G_0$  is invertible, we have  $\text{span}_{\mathbf{R}}\{f_1, \dots, f_n\} = \mathbf{R}^k$ , which proves the equivalence of (i) and (ii). Suppose (ii) holds. Then  $G_0 = BX_0$  and  $G_1 = BX_1$  with an invertible  $k \times k$  matrix  $B$  and integer matrices  $X_0, X_1$ . The matrix  $X_0$  is invertible, so  $B = G_0X_0^{-1}$  and hence

$$G_1 = G_0X_0^{-1}X_1 = G_0 \frac{1}{\det X_0} X_2X_1 = \frac{1}{\beta} G_0X$$

with  $\beta = \det X_0$  and  $X = X_2X_1$ . This proves (iii). Conversely, suppose (iii) is true. It is clear that  $\Lambda(G) = \text{span}_{\mathbf{Z}}\{f_1, \dots, f_n\}$  is an additive subgroup of  $\mathbf{R}^k$ . Put  $B = (1/\beta)G_0$ . Then  $B$  is invertible,  $G_0 = BX_0$  with  $X_0 = \beta I$  and  $G_1 = BX_1$  with  $X_1 = X$ . It follows that  $\Lambda(G)$  is a subset of  $L_B := \{BZ : Z \in \mathbf{Z}^{k \times 1}\}$ . As the latter set is discrete, so must be  $\Lambda(G)$ . This proves (i). Finally, if  $\beta = 1$ , then  $B = G_0$ , which implies that  $L_B \subset \Lambda(G)$  and hence  $L_B = \Lambda(G)$ . Consequently,  $B$  is a basis matrix for  $\Lambda(G)$ .  $\square$

**Proposition 3.2.** *Let  $G = (G_0 \ G_1)$  be a unit equiangular tight  $(k, n)$  frame. If  $\Lambda(G)$  is a lattice, then  $\alpha$  must be a rational number.*

**Proof.** By Proposition 3.1, we may assume that  $\Lambda(G)$  is a full rank lattice. So  $G = BZ$  with an invertible matrix  $B$  and a matrix  $Z \in \mathbf{Z}^{k \times n}$ . Multiplying the equality  $\gamma I = GG' = BZZ'B'$  from the right by  $(B')^{-1}$  and then from the left by  $B'$ , we obtain  $\gamma I = B'BZZ'$  and thus,

$$(I + (1/\alpha)C)Z' = G'GZ' = Z'B'BZZ' = \gamma Z',$$

which implies that  $CZ' = \alpha(\gamma - 1)Z'$ . If  $\alpha$  is irrational, the last equality yields  $Z = 0$ , and this gives  $G = 0$ , a contradiction.  $\square$

#### 4. Unit equiangular tight $(k, 2k)$ frames

We first consider the case  $n = 2k$ . Then  $\gamma = 2$  and  $\alpha = \sqrt{n-1}$ . We furthermore suppose that  $n = p^r + 1$  with an odd prime number  $p$  and a natural number  $r$ . If  $r$  is odd and  $p = 4\ell + 3$ , then  $k$  is even, which implies that unit equiangular tight  $(k, n)$  frames do not exist (Theorem 17 of [24]). If  $r$  is odd and  $p = 4\ell + 1$ , then unit equiangular tight



$(k, n)$  frames  $G$  exist, but  $\Lambda(G)$  is not a lattice because  $\alpha$  is irrational. We are so left with the case where  $r$  is even.

**Theorem 4.1.** *Let  $k \geq 2$  and  $n = 2k$ . If  $n = p^{2m} + 1$  with an odd prime number  $p$  and a natural number  $m$ , then there exists a unit equiangular tight  $(k, n)$  frame  $G$  such that  $\Lambda(G)$  is a full rank lattice.*

**Comments.** This theorem proves Theorem 2.2(b) and will be a consequence of the following Theorem 4.2. Before turning to the proof of Theorem 4.2, which is a combination of ideas of Goethals and Seidel [11] and Strohmer and Heath [23], some comments seem to be in order. Following [23], we start with a symmetric  $n \times n$  conference matrix  $C$ , that is, with a symmetric matrix  $C$  that has zeros on the main diagonal and  $\pm 1$  elsewhere and that satisfies  $C^2 = (n - 1)I$ . Under the hypothesis of Theorem 4.1, such matrices were first constructed by Paley [16]. Goethals and Seidel [11] showed that one can always obtain such matrices in the form

$$C = \begin{pmatrix} A & D \\ D & -A \end{pmatrix} \quad (3)$$

where  $A$  and  $D$  are symmetric  $k \times k$  circulant matrices. Let  $a$  and  $b$  be any rational numbers such that  $a^2 + b^2 = \alpha^2$  ( $= n - 1 = p^{2m}$ ). Theorem 3.4 of [11] says that, under certain conditions, one can in turn represent the matrix (3) as

$$\begin{pmatrix} A & D \\ D & -A \end{pmatrix} = \begin{pmatrix} I & -N \\ N & I \end{pmatrix}^{-1} \begin{pmatrix} aI & bI \\ bI & -aI \end{pmatrix} \begin{pmatrix} I & -N \\ N & I \end{pmatrix} \quad (4)$$

with a symmetric circulant matrix  $N$  all entries of which are rational numbers. The conditions ensuring the representation (4) are that  $D + bI$  or  $A + aI$  are invertible. We have

$$N = (A + aI)^{-1}(bI - D) \quad \text{or} \quad N = (D + bI)^{-1}(A - aI) \quad (5)$$

if  $A + aI$  or  $D + bI$  is invertible, respectively. (Note that all occurring blocks are symmetric circulant matrices and in particular commuting matrices.) As there are infinitely many different decompositions of  $p^{2m}$  into the sum of two squares of rationals, for example,

$$p^{2m} = \left( \frac{t^2 - s^2}{t^2 + s^2} p^m \right)^2 + \left( \frac{2ts}{t^2 + s^2} p^m \right)^2$$

with integers  $s$  and  $t$ , we can, for given  $A$  and  $D$ , always find rational  $a$  and  $b$  such that  $a^2 + b^2 = \alpha^2$  and both  $D + bI$  and  $A + aI$  are invertible.

Let, for example  $n = 10$ . A matrix (3) with symmetric circulant matrices  $A$  and  $D$  is completely given by its first line, which is of the form

$$0, \varepsilon_1, \varepsilon_2, \varepsilon_2, \varepsilon_1, \quad \varepsilon_3, \varepsilon_4, \varepsilon_5, \varepsilon_5, \varepsilon_4$$

with  $\varepsilon_j \in \{-1, 1\} =: \{-, +\}$ . These are  $2^5 = 32$  matrices. Exactly four of them satisfy  $C^2 = 9I$ . Their first lines and the eigenvalues of  $D$  are

$$0, -, +, +, -, \quad -, +, +, +, +, \quad -2, -2, -2, -2, 3 \quad (6)$$

$$0, -, +, +, -, \quad +, -, -, -, -, \quad -3, 2, 2, 2, 2, \quad (7)$$

$$0, +, -, -, +, \quad -, +, +, +, +, \quad -2, -2, -2, -2, 3 \quad (8)$$

$$0, +, -, -, +, \quad +, -, -, -, -, \quad -3, 2, 2, 2, 2. \quad (9)$$

The corresponding matrix  $A$  is always singular. We see that in all cases we may take  $a = 3$  and  $b = 0$  ( $3^2 + 0^2 = 9$ ) because  $D$  is invertible. In the cases (6) and (8) we could also take  $a = 0$  and  $b = 3$  ( $0^2 + 3^2 = 9$ ) since  $D + 3I$  is invertible. In fact, we will prove the following theorem. As shown above, the hypothesis of this theorem can always be satisfied, so that this theorem implies Theorem 4.1.

**Theorem 4.2.** *Let  $k \geq 2$  and  $n = 2k$ . Suppose  $n = p^{2m} + 1$  with an odd prime number  $p$  and a natural number  $m$ , let  $a$  and  $b$  be rational numbers such that  $a^2 + b^2 = p^{2m}$  and  $a \neq -p^m$ . Let  $A$  and  $D$  be symmetric  $k \times k$  circulant matrices such that (3) is a conference matrix, and assume  $A + aI$  or  $D + bI$  is invertible. Define  $N$  by (5) and put  $\alpha = \sqrt{n-1} = p^m$ . Then*

$$G = \frac{1}{\sqrt{\alpha(\alpha+a)}}(I + N^2)^{-1/2} \begin{bmatrix} (\alpha+a)I + bN & bI - (\alpha+a)N \end{bmatrix} \quad (10)$$

is a unit equiangular tight  $(k, n)$  frame  $G$  such that  $\Lambda(G)$  is a full rank lattice.

**Proof of Theorem 4.2.** The requirement  $a \neq -p^m$  assures that  $\alpha + a \neq 0$ . Let

$$W = \begin{pmatrix} W_{11} & W_{12} \\ W_{21} & W_{22} \end{pmatrix} = \frac{1}{\sqrt{2\alpha(\alpha+a)}}(I + N^2)^{-1/2} \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix}$$

with

$$\begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} = \begin{pmatrix} (\alpha+a)I + bN & bI - (\alpha+a)N \\ bI - (\alpha+a)N & -\alpha I - (\alpha+a)N \end{pmatrix}.$$

Using (4) one can show by straightforward computation that

$$C \begin{pmatrix} U_{11} \\ U_{21} \end{pmatrix} = \alpha \begin{pmatrix} U_{11} \\ U_{21} \end{pmatrix}, \quad C \begin{pmatrix} U_{12} \\ U_{22} \end{pmatrix} = -\alpha \begin{pmatrix} U_{12} \\ U_{22} \end{pmatrix},$$

which implies that

$$C \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} \begin{pmatrix} \alpha I & 0 \\ 0 & -\alpha I \end{pmatrix}$$

and thus

$$C(I + N^2)^{1/2}W = (I + N^2)^{1/2}W \begin{pmatrix} \alpha I & 0 \\ 0 & -\alpha I \end{pmatrix}. \quad (11)$$

We have  $W^2 = I$ . Indeed,

$$U_{11}^2 + U_{12}U_{21} = U_{21}U_{12} + U_{22}^2 = [(\alpha + a)^2 + b^2](I + N^2) = 2\alpha(\alpha + a)(I + N^2),$$

whence  $W_{11}^2 + W_{12}W_{21} = W_{21}W_{12} + W_{22}^2 = I$ , and similarly one gets that the off-diagonal blocks of  $W^2$  are zero. From (11) we therefore get

$$C = (I + N^2)^{1/2}W \begin{pmatrix} \alpha I & 0 \\ 0 & -\alpha I \end{pmatrix} W(I + N^2)^{-1/2} \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} = W \begin{pmatrix} \alpha I & 0 \\ 0 & -\alpha I \end{pmatrix} W,$$

or equivalently,

$$I + \frac{1}{\alpha}C = W \begin{pmatrix} 2I & 0 \\ 0 & 0 \end{pmatrix} W = 2 \begin{pmatrix} W_{11}^2 & W_{11}W_{12} \\ W_{21}W_{11} & W_{21}W_{12} \end{pmatrix}. \quad (12)$$

The matrix  $G$  given by (10) is just  $G = \sqrt{2}(W_{11} \ W_{21})$ . We claim that  $G$  is a unit equiangular tight  $(k, n)$  frame. First, since  $W_{11}$  and  $W_{21}$  are symmetric, we have

$$G'G = 2 \begin{pmatrix} W_{11} \\ W_{21} \end{pmatrix} \begin{pmatrix} W_{11} & W_{21} \end{pmatrix} = 2 \begin{pmatrix} W_{11}^2 & W_{11}W_{21} \\ W_{21}W_{11} & W_{21}^2 \end{pmatrix}, \quad (13)$$

and since  $W_{21} = W_{12}$ , the right-hand sides of (12) and (13) coincide. This proves that  $G$  is unit and equiangular. Secondly,

$$GG' = 2 \begin{pmatrix} W_{11} & W_{21} \end{pmatrix} \begin{pmatrix} W_{11} \\ W_{21} \end{pmatrix} = 2(W_{11}^2 + W_{21}^2) = 2I,$$

which shows that  $G$  is tight with  $\gamma = 2 = n/k$ . The equality  $GG' = 2I$  implies that the rank of  $G$  is  $k$ . Thus,  $G = \sqrt{2}(W_{11} \ W_{12})$  has  $k$  linearly independent columns. We permute the columns of  $G$  so that these  $k$  linearly independent columns become the first  $k$  columns. The resulting matrix, which is anew denoted by  $G$ , is a unit equiangular tight  $(k, n)$  frame of the form  $G = (G_0 \ G_1)$  with an invertible matrix  $G_0$ . Furthermore, we have  $G_0 = (I + N^2)^{-1/2}R$  and  $G_1 = (I + N^2)^{-1/2}S$  with matrices  $R$  and  $S$  whose entries are rational numbers. We therefore obtain that  $G_0^{-1}G_1 = R^{-1}S$  is a matrix with rational entries, and hence, by Proposition 3.1, the set  $\Lambda(G)$  is a full rank lattice.  $\square$

**Corollary 4.3.** Let  $k \geq 2$  and  $n = 2k$ . Suppose  $n = p^{2m} + 1$  with an odd prime number  $p$  and a natural number  $m$ , let  $A$  and  $D$  be symmetric  $k \times k$  circulant matrices such that the matrix (3) is a conference matrix. Put  $\alpha = \sqrt{n-1} = p^m$ . If the matrix  $D$  is invertible, then  $I \pm (1/\alpha)A$  are positive definite matrices and, with the invertible matrix  $N := D^{-1}(A - \alpha I)$ ,

$$G := \sqrt{2}(I + N^2)^{-1/2} \begin{pmatrix} I & -N \end{pmatrix}$$

is a unit equiangular tight  $(k, n)$  frame  $G$  and the set  $\Lambda(G)$  is a full rank lattice. If  $N \in \mathbf{Z}^{k \times k}$ , then  $G$  may be written as

$$G = B_+ \begin{pmatrix} I & -N \end{pmatrix} \quad \text{with} \quad B_+ := \sqrt{I + (1/\alpha)A}, \quad (14)$$

and  $B_+$  is a basis matrix for  $\Lambda(G)$ , while if  $N^{-1} \in \mathbf{Z}^{k \times k}$ , then  $G$  may be written in the form

$$G = B_- S \begin{pmatrix} -N^{-1} & I \end{pmatrix} \quad \text{with} \quad B_- := \sqrt{I - (1/\alpha)A}, \quad (15)$$

where  $S := D|D|^{-1}$  and  $|D|$  is the positive definite square root of  $D'D$ , and this time  $B_- S$  is a basis matrix for  $\Lambda(G)$ . Furthermore,

$$\det B_{\pm} = \sqrt{\det \left( I \pm \frac{1}{\alpha} A \right)} = \frac{1}{\alpha^{k/2}} \sqrt{\det(\alpha I \pm A)}.$$

**Remark.** Recall that the determinant (= volume of a fundamental domain) of a lattice is defined as the square root of  $\det(B'B)$  where  $B$  is any basis matrix. Thus, if  $N$  is an integer matrix, then the determinant of the lattice is simply  $\sqrt{\det(B'_+ B_+)} = \det B_+$ , while if  $N^{-1}$  has integer entries, the determinant of the lattice  $\Lambda(G)$  is

$$\sqrt{\det(S'B'_- B_- S)} = \sqrt{\det(SB'_- B_- S)} = \sqrt{\det(B'_- B_- S^2)} = \det B_-$$

because  $S = S'$  and  $S^2 = I$ .

**Proof.** Since  $D$  is invertible, we may use Theorem 4.2 with  $a = \alpha$  and  $b = 0$  ( $\alpha^2 + 0^2 = \alpha^2$ ) and with  $N = D^{-1}(A - \alpha I)$ . In this special case, formula (10) becomes

$$G = \sqrt{2}(I + N^2)^{-1/2} \begin{pmatrix} I & -N \end{pmatrix}, \quad (16)$$

and since  $N$  has rational entries, Proposition 3.1 implies that  $\Lambda(G)$  is a full rank lattice. Proposition 3.1 also shows that  $\sqrt{2}(I + N^2)^{-1/2}$  is a basis matrix for the lattice provided  $N \in \mathbf{Z}^{k \times k}$ . Writing (16) as

$$G = -\sqrt{2}(I + N^2)^{-1/2}N \begin{pmatrix} -N^{-1} & I \end{pmatrix}$$

and permuting  $(-N^{-1} \ I)$  to  $(I \ -N^{-1})$  we can deduce from [Proposition 3.1](#) that the matrix  $-\sqrt{2}(I + N^2)^{-1/2}N$  is a basis matrix provided  $N^{-1} \in \mathbf{Z}^{k \times k}$ . It remains to show that these two basis matrices are just the matrices  $B_{\pm}$ .

As the square of the matrix [\(3\)](#) is  $\alpha^2 I$ , we have  $A^2 + D^2 = \alpha^2 I$ . Since 0 is not in the spectrum of  $D$ , the equality  $A^2 + D^2 = \alpha^2 I$  implies that the spectrum (= set of eigenvalues) of  $A$  is contained in the open interval  $(-\alpha, \alpha)$ . Hence  $\alpha I \pm A$  are positive definite. Moreover, we get  $D^2 = \alpha^2 I - A^2 = (\alpha I - A)(\alpha I + A)$ , and since all involved matrices are circulant and therefore commute, we obtain

$$\begin{aligned} I + N^2 &= I + D^{-2}(A - \alpha I)^2 = I + D^{-2}(\alpha I - A)(\alpha I - A) \\ &= I + (\alpha I - A)^{-1}(\alpha I + A) = (\alpha I + A)^{-1}[\alpha I + A + \alpha I - A] \\ &= 2\alpha(\alpha I + A)^{-1} = 2(I + (1/\alpha)A)^{-1}. \end{aligned}$$

Consequently,  $\sqrt{2}(I + N^2)^{-1/2} = (I + (1/\alpha)A)^{1/2} = B_+$ , which proves [\(14\)](#). The matrix  $|D|$  is again a circulant matrix and we have  $D = S|D|$  with a circulant matrix  $S$  satisfying  $S^2 = I$ . From the equality  $D^2 = (\alpha I - A)(\alpha I + A)$  we obtain that  $|D| = (\alpha I - A)^{1/2}(\alpha I + A)^{1/2}$ . Thus,

$$\begin{aligned} -\sqrt{2}(I + N^2)^{-1/2}N &= (I + (1/\alpha)A)^{1/2}D^{-1}(\alpha I - A) \\ &= \frac{1}{\sqrt{\alpha}}(\alpha I + A)^{1/2}(\alpha I - A)^{-1/2}(\alpha I + A)^{-1/2}(\alpha I - A)S \\ &= \frac{1}{\sqrt{\alpha}}(\alpha I - A)^{1/2}S = (I - (1/\alpha)A)^{1/2}S = B_-S. \end{aligned}$$

This proves [\(15\)](#). The determinant formulas are obvious.  $\square$

**Two lattices from (5,10) frames.** Let  $(A, D)$  be one of the four pairs given by [\(6\)](#) to [\(9\)](#). Thus,  $k = 5$ ,  $n = 10$ ,  $\alpha = 3$ . In either case,  $D$  is invertible with  $\det D = \pm 48$  and we have  $\det(3I + A) = 48$ . (The eigenvalues of  $A$  are  $-\sqrt{5}, -\sqrt{5}, 0, \sqrt{5}, \sqrt{5}$ .) The four circulant matrices  $N = D^{-1}(A - 3I)$  have the first rows

$$(+, 0, -, -, 0), \quad (-, 0, +, +, 0), \quad (+, -, 0, 0, -), \quad (-, +, 0, 0, +).$$

Thus,  $N \in \mathbf{Z}^{5 \times 5}$ , and so by [Corollary 4.3](#),  $\Lambda(G)$  is a lattice,  $B_+ = \sqrt{I + (1/3)A}$  is a basis matrix, and  $\det B_+ = 3^{-5/2}\sqrt{48} = 2^2/3^2 = 0.4444\dots$  (Incidentally, the matrices  $N^{-1}$  also have integer entries.) The eigenvalues of  $I + (1/3)A$  are

$$1 - \frac{1}{3}\sqrt{5}, \quad 1 - \frac{1}{3}\sqrt{5}, \quad 1, \quad 1 + \frac{1}{3}\sqrt{5}, \quad 1 + \frac{1}{3}\sqrt{5},$$

and hence the smallest eigenvalue of  $B_+$  is  $\sqrt{1 - (1/3)\sqrt{5}} = 0.50462... > 1/2$ . We have  $B_+ = UEU'$  with an orthogonal matrix  $U$  and the diagonal matrix  $E$  of the eigenvalues of  $B_+$ . Consequently,

$$\|B_+x\|^2 = \|UEU'x\|^2 = \|EU'x\|^2 > \frac{1}{4}\|U'x\|^2 = \frac{1}{4}\|x\|^2,$$

and hence  $\|B_+x\|^2 > 1$  if  $\|x\|^2 \geq 4$ . So consider the  $x \in \mathbf{Z}^5 \setminus \{0\}$  with  $\|x\|^2 \leq 3$ . Such  $x$  contain only 0, +1, -1, and using Matlab we checked that  $\|B_+x\|^2 < 1.1$  for exactly 20 nonzero  $x$  of these  $3^5 - 1 = 242$  possible  $x$ . The 20 columns  $B_+x$  are just  $\pm$  the columns of  $G$ . Thus, *the minimal distance of  $\Lambda(G)$  is 1,  $\Lambda(G)$  has a basis of minimal vectors, and  $S(\Lambda(G)) = \{\pm f_1, \dots, \pm f_{10}\}$* . Note that if we denote the basis matrices for the lattices corresponding to (6) and (9) by  $B_1, \dots, B_4$ , then actually  $B_1 = B_2$  and  $B_3 = B_4$ . However,  $B_1B_3^{-1}$  is not a scalar multiple of an orthogonal matrix.

To “see” a concrete matrix  $B_+$ , we considered the case where the matrices  $A, D$  are specified by (6). We computed  $B_1 = B_+ = \sqrt{I + (1/3)A}$  with Matlab and obtained

$$\begin{array}{ccccc} 0.9303 & -0.1651 & 0.2000 & 0.2000 & -0.1651 \\ -0.1651 & 0.9303 & -0.1651 & 0.2000 & 0.2000 \\ 0.2000 & -0.1651 & 0.9303 & -0.1651 & 0.2000 \\ 0.2000 & 0.2000 & -0.1651 & 0.9303 & -0.1651 \\ -0.1651 & 0.2000 & 0.2000 & -0.1651 & 0.9303 \end{array}$$

on the screen. With the Fourier matrix  $F_5 = (1/\sqrt{5})(\omega^{(j-1)(k-1)})_{j,k=1}^5$ ,  $\omega = e^{2\pi i/5}$ , this is  $B_1 = F_5^*EF_5$  with

$$\begin{aligned} E &= \text{diag} \left( 1, \sqrt{1 - \frac{1}{3}\sqrt{5}}, \sqrt{1 + \frac{1}{3}\sqrt{5}}, \sqrt{1 + \frac{1}{3}\sqrt{5}}, \sqrt{1 - \frac{1}{3}\sqrt{5}} \right) \\ &= \text{diag} \left( 1, \frac{\sqrt{5}-1}{\sqrt{6}}, \frac{\sqrt{5}+1}{\sqrt{6}}, \frac{\sqrt{5}+1}{\sqrt{6}}, \frac{\sqrt{5}-1}{\sqrt{6}} \right). \quad \square \end{aligned}$$

It follows in particular that the numerical values given by Matlab and shown above are  $0.2000 = 1/5$ ,  $0.9303 = 1/5 + 2\sqrt{2/15}$ ,  $-0.1651 = 1/5 - \sqrt{2/15}$ .

**Three lattices from (13,26) frames.** Let now  $k = 13$ ,  $n = 26$ ,  $\alpha = 5$ . Let  $A$  and  $D$  be symmetric  $13 \times 13$  circulant matrices whose first rows are

$$(0, \varepsilon_1, \varepsilon_2, \varepsilon_3, \varepsilon_4, \varepsilon_5, \varepsilon_6, \varepsilon_6, \varepsilon_5, \varepsilon_4, \varepsilon_3, \varepsilon_2, \varepsilon_1)$$

and

$$(\varepsilon_7, \varepsilon_8, \varepsilon_9, \varepsilon_{10}, \varepsilon_{11}, \varepsilon_{12}, \varepsilon_{13}, \varepsilon_{13}, \varepsilon_{12}, \varepsilon_{11}, \varepsilon_{10}, \varepsilon_9, \varepsilon_8)$$

with  $\varepsilon_k \in \{-1, +1\} =: \{-, +\}$ , respectively. There are  $2^{13} = 8192$  such matrices. For exactly 12 of them the matrix (3) satisfies  $C^2 = 25I$ . The determinant of  $D$  is always  $\det D = \pm 768\,000 = \pm 2^{12} \cdot 3 \cdot 5^4$ . Thus, by Corollary 4.3,  $\Lambda(G)$  is a full rank lattice.

In exactly 6 cases, for example if the first rows of  $A$  and  $D$  are

$$(0, -, -, -, +, -, +, +, -, +, -, -, -) \quad \text{and} \quad (-, -, +, +, +, -, +, +, -, +, +, +, -),$$

we have  $N \in \mathbf{Z}^{13 \times 13}$ . We denote the  $A$  and  $B_+ = \sqrt{I + (1/5)A}$  corresponding to these cases by  $A_1, \dots, A_6$  and  $B_1, \dots, B_6$ . Corollary 4.3 implies that  $B_j$  is a basis matrix for the  $j$ th lattice. We have  $\det(5I + A_j) = 2\,560\,000 = 2^{12} \cdot 5^4$  and hence  $\det B_j = 2^6/5^{9/2} \approx 0.0458$  for  $1 \leq j \leq 6$ . In the other 6 cases, for instance if the first rows of  $A$  and  $D$  equal

$$(0, -, +, +, +, -, +, +, -, +, +, +, -) \quad \text{and} \quad (-, +, -, -, +, +, +, +, +, +, -, -, +),$$

we get that  $N^{-1} \in \mathbf{Z}^{13 \times 13}$ . Let  $A_7, \dots, A_{12}$ ,  $S_7, \dots, S_{12}$ , and  $B_7, \dots, B_{12}$  be the corresponding  $A$ ,  $S = D|D|^{-1}$ ,  $B_- = \sqrt{I - (1/5)A}$ . We know from Corollary 4.3 that  $S_j B_j$  is a basis matrix for the  $j$ th lattice. It turns out that  $\det(5I - A_j) = 2\,560\,000 = 2^{12} \cdot 5^4$  and hence again  $\det B_j = 2^6/5^{9/2} \approx 0.0458$  for  $7 \leq j \leq 12$ .

Actually,

$$\begin{aligned} B_1 &= B_2, & B_3 &= B_4, & B_5 &= B_6, \\ S_7 B_7 &= -S_8 B_8, & S_9 B_9 &= -S_{10} B_{10}, & S_{11} B_{11} &= -S_{12} B_{12}, \\ B_1 &= U_1 S_{11} B_{11}, & B_3 &= U_2 S_9 B_9, & B_5 &= U_3 S_7 B_7 \end{aligned}$$

with orthogonal matrices  $U_1, U_2, U_3$ . The relation “ $X \sim Y$  if and only if  $XY^{-1}$  is a nonzero scalar multiple of an orthogonal matrix” is an equivalence relation on every family of invertible  $k \times k$  matrices. The equivalence classes of this relation on  $\{B_1, \dots, S_{12} B_{12}\}$  are

$$\{B_1 = B_2, S_{11} B_{11}, S_{12} B_{12}\}, \quad \{B_3 = B_4, S_9 B_9, S_{10} B_{10}\}, \quad \{B_5 = B_6, S_7 B_7, S_8 B_8\}.$$

The first rows of  $(A_1, D_1), (A_3, D_3), (A_5, D_5)$  are

$$\begin{aligned} (0, -, -, -, +, -, +, +, -, +, -, -, -, & \quad -, -, +, +, +, -, +, +, -, +, +, +, -), \\ (0, -, +, +, -, -, -, -, -, +, +, -, & \quad +, -, -, -, +, -, +, +, -, +, -, -, -), \\ (0, +, -, -, -, +, -, -, +, -, -, -, +, & \quad +, -, +, +, -, -, -, -, -, +, +, -). \end{aligned}$$

For  $1 \leq j \leq 6$ , the smallest eigenvalue of  $B_j$  is about 0.3736, whence

$$\|B_j x\|^2 > 0.37^2 \|x\|^2 > 0.13 \|x\|^2.$$

Thus,  $\|Bx\|^2 > 1$  for  $\|x\|^2 \geq 7$ . In the last 6 cases, the smallest eigenvalue of  $B_j$  is about 0.4991 and so we have

$$\|S_j B_j c\|^2 = \|B_j x\|^2 > 0.49^2 \|x\|^2 > 0.24 \|x\|^2,$$

which is greater than 1 for  $\|x\|^2 \geq 4$ . We took all  $j \in \{1, \dots, 12\}$  and  $x \in \mathbf{Z}^{13}$  with  $\|x\|^2 \leq 6$  and checked whether  $\|B_j x\|^2 < 1.1$ . For each  $j$ , we obtained exactly 52 vectors  $x \in \mathbf{Z}^{13} \setminus \{0\}$  such that  $\|B_j x\|^2 < 1.1$ . The columns  $B_j x$  are  $\pm$  the 26 columns  $f_1, \dots, f_{26}$  of  $G$ . Consequently, *in all cases the minimal distance of  $\Lambda(G)$  is 1,  $\Lambda(G)$  has a basis of minimal vectors, and  $S(\Lambda(G)) = \{\pm f_1, \dots, \pm f_{26}\}$ .*  $\square$

**Ten lattices from (25,50) frames.** We finally take  $k = 25$ ,  $n = 50$ ,  $\alpha = 7$ . We consider the  $25 \times 25$  circulant matrices  $A$  and  $D$  whose first rows are

$$(0, \varepsilon_1, \varepsilon_2, \varepsilon_3, \varepsilon_4, \varepsilon_5, \varepsilon_6, \varepsilon_7, \varepsilon_8, \varepsilon_9, \varepsilon_{10}, \varepsilon_{11}, \varepsilon_{12}, \varepsilon_{12}, \varepsilon_{11}, \varepsilon_{10}, \varepsilon_9, \varepsilon_8, \varepsilon_7, \varepsilon_6, \varepsilon_5, \varepsilon_4, \varepsilon_3, \varepsilon_2, \varepsilon_1)$$

and

$$(\varepsilon_{25}, \varepsilon_{13}, \varepsilon_{14}, \varepsilon_{15}, \varepsilon_{16}, \varepsilon_{17}, \varepsilon_{18}, \varepsilon_{19}, \varepsilon_{20}, \varepsilon_{21}, \varepsilon_{22}, \varepsilon_{23}, \varepsilon_{24}, \\ \varepsilon_{24}, \varepsilon_{23}, \varepsilon_{22}, \varepsilon_{21}, \varepsilon_{20}, \varepsilon_{19}, \varepsilon_{18}, \varepsilon_{17}, \varepsilon_{16}, \varepsilon_{15}, \varepsilon_{14}, \varepsilon_{13}),$$

with  $\varepsilon_k \in \{-1, 1\} =: \{-, +\}$ , respectively. These are  $2^{25} = 33\,554\,432$  matrices. In exactly 20 cases the matrix  $C$  given by (3) satisfies  $C^2 = 49I$ . One such case is where the first rows of  $A$  and  $D$  are

$$(0, -, -, -, +, -, +, +, -, +, +, +, -, -, +, +, +, -, +, +, -, +, -, -, -)$$

and

$$(-, -, +, +, +, +, +, -, +, -, +, +, -, -, +, +, -, +, -, +, +, +, +, +, -),$$

respectively. We have

$$|\det D| = \det(7I + A) = \det(7I - A) = 260\,119\,840^2 = 2^{22} \cdot 3^2 \cdot 5^2 \cdot 7^2 \cdot 11^4,$$

$N \in \mathbf{Z}^{25 \times 25}$  and  $N^{-1} \in \mathbf{Z}^{25 \times 25}$  in each of the 20 cases. Thus, by Corollary 4.3, we obtain 20 lattices  $\Lambda(G_j)$  with  $B_j = \sqrt{I + (1/7)A_j}$  as a basis matrix and

$$\det B_j = \frac{2^{11} \cdot 3 \cdot 5 \cdot 7 \cdot 11^2}{7^{25/2}} = \frac{2^{11} \cdot 3 \cdot 5 \cdot 11^2}{7^{23/2}} \approx 0.00071052$$

for all  $1 \leq j \leq 20$ . In fact  $B_j = B_{j+10}$  for  $1 \leq j \leq 10$ , and the equivalence classes of the set  $\{B_1, \dots, B_{10}\}$  under the equivalence relation “ $B_i \sim B_j$  if and only if  $B_i B_j^{-1}$  is a nonzero scalar multiple of an orthogonal matrix” are the ten singletons  $\{B_1\}, \dots, \{B_{10}\}$ . The smallest eigenvalue of  $B_j$  is about 0.1415 for all  $j$ .  $\square$



## 5. Unit equiangular tight $(k, k+1)$ frames

Sometimes it is advantageous to represent a unit equiangular tight  $(k, n)$  frame by coordinates different from those in  $\mathbf{R}^k$ . This is in particular the case for  $(k, k+1)$  frames.

Fix  $k \geq 2$  and consider the set  $\mathcal{F}$  of the  $k+1$  normalized columns of height  $k+1$  formed by the permutations of  $-k, 1, \dots, 1$ ,

$$f_1 = \frac{1}{\sqrt{k^2+k}} \begin{pmatrix} -k \\ 1 \\ \vdots \\ 1 \end{pmatrix}, f_2 = \frac{1}{\sqrt{k^2+k}} \begin{pmatrix} 1 \\ -k \\ \vdots \\ 1 \end{pmatrix}, \dots, f_{k+1} = \frac{1}{\sqrt{k^2+k}} \begin{pmatrix} 1 \\ 1 \\ \vdots \\ -k \end{pmatrix}.$$

These  $k+1$  vectors are in the orthogonal complement of  $(1, \dots, 1)' \in \mathbf{R}^{k+1}$  and may therefore be thought of as vectors in  $\mathbf{R}^k$ . Let

$$\Lambda(\mathcal{F}) = \text{span}_{\mathbf{Z}}\{f_1, \dots, f_{k+1}\} \subset \mathbf{R}^k.$$

The following theorem in conjunction with [Proposition 2.4](#) proves [Theorem 2.2\(a\)](#).

**Theorem 5.1.** *The vectors  $f_1, \dots, f_{k+1}$  form a unit equiangular tight  $(k, k+1)$  frame and  $\Lambda(\mathcal{F})$  is a full rank lattice. The matrix  $B$  constituted by  $f_1, \dots, f_k$ ,*

$$B = \frac{1}{\sqrt{k^2+k}} \begin{pmatrix} -k & 1 & \dots & 1 \\ 1 & -k & \dots & 1 \\ \vdots & \vdots & & \vdots \\ 1 & 1 & \dots & -k \\ 1 & 1 & \dots & 1 \end{pmatrix}_{(k+1) \times k}, \quad (17)$$

is a basis matrix for  $\Lambda(\mathcal{F})$ , we have

$$\det(B'B) = \frac{1}{k+1} \left(1 + \frac{1}{k}\right)^k,$$

the lattice  $\Lambda(\mathcal{F})$  has a basis of minimal vectors, and  $S(\Lambda(\mathcal{F})) = \{\pm f_1, \dots, \pm f_{k+1}\}$ .

**Proof.** It is well known that  $\mathcal{F}$  is a unit equiangular tight  $(k, k+1)$  frame. We include the proof for the reader's convenience. First, the columns of the matrix  $B$  are easily seen to be linearly independent, which shows that  $\text{span}_{\mathbf{R}}\{f_1, \dots, f_k\} = \mathbf{R}^k$ . Secondly, it is clear that  $\|f_j\| = 1$  for all  $j$ . Thirdly, we have  $(f_i, f_j) = (-k-1)/(k^2+k) = -1/k$  for  $i \neq j$ . And finally, a basic result by Welch [\[28\]](#), proofs of which are also in [\[6,23,24\]](#), says that if we are given a unit equiangular frame with  $|(f_i, f_j)| = \sqrt{(n-k)/(k(n-1))}$  for  $i \neq j$ , then (and only then) the frame is tight. In our case  $\sqrt{(n-k)/(k(n-1))} = 1/k$ , so that

the equality is satisfied, implying the tightness of the frame. Incidentally, a completely elementary argument is as follows. If  $x = (x_1, \dots, x_{k+1})$  and  $x_1 + \dots + x_{k+1} = 0$ , then

$$(f_j, x) = \frac{1}{\sqrt{k^2 + k}} \left( -kx_j + \sum_{i \neq j} x_i \right) = \frac{1}{\sqrt{k^2 + k}} (-kx_j - x_j)$$

and hence

$$\sum_{j=1}^{k+1} (f_j, x)^2 = \frac{1}{k^2 + k} \sum_{j=1}^{k+1} (-(k+1)x_j)^2 = \frac{k+1}{k} \|x\|^2,$$

that is, the frame is tight with  $\gamma = (k+1)/k$ .

Since  $f_1 + \dots + f_k = -f_{k+1}$ , we have  $\Lambda(\mathcal{F}) = \text{span}_{\mathbf{Z}}\{f_1, \dots, f_k\}$ . This shows that  $\Lambda(\mathcal{F})$  is  $\{BX : X \in \mathbf{Z}^k\}$ . Consequently,  $\Lambda(\mathcal{F})$  is a full rank lattice with the matrix  $B$  given by (17) as a basis matrix. The product  $B'B$  is

$$B'B = \frac{1}{k^2 + k} \begin{pmatrix} a & b & \dots & b \\ b & a & \dots & b \\ \vdots & \vdots & \ddots & \vdots \\ b & b & \dots & a \end{pmatrix}_{k \times k} \quad (18)$$

with  $a = k^2 + k$  and  $b = -k - 1$ . The determinant of a matrix of the form (18) is known to be  $(a - b)^{k-1}(a + (k-1)b)$ . Thus,

$$\det B'B = \frac{1}{(k^2 + k)^k} (k^2 + k + k + 1)^{k-1} (k^2 + k - (k-1)(k+1)) = \frac{(k+1)^{k-1}}{k^k}.$$

We are left with determining  $S(\Lambda(\mathcal{F}))$ . Straightforward computation shows that the inequality  $\|Bx\|^2 \geq 1$  is equivalent to the inequality

$$(k+1)(x_1^2 + \dots + x_k^2) \geq k + (x_1 + \dots + x_k)^2, \quad (19)$$

and that equality holds in both inequalities only simultaneously. We first show (19) for integers  $(x_1, \dots, x_k) \in \mathbf{Z}^k \setminus \{0\}$  by induction on  $k$ . For  $k = 1$ , inequality (19) is trivial. Suppose it is true for  $k - 1$ :

$$k(x_1^2 + \dots + x_{k-1}^2) \geq k - 1 + (x_1 + \dots + x_{k-1})^2.$$

If  $x_1^2 + \dots + x_{k-1}^2 \geq 1$ , we may add  $x_1^2 + \dots + x_{k-1}^2$  on the left and 1 on the right to get

$$(k+1)(x_1^2 + \dots + x_{k-1}^2) \geq k + (x_1 + \dots + x_{k-1})^2.$$

This proves (19) in the case where one of the integers  $x_1, \dots, x_k$  is zero and one of them is nonzero. We are so left with the case where  $x_j \neq 0$  for all  $j$ . Then  $x_1^2 + \dots + x_k^2 \geq k$  and hence

$$k + (x_1 + \dots + x_k)^2 \leq k + (|x_1| + \dots + |x_k|)^2 \quad (20)$$

$$\begin{aligned} &\leq k + k(x_1^2 + \dots + x_k^2) \\ &\leq x_1^2 + \dots + x_k^2 + k(x_1^2 + \dots + x_k^2) = (k+1)(x_1^2 + \dots + x_k^2), \end{aligned} \quad (21)$$

which completes the proof of (19). At this point we have shown that  $\{f_1, \dots, f_k\}$  is a basis of minimal vectors.

To identify all of  $S(\Lambda(\mathcal{F}))$ , we have to check when equality in (19) holds. Suppose first that  $x_j \neq 0$  for all  $j$ . In that case we have (20) to (21). Equality in (21) holds if and only if  $|x_j| = 1$  for all  $j$ , and equality in (20) is valid if and only if all the  $x_j$  have the same sign. Thus, we get the two vectors  $x = (1, \dots, 1)'$  and  $x = (-1, \dots, -1)'$ . The corresponding products  $Bx$  are  $-f_{k+1}$  and  $f_{k+1}$ . Suppose finally that one of the  $x_j$  is zero, say  $x_k = 0$ . From (19) with  $k$  replaced by  $k-1$  we know that

$$k(x_1^2 + \dots + x_{k-1}^2) \geq k-1 + (x_1 + \dots + x_{k-1})^2.$$

If  $x_1^2 + \dots + x_{k-1}^2 > 1$ , we may add this inequality to the previous one to obtain that

$$(k+1)(x_1^2 + \dots + x_{k-1}^2) \geq k + (x_1 + \dots + x_{k-1})^2.$$

Consequently, for  $x_1^2 + \dots + x_{k-1}^2 > 1$  equality in (19) does not hold. If  $x_1^2 + \dots + x_{k-1}^2 = 1$ , then  $x_j = \pm 1$  for some  $j$  and  $x_i = 0$  for all  $i \neq j$ . In that case equality in (19) holds and the vector  $Bx$  is  $\pm f_j$ . In summary, we have proved that the set  $S(\Lambda(\mathcal{F}))$  of all minimal vectors is just  $\{\pm f_1, \dots, \pm f_{k+1}\}$ .  $\square$

## 6. The remaining frames in dimensions at most 9

Recall that (2) lists the unit equiangular tight frames in dimensions  $k \leq 9$  different from the  $(k, k+1)$  frames. By Proposition 2.1, the  $(3, 6)$ ,  $(7, 14)$ , and  $(9, 18)$  frames do not yield lattices, and the lattices resulting from the  $(5, 10)$  case were discussed in Section 4. We are left with the  $(6, 16)$  and  $(7, 28)$  cases.

**A lattice from a  $(6, 16)$  frame.** In [24] we see the unit equiangular tight  $(6, 16)$  frame

$$G = \frac{1}{\sqrt{6}} \begin{pmatrix} + & + & + & + & + & + & + & + & + & + & + & + & + & + & + \\ + & + & + & + & + & + & + & + & - & - & - & - & - & - & - \\ + & + & + & + & - & - & - & - & + & + & + & + & - & - & - \\ + & + & - & - & + & + & - & - & + & + & - & - & + & + & - \\ + & - & + & - & + & - & + & - & + & - & + & - & + & - & + \\ + & - & - & + & - & + & + & - & - & + & + & - & + & - & + \end{pmatrix}.$$

Here  $GG' = (16/6)I$  and  $G'G = I + (1/3)C$  with a  $16 \times 16$  matrix  $C$  whose diagonal entries are zero and the other entries of which are  $\pm 1$ . The six columns  $f_1, f_2, f_3, f_4, f_5, f_9$  of the matrix  $G$  are linearly independent and each of the remaining 10 columns is a linear combination with integer coefficients of these six columns. Consequently, by Proposition 3.1 with  $\beta = 1$ , these six columns form a basis matrix,

$$B = \frac{1}{\sqrt{6}} \begin{pmatrix} + & + & + & + & + & + \\ + & + & + & + & + & - \\ + & + & + & + & - & + \\ + & + & - & - & + & + \\ + & - & + & - & + & + \\ + & - & - & + & - & - \end{pmatrix}.$$

We have  $\det(B'B) = 2^6/3^6$ .

With  $B'B = U'EU$ , we get  $\|Bx\|^2 = (EUx, Ux) \geq 0.48\|x\|^2$ , and this is at least 6 if  $\|x\|^2 \geq 13$ . So consider the  $x \in \mathbf{Z}^6 \setminus \{0\}$  with  $\|x\|^2 \leq 13$ . Such  $x$  contain only  $0, \pm 1, \pm 2, \pm 3$ , and using Matlab we checked that  $\|Bx\|^2 < 6.1$  for exactly 32 nonzero  $x$  of these  $7^6 - 1 = 117\,648$  possible  $x$ . The 32 columns  $Bx$  are just  $\pm$  the columns of  $\sqrt{6}G$ . Thus,  $\Lambda(\mathcal{F})$  has a basis of minimal vectors and  $S(\Lambda(G)) = \{\pm f_1, \dots, \pm f_{16}\}$ .  $\square$

**A perfect lattice from a (7,28) frame.** It is well known that the  $\binom{8}{2} = 28$  vectors resulting from the columns  $(-3, -3, 1, 1, 1, 1, 1, 1)'$  by permuting the entries form an equiangular tight (7, 28) frame. To be precise, let  $\mathcal{F}$  be the set of the vectors

$$f_1 = \frac{1}{\sqrt{24}} \begin{pmatrix} -3 \\ -3 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, \quad \dots, \quad f_{28} = \frac{1}{\sqrt{24}} \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ -3 \\ -3 \end{pmatrix}.$$

These are unit vectors in  $\mathbf{R}^8$ . They are all orthogonal to the vector  $(1, 1, 1, 1, 1, 1, 1, 1)'$ , and after identifying the orthogonal complement of this vector with  $\mathbf{R}^7$ , we may think of  $f_1, \dots, f_{28}$  as unit vectors in  $\mathbf{R}^7$ . We consider the set

$$\Lambda(\mathcal{F}) = \text{span}_{\mathbf{Z}}\{f_1, \dots, f_{28}\} \subset \mathbf{R}^7.$$

The columns of the  $8 \times 7$  matrix

$$B = \frac{1}{\sqrt{24}} \begin{pmatrix} -3 & -3 & -3 & -3 & -3 & -3 & 1 \\ -3 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & -3 & 1 & 1 & 1 & 1 & -3 \\ 1 & 1 & -3 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & -3 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & -3 & 1 & -3 \\ 1 & 1 & 1 & 1 & 1 & -3 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}$$

are formed by 7 of the above 28 vectors. We denote these 7 vectors by  $f_1, \dots, f_7$ . For the reader's convenience, we show that  $\{f_1, \dots, f_{28}\}$  is a unit equiangular tight  $(7, 28)$  frame. The rank of the matrix  $B$  is 7, and hence  $\text{span}_{\mathbf{R}}\{f_1, \dots, f_{28}\} = \mathbf{R}^7$ . Clearly,  $\|f_j\| = 1$  for all  $j$ . We have  $|(f_i, f_j)| = 8/24 = 1/3$  for  $i \neq j$ , which gives the equiangularity, and since  $\sqrt{(n-k)/(k(n-1))} = 1/3$ , we deduce from Welch's result cited in the proof of Theorem 5.1 that the frame is tight.

Straightforward inspection shows that each of the vectors  $f_8, \dots, f_{28}$  is a linear combination with integer coefficients of the vectors  $f_1, \dots, f_7$ . Consequently,  $\Lambda(\mathcal{F})$  is a full rank lattice in  $\mathbf{R}^7$ ,  $\{f_1, \dots, f_7\}$  is a basis of  $\Lambda(\mathcal{F})$ , and  $B$  is a basis matrix. We have

$$B'B = \frac{1}{24} \begin{pmatrix} 24 & 8 & 8 & 8 & 8 & 8 & -8 \\ 8 & 24 & 8 & 8 & 8 & 8 & 8 \\ 8 & 8 & 24 & 8 & 8 & 8 & -8 \\ 8 & 8 & 8 & 24 & 8 & 8 & -8 \\ 8 & 8 & 8 & 8 & 24 & 8 & 8 \\ 8 & 8 & 8 & 8 & 8 & 24 & -8 \\ -8 & 8 & -8 & -8 & 8 & -8 & 24 \end{pmatrix},$$

and straightforward computation gives

$$\det B'B = \frac{2^{27}}{24^7} = \frac{2^6}{3^7}.$$

We now prove that the minimal norm of  $\Lambda(\mathcal{F})$  is 1. Let

$$\tilde{B} = \sqrt{24}B = \begin{pmatrix} -3 & -3 & -3 & -3 & -3 & -3 & 1 \\ -3 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & -3 & 1 & 1 & 1 & 1 & -3 \\ 1 & 1 & -3 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & -3 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & -3 & 1 & -3 \\ 1 & 1 & 1 & 1 & 1 & -3 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}.$$

Take  $x \in \mathbf{Z}^7$  and consider  $y = \tilde{B}x \in \mathbf{Z}^8$ . We are interested in the  $x$  for which  $\|y\|^2 \leq 24$ . With  $s := x_1 + \cdots + x_7$ , we have

$$\begin{aligned} y_1 &= -3s + 4x_7, & y_3 &= s - 4x_2 - 4x_7, & y_6 &= s - 4x_5 - 4x_7, & y_8 &= s, \\ y_2 &= s - 4x_1, & y_4 &= s - 4x_3, & y_5 &= s - 4x_4, & y_7 &= s - 4x_6. \end{aligned}$$

It suffices to search for all  $x \in \mathbf{Z}^7$  with  $s \geq 0$  and  $y_1^2 + \cdots + y_8^2 \leq 24$ . This is impossible for  $y_8 = s > 5$ . So we may assume that  $0 \leq s \leq 4$ .

Suppose first that  $s = 4$ . We then must have  $y_1^2 + \cdots + y_7^2 \leq 9$ . Since  $y_1$  is an even number, it cannot be  $\pm 3$ . Consequently,  $-2 \leq -3s + 4x_7 = -12 + 4x_7 \leq 2$ , which gives  $x_7 = 3$ . Analogously, as  $y_3$  is even, we get  $-2 \leq s - 4x_2 - 4x_7 = -8 - 4x_2 \leq 2$ , which yields  $x_2 = -2$ . In the same way we obtain  $x_5 = -2$ . Finally, the even number  $s - 4x_1 = 4 - 4x_1$  is at least  $-2$ , which implies that  $x_1 \leq 1$ . Equally,  $x_3, x_4, x_6 \leq 1$ . It follows that

$$s = x_1 + \cdots + x_7 \leq 1 + 1 + 1 + 1 - 2 - 2 + 3 = 3 < 4 = s,$$

which is a contradiction.

Thus, we may restrict our search to  $0 \leq s \leq 3$  and  $y_1^2 + \cdots + y_7^2 \leq 24$ . The inequality  $-4 \leq -3s + 4x_7 \leq 4$  gives

$$-4 \leq 3s - 4 \leq 4x_7 \leq 3s + 4 \leq 13,$$

whence  $-1 \leq x_7 \leq 3$ . These are 5 possibilities. From  $-4 \leq s - 4x_j \leq 4$  we obtain that  $-1 \leq x_j \leq 1$  for  $j = 1, 3, 4, 6$ , which is  $3^4$  possibilities, and the inequality  $-4 \leq s - 4x_j - 4x_7 \leq 4$  delivers

$$-16 \leq s - 4 + 4x_7 \leq 4y_j \leq 4 + s - 4x_7 \leq 11$$

and hence  $-4 \leq x_j \leq 2$  for  $j = 2, 5$ , leaving us with  $7^2$  possibilities. In summary, we have to check  $5 \cdot 3^4 \cdot 7^2 = 19845$  possibilities. Matlab does this with integer arithmetics within a second. The result is that  $0 \leq s \leq 3$  and  $y_1^2 + \cdots + y_7^2 \leq 24$  happens in exactly 50 cases. One of these cases is  $y = 0$ , and in the remaining 49 cases  $y$  is  $\pm$  one of the  $2 \cdot 28 = 56$  vectors  $\sqrt{24}f_j$ . (Recall that, by symmetry, we restricted ourselves to  $s \geq 0$ . For  $-3 \leq s \leq 3$  and  $y_1^2 + \cdots + y_7^2 \leq 24$  to happen we would obtain exactly 57 cases: the case  $y = 0$  and the 56 vectors  $y$  given by  $\pm\sqrt{24}f_j$ .) This proves that *the minimal distance of  $\Lambda(G)$  is 1, that  $S(\Lambda(G)) = \{\pm f_1, \dots, \pm f_{28}\}$ , and that  $\Lambda(G)$  has a basis of minimal vectors.* From Proposition 2.4 we deduce that *the lattice  $\Lambda(G)$  is strongly eutactic.*

We finally show that *this (7,28) frame generates a perfect lattice.* We have shown that the 28 lattice vectors  $f_1, \dots, f_{28}$  are minimal vectors. These vectors are given by their coordinates in the ambient  $\mathbf{R}^8$ . We use a special  $7 \times 8$  matrix  $A$  to transform these vectors isometrically into  $\mathbf{R}^7$ . The  $j$ th row of  $A$  is

**Table 2**The first 14 columns of the matrix  $D$ .

0	16	16	16	16	16	16	16	16	16	16	16	16	0
0	-4	12	12	12	12	12	4	-12	-12	-12	-12	-12	0
0	24	-8	8	8	8	8	-24	8	-8	-8	-8	-8	0
0	20	20	-12	4	4	4	-20	-20	12	-4	-4	-4	0
0	16	16	16	-16	0	0	-16	-16	-16	16	0	0	0
0	12	12	12	12	-20	-4	-12	-12	-12	-12	20	4	0
0	8	8	8	8	8	-24	-8	-8	-8	-8	-8	24	0
49	1	9	9	9	9	9	1	9	9	9	9	9	25
42	-6	-6	6	6	6	6	-6	-6	6	6	6	6	10
35	-5	15	-9	3	3	3	-5	15	-9	3	3	3	-25
28	-4	12	12	-12	0	0	-4	12	12	-12	0	0	-20
21	-3	9	9	9	-15	-3	-3	9	9	9	-15	-3	-15
14	-2	6	6	6	6	-18	-2	6	6	6	6	-18	-10
36	36	4	4	4	4	4	36	4	4	4	4	4	4
30	30	-10	-6	2	2	2	30	-10	-6	2	2	2	-10
24	24	-8	8	-8	0	0	24	-8	8	-8	0	0	-8
18	18	-6	6	6	-10	-2	18	-6	6	6	-10	-2	-6
12	12	-4	4	4	4	-12	12	-4	4	4	4	-12	-4
25	25	25	9	1	1	1	25	25	9	1	1	1	25
20	20	20	-12	-4	0	0	20	20	-12	-4	0	0	20
15	15	15	-9	3	-5	-1	15	15	-9	3	-5	-1	15
10	10	10	-6	2	2	-6	10	10	-6	2	2	-6	10
16	16	16	16	16	0	0	16	16	16	16	0	0	16
12	12	12	12	-12	0	0	12	12	12	-12	0	0	12
8	8	8	8	-8	0	0	8	8	8	-8	0	0	8
9	9	9	9	9	25	1	9	9	9	9	25	1	9
6	6	6	6	6	-10	6	6	6	6	6	-10	6	6
4	4	4	4	4	4	36	4	4	4	4	4	36	4

$$\frac{1}{\sqrt{j^2 + j}}(1, \dots, 1, -j, 0, \dots, 0)$$

with  $j$  ones and  $7 - j$  zeros. We have  $A = EA_0$  with  $E = \text{diag}(1/\sqrt{j^2 + j})_{j=1}^7$  and with  $(1, \dots, 1, -j, 0, \dots, 0)$  being the  $j$ th row of  $A_0$ . We then get the 28 minimal vectors  $Af_j = EA_0f_j$  ( $j = 1, \dots, 28$ ) in  $\mathbf{R}^7$ . These give us 28 symmetric  $7 \times 7$  matrices  $C_j = E(A_0f_j)(A_0f_j)'E$ . The lattice  $\Lambda(\mathcal{F})$  is perfect if the real span of these 28 matrices is the space of all  $7 \times 7$  symmetric matrices. Each symmetric  $28 \times 28$  matrix may be written as  $ETE$  with a symmetric matrix  $T$ , and hence we are left with showing that each symmetric  $28 \times 28$  symmetric matrix  $T$  is a real linear combination of the matrices  $(A_0f_j)(A_0f_j)'$ . For  $k = 1, \dots, 7$ , let

$$([C_j]_{k,k}, [C_j]_{k+1,k}, \dots, [C_j]_{7,k})'$$

be the column formed by the entries of the  $k$ th column of  $C_j$  that are on or below the main diagonal. Stack these columns to a column  $D_j$  of height  $7 + 6 + \dots + 1 = 28$ . The lattice is perfect if and only if the real span of  $D_1, \dots, D_{28}$  is all of  $\mathbf{R}^{28}$ , which happens if and only if the  $28 \times 28$  matrix  $D$  constituted by the 28 columns  $D_1, \dots, D_{28}$  is invertible. Tables 2 and 3 show the matrix  $D$ .

The matrix  $D$  can be constructed with integer arithmetics. The determinant  $\det D$  may be computed by the Gaussian algorithm and thus with integer arithmetics, too. In the intermediate steps, one may factor out powers of 2. For example, in the original

**Table 3**The last 14 columns of the matrix  $D$ .

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
25	25	25	25	1	1	1	1	1	1	1	1	1	1	1
-10	-10	-10	-10	6	6	6	6	2	2	2	2	2	2	2
15	-5	-5	-5	3	-1	-1	-1	7	7	7	3	3	3	3
-20	20	0	0	-4	4	0	0	4	0	0	8	8	4	4
-15	-15	25	5	-3	-3	5	1	-3	5	1	5	1	9	9
-10	-10	-10	30	-2	-2	-2	6	-2	-2	6	-2	6	6	6
4	4	4	4	36	36	36	36	4	4	4	4	4	4	4
-6	2	2	2	18	-6	-6	-6	14	14	14	6	6	6	6
8	-8	0	0	-24	24	0	0	8	0	0	16	16	8	8
6	6	-10	-2	-18	-18	30	6	-6	10	2	10	2	18	18
4	4	4	-12	-12	-12	-12	36	-4	-4	12	-4	12	12	12
9	1	1	1	9	1	1	1	49	49	49	9	9	9	9
-12	-4	0	0	-12	-4	0	0	28	0	0	24	24	12	12
-9	3	-5	-1	-9	3	-5	-1	-21	35	7	15	3	27	27
-6	2	2	-6	-6	2	2	-6	-14	-14	42	-6	18	18	18
16	16	0	0	16	16	0	0	16	0	0	64	64	16	16
12	-12	0	0	12	-12	0	0	-12	0	0	40	8	36	36
8	-8	0	0	8	-8	0	0	-8	0	0	-16	48	24	24
9	9	25	1	9	9	25	1	9	25	1	25	1	81	81
6	6	-10	6	6	6	-10	6	6	-10	6	-10	6	54	54
4	4	4	36	4	4	4	36	4	4	36	4	36	36	36

matrix  $D$  we may draw out 16 from the first line, 4 from the second, 8 from the third, and so on. It results that

$$\det D = 16^3 \cdot 8^4 \cdot 4^9 \cdot 2^6 \cdot \det \tilde{D} = 2^{48} \det \tilde{D},$$

and we may start the Gaussian algorithm with  $\det \tilde{D}$ . The final result is

$$\det D = 3 \cdot 2^{159}.$$

As this is nonzero, we conclude that  $D$  is invertible and thus that  $\Lambda(\mathcal{F})$  is perfect. At this point the proof of [Theorem 2.2\(c\)](#) is complete.

The perfection of this lattice was also established by Bacher in [\[2\]](#) (see Section 7, especially 7.1). However, Bacher's approach is different from ours: he obtains the lattice in question as the kernel of a certain linear map, establishes its perfection, and then remarks that its set of minimal vectors comprises an equiangular system. We, on the other hand, construct the lattice from the equiangular frame and show its perfection directly from this construction. Hence our argument here complements Bacher's, going in the opposite direction.

Since  $\Lambda(\mathcal{F})$  is perfect and strongly eutactic, the packing density of this lattice is a local maximum. As we know the minimal distance and the determinant of this lattice, the packing density can be easily computed using [\(1\)](#). It turns out to be 21.57%. This is better than the packing density of the root lattice  $A_7$ , which is 14.76%. In [\[4\]](#), we



studied lattices in  $\mathbf{R}^k$  that are generated by Abelian groups of the order  $k + 1$ . There the packing density of the lattices generated by Abelian groups of order 8 was shown to 20.88%. Thus,  $\Lambda(\mathcal{F})$  is also better than this. We nevertheless do not reach the best packing density for a 7-dimensional lattice, which is 29.53% and is achieved for the well known lattice  $E_7$ .  $\square$

## Acknowledgements

Fukshansky acknowledges support of the NSA grant H98230-1510051. Garcia acknowledges support of the National Science Foundation grant DMS-1265973. Needell acknowledges support of the Alfred P. Sloan Fellowship and NSF Career grant number 1348721. We sincerely thank the two referees for their competent comments and valuable suggestions.

## References

- [1] E. Axell, G. Leus, E.G. Larsson, H.V. Poor, Spectrum sensing for cognitive radio: state-of-the-art and recent advances, *IEEE Signal Process. Mag.* 29 (2012) 101–116.
- [2] R. Bacher, Constructions of some perfect integral lattices with minimum 4, *J. Théor. Nombres Bordeaux* 27 (2015) 655–687.
- [3] R. Baraniuk, M. Davenport, R. DeVore, M. Wakin, A simple proof of the restricted isometry property for random matrices, *Constr. Approx.* 28 (2008) 253–263.
- [4] A. Böttcher, L. Fukshansky, S.R. Garcia, H. Maharaj, On lattices generated by finite Abelian groups, *SIAM J. Discrete Math.* 29 (2015) 382–404.
- [5] E. Candes, M. Rudelson, T. Tao, R. Vershynin, Error correction via linear programming, in: *IEEE Symp. Found. Comput. Sci., FOCS'05, IEEE, 2005*, pp. 668–681.
- [6] J.H. Conway, R.H. Hardin, N.J.A. Sloane, Packing lines, planes, etc.: packings in Grassmannian spaces, *Exp. Math.* 5 (1996) 139–159.
- [7] J.H. Conway, N.J.A. Sloane, A lattice without a basis of minimal vectors, *Mathematika* 42 (1995) 175–177.
- [8] J.H. Conway, N.J.A. Sloane, *Sphere Packings, Lattices and Groups*, third edition, Springer-Verlag, New York, 1999.
- [9] M. Fickus, D.G. Mixon, J.C. Tremain, Steiner equiangular tight frames, *Linear Algebra Appl.* 436 (2012) 1014–1027.
- [10] A. Flinthe, G. Kutyniok, Promp: a sparse recovery approach to lattice-valued signals, preprint, 2016.
- [11] J.M. Goethals, J.J. Seidel, Orthogonal matrices with zero diagonal, *Canad. J. Math.* 19 (1967) 1001–1010.
- [12] R.B. Holmes, V. Paulsen, Optimal frames for erasures, *Linear Algebra Appl.* 377 (2004) 31–51.
- [13] J. Martinet, *Perfect Lattices in Euclidean Spaces*, Springer-Verlag, Berlin, 2003.
- [14] J. Martinet, A. Schürmann, Bases of minimal vectors in lattices, III, *Int. J. Number Theory* 8 (2012) 551–567.
- [15] D.G. Mixon, C. Quinn, N. Kiyavash, M. Fickus, Equiangular tight frame fingerprinting codes, in: *IEEE Int. Conf. Acoustics, Speech and Sig. Proc., ICASSP, IEEE, 2011*, pp. 1856–1859.
- [16] R.E.A.C. Paley, On orthogonal matrices, *J. Math. Phys.* 12 (1933) 311–320.
- [17] M. Rossi, A.M. Haimovich, Y.C. Eldar, Spatial compressive sensing for MIMO radar, *IEEE Trans. Signal Process.* 62 (2014) 419–430.
- [18] C. Rusu, N. González-Prelcic, Designing incoherent frames through convex techniques for optimized compressed sensing, *IEEE Trans. Signal Process.* 64 (2016) 2334–2344.
- [19] A. Schürmann, *Computational Geometry of Positive Definite Quadratic Forms*, American Mathematical Society, Providence, RI, 2009.
- [20] A. Schürmann, Perfect, strongly eutactic lattices are periodic extreme, *Adv. Math.* 225 (2010) 2546–2564.
- [21] J. Steuding, *Diophantine Analysis*, Chapman & Hall/CRC, Boca Raton, FL, 2005.

- [22] T. Strohmer, S. Beaver, Optimal OFDM design for time–frequency dispersive channels, *IEEE Trans. Commun.* 51 (2003) 1111–1122.
- [23] T. Strohmer, R.W. Heath Jr., Grassmannian frames with applications to coding and communication, *Appl. Comput. Harmon. Anal.* 14 (2003) 257–275.
- [24] M.A. Sustik, J.A. Tropp, I.S. Dhillon, R.W. Heath Jr., On the existence of equiangular tight frames, *Linear Algebra Appl.* 426 (2007) 619–635.
- [25] J.A. Tropp, Greed is good: algorithmic results for sparse approximation, *IEEE Trans. Inform. Theory* 50 (2004) 2231–2242.
- [26] E. Tsiligiani, L.P. Kondi, A.K. Katsaggelos, Use of tight frames for optimized compressed sensing, in: *Proc. Signal Process. Conf., EUSIPCO*, IEEE, 2012, pp. 1439–1443.
- [27] B.L. van der Waerden, Die Reduktionstheorie der positiven quadratischen Formen, *Acta Math.* 96 (1956) 265–309.
- [28] L.R. Welch, Lower bounds on the maximum cross-correlation of signals, *IEEE Trans. Inform. Theory* 20 (1974) 397–399.